

## APPLICATION FOR TRADING MEMBERSHIP (TM)

### (Part - A)

## NMCCX

Division of Mediaplex IT Services Pvt. Ltd.  
6088-6096, 6th Floor, C Wing, Oberoi Gardern Estate,  
Chandivali. Mumbai - 400072.  
Phone: 18001236258  
Email: customersupport@nmccx.com  
Website: www.nmccx.com

### Membership Application Trading Member (TM)

- Please read the terms and conditions, guidelines and notes carefully before filling-up the application form.
- Please attach all relevant documents along with this application.
- Please use additional sheets to furnish information wherever necessary.
- NMCCX has been used interchangeably unless otherwise specified.

### Terms and conditions for seeking Trading Membership (TM) of NMCCX

- 1) A Trading Member means a person having been admitted as such, who shall have rights to trade on Peer to Peer (P2P) and shall have no right to clear and settle such trades himself. All such trading members must be affiliated with any one of the Professional Clearing Member (PCM) having clearing rights on the Exchange.
- 2) An applicant shall be an Indian national.
- 3) The applicant shall submit the application form (Part-A) along with an amount as specified in clause 7 of the application form. This amount has to be remitted to our Mumbai office, favoring '**Mediaplex IT Services PVT LTD**' Mumbai, along with the following document, (duly attested by the applicant), which are a part of the:

#### Membership criteria:

- a) Certified copy of PAN card.
- b) Certified copy of Aadhar card.
- c) GST Number with Proof.
- d) Networth Certificate not older than 6 months along with statement issued by Chartered Accountant, Net worth Criteria: Individuals / Registered Partnership Firms / L.L.P/HUF / Corporate ₹10 lakhs.
- e) Bank Account Details.
- f) In case of a Partnership firm certified copies of Firm Registration Certificate, Registered Partnership Agreement, L.L.P registration certificate undertaking from all partners authorizing the Managing Partner, sharing pattern and details of Dominant Promoter Group (DPG).
- g) In case of a Corporate, Board Resolution seeking membership of the Exchange and for appointment of authorized signatories and authorized persons, certified copy of Memorandum and Articles

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of Association, shareholding pattern and details of Dominant Promoter Group (DPG) [ i.e. individual or defined group holding the 51% or more of the equity capital.]

4) The applicant shall submit the following documents (Part-B) with NMCCX after the Exchange has intimated the applicant about his selection. These documents would be required before commencement of the operations:

- a) Trading Membership Undertaking.
- b) Bio data of Designated Directors / Partners or Proprietor as the case be, Authorized Signatories if any.
- c) Latest Annual Report/ Auditors Report as applicable.
- d) Any other document, as may be specified by the Exchange.

5) The Exchange reserves the right to accept or reject any application or amend the terms and conditions without assigning any reason whatsoever.

6) Application once submitted cannot be withdrawn as the application are being processed on first-cum-first serve basis.

7) Fees and Deposit Structure:

Admission Fee	₹ 25000	(Non-refundable), inclusive of applicable taxes if any.
Security Deposit	₹ 75000	(Interest free, Refundable) shall suitably collect deposit from the TM.
Monthly Subscription Fee to be collected in Advance for each Quarter.	₹15000	(Non-refundable) + applicable taxes.

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The CEO / COO  
 NMCCX  
 Division of Mediaplex IT Services Pvt. Ltd.  
 6088-6096, 6th Floor, C Wing, Oberoi Gardern Estate,  
 Chandivali. Mumbai - 400072.

Dear Sir,

I/We am/ are desirous of becoming a Trading Member of NMCCX. I/We, hereby apply for said membership and, undertake, to conform to and to abide by such Rules, Bye-laws, Regulation, Business Rules, Circulars, Notifications and Office Orders as may be issued by the exchange, from time to time.

I/We shall be liable for all contracts and transactions in the Exchange entered into by us or by our authorized representatives and I/We comply with all requirements of the Exchange relating to settlement thereof. I/We shall also abide by all decisions of the Exchange with respect to the operations of the Exchange and would perform accordingly in meeting my/our financial, regulatory (as applicable) and operational responsibility as decided by the Exchange from time to time.

