

**CP312**

# **Algorithm Design and Analysis I**

---

## **LECTURE 9: SORTING IN LINEAR TIME**

# Comparison-based Sorting

---

- All the sorting algorithms we have seen so far are **comparison sorts**. That is, they only use comparisons to determine relative order of elements.
- Is there a comparison sort that can do better than  $O(n \lg n)$ ?
  - Answer: NO!
  - Any comparison-based sorting algorithm must do at least  $n \lg n$  amount of work in the worst-case

# Sorting in Linear Time

---

- Is there any way to sort without doing comparisons?
- And if there is, how fast can they get?

# Counting Sort

---

- **Input:**  $A[1, \dots, n]$  where  $A[j] \in \{1, \dots, k\}$
- **Output:**  $A'[1, \dots, n]$  which is  $A$  sorted
- Takes time  $\Theta(n + k)$
- So if  $k = O(n)$  then it would take time  $\Theta(n)$

# Counting Sort

---

**Initialize**  $C[1, \dots, k] \leftarrow [0, \dots, 0]$

**for**  $i = 1$  **to**  $n$

$C[A[i]] \leftarrow C[A[i]] + 1$

**for**  $i = 2$  **to**  $k$

$C[i] \leftarrow C[i] + C[i - 1]$

**for**  $i = n$  **to**  $1$

$A' [C[A[i]]] \leftarrow A[i]$

$C[A[i]] \leftarrow C[A[i]] - 1$

# Counting Sort: Example

---

	1	2	3	4	5
$A$	4	1	3	4	3

	1	2	3	4
$C$	0	0	0	0

**Initialize**  $C[1, \dots, k] \leftarrow [0, \dots, 0]$

$k = 4$

# Counting Sort: Example

---

	1	2	3	4	5
$A$	4	1	3	4	3

	1	2	3	4
$C$	0	0	0	0

**for**  $i = 1$  **to**  $n$

$$C[A[i]] \leftarrow C[A[i]] + 1$$

# Counting Sort: Example

---

	1	2	3	4	5
<i>A</i>	4	1	3	4	3

	1	2	3	4
<i>C</i>	0	0	0	1

**for**  $i = 1$  **to**  $n$

$$C[A[i]] \leftarrow C[A[i]] + 1$$



# Counting Sort: Example

---

	1	2	3	4	5
