**G.K. SATHYANARAYANAN No.37/5, Srinagar Colony, West Street,**

**Kolathur, Chennai- 600 099.**

**Mobile: 9840876626**

**E-mail Id:** [**sathyanarayanan\_1981@yahoo.co.in**](mailto:sathyanarayanan_1981@yahoo.co.in)

**Certified information security auditor (CISA)**

**ISO- 27001 Certified Professional**

**CCC BIG DATA FOUNDATION CETIFICATE-CLOUD CREDENTIAL COUNCIL**

**ITIL FOUNDATION V3**

**ISO- 27001 Certified Professional**

**CAREER SNAPSHOT**

* Offering an experience of over 14+ years across, Operations, Digital Banking**,** Cards, information Security, Compliances & Risk Control, Product design and internal audit.
* Insightful and technically-advanced Information Security Project Lead with primary expertise in ComplianceAssurance, Business Continuity, Risk Management, Incident Management and third-party risk Management.
* Adept at planning and implementing Business Continuity Plans, Risk Mitigation Plan as per guidelines.
* Facilitating External / Internal audit team and to create remediation plan for audit findings
* Hands-on experience in drafting, reviewing, presenting and publishing security policies also experienced in assuring compliance by conducting internal audits as per the security policy
* Experience InfoSec compliance audit / Inspection, **PCI DSS** Certification.
* Possess keen business acumen in understanding financial requirements and analyzing the Credit / Risk involved in compliance with the rules laid down by various governing bodies and RBI. Adept at enhanced Wireless technologies and online payment business through Retail Market.

**PROFESSIONAL EXPERIENCE:**

**DBS Bank India Limited♦ IS-Compliance & Security Jan 2019 – 31st May2021**

* Responsible for coordination of Developing and Deploying the Infosec systems, processes and procedures
* Responsible for infosec risk monitoring framework implementation as per ISO27001, **PCI DSS, Rbi, Visa, Master** standard
* maintain Compliance & Security practices at Global Delivery Center as per the Client & Internal Corporate policy requirements
* Incident Management, conducting root cause analysis and taking corrective and preventive action to mitigate risk
* maintain SOPs for all Compliance & Security Practices create & maintain Risk Register
* create and test BCP / DR for all critical projects at Delivery Centre
* conduct surprise compliance audit and follow up with the respective team for remediation plan
* facilitate External / Internal audit team and to create remediation plan for audit findings
* conduct periodical awareness session to the DBS employees
* Responsible for coordination of Developing and Deploying the Infosec systems, processes and procedures
* Auditing Information Security stands as per (ISO 27001, **PCI DSS etc**.)
* Maintain a dashboard for Compliance raise alarm to Manager when the health-status is tending towards negative
* Responsible for third party risk assessment and onboarding
* Ongoing engagement with the partners / business teams on the process / policy feedbacks.
* Effectively participate in the bank’s implementation on the digital initiatives & drive system strategies to address the vulnerabilities associated with the same.

**Core Responsibilities**

* Vendor / Outsourced partner evaluation for adequate controls.
* Ensure sampling of the onboarding documents from fraud risk perspective and necessary actions are initiated basis the outcome.
* Trainings to in - house sourcing teams on the due - diligence perspective.
* Track the performance of the partners and ensure the defined benchmark / threshold are under control.
* Periodic analysis of the fraud’s trends and initiate necessary actions.
* Ensuring adherence to regulatory & card scheme guidelines with regard to merchant practices.
* Co - ordinate with the partners to get investigation updates on merchants with deviant transaction / fraudulent pattern.
* Periodical review of the transactional monitoring by the partners and recommends actions.
* Periodical review of Key Entry / International acceptance / Pre - Authorization facilities extended to merchants and ensure logical decisions are taken.
* Coordination with other stakeholders including Operations and Business teams for logical closure of items / process.
* One point contact for FRM vertical to manage all queries, investigation facts & data related
* Publish portfolio trends & have structured reporting mechanism to the Head - Central FRM & Head - FRM and publish critical findings to regional teams, other stakeholders to mitigate frauds.

**People Management or Self - Management Responsibilities**

* Managing the support team - Responsible for the meeting the productivity norms & internal quality & TAT guidelines.
* Mentoring the team, providing regular training, feedbacks and building core efficiency.
* Ensure Outsourced employees are well groomed
* Leave, Shift and Attendance management of outsourced employees,
* To set - up efficient tracking mechanism in place through system generated reports & manual tracker for seamless monitoring of performance and productivity
* Manage the vendor engaged for the activity and ensuring all quality parameters are met.

**Fone paisa♦ Sep-17 to Jun-18 Information Security & Compliance**

* Review implementation of Security Best practices and standards, drive continuous improvements.
* Influence long term security assurance strategy and compliance Risk Management Strategies.
* Co-ordinating with Service provider and switch Vendor for implementation of regulatory guidelines.
* Implementation of security control Automation efforts, Security and compliance at Scale.
* Represent Security posture of the company, in external audits.
* work as the first point of contact for all Information Security & Compliance, Data Privacy, Business Continuity and Technology related requirements for the assigned vertical
* Perform reviews on audit controls and measurements and conduct Risk Assessments to ensure correct practices are established and adhered to.
* Facilitate internal/external audits to ensure nil/minimum non-compliance.
* Report at pre-defined intervals to the appropriate stakeholders on the status of the compliance program.
* Respond appropriately to Business, Chief Risk Office – Investigations Team, if a violation of deviation is uncovered.
* Understand, establish, and monitor adherence to the Business Continuity Plan.
* Undertake and close the BCP testing activities coordination with the delivery SPOC/BCMS team.
* Proficiency in Stakeholder management and senior leadership communication/reporting

