

Database Management Systems

Relational Algebra: Basics

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1 Projection as Applied in Relational Algebra and SQL

1.1 Relational Algebra Projection (π)

Purpose: Retrieves specific columns (attributes) from a relation (table). It focuses on reducing the number of columns in the output.

Mathematical Notation:

$$\pi_{A_1, A_2, \dots, A_n}(R)$$

where:

- A_1, A_2, \dots, A_n are the attributes (columns) you want to keep.
- R is the relation (table).

Description:

- Projection eliminates unwanted attributes (columns) but retains only the specified ones.
- Duplicate rows are removed because relations in relational algebra are sets, and sets do not allow duplicates.

Example:

Suppose R is a relation:

$$R = \{(1, 'Alice', 23), (2, 'Bob', 30), (3, 'Alice', 23)\}$$

with attributes **ID**, **Name**, and **Age**.

If you apply $\pi_{\text{Name, Age}}(R)$, the result is:

$$\{('Alice', 23), ('Bob', 30)\}$$

Notice that duplicates are removed.

1.2 SQL Projection (SELECT)

Purpose: Retrieves specific columns from a table, similar to projection in relational algebra.

SQL Syntax:

```
SELECT column1, column2, ... FROM TableName;
```

Description:

- SQL's **SELECT** statement works similarly to projection in relational algebra, as it retrieves only specified columns.
- However, SQL does **not** remove duplicates by default (because SQL treats tables as bags (multi-sets) rather than sets).
- To remove duplicates, you need to use **DISTINCT**.

Example 1 (Without **DISTINCT**):

```
SELECT Name, Age FROM R;
```

Result:

| Name | Age |
|-------|-----|
| Alice | 23 |
| Bob | 30 |
| Alice | 23 |

Duplicates are retained.

Example 2 (With **DISTINCT**):

```
SELECT DISTINCT Name, Age FROM R;
```

Result:

| Name | Age |
|-------|-----|
| Alice | 23 |
| Bob | 30 |

Duplicates are removed, making this behavior identical to relational algebra projection.

1.3 Comparison of Projection in Relational Algebra and SQL

| Feature | Relational Algebra (π) | SQL (SELECT) |
|----------------------------------|------------------------------|-------------------------|
| Purpose | Select specific columns | Select specific columns |
| Duplicate Removal | Yes (always) | No (by default) |
| How to Remove Duplicates? | Always removes duplicates | Use DISTINCT |

1.4 Key Takeaways

- Projection in relational algebra always removes duplicates, treating relations as sets.
- SQL's **SELECT** does **not** remove duplicates unless explicitly requested using **DISTINCT**.

2 Selection as Applied in Relational Algebra and SQL

2.1 Relational Algebra Selection (σ)

Purpose: Retrieves specific rows (tuples) from a relation based on a condition. It focuses on filtering rows.

Mathematical Notation:

$$\sigma_{\text{condition}}(R)$$

where:

- **condition** is a Boolean expression (e.g., comparisons like $=, >, <, \geq, \neq$ and logical operators like AND, OR, NOT) applied to attributes of the relation R .
- R is the relation (table).

Description:

- Selection filters the rows in R based on the given condition.
- It does not change the number of columns; only rows satisfying the condition are included in the output.

Example:

Suppose R is a relation:

$$R = \{(1, 'Alice', 23), (2, 'Bob', 30), (3, 'Charlie', 25)\}$$

with attributes **ID**, **Name**, and **Age**.

If you apply $\sigma_{\text{Age} > 25}(R)$, the result is:

$$\{(2, 'Bob', 30)\}$$

Only rows meeting the condition ($\text{Age} > 25$) are returned.

2.2 SQL Selection (WHERE Clause)

Purpose: Retrieves specific rows from a table based on a condition, similar to selection in relational algebra.

SQL Syntax:

```
SELECT * FROM TableName WHERE condition;
```

Description:

- SQL's WHERE clause works similarly to selection in relational algebra, as it filters only specific rows based on the given condition.
- The number of columns remains the same; only the rows change.
- Unlike projection, selection in both SQL and relational algebra behaves identically.