I/We agree to be responsible for all non-compliance and the Exchange shall reserve all rights of disciplinary action for any non-compliance by me/us. Pursuant to above,

I/we submit below the details of my/our candidature:

1.	Trade Name of the Applicant(full)	
2.	Office Address City State Pin code Telephone No. Fax No. (With STD Code) Email Mobile No.	
3.	Name the Cities in which you are Operating or propose to operate	
4.	Nationality	
5.	Constitution	Individual/ Registered Partnership Firm/ Private Ltd Co./ L.L.P . HUF/ Public Ltd Co. / Others
6.	Date of Incorporation/ registration	
7.	Details of Chief Executive/ Proprietor / Partner/Director Name	

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	Designation  Tel. No.  Fax No. (With STD Code)  Mobile No.  Email ID	
8.	Income Tax Permanent Account No.	
9.	Details of your existing bank Name and branch address Account No.  Account is operational since	
10.	Present business/ occupation	
11.	Present Net worth	Rs..... Lakh as on...
12.	Are you a member of any commodity/ Stock Exchange /Crypto Exchange? (Provide details)	
13.	Please give the following details of the applicant (as in point no.5 of the Guidelines for Filling- up the form) : Strike off which is not applicable (Yes/ No) a) Have you been declared/ rendered incompetent to enter into contract under any law in force in India? b) Have you ever been declared a defaulter by any Association or Exchange recognized by the Government under any Law? c) Whether any court case is pending against applicant / director / partners? d) Have you ever been pronounced guilty of a criminal offence involving moral turpitude? e) Has any disciplinary action been taken by any stock exchange against you or any of the partners/ promoter/ directors/ shareholders/ employees of yours? f) Have you ever been adjudged bankrupt or have been proved to be insolvent at any time? g) Have you ever been involved in litigations, suits, or proceedings or have been involved in any financial liability of contingent or unascertained nature.	(Yes/ No)  (Yes/ No)  (Yes/ No)  (Yes/ No)  (Yes/ No)  (Yes/ No)



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We undertake that any misstatement or misrepresentation or suppression of facts in connection with this application for membership or breach of any undertaking or condition of admission to membership may entail rejection of our application or expulsion of our membership.

I/ We hereby state that the above-mentioned particulars are true, correct and complete to the best my/ our knowledge and information. I/We state that no relevant material fact has been suppressed while applying for the Trading Membership of NMCCX.

I/We shall appear for interview, whenever called by the Exchange for my/ our seeking the Trading Membership of the Exchange.

I/We shall pay the required fees, charges and deposit to the Exchange and submit the necessary documents as stated in the terms and conditions and any other document as required by the exchange for my/ our seeking the Trading Membership of the Exchange.

Date: ...

Place: ...

Authorized Signatories

Signature(s):

(Name of the Signatories)

(Designation of the signatories)

(Trade name of the applicant)

Photographs

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### RECOMMENDATION OF PCM

We, hereby, approve and recommend the registration of Mr./Ms./M/s. \_\_\_\_\_

\_\_\_\_\_ As Trading Member of NMCCX. We shall clear his/her/their trade as PCM or we permit them to use any PCM of NMCCX for clearing his/her/their Trades. (Please permit any one route of clearing and strike out the other route.)

We shall abide by the terms and conditions of NMCCX for Trading Members as may be applicable from time to time. We shall also abide Bye-laws/Notices/Circulars of NMCCX with regard to PCM and Trading Members as may be applicable from time to time.

Date \_\_\_\_\_ Name of the PCM: \_\_\_\_\_

Address in full \_\_\_\_\_ Signature \_\_\_\_\_

Name of the Signatory \_\_\_\_\_ Designation \_\_\_\_\_

Rubber Stamp \_\_\_\_\_

### NMCCX MEMBERSHIP FORM GUIDELINES

1. Address Corporate shall write address of its registered office and other dealing office.
2. Branch office: Enclose a separate sheet for more than one branch and/or contact person
3. Form of organization: Tick mark one and/or specify.
4. Networth: Write the amount of networth computed as certified by a Chartered Accountant.
5. Signature and photo: Authorized Signatory, as detailed below, shall sign the application, paste his  
Recent Passport size photo and sign across the photo:
6. Each and Every page of application form is to be signed and stamped by the authorized signatory.

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In case of	Signature by and photo of
Individual	Individual
Partnership firm	Managing partner, as authorized by the Registered Partnership Deed
HUF	Karta
Corporate	Two directors, authorized by the Board of Directors and supported by Board of Resolution

Please write / call to NMCCX at the following address for any further clarification:

**NMCCX.**

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