CS6700 Assignment3 taxi part1run

April 22, 2023

0.1 Installation And Imports

```
[]: # installation of older gym for render()
     !pip install gym==0.23.1
     # some imports and code to ignore deprecation warning
     import numpy as np
     import random
     import gym
     import glob
     import io
     import matplotlib.pyplot as plt
     from IPython.display import HTML, display, clear_output
     from time import sleep
     from tqdm import tqdm
     import warnings
     import numpy.ma as ma
     warnings.filterwarnings("ignore", category=DeprecationWarning)
    Looking in indexes: https://pypi.org/simple, https://us-python.pkg.dev/colab-
    wheels/public/simple/
    Collecting gym==0.23.1
      Downloading gym-0.23.1.tar.gz (626 kB)
                               626.2/626.2 kB
    10.3 MB/s eta 0:00:00
      Installing build dependencies ... done
      Getting requirements to build wheel ... done
      Preparing metadata (pyproject.toml) ... done
    Requirement already satisfied: gym-notices>=0.0.4 in
    /usr/local/lib/python3.9/dist-packages (from gym==0.23.1) (0.0.8)
    Requirement already satisfied: cloudpickle>=1.2.0 in
    /usr/local/lib/python3.9/dist-packages (from gym==0.23.1) (2.2.1)
    Requirement already satisfied: numpy>=1.18.0 in /usr/local/lib/python3.9/dist-
    packages (from gym==0.23.1) (1.22.4)
    Requirement already satisfied: importlib-metadata>=4.10.0 in
    /usr/local/lib/python3.9/dist-packages (from gym==0.23.1) (6.4.1)
    Requirement already satisfied: zipp>=0.5 in /usr/local/lib/python3.9/dist-
    packages (from importlib-metadata>=4.10.0->gym==0.23.1) (3.15.0)
```

```
Building wheels for collected packages: gym
      Building wheel for gym (pyproject.toml) ... done
      Created wheel for gym: filename=gym-0.23.1-py3-none-any.whl size=701376
    sha256=27dce83f56b8acee3076d7794508dc3029304276840f8e7e6deac5cabe265ae7
      Stored in directory: /root/.cache/pip/wheels/4e/be/7e/92a54668db96883e38ce60a9
    249dc55de7cd6eee49e7311940
    Successfully built gym
    Installing collected packages: gym
      Attempting uninstall: gym
        Found existing installation: gym 0.25.2
        Uninstalling gym-0.25.2:
          Successfully uninstalled gym-0.25.2
    Successfully installed gym-0.23.1
[]: # mount drive
     from google.colab import drive
     drive.mount('/content/drive')
```

Mounted at /content/drive

0.2 Exploring the environment and rendering a manual episode

```
[]: # explore the taxi-v3 environment to know the observation space(states),
     ⇔actions, rewards etc
     # make env and seed it for reproducibility
     env = gym.make('Taxi-v3')
     env.seed(42)
     # number of states
     NUM_STATES = env.observation_space.n
     print(f'State count - {NUM_STATES}')
     # number of actions
     NUM_ACTIONS = env.action_space.n
     print(f'Action count - {NUM_ACTIONS}')
     ACTION_NAMES = ["South", "North", "East", "West", "Pickup", "Dropoff"]
     COLOR_NUM_MAPPING = {0 : "Red", 1 : "Green", 2 : "Yellow", 3 : "Blue"}
     state = env.reset()
     # state decodes as -> (car row, car col, pass loc, dest); pass loc is a color /u
     print(f'Initial state - {list(env.decode(state))}')
     env.render()
     # STARTING PASSENGER LOCATION -> BLUE COLOR; DEST -> RED COLOR; Taxi -> GREEN_
      \hookrightarrow SQUARE
```

```
# Solving the environment manually to understand it better;
for _ in range(3):
    new_state, reward, done, _ = env.step(1)
    env.render()
    print(f'performed {ACTION_NAMES[1]}; Current state - {list(env.

→decode(new_state))); reward : {reward}; done : {done}')
new state, reward, done, = env.step(4)
env.render()
print(f'performed {ACTION_NAMES[4]}; Current state - {list(env.

decode(new_state)); reward : {reward}; done : {done}')

for in range(2):
    new_state, reward, done, _ = env.step(0)
    env.render()
    print(f'performed {ACTION_NAMES[0]}; Current state - {list(env.

decode(new_state)); reward : {reward}; done : {done}')

for _ in range(4):
    new_state, reward, done, _ = env.step(3)
    env.render()
    print(f'performed {ACTION_NAMES[3]}; Current state - {list(env.
 decode(new_state))); reward : {reward}; done : {done}')
for in range(2):
    new_state, reward, done, _ = env.step(0)
    env.render()
    print(f'performed {ACTION NAMES[0]}; Current state - {list(env.

decode(new_state)); reward : {reward}; done : {done}')

new_state, reward, done, _ = env.step(5)
env.render()
print(f'performed {ACTION_NAMES[5]}; Current state - {list(env.

decode(new_state)); reward : {reward}; done : {done}')

State count - 500
Action count - 6
Initial state - [3, 4, 1, 2]
```

```
State count - 500
Action count - 6
Initial state - [3, 4, 1, 2]
+-----+
|R: | : :G|
| : | : : |
| : : : : |
| | : | : |
| Y| : |B: |
+-----+
|R: | : :G|
| : | : : |
| : : : |
| : : : |
| : : : |
```

```
|Y| : |B: |
+----+
  (North)
performed North; Current state - [2, 4, 1, 2]; reward : -1; done : False
+----+
|R: | : : G|
| : | : : |
| : : : : |
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (North)
performed North; Current state - [1, 4, 1, 2]; reward : -1; done : False
+----+
|R: | : : G|
|\cdot|\cdot|\cdot|
1::::
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (North)
performed North; Current state - [0, 4, 1, 2]; reward : -1; done : False
+----+
|R: | : :G|
|\cdot|\cdot|\cdot|
1::::
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (Pickup)
performed Pickup; Current state - [0, 4, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : : |
| : : : : |
| \cdot | \cdot | \cdot |
|Y| : |B: |
+----+
  (South)
performed South; Current state - [1, 4, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : : |
| : : : : |
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (South)
```

```
performed South; Current state - [2, 4, 4, 2]; reward : -1; done : False
+----+
|R: | : :G| |
| : | : : |
| : : : | : |
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (West)
performed West; Current state - [2, 3, 4, 2]; reward : -1; done : False
+----+
|R: | : :G| |
| : | : |
| : : | : |
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (West)
performed West; Current state - [2, 2, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : : |
| : : : |
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (West)
performed West; Current state - [2, 1, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
|\cdot|\cdot|\cdot|
1::::1
| \cdot | \cdot | \cdot |
|Y| : |B: |
+----+
  (West)
performed West; Current state - [2, 0, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : : |
1::::
| \blacksquare | : | : |
|Y| : |B|:
+----+
  (South)
performed South; Current state - [3, 0, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
```

```
| : | : |
1::::
| \ | \ : \ | \ : \ |
|Y|:|B:|
+----+
  (South)
performed South; Current state - [4, 0, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : : |
I : : : : I
| \cdot | \cdot | \cdot |
|Y|:|B:|
+----+
  (Dropoff)
performed Dropoff; Current state - [4, 0, 2, 2]; reward : 20; done : True
```