Example:

```
SELECT * FROM R WHERE Age > 25;
```

Result:

| ID | Name | Age |
|----|------|-----|
| 2 | Bob | 30 |

Only rows where Age > 25 are included.

2.3 Relational Algebra Selection with AND, OR, NOT

| Operator | Relational Algebra Notation | SQL Equivalent |
|------------------|--|-----------------------------------|
| AND (\wedge) | $\sigma_{\text{Age} > 20 \wedge \text{Name} = 'Alice'}(R)$ | WHERE Age > 20 AND Name = 'Alice' |
| OR (\vee) | $\sigma_{\text{Age} > 25 \vee \text{Name} = 'Bob'}(R)$ | WHERE Age > 25 OR Name = 'Bob' |
| NOT (\neg) | $\sigma_{\neg(\text{Name} = 'Charlie')}(R)$ | WHERE NOT Name = 'Charlie' |

Example: Selection with AND

```
SELECT * FROM R WHERE Age > 20 AND Name = 'Alice';
```

Relational algebra equivalent:

$$\sigma_{\text{Age} > 20 \wedge \text{Name} = 'Alice'}(R)$$

Result:

| ID | Name | Age |
|----|-------|-----|
| 1 | Alice | 23 |

Example: Selection with OR

```
SELECT * FROM R WHERE Age > 25 OR Name = 'Bob';
```

Relational algebra equivalent:

$$\sigma_{\text{Age} > 25 \vee \text{Name} = 'Bob'}(R)$$

Result:

| ID | Name | Age |
|----|------|-----|
| 2 | Bob | 30 |

Example: Selection with NOT

```
SELECT * FROM R WHERE NOT Name = 'Charlie';
```

Relational algebra equivalent:

$$\sigma_{\neg(\text{Name} = 'Charlie')}(R)$$

Result:

| ID | Name | Age |
|----|-------|-----|
| 1 | Alice | 23 |
| 2 | Bob | 30 |

2.4 Comparison of Selection in Relational Algebra and SQL

| Feature | Relational Algebra (σ) | SQL (WHERE) |
|---------------------|--|---|
| Purpose | Select specific rows based on conditions | Select specific rows based on conditions |
| How It Works? | Uses conditions to filter tuples | Uses WHERE to filter rows |
| Effect on Columns? | No change in number of columns | No change in number of columns |
| Duplicate Handling? | No effect (works on sets) | No effect (duplicates are retained unless DISTINCT is used) |
| Example Notation | $\sigma_{\text{Age} > 25}(R)$ | SELECT * FROM R WHERE Age > 25; |

2.5 Key Takeaways

- Selection in relational algebra and SQL both filter rows based on a condition.
- Logical operators (AND, OR, NOT) work the same way in both relational algebra and SQL.
- Relational algebra uses the σ symbol, while SQL uses the WHERE clause.
- Unlike projection, SQL selection behaves exactly like relational algebra selection—no extra DISTINCT is needed.

GOWDA

3 Combining Projection (π) and Selection (σ)

The combination of projection (π) and selection (σ) is commonly used to both filter rows and reduce the columns in the output.

3.1 Sequence of Operations

1. Apply selection (σ) first to filter rows based on the condition.
2. Apply projection (π) next to retain specific columns from the filtered rows.

Example: Relation R

$$R = \{(1, 'Alice', 23), (2, 'Bob', 30), (3, 'Charlie', 25)\}$$

Attributes: ID, Name, Age.

Query: Select rows where Age > 23 and project only the Name column:

$$\pi_{\text{Name}}(\sigma_{\text{Age} > 23}(R))$$

Step 1 (Selection): Filter rows with Age > 23:

$$\{(2, 'Bob', 30), (3, 'Charlie', 25)\}$$

Step 2 (Projection): Keep only the Name column:

$$\{('Bob'), ('Charlie')\}$$

3.2 Combining Multiple Selection and Projection

You can apply multiple selection conditions before projecting the attributes.

