### William Merrill

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### RESEARCH INTERESTS

#### **Main Threads**

- 1. Expressivity and inductive biases of neural sequence models for implementing algorithms, representing the syntax and semantics of natural language, and solving reasoning problems
- 2. The theory of learning semantic structure from raw text corpora

**Broader Interests** The following theoretical frameworks and their applications to analyzing language models, solving NLP problems, and understanding human language:

- Formal languages and automata
- Computational complexity; especially circuits
- Formal semantics

### EXPERIENCE

Allen Institute for AI	2024-	Research Intern (part-time, 20%), AllenNLP		
Allen Institute for AI	2023	Research Intern, AllenNLP		
Google Research	2022	Student Researcher, Speech & Language Algorithms		
New York University	2021-	Ph.D. Student, Center for Data Science		
Allen Institute for AI	2019–21	PYI (predoctoral researcher), AllenNLP		
Yale University	2015–19	<b>B.Sc.</b> with distinction in Computer Science		
		<b>B.A.</b> with distinction in Linguistics ( <i>cum laude</i> )		
		Note of excellence on thesis: Sequential neural networks as automata		
Google	2018	Software Engineering Intern		
Boston College	2017	Research Intern, Language Learning Lab		
New York University	2013–15	Research Intern, Morphology Lab		

### ACADEMIC GROUP AFFILIATIONS

CapLab & ML <sup>2</sup> , NYU	Tal Linzen	2021-
AllenNLP, Ai2	Noah A. Smith, Yoav Goldberg, Roy Schwartz	2019–21
CLAY, Yale	Robert Frank, Dana Angluin	2016–19
L <sup>3</sup> , Boston College	Joshua Hartshorne, Sven Dietz	2017
MorphLab, NYU	Alec Marantz, Phoebe Gaston	2013-15

- [1] **W. Merrill**, N. A. Smith, and Y. Elazar. Evaluating *n*-Gram Novelty of Language Models Using Rusty-DAWG. *EMNLP*. Nov. 2024.
- [2] J. Pfau, W. Merrill, and S. Bowman. Lets Think Dot by Dot: Hidden computation in transformer language models. *COLM*. Oct. 2024.
- [3] D. Groeneveld, I. Beltagy, P. Walsh, A. Bhagia, R. Kinney, O. Tafjord, A. H. Jha, H. Ivison, I. Magnusson, Y. Wang, S. Arora, D. Atkinson, R. Authur, K. R. Chandu, A. Cohan, J. Dumas, Y. Elazar, Y. Gu, J. Hessel, T. Khot, **W. Merrill**, J. Morrison, N. Muennighoff, A. Naik, C. Nam, M. E. Peters, V. Pyatkin, A. Ravichander, D. Schwenk, S. Shah, W. Smith, E. Strubell, N. Subramani, M. Wortsman, P. Dasigi, N. Lambert, K. Richardson, L. Zettlemoyer, J. Dodge, K. Lo, L. Soldaini, N. A. Smith, and H. Hajishirzi. OLMo: Accelerating the Science of Language Models. ACL. Aug. 2024.
- [4] W. Merrill, Z. Wu, N. Naka, Y. Kim, and T. Linzen. Can You Learn Semantics Through Next-Word Prediction? The Case of Entailment. *ACL*. Aug. 2024.
- [5] **W. Merrill**, J. Petty, and A. Sabharwal. The Illusion of State in State-Space Models. *ICML*. July 2024.
- [6] M. Zhang, O. Press, W. Merrill, A. Liu, and N. A. Smith. How Language Model Hallucinations Can Snowball. *ICML*. July 2024.
- [7] **W.** Merrill and A. Sabharwal. The Expressive Power of Transformers with Chain of Thought. *ICLR*. May 2024.
- [8] L. Strobl, **W. Merrill**, G. Weiss, D. Chiang, and D. Angluin. What Formal Languages Can Transformers Express? A Survey. *TACL* (May 2024).
- [9] **W. Merrill** and A. Sabharwal. A Logic for Expressing Log-Precision Transformers. *NeurIPS*. Dec. 2023.
- [10] **W. Merrill**. Formal Languages and the NLP Black Box. *Developments in Language Theory*. Ed. by F. Drewes and M. Volkov. Cham: Springer Nature Switzerland, June 2023.
- [11] **W. Merrill** and A. Sabharwal. The Parallelism Tradeoff: Limitations of Log-Precision Transformers. *TACL* (June 2023).
- [12] **W. Merrill**, N. Tsilivis, and A. Shukla. A Tale of Two Circuits: Grokking as Competition of Sparse and Dense Subnetworks. *ICLR Workshop on Mathematical and Empirical Understanding of Foundation Models*. May 2023.
- [13] Z. Wu, W. Merrill, H. Peng, I. Beltagy, and N. A. Smith. Transparency Helps Reveal When Language Models Learn Meaning. *TACL* (2023).
- [14] W. Merrill, A. Warstadt, and T. Linzen. Entailment Semantics Can Be Extracted from an Ideal Language Model. *CoNLL*. Abu Dhabi, United Arab Emirates (Hybrid), Dec. 2022.
- [15] **W. Merrill**, A. Sabharwal, and N. A. Smith. Saturated Transformers are Constant-Depth Threshold Circuits. *TACL* (Aug. 2022).