<i>A</i>	4	1	3	4	3

	1	2	3	4
<i>C</i>	1	0	0	1

**for**  $i = 1$  **to**  $n$

$$C[A[i]] \leftarrow C[A[i]] + 1$$

# Counting Sort: Example

---

	1	2	3	4	5
<i>A</i>	4	1	3	4	3

	1	2	3	4
<i>C</i>	1	0	1	1

**for**  $i = 1$  **to**  $n$

$$C[A[i]] \leftarrow C[A[i]] + 1$$

# Counting Sort: Example

---

	1	2	3	4	5
<i>A</i>	4	1	3	4	3

	1	2	3	4
<i>C</i>	1	0	1	2

**for**  $i = 1$  **to**  $n$

$$C[A[i]] \leftarrow C[A[i]] + 1$$

# Counting Sort: Example

---

	1	2	3	4	5
<i>A</i>	4	1	3	4	3

	1	2	3	4
<i>C</i>	1	0	2	2

**for**  $i = 1$  **to**  $n$

$$C[A[i]] \leftarrow C[A[i]] + 1$$

# Counting Sort: Example

---

	1	2	3	4	5
<i>A</i>	4	1	3	4	3

	1	2	3	4
<i>C</i>	1	0	2	2

**for**  $i = 2$  **to**  $k$

$$C[i] \leftarrow C[i] + C[i - 1]$$

# Counting Sort: Example

---

	1	2	3	4	5
<i>A</i>	4	1	3	4	3

	1	2	3	4
<i>C</i>	1	1	2	2

**for**  $i = 2$  **to**  $k$

$$C[i] \leftarrow C[i] + C[i - 1]$$

# Counting Sort: Example

---

	1	2	3	4	5
<i>A</i>	4	1	3	4	3

	1	2	3	4
<i>C</i>	1	1	3	2

**for**  $i = 2$  **to**  $k$

$$C[i] \leftarrow C[i] + C[i - 1]$$

# Counting Sort: Example

---

	1	2	3	4	5
<i>A</i>	4	1	3	4	3

	1	2	3	4
<i>C</i>	1	1	3	5

**for**  $i = 2$  **to**  $k$

$$C[i] \leftarrow C[i] + C[i - 1]$$



# Counting Sort: Example

---

	1	2	3	4	5
$A$	4	1	3	4	3

	1	2	3	4
$C$	1	1	3	5

$A'$					
------	--	--	--	--	--

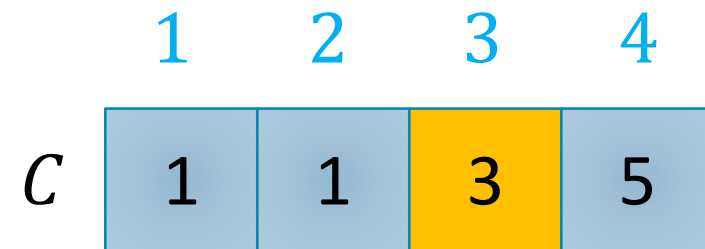
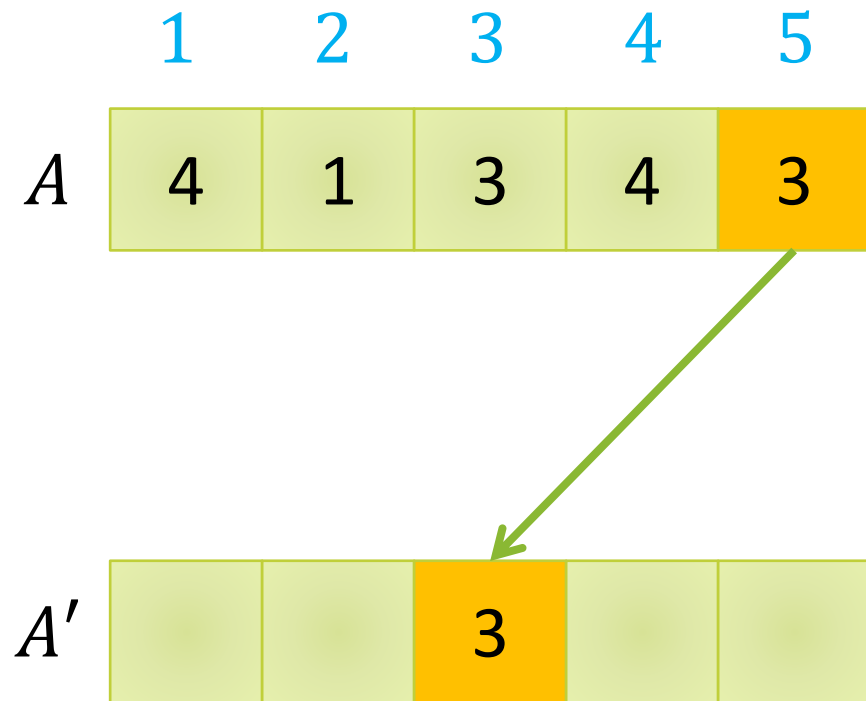
**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

# Counting Sort: Example

---



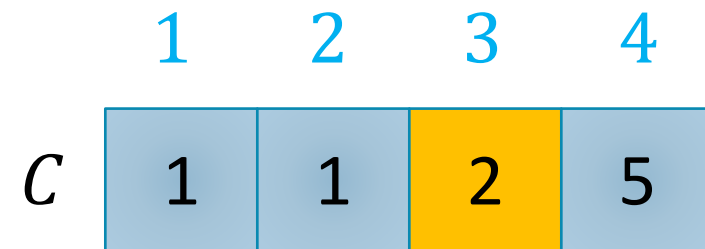
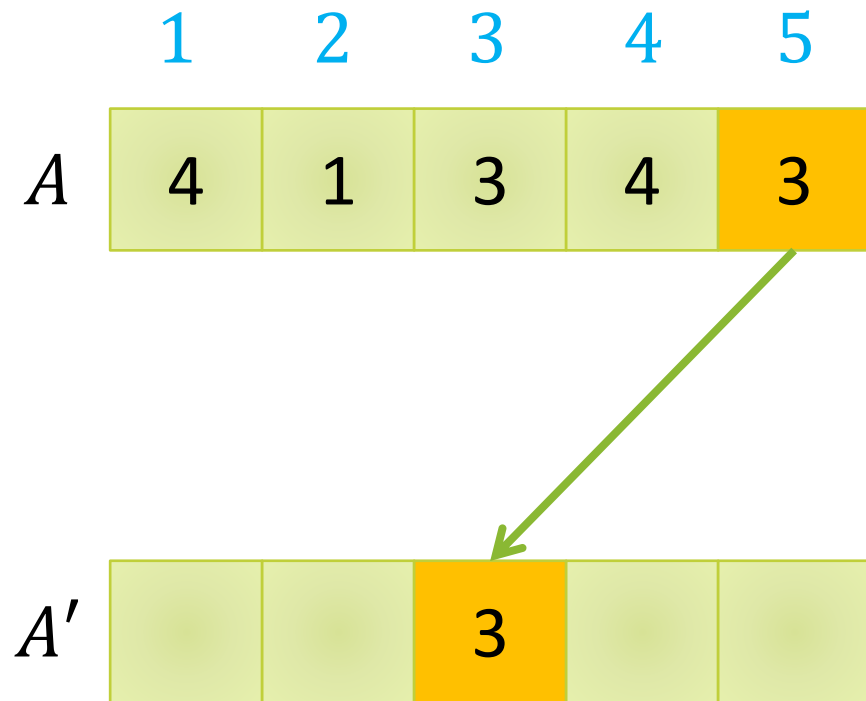
**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