Example:

Select rows where Age > 20 \wedge Name \neq 'Charlie', and project Name and Age:

$$\pi_{\text{Name}, \text{Age}}(\sigma_{\text{Age} > 20 \wedge \text{Name} \neq 'Charlie'}(R))$$

Step 1 (Selection): Filter rows where Age > 20 and Name \neq 'Charlie':

$$\{(1, 'Alice', 23), (2, 'Bob', 30)\}$$

Step 2 (Projection): Retain only the Name and Age columns:

$$\{('Alice', 23), ('Bob', 30)\}$$

3.3 Generalized Workflow for Combining:

1. **Start with Selection:** Focus on filtering the rows using logical conditions.
2. **Apply Projection:** Select the relevant attributes from the filtered rows.
3. **Order of Operations:** Always apply selection first, then projection. This reduces computation cost by working on a smaller subset of data.

Exercise 1: Students

Table: Students

| StudentID | Name | Major | GPA | Year |
|-----------|---------------|----------------|-----|------|
| 101 | <i>John</i> | <i>CS</i> | 3.5 | 2023 |
| 102 | <i>Emma</i> | <i>Math</i> | 3.8 | 2022 |
| 103 | <i>Liam</i> | <i>CS</i> | 2.9 | 2024 |
| 104 | <i>Olivia</i> | <i>Physics</i> | 3.6 | 2023 |
| 105 | <i>Sophia</i> | <i>Math</i> | 3.2 | 2022 |
| 106 | <i>Noah</i> | <i>CS</i> | 3.7 | 2023 |

Query 1: Retrieve students majoring in “CS” with a GPA greater than 3.0.

Relational Algebra:

SQL Equivalent:

Query 2: Retrieve the names of students who are in the year 2023.

Relational Algebra:

SQL Equivalent:

Query 3: Retrieve all details of Math students with a GPA less than 3.5.

Relational Algebra:

SQL Equivalent:

Exercise 2: Products

Table: Products

| ProductID | Name | Category | Price | Stock |
|-----------|-------------------|--------------------|-------|-------|
| 201 | <i>Laptop</i> | <i>Electronics</i> | 1200 | 50 |
| 202 | <i>Chair</i> | <i>Furniture</i> | 150 | 200 |
| 203 | <i>Smartphone</i> | <i>Electronics</i> | 800 | 100 |
| 204 | <i>Table</i> | <i>Furniture</i> | 300 | 80 |
| 205 | <i>Monitor</i> | <i>Electronics</i> | 400 | 70 |
| 206 | <i>Desk</i> | <i>Furniture</i> | 250 | 90 |

Query 1: Retrieve the names of all products in the "Electronics" category.

Relational Algebra:

SQL Equivalent:

Query 2: Retrieve all details of products priced above 500.

Relational Algebra:

SQL Equivalent:

Query 3: Retrieve the names and stock of products in the "Furniture" category.

Relational Algebra:

SQL Equivalent:

Exercise 3: Orders

Table: Orders

| OrderID | CustomerName | Product | Quantity | Date |
|---------|----------------|-------------------|----------|----------------|
| 301 | <i>Alice</i> | <i>Laptop</i> | 1 | 2025 – 01 – 10 |
| 302 | <i>Bob</i> | <i>Chair</i> | 4 | 2025 – 01 – 12 |
| 303 | <i>Charlie</i> | <i>Table</i> | 2 | 2025 – 01 – 15 |
| 304 | <i>Diana</i> | <i>Laptop</i> | 3 | 2025 – 01 – 17 |
| 305 | <i>Emily</i> | <i>Smartphone</i> | 5 | 2025 – 01 – 20 |
| 306 | <i>Frank</i> | <i>Monitor</i> | 1 | 2025 – 01 – 22 |

Query 1: Retrieve the details of orders where the quantity is greater than 2.

Relational Algebra:

SQL Equivalent:

Query 2: Retrieve the names of customers who ordered “Laptop.”

Relational Algebra:

SQL Equivalent:

Query 3: Retrieve the names and stock of products in the “Furniture” category.

Relational Algebra:

SQL Equivalent: