

# Database Management System (DBMS)

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**Module 1: Introduction to DBMS****Data and some key terms about its Representation**

**1. Data:** facts and figures that have no context or meaning by themselves (e.g., numbers, characters).

Example: 42, John, 3.14

**2. Data Types:** The classification of data based on the kind of values it can take and the operations that can be performed on it.

Example: Integer (int), Floating-point (float), Character (char), Boolean (bool).

**3. Memory or Storage:** The physical hardware where data is stored, either temporarily (RAM) or permanently (hard drive, SSD).

Example: RAM, SSD, and hard disks.

**4. Data Representation (Memory Map):** The way data is stored and organized in a computer's memory. A memory map shows the layout of data in memory, including variables, functions, structures, class and files.

Example: How an array or variable is placed in sequential memory addresses.

**5. Some other ways of Data Representation:** Data can be represented in various ways depending on the context, audience, and purpose. Here are some common forms of data representation:

- **Tables**
  - Use: Organizing structured data in rows and columns.
  - Example: Spreadsheets, database records.
  - Advantages: Easy to read, compare, and manipulate large datasets.
- **Charts and Graphs**
  - Line Chart: Used to show trends over time.
  - Bar Chart: Ideal for comparing quantities across categories.
  - Pie Chart: Represents data as proportions of a whole.
  - Scatter Plot: Used to show relationships between two variables.
  - Histogram: Displays distribution of numerical data.
- **Infographics**
  - Use: Visual storytelling using icons, symbols, and illustrations along with text.
  - Advantages: Effective for simplifying complex information.
- **Maps**
  - Geographical Maps: Used to represent data with a spatial dimension, e.g., population density, weather data.
  - Heatmaps: Show intensity of data points, often overlaid on maps or grids.
- **Diagrams**
  - Flowcharts: Represent process flows, decision trees.
  - Venn Diagrams: Show relationships and intersections between different sets.
  - Network Diagrams: Display connections or relationships in a network (e.g., social networks, computer networks).
- **Dashboards**
  - Use: Combine different data visualizations into a single screen to monitor key metrics.
  - Advantages: Provide real-time data insights at a glance.
- **Textual Data (Narrative)**
  - Reports: Use text and numbers to explain findings.

- Advantages: Useful for detailed analysis.
- **Images and Icons**
  - Use: Represent qualitative data or patterns in a visual format.
  - Advantages: Helps in quick recognition of trends or patterns.
- **Mathematical and Statistical Notations**
  - Use: Data can be represented in equations, algorithms, or symbolic forms, often used in theoretical analysis or modeling.
- **3D Models and Animations**
  - Use: Useful in representing complex spatial data, scientific models, or architecture.
  - Advantages: Effective for understanding structures, simulations, or physical models.
- **Audio and Video**
  - Use: Sometimes data is represented through sounds or video, especially in sensory data or multimedia analysis.
  - Example: Data sonification, video analytics.
- **Word Clouds**
  - Use: Represents textual data by displaying the frequency of words in a visual form.
  - Advantages: Quick insights into dominant themes or keywords.

Each method of data representation serves specific purposes and is used according to the nature of the data and the audience it is intended for.

### What is a Database?

A **database** is an organized collection of structured information or data, typically stored and accessed electronically from a computer system. Databases enable efficient storage, retrieval, and management of data. They are designed to handle large amounts of data, provide easy access, allow for data manipulation, and maintain data integrity.

### Key Features of a Database:

1. **Data Organization:** Stores data in an organized manner, often in rows and columns.
2. **Data Integrity:** Ensures data accuracy and consistency.
3. **Data Security:** Restricts access to Unauthorized users.
4. **Scalability:** Supports data growth and expanding use.
5. **Concurrent Access:** Multiple users can access the data at the same time without issues.

### Database Management System (DBMS):

A **Database Management System (DBMS)** is software used to create, manage, and interact with databases. It allows users to define, create, update, query, and manage the data in a database.

### Journey of Databases: From Simple Files to Modern Data Systems:

Magnetic tapes were developed for data storage. Data processing tasks such as payroll were automated, with data stored on tapes. Processing of data consisted of reading data from one or more tapes and writing data to a new tape.

#### 1. File-based Systems (1960s)

- **Description:** Early data storage systems were file-based, with data stored in **flat files** (like text files). Each file held data in a specific format, and users needed to write custom programs to retrieve or manipulate the data.
- **Challenges:** Lack of organization, redundancy, inconsistency, difficulty in retrieval, and poor data management.

- **Example:** Storing student information in a text file:

```
-- txt file --  
ID, Name, Age  
101, John, 18  
102, Mary, 20
```

- **Limitations:**
  - No relationships between data in different files.
  - Difficult to search and update large datasets.
  - No concurrency control or data integrity checks.
- Example of File Systems: IBM's VSAM (Virtual Storage Access Method), Microsoft File Allocation Table (FAT).

## 2. Hierarchical and Network Databases (1960s–1970s)

- **Hierarchical Databases (e.g., IBM IMS):** Data was stored in a tree-like structure where each record had a parent-child relationship.
  - **Example:** An organization's employee records, where each department (parent) has multiple employees (children).
- **Network Databases (e.g., CODASYL DBTG):** A more flexible version of hierarchical databases, allowing many-to-many relationships between records through a graph structure.
- **Limitations:** These models were rigid, requiring complex navigation of the hierarchy to retrieve or update data. They lacked flexibility for evolving data requirements.

## 3. Relational Databases (1970s–1980s)

- **Introduction:** In 1970, Edgar F. Codd proposed the **relational database model**. This model organizes data into tables (called **relations**) where each row is a record, and each column is an attribute of the record. It allows data to be easily queried using **SQL (Structured Query Language)**.
- **Example:**

```
CREATE TABLE Students (  
    ID INT PRIMARY KEY,  
    Name VARCHAR (100),  
    Age INT  
);  
SELECT * FROM Students WHERE Age > 18;
```
- **Key Features:**
  - **Data Independence:** Changes in the database schema don't affect application code.
  - **SQL:** SQL became the standard language for database management.
  - **Relationships:** Relationships between tables are created using **foreign keys**.