- [16] S. Subramanian, W. Merrill, T. Darrell, M. Gardner, S. Singh, and A. Rohrbach. Re-CLIP: A Strong Zero-Shot Baseline for Referring Expression Comprehension. *ACL*. Dublin, Ireland, May 2022.
- [17] M. Gardner, W. Merrill, J. Dodge, M. Peters, A. Ross, S. Singh, and N. A. Smith. Competency Problems: On Finding and Removing Artifacts in Language Data. *EMNLP*. Online and Punta Cana, Dominican Republic, Nov. 2021.
- [18] W. Merrill, V. Ramanujan, Y. Goldberg, R. Schwartz, and N. A. Smith. Effects of Parameter Norm Growth During Transformer Training: Inductive Bias from Gradient Descent. *EMNLP*. Online and Punta Cana, Dominican Republic, Nov. 2021.
- [19] **W. Merrill**, Y. Goldberg, R. Schwartz, and N. A. Smith. Provable Limitations of Acquiring Meaning from Ungrounded Form: What Will Future Language Models Understand? *TACL* (Sept. 2021).
- [20] **W. Merrill**, G. Weiss, Y. Goldberg, R. Schwartz, N. A. Smith, and E. Yahav. A Formal Hierarchy of RNN Architectures. *ACL*. Online, July 2020.
- [21] L. L. Wang, K. Lo, Y. Chandrasekhar, R. Reas, J. Yang, D. Burdick, D. Eide, K. Funk, Y. Katsis, R. M. Kinney, Y. Li, Z. Liu, W. Merrill, P. Mooney, D. A. Murdick, D. Rishi, J. Sheehan, Z. Shen, B. Stilson, A. D. Wade, K. Wang, N. X. R. Wang, C. Wilhelm, B. Xie, D. M. Raymond, D. S. Weld, O. Etzioni, and S. Kohlmeier. CORD-19: The COVID-19 Open Research Dataset. ACL Workshop on NLP for COVID-19. Online, July 2020.
- [22] W. Merrill. Sequential Neural Networks as Automata. ACL Workshop on Deep Learning and Formal Languages. Florence, Aug. 2019.
- [23] W. Merrill, L. Khazan, N. Amsel, Y. Hao, S. Mendelsohn, and R. Frank. Finding Hierarchical Structure in Neural Stacks Using Unsupervised Parsing. *ACL Workshop BlackboxNLP*. Florence, Italy, Aug. 2019.
- [24] W. Merrill, G. Stark, and R. Frank. Detecting Syntactic Change Using a Neural Part-of-Speech Tagger. *ACL Workshop on Computational Approaches to Historical Language Change*. Florence, Italy, Aug. 2019.
- [25] Y. Hao, W. Merrill, D. Angluin, R. Frank, N. Amsel, A. Benz, and S. Mendelsohn. Context-Free Transductions with Neural Stacks. English. *EMNLP Workshop BlackboxNLP*. Brussels, Belgium, Nov. 2018.
- [26] J. Kasai, R. Frank, P. Xu, W. Merrill, and O. Rambow. End-to-End Graph-Based TAG Parsing with Neural Networks. *NAACL*. 2018.

### Non-Archival Publications

- [27] **W. Merrill** and N. Tsilivis. *Extracting Finite Automata from RNNs Using State Merging*. Jan. 2022.
- [28] W. Merrill. Formal Language Theory Meets Modern NLP. Feb. 2021.
- [29] W. Merrill. On the Linguistic Capacity of Real-Time Counter Automata. Sept. 2020.

[30] W. Merrill. A Semantics of Subordinate Clauses Using Delayed Evaluation. *Toronto Undergraduate Linguistics Conference* (2018).