0.3 Plotting and Helper Functions

```
[]: class bcolors:
        RED= '\u001b[31m'
        GREEN= '\u001b[32m'
        RESET= '\u001b[0m'
    def see_trained_agent(qtable, stime=1.5):
        episodes_to_preview = 3
        env = gym.make('Taxi-v3')
        env.seed(10)
        opt_func_map = {6: Drive_to_R, 7 : Drive_to_G, 8 : Drive_to_Y, 9 :
      →Drive_to_B}
        for episode in range(episodes_to_preview):
            # Reset the environment
            state = env.reset()
            clear_output(wait=True); print(f"TRAINED AGENT\n+++++EPISODE∟
      eps_reward = 0
            if eps_reward < 0:</pre>
                print(f"Score: {bcolors.RED}{eps_reward}{bcolors.RESET}")
                print(f"Score: {bcolors.GREEN}{eps_reward}{bcolors.RESET}")
            sleep(stime)
            done = False
            while not done:
                # Exploit
                action = np.argmax(qtable[state])
```

```
# Take an action and observe the reward
            if action < 6:</pre>
                next_state, reward, done, info = env.step(action)
                eps_reward += reward
                state = next_state
                clear_output(wait=True); print(f"TRAINED AGENT\n++++EPISODE_
 env.render()
                if eps_reward < 0:</pre>
                    print(f"Score: {bcolors.RED}{eps_reward}{bcolors.RESET}")
                else:
                    print(f"Score: {bcolors.GREEN}{eps_reward}{bcolors.RESET}")
                sleep(stime)
            else:
                optdone = False
                opt_func = opt_func_map[action]
                while (optdone == False):
                    optact, _ = opt_func(env, state)
                    next_state, reward, done, _ = env.step(optact)
                    eps reward += reward
                    _, optdone = opt_func(env, next_state)
                    state = next_state
                    clear_output(wait=True); print(f"TRAINED

 \hookrightarrowAGENT\n++++EPISODE {episode+1}++++")
                    env.render()
                    if eps_reward < 0:</pre>
                        print(f"Score: {bcolors.RED}{eps_reward}{bcolors.
 →RESET}")
                    else:
                        print(f"Score: {bcolors.GREEN}{eps_reward}{bcolors.
 →RESET}")
                    sleep(stime)
# function to test an agent after training
def test_agent(q_values, test_episodes):
    env = gym.make('Taxi-v3')
    env.seed(0)
    test_rewards = []
    opt_func_map = {6: Drive_to_R, 7 : Drive_to_G, 8 : Drive_to_Y, 9 :
 →Drive_to_B} # opt id to option function map
    for _ in tqdm(range(test_episodes)):
        # Reset the environment
        state = env.reset()
        eps_reward = 0
        done = False
        while not done:
```

```
# Exploit
        action = np.argmax(q_values[state])
        # Take an action and observe the reward
        if action < 6:
            next_state, reward, done, info = env.step(action)
            eps reward += reward
            state = next_state
        else:
            optdone = False
            opt_func = opt_func_map[action]
            while (optdone == False):
                optact, _ = opt_func(env, state)
                next_state, reward, done, _ = env.step(optact)
                eps_reward += reward
                _, optdone = opt_func(env, next_state)
                state = next_state
    test_rewards.append(eps_reward)
return test_rewards
```

```
def visualize_q_values(q_values, msg, path, pass_src = None, pass_dest = None):
    assert(pass_src != None and pass_dest != None)

req_actions = [[None for _ in range(5)] for _ in range(5)]
    req_q_values = [[None for _ in range(5)] for _ in range(5)]
    temp_env = gym.make('Taxi-v3')
    for s in range(500):
        s_vec = list(temp_env.decode(s))
        if s_vec[2] == pass_src and s_vec[3] == pass_dest:
            req_actions[s_vec[0]][s_vec[1]] = np.argmax(q_values[s])
        req_q_values[s_vec[0]][s_vec[1]] = np.max(q_values[s])
```

```
req_actions = np.array(req_actions)
  fig, ax = plt.subplots(figsize=(8,8))
  ax.set_title(msg)
  ax.invert_yaxis()
  ax.xaxis.tick_top()
  ax.set_xlabel('Columns')
  ax.xaxis.set_label_position('top')
  ax.set_ylabel('Rows')
  mesh = ax.pcolormesh(req_q_values, edgecolors='k', linewidths=2)
  fig.colorbar(mesh)
  def x direct(a):
      if a in [4,5,6,7,8]:
          return 0
      if a in [0, 1]:
          return 0
      return 1 if a == 2 else -1
  def y_direct(a):
      if a in [4,5,6,7,8]:
          return 0
      if a in [2, 3]:
          return 0
      return 1 if a == 1 else -1
  idx = np.indices((5,5))
  policyx = np.vectorize(x direct)(req actions)
  policyy = np.vectorize(y_direct)(req_actions)
  req_action_dict = {4 : 'Pickup', 5 : 'Drop', 6 : 'R', 7 : 'G', 8 : 'Y', 9 : U
  for i,j,px,py in zip(idx[1].ravel(), idx[0].ravel(), policyx.ravel(),
→policyy.ravel()):
      if (req_actions[j, i] < 4):</pre>
           ax.quiver(i+0.5, j+0.5, px, py, pivot="middle", u
⇒scale=10,color='red')
      else:
           ax.text(i+0.5, j+0.5, req_action_dict[req_actions[j][i]],__
→horizontalalignment='center', verticalalignment='center', color='tomato')
  fig.savefig(MAINPATH+'/'+path+'/'+msg+'.png')
```

