

## Lecture 3: August 19

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## 3.1 Lamport Clocks

The motivation for this is that we need some mechanism to tell us  $e \rightarrow f$ .

**Definition 3.1** A map  $C$  is a logical clock if  $c : E \rightarrow \mathbb{N}$  s.t.  $e \rightarrow f \Rightarrow c(e) < c(f)$

Notice in Definition 3.1 that  $C$  is a unidirectional material conditional, not bidirectional. Numbers should monotonically increase with a process. Every process should send a number when it receives an event - take  $\max(P_1, P_n)$  then add 1.

### 3.1.1 Lamport Clock Algorithm

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**Algorithm 1** Calculate a logical clock for a distributed system

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$c \leftarrow 0$	▷ <u>Initialization</u>
$c \leftarrow c + 1$	▷ <u>on internal event</u>
$c \leftarrow c + 1$	▷ <u>on send event</u>
Send $c$ with message	
$c \leftarrow \max(c, d) + 1$	▷ <u>on receive of message with <math>d</math> as clock</u>

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This algorithm will always return a logical clock which will monotonically increase with events in the system.

## 3.2 Vector Clocks

### 3.2.1 Motivation

Using the simple Lamport Clock, we cannot infer that  $e$  happened before  $f$ . That is because of the unidirectional implication in the definition. To get a complete ordering, can we come up with a map where

**Definition 3.2**  $V : E \rightarrow \mathbb{N}^n$  s.t.  $e \rightarrow f \Leftrightarrow V(e) < V(f)$

Notice in Definition 3.3 that we have if and only if. This means that if we have the values  $V(e)$  and  $V(f)$ , we can determine whether  $e$  happened before  $f$ .

**Definition 3.3**  $x, y$  are vectors in  $n$ -dimension

$$\forall i : x[i] \leq y[i] \wedge \exists j : x[j] < y[j]$$

As an example of how this comparison works,  $(2, 7, 9) < (3, 7, 9)$  and  $(2, 7, 9) \parallel (3, 5, 2)$ . To map natural numbers to poset, you need vectors. You cannot do it with a scalars. As an example of how this works,

### 3.2.2 Algorithm for Vector Clock

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**Algorithm 2** An algorithm to track vector clocks

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Pi:: V: array[1 ... n] of int
init: all 0's except V[i] = 1;
V[i] ← V[i] + 1                                ▷ for internal event
V[i] ← V[i] + 1                                ▷ for send event
Send V with message
V ← max(V, W)                                  ▷ for receive event with vector W
V[i] ← V[i] + 1

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**Proof:** The proof that this algorithm returns a consistent clock which gives a total order is taken in two parts.

**1<sup>st</sup> Part:**  $e \rightarrow f \Rightarrow V(e) < V(f)$

Proof

This is proven by the vector increasing along each edge in the system diagram.

**2<sup>nd</sup> Part:**  $e \not\rightarrow f \Rightarrow V(e) \not< V(f)$

Proof

$e \not\rightarrow f \Rightarrow$  there is no path from e to f  $\Rightarrow V(f)[i] < V(e)[i]$

e is on  $P_i \wedge$  f is on  $P_j \Rightarrow V(e) \not< V(f)$  ■ Explanation  $P_2$  does not know about  $P_1$ , so  $P_1$ 's values for  $P_1$  must be larger.

### 3.2.3 Directly Precedes

If we only care about direct messages, i.e., no transitivity, we can get away with only sending the component for the sending process. Each process still stores a full vector with an element for each process.