### Press Coverage

- [1] **Quanta Magazine**. How Chain-of-Thought Reasoning Helps Neural Networks Compute. March 2024.
- [2] **NYU CDS Blog.** Language Models Provide Insight into Linguistic Redundancy. March 2024.
- [3] Washington Post. Honestly, I Love When AI Hallucinates. Dec. 2023.
- [4] **NYU CDS Blog**. The Logic of Transformers: William Merrill's Step Towards Understanding Large Language Models' Limits and Hallucinations. Oct 2023.
- [5] **NYU CDS Blog.** Can Language Models Learn Meaning Just By Observing Text?. Oct 2022.

### **TALKS**

### The Parallelism Tradeoff: Understanding Transformer Expressivity Through Circuit Complexity

- [1] TAMI Lab, UMass Amherst, 2024
- [2] Aspen Physics Institute Workshop on Foundation Models, Aspen, 2024
- [3] Transformers as a Computational Model Workshop, Simons Institute, Berkeley, 2024
- [4] NYC GenAI Collective, 2024
- [5] Guest Lecture in Natural Language Understanding Course, NYU, 2024
- [6] Fellowship Finalist Reception, Two Sigma, 2024
- [7] Transformer Theory Seminar, Flatiron Institute, 2023
- [8] Limitations of LMs Workshop, Bielefeld University, 2023
- [9] Lingo Group, MIT CSAIL, 2023
- [10] CDS Depth Qualifying Exam, NYU, 2023
- [11] Microsoft Research NYC, 2022

### Formal Languages and Neural Models for Learning on Sequences

[12] Angluin Invited Tutorial, ICGI, 2023

### Formal Languages and the NLP Black Box

[13] Invited Talk, Developments in Language Theory, 2023

### The Expressive Power of Transformers with Chain of Thought

[14] FLaNN Discord, 2024

### The Illusion of State in State-Space Models

- [15] NLP Seminar, UMass Amherst, 2024
- [16] Speech and Language Algorithms, Google Research, 2024
- [17] FLaNN Discord, 2024

### Saturated Transformers are Constant-Depth Threshold Circuits

- [18] TACL Track, EMNLP, 2022
- [19] FLaNN Discord, 2022
- [20] Foundations of Language Processing Group, Umeå University, 2022
- [21] ML for Code Seminar, MILA, 2022

### Can You Learn Semantics Through Next-Word Prediction? The Case of Entailment

- [22] LUNAR Lab, Brown University, 2024
- [23] Transformer Theory Seminar, Flatiron Institute, 2024

### Entailment Semantics Can Be Extracted from an Ideal Language Model

- [24] Linguae Seminar, Institut Jean Nicod, 2023
- [25] Invited Speaker, NYC Philosophy of Language Workshop, 2023
- [26] Guest Lecture in Computational Linguistics & Cognitive Science, NYU, 2023
- [27] CoNLL Workshop, EMNLP, 2022
- [28] Foundations of Language Processing Group, Umeå University, 2022
- [29] Journal Club, ArthurAI, 2022
- [30] CompLang Seminar, MIT, 2022
- [31] Semantics Seminar, NYU, 2022

#### Neural Networks as Automata

[32] Speech and Language Algorithms, Google Research, 2022

### Benchmarking Whether LMs Copy from Their Pretraining Data

[33] AllenNLP Team Meeting, Ai2, 2023

### Competency Problems: On Finding and Removing Artifacts in Language Data

- [34] Journal Club, ArthurAI, 2021
- [35] ML Track, EMNLP, 2021

### Parameter Norm Growth During Transformer Training: Inductive Bias from Gradient Descent

[36] ML Track, EMNLP, 2021

# Provable Limitations of Acquiring Meaning from Ungrounded Form: What Will Future Language Models Understand?

- [37] All Hands, Ai2, 2021
- [38] Noah's ARK, UW, 2020

#### Context-Free Transductions with Neural Stacks

[39] Blackbox NLP, EMNLP, 2018

### Invited Workshop and Seminar Participation

- [1] Dagstuhl Seminar 25282 on Theory of Neural Language Models, July 2025
- [2] Dagstuhl Seminar 25061 on Logic and Neural Networks, Feb 2025
- [3] Aspen Meeting on Foundation Models, Oct 2024
- [4] Simons Institute workshop on *Transformers as a Computational Model*, Sept 2024
- [5] Bielefeld University workshop on Limitations of Large Language Models, Nov 2023
- [6] ICGI invited tutorial, July 2023
- [7] Developments in Language Theory invited lecture, June 2023