- **Data Integrity:** Ensures accuracy and consistency through constraints (e.g., primary and foreign keys).
- **Popular Systems:** Oracle, IBM DB2, MySQL, Microsoft SQL Server, PostgreSQL.
- **Advantages:**
  - Allows complex querying and manipulation of data.
  - Enforces ACID properties (Atomicity, Consistency, Isolation, Durability), which are crucial for reliable transactions.
- **Limitations:** Relational databases struggle with large volumes of unstructured or semi-structured data. Scaling them horizontally (adding more servers) is challenging.

#### 4. Object-Oriented Databases (1980s–1990s)

- **Introduction:** With the rise of object-oriented programming (OOP), databases were developed to store **objects** directly rather than translating them into relational tables.
- **Key Features:**
  - Direct representation of objects from OOP languages (e.g., C++, Java).
  - Support for **inheritance** and **polymorphism**.
- **Limitations:** Object-oriented databases never gained wide adoption due to complexity and the dominance of relational databases, which were simpler and widely supported.
- **Examples of Object-Oriented Databases:**
  - ObjectDB: A Java-based object-oriented database.
  - Versant Object Database (1990): Focused on directly storing objects in a database environment.

#### 5. Data Warehouses (1990s–2000s)

- **Introduction:** As organizations generated more data, the concept of a **data warehouse** emerged. A data warehouse is a large-scale database designed for **analytical queries** rather than transactions.
- **Purpose:** Centralize large amounts of historical data from various sources, enabling advanced analytics, reporting, and decision-making.
- **Key Features:**
  - Use of ETL (**Extract, Transform, Load**) processes to gather and clean data from multiple sources.
  - **OLAP (Online Analytical Processing):** Optimized for large-scale queries and reporting.
- **Limitations:** Data warehouses are expensive to set up and maintain. They are not suitable for real-time updates as they are designed for batch processing.
- **Examples of Data Warehouses:**

- Teradata (1979): One of the early pioneers of data warehousing solutions.
- Amazon Redshift (2012): A popular cloud-based data warehouse from Amazon Web Services.
- Snowflake (2014): A cloud-native data warehouse designed for analytics at scale

## 6. NoSQL Databases (2000s–2010s)

- **Introduction:** As the internet and big data grew, so did the need for databases that could handle unstructured or semi-structured data, scale horizontally, and work efficiently in distributed systems. **NoSQL (Not Only SQL)** databases emerged to meet these needs.
- **Types of NoSQL Databases:**
  - **Key-Value Stores (e.g., Redis, DynamoDB):** Store data as key-value pairs.
  - **Document Stores (e.g., MongoDB, CouchDB):** Store data as documents (usually in JSON or BSON format).
  - **Column-Family Stores (e.g., Cassandra, HBase):** Organize data into columns rather than rows, making them ideal for write-heavy workloads.
  - **Graph Databases (e.g., Neo4j):** Store data in graph structures with nodes and edges, ideal for highly interconnected data (e.g., social networks).
- **Key Features:**
  - **Horizontal Scaling:** Designed to scale across many servers.
  - **Schema Flexibility:** No rigid schema, allowing for easier storage of unstructured data.
  - **High Availability:** Designed for distributed, fault-tolerant systems.
- **Advantages:** Excellent for handling big data, real-time applications, and distributed systems.
- **Limitations:** Lack of strong consistency models (eventual consistency) and fewer transactional guarantees compared to relational databases.

## 7. NewSQL Databases (2010s–Present)

- **Introduction:** NewSQL databases aim to combine the benefits of relational databases (strong consistency, ACID properties) with the scalability and performance of NoSQL databases.
- **Key Features:**
  - **ACID Transactions:** Maintain strong consistency.
  - **Distributed Architecture:** Scale horizontally.
  - **SQL Compatibility:** Use SQL for querying and management.
- **Popular Systems:** Google Spanner, CockroachDB.
- **Advantages:** Combines the best of both worlds—strong consistency with scalability.
- **Limitations:** More complex to manage, and not as mature as traditional SQL or NoSQL systems.
- **Examples of NewSQL Databases:**



- **Google Spanner (2012):** A globally distributed, horizontally scalable NewSQL database from Google that provides strong consistency and ACID transactions.
- **CockroachDB (2015):** A distributed SQL database designed for high availability and global scaling.
- **VoltDB (2009):** A NewSQL database that provides high-speed transactions with real-time analytics.

## 8. Cloud Databases and Serverless Databases (Present)

- **Introduction:** Cloud-based databases allow organizations to manage databases without worrying about the underlying infrastructure. **Serverless databases** offer automatic scaling, high availability, and cost-efficiency.
- **Examples of Cloud Databases:**
  - Amazon RDS (2009): A managed relational database service by AWS supporting multiple database engines (MySQL, PostgreSQL, etc.).
  - Google Cloud SQL (2011): Managed SQL databases by Google.
  - Azure SQL Database (2010): Managed database service provided by Microsoft.
- **Examples of Serverless Databases:**
  - Amazon DynamoDB (2012): Fully managed, serverless key-value database by AWS.
  - Fauna (2017): A serverless database with ACID transactions and global distribution.
- **Advantages:**
  - **Elastic Scaling:** Automatically scale up or down based on workload.
  - **Reduced Management:** No need to manage hardware or perform manual scaling.
  - **Cost Efficiency:** Pay only for what you use.
- **Limitations:** Limited control over the underlying infrastructure, potential vendor lock-in.

## 9. Future Trends: AI-Driven and Quantum Databases

- **AI-Driven Databases:** Emerging technologies are integrating **machine learning** to automate database management, optimize queries, detect anomalies, and even predict data patterns.
- **Quantum Databases:** Quantum computing may eventually revolutionize how databases handle large amounts of data, offering faster querying and solving complex data relationships with quantum algorithms.
- **Examples of Research and Emerging Systems:**
  - SingleStore (2011, formerly MemSQL): Uses machine learning to optimize queries and database management.
  - Google Quantum AI (ongoing): Google's efforts in quantum computing are laying the groundwork for future quantum databases.

