# CSCI 470: Single-Source Shortest Paths, Dijkstra's algorithm, Bellman-Ford algorithm

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#### Overview

1. Shortest-paths problems

2. Dijkstra's algorithm

3. Bellman-Ford algorithm

# Shortest-paths problems

# Shortest-paths problems

- In a **shortest-paths problem**, we are given a weighted, directed graph G = (V, E), with weight function  $w : E \to \mathbb{R}$  mapping edges to real-valued weights.
- The **weight** w(p) of path  $p = \langle v_0, v_1, ..., v_k \rangle$  is the sum of the weights of its constituent edges:

$$w(p) = \sum_{i=1}^{k} w(v_{i-1}, v_i).$$

#### Shortest-paths problems

• We define the **shortest-path weights**  $\delta(u,v)$  from u to v by

$$\delta(u,v) = \begin{cases} \min\{w(p) : u \leadsto v\} & \exists \text{ a path } u \text{ to } v, \\ \infty & \text{otherwise.} \end{cases}$$

- · A **shortest path** from vertex u to vertex v is then defined as any path p with weight  $w(p) = \delta(u, v)$ .
- · Edge weights can represent metrics other than distances, such as time, cost, penalties, loss, or any other quantity than accumulates linearly along a path and that we would want to minimize.

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# Shortest Paths: Figure

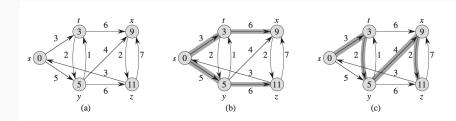
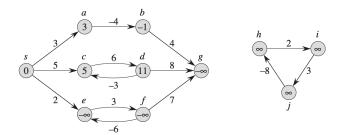


Figure 24.2 (a) A weighted, directed graph with shortest-path weights from source s. (b) The shaded edges form a shortest-paths tree rooted at the source s. (c) Another shortest-paths tree with the same root.

# Negative-weight edges

- If the graph G = (V, E) contains no negative-weight cycles reachable from the source s, then for all  $v \in V$ , the shortest-path weight  $\delta(s, v)$  remains well-defined, even if it has a negative value.
- If the graph contains a negative-weight cycle reachable from s, however, shortest-path weights are not well defined.
- · No path from s to a vertex on the cycle can be a shortest path we can always find a path with lower weight by following the proposed "shortest" path and then traversing the negative-weight cycle.
- If there is a negative-weight cycle on some path from s to v, we define  $\delta(s, v) = -\infty$ .

# Figure: negative-weight edges



**Figure 24.1** Negative edge weights in a directed graph. The shortest-path weight from source s appears within each vertex. Because vertices e and f form a negative-weight cycle reachable from s, they have shortest-path weights of  $-\infty$ . Because vertex g is reachable from a vertex whose shortestpath weight is  $-\infty$ , it, too, has a shortest-path weight of  $-\infty$ . Vertices such as h, i, and j are not reachable from s, and so their shortest-path weights are  $\infty$ , even though they lie on a negative-weight cycle.

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- How about 0-weight cycles?
- We can always remove a 0-weight cycle to produce an alternative path whose path weight will still be the same.

#### Cycles: II

- Therefore, without loss of generality we can assume that when we are finding shortest paths, they have no cycles, i.e., they are simple paths.
- Since any acyclic path in a graph G = (V, E) contains at most |V|distinct vertices, it also contains at most |V| - 1 edges. Thus, we can restrict our attention to shortest paths of at most |V| - 1edges.

#### Relaxation

#### INITIALIZE-SINGLE-SOURCE(G, s)

- 1 **for** each vertex  $v \in G.V$
- 2  $v.d = \infty$
- 3  $V.\pi = NIL$
- 4 s.d = 0
  - After initialization, we have  $v.\pi = \text{NIL}$  for all  $v \in V$ , s.d = 0, and  $v.d = \infty$  for  $v \in V \{s\}$ .

#### Relax

# RELAX(u, v, w)1 if v.d > u.d + w(u, v)2 v.d = u.d + w(u, v)3 $v.\pi = u$

# Relaxation: Figure

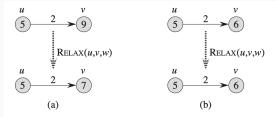


Figure 24.3 Relaxing an edge (u, v) with weight w(u, v) = 2. The shortest-path estimate of each vertex appears within the vertex. (a) Because v.d > u.d + w(u, v) prior to relaxation, the value of v.d decreases. (b) Here,  $v.d \le u.d + w(u, v)$  before relaxing the edge, and so the relaxation step leaves v.d unchanged.