0.4 Defining the DRIVE to {R, G, Y, B} Options

```
PLOTSPATH = MAINPATH + '/OptionDescs'
def save_option_desc(policy, desc, color, end):
    pcopy = np.copy(policy)
    pcopy[end] = -1
    fig, ax = plt.subplots(figsize = (5,5))
    ax.set_title(f'{desc} Option Description')
    ax.set_xlim([0,5])
```

```
ax.set_ylim([0,5])
  def x_direct(a):
      if a in [-1, 0, 1]:
          return 0
      return 1 if a == 2 else -1
  def y_direct(a):
      if a in [-1, 2, 3]:
          return 0
      return 1 if a == 1 else -1
  policyx = np.vectorize(x_direct)(pcopy)
  policyy = np.vectorize(y_direct)(pcopy)
  idx = np.indices(policy.shape)
  for i,j,px,py in zip(idx[1].ravel(), idx[0].ravel(), policyx.ravel(),
→policyy.ravel()):
      if (pcopy[j, i] != -1):
          ax.quiver(i+0.5, j+0.5, px, py, pivot="middle", u
⇒scale=10,color=color)
      else:
          ax.text(i+0.5, j+0.5, 'Term', __
→horizontalalignment='center', verticalalignment='center')
  ax.set_facecolor('tan'); ax.invert_yaxis(); ax.xaxis.tick_top(); ax.grid()
  fig.savefig(f'{PLOTSPATH}/option_{desc}.png')
```

```
[]: # defining the required option action matrix for taking the car to all colors.
      → [FOR EASY CODING]
     RED_ACTN_MATRIX = np.array([[1,3,0,0,0]],
                                 [1,3,0,0,0]
                                 [1,3,3,3,3],
                                 [1,1,1,1,1],
                                 [1,1,1,1,1]
     save_option_desc(RED_ACTN_MATRIX, 'Drive_to_R', "Red", (0,0))
     GRE_ACTN_MATRIX = np.array([[0,0,2,2,1],
                                 [0,0,2,2,1],
                                 [2,2,2,2,1],
                                 [1,1,1,1,1]
                                 [1,1,1,1,1]])
     save_option_desc(GRE_ACTN_MATRIX, 'Drive_to_G', "Green", (0,4))
     YEL\_ACTN\_MATRIX = np.array([[0,0,0,0,0]],
                                 [0,0,0,0,0]
                                 [0,3,3,3,3],
                                 [0,1,1,1,1],
                                 [0,1,1,1,1]
     save_option_desc(YEL_ACTN_MATRIX, 'Drive_to_Y', "Yellow", (4,0))
     BLU_ACTN_MATRIX = np.array([[0,0,0,0,3],
                                 [0,0,0,0,3],
                                 [2,2,2,0,3],
                                 [1,1,1,0,3],
```

```
[1,1,1,0,3]])
save_option_desc(BLU_ACTN_MATRIX, 'Drive_to_B', "Blue", (4,3))

RED_TERM_MATRIX = np.zeros((5,5)); RED_TERM_MATRIX[0,0] = 1

GRE_TERM_MATRIX = np.zeros((5,5)); GRE_TERM_MATRIX[0,4] = 1

YEL_TERM_MATRIX = np.zeros((5,5)); YEL_TERM_MATRIX[4,0] = 1

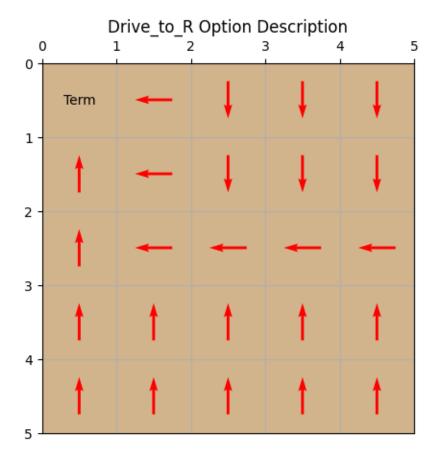
BLU_TERM_MATRIX = np.zeros((5,5)); BLU_TERM_MATRIX[4,3] = 1

OPT_TO_POLICY_MAP = {6 : RED_ACTN_MATRIX, 7 : GRE_ACTN_MATRIX, 8 :___

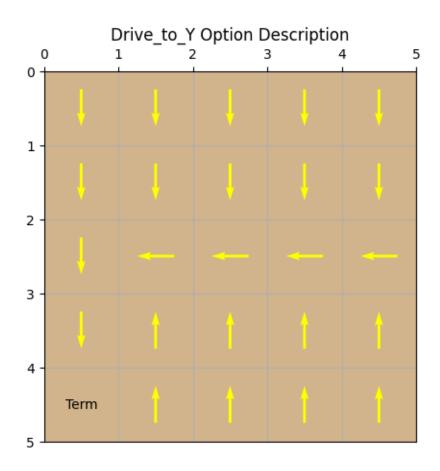
YEL_ACTN_MATRIX, 9 : BLU_ACTN_MATRIX}

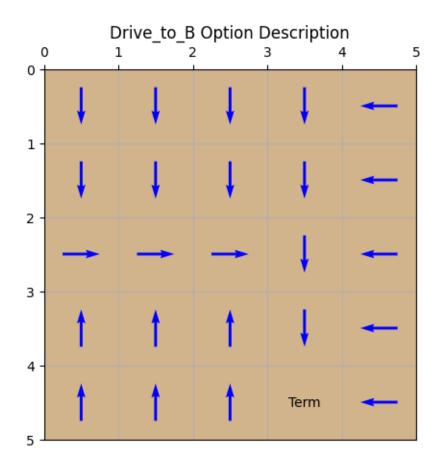
OPT_TO_TERM_MAP = {6 : RED_TERM_MATRIX, 7 : GRE_TERM_MATRIX, 8 :___

YEL_TERM_MATRIX, 9 : BLU_TERM_MATRIX}
```









```
[]: # defining the 4 Option functions
def Drive_to_R(env, state):
    coords = list(env.decode(state))[:2]
    optdone = False
    optact = RED_ACTN_MATRIX[coords[0], coords[1]]

    if (coords == [0, 0]): # termination at reaching RED
        optdone = True

    return [optact, optdone]

def Drive_to_G(env, state):
    coords = list(env.decode(state))[:2]
    optdone = False
    optact = GRE_ACTN_MATRIX[coords[0], coords[1]]

if (coords == [0, 4]): # termination at reaching GREEN
        optdone = True
```

0.5 Exploration Function

```
[]: \#Q-Table: (States x Actions) === (env.ns(500) x total actions(10))
     q_values_test = np.zeros((500, 10))
     uf_values_test = np.zeros((500, 10)) # Update_Frequency Data structure - stores_
      \rightarrow the number of updates for (s,a) where a = prim \ action/an \ option
     avl_actions = [0, 1, 2, 3, 4, 5, 6, 7, 8, 9] # for ["South", "North", "East", "
      →"West", "Pickup", "Drop", "R" opt, "G" opt, "Y" opt, "B" opt]
     def egreedy_policy(q_values, state, epsilon, rg, disallow):
         if (rg.random() < epsilon): # epsilon prob for uniform choice over all⊔
      ⇔actions and options
             if (disallow != None):
                 val_actions = avl_actions[:]; val_actions.remove(disallow)
                 return rg.choice(val_actions)
             else:
                 return rg.choice(avl_actions)
         else:
                                        # 1 - epsilon prob for greedy action/option
                 return np.argmax(q_values[state])
```