# Counting Sort: Example

---



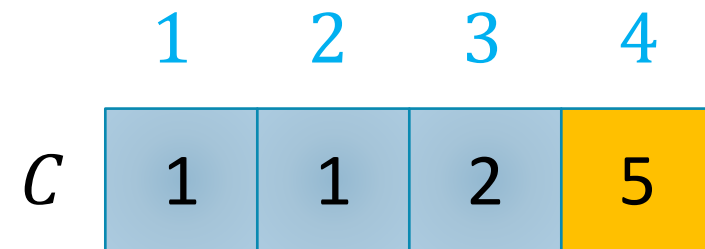
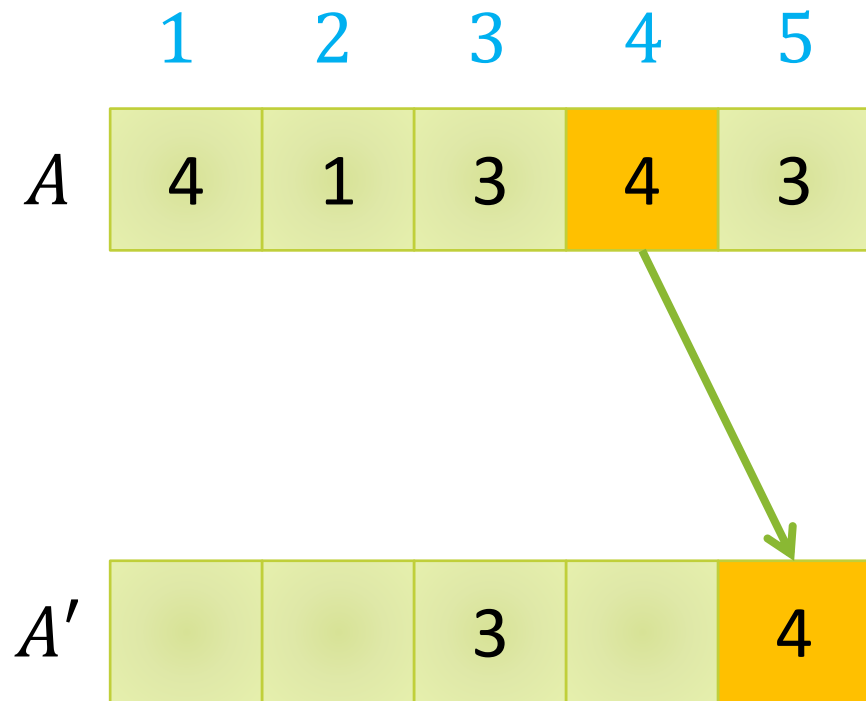
**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

# Counting Sort: Example

---



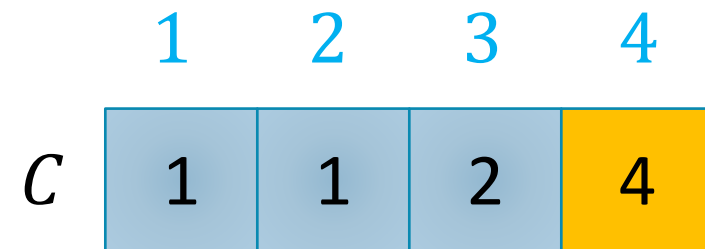
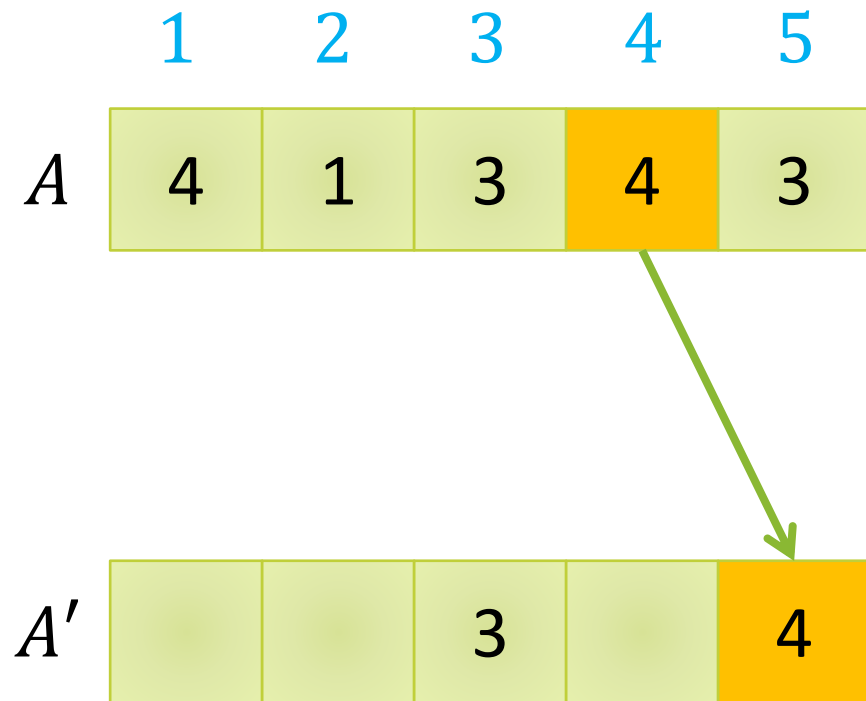
**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