### Poster Presentations

# [1] ACL, CMCL Workshop, 2024 Can You Learn Semantics Through Next-Word Prediction? The Case of Entailment

# [2] ACL, Findings Track, 2024 Can You Learn Semantics Through Next-Word Prediction? The Case of Entailment

# [3] **ICML**, DMLR workshop, 2024 Evaluating n-Gram Novelty of Language Models Using Rusty-DAWG

# [4] ICML, 2024 How Language Model Hallucinations Can Snowball

# [5] ICML, 2024 The Illusion of State in State-Space Models

# [6] ICLR, 2024 The Expressive Power of Transformers with Chain of Thought

# [7] **NeurIPS**, M3L Workshop, 2024 The Expressive Power of Transformers with Chain of Thought

# [8] NeurIPS, 2024 A Logic for Expressing Log-Precision Transformers

# [9] **Philosophy of Deep Learning Workshop**, NYU, 2023 Entailment Semantics Can Be Extracted from an Ideal Language Model

# [10] EMNLP, ML Track, 2021 Effects of Parameter Norm Growth During Transformer Training: Inductive Bias from Gradient Descent

# [11] **EMNLP**, ML Track, 2021 Provable Limitations of Acquiring Meaning from Ungrounded Form: What Will Future Language Models Understand?

# [12] **ACL**, Deep Learning and Formal Languages, 2019 Sequential Neural Networks as Automata

## [13] ACL, Blackbox NLP, 2019 Finding Hierarchical Structure in Neural Stacks Using Unsupervised Parsing

### TEACHING EXPERIENCE

#### NYU

[1] Lead TA for Natural Language Processing, Tal Linzen (NYU, Fall 2022)

### Yale

- [2] **TA** for *Artificial Intelligence*, Dragomir Radev (Yale, Spring 2019)
- [3] **TA** for *Natural Language Processing*, Dragomir Radev (Yale, Fall 2018)
- [4] **TA** for *Artificial Intelligence*, Dragomir Radev (Yale, Spring 2017)

### **Broader Community**

- [5] **TA** for *Introductory NLP* at NYU AI School (Summer 2024)
- [6] **TA** for *Introductory NLP* at NYU AI School (Spring 2022)
- [7] **Instructor** and **guest lecturer** for CodeHaven (2016-2018)
- [8] **Instructor** for Splash at Yale: *Viking Runes, The Politics of Skyrim, DECLASSIFIED: The History of Codebreaking* (2016-2017)

### SERVICE

### Reviewing

EMNLP Demo Track	Sept 2024	Conference	5 reviews
JMLR	Aug 2024	Journal	1 review
ARR	June 2024	Conference	3 reviews
NGSM	May 2024	Workshop	4 reviews
COLM	May 2024	Conference	3 reviews
ICLR	Oct 2023	Conference	3 reviews (+1 emergency)
M3L	Oct 2023	Workshop	3 reviews
GenBench	Sept 2023	Workshop	3 reviews
NeurIPS	July 2023	Conference	1 emergency review
JMLR	June 2023	Journal	1 review
ACL SRW	May 2023	Workshop	2 reviews
ICGI	April 2023	Conference	2 reviews
ACL	Feb 2023	Conference	1 review
Proc. of Royal Society A	Jan 2023	Journal	1 review
ARR	Nov 2022	Conference	1 review
Inverse Scaling Prize	Sept 2022	Competition	7 reviews
TheoretiCS	July 2022	Journal	1 review
ARR	April 2022	Conference	1 review
ARR	Jan 2022	Conference	2 review
ARR	Dec 2021	Conference	3 reviews
ARR	Nov 2021	Conference	1 review
CL	2021	Journal	1 review
ACL	2021	Conference	6 reviews
EACL	2021	Conference	4 reviews
EMNLP	2020	Conforme	2
LIVITALI	2020	Conference	2 reviews

### **Session Chairing**

ICGI July 2023 DLT June 2023

### Other

- [1] **NYC AI School**, organizer (2024)
- [2] ML2 Seminar, organizer (2024)
- [3] **CAP Lab Website**, maintainer (2023)
- [4] **FLaNN Discord**, moderator, scheduled and hosted talks (2022)
- [5] **NYC AI School**, volunteer instructor (2022)

- [6] AllenNLP Hackathon, technical support (2021)
- [7] AllenNLP Tutorial, chapter author (2020)
- [8] Yale Tangut Language Workshop, videographer and technical support (2018)
- [9] Yale Kitan Language Workshop, videographer and technical support (2016)
- [10] **CodeHaven**, student volunteer (2016–18)
- [11] **Splash at Yale**, volunteer instructor (2016–17)