0.6 SMDP Q-Learning

```
[]: #### SMDP Q-Learning
     def SMDP QLearning(alpha, epsilon, epcount = 10000, gamma = 0.9, rg = np.random.
      →RandomState(42)):
         env = gym.make('Taxi-v3')
         env.seed(42) # for reproducibility
         # arrays for storing q value, update counts and episode rewards
         q \text{ values} = np.zeros((500, 10))
         uf_values = np.zeros((500, 10))
         ep rewards list = []
         opt_func_map = {6: Drive_to_R, 7 : Drive_to_G, 8 : Drive_to_Y, 9 :
      →Drive_to_B} # opt id to option function map
         dis_{opts} = \{(0,0) : 6, (0,4) : 7, (4,0) : 8, (4,3) : 9\} # store disallowed_{local}
      →options for each color coordinates in a dict
         # Iterate over epcount episodes
         for epidx in tqdm(range(epcount)):
             state = env.reset()
             done = False
             eps_reward = 0
             # While episode is not over
             while not done:
                 # Choose action/option. Note: option should be allowed at the
      ⇔current co-ordinates
                 st coords = tuple(env.decode(state))[:2]
                 dis_opt = (dis_opts[st_coords] if st_coords in dis_opts.keys() else_
      →None)
                 action = egreedy_policy(q_values, state, epsilon, rg, dis_opt)
                 # Checking if primitive action
                 if action < 6:</pre>
                     # Perform regular Q-Learning update for state-action pair
                     next_state, reward, done, _ = env.step(action)
                     q values[state, action] += alpha * (reward + gamma*np.
      →max(q_values[next_state]) - q_values[state, action])
                     uf_values[state,action] += 1
                     state = next_state
                     eps_reward += reward
                 else:
                     # find the corresponding function for the option
                     opt_func = opt_func_map[action]
                     reward_bar = 0
                     initial_state = state
```

```
opt_steps = 0
               optdone = False
               while (optdone == False):
                   optact, _ = opt_func(env, state)
                   next_state, reward, done, _ = env.step(optact)
                   _, optdone = opt_func(env, next_state)
                  reward_bar = gamma * reward_bar + reward
                   opt_steps += 1
                   state = next_state
                   eps_reward += reward
               # SMDP Q-Learning Update for options. We update the q-value of \Box
→the (initial_state, option) pair at the end of option execution.
               q_values[initial_state, action] += alpha * (reward_bar + (gamma_
*** opt_steps) * np.max(q_values[state]) - q_values[initial_state, action])
              uf_values[initial_state,action] += 1
      ep_rewards_list.append(eps_reward)
  return q_values, uf_values, ep_rewards_list
```

0.7 Intra Option Q-Learning

```
[]: | #### Intra Option Q-Learning
     # NOT USED - INSIGNIFICANT SPEED BOOST
     def gen_policies_sharing_action():
         ans = [[[[] for _ in range(6)] for _ in range(5)] for _ in range(5)]
         for opt in [6, 7, 8, 9]:
             policy = OPT_TO_POLICY_MAP[opt]
             for i in range(5):
                 for j in range(5):
                     ans[i][j][policy[i,j]].append(opt)
         return ans
     def IntraOption_QLearning(alpha, epsilon, epcount = 10000, gamma = 0.9, rg = np.
      ⇒random.RandomState(42)):
         env = gym.make('Taxi-v3')
         env.seed(42) # for reproducibility
         # arrays for storing q value, update counts and episode rewards
         q values = np.zeros((500, 10))
         uf_values = np.zeros((500, 10))
         ep rewards list = []
         opt_func_map = {6: Drive_to_R, 7 : Drive_to_G, 8 : Drive_to_Y, 9 :
      →Drive_to_B} # opt id to option function map
         dis_{opts} = \{(0,0) : 6, (0,4) : 7, (4,0) : 8, (4,3) : 9\} # store disallowed_{l}
      ⇔options for each color coordinates in a dict
```

```
# Iterate over epcount episodes
  for epidx in tqdm(range(epcount)):
       state = env.reset()
      done = False
      eps_reward = 0
       # While episode is not over
      while not done:
           # Choose action/option. Note: option should be allowed at the
⇔current co-ordinates
           st_coords = tuple(env.decode(state))[:2]
           dis_opt = (dis_opts[st_coords] if st_coords in dis_opts.keys() else__
→None)
          action = egreedy_policy(q_values, state, epsilon, rg, dis_opt)
           # Checking if primitive action
           if action < 6:</pre>
               # Perform regular Q-Learning update for state-action pair
               next_state, reward, done, _ = env.step(action)
               q_values[state, action] += alpha * (reward + gamma*np.
max(q_values[next_state]) - q_values[state, action])
               uf values[state,action] += 1
               state = next_state
               eps reward += reward
           else:
               # find the corresponding function for the option
               opt_func = opt_func_map[action]
               other_opts = [6,7,8,9]; other_opts.remove(action)
               optdone = False
               while (optdone == False):
                   optact, _ = opt_func(env, state)
                   next_state, reward, done, _ = env.step(optact)
                   _, optdone = opt_func(env, next_state)
                   eps_reward += reward
                   # perform update for the optact - primitive action
                   q_values[state, optact] += alpha * (reward + gamma*np.

¬max(q_values[next_state]) - q_values[state, optact])
                   uf_values[state, optact] += 1
                   # find all options that choose the same primitive action at \Box
\hookrightarrowstate
                   opt_upd_list = [action]
                   st_coords = list(env.decode(state))[:2]
                   for oth in other_opts:
                       if OPT_TO_POLICY_MAP[oth][st_coords[0], st_coords[1]]_
→== optact:
```

```
opt_upd_list.append(oth)
                  # debug - print(st_coords, action, opt_upd_list)
                  # perform updates for all options that choose the same
⇔primitive action at state
                 nst_coords = list(env.decode(next_state))[:2]
                 for opt in opt upd list:
                     term matrix = OPT TO TERM MAP[opt]
                     if term matrix[nst coords[0], nst coords[1]] == 1:
                         # if the option terminates, we do total max over_
→all actions(and options) in next state
                         q_values[state, opt] += alpha * (reward + gamma *
anp.max(q_values[next_state]) - q_values[state, opt])
                         uf_values[state, opt] += 1
                     else:
                         # if it does not, we use the option q-value in next_{\sqcup}
⇒state for update
                         q_values[state, opt] += alpha * (reward + gamma *_
uf_values[state, opt] += 1
                 state = next_state
      ep_rewards_list.append(eps_reward)
  return q_values, uf_values, ep_rewards_list
```

0.8 Wandb Sweeping For finding best hyperparameters

```
[]: !pip install wandb
     import wandb
     wandb.login()
    Looking in indexes: https://pypi.org/simple, https://us-python.pkg.dev/colab-
    wheels/public/simple/
    Collecting wandb
      Downloading wandb-0.14.2-py3-none-any.whl (2.0 MB)
                                2.0/2.0 MB
    38.8 MB/s eta 0:00:00
    Collecting GitPython!=3.1.29,>=1.0.0
      Downloading GitPython-3.1.31-py3-none-any.whl (184 kB)
                               184.3/184.3 kB
    21.5 MB/s eta 0:00:00
    Collecting docker-pycreds>=0.4.0
      Downloading docker_pycreds-0.4.0-py2.py3-none-any.whl (9.0 kB)
    Requirement already satisfied: protobuf!=4.21.0,<5,>=3.15.0 in
    /usr/local/lib/python3.9/dist-packages (from wandb) (3.20.3)
    Requirement already satisfied: Click!=8.0.0,>=7.0 in
    /usr/local/lib/python3.9/dist-packages (from wandb) (8.1.3)
    Requirement already satisfied: typing-extensions in
```

```
/usr/local/lib/python3.9/dist-packages (from wandb) (4.5.0)
Requirement already satisfied: psutil>=5.0.0 in /usr/local/lib/python3.9/dist-
packages (from wandb) (5.9.4)
Requirement already satisfied: appdirs>=1.4.3 in /usr/local/lib/python3.9/dist-
packages (from wandb) (1.4.4)
Requirement already satisfied: PyYAML in /usr/local/lib/python3.9/dist-packages
(from wandb) (6.0)
Collecting sentry-sdk>=1.0.0
 Downloading sentry_sdk-1.19.1-py2.py3-none-any.whl (199 kB)
                          199.2/199.2 kB
18.1 MB/s eta 0:00:00
Requirement already satisfied: setuptools in
/usr/local/lib/python3.9/dist-packages (from wandb) (67.6.1)
Collecting pathtools
  Downloading pathtools-0.1.2.tar.gz (11 kB)
 Preparing metadata (setup.py) ... done
Requirement already satisfied: requests<3,>=2.0.0 in
/usr/local/lib/python3.9/dist-packages (from wandb) (2.27.1)
Collecting setproctitle
 Downloading setproctitle-1.3.2-cp39-cp39-manylinux 2 5 x86 64.manylinux1 x86 6
4.manylinux_2_17_x86_64.manylinux2014_x86_64.whl (30 kB)
Requirement already satisfied: six>=1.4.0 in /usr/local/lib/python3.9/dist-
packages (from docker-pycreds>=0.4.0->wandb) (1.16.0)
Collecting gitdb<5,>=4.0.1
 Downloading gitdb-4.0.10-py3-none-any.whl (62 kB)
                           62.7/62.7 kB
8.5 MB/s eta 0:00:00
Requirement already satisfied: urllib3<1.27,>=1.21.1 in
/usr/local/lib/python3.9/dist-packages (from requests<3,>=2.0.0->wandb)
(1.26.15)
Requirement already satisfied: idna<4,>=2.5 in /usr/local/lib/python3.9/dist-
packages (from requests<3,>=2.0.0->wandb) (3.4)
Requirement already satisfied: certifi>=2017.4.17 in
/usr/local/lib/python3.9/dist-packages (from requests<3,>=2.0.0->wandb)
(2022.12.7)
Requirement already satisfied: charset-normalizer~=2.0.0 in
/usr/local/lib/python3.9/dist-packages (from requests<3,>=2.0.0->wandb) (2.0.12)
Collecting smmap<6,>=3.0.1
 Downloading smmap-5.0.0-py3-none-any.whl (24 kB)
Building wheels for collected packages: pathtools
 Building wheel for pathtools (setup.py) ... done
 Created wheel for pathtools: filename=pathtools-0.1.2-py3-none-any.whl
size=8807
sha256=285162230bd1f4b09383a585a3527d27b4b27a54d3b2cf3bea6111c8daedd447
  Stored in directory: /root/.cache/pip/wheels/b7/0a/67/ada2a22079218c75a88361c0
782855cc72aebc4d18d0289d05
Successfully built pathtools
Installing collected packages: pathtools, smmap, setproctitle, sentry-sdk,
```

0.8.1 SMDP Q-Learning Hyperparameter Finetuning

```
def test_smdp():
    run = wandb.init(reinit=True)
    al = wandb.config.alpha
    epsn = wandb.config.epsilon
    epcount = wandb.config.train_episodes
    run.name = 'al={:.4f}_eps={:.4f}'.format(al, epsn)
    q_values, uf_values, ep_reward_list = SMDP_QLearning(al, epsn, epcount)

for i, rew in enumerate(ep_reward_list):
    wandb.log({'train episode number': i+1, 'train_reward' : rew})

test_rewards = test_agent(q_values, wandb.config.test_episodes)
    for i, rew in enumerate(test_rewards):
        wandb.log({'test episode number': i+1, 'test_reward' : rew})

wandb.log({'avg_test_reward' : np.mean(test_rewards)})
    run.finish()
```

0.8.2 Intra-Option Q-Learning Hyperparameter Finetuning

```
[]: def test_intra():
    run = wandb.init(reinit=True)
    al = wandb.config.alpha
    epsn = wandb.config.epsilon
    epcount = wandb.config.train_episodes
    run.name = 'al={:.4f}_eps={:.4f}'.format(al, epsn)
    q_values, uf_values, ep_reward_list = IntraOption_QLearning(al, epsn,_uepcount)

for i, rew in enumerate(ep_reward_list):
    wandb.log({'train episode number': i+1, 'train_reward' : rew})

test_rewards = test_agent(q_values, wandb.config.test_episodes)
    for i, rew in enumerate(test_rewards):
        wandb.log({'test episode number': i+1, 'test_reward' : rew})

wandb.log({'avg_test_reward' : np.mean(test_rewards)})
    run.finish()
```

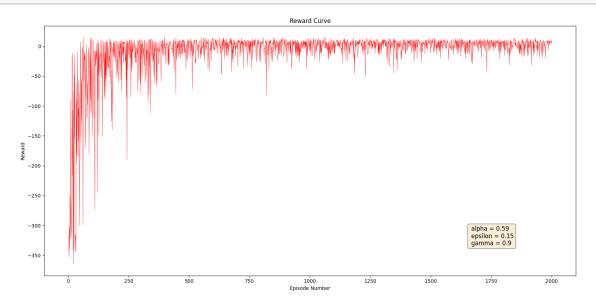
```
[]: sweep_config = {
    'method' : 'bayes',
    'name' : 'alpha_epsilon_sweep',
    'metric' : {
        'goal' : 'maximize',
        'name' : 'avg_test_reward'
    },
    'parameters' : {
        'alpha' : {'min' : 0.2, 'max' : 0.7},
        'epsilon' : {'min' : 0.02, 'max' : 0.25},
        'train_episodes' : {'value' : 20000},
        'test_episodes' : {'value' : 1000}
    }
}
```

0.9 Generating Plots for Best Hyperparameter Combination

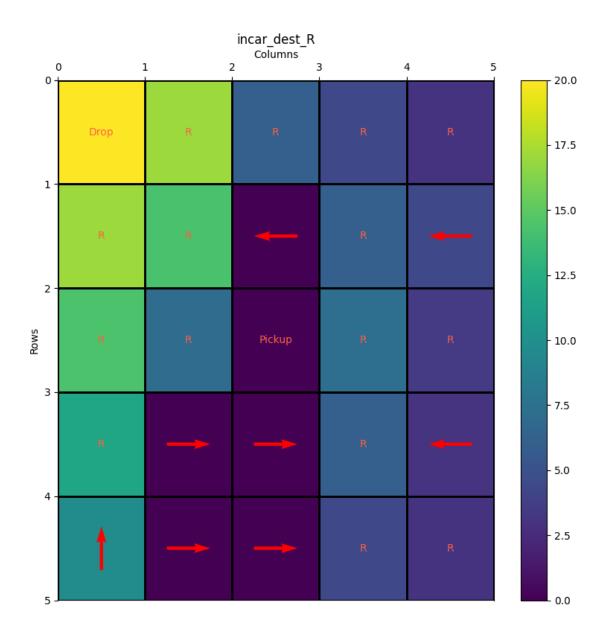
0.9.1 SMDP Q-Learning

100%| | 50000/50000 [00:34<00:00, 1428.81it/s]

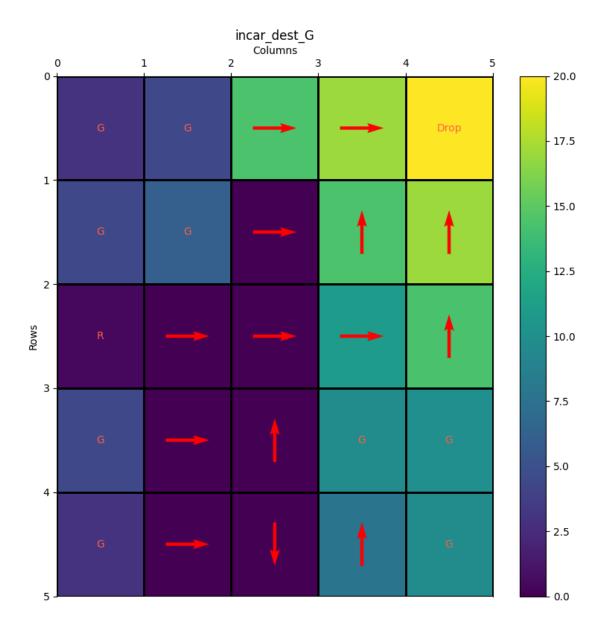
[]: plot_reward_curve(eps_rewards_smdp[:2000], 'SMDP', bestalpha, bestepsilon) # $2k_{\perp}$ 4to 3k



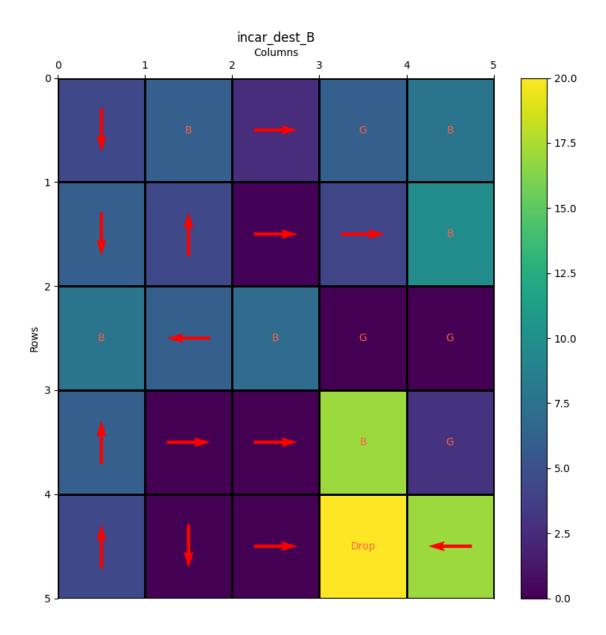
```
[]: visualize_q_values(q_values_smdp, "incar_dest_R", 'SMDP', 4, 0)
```



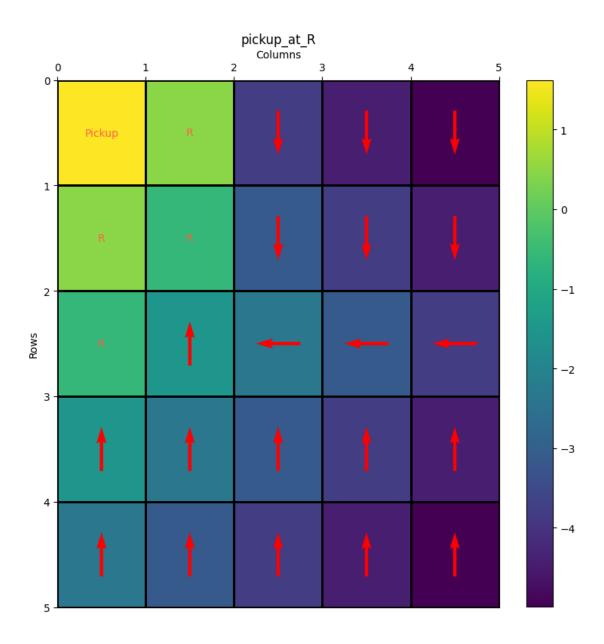
```
[]: visualize_q_values(q_values_smdp, "incar_dest_G", 'SMDP', 4, 1)
```



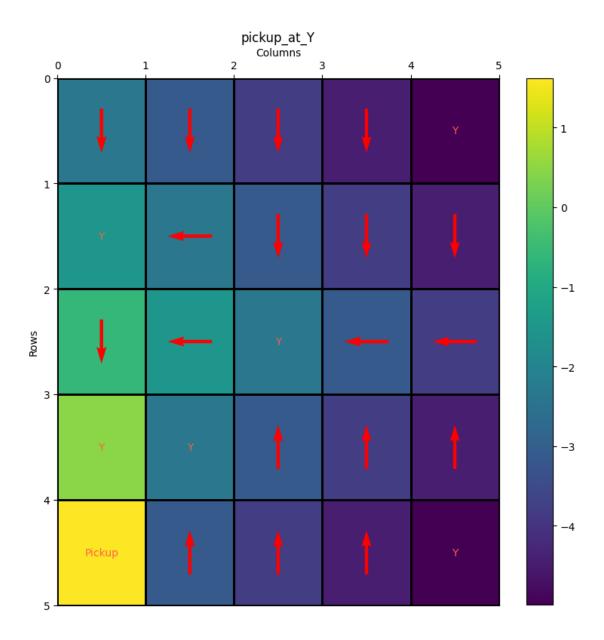
```
[]: visualize_q_values(q_values_smdp, "incar_dest_B", 'SMDP', 4, 3)
```



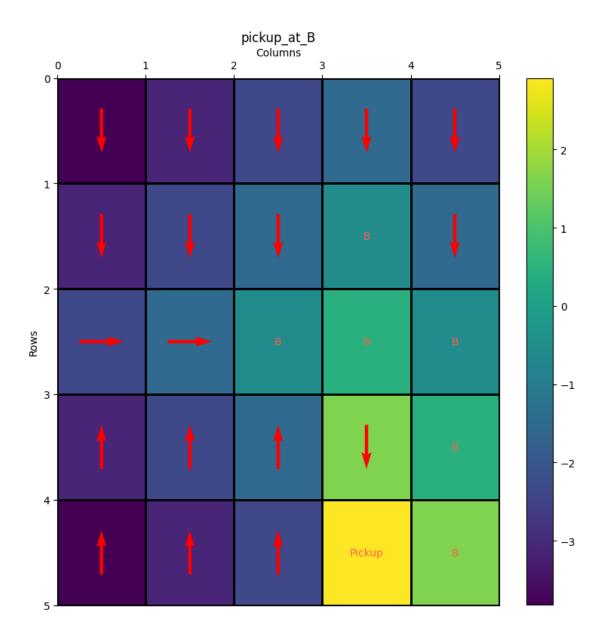
```
[]: visualize_q_values(q_values_smdp, "pickup_at_R", 'SMDP', 0, 1)
```



[]: visualize_q_values(q_values_smdp, "pickup_at_Y", 'SMDP', 2, 1)



[]: visualize_q_values(q_values_smdp, "pickup_at_B", 'SMDP', 3, 2)