# Counting Sort: Example

---



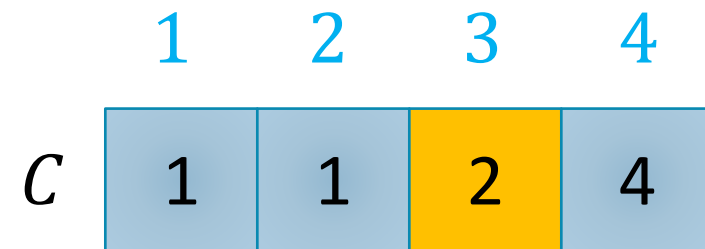
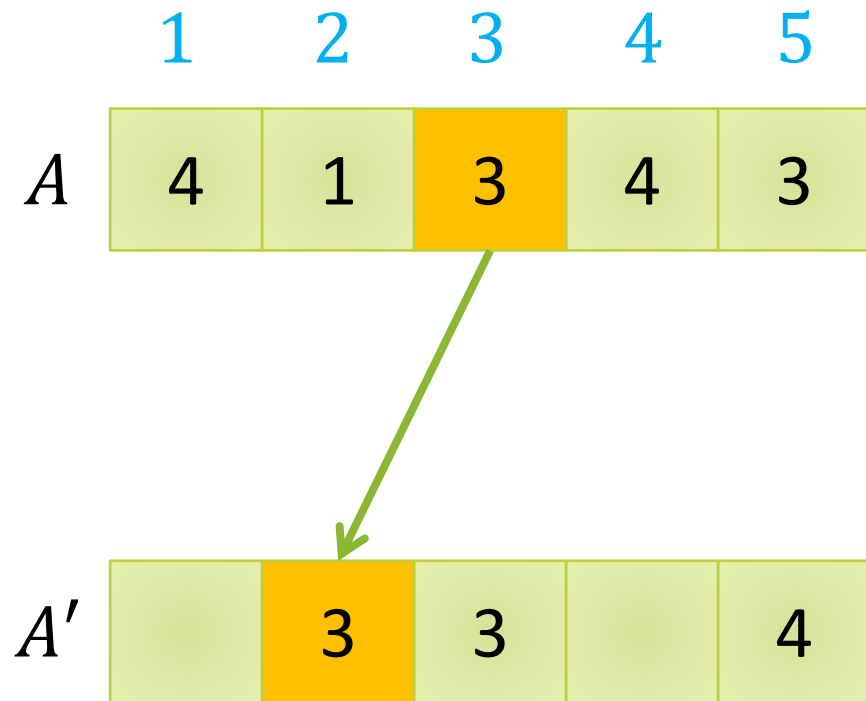
**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

# Counting Sort: Example

---



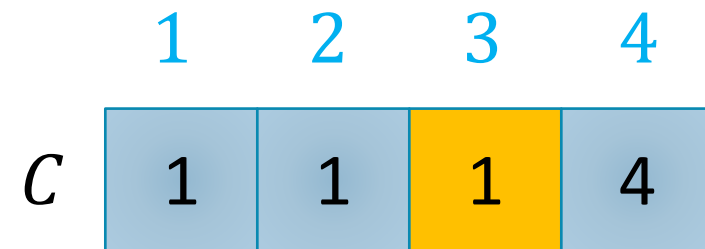
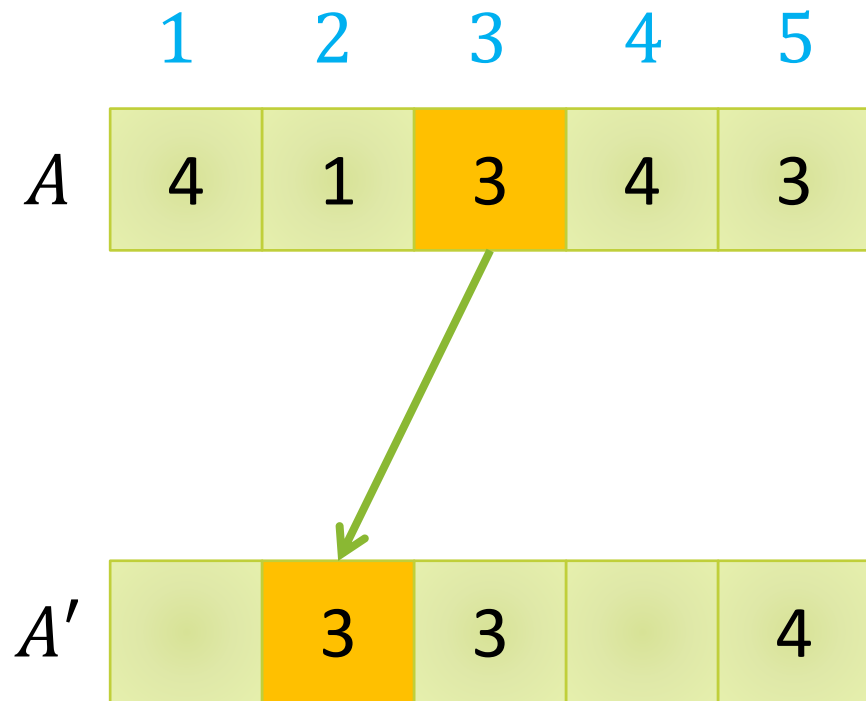
**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

