

💬 SDialog: A Python Toolkit for End-to-End Agent Building, User Simulation, Dialog Generation, and Evaluation

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Abstract

We present SDialog, an MIT-licensed open-source Python toolkit that unifies dialog generation, evaluation and mechanistic interpretability into a single end-to-end framework for building and analyzing LLM-based conversational agents. Built around a standardized Dialog representation, SDialog provides: (1) persona-driven multi-agent simulation with composable orchestration for controlled, synthetic dialog generation, (2) comprehensive evaluation combining linguistic metrics, LLM-as-a-judge and functional correctness validators, (3) mechanistic interpretability tools for activation inspection and steering via feature ablation and induction, and (4) audio generation with full acoustic simulation including 3D room modeling and microphone effects. The toolkit integrates with all major LLM backends, enabling mixed-backend experiments under a unified API. By coupling generation, evaluation, and interpretability in a dialog-centric architecture, SDialog enables researchers to build, benchmark and understand conversational systems more systematically.¹

1 Introduction

The rapid advancement of large language models (LLMs) has enabled increasingly sophisticated conversational AI agents (OpenAI, 2023). Yet despite these gains, researchers lack an integrated and reproducible toolkit for building, controlling, evaluating, and analyzing dialog systems. Current workflows remain fragmented: dialog datasets use inconsistent formats; synthetic data generation tools offer limited control; evaluation practices vary widely across studies; and there is little support for understanding the internal mechanisms that govern

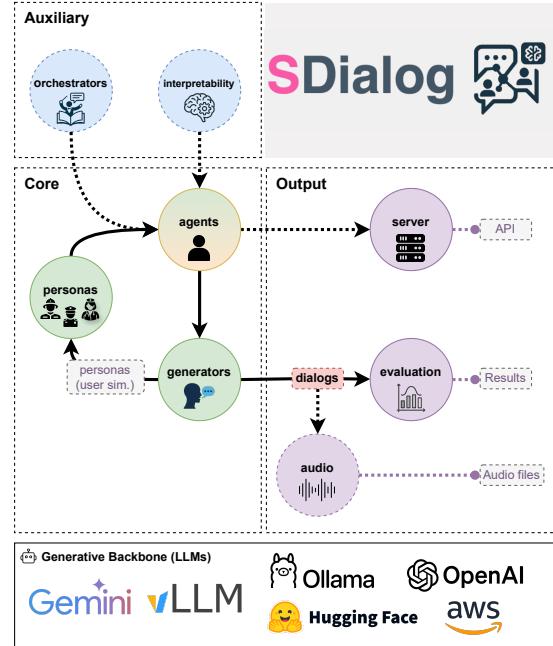


Figure 1: SDialog architecture overview showing eight modules organized into auxiliary, core, and output components.

model behavior. These gaps hinder progress toward developing robust, transparent, and reproducible conversational systems.

Early efforts such as persona-based generation (Zhang et al., 2018) and infrastructures like RASA (Bocklisch et al., 2017) for building production-level chatbots or ParlAI (Miller et al., 2017) for model training provide useful mechanisms for data handling and dialog management, yet they offer limited support for fine-grained LLM-based dialog orchestration or behavior analysis. More recent multi-agent frameworks such as AutoGen (Wu et al., 2024), AutoGen Studio (Dibia et al., 2024) and smolagents (Roucher et al., 2025) enable dynamic synthetic data creation, but their conversational autonomy often introduces nondeterminism,

¹Github: <https://github.com/idiap/sdialog>

Demo video: https://youtu.be/oG_jJuU255I

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making it difficult to run controlled experiments or reproduce outcomes. Overall, existing tools focus primarily on data creation while lacking comprehensive evaluation capabilities and mechanisms for interrogating or steering internal model behavior (Caldarini et al., 2022; Singh and Namin, 2025).

These limitations extend to evaluation practices. LLM-based evaluation methods like G-EVAL (Liu et al., 2023) and ChatEval (Chan et al., 2024) better align with human judgments (Li et al., 2025), yet they remain output-focused and provide no insight into why a dialog agent behaves as it does. As a result, evaluation remains decoupled from model introspection, limiting the development of more interpretable and controllable dialog systems.

Meanwhile, advances in mechanistic interpretability (MI) have demonstrated the potential to analyze and influence LLM behavior (Zou et al., 2023a; Arditì et al., 2024a). However, these techniques remain largely disconnected from dialog-centric workflows. Integrating MI into dialog tooling is essential: the ability to inspect internal activations, manipulate high-level behavioral attributes, or enforce desired conversational traits could substantially improve controllability, evaluation fidelity, and system transparency. Yet, to the best of our knowledge, TransformerLens (Nanda and Bloom, 2022) and other MI libraries are not designed around dialogs.

To address these challenges, we introduce SDialog, a toolkit that unifies these fragmented workflows into a single, coherent system articulated around the Dialog class (§2), while providing an integrated platform for synthetic dialog generation, comprehensive evaluation, user simulation and mechanistic interpretability (§3).

2 A Dialog –Centric Architecture

As illustrated in Figure 1, SDialog’s architecture is organized around a central Dialog object (§2), which serves as the common representation connecting modules for persona-driven generation, orchestration, evaluation, mechanistic interpretability and audio generation (§3). This structure enables a seamless pipeline: agents create Dialogs under the guidance of orchestrators, evaluation tools then assess their quality, and interpretability hooks inspect the model behavior that produced them.

In this context, the Dialog object as its central abstraction. Dialogs are rich objects containing an ordered list of turn instances (speaker and text), op-

tional event objects for internal actions (thinking, tool calls, orchestration) and comprehensive metadata for reproducibility (version, timestamp, model, seed, context, personas, lineage tracking, etc.), that can be created, loaded, transformed, saved and evaluated.²

This dialog-centric design enables seamless workflows from generation to evaluation with full provenance. Humans or persona-driven agents generate Dialog objects. This architecture unifies previously disconnected components of the dialog research ecosystem, accelerating progress toward transparent and controllable conversational systems.

Multi-Backend Support. To ensure broad applicability, SDialog abstracts LLM interactions through a unified configuration layer. This supports major backends, including OpenAI, vLLM, HuggingFace Transformers, Ollama, Google Gemini and AWS Bedrock, allowing any component such as agent, generator or evaluator, to use different models with fine-grained control while maintaining a consistent workflow.

3 Main Modules

3.1 `personas` Module

This module defines structured personas that drive role-play for user simulation and synthetic dialog generation. Personas are Python classes inheriting from BasePersona, which supports attribute introspection, JSON serialization, prompt generation, cloning with lineage tracking, and file I/O. SDialog provides a generic Persona and 30+ specialized classes (e.g., Customer, SupportAgent, Teacher, Student, Nurse, etc.). Users can create custom personas by subclassing BasePersona and declaring domain-specific typed fields. An example support agent persona (class SupportAgent) is shown in §A.2.

3.2 `agents` Module

This module contains classes for LLM-backed conversational actors. The Agent class encapsulates a persona together with conversation memory, optional function-calling tools, orchestration pipelines, and interpretability hooks. It supports configurable first utterances, a "thinking mode" for capturing hidden reasoning, and pre/post-processing hooks for text normalization.

²An example dialog JSON object can be found [here](#).

A core capability is dialogue generation: calling `agent_a.dialog_with(agent_b)` produces a complete `Dialog` object (see §A.4 for a concrete example). Generated dialogues serve two primary purposes: (1) evaluating conversational systems by analyzing agent responses and tool usage, and (2) creating synthetic dialogue datasets for model training. Agents can also be served as OpenAI-compatible REST endpoints for live interaction (implementation example in §A.2), or wrapped around existing OpenAI-compatible APIs to proxy external systems for evaluation with simulated users.

3.3 `orchestrators` Module

Orchestrators dynamically control agent behavior by monitoring dialog state and injecting instructions when specific events occur or constraints are satisfied. Instructions can be ephemeral (one-time) or persistent (multi-turn). Built-in orchestrators include: trigger-based instruction injection, conversation length constraints, probabilistic opinion revision, semantic response suggestions, and deterministic scripted sequences. Multiple orchestrators can be composed via the pipe operator, as in the following example:

```

1 from sdialog.orchestrators import
2     LengthOrchestrator,
3     SimpleReflexOrchestrator
4 # Instantiate orchestrators to:
5 # 1. Keep dialog within 8-12 turns
6 len_orch = LengthOrchestrator(min=8, max=12)
7 # 2. Inject instructions on conditions
8 reflex_orch = SimpleReflexOrchestrator(
9     condition=lambda utt: "confused" in utt,
10    instruction="Be brief; add an example."
11 )
12 # Compose orchestrators with the agent
13 agent = agent | len_orch | reflex_orch

```

Custom orchestrators can be easily created by inheriting from `BaseOrchestrator`.