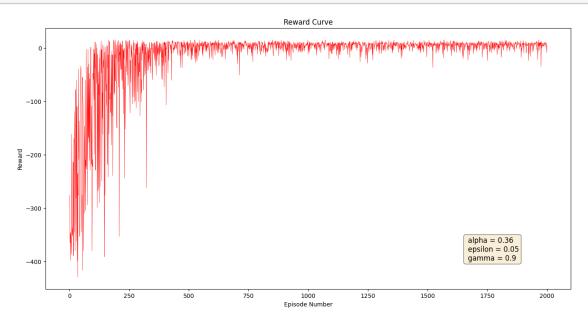
0.9.2 Intra-Option Q-Learning

```
[]: bestalpha = 0.36
bestepsilon = 0.05

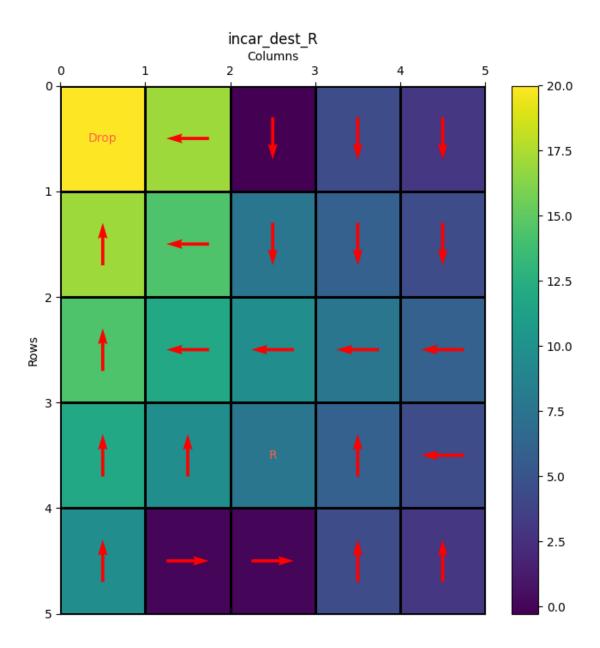
q_values_intra, uf_values_intra, eps_rewards_intra =
IntraOption_QLearning(bestalpha, bestepsilon, 50000) # 30k to 50k
```

100%| | 50000/50000 [01:08<00:00, 731.27it/s]

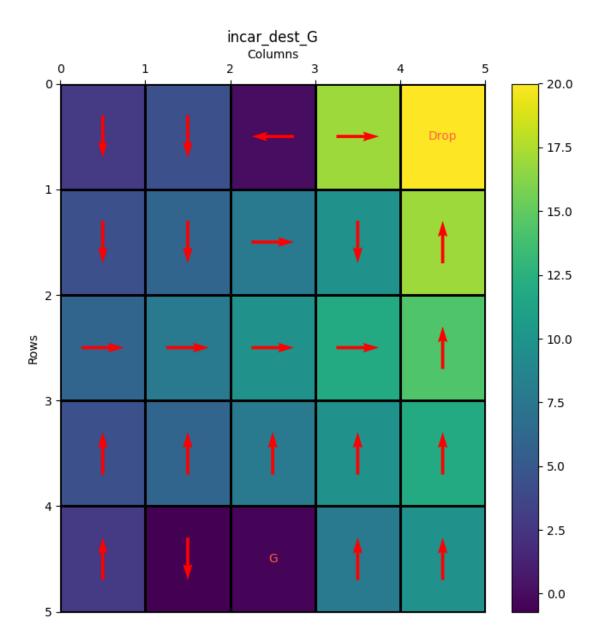
[]: plot_reward_curve(eps_rewards_intra[:2000], 'Intra', bestalpha, bestepsilon) # $_{\hookrightarrow}2k$ to 3k



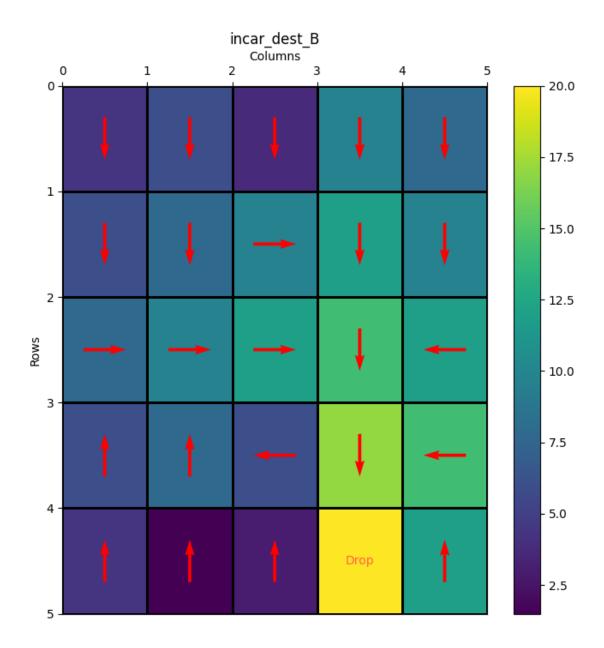
[]: visualize_q_values(q_values_intra, "incar_dest_R", 'Intra', 4, 0)



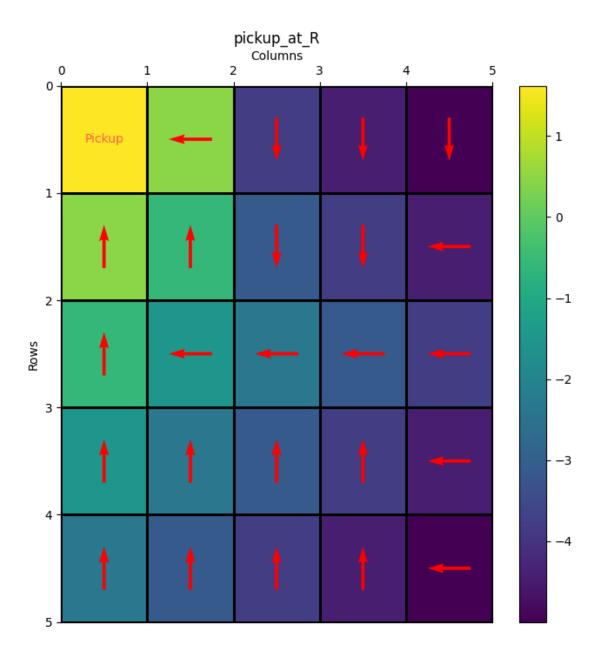
[]: visualize_q_values(q_values_intra, "incar_dest_G", 'Intra', 4, 1)



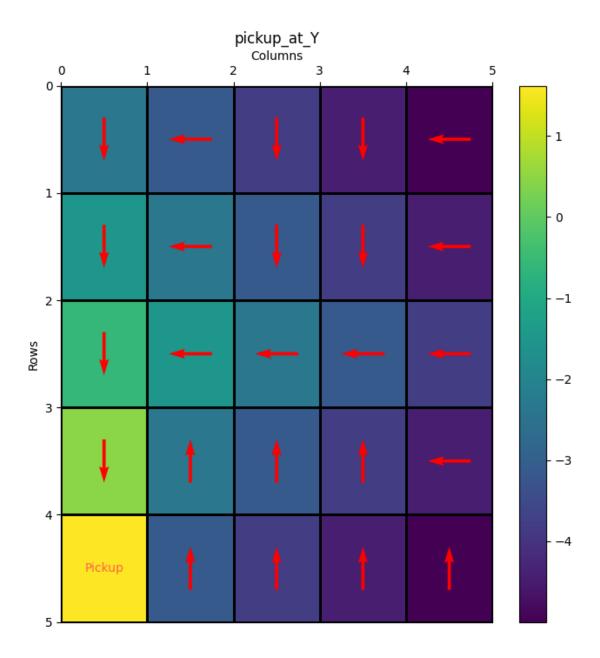
[]: visualize_q_values(q_values_intra, "incar_dest_B", 'Intra', 4, 3)



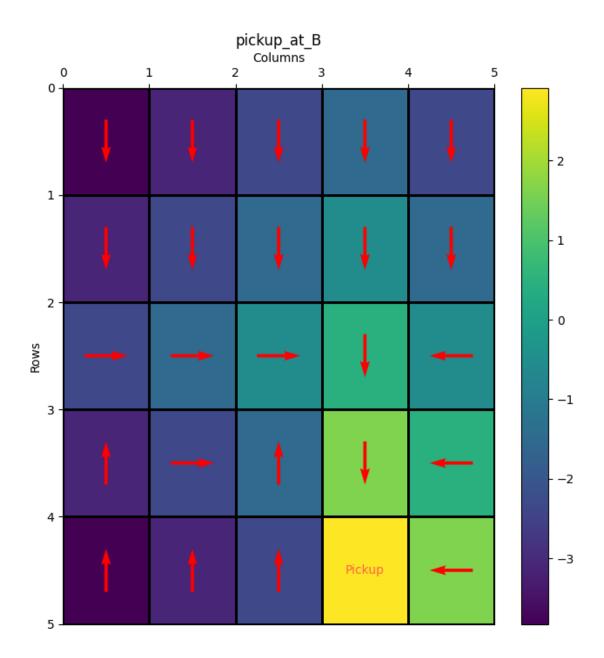
[]: visualize_q_values(q_values_intra, "pickup_at_R", 'Intra', 0, 1)



[]: visualize_q_values(q_values_intra, "pickup_at_Y", 'Intra', 2, 1)



[]: visualize_q_values(q_values_intra, "pickup_at_B", 'Intra', 3, 2)



1 Comparing SMDP and Intra-option Q learning:

```
[]: bestalpha = 0.4 bestepsilon = 0.15

q_values_smdp, uf_values_smdp, eps_rewards_smdp = SMDP_QLearning(bestalpha, upbestepsilon, 50000) # 30k to 50k
```

100%| | 50000/50000 [00:50<00:00, 989.79it/s]

```
bestalpha = 0.4
bestepsilon = 0.15

q_values_intra, uf_values_intra, eps_rewards_intra =
lambda_IntraOption_QLearning(bestalpha, bestepsilon, 50000) # 30k to 50k

100%| | 50000/50000 [01:00<00:00, 821.89it/s]</pre>
```

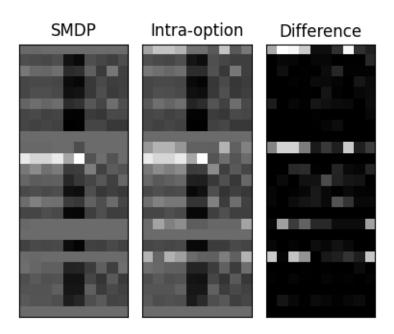
```
[]: def plotter(image, transf_image,diff, title_1, title_2):
         fig, (ax1, ax2,ax3) = plt.subplots(1, 3, figsize=(4,4))
         fig. suptitle("Given options:", fontsize=15)
         ax1.set xticks([])
         ax1.set_yticks([])
         ax2.set_xticks([])
         ax2.set_yticks([])
         ax3.set_xticks([])
         ax3.set_yticks([])
         ax1.imshow(image, 'gray')
         ax2.imshow(transf_image, 'gray')
         ax1.title.set_text(title_1)
         ax2.title.set_text(title_2)
         ax3.imshow(diff, 'gray')
         ax3.title.set_text("Difference")
         plt.show()
```

1.1 Q Value plots

```
[]: rng = np.random.default_rng()
idx = rng.choice(np.arange(len(q_values_smdp)), 25, replace=False)
```

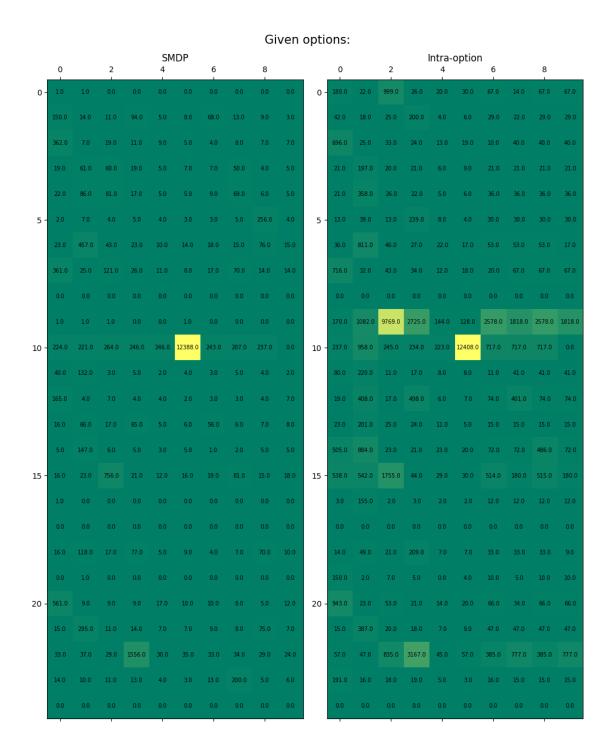
```
[]: image1=q_values_smdp[idx]
  #image1 = rng.choice(image1, 50)
  image2=q_values_intra[idx]
  #image2 = rng.choice(image2, 50)AC
  diff=np.abs(image1-image2)
  plotter(image1,image2,diff,"SMDP","Intra-option")
```

Given options:



1.1.1 Update frequency:

```
[]: print("Total number of updates in SMDP: "+str(np.sum(uf_values_smdp)))
     print("Total number of updates in Intra-option: "+str(np.sum(uf_values_intra)))
    Total number of updates in SMDP: 354452.0
    Total number of updates in Intra-option: 1485713.0
[]: def mat_plotter(image, transf_image):
         fig, (ax1, ax2) = plt.subplots(1,2, figsize=(10,13))
         fig. suptitle("Given options:", fontsize=15)
         ax1.matshow(image,cmap="summer")
         for (i, j), z in np.ndenumerate(image):
           ax1.text(j, i, '{:0.1f}'.format(z), ha='center', __
      ⇔va='center',fontsize="x-small")
         ax2.matshow(transf image,cmap="summer")
         for (