# Counting Sort: Example

---



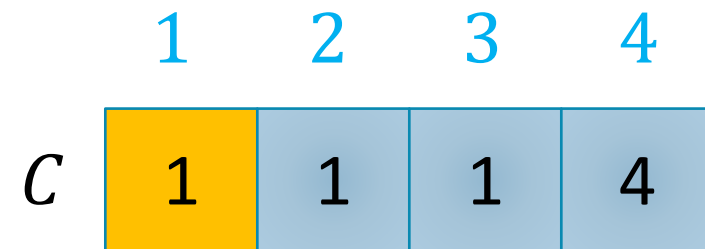
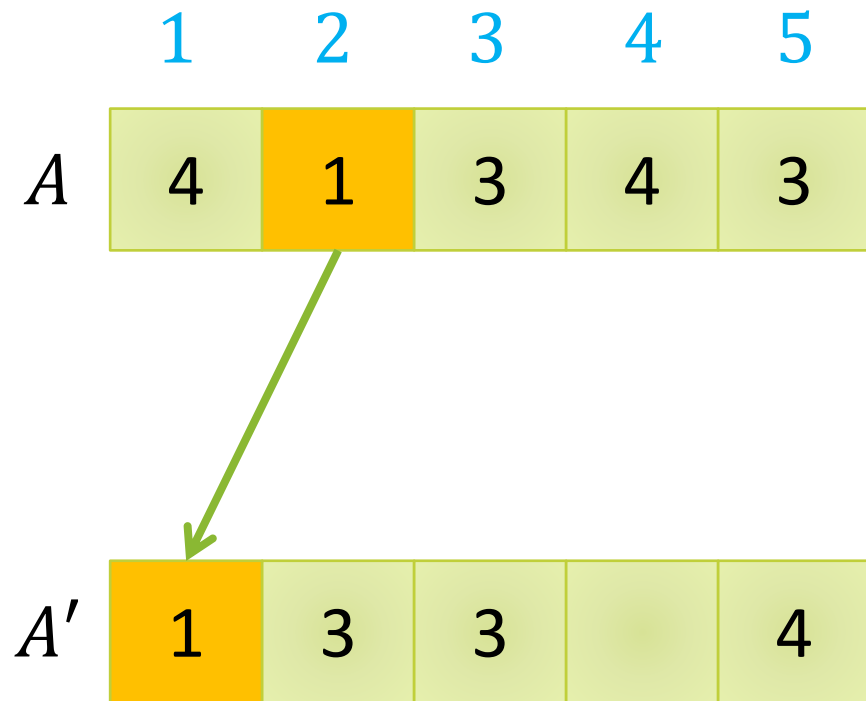
**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

# Counting Sort: Example

---



**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$



# Counting Sort: Example

	1	2	3	4	5
$A$	4	1	3	4	3

	1	2	3	4
$C$	0	1	1	4

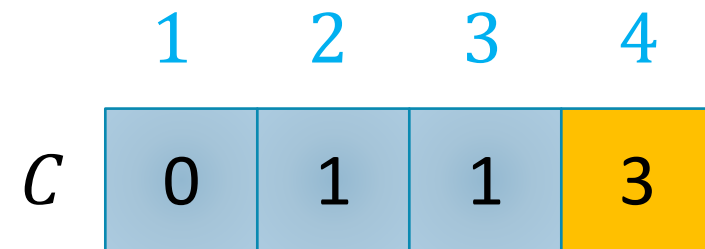
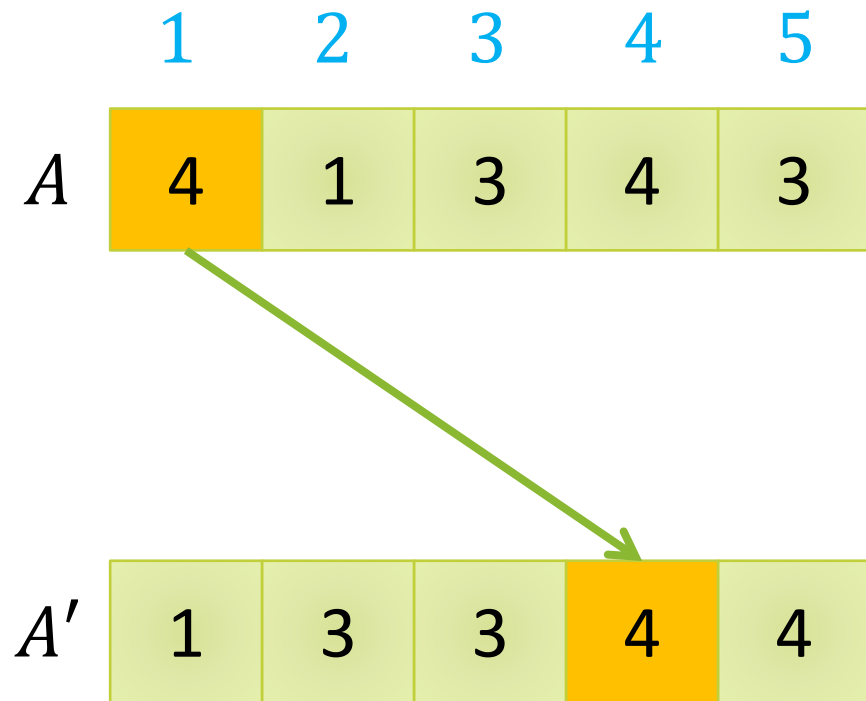
$A'$	1	3	3	4	4
------	---	---	---	---	---