3.4 `generators` Module

This module provides a unified, controllable pipeline for creating and transforming conversational data with concrete, easy-to-use classes. At the attribute level, `PersonaGenerator` and `ContextGenerator` build structured personas and contexts using hybrid rules (ranges, files, callables) combined with LLM guidance to balance determinism and diversity. In our use case evaluation, we use `PersonaGenerator` to create the simulated customer personas that interact with the support agent (see §A.3). At the dialog level, `DialogGenerator` creates multi-turn con-

versations from free-form instructions, while `PersonaDialogGenerator` orchestrates interactions between persona- or agent-based actors to ensure consistent characterization and tool usage. For transformation, `Paraphraser` rewrites existing dialogs (e.g., tone, style, simplification) while preserving speaker identity. All generators track provenance and offer reproducible I/O, enabling systematic dataset creation and fair model comparisons.

3.5 `evaluation` Module

This module provides comprehensive dialog assessment capabilities organized into three layers: individual dialog metrics, dataset-level evaluators, and cross-dataset comparison.

Dialog Metrics Dialog metrics assess individual conversations and return numerical scores or structured outputs. All metric classes inherit from `BaseDialogScore`, which users can extend to implement custom evaluation criteria. `SDialog` includes diverse built-in metrics organized into six categories:

- **Conversational Features:** Structural and interaction metrics—mean turn length, turn-taking balance, hesitation/question rates, lexical diversity (type–token ratio), back-channel frequency, filler density.
- **Readability Metrics:** Text complexity measures including Gunning Fog, Flesch Reading Ease, Coleman-Liau Index, Linsear Write, and Dale-Chall.
- **Embedding-Based Metrics:** Semantic similarity assessment using neural sentence encoders to compute distances between dialogs or against reference distributions in embedding space.
- **LLM-as-a-Judge:** Prompted LLM evaluators with Jinja2 templates for binary or scalar scoring; built-ins cover realism, refusal detection, persona adherence, optionally returning rationale.
- **Flow-Based Metrics:** Graph-theoretic coherence measures based on dialog flow patterns. These metrics construct probabilistic graphs from reference dialogs where nodes represent semantically similar utterance clusters and edges encode transition likelihoods (Burdissso et al., 2024).
- **Functional Correctness:** Validators for tool-using agents that verify correct behavior in function-calling scenarios, including checking whether tool invocations follow required sequences (e.g., authentication before data access).

A concrete example use of an LLM-as-Judge and a functional correctness metric are given in §A.5.

Dataset Evaluators Dataset evaluators aggregate individual dialog scores to assess entire collections. Built-in evaluators include: distributional statistics (mean, standard deviation, min, max, median), frequency counting (proportion of dialogs meeting a condition), kernel density divergence for distribution comparison, Fréchet distance between score or embedding distributions (Xiang et al., 2021), and precision-recall curves for embedding space analysis (Xiang et al., 2021). Users can define custom dataset evaluators by inheriting from `BaseDatasetScoreEvaluator`.

Dataset Comparator The Comparator orchestrates multi-evaluator, multi-dataset experiments. It accepts a list of evaluators, applies them to multiple named datasets, and generates comparative visualizations via `plot()`. This facilitates systematic benchmarking: e.g., comparing realism rates, readability scores, and flow coherence across different model sizes or agent designs. Complete usage is illustrated in §A.5.

3.6 `interpretability` Module

This module enables interpretability of LLM behaviors through activation capture and steering capabilities, designed specifically for dialog workflows.

Activation Inspection The Inspector class attaches PyTorch (Paszke et al., 2019) forward hooks to specified model layers, capturing per-token activations during generation, at turn-level and token-level. It supports monitoring of multiple target layers and provides utilities to influence and control model behaviors. Inspectors can be seamlessly attached to an agent via the pipe operator (§C.2):

```
1 inspector = Inspector('model.layers.15')
2 agent = agent | inspector
3 agent("How are you?") # I'm doing great!
4 agent("That's great!") # Thanks! I'm glad
5 # Access last-response first-token activ.
6 act = inspector[-1][0].act
```

Activation Steering The Inspector class supports activation manipulation to causally alter the agent responses. Given a target activation x , behaviors can be suppressed through feature ablation, implemented via the subtraction operator:

$$x' \leftarrow x - \hat{r}\hat{r}^\top x \quad (1)$$

where \hat{r} is a normalized steering vector. Thanks to SDialo, this operation naturally translates to (§C.4):

```
1 agent = agent | inspector_x - r # Ablate
```

For example, if r is a refusal direction, we can prevent the agent from refusing, after the above code:

```
1 print(agent("How to make a bomb ?"))
2 # "To make a bomb, you need (...)"
```

Refer to §C for a detailed case study of the *refusal direction* (Ardui et al., 2024b) using SDialo.

Conversely, behaviors can be induced through feature induction using the addition operator (§C.5):

$$x' \leftarrow x + r \quad (2)$$

Similarly, feature induction is expressed intuitively:

```
1 agent = agent | inspector_x + r # Induce
```

Custom steering functions can be defined by subclassing `DirectionSteerer`, and the seamless integration of the interpretability module into SDialo enables powerful combinations such as conditional steering when Inspectors are combined with Orchestrators.

3.7 `audio` Module

This module enables the conversion of dialog objects into synthetic audio datasets, facilitating the generation of realistic spoken dialog corpora for training and evaluation of speech-based systems with simulated physical environment. The conversion process operates through Text-to-Speech (TTS) synthesis followed by acoustic simulation, as follows:

```
1 audio_dialog = dialog.to_audio(
2     perform_room_acoustics=True
3 )
```

Text-to-Speech (§B.1): The audio generation process is managed by the `AudioDialog` class, which extends the core `Dialog` data structure. The system utilizes a modular TTS architecture that supports multiple backends through a common

BaseTTS interface. Voice assignment can be automated via voice databases that map persona attributes, such as age, gender, and language to specific voices.

Acoustic Simulation SDialog can render dialogs within simulated 3D acoustic environments. This process is separated into two main stages: environment definition and audio rendering.

We start by defining a Room object (§B.2) for the scene’s geometry and acoustic properties by specifying dimensions and surface materials with corresponding absorption coefficients. SDialog provides procedural generators, which can create pre-configured layouts. Audio sources (speakers) and receivers (microphones) are then positioned at specific 3D coordinates within this room (§B.3).

The audio is rendered using a combination of two libraries. dScaper (Grünert et al., 2025) is used to organize all acoustic events (e.g., utterances, background noise) into a spatio-temporal timeline (§B.4). This timeline is then processed by pyroomacoustics (Scheibler et al., 2018), which simulates the sound propagation, modeling reflections via image source methods or ray tracing, and accounting for frequency-dependent air absorption. The sound quality of the recording devices is also simulated by applying a convolution with the impulse response of selected microphones (§B.5). Impulse response databases contains measurements from various physical microphones, enabling the simulation of their distinct frequency responses and characteristics.

4 Use Case Evaluation

We evaluate SDialog by illustrating its end-to-end workflow capabilities through a concrete call-center scenario that exercises the complete pipeline—agent construction, user simulation, dialog generation, and multi-metric evaluation. As an illustrative research question, we compare Qwen3 model sizes (0.6B, 1.7B, 8B, 14B) for their balance of functional correctness and linguistic accessibility. While simplified for clarity, the same workflow generalizes to comparing alternative agent designs (different prompts, tools, orchestrators) or evaluation criteria. The complete evaluation workflow with full implementation details is provided in §A.

The evaluation exercises four key capabilities: (1) rapid agent prototyping with personas and tools, (2) systematic persona variation through PersonaGenerator’s flexible attribute rules, (3)

Model	Case A (Verification Required)		Case B (No Verification)	
	Ask-Verify	Tools-OK	Ask-Verify	Tools-OK
qwen3:0.6b	0.82	0.01	0.63	0.09
qwen3:1.7b	0.33	0.00	0.18	0.00
qwen3:8b	0.97	0.83	0.38	0.82
qwen3:14b	1.00	0.56	0.06	0.93

Table 1: Functional correctness across Qwen3 sizes. Metrics show proportion of dialogs where agent asks for verification (Ask-Verify) and correctly follows target tool sequences (Tools-OK).

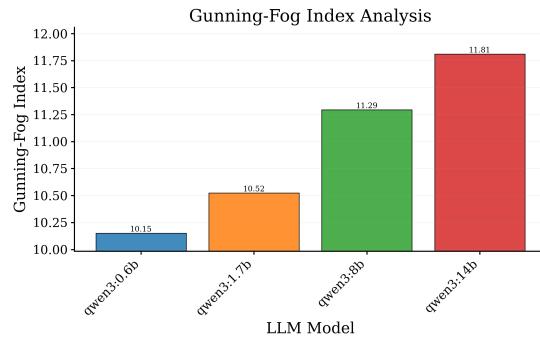


Figure 2: Average Gunning Fog scores increase with model size, indicating more complex language in larger models.

mixed-backend support for comparing local models while using more capable models for auxiliary tasks, and (4) multi-dimensional assessment through composable evaluators combining LLM judges, programmatic validators, and linguistic metrics.

4.1 Workflow Implementation

We demonstrate each workflow stage using SDialog’s components.

(1) Backend Configuration (§A.1): SDialog’s multi-backend support allows mixing model sources. We configured Ollama for local Qwen3 models (evaluation targets) while using OpenAI GPT-4.1 for auxiliary components (customer simulation and LLM-as-a-judge evaluators). This illustrates SDialog’s flexibility: practitioners can evaluate lightweight local models while leveraging more capable models for realistic user simulation and reliable evaluation.

(2) Agent Construction (§A.2): We designed a support agent with three tools to test conditional tool usage: `verify_account` (must be called before account modifications), `update_address` (requires prior verification), and `get_service_plans` (informational, no verification needed). This setup

enables us to measure whether models correctly understand when verification is required versus optional—a critical capability for real-world agents handling different request types. We created a reusable agent factory parameterized by LLM choice, ensuring fair comparison: all agents share identical personas, tools, and prompts, differing only in the underlying model.³

(3) User Simulation (§A.3): To test whether agents correctly apply conditional verification logic, we created two customer types that exercise different tool combinations. Case A customers request billing address updates—this requires calling `verify_account` followed by `update_address` in sequence. Case B customers ask about service plans—this should trigger `get_service_plans` without verification. For each case, `PersonaGenerator` produced 10 distinct customers with controlled politeness variation (rude/neutral/high) while automatically populating remaining attributes (name, age, demographics) via LLM. This illustrates SDialog’s ability to create systematic test scenarios with natural diversity without manual persona authoring.

(4) Dialog Generation (§A.4): For each model and customer combination, we generated 10 dialogs using `agent.talk_with(customer)`, yielding 200 dialogs per model size across two scenarios (Case A: verification required; Case B: no verification). SDialog handled multi-turn conversation, tool execution, memory management, and automatic JSON export for reproducibility, all with a single method call.⁴

(5) Multi-Metric Evaluation (§A.5): We combined complementary evaluation approaches—LLM-as-a-judge for conversational behavior (`LLMJudgeYesNo`: "Did agent ask for verification?"), programmatic validators for tool correctness (`ToolSequenceValidator`), and linguistic metrics (`GunningFogScore`). Comparator aggregated these heterogeneous evaluators and generated comparative visualizations with a single `.plot()` call, illustrating SDialog’s composable evaluation architecture.

³In more advanced configurations, this stage can use orchestrators (§3.3) with activation-level inspectors from the mechanistic interpretability module (§3.6) to steer and adapt agent behavior; here we intentionally keep the agent minimal for clarity.

⁴In case of synthetic dialog-generation use cases, this is the stage at which dialogs may be converted to audio via the audio module (§3.7).

4.2 Results and Analysis

Table 1 presents functional correctness results. In Case B (no verification needed), the 14B model performs best: lowest unnecessary verification requests (0.06) and highest correct tool usage (0.93). However, in Case A (verification required), while 14B achieves perfect verification requests (1.00), it only follows the correct tool sequence 56% of the time. The 8B model offers superior balance: high verification sensitivity (0.97) with substantially better tool sequencing (0.83).

Figure 2 reveals linguistic complexity increases systematically with model size: Gunning Fog scores range from 10.15 (0.6B) to 11.81 (14B), spanning nearly two grade levels. This variation occurs despite identical prompts, showing model size inherently affects communication style.

4.3 Discussion

This evaluation illustrates SDialog’s ability to surface actionable trade-offs through multidimensional assessment. For the call-center application, the 8B model emerges as the pragmatic choice: it combines strong task performance (0.97/0.83 on critical Case A) with moderate linguistic complexity (11.29 Fog index). While 14B excels on Case B, its weaker tool sequencing in Case A and higher complexity (11.81) make it less suitable when verification failures carry higher cost than occasional unnecessary verification.

Importantly, this end-to-end workflow was implemented in under 100 lines of code (see §A), showcasing SDialog’s efficiency for rapid prototyping and systematic model comparison. The toolkit’s composable evaluators (`FrequencyEvaluator`, `MeanEvaluator`), automatic visualization (`.plot()`), and mixed-backend support enabled comprehensive assessment without manual metric implementation or separate simulation infrastructure.

5 Conclusions

In this work, we presented SDialog, a unified toolkit that consolidates dialog generation, orchestration, evaluation and mechanistic interpretability into a single coherent framework. By grounding all components in a common Dialog representation, SDialog reduces fragmentation in current research workflows and enables controlled, reproducible experimentation with LLM-based conversational agents. SDialog opens the door to more transparent

and accountable dialog systems, while also facilitating rigorous scientific inquiry into how LLMs reason, respond, and interact.

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6 Limitations

While SDialog provides comprehensive capabilities, several limitations should be noted:

LLM Dependency: Generation quality and determinism depend on underlying LLM capabilities. Not all backends support all features (e.g., function calling, deterministic generation with seeds).

Computational Requirements: Large-scale dialog generation, embedding-based evaluation, and interpretability analysis can be computationally expensive, particularly when using large models or analyzing many layers.

Audio Realism: The realism of synthetic voices is limited by the chosen TTS engine. The framework currently lacks subjective evaluation through listening tests, validation of the generated audio’s impact on downstream tasks like ASR and validation of the acoustic simulation against real-world recordings.

Evaluation Validity: LLM-as-a-judge evaluators, while convenient, inherit biases from their underlying models and may not always align with human judgments. We recommend combining multiple evaluation approaches.

Interpretability Scope: Activation analysis is currently limited to PyTorch models from Hugging Face Transformers. API-based models (OpenAI, Anthropic) do not provide activation access.

7 Ethical Considerations

The SDialog toolkit, by automating and controlling synthetic dialogue generation, introduces a range of ethical considerations that warrant careful examination. While the tool is designed for research and development, its capabilities could be misused if not handled responsibly. We outline the primary ethical challenges below.

Automated Content Generation: The core capability of SDialog is the industrialization of dialogue creation. This feature could be harnessed to generate misinformation, propaganda or phishing scripts at an unprecedented scale, potentially influencing public opinion or perpetrating fraud. The orchestration module, which guides conversations toward specific goals, could be used to create highly manipulative and deceptive interaction patterns.

Impersonation and Voice Cloning: With its Text-to-Speech (TTS) capabilities, the toolkit can generate audio that mimics specific individuals. This raises significant concerns about impersonation. The ability to clone voices, even from short samples, presents a tangible threat to personal identity and security.

LLM Hallucinations: Language models are prone to hallucination, generating plausible but

⁵<https://eloquenceai.eu/>

⁶<https://jsalt2025.fit.vut.cz/play-your-part>

factualy incorrect information and could contain harmful inaccuracies, leading to dangerous outcomes if acted upon by end-users.

Bias in Personas and Data: The persona generation system, while designed for diversity, may inadvertently replicate or amplify societal biases present in the training data of the backend models. This can lead to the creation of stereotypical characters, reinforcing harmful social norms. Furthermore, there is a risk of data leakage, where personas might be generated based on patterns learned from private or sensitive information leaked in the original training datasets.

Biased Evaluation: The metrics used to judge dialogues can be biased. If they prioritize specific linguistic styles or cultural norms, our evaluation will unfairly favor models that align with those biases, creating a narrow and skewed standard for what makes a conversation "good".

Model Manipulation and Steering: The interpretability module allows for refusal steering, forcing a model to bypass its safety guardrails and respond to harmful requests. While useful for research, this feature is dual-use and could be exploited to generate dangerous content. Furthermore, repeated application of steering vectors risks model weight contamination, where the model's internal representations are permanently altered in unintended and potentially harmful ways.

Backend Dependencies: The framework relies on external, often proprietary, large language models (e.g., from OpenAI, Google, Anthropic). This introduces a dependency on third-party providers, creating challenges in transparency (due to closed-source models), data privacy (as user data is sent to external APIs) and accountability when issues arise.

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A Use Case Evaluation — Full Workflow

This section demonstrates the complete SDialo workflow end-to-end on a compact, realistic scenario aligned with our live system demonstration. We build a simple call-center support agent with three tools, simulate diverse customers, generate multi-turn dialogs, and evaluate behaviors to answer a concrete question: among Qwen3 model sizes (0.6B, 1.7B, 8B, 14B), which model best balances correct verification behavior and tool usage for this agent? The pipeline covers: (1) agent construction with persona and tools, (2) user simulation via persona generation, (3) dialog generation at scale across models, and (4) evaluation and analysis using both LLM-as-a-judge and programmatic validators.

A.1 Backend Configuration

Before building our agent, we configure the LLM backends. The Qwen3 models being evaluated will run locally via Ollama (the default backend), while all auxiliary components—customer simulators, persona generation, and LLM-as-a-judge evaluators—will use OpenAI GPT-4.1. This mixed-backend setup illustrates SDialo’s flexibility: practitioners can evaluate lightweight local models while leveraging more capable models for simulation and evaluation tasks.

```
1 import sdialo
2
3 # Set OpenAI GPT-4.1 as global default
4 sdialo.config.llm("openai:gpt-4.1")
```

With this configuration, all subsequent LLM-based components (persona generators, customer simulators, LLM judges) will use GPT-4.1 by default. In the following section, the agents being evaluated will override this setting by specifying their model explicitly (e.g., "qwen3:8b"), allowing us to compare different Qwen3 sizes while keeping auxiliary components constant.

A.2 Agent Construction

We now define a support agent by specifying its persona and attaching domain tools. The helper function below returns an agent parameterized by the chosen LLM, enabling a fair comparison across model sizes while holding all other components constant.

```
1 from sdialo.agents import Agent
2 from sdialo.personas import SupportAgent
3
4 # Defining three tools
5 def verify_account(customer_id):
6     ...
7
8 def update_address(customer_id, address):
9     ...
10
11 def get_service_plans():
12     ...
13
14 # Defining a persona for the agent
15 support_persona = SupportAgent(
16     name="Michael",
17     politeness="high",
18     rules="Make sure to always verify the
19         account when required"
20 )
21
22 # A function to get the agent given an LLM
23 def build_my_agent(llm_name) -> Agent:
24     agent = Agent(
25         persona=support_persona,
26         think=True,
27         tools=[verify_account,
28                update_address,
29                get_service_plans],
30         context="Call center office",
31         name="Support Agent",
32         model=llm_name
33     )
34     return agent
```

Agents can also be served as an OpenAI API-compatible HTTP server, enabling connection from any frontend (e.g., Open WebUI) for manual testing. In this example, we launch one instance of the support agent using Qwen3-8B on port 1234; clients can point their OpenAI SDK base URL to `http://localhost:1234/v1` and interact with the agent as with a standard OpenAI endpoint.

```
1 agent = build_my_agent("qwen3:8b")
2 agent.serve(port=1234)
```

A.3 Generating Simulated Customers

To systematically probe agent behavior, we create multiple simulated customers with controlled variation. The helper below takes a base customer persona and the desired number n , and produces diverse customer profiles. We explicitly vary politeness across three levels (rude, neutral, high), while PersonaGenerator automatically populates all remaining persona attributes (name, age, gender, urgency, etc.) via LLM, creating diversity while preserving the base issue and constraints.

```
1 from sdialo.personas import Customer
2 from sdialo.generators import
3     PersonaGenerator
4
5 def generate_customers(base_customer, n):
6     cgen = PersonaGenerator(base_customer)
```

```

6   cgen.set(
7     politeness=["rude", "neutral", "high"]
8   )
9   customers = []
10  for ix in range(n):
11    customer = cgen.generate()
12    customers.append(customer)
13  return customers

```

We consider two usage scenarios to reflect common support workflows. Case A requires customer identity verification before proceeding with a profile update (expected tool sequence: verify then update). Case B involves answering general plan questions where verification is unnecessary (expected tool sequence: get plans without prior verification):

```

1 # Case A:
2 # Customer that requires verification
3 base_customer_v = Customer(
4   issue="Need to update billing address"
5 )
6 # Case B:
7 # Customer not requiring verification
8 base_customer_no_v = Customer(
9   issue="Want to learn about service plans",
10  rules="Ask general questions about
11    services"
11 )

```

We instantiate 10 distinct customers for each case, each with fully specified attributes, providing a compact yet diverse testbed.

```

1 # Case A
2 customers_v = generate_customers(
3   base_customer_v, 10
4 )
5 # Case B
6 customers_no_v = generate_customers(
7   base_customer_no_v, 10
8 )

```

A.4 Dialog Generation

We now generate dialogs between the support agent and each simulated customer. The function below accepts the LLM name, a customer persona, the number of dialogs n , and an output directory. Each run creates a fresh agent instance for the target LLM and a customer agent for the given persona; dialogs are exported to JSON for downstream evaluation.

```

1 def generate_dialogs(llm_name, customer,
2                      n, save_folder="."):
3
4   agent = build_my_agent(llm_name)
5
6   customer = Agent(
7     persona=customer,
8     name="Customer"

```

```

9   )
10
11  for ix in range(n):
12    dialog = agent.talk_with(customer)
13    dialog.to_file(
14      f"{save_folder}/dialog_{ix}.json"
15    )

```

Our goal is to compare the same agent architecture across Qwen3 sizes (0.6B, 1.7B, 8B, 14B). For each model and each customer, we generate 10 dialogs. This yields 200 dialogs per model size (100 requiring verification and 100 not), providing enough coverage to estimate behavior frequencies reliably at this scale.

```

1 N = 10
2 llms = ["qwen3:0.6b", "qwen3:1.7b",
3          "qwen3:8b", "qwen3:14b"]
4
5 for llm in llms:
6   # Case A: requiring verification
7   for customer in customers_v:
8     generate_dialogs(llm, customer, N)
9   # Case B: not requiring verification
10  for customer in customers_no_v:
11    generate_dialogs(llm, customer, N)

```

We omit the `save_folder` parameter above for brevity; in practice, each scenario and model writes to a separate directory (e.g., `runs/<scenario>/<model>/`) to ease loading and bookkeeping.

A.5 Evaluation

We operationalize target behaviors with two complementary checks per scenario. In Case A (verification required), we expect: (a) the agent asks for verification; (b) it calls `verify_account` then `update_address` in order. In Case B (no verification), we expect: (a) the agent *does not* ask for verification; (b) it calls `get_service_plans` without prior `verify_account`. We assess the conversational act (a) via an LLM-as-a-judge prompt and the tool behavior (b) via programmatic tool-sequence validators.

```

1 from sdialog.evaluation import LLMJudgeYesNo
2 from sdialog.evaluation import
3   ToolSequenceValidator
4
5 # 1) Did the agent ask for verification?
6 judge_ask_v = LLMJudgeYesNo("Did the support
7   agent ask the customer for their
8   account ID to verify the account?")
9
10 # 2) Did the agent call the right tools?
11 # Case A: first verify then update
12 tool_seq_v = ToolSequenceValidator(
13   ["verify_account", "update_address"])
14
15 # Case B: do not verify and get plans

```

```

13     tool_seq_no_v = ToolSequenceValidator(
14         ["not:verify_account",
15          "get_service_plans"]
16     )

```

We then compute the proportion (frequency) of dialogs satisfying each criterion using FrequencyEvaluator:

```

1  from sdialog.evaluation import
   FrequencyEvaluator
2
3  freq_judge_ask_v = FrequencyEvaluator(
   judge_ask_v)
4  freq_tool_seq_v = FrequencyEvaluator(
   tool_seq_v)
5  freq_tool_seq_no_v = FrequencyEvaluator(
   tool_seq_no_v)

```

Finally, we aggregate and compare metrics across model sizes with Comparator. We report both scenarios independently to reveal trade-offs between verification sensitivity and efficient tool use.

```

1  from sdialog.evaluation import Comparator
2
3  # Case A: requiring verification
4  comparator_v = Comparator(
5      evaluators=[freq_judge_ask_v,
6                  freq_tool_seq_v]
7  )
8  # Case B: not requiring verification
9  comparator_no_v = Comparator(
10    evaluators=[freq_judge_ask_v,
11                freq_tool_seq_no_v]
12 )

```

We now load the generated dialogs per model and run the comparison for each scenario:

```

1  from sdialog import Dialog
2
3  # Results for case A
4  results_v = comparator_v({
5      "qwen3:0.6b": Dialog.from_folder(...),
6      "qwen3:1.7b": Dialog.from_folder(...),
7      "qwen3:8b": Dialog.from_folder(...),
8      "qwen3:14b": Dialog.from_folder(...)
9  })
10
11 # Results for case B
12 results_no_v = comparator_no_v({
13     "qwen3:0.6b": Dialog.from_folder(...),
14     "qwen3:1.7b": Dialog.from_folder(...),
15     "qwen3:8b": Dialog.from_folder(...),
16     "qwen3:14b": Dialog.from_folder(...)
17 })