**Suryoday small Finance Bank Dec-16 to Jun-17 Regulatory Compliance Manager**

* Planning financial, operational, compliance and regulatory reviews/audits
* Conducting risk assessments and identifying internal controls needed to mitigate identified risks
* Execute end to end process walkthroughs, documentation and testing of internal financial controls
* Assessing and identifying risks by applying business/technical/industry knowledge, and escalating to appropriate managers, as and when needed
* Conduct periodical/ad hoc compliance audits with various departments (Finance, HR, IT, Operations & other business verticals) and report Risks or improvement suggestions to senior management
* Coordinating internal compliance review and monitoring activities, including periodic reviews with stakeholders
* Compliance - RBI guidelines monitoring, reporting & compliance / Coordination with RBI Inspection
* Liaison with various Financial Regulatory Inspections / functional teams
* Handling retail liabilities banking audit / Inspection Stock Broking Branches
* Instrumental in increasing the new branch GL to its first crore in span of four months of its operation.
* Preparing of various monthly reports like general ledger, voucher checking, inventory reconciliation,

# HSBC Global payments♦OCT-13 - May 2016 IS- GRC (Governance, Risk and Compliance)

* Responsible for Compliance & Security practices & Internal Corporate policy requirements.
* Handling technical concepts similar to logical access control, security architecture, information security, network security, and privacy.
* Creating Information Security policy development and risk management.
* Knowledge of pragmatic security controls across all security domains such as access management, encryption methods, vulnerability management, network security, etc.
* Demonstrated leadership experience working and communicating at executive levels.
* Experience developing and producing security metrics and reports that are meaningful and actionable across various audiences.
* Conceptual, critical thinking, and sound judgment with strategic orientation and experience performing tactically.
* Experience providing technical knowledge appropriate to delivery of security protections.
* Developing and deploying the Infosec systems, processes and procedures in Processing.
* Responsible for obtaining PCI/DSS certificate from network Provider
* Conducting Business Impact Analysis for all the project at Global Deliver Centre
* Creating and test BCP / DR for all critical projects at Global Delivery Centre
* Conducting surprise compliance audit and follow up with the respective team for remediation plan
* Facilitating External / Internal audit team and to create remediation plan for audit findings

**HDFC Bank Chennai ♦ Deputy Manager – Privilege Banker ♦ Apr’10-Sep-’13**

* Steered efforts across achievement of business targets allocated to the branch on various parameters for treasury products. Handled entire day-to day branch ops like transfer PO, DD, Cash handling RTGS, NEFT, ECS, & audit issues.
* Liaised with other business departments for facilitating forex business and developed new corporate relationship and broad basing existing clientele. Maintained overall control on service desk open day-to day activities.
* Processed all liability accounts using Image / Non – Image based systems of the bank and verified data entry done by non-staff / outsourced. Analyzed the audit reports and laid down course of action to streamline operation and audit areas.

**Axis Bank, Chennai ♦ Executive (Branch Operation – Retail Banking) ♦ Aug’06-Feb’10**

* Primarily responsible for increasing GL and Profitability of the branch.
* Instrumental in increasing the new branch GL to its first core in span of four months of its operation.
* Served as Privilege banker acquiring Privilege client’s and also served as back up operations in charge.
* Part of team in drawing up Catchment mapping and combing operations.
* Processing of jewel loan for priority sector and also ensuring post sanction compliance of terms until disbursement.
* Handled Private banking clients, served as Private Banking Customer service manager.
* Managed entire Front Office query desk operations which involve Customer service& Branch operations.
* Led a team of four members which successfully rolled out new product and other Customer service proposition like mobile banking, Internet banking to clients.
* First point of contact for all operational issues and other Customer Escalations.
* Identified and analyzed the business prospects and responsible for gathering and analyzing business requirements of the project. Trained the merchants & agencies to create awareness against fraudulent activities
* Handled bench marking reports – South region set on weekly basis and involved in cross selling products like LI, GI, HL & PL. Responsible for driving VAS products in the merchant’s base and for sourcing of Credit cards & Travel currency cards.

**EDUCATIONAL CREDENTIALS:**

|  |  |  |
| --- | --- | --- |
| **Course** | **Name of the institution** | **Year of Completion** |
| **M.C.A.,** | **Pachappas College– Madras University** | **2007** |
| **M.B.A.** | **Alagappa University** | **2006** |
| **B.C.A** | **Madras University** | **2003** |
| **Xstd Matriculation** | **Angel Convent -Chennai.** | **1997** |

**TRAININGS & CERTIFICATIONS:**

|  |  |
| --- | --- |
| **CISA (Certified information Security auditor) & ISO-27001** | **2020** |
| **ISTQB Testing** | **2018** |
| **CCC Big Data Foundation Certificate** | **2017** |
| **IRDA Health and IRDA General** | **2017** |
| **ITIL Foundation Certificate in IT Service Management** | **2016** |
| **Desktop Publishing** | **1997** |
| **Diploma in Hardware Engineering** | **1997** |

**PERSONAL DETAILS:**

Father name : V.Govindaram

Date of Birth : 1st July 1981

Languages Known **:** English, Tamil & Telugu

References **:** Available on request

Religion : Hindu

Marital Status : Single

Declaration:

I hereby declare that the given above are true and correct to the best of knowledge and belief.

Place:Chennai.   
 Yours’s faithfully

( G.K.Sathyanarayanan)