**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

# Counting Sort: Example



**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

# Counting Sort: Example

---

	1	2	3	4	5
$A$	4	1	3	4	3

	1	2	3	4
$C$	0	1	1	3

$A'$	1	3	3	4	4
------	---	---	---	---	---

**for**  $i = n$  **to** 1

$$A' [C[A[i]]] \leftarrow A[i]$$

$$C[A[i]] \leftarrow C[A[i]] - 1$$

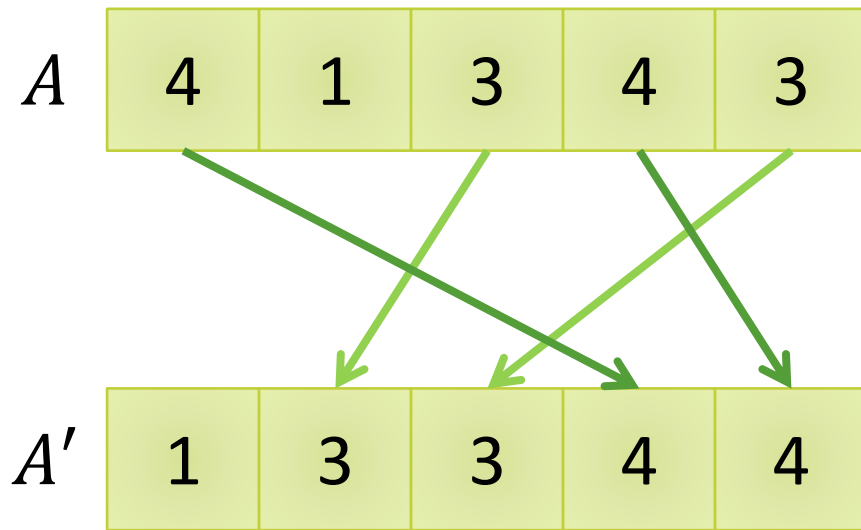
# Counting Sort: Running-Time Analysis

---

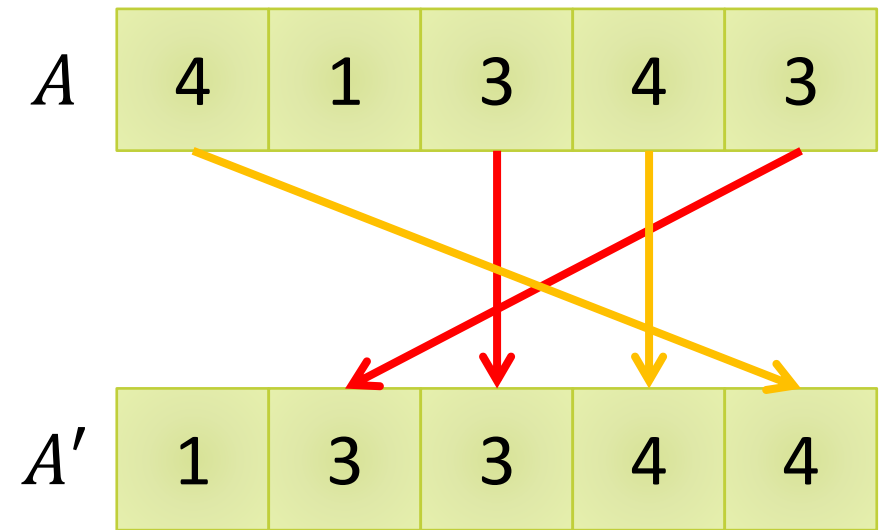
<b>Initialize</b> $C[1, \dots, k] \leftarrow [0, \dots, 0]$	}	$\Theta(k)$	}	$T(n) = \Theta(n + k)$
<b>for</b> $i = 1$ <b>to</b> $n$	}	$\Theta(n)$		
$C[A[i]] \leftarrow C[A[i]] + 1$	}			
<b>for</b> $i = 2$ <b>to</b> $k$	}	$\Theta(k)$		
$C[i] \leftarrow C[i] + C[i - 1]$	}			
<b>for</b> $i = n$ <b>to</b> $1$	}			
$A'[C[A[i]]] \leftarrow A[i]$	}	$\Theta(n)$		
$C[A[i]] \leftarrow C[A[i]] - 1$	}			

# Stability in Sorting

- Counting sort is a **stable** sort: it preserves the input order among **equal** elements.