### Advantages of DBMS:

- 1. Data Integrity and Consistency:** DBMS ensures data integrity by applying rules to maintain data correctness and consistency. For example, constraints like UNIQUE, NOT NULL, PRIMARY KEY ensure data is reliable and accurate. This helps in maintaining the correctness of the data, as all users get consistent and accurate data even if they access it from different locations.
- 2. Data Security:** DBMS provides a robust mechanism to protect sensitive data through access controls and authentication. Administrators can define user roles and grant specific permissions to ensure only authorized users can access or modify certain data.
- 3. Data Independence:** The structure of data (data schema) is separated from the applications using the data. This independence means that changes in the schema (like adding new fields or tables) do not necessarily require changes in the applications that use the data. This improves the flexibility and scalability of systems.
- 4. Efficient Data Access:** DBMS uses sophisticated query optimization techniques to ensure fast retrieval of data. Using SQL (Structured Query Language), complex queries can be executed to retrieve, update, or manipulate large sets of data efficiently.
- 5. Minimized Data Redundancy:** Through normalization and the use of relational models, DBMS reduces data redundancy (duplicate data) by ensuring that data is stored only once in the system. This also improves data consistency across the system.
- 6. Concurrent Access:** DBMS allows multiple users to access and manipulate data concurrently while maintaining data consistency. This is achieved through locking mechanisms, transaction management, and concurrency controls to prevent conflicts like dirty reads and lost updates.
- 7. Data Backup and Recovery:** DBMS provides tools and mechanisms for regular data backup and recovery. In case of system failures or crashes, DBMS ensures that the data can be restored to a consistent state, protecting against data loss.
- 8. Improved Data Sharing:** DBMS facilitates data sharing across multiple users and applications. With centralized data management, data can be easily shared across departments or even across locations, allowing for better collaboration and decision-making.
- 9. Reduced Application Development Time:** Since DBMS provides standard methods for accessing data, developers do not need to write custom code for managing data. This reduces development time and allows for faster application deployment.
- 10. Enhanced Data Relationships:** DBMS can model and manage complex relationships between different datasets using foreign keys, joins, and relationships in relational models, making it easier to represent real-world relationships in the database.

#### Disadvantages of DBMS:

- 1. Cost of Hardware and Software:** DBMS requires powerful hardware and advanced software licenses, which can be expensive. Organizations need to invest in servers, storage devices, and sometimes proprietary software, increasing the overall cost of implementation.
- 2. Complexity:** Setting up, maintaining, and using a DBMS requires a high level of expertise. The complexity of a DBMS can lead to challenges in system setup, database design, and performance tuning, which may require skilled database administrators (DBAs).
- 3. Performance Overhead:** A DBMS introduces additional overhead in managing data compared to traditional file systems. For example, the system needs to handle locking, transactions, logging, and



concurrency control, which can result in slower performance for certain tasks or small-scale applications.

**4. Initial Setup Costs:** Implementing a DBMS from scratch can be time-consuming and costly. The initial setup involves a significant amount of time for design, installation, and configuration, which may lead to delays in project timelines.

**5. Security Risks:** While DBMS offers strong security features, it also becomes a target for potential attacks. If vulnerabilities exist, unauthorized access, hacking, or data breaches can occur, compromising sensitive data. Proper security management and updates are crucial to avoid such risks.

**6. Frequent Maintenance:** A DBMS requires regular maintenance for performance tuning, database backups, and updates. This ongoing maintenance can be resource-intensive and may require dedicated personnel to ensure the system runs efficiently.

**7. Complex Recovery in Case of Failure:** In case of system failure, recovery can be complex. Even though DBMS provides tools for recovery, restoring the system to its previous state may involve significant effort, especially if the failure affects data integrity or consistency.

**8. Vendor Dependence:** Many organizations rely on specific DBMS vendors (like Oracle, Microsoft, IBM). Switching from one DBMS to another can be challenging due to compatibility issues, data migration, and reliance on vendor-specific features. This can lead to vendor lock-in, where businesses are dependent on a specific vendor for support and updates.

**9. Backup Costs and Downtime:** Frequent data backups are required to avoid data loss, but the backup process itself can be time-consuming and may cause system downtime. Managing and storing backups can also incur additional costs.

**10. Specialized Training:** Using a DBMS effectively requires specialized knowledge in database design, administration, and querying (SQL). Organizations need to invest in training their staff or hiring skilled personnel, which can increase operational costs.

#### Tasks Allowed by DBMS:

DBMS allows users to perform various tasks that involve the creation, management, manipulation, and control of data. These tasks ensure that users can efficiently interact with the database for different purposes, such as data entry, retrieval, modification, security, and maintenance.

Here are the key tasks that users can perform with a DBMS:

1. **Data Definition:** Creating and modifying database structures (e.g., tables, indexes).
2. **Data Manipulation:** Inserting, updating, retrieving, and deleting data.
3. **Querying Data:** Executing simple and complex SQL queries.
4. **Transaction Management:** Handling transactions with commit, rollback, and savepoint functionality.
5. **Data Integrity and Validation:** Defining rules to maintain data accuracy and consistency.
6. **Data Security and Access Control:** Managing user permissions and controlling access.
7. **Backup and Recovery:** Performing backups and restoring data in case of failure.
8. **Performance Optimization:** Indexing and optimizing queries for better performance.

9. **Data Sharing and Collaboration:** Supporting multiple users and applications accessing data concurrently.
10. **Database Maintenance:** Ensuring database health through archiving, cleaning, and reindexing.
11. **Data Migration and Conversion:** Migrating data and transforming data formats.
12. **Report Generation:** Creating reports from the data for business insights and analysis.

These tasks provide users with comprehensive capabilities to manage and manipulate data efficiently, ensuring that data is accurate, secure, and readily available for business operations.

### Comparison of DBMS and File System:

A DBMS provides a more powerful, flexible, and efficient way to manage data compared to traditional file systems. DBMSs are designed to handle large volumes of data, ensure data integrity and security, and support concurrent access. File systems, while simpler, are more suited for basic data storage but struggle with data consistency, redundancy, and scalability as the complexity of data increases.