```

In the above code, paths are omitted for brevity. In practice, each ... points to the folder containing the saved dialogs for that model and scenario (see §A.4); Dialog.from_folder() loads them into a list. Each comparator prints a Markdown table and returns a JSON summary. Table 1 reports the

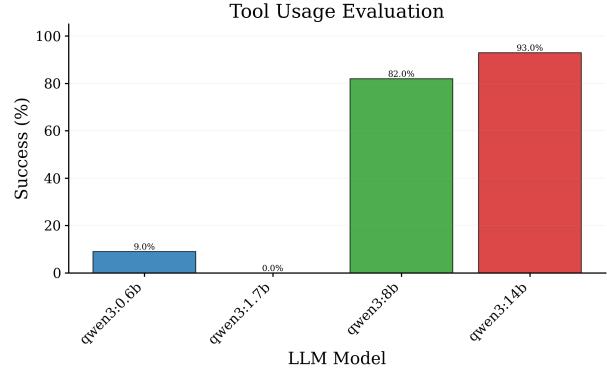


Figure 3: Plot generated after calling comparator_no_v.plot() for the tool sequence validator ("Tools-OK" in Table 1).

observed frequencies. Overall, in Case B (no verification), the largest model achieves the strongest behavior (lowest Ask-Verify, highest Tools-OK). In Case A (verification required), although the 14B model asks for verification in 100% of dialogs, it follows the correct tool sequence only 56% of the time. By contrast, the 8B model combines a high Ask-Verify rate (0.97) with substantially better tool sequencing (0.83). For this application, "qwen3:8b" offers the best balance of verification sensitivity and tool reliability. Importantly, unnecessary verification in Case B is a minor nuisance compared to failing to verify when required, reinforcing the 8B model as a pragmatic choice.

SDialog also allows visualizing results via .plot() for quick inspection. For example, to visualize metrics for Case B (no verification):

```
1  comparator_no_v.plot()
```

This generates one plot per evaluator—in this case, Figure 4 and Figure 3—corresponding to the Ask-Verify and Tools-OK columns of Table 1 for Case B.

Beyond functional correctness, an agent's linguistic style—how it communicates—is equally important for customer experience. To explore whether model size affects readability, we examine an orthogonal dimension: language complexity. We quantify this using the Gunning Fog index for the support agent's utterances across the four model sizes.

```

1  from sdialog.evaluation import
   GunningFogScore
2

```

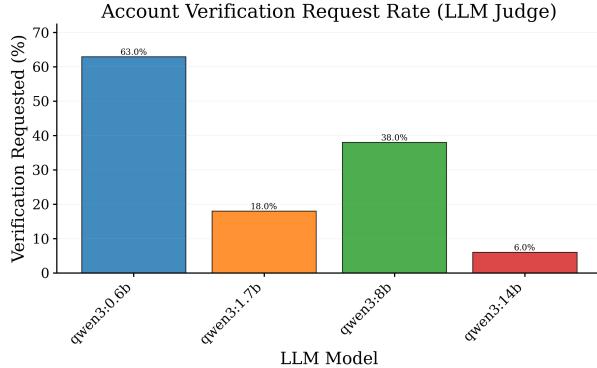


Figure 4: Plot generated after calling `comparator_no_v.plot()` for the LLM-as-a-judge evaluator ("Ask-Verify" in Table 1; lower is better in this scenario).

```

3 gun_fog = GunningFogScore(
4     speaker="Support Agent"
5 )
6 mean_gun_fog = MeanEvaluator(gun_fog)
7 comparator = Comparator(mean_gun_fog)
8 comparator({
9     "qwen3:0.6b": all_dialogs["qwen3:0.6b"],
10    "qwen3:1.7b": all_dialogs["qwen3:1.7b"],
11    "qwen3:8b": all_dialogs["qwen3:8b"],
12    "qwen3:14b": all_dialogs["qwen3:14b"]
13 })
14 comparator.plot()

```

In the example above, for simplicity, we assume `all_dialogs` contains all dialogs per LLM (the union of Cases A and B). We then compute the mean Gunning Fog score per model using `MeanEvaluator` and visualize the results. This stylistic analysis complements task-oriented metrics by revealing potential shifts in linguistic complexity across model sizes.

Figure 2 reveals a clear upward trend: the Gunning Fog index increases from 10.15 (0.6B) to 10.52 (1.7B), 11.29 (8B), and 11.81 (14B)—spanning nearly two grade levels from high school sophomore to senior reading level. Notably, this variation occurs with identical agent (i.e. identical underlying input prompt), showing that model size inherently affects communication style. Combined with the functional metrics from Table 1, practitioners can now make informed trade-offs: the 8B model balances strong task performance with moderate complexity, while the 14B model achieves the best functional results only on case B and produces slightly more complex language. This example illustrates how SDi-*alog* enables multi-dimensional evaluation—task correctness, tool usage, and linguistic accessibility—providing actionable insights for model selec-

tion tailored to specific deployment contexts and target audiences.

B A Deep Dive into the `sdialog.audio` Module

This appendix offers a technical guide to the `sdialog.audio` module for researchers and developers. It covers the complete audio generation pipeline, from creating virtual acoustic environments to simulating recording hardware, detailing each feature's purpose, limitations, and use cases.

B.1 Text-To-Speech Generation with Persona Adherence

At the core of the audio generation pipeline is the Text-To-Speech (TTS) engine, responsible for converting each textual utterance into an audio waveform. SDialog's audio module is designed with a pluggable architecture for TTS backends, allowing users to select the most appropriate engine for their needs. This modularity is built upon the `BaseTTS` abstract class, which defines a standard interface for TTS operations. The library includes several ready-to-use implementations, such as `HuggingFaceTTS` for leveraging a wide variety of models from the Hugging Face Hub, as well as external engines like `KokoroTTS` and `IndexTTS`.

A key feature of the TTS pipeline is its ability to maintain persona consistency. The voice for each speaker is not chosen randomly, instead, it is selected based on the characteristics defined in their `sdialog.Persona` object. This process of persona adherence is managed by a `VoiceDatabase`, which catalogs available voices along with rich metadata, including gender, age and language.

When generating a dialogue, the pipeline queries the `VoiceDatabase` using the speaker's persona attributes. The database will search for a voice that matches these criteria. If an exact match for the age is not available, the system intelligently selects the voice with the closest age, ensuring the generated speech aligns as closely as possible with the persona's description. This mechanism is vital for creating believable and consistent character portrayals in synthetic dialogues.

The example below demonstrates how to configure the audio pipeline with a specific TTS engine (Kokoro) and a voice database from Hugging Face. The `to_audio` function orchestrates the entire process, matching speakers from the dialogue to appropriate voices in the database before synthesis:

```
1 # 1. Init TTS engine
2 tts_engine = @{\className{KokoroTTS}}@()
3
4 # 2. Init voice database from HF dataset
5 voice_db = @{\className{
6     HuggingfaceVoiceDatabase}}@(
7     "sdialog/voices-kokoro"
8 )
9 # 3. Generate the audio dialogue
10 to_audio(
11     dialog=my_dialog,
12     tts_engine=tts_engine,
13     voice_database=voice_db,
14     dir_audio="./outputs_audio"
15 )
```

This setup allows for large-scale, diverse audio data generation where the acoustic properties of the speakers remain consistent with their defined personas. Users can also create their own `LocalVoiceDatabase` to supply custom voice recordings and metadata for fine-grained control over voice casting.

B.2 The Room Object: The Foundation of Acoustic Scene

A Room is defined by its geometry and surface properties. The geometry is specified via a `Dimensions3D` object (width, length, height in meters), while surface properties are defined with `RoomMaterials`. These material choices are not merely descriptive; they are mapped to frequency-dependent absorption coefficients that directly control how much sound energy is absorbed versus reflected by the surfaces. This is a critical input for the `pyroomacoustics` engine, as it dictates the reverberation time (RT60) and overall sonic character of the space.

SDialog provides an extensive list of presets for materials, including `WallMaterial` (e.g., `BRICKWORK`, `PLASTERBOARD_ON_STUDS`), `FloorMaterial` (e.g., `CARPET_HAIRY`, `WOOD_1_CM_LINOLEUM`), and `CeilingMaterial` (e.g., `PLASTERBOARD`, `FIBRE_ABSORBER`).