Stable



Not Stable

# An Issue with Counting Sort

---

- Suppose we want to sort the following array using counting sort.

329	457	657	839	436	720	355
-----	-----	-----	-----	-----	-----	-----

- We need to create a HUGE auxiliary storage array to count them since the range  $k$  is large.

329	330	331	332			838	839
1	0	0	0	.....		0	1

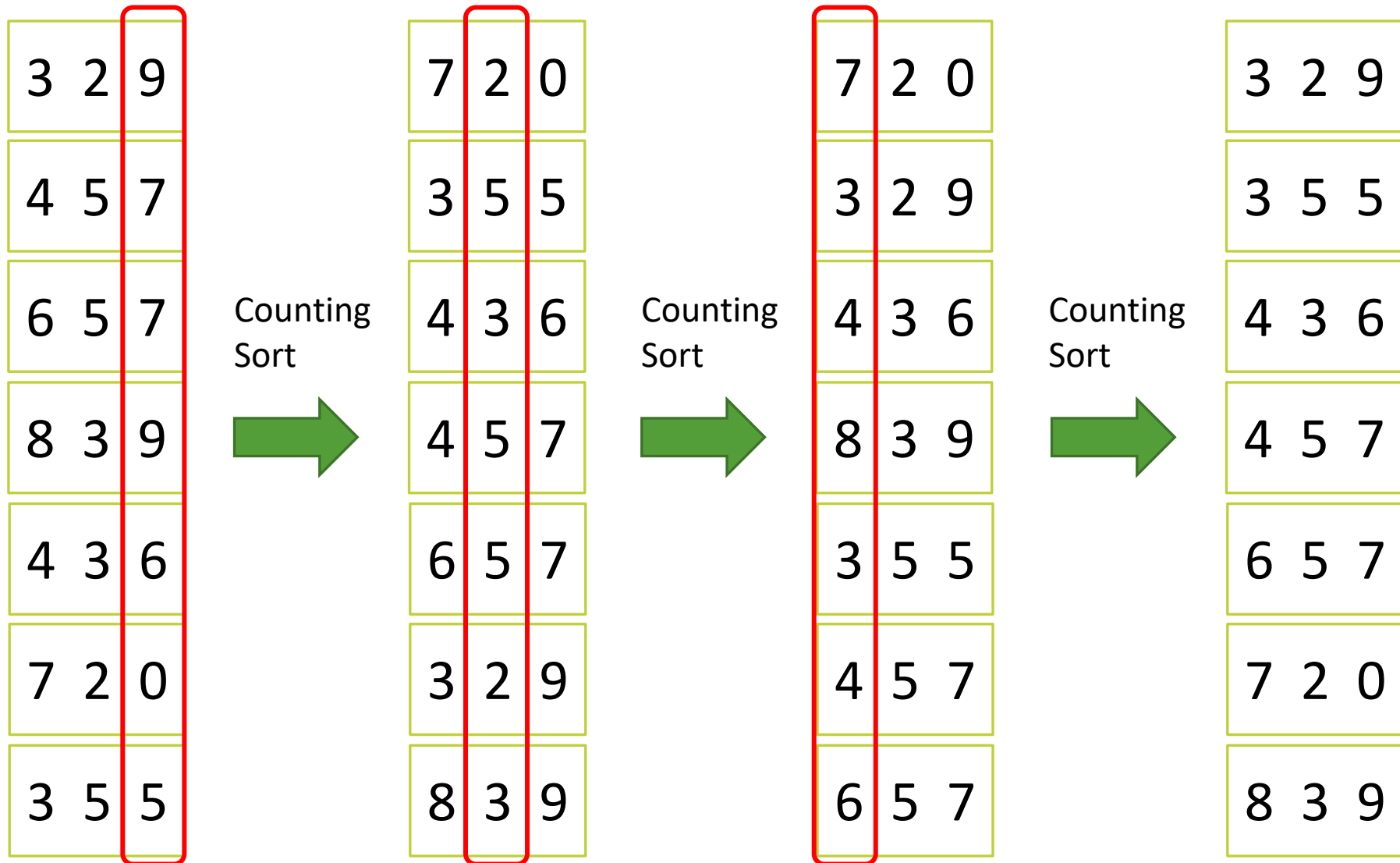
# Radix Sort

---

- Digit-by-digit sorting on **least significant digit first**.
- Requires an auxiliary stable sort

329	457	657	839	436	720	355
-----	-----	-----	-----	-----	-----	-----

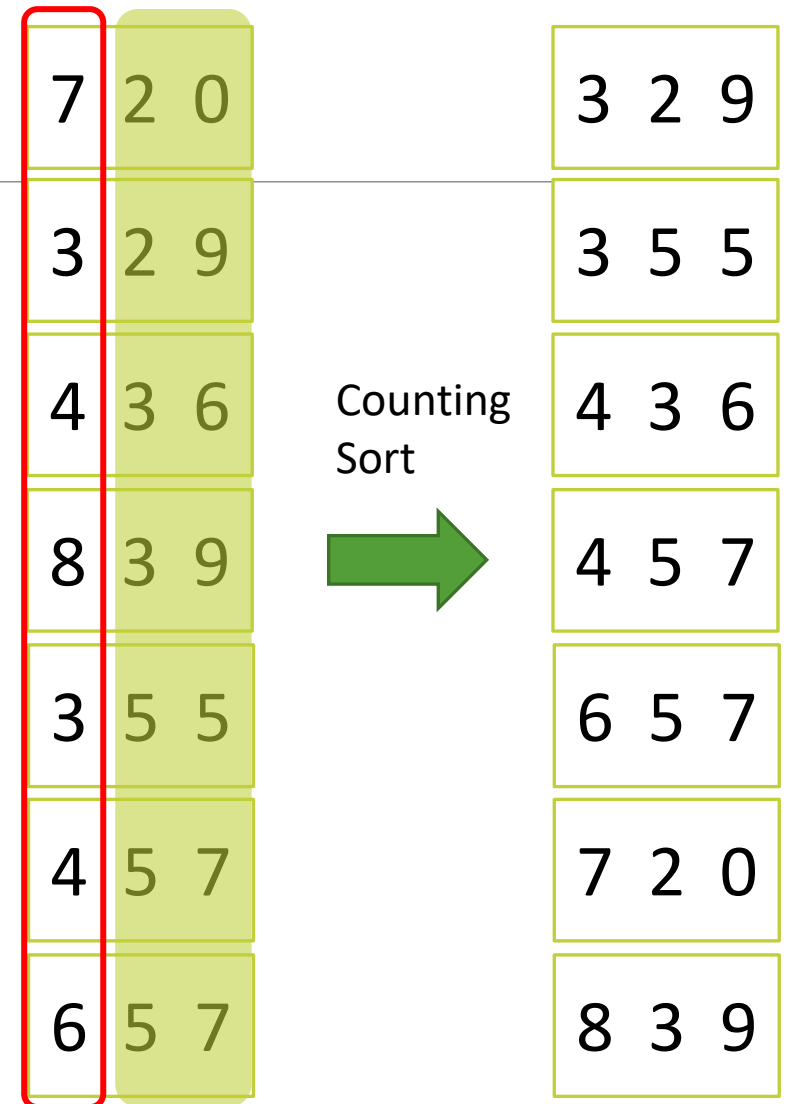
# Radix Sort





# Correctness of Radix Sort

- Induction on digit position:
- Assume that the numbers are sorted by their low-order  $k - 1$  digits
- Sort on digit  $k$ :
  - Two numbers that differ in digit  $t$  are correctly sorted.
  - Two numbers equal in digit  $t$  are put in the same order as the input  $\Rightarrow$  correct order.



# Analysis of Radix Sort

---

- Complexity:
  - Each pass in the for loop takes  $O(n+k)$ .
  - We have  $d$  passes  $\Rightarrow O(dn+dk)$ .
  - When  $k = O(n) \Rightarrow O(dn+dn) = O(2dn) = O(n)$  (Assuming that  $d$  is a constant).

# Radix Sort

---

- In practice, radix sort is fast for large inputs, as well as simple to code and maintain.
- **Example: 4-digit number**
  - At most 3 passes when sorting  $\geq 2000$  numbers
  - Merge sort and quicksort do at least  $\lg 2000 \approx 11$  passes
- Downside: Unlike quicksort, radix sort displays little locality of reference, and thus a well-tuned quicksort fares better on modern processor, which feature steep memory hierarchies.

# Summary of Sorting Algorithms

		Algorithm	Worst-Case Running Time	Average-Case Running Time	In-Place
Comparison-based Sorts	{	Insertion Sort	$\Theta(n^2)$	$\Theta(n^2)$	Y
		Merge sort	$\Theta(n \lg n)$	$\Theta(n \lg n)$	N
		Quicksort	$\Theta(n^2)$	$\Theta(n \lg n)$	Y
		Randomized Quicksort	Expected: $\Theta(n \lg n)$	-	Y
		BST-Sort	$\Theta(n^2)$	$\Theta(n \lg n)$	Y
		Heapsort	$O(n \lg n)$	$O(n \lg n)$	Y
Distribution-based Sorts	{	Counting Sort	$\Theta(k + n)$	$\Theta(k + n)$	N
		Radix Sort	$\Theta(d(k + n))$	$\Theta(d(k + n))$	N
		Bucket Sort	$\Theta(n^2)$	$\Theta(n)$	N

# Other Sorting Algorithms

---

- Bubble Sort
- Selection Sort
- Shell Sort
- Bitonic Sort
- Timsort