Feature	DBMS	File System
Data Structure	Organized in tables, rows, and columns	Unstructured, stored in flat files
Redundancy	Minimized through normalization	Higher due to data duplication
Data Consistency	Maintains through integrity constraints	Hard to manage, prone to inconsistencies
Security	Advanced with authentication and access control	Basic OS-level security
Concurrent Access	Supports multiple users with transaction control	Limited, prone to conflicts and overwriting
Backup & Recovery	Automated backup and recovery tools	Manual, less reliable
Query Language	SQL for powerful data retrieval and manipulation	No built-in query language, custom programming
Data Independence	High, changes in structure don't affect applications	Low, tightly coupled with applications
Transaction Management	Full support with ACID properties	No built-in transaction management
Scalability	Highly scalable	Not easily scalable
Performance	Optimized with indexing and caching	Limited, slower with large data

### ACID Properties:

ACID properties are a set of rules that ensure the reliability, consistency, and integrity of transactions in a database system, particularly in a Database Management System (DBMS). These properties are crucial for maintaining database integrity, especially in multi-user environments where concurrent transactions occur.

**ACID** stands for: **A**tomicity, **C**onsistency, **I**solation and **D**urability

### 1. Atomicity:

- **Definition:** The principle that a transaction must be treated as a single, indivisible unit of work. Either **all operations** within the transaction are completed successfully, or **none** of them are.
- **Example:** Imagine a banking transaction where you are transferring ₹1000 from Account A to Account B. The transaction involves two operations:
  1. Deducting ₹1000 from Account A.
  2. Adding ₹1000 to Account B.

If for some reason the second operation (adding money to Account B) fails, the first operation (deducting money from Account A) should also be undone. The system will "roll back" the entire transaction to ensure that the accounts remain unchanged.

- **Key Benefit:** Atomicity ensures that no partial or incomplete transactions occur, preventing data inconsistencies.

### 2. Consistency:

- **Definition:** A transaction must **leave the database in a valid state**, adhering to all predefined **rules, constraints, and triggers**. It means the data should always remain accurate and valid both before and after the transaction.
- **Example:** Consider a rule in a student database that the age of a student must be greater than 0. If a transaction attempts to enter a negative age, the DBMS will reject the transaction to maintain data consistency. The system enforces rules like foreign keys, unique constraints, and data validation.
- **Key Benefit:** Consistency ensures that only valid data can be written to the database, thereby protecting against corruption and invalid entries.

### 3. Isolation:

- **Definition:** **Isolation** ensures that **concurrent transactions** (transactions occurring at the same time) do not affect each other. Each transaction should be **executed as if it were the only transaction** in the system.
- **Example:** Suppose two customers are simultaneously trying to purchase the last available item in an online store. Isolation ensures that each transaction is processed independently, and only one of the customers successfully purchases the item. The other transaction will see the updated stock (out of stock) and will fail.
- **Key Benefit:** Isolation ensures that concurrent transactions do not result in inconsistent data, preventing issues such as lost updates, dirty reads, and non-repeatable reads.
- **Isolation Levels:**

- **Read Uncommitted:** Lowest level, allows dirty reads (reading uncommitted changes).
- **Read Committed:** Prevents dirty reads; only committed data can be read.
- **Repeatable Read:** Prevents dirty reads and non-repeatable reads.
- **Serializable:** Highest isolation level, transactions are fully isolated from each other.

#### 4. Durability:

- **Definition:** **Durability** ensures that once a transaction has been committed, the changes are permanent and will persist, even in the event of a system crash, power failure, or any other failure. This is typically achieved using transaction logs or backups.
- **Example:** After transferring money between two bank accounts, if the system crashes, the changes (i.e., the updated balances) must still be present after the system is restored. The transaction should not be lost, even if a failure occurred after it was committed.
- **Key Benefit:** Durability ensures that committed transactions are not lost, maintaining the reliability of the system.

**In Short: ACID properties in databases ensure reliable transactions. They stand for:**

- **Atomicity:** Ensures that a transaction is either fully completed or fully rolled back. No partial execution.
- **Consistency:** Guarantees that the database remains in a valid state before and after the transaction. No corruption.
- **Isolation:** Ensures that concurrent transactions do not interfere with each other. Results must be as if transactions were executed sequentially.
- **Durability:** Ensures that once a transaction is committed, it remains permanently stored even in case of system failures.

### Architecture of a Database Management System (DBMS)

The **architecture of a Database Management System (DBMS)** defines how the system is structured, organized, and how different components interact to manage, store, and retrieve data efficiently. DBMS architecture can be broadly classified into three categories based on the interaction between users and the database: **1-tier, 2-tier, and 3-tier architectures**. The architecture also incorporates various internal components that are responsible for handling different tasks such as query processing, transaction management, data storage, and more.

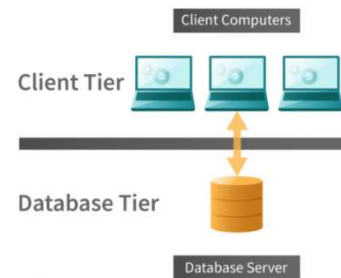
#### 1. 1-Tier Architecture (Single-Tier Architecture)

- **Overview:** In this architecture, the DBMS and the user interface reside on the same machine. The database is directly accessible to the user, without the involvement of any intermediary layers.
- **Use Case:** Primarily used for database development and management where the database administrator (DBA) or developer can directly access the database. It is rarely used in production systems because it doesn't separate the database logic from the application.
- **Example:** Running a SQL query directly on a local database using tools like MySQL Workbench or SQL Server Management Studio.



## 2. 2-Tier Architecture (Client-Server Architecture)

- **Overview:** The 2-tier architecture introduces a client and a server. Here, the application (client) directly communicates with the database server. The **client-side** handles the **user interface** and logic, while the **server-side** manages the **database** and processing requests.
- **Components:**
  - **Client:** The application that runs on the user's machine and interacts with the database through queries.
  - **Server:** The DBMS software that processes the client's requests, queries the database, and returns the result.
- **Advantages:**
  - More secure than 1-tier as the client does not have direct access to the database.
  - Easier to maintain compared to 1-tier systems.
- **Disadvantages:**
  - Limited scalability as all database queries are handled by the database server alone.
- **Example:** A web application where the user interacts with a form on the client-side, and the data is fetched or updated from a remote database server.



## 3. 3-Tier Architecture (Multi-Tier Architecture)

- **Overview:** The 3-tier architecture is the most common and widely used DBMS architecture. It separates the system into three layers: the **presentation layer** (UI), the **application layer** (business logic), and the **database layer** (DBMS).
- **Components:**

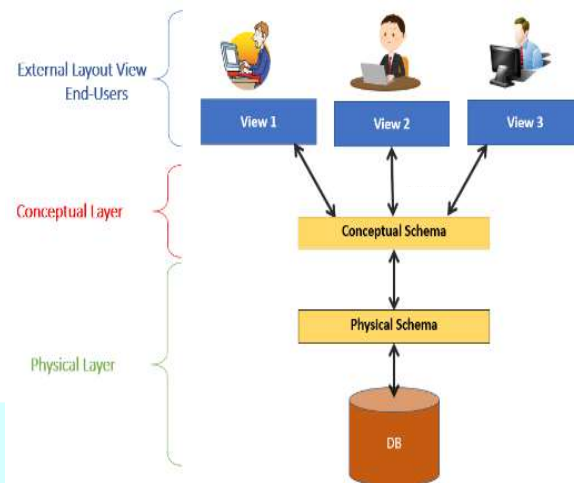
1. **Presentation Layer (UI):** The topmost layer where users interact with the application, typically through a graphical user interface (GUI) or web interface.

2. **Application Layer (Logic Tier):**

This layer sits between the user interface and the database. It handles business logic, processes client requests, and acts as a bridge between the UI and the database. The user doesn't directly interact with the database.

3. **Database Layer (Data Tier):**

The DBMS itself, where the actual data resides. This layer handles database operations like querying, storage, indexing, and transaction management.



- **Advantages:**

- **Security:** The application layer serves as a buffer between the user and the database, reducing the risk of direct database access.
- **Scalability:** The architecture can be scaled easily by adding more servers to the application layer or database layer.
- **Maintenance:** Each layer can be updated or modified independently without affecting the other layers.

- **Disadvantages:**

- More complex to implement and manage than 2-tier architecture due to additional layers.

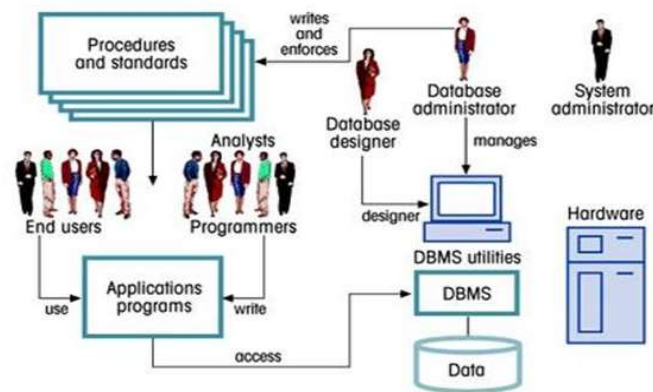
- **Example:** A typical **web application** where:

- The **UI** is a web browser.
- The **application server** handles business logic (e.g., user authentication, processing requests).
- The **database server** stores and retrieves data.

### Components of DBMS:

A **Database Management System (DBMS)** consists of various components that work together to manage, store, retrieve, and manipulate data efficiently. These components ensure the smooth functioning of the DBMS and facilitate user interaction with the database. Below are the key components of a DBMS:





The Database System Environment

### 1. Database Engine:

The database engine is the core component of a DBMS responsible for managing and processing data. It performs all the tasks related to storing, retrieving, and manipulating data in the database.

- **Data Storage:** Manages the actual storage of data on physical media (disk, memory).
- **Data Processing:** Executes the queries and operations requested by users or applications.
- **Data Access:** Provides efficient access to data using indexing, partitioning, etc.

### 2. Database Schema:

The schema defines the structure of the database. It describes how the data is organized, including tables, columns, data types, relationships, and constraints.

- **Logical Schema:** Defines the logical structure, such as tables, columns, relationships, and keys.
- **Physical Schema:** Describes how data is stored physically (e.g., file formats, storage blocks).
- **View Schema:** Defines user views of data, enabling customized data representations.

### 3. Data Dictionary (Metadata):

The data dictionary is a catalog or repository of metadata (data about data). It contains detailed information about the database objects like tables, indexes, views, users, and security permissions.

- **Table Descriptions:** Provides information about table structures, such as column names and data types.
- **Constraint Information:** Stores details about integrity constraints like primary keys, foreign keys, and unique constraints.
- **User Permissions:** Tracks user roles and access privileges.

### 4. Query Processor:

The query processor interprets and executes the database queries (usually SQL commands) issued by users or applications. It translates high-level queries into a series of low-level instructions that the database engine can execute.

- **Query Parser:** Analyses and validates SQL queries for syntax errors and correctness.

- **Query Optimizer:** Determines the most efficient way to execute a query by selecting the best query plan.
- **Query Executor:** Executes the optimized query and retrieves the required data from the database.

### 5. Transaction Management:

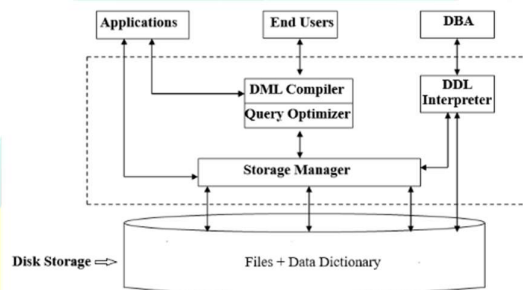
Transaction management ensures the consistency and integrity of the database by managing transactions. It ensures that operations are completed fully or not at all, even in the event of system failures.

- **ACID Properties:** Maintains **Atomicity**, **Consistency**, **Isolation**, and **Durability** during transactions.
- **Concurrency Control:** Manages simultaneous access to the database by multiple users, ensuring that data remains consistent.
- **Transaction Log:** Records changes made during transactions to support rollback and recovery.

### 6. Storage Manager:

The storage manager is responsible for managing how data is physically stored in the database system, including files, indexes, and memory. It interacts with the file system of the operating system to store and retrieve data.

- **Disk Storage:** Manages the physical storage of data on disk.



- **Buffer Management:** Manages in-memory data caching and ensures quick access to frequently used data.
- **File Management:** Organizes the database files and ensures data is stored efficiently.

### 7. Database Users (User Interfaces):

The DBMS provides various interfaces for different types of users to interact with the database. These interfaces allow users to perform tasks like writing queries, updating data, and generating reports.

- **Graphical User Interface (GUI):** Provides an easy-to-use interface for interacting with the database without needing to write SQL commands.
- **Command-Line Interface (CLI):** Allows advanced users to interact with the database by writing SQL queries directly.
- **Application Programming Interface (API):** Enables applications to communicate with the database programmatically, often via languages like SQL or drivers like ODBC/JDBC.

### 8. Data Security and Integrity Manager:

This component is responsible for enforcing security policies and data integrity rules in the database. It ensures that only authorized users can access or modify the data and that data remains accurate and consistent.

- **User Authentication:** Verifies the identity of users before granting them access to the database.
- **Access Control:** Defines and enforces user roles, permissions, and privileges (e.g., read, write, modify).
- **Data Integrity:** Ensures the correctness and accuracy of data by enforcing integrity constraints (e.g., foreign keys, unique constraints).

### 9. Backup and Recovery Manager:

The backup and recovery manager ensures the protection and availability of data in the event of system failures, crashes, or data corruption.

- **Backup:** Creates periodic backups of the database to prevent data loss.
- **Recovery:** Restores the database from backup copies after a system crash or failure.
- **Logging:** Maintains transaction logs to help recover the database to a consistent state after failure.

### 10. Reporting and Analytics Tools:

Many DBMS systems provide built-in tools for generating reports and performing analytics. These tools allow users to extract insights and visualize data.

- **Report Generator:** Allows users to create and customize reports based on data in the database.
- **Analytics Engine:** Supports advanced data analysis, such as business intelligence (BI), data mining, and machine learning.

### 11. Indexing and Performance Optimization Manager:

This component is responsible for improving query performance by creating and managing indexes on database tables and optimizing query execution.

- **Index Management:** Creates indexes on columns to speed up search and retrieval operations.
- **Query Optimization:** Optimizes the execution plan of queries for faster response times.

### 12. Data Communication Interfaces:

These interfaces facilitate communication between the DBMS and external systems, ensuring the exchange of data between different applications and databases.

- **ODBC/JDBC Interfaces:** Allow applications to connect to and interact with the database.
- **Client-Server Communication:** Handles the communication between the client application and the database server in distributed database environments.

### Levels Of Abstraction:

In a Database Management System (DBMS), **levels of abstraction** describe how data is presented and organized to different users and system components. It helps to separate the complexities of the data's physical storage from how users interact with it, ensuring simplicity and security. The three main levels of abstraction are:

1. **Physical Level** (Internal Level)
2. **Logical Level** (Conceptual Level)
3. **View Level** (External Level)

These levels ensure data independence, which allows changes at one level to occur without affecting the others. Let's explore each level in detail.

**1. Physical Level (Internal Level):** The physical level is the **lowest level of abstraction** that describes how data is **actually stored on the storage medium** (e.g., hard drives, SSDs). It details the **physical storage** structure, including the use of indexes, file organization, storage blocks, and data compression techniques.

- **Focus:** At this level, the focus is on the **hardware** and **data storage mechanisms**—how data is written, stored, and retrieved from the disk. It also manages aspects like:
  - Data storage formats (e.g., binary, B-trees).
  - Disk block allocation.
  - Data access paths and indexing.
- **Who interacts with it:** Only **database administrators (DBAs)** or system designers need to understand the physical level, as they manage the actual storage mechanism and performance tuning.

**2. Logical Level (Conceptual Level):** The logical level is the middle level of abstraction that defines what data is stored in the database and the relationships between those data elements. It provides a logical view of the entire database structure, without concern for how the data is physically stored.

- **Focus:** At this level, the focus is on the data model—how the data is structured and organized, including tables, attributes, relationships, and constraints. This is where the schema (blueprint) of the database is defined, including:
  - Tables, fields, and their data types.
  - Relationships between entities (e.g., one-to-many, many-to-many).
  - Data integrity constraints (e.g., foreign key constraints).
- **Who interacts with it:** Database administrators (DBAs) and developers who design the database and define the schema. The logical level is independent of the physical storage details, ensuring physical data independence.
- **Example:** In the same customer database, the logical level defines tables like Customers, Orders, and Products. It specifies that each customer can place multiple orders and describes how customers and orders are related without worrying about how the data is stored on disk.

**3. View Level (External Level):** The view level is the highest level of abstraction that defines how specific users or applications interact with the database. It provides a customized view of the data, allowing different users to see the data they need, without exposing the complexity of the full database.

- **Focus:** The view level is about user interaction and data access control. It allows different users to access only a subset of the data based on their needs and permissions. A user can only see views relevant to them, which may consist of:
  - Subsets of data (e.g., selected columns or rows).
  - Aggregated or derived data (e.g., totals, averages).
  - Virtual tables (views) based on queries.
- **Who interacts with it:** End users and application developers. Different users may have different views depending on their roles (e.g., customers, employees, managers). The view level ensures logical data independence, as the user's view can be altered without changing the underlying logical structure.
- **Example:** In the customer database, a customer might only be able to view their own personal information and order history, while an admin can see all customers and their orders. These are different views of the same data tailored to the needs of each user.

