

Exercise

① 4.1.1.

1 block \rightarrow 3 records
 \rightarrow 10 pointers

dense records:-

for n records

$$\# \text{ of blocks} = \frac{n}{3}$$

for n pointers

$$\# \text{ of blocks} = \frac{n}{10}$$

$$\therefore \text{total} = \frac{n}{3} + \frac{n}{10} = \frac{10n + 3n}{30} = \boxed{\frac{13n}{10}} //$$

Sparse records:

for n records

$$\# \text{ of blocks} = \frac{n}{3} \Rightarrow \text{same}$$

But for each block there has to be one pointer
and it can have only 3 records

$$\therefore 10 * 3 = 30 \text{ pointers for } 30 \text{ total}$$

$$\text{total} = \frac{n}{3} + \frac{n}{30}$$

$$= \frac{10n}{30} + \frac{n}{30}$$

$$= \boxed{\frac{11n}{30}} //$$

②. 4.3.3

Pointers = 4 bytes Keys = 12 bytes

for any node there can be

n keys and $(n+1)$ pointers

$$\therefore 12n + 4(n+1) =$$

$$12n + 4n + 4$$

How many keys and pointers in ¹⁶³⁸⁴~~1024~~ bytes

$$16n + 4 = 16384$$

$$16n = 16380$$

$$n = \frac{16380}{16} = 1023.7$$

$$\boxed{n = 1023} //$$

③ 4.3.4

Minimum numbers of keys and pointer in B-tree

(i) interior node

$n=10$ 10 keys & 11 pointers

interior \Leftrightarrow non-leaf node

\therefore keys & ptrs

$$n=10$$

$$\text{minimum ptrs} = \frac{n+1}{2} = \frac{10+1}{2} = \frac{11}{2} //$$

$$\text{minimum keys} = \left\lceil \frac{n+1}{2} \right\rceil - 1 = \frac{10+1}{2} = \frac{10+1-2}{2} = \frac{9}{2} //$$

$$n=11$$

$$\text{Min. ptrs} = \frac{11+1}{2} = \frac{12}{2} = 6 //$$

$$\text{Min Keys} = \left\lceil \frac{11+1}{2} \right\rceil - 1 = \frac{12}{2} - 1 = 6 - 1 = 5 //$$

(ii) leaf node

$$n=10 \quad \text{Min. pbs} = \frac{n+1}{2} = \frac{10+1}{2} = \frac{11}{2}$$

$$\text{Min Keys} = \frac{n+1}{2} = \frac{10+1}{2} = \frac{11}{2}$$

$$n=11 \quad \text{Min Pbs} = \frac{n+1}{2} = \frac{11+1}{2} = \frac{12}{2} = 6$$

$$\text{Min keys} = \frac{n+1}{2} = \frac{11+1}{2} = \frac{12}{2} = 6$$

4.3.6

4 bit sequences

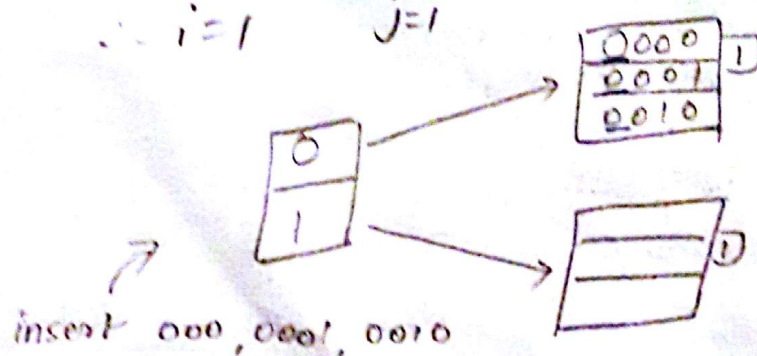
blocks hold 3 records

starts with 0 and 1

a) Insert 0000, ..., 1111 method of hashing
extensible :