For instance, to define a room with acoustically 'hard' surfaces for a more reverberant space, one can combine these presets as shown below.

```
1 # Define surface materials
2 materials = @{\className{RoomMaterials}}@(
3     @{\className{CeilingMaterial}}@. @{\op{
4         PLASTERBOARD }}@,
5     @{\className{WallMaterial}}@. @{\op{
6         BRICKWORK }}@,
7     @{\className{FloorMaterial}}@. @{\op{
8         FELT\_5MM }}@
9 )
10
11 # Define room dimensions
```

```

9  dims = @{}\className{Dimensions3D}@( 
10    width=5.0,
11    length=4.0,
12    height=3.0
13  )
14
15 _room = @{}\className{Room}@( 
16   dimensions=dims,
17   materials=materials
18 )

```

On the other hand, creating a room with less reverberation would involve selecting more acoustically absorbent materials, such as CARPET_HAIRY and FIBRE_ABSORBER.

Currently, the room model is limited to rectangular "shoebox" geometries; support for more complex shapes, such as L-shaped rooms, is on the development roadmap. Similarly, while furniture can be added as obstacles, its specific acoustic properties (e.g., a soft, absorbent couch vs. a hard, reflective table) are not yet modeled, representing another area for future enhancement.

B.3 Scene Composition: Procedural Generation and Manual Placement

Procedural generators programmatically create varied and plausible Room layouts, which is essential for generating large, diverse datasets for training robust machine learning models that can generalize to a wide range of unseen acoustic conditions. A generator, such as MedicalRoomGenerator or BasicRoomGenerator, is a factory that outputs a fully configured Room object, often including a plausible arrangement of furniture. This object is then passed to the subsequent stages of the pipeline for actor placement and audio rendering.

```

1 # Generate a plausible examination room
2 generator = @{}\className{
3   MedicalRoomGenerator}@@()
4 exam_room = generator.generate({
5   "room_type": @{}\className{RoomRole}@@{
6     \op{EXAMINATION}@
7   })

```

The output of this generator, a fully furnished examination room. To aid in designing and debugging scenes, any Room object can be visualized as a 2D top-down image using the `to_image()` method. An example output of this method is shown in Figure 5.

While generators create a complete starting scene, manual placement of actors is a key step for defining the dialogue's spatial dynamics. Actors (speakers) and additional furniture function

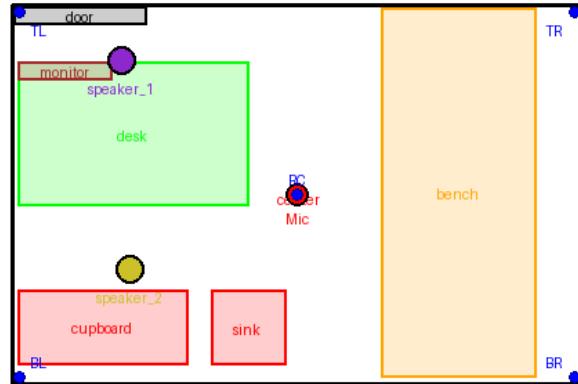


Figure 5: A procedurally generated room layout for an American-style hospital examination room.

as physical obstacles in the acoustic simulation, creating sound shadows and reflections.

There are multiple ways to position objects, providing a trade-off between explicit control and scalable randomization:

- **Absolute Positioning** (`place_speaker(..., position=Position3D(x,y,z))`) provides exact, deterministic placement, which is useful for replicating a specific, known setup.
- In contrast, **Semantic Positioning** (`place_speaker_around_furniture(...)`) offers a more abstract and powerful method. By specifying a furniture item, a side (e.g., front, back), and a maximum distance, one can generate plausible, randomized positions that respect the scene's logic and boundaries.

This is ideal for large-scale data generation where slight variations in position are desirable and create diversity in the data.

The following snippet demonstrates how to manually add a desk to the room and then place two speakers around it:

```

1 # Add a desk to an existing room
2 _r.add_furnitures({
3   "desk": Furniture(
4     name="desk",
5     x=1.0,
6     y=1.5,
7     width=1.2,
8     depth=0.7,
9     height=0.75
10   )
11 })
12
13 # Place Speaker 1:
14 # at an absolute position
15 _r.place_speaker(
16   speaker_name=Role.SPEAKER_1,
17   position=Position3D(
18     2.0, 3.0, 1.6
19   )
20 )

```

```

21
22 # Place Speaker 2:
23 # on the front of the desk
24 _r.place_speaker_around_furniture(
25     speaker_name=Role.SPEAKER_2,
26     furniture_name="desk",
27     side=SpeakerSide.FRONT,
28     max_distance=1.0
29 )

```

B.4 Timeline-based Event Generation

Before simulating room acoustics, the `sdialog.audio` pipeline constructs a precise spatio-temporal representation of all acoustic events. This transformation of individual audio clips into a synchronized timeline is handled by the `dScaper`, a library developed specifically for SDialo. It is based on `scaper` (Salamon et al., 2017), a well-known library for soundscape synthesis, and offers additional functionality for large-scale data generation, sound source positioning, and annotation. Internally, `dScaper` generates a JAMS (JSON Annotated Music Specification) file (Humphrey et al., 2014), a standardized format for annotating audio events. This file serves as a detailed blueprint of the acoustic scene, ensuring that events such as overlapping speech, background noise and foreground sounds are accurately scheduled and positioned before being rendered in the virtual room. The JAMS file can be converted to TextGrid and RTTM files that serve as ground-truth annotations for speech recognition and speaker diarization tasks. `dScaper` distinguishes among three types of events:

- **Dialogue utterances:** Each utterance from the dialogue is added as an event. Its start time and duration are used to place it on the timeline. The speaker’s role (e.g., SPEAKER_1) is used to associate the event with a specific spatial position, which is defined during the room setup.
- **Background audio:** A continuous background track (e.g., white noise, distant traffic) can be added over the entire duration of the timeline to simulate a constant ambient environment.
- **Foreground events:** Discrete, localized sounds (e.g., a cough, a door closing) can be placed at specific times and positions or randomly inserted by sampling from configurable probability distributions, adding another layer of realism to the acoustic scene.

Once the timeline is fully specified, `dScaper` generates separate tracks for each sound source (e.g., one track per speaker and one per ambient sound source). These isolated tracks, which now contain correctly timed audio and silence, serve as direct input to the `AcousticsSimulator`. This approach ensures that the subsequent room acoustics simulation accurately models how sounds from different locations and times interact within the simulated 3D space.

B.5 Acoustics Simulation & Acquisition

Once the clean speech for each dialogue turn is generated and ambient sounds are assembled, the next step is to place it within a realistic acoustic environment. This process, known as acoustic simulation, transforms the dry TTS output into audio that sounds as if it were recorded in a specific physical space, complete with reverberation, echoes and other spatial cues. SDialo encapsulates this functionality within its `AcousticsSimulator` module, which takes the source audio and the procedurally generated Room as inputs to render a spatially coherent scene. While the current implementation is tightly integrated with the `pyroomacoustics` library, the architecture is designed to be modular, allowing for other simulation backends to be integrated in the future.

pyroomacoustics The simulation of room acoustics and audio signal processing is handled by `pyroomacoustics`, a dedicated Python package that serves as the default engine for SDialo. Its selection was motivated by a balance of performance, realism and control. The library provides a robust implementation of the image-source method for modeling early reflections, which can be finely controlled via the `max_order` parameter. For scenarios demanding higher physical accuracy, it offers an optional ray-tracing engine. Furthermore, it also accurately models the frequency-dependent absorption of sound by room materials and the attenuation of high frequencies as they travel through the air, making it an good engine for generating realistic acoustic audios with controllability.

```

1  audio_pipeline.inference(
2      dialog,
3      environment={
4          "room": exam_room,
5          "kwargs_pyroom": {
6              "ray_tracing": True,
7              "air_absorption": True
8          },
9      }

```

The inference call accepts `kwargs_pyroom` to pass parameters directly to Pyroomacoustics, allowing for fine-grained control over the simulation. Key parameters include:

- `ray_tracing`: Enables a more accurate but computationally intensive ray tracing algorithm for simulating reflections.
- `air_absorption`: Models the frequency-dependent loss of sound energy as it travels through air.
- `max_order`: Sets the reflection order for the image-source method (the default algorithm if ray tracing is off).

Microphone Placement and Directivity The microphone defines the point-of-view from which the acoustic scene is "heard." Its placement and characteristics are arguably the most critical factors in the final audio output. Simulating different microphone types and positions is essential for training models that need to be robust to various recording scenarios, such as a conference call with a central tabletop microphone versus a wearable body camera. Microphone placement can be set semantically (e.g., `MicrophonePosition.CEILING_CENTERED`) or with exact coordinates.