#### SELECTED PUBLIC SOFTWARE

- [1] **Rusty-DAWG**: Efficient data structures to search massive pretraining corpora in constant time (lead developer, Rust)
- [2] AllenNLP: Open-source NLP framework (contributor, Python)
- [3] The Book of Thoth: Puzzle game with compositional spell casting in Middle Egyptian hieroglyphs (lead developer, Java)
- [4] **DraftNet**: Dota 2 drafting using neural networks (lead developer, Python)
- [5] **StackNN**: Differentiable stacks, queues, and dequeues in PyTorch (lead developer, Python)

### **BLOG POSTS**

#### **Research Content**

- [1] A Formal Hierarchy of RNN Architectures (2020)
- [2] Theory of Saturated Neural Networks (2019)
- [3] The State of Interpretability in NLP (2019, outdated!)
- [4] Word2vec Analysis of the Voynich Manuscript (2018)
- [5] Review: Learning to Transduce with Unbounded Memory (2018)
- [6] Capsule Networks for NLP (2018)

#### **Literary Translations**

- [7] *The Wanderer* (Old English  $\rightarrow$  English)
- [8] After Ragnarok (Old Norse  $\rightarrow$  English)
- [9] The Saga of Mary (Old Norse  $\rightarrow$  English)

### AWARDS AND GRANTS

- [1] **Two Sigma PhD Fellowship** (2024)
- [2] First annual **Angluin Invited Tutorial Speaker** (ICGI 2023)
- [3] NSF Graduate Student Research Fellowship (2022)
- [4] **Student Travel Grant** from Naver Labs to attend DELFOL workshop at ACL (2019)
- [5] Mellon Grant for senior thesis from Benjamin Franklin College at Yale University (2019)
- [6] **Grace Hopper Prize** (computer science project award) finalist (2017)
- [7] Yale College freshman rap battle champion (2016)
- [8] **Rising Scientist Award** presented by the Child Mind Institute (2015)
- [9] **Study of American History Award** from the Society of Mayflower Descendants (2013)
- [10] National Latin Exam *cum honore maximo egregio* (2010)

### SELECTED COURSEWORK

### **Theoretical Computer Science and Formal Languages**

- [1] Inference and Representation (NYU, 2022)
- [2] Foundations of Machine Learning (NYU, 2022)
- [3] Computational Complexity Theory (Yale, 2018)
- [4] *Computability and Logic* (Yale, 2017)
- [5] Design and Analysis of Algorithms (Yale, 2017)
- [6] Computing Meanings (Yale, 2016)
- [7] Introduction to Computer Science (Yale, 2015)
- [8] Formal Foundations of Linguistic Theory (Yale, 2015)

### **Deep Learning and Natural Language Processing**

- [9] Seminar: Scaling Laws, the Bitter Lesson, and AI Research (NYU, 2021)
- [10] *Ph.D. Introduction to Data Science* (NYU, 2021)
- [11] Seminar: Selected Topics in Neural Networks (Yale, 2019)
- [12] Seminar: Advanced Natural Language Processing (Yale, 2018)

- [13] Computational Vision and Biological Perception (Yale, 2018)
- [14] Neural Networks and Language (Yale, 2018)
- [15] Deep Learning Theory and Applications (Yale, 2018)
- [16] Natural Language Processing (Yale, 2017)

### **Other Linguistics**

- [17] Hybrid Grammars: Language Contact and Change (Yale, 2019)
- [18] *Phonology I* (Yale, 2018)
- [19] The Voynich Manuscript (Yale, 2018)
- [20] Indo-European Linguistics (Yale, 2018)
- [21] *Syntax I* (Yale, 2017)
- [22] Seminar: Beowulf and the Northern Heroic Tradition (Yale, 2017)
- [23] Medieval Latin Paleography (Yale, 2016)
- [24] *Semantics I* (Yale, 2016)
- [25] *Old English* (Yale, 2015)

#### **Other Computer Science**

- [26] *Big Data* (NYU, 2022)
- [27] Systems Programming Techniques and Computer Organization (Yale, 2017)
- [28] Data Structures and Programming Techniques (Yale, 2016)

#### **Continuous Math**

- [29] *Introduction to Analysis* (Yale, 2017)
- [30] MATH 231: Vector Calculus and Linear Algebra II (Yale, 2016)
- [31] MATH 230: Vector Calculus and Linear Algebra I (Yale, 2015)

### **Reading Groups**

- [31] Nonlinear Dynamical Systems (Ai2, 2021)
- [32] Deep Learning Theory (Ai2, 2020)

## Languages

- [1] Modern: English (Native), Icelandic (Intermediate), German (B1)
- [2] Ancient: Latin, Old Norse, Old English
- [3] Coding: Python, Java, C, Rust, Haskell, deep learning libraries, inter alias