starts with 0 and 1

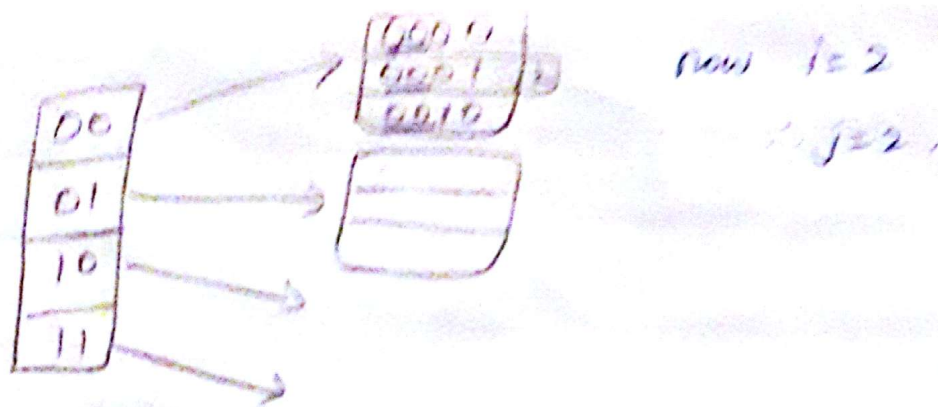
$\therefore i=1 \quad j=1$



Consider the
first 'i' bits
from the
records

It overflows for 0010

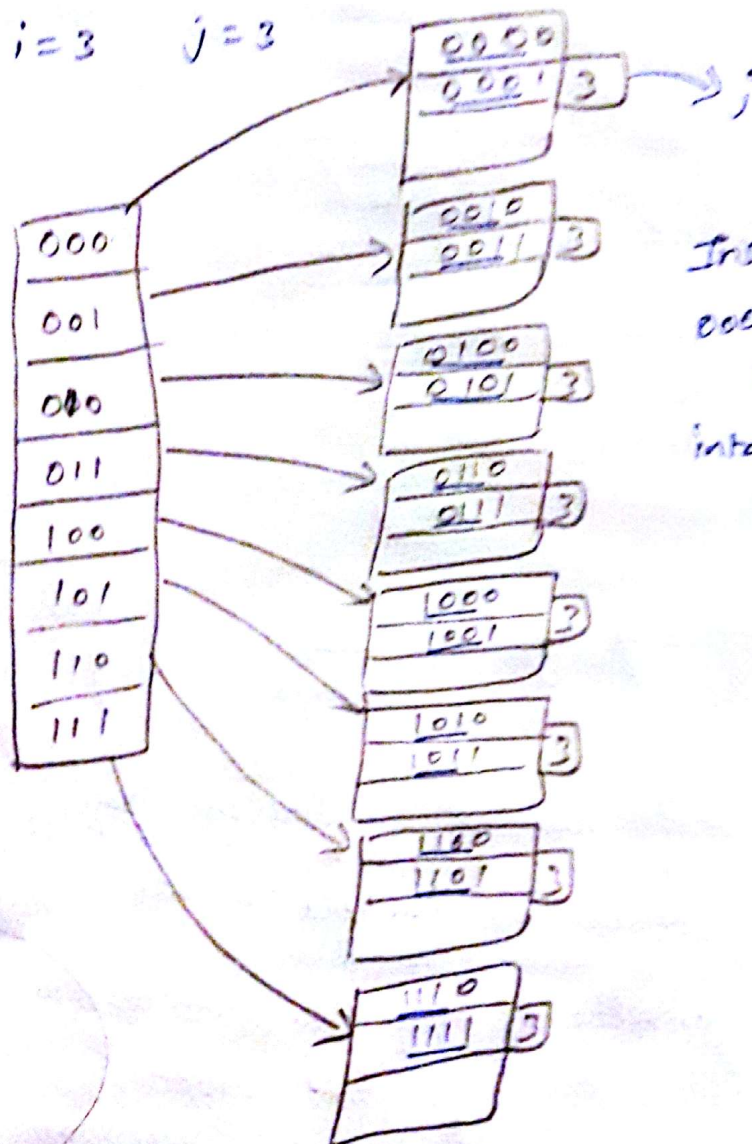
\therefore increase the bits of 'i'



* it again overflows for 0011

\therefore increase the bits for i

$\therefore i=3 \quad j=3$

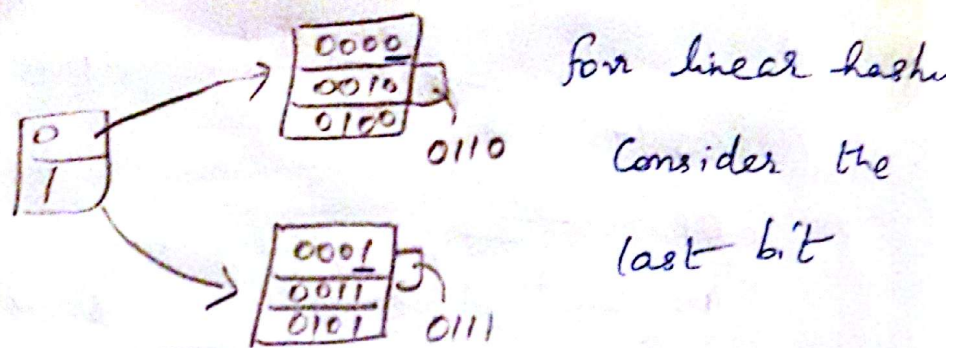


b) Linear hashing:

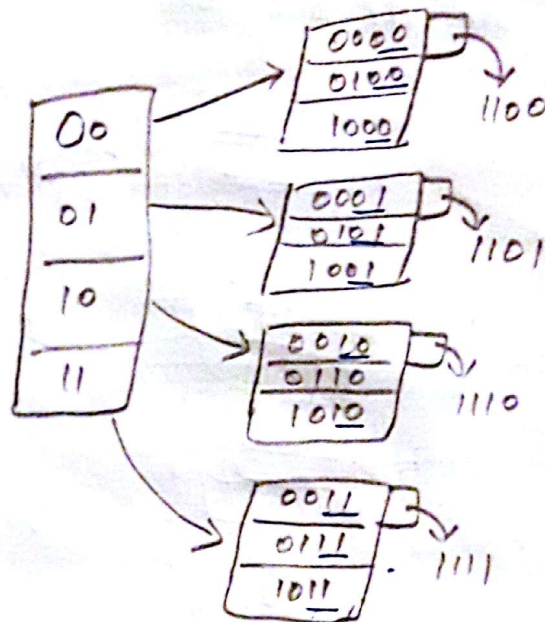
threshold = 100%.

$\alpha = 100\%$.

Inserts from 0000 ... 1111.



overflow occurs when we try to insert 1000
therefore increase the bits of n



$$\text{average occupancy} = \frac{\gamma}{n \times \gamma'}$$

γ = total number of search keys

n = number of buckets in use

γ' = number of keys that can be stored in one block

$$\gamma = 16$$

$$n = 4$$

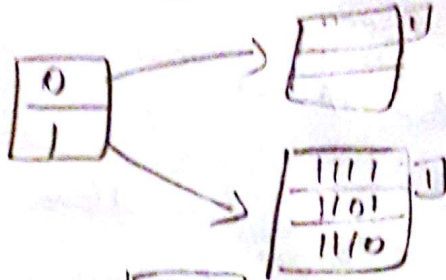
$$\gamma' = 4$$

$$\therefore = \frac{16}{4 \times 4} = \frac{16}{16} = 1.33 > 100\%$$

Insert 1111 ... 0000

Extensible Hashing

$i=1$ $j=1$

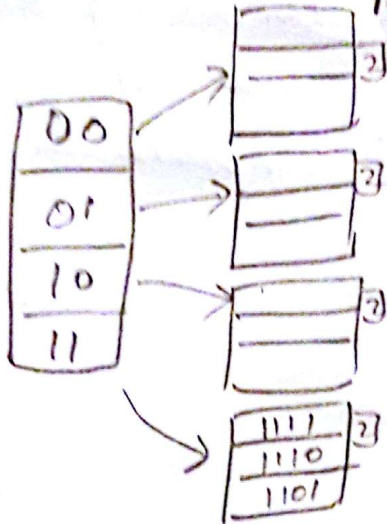


overflow occurs

when we try to

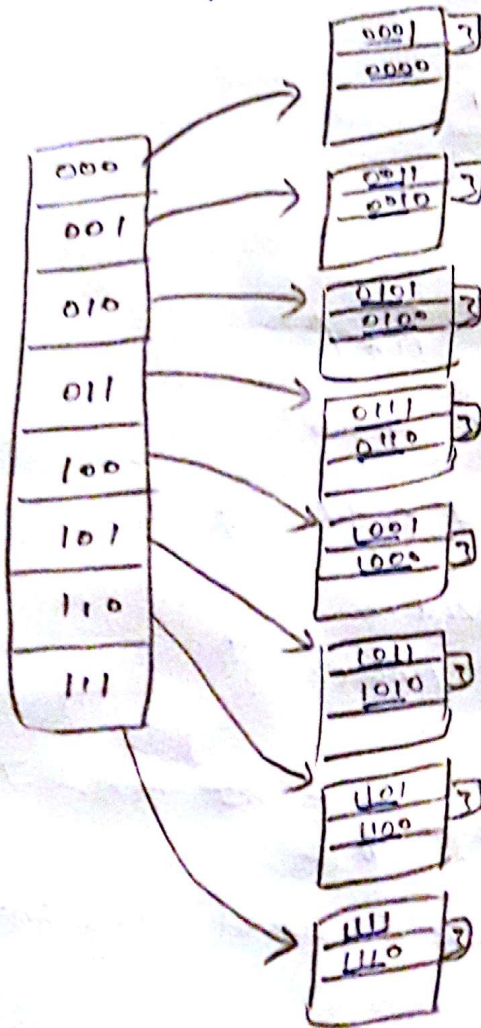
insert ~~1001~~ 1100