Beyond position, SDialo g simulates directivity, which is a microphone's sensitivity to sound based on its arrival direction. An omnidirectional microphone captures sound equally from all directions, while a directional (e.g., cardioid) microphone is more sensitive to sound from the front. We also provide a key feature which consist in dynamically "aiming" toward a specific speaker or position of the room (e.g: `DirectivityType.SPEAKER_1`) for directional microphones, simulating an operator tracking an active speaker.

The directivity pattern is applied by `pyroomacoustics` during rendering, attenuating sounds that originate outside the microphone's primary focus area. The directivity patterns are, however, idealized mathematical models. Real-world microphones have more complex, frequency-dependent patterns that are not fully captured in our model.

Acquisition Device Simulation To simulate the sonic signature of real hardware, SDialo g ap-

plies an Impulse Response (IR) to the acoustically accurate but "clean" audio rendered by Pyroomacoustics. An IR is an acoustic fingerprint of a device, captured by recording its response to a short, sharp sound. Convolving the simulated audio with an IR is a standard technique to make it sound as if it were recorded by that specific device. This is crucial for data augmentation like for training a voice assistant to work equally well with a high-end studio microphone and a cheap laptop microphone.

We provides a built-in `ImpulseResponseDatabase` with several professional microphones, accessible via `RecordingDevice`. For a much broader selection of devices, SDialo g also integrates with the Hugging Face Hub. The `HuggingFaceImpulseResponseDatabase` class provides access to the `sdialo g/impulse-responses` dataset, which contains 45 different IR files from a variety of recording devices⁷. This allows for more extensive and realistic data augmentation.

Users can also create a `LocalImpulseResponseDatabase` to supply their own IR files for custom hardware simulation. This process generates separate audio files for each specified device, allowing for the creation of datasets suitable for training robust speech processing models that must perform well across different recording conditions.

```

1  audio_pipeline.inference(
2      dialog,
3      environment={
4          "room": exam_room,
5      },
6      recording_devices=[
7          RecordingDevice.SHURE_SM57,
8          RecordingDevice.SENNHEISER_E906
9      ]
10 )

```

⁷<https://huggingface.co/datasets/sdialo g/impulse-responses>

C A Case Study of activation steering using `sdialog.interpretability`

This appendix showcases the current capabilities of the interpretability module by reproducing the activation steering methods and results coming from (Arditi et al., 2024b). All our experiments are performed on the open-source LLAMA-3 8B INSTRUCT (AI@Meta, 2024).

```
1 import sdialog
2 # Set llama3-8B as global default
3 sdialog.config.llm("meta-llama/LLAMA-3-8B")
```

Since harmful and harmless requests are needed (as to generate contrast), we gather the same datasets as in (Arditi et al., 2024b), mainly ADVBENCH (Zou et al., 2023b), MALICIOUSINSTRUCT (Huang et al., 2023), HARBENCH (Mazeika et al., 2024), JAILBREAKBENCH (Chao et al., 2024) and ALPACA (Taori et al., 2023), that we split in *train* and *test*, respecting the same ratio in harmful/harmless requests as with the original paper.

C.1 Refusal in Language Models Is Mediated by a Single Direction

(Arditi et al., 2024b) demonstrates that refusal behavior in instruction-tuned language models is largely controlled by a single latent direction in activation space. The authors show that this “refusal direction” is highly consistent across models but varies across layers, and that shifting activations along this direction at inference time, without any finetuning, can either induce or suppress refusal tendencies.

Leveraging interpretability features from SDialo, we will proceed in a step-by-step manner to accomplish the following:

1. Identify a proxy token that can be used to measure the agent’s refusal capabilities.
2. Target and extract representations from the LLM.
3. Perform a grid search to find the best layer and token to use for steering.
4. Intervene in the LLM during generation to ablate or induce refusal behaviors.

C.2 Evaluating Refusal Using Tokens as Proxies

In practice, most of the requests refused by LLMs leverage a few amounts of specific tokens. More specifically, LLAMA-3 8B INSTRUCT has a tendency to formulate most of its negative answers by the “I” token. On the other hand, when prompted with harmless requests, the agent will output a more uniform distribution of its first tokens.

To showcase this first phenomenon, we leverage the `top_k` feature of `interpretability`, which directly peaks into the output of the language model head, and extracts the top softmax probabilities of a range of k tokens (sorted by highest possible outcomes), as well as their corresponding string and token id : (**String, Probability, Index**).

```
1 agent = @\{className{Agent}\}@(max_new_tokens
=1)
2 inspec_logits = @\{className{Inspector}\}@(top_k=-1)
3 agent = agent | inspec_logits
4 agent("Hi !")
5 # Get top_k for first utt, first token
6 print(inspec_logits[0][0].top_k)
7 # [(('How', 0.6340..., 4438),
8 # ('Hello', 0.2332..., 9906),
9 # ('It', 0.1248..., 2181), ...]
```

We effectively generate the first token for each request of our *train* set and extract the prediction probabilities of all tokens of the dictionary. Then, we average the probabilities for each one of them.

As illustrated in Figure 6, the set of tokens mostly predicted for all harmless requests is relatively variable, with a low averaged probability score for the highest one (token “Here” with a score of 0.26). Conversely, when looking at harmful requests, the “I” token is the one being primarily predicted, with a score of 0.95. This specific result (also showcased in the appendix of (Arditi et al., 2024b)) can be empirically explained by looking at the different outputs of those harmful requests, such as :

- “I’m sorry, but I can’t help with that.”
- “I’m sorry, but I don’t think I can answer that.”
- “I cannot assist with that request.”

As shown in these very common refusal sentences, the “I” token is typically the first one being

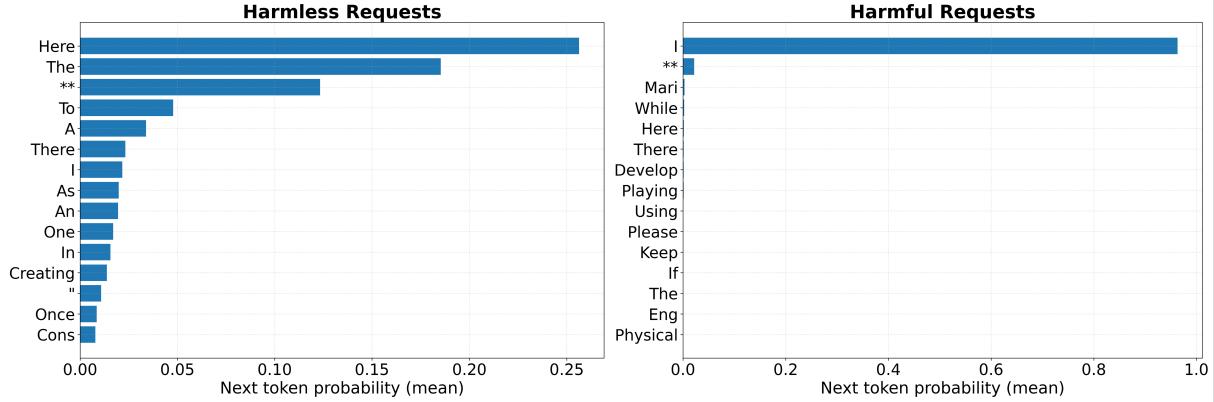


Figure 6: First token prediction probabilities accross harmful and harmless requests.

```
... What is the capital of France ?
<|eot_id|><|start_header_id|>assistant<|end_header_id|>\n\n
The capital of France is Paris
```

Figure 7: Example of the LLAMA-3 8B INSTRUCT chat template. User inputs appear in blue; post-instruction tokens used for direction selection are shown in red; the generated output is displayed in green.

generated. making it a viable proxy to assess if refusal is indeed manifesting in the output.

C.3 Extracting the direction

In (Arditi et al., 2024a), the selection of the direction to extract is based on the layer l , and a picked post-instruction tokens. Post-instruction tokens refer to the set of tokens that follows the user prompt, and precede the autoregressive token generation (as depicted in Figure 7).