Dijkstra's algorithm

# Dijkstra's algorithm

```
DIJKSTRA(G, w, s)

1 INITIALIZE-SINGLE-SOURCE(G, s)

2 S = \emptyset

3 Q = G.V

4 while Q \neq \emptyset

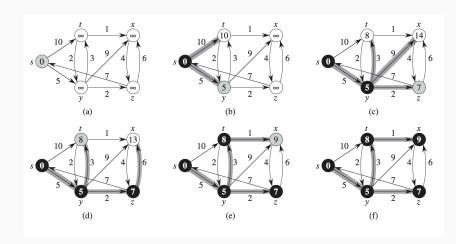
5 u = \text{EXTRACT-MIN}(Q)

6 S = S \cup \{u\}

7 for each vertex v \in G.Adj[u]

8 RELAX(u, v, w)
```

# Dijkstra: Figure



# Runtime analysis

- There are three priority-queue operations involved here: INSERT (line 3), EXTRACT-MIN (line 5), DECREASE-KEY (implicit in RELAX, which is called in line 8).
- The algorithm calls both INSERT and EXTRACT-MIN once per vertex.
- Because each vertex  $u \in V$  is added to set S exactly once, each edge in the adjacency list Adj[u] is examined in the **for** loop of lines 7-8 exactly once during the course of algorithm.
- Since the total number of edges in all the adjacency lists is |E|, this **for** loop iterates a total of |E| times, and thus DECREASE-KEY gets called at most |E| times overall.

### Runtime analysis: II

If we implement priorty-queue as an array, without a min-heap counterpart.

- · Consider a case in which we maintain the min-priority gueue by taking advantage of the vertices being numbered 1 to |V|.
- We simply store v.d in the vth entry of an array.
- Each Insert and Decrease-Key operation takes O(1) time, and each Extract-Min takes O(V) time.
- This amounts to  $O(V^2 + E) = O(V^2)$ .

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#### Runtime analysis: III

If we implement priorty-queue with a binary min-heap.

- The time to build the binary min-heap is O(V).
- Each EXTRACT-MIN takes  $O(\lg(V))$  time, and there are |V| such operations.
- Each Decrease-Key takes  $O(\lg(V))$  time, and there are |E| such operations.
- · The total running time is therefore  $O(V \lg V + E \lg V) = O((V + E) \lg V).$

Bellman-Ford algorithm

# Bellman-Ford algorithm

- The **Bellman-Ford algorithm** solves the single-source shortest-paths problem in the general case in which edge weights may be negative.
- Given a weighted, directed graph G = (V, E) with source s and weight function  $w: E \to \mathbb{R}$ , the Bellman-Ford algorithm returns a boolean value indicating whether or not there is a negative-weight cycle that is reachable from the source.
- If there is such a cycle, the algorithm indicates that no solution exists. If there is no such cycle, the algorithm produces the shortest paths and their weights.
- The algorithm relaxes edges, progressively decreasing an estimate v.d on the weight of a shortest path from the source s to each vertex  $v \in V$  until it achieves the actual shortest-path weight  $\delta(s, v)$ .

# Bellman-Ford algorithm: pseudocode

```
BELLMAN-FORD(G, w, s)

1 INITIALIZE-SINGLE-SOURCE(G, s)

2 for i = 1 to |G.V| - 1

3 for each edge (u, v) \in G.E

4 RELAX(u, v, w)

5 for each edge (u, v) \in G.E

6 if v.d > u.d + w(u, v)

7 return FALSE

8 return TRUE
```

#### Bellman-Ford: illustration

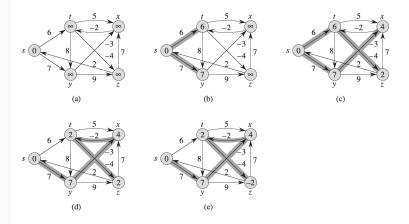


Figure 24.4 The execution of the Bellman-Ford algorithm. The source is vertex s. The d values appear within the vertices, and shaded edges indicate predecessor values: if edge (u, v) is shaded, then  $v.\pi = u$ . In this particular example, each pass relaxes the edges in the order (t,x),(t,y),(t,z),(x,t),(y,x),(y,z),(z,x),(z,s),(s,t),(s,y) (a) The situation just before the first pass over the edges. (b)-(e) The situation after each successive pass over the edges. The dand  $\pi$  values in part (e) are the final values. The Bellman-Ford algorithm returns TRUE in this example.

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#### Bellman-Ford runtime

• The Bellman-Ford algorithm runs in time O(VE), since the initialization in line 1 takes  $\Theta(V)$  time, each of the |V|-1 passes over the edges in lines 2-4 takes  $\Theta(E)$  time, and the **for** loop of lines 5-7 takes O(E) time.