therefor increases the number of bits



again overflow occur when we try to insert 1100

\therefore increases the i again



$i=3$ $j=3$

without overflow

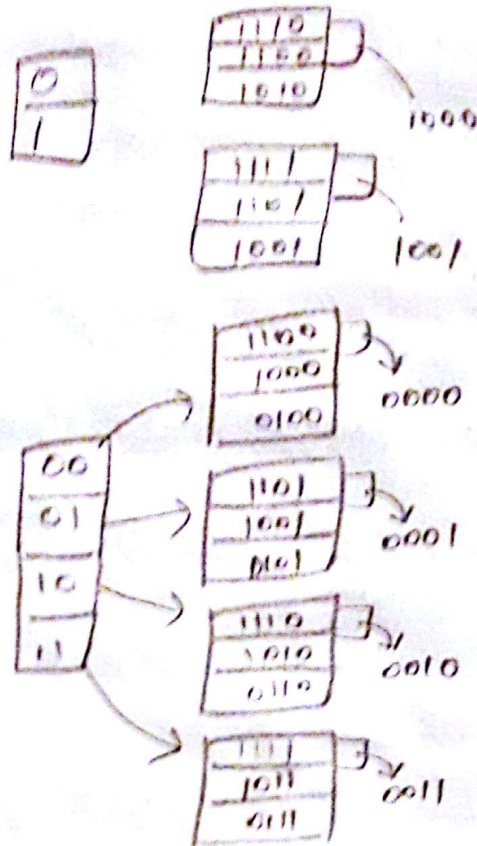
1111 ... 0000 has

been inserted.

d) 1111 ... 0000

linear hashing

threshold $\gamma = 75\%$.



overflow occurs
when we try to insert
~~1000~~ 0111

\therefore increase the bits

from above case

$$\text{average occupancy} = \frac{\gamma}{n \times \gamma}$$

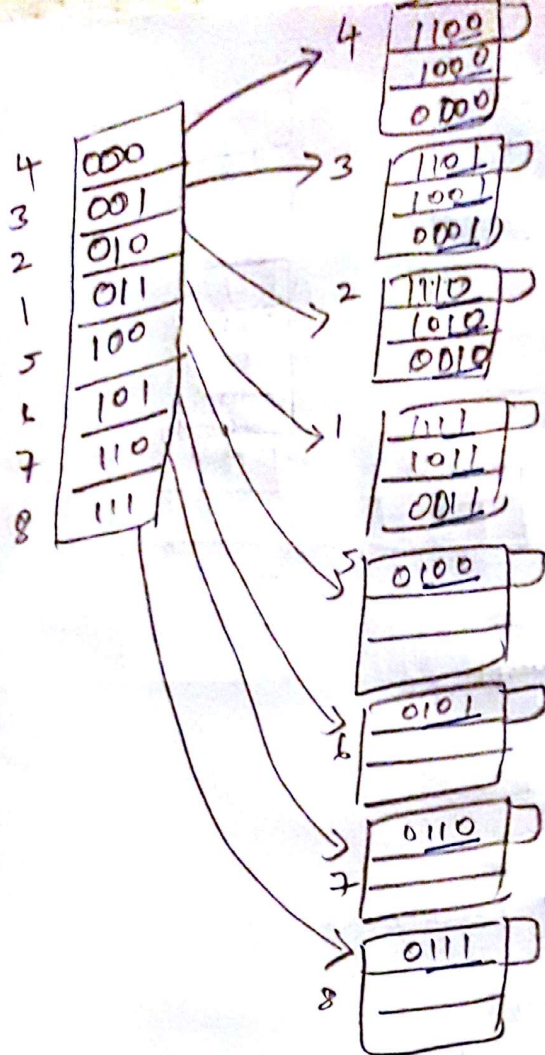
γ = total no of keys = 16

n = number of buckets in use = 4

γ = keys can be stored in
1 block = 4

$$= \frac{16}{4 \times 3} = \frac{16}{12} = 1.33 > 75\%$$

Since the threshold level went above
75%.