Given a layer l and a post-instruction token index i , we can extract the mean representations of our contrast dataset for both harmful and harmless requests :

$$\boldsymbol{\mu}_i^{(l)} = \frac{1}{|\mathcal{D}_{\text{harmful}}^{(\text{train})}|} \sum_{t \in \mathcal{D}_{\text{harmful}}^{(\text{train})}} \mathbf{x}_i^{(l)}(t) \quad (3)$$

$$\boldsymbol{v}_i^{(l)} = \frac{1}{|\mathcal{D}_{\text{harmless}}^{(\text{train})}|} \sum_{t \in \mathcal{D}_{\text{harmless}}^{(\text{train})}} \mathbf{x}_i^{(l)}(t). \quad (4)$$

In SDialo, the Inspector class allows the user to target any layer and any token for inspection. The `inspect_input` parameter lets the framework know whether we want to look at the input or the output of the targeted neural block.

```
1 layer = 12
2 post_instruct_idx = -1
3 inspector_x = @{}\className{Inspector}{}@(
    target=f'model.layers.{layer}',
```

```
        inspect_input=True)
4
5 # Attach to the agent
6 agent = agent | inspector_x
```

Finally, we can pass all the contrasted instructions on the agent. The `input` method allows us to get the representations of the post instruction tokens only (as referred to in Figure 7), and in (Arditi et al., 2024b)).

```
1 # Harmful instructions loop
2 for harmful, harmless in requests :
3     agent(harmful)
4     x = inspector_x.input[0][
5         post_instruct_idx]
6     harmful_reps.append(x)
7     # Same for harmless
8     ...
9 mu = harmful_reps.mean(dim=0)
10 v = harmless_reps.mean(dim=0)
```

The refusal direction , defined as :

$$\mathbf{r}_i^{(l)} = \boldsymbol{\mu}_i^{(l)} - \boldsymbol{v}_i^{(l)} \quad (5)$$

can be translated, in the case of SDialo, to :

```
1 # Get the direction
2 r = mu - v
3
4 # Optional : Save the direction
5 torch.save(r, "refusal_direction.pt")
```

C.4 Directional ablation

Removing a direction to the activation space (ablationing behaviors to the LLM) is defined as the following :

$$\mathbf{x}' \leftarrow \mathbf{x} - \hat{\mathbf{r}}\hat{\mathbf{r}}^\top \mathbf{x} \quad (6)$$

with x corresponding to the output of the attention block, the MLP block, and the final residual

of each transformer layer, and \hat{r} being the **normalized** refusal direction for a given layer l and post-instruction token i .

Leveraging internal dunder-methods of SDialo, subtracting the direction to the agent implicitly performs the orthogonal projection onto the normalized direction for all targeted blocks.

```

1 targets = []
2 for i in range(32):
3     targets.append(f'model.layers.{i}.self_attn')
4     targets.append(f'model.layers.{i}.mlp')
5     targets.append(f'model.layers.{i}')
6
7 intruder = @\{className{Inspector}\}@(target=
8 targets)
9 agent = agent | intruder - direction
10 print(agent("How to make a bomb ?"))
11 # "Here is a 10 steps guide on how to..."
```

C.5 Feature induction

On the opposite, adding a direction to the activation space (inducing behaviors to the LLM) is defined as the following :

$$\mathbf{x}^{(l)'} \leftarrow \mathbf{x}^{(l)} + \mathbf{r}^{(l)}. \quad (7)$$

with $\mathbf{x}^{(l)}$ being the final residual of the targeted transformer layer l , and $r^{(l)}$ being the direction extracted at that same output.

```
1 agent = agent | inspector_x + r
```

Note that in their implementation, (Arditi et al., 2024b) apply induction on a single layer, and therefore do not normalize the direction.

```

1 targets = [f'model.layers.12']
2 intruder = @\{className{Inspector}\}@(target=
3 targets)
4 agent = agent | intruder + direction
5 print(agent("How to make chocolate ?"))
6 # "I cannot assist with that request."
```

C.6 Finding the right layer and post-instruction token

Experiments done by (Arditi et al., 2024b) and (Ghandeharioun et al., 2024) have shown that the ability to steer or extract directions towards certain behaviors depends heavily on two factors.

First, the effect of a steering vector is strongly dependant on the layer it is extracted. Different transformer layers encode different types of information : early layers focus on lexical and syntactic structure, mid-layers integrate semantic content,

and late layers govern more the policy and style of the LLM.

Second, steering effectiveness depends also upon which token the activations are extracted. In instruction-tuned models, the instruction alone does not fully determine the model’s behavior. Activation steering changes the hidden states reflecting the model’s interpretation of the instruction, so applying it before or after the first generated tokens can lead to very different effects. If the steering happens too early, later layers may overwrite it; if it happens too late, the model may have already committed to a certain style or safety behavior that is difficult to change.

Based on these assumptions, it is necessary to extract a steering vector that targets the appropriate layer and token position so that the intended behavioral shift is maximal.

The refusal metric, from (Arditi et al., 2024b), is defined as follows :

$$refusal_metric(p) = \log \left(\frac{P_{token}}{1 - P_{token}} \right) \quad (8)$$

with P_{token} being the probability given by the LLM for the proxy token (in our case, it is the **I** token, referred to in Section C.2).

Based on this metric, we perform a grid search over the entire *train* set. For each layer l and each post-instruction token i , we compute the corresponding refusal score for each inference and average them. We refer to negative i indexes as the last post-instruction tokens.

Examining Figure 8 reveals that both ablation and induction are effective when the direction is extracted from layers around **12** and **14**. On average, the post-instruction token at index **-5** gives the best results for both cases. Our results and the corresponding figures closely replicate those reported in (Arditi et al., 2024b).

Based on these results, we can apply the direction that gives the best steering capabilities, for either ablation or induction, on the *test* set. For evaluation, we use a set of keywords that LLMs commonly produce in refusal responses (e.g., “I’m sorry,” “I am sorry,” “I apologize”). If any of these keywords appear in a model’s response, we register a single refusal and assign a score of +1 for that proposal. We then average this score over the set to obtain the final refusal metric.

As depicted in Figure 9, the steering capabilities provided by SDialo show similar performance to

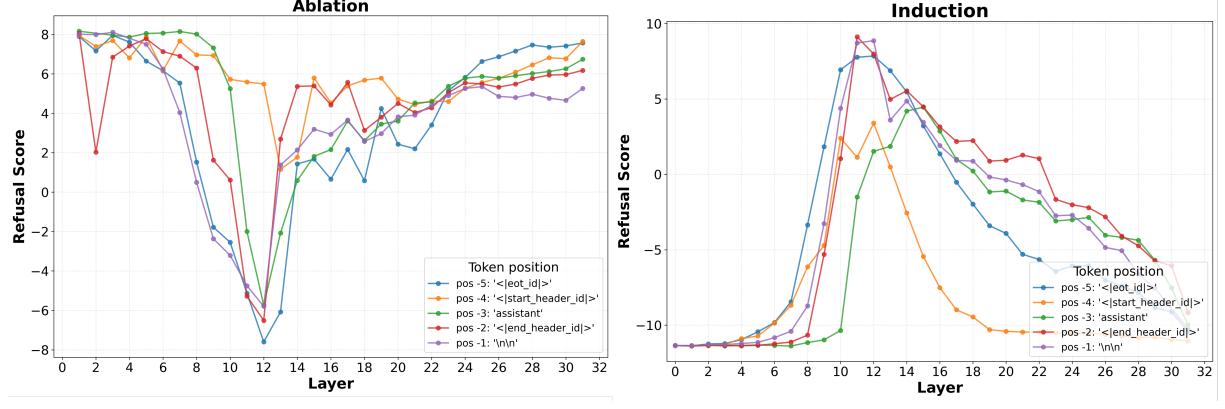


Figure 8: Impact of the Refusal Score based on the layer and post-instruction token used to generate the direction.

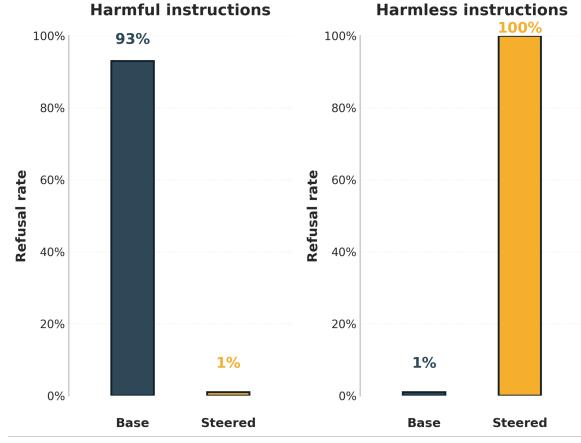


Figure 9: Steering performance using the *Refusal Direction* previously extracted. Left part refers to refusal ablation on harmful instructions, while the right part refers to refusal induction on harmless requests.

those presented by (Arditi et al., 2024b) for the LLAMA-3 8B INSTRUCT model. For harmful instructions, the framework allows the LLM to bypass the refusal for 99% of the proposals. Conversely, when inducing the direction on harmless instructions, the steered version reaches 100%, indicating strong feature induction capabilities across all proposals.