### Data Model:

A **data model** in a Database Management System (DBMS) defines how data is structured, stored, and manipulated within the database. It provides the blueprint or framework for organizing data and helps users understand how the data relates to each other. Data models specify how data is linked, how data integrity is maintained, and how it can be accessed.

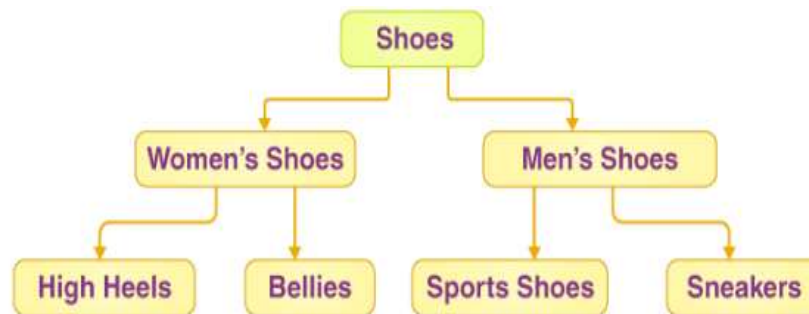
There are several types of data models, each suitable for different types of databases and use cases. The most used data models are:

1. **Hierarchical Data Model**
2. **Network Data Model**
3. **Relational Data Model**
4. **Object-Oriented Data Model**
5. **Entity-Relationship Model (ER Model)**
6. **Document Data Model**
7. **Key-Value Data Model**
8. **Columnar Data Model**
9. **Graph Data Model**

Let's explore each data model in detail.

#### 1. Hierarchical Data Model

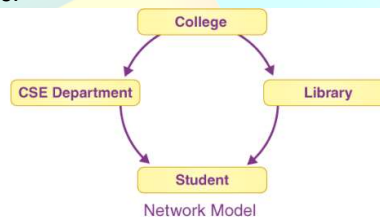
- **Overview:** In this model, data is organized in a tree-like structure where each record has a single parent but can have multiple children. It's a parent-child relationship similar to a hierarchy.
- **Structure:**
  - Data is stored in a hierarchy, where each level represents a higher level of abstraction.
  - A **parent node** can have multiple **child nodes**, but a child can only have one parent.



- **Use Case:** It is used in applications with data that follows a clear hierarchy like file systems, organizational charts, or data management systems.
- **Advantages:**
  - Simple and easy to understand.
  - Efficient when dealing with hierarchical data structures.
- **Disadvantages:**
  - Lack of flexibility: A child node can only have one parent.
  - Difficult to query and maintain in systems with complex relationships.
- **Example:** An organization's management structure, where a manager oversees multiple employees, but each employee reports to only one manager.

## 2. Network Data Model

- **Overview:** The network data model is an extension of the hierarchical model but allows more flexible relationships. In this model, a record can have multiple parent and child nodes, forming a graph-like structure (a mesh of interconnected nodes).
- **Structure:**
  - Data is represented as records and sets.
  - Unlike the hierarchical model, a child can have multiple parents, allowing **many-to-many** relationships.



- **Use Case:** Useful for complex applications where multiple relationships need to be modeled, such as telecommunication networks or transport routes.
- **Advantages:**
  - Supports many-to-many relationships.
  - More flexible than the hierarchical model.
- **Disadvantages:**
  - Complex to design and maintain.
  - Difficult to query, as relationships are not straightforward.
- **Example:** A university system where students enroll in multiple courses and each course can have multiple students.

## 3. Relational Data Model

- **Overview:** The relational data model organizes data into **tables (relations)**, where each table consists of rows (records) and columns (attributes). This model uses **primary keys** to uniquely identify each row and **foreign keys** to establish relationships between tables.
- **Structure:**



- Data is stored in tables, with rows representing entities (e.g., customers, products) and columns representing attributes (e.g., customer name, product price).
- Relationships between tables are represented using **foreign keys**.

student_id	name	age
1	Akon	17
2	Bkon	18
3	Ckon	17
4	Dkon	18

subject_id	name	teacher
1	Java	Mr. J
2	C++	Miss C
3	C#	Mr. C Hash
4	Php	Mr. P H P

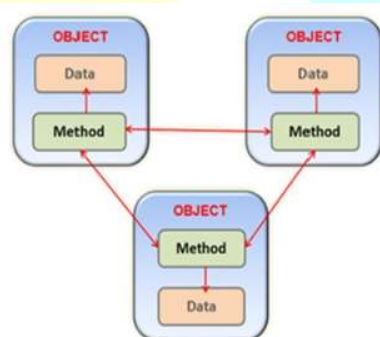
  

student_id	subject_id	marks
1	1	98
1	2	78
2	1	76
3	2	88

- **Use Case:** Widely used in business applications, financial systems, and web applications.
- **Advantages:**
  - Simple and easy to query using **SQL**.
  - Data can be easily updated, retrieved, and maintained.
  - Supports strong **ACID properties** (Atomicity, Consistency, Isolation, Durability).
- **Disadvantages:**
  - Not well-suited for handling complex relationships (e.g., hierarchical or network-like data).
  - Performance can degrade with a large number of joins between tables.
- **Example:** A retail database where a **Customers** table and **Orders** table are linked by a **customer\_id** (foreign key).

#### 4. Object-Oriented Data Model

- **Overview:** This model integrates concepts from **object-oriented programming** with database systems. Data is stored in the form of objects, which are instances of classes, similar to how objects are defined in programming languages like Java or C++.
- **Structure:**
  - Data is stored in objects, which encapsulate both **attributes** and **methods** (functions that operate on the data).
  - Objects can inherit properties from parent objects, enabling **inheritance** and **polymorphism**.



- **Use Case:** Primarily used in **CAD systems**, **multimedia applications**, and systems where complex data relationships need to be modelled.
- **Advantages:**
  - Supports complex data types and relationships.
  - Allows for code reusability and modularity through inheritance and polymorphism.
- **Disadvantages:**
  - More complex to design and implement compared to the relational model.
  - Less efficient for simple queries.

- **Example:** A multimedia system where audio, video, and image objects inherit common attributes from a parent **Media** object class.