$$\therefore \text{average occupancy} = \frac{r}{n \times r_1}$$

$r = \text{total keys} = 16$

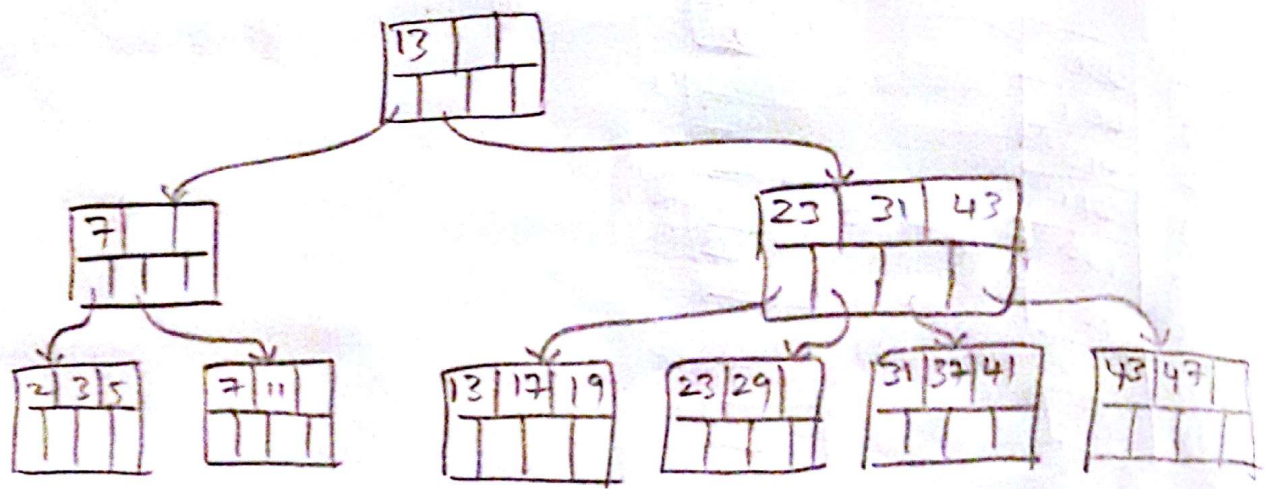
$n = \text{current no of buckets in use} = 8$

$r_1 = \text{Max no of keys in a bucket} = 3$

$$\therefore = \frac{16}{24} = 0.66 < 0.75$$

Ex: 4.3.5

Describe the changes for operations that modify the tree.



a) lookup the record with key 41

$13 \rightarrow 31 \rightarrow 41$

b) lookup the record key 40

$13 \rightarrow 31 \rightarrow X$

record not found.

c) Lookup all records in range 20 to 30

(i) 23

(ii) 29 $13 \rightarrow 23, 13 \rightarrow 31 \rightarrow 23$

$13 \rightarrow 23 \rightarrow 29, 13 \rightarrow 31 \rightarrow 29$

d) look all key less than 30

(i) 2 $13 \rightarrow 7 \rightarrow 2$

(ii) 3 $13 \rightarrow 7 \rightarrow 3$

(iii) 5 $13 \rightarrow 7 \rightarrow 5$

(iv) 7 $13 \rightarrow 7$

(v) 11 $13 \rightarrow 7 \rightarrow 11$

(vi) 13 13

(vii) 17 $13 \rightarrow 23 \rightarrow 17$

(viii) 19 $13 \rightarrow 23 \rightarrow 19$

(ix) 23 $13 \rightarrow 23, 13 \rightarrow 31 \rightarrow 23$

(x) 29 $13 \rightarrow 23 \rightarrow 29, 13 \rightarrow 31 \rightarrow 29$

e) look all records greater than 30

(i) 31

$13 \rightarrow 31, 13 \rightarrow 43 \rightarrow 31$

(ii) 37

$13 \rightarrow 31 \rightarrow 37, 13 \rightarrow 43 \rightarrow 37$

(iii) 41

$13 \rightarrow 31 \rightarrow 41, 13 \rightarrow 43 \rightarrow 41$

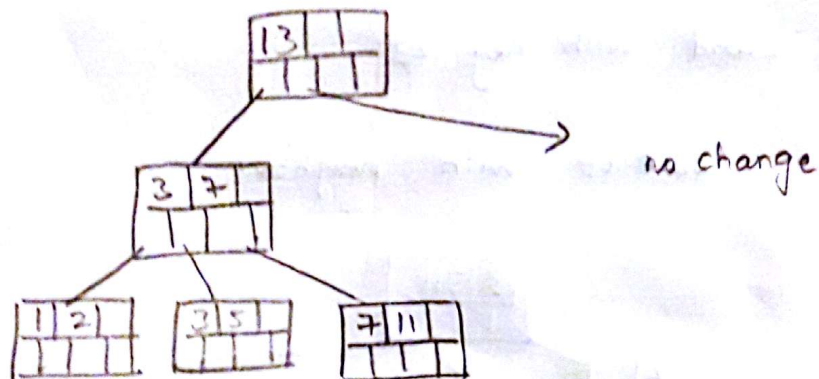
(iv) 43

$13 \rightarrow 43$

(v) 47

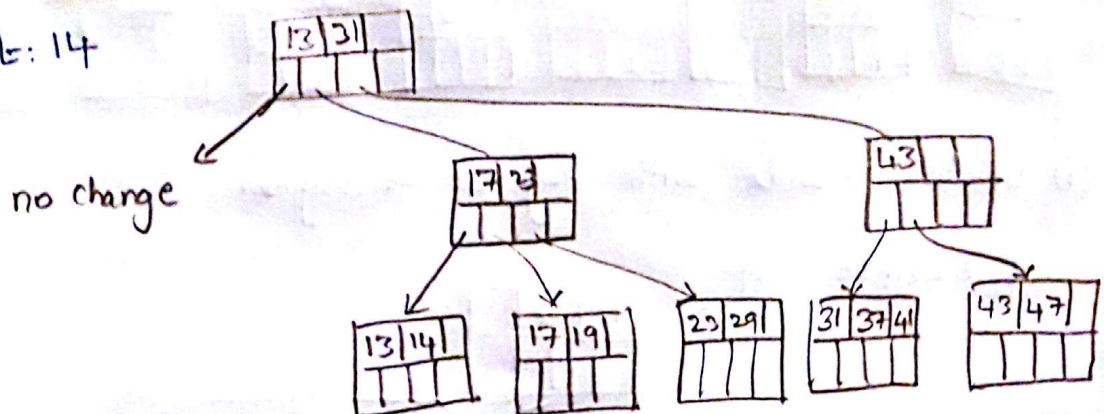
$13 \rightarrow 43 \rightarrow 47$

f) Insert record with key 1

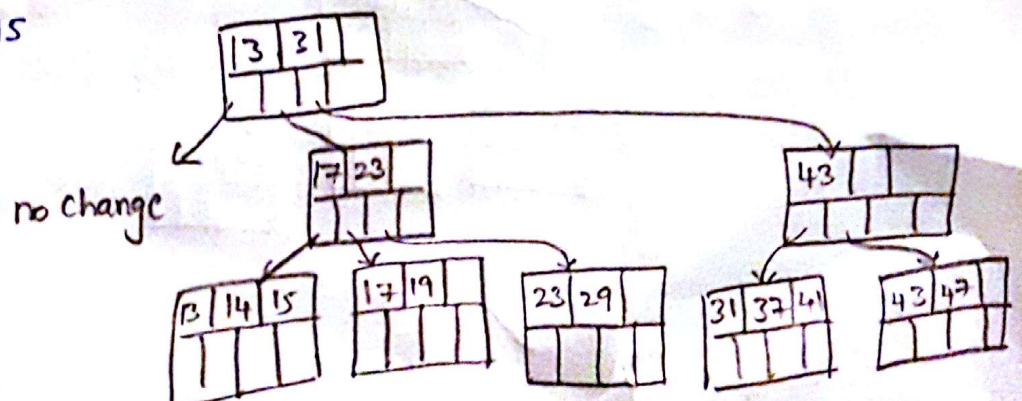


g) Insert records with key 14 through 16

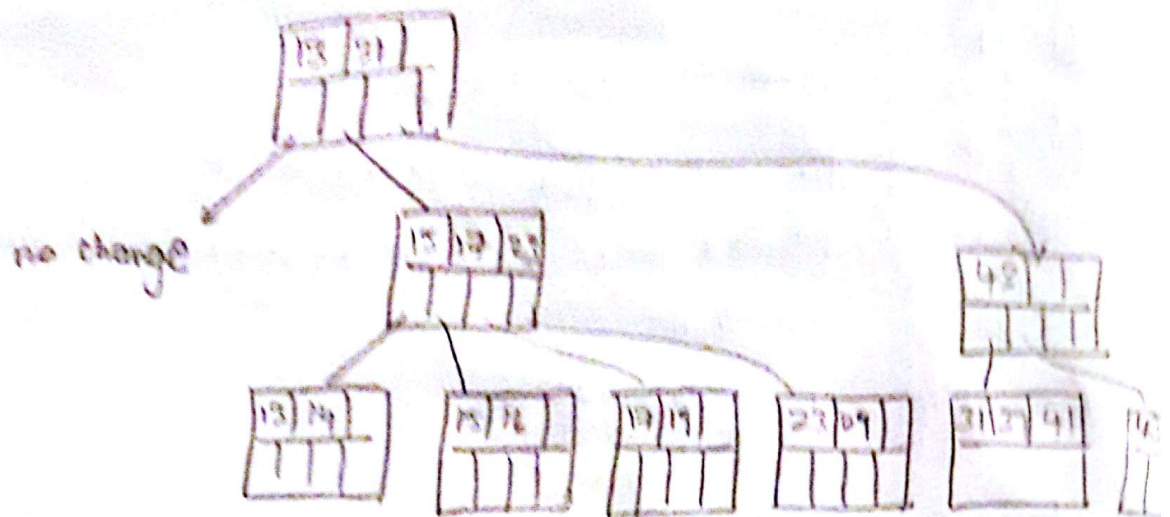
Insert: 14



Insert: 15

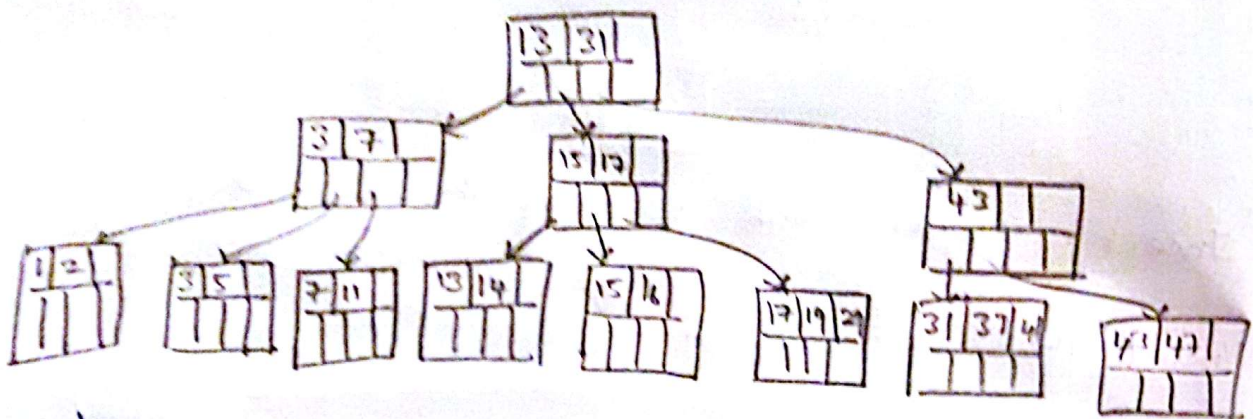


Insert: 16