## 5. Entity-Relationship (ER) Model

- **Overview:** The ER model is a high-level conceptual data model that uses **entities** (things or objects in the real world) and **relationships** to model data. It's often used in the initial design phase of a database to conceptualize how data will be structured.
- **Structure:**
  - **Entities** represent objects (e.g., customers, products).
  - **Attributes** describe the properties of entities (e.g., customer name, product price).
  - **Relationships** represent interactions between entities (e.g., customers place orders).
- **Use Case:** Commonly used in the **database design phase** before implementing a relational database.
- **Advantages:**
  - Provides a clear, conceptual view of data and relationships.
  - Useful for database design.
- **Disadvantages:**
  - Requires translation into a physical data model before implementation.
- **Example:** A **Customer** entity is linked to an **Order** entity through a **places** relationship.

## 6. Document Data Model

- **Overview:** The document data model is a type of **NoSQL** model where data is stored in **documents**, typically in formats like **JSON** or **XML**. Each document is self-contained and may contain nested structures.
- **Structure:**
  - Data is stored in documents (e.g., JSON objects), where each document can have a different structure.
  - Documents are usually grouped into **collections**.
- **Use Case:** Common in **NoSQL databases** like MongoDB, which are used for handling large amounts of unstructured or semi-structured data.
- **Advantages:**
  - Highly flexible; documents can have varying structures.
  - Efficient for storing semi-structured or unstructured data.
- **Disadvantages:**
  - Less suitable for complex queries and transactions compared to relational models.
- **Example:** A MongoDB document representing a customer with fields like name, address, and an array of orders.

## 7. Key-Value Data Model

- **Overview:** This model is a simple NoSQL model where data is stored as **key-value pairs**. Each key is associated with a single value.
- **Structure:**
  - Data is represented as a collection of key-value pairs.
  - The key is unique, and the value can be a simple data type or a complex object.
- **Use Case:** Common in high-performance systems like **caching** (e.g., Redis, DynamoDB).
- **Advantages:**
  - Extremely fast for lookups and storage.
  - Simple and efficient for read-heavy operations.
- **Disadvantages:**
  - Limited query functionality; no relational data support.

- **Example:** A key-value pair like {"customer\_id": 12345, "name": "John Doe"} in a caching system.

## 8. Columnar Data Model

- **Overview:** In this model, data is stored in **columns** instead of rows. It's optimized for analytical workloads where queries typically involve aggregating values from specific columns.
- **Structure:**
  - Data is stored in columns rather than rows, which allows for efficient data compression and retrieval in analytics.
- **Use Case:** Used in **data warehousing** and **business intelligence** systems, such as Apache HBase and Cassandra.
- **Advantages:**
  - High performance for read-heavy analytical queries.
  - Efficient storage through column-level compression.
- **Disadvantages:**
  - Not suitable for transactional databases.
- **Example:** A column-oriented database where sales data for each product is stored in separate columns like product\_id, sales\_amount, sales\_date.

## 9. Graph Data Model

- **Overview:** The graph model represents data as **nodes (vertices)** and **edges** (relationships), which is ideal for scenarios with complex, interconnected relationships.
- **Structure:**
  - Data is represented as nodes (entities) and edges (relationships).
  - Nodes can store properties, and edges define how nodes are related.
- **Use Case:** Used in social networks, recommendation engines, and systems with highly interconnected data (e.g., Neo4j).
- **Advantages:**
  - Efficient for traversing and querying complex relationships.
  - Ideal for modelling many-to-many relationships.
- **Disadvantages:**
  - More complex query language (e.g., Cypher in Neo4j) compared to SQL.
- **Example:** A social network where users (nodes) are connected by \*\*friend

## Instances, Schemas, and Data Independence:

**1. Instances:** Instances refer to the actual data stored in a database at a particular point in time. An instance of a database is a snapshot of the data as it exists in the database tables at that moment. Instances are the real-world data that populate the database schema.

### Key Points:

- **Data Storage:** Instances represent the data stored in the database tables.
- **Dynamic Nature:** Instances can change over time as data is inserted, updated, or deleted.
- **Real-World Representation:** Instances are the actual data that users interact with, such as customer records, order details, and employee information.

**Example:** In a database for a library system, an instance might include the actual records of books, members, and borrowed books at a specific time.

**2. Schemas:** Schemas define the structure of a database, including the organization of data, the relationships between different data elements, and the constraints that govern the data. A schema is

a blueprint that describes the tables, columns, data types, indexes, and relationships within the database.

Key Points:

- **Database Structure:** Schemas define the structure of the database, including tables, columns, data types, and relationships.
- **Constraints:** Schemas include constraints such as primary keys, foreign keys, unique constraints, and check constraints.
- **Logical Design:** Schemas represent the logical design of the database, outlining how data is organized and related.

**Example:** In a library system database, the schema might define tables such as Books, Members, and BorrowedBooks, along with their columns, data types, and relationships (e.g., a Member can borrow multiple Books).

**3. Data Independence:** Data Independence refers to the ability to modify the database schema without affecting the applications that use the database. It ensures that changes to the database structure do not require changes to the application code. Data independence is crucial for maintaining the flexibility and scalability of the database.

Key Points:

- **Logical Data Independence:** Allows changes to the logical structure of the database (e.g., adding new tables or columns) without affecting the applications that use the database.
- **Physical Data Independence:** Allows changes to the physical storage of the database (e.g., changing the storage format or indexing) without affecting the logical structure or the applications.

**Example:** In a library system, if the database schema needs to be updated to include a new table for Authors, data independence ensures that the existing applications that interact with the Books and Members tables do not need to be modified.

**Database Languages:** The database languages plays a specific role, allowing database administrators, developers, and analysts to efficiently interact with and manage databases. Understanding the different types of database languages—DDL, DML, DCL, DQL, and TCL—is crucial for effective database management.

- DDL is used to define the structure of the database.
- DML is used to manipulate the data.
- DCL is used to control access to the data.
- DQL is used to query the data.
- TCL is used to manage transactions.

These languages provide the tools necessary to create, modify, and interact with databases, ensuring data integrity, security, and efficiency.