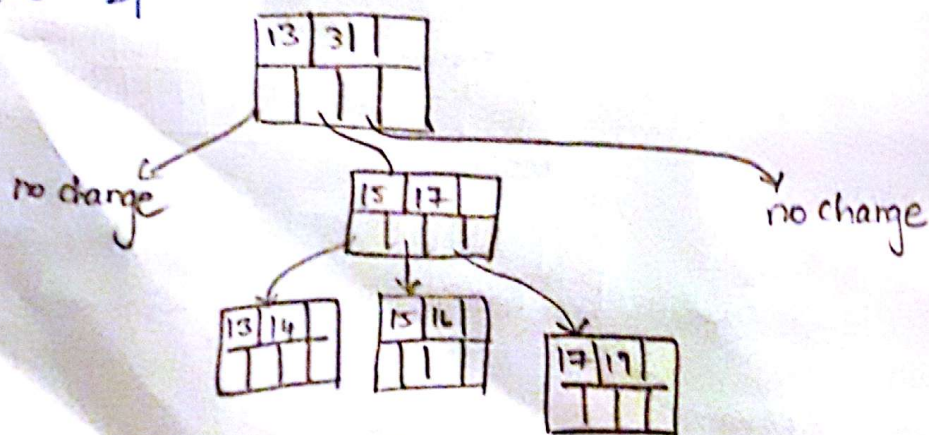
(b) Delete record with key 23

Coalesce with neighbor



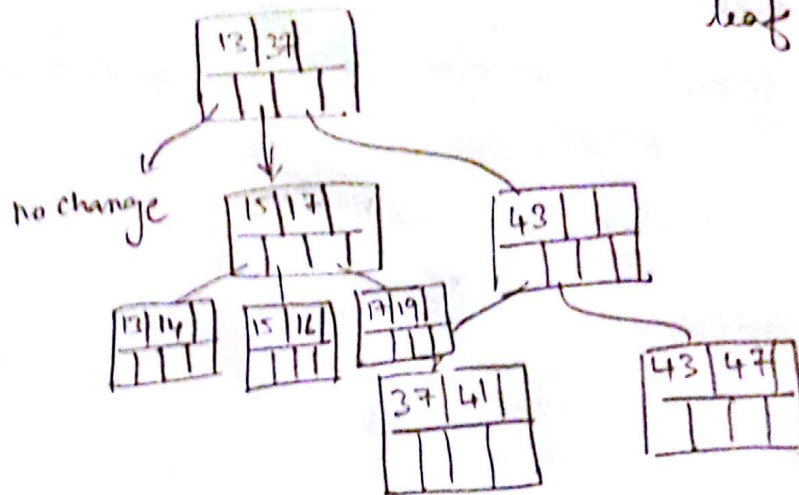
(i) Delete records with key 23 & higher

(i) Delete 29

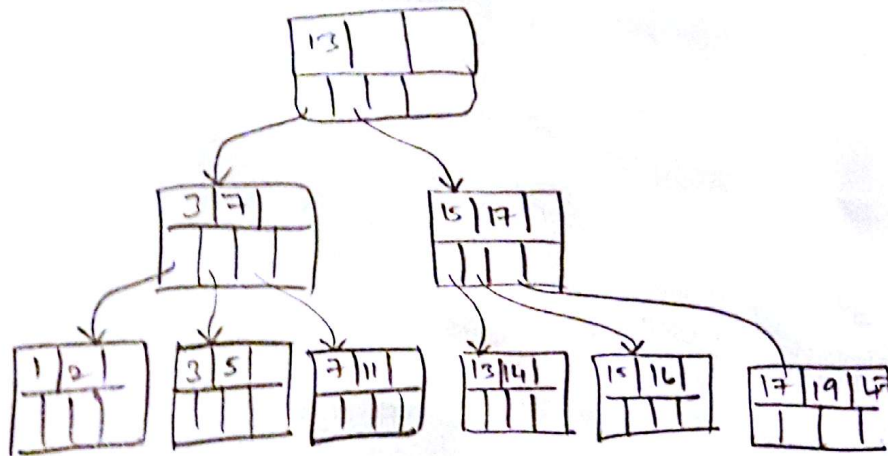


(i) Delete 21

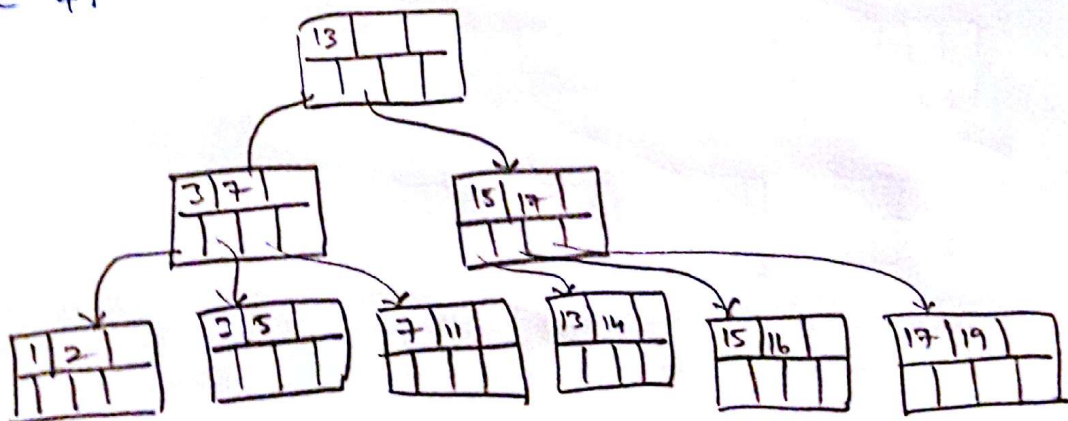
← 37 came to root from leaf



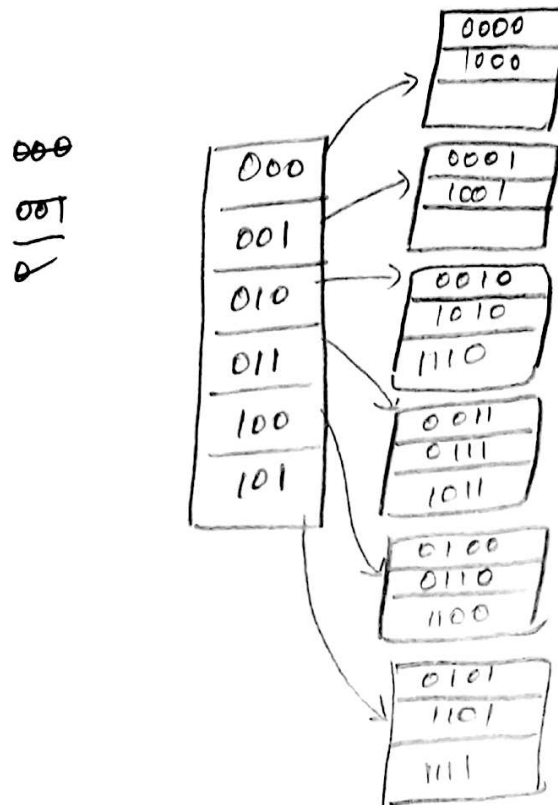
(iii) Delete 37, 41, 43



(iv) Delete 47



So we have to increase the number of buckets



$$\text{average occupancy} = \frac{x}{n \times r}$$

$$\text{total keys} = x = 16$$

$$n = \text{number of buckets} = 6$$

$$r = \text{keys stored} = 3$$

$$= \frac{16}{18} = 0.88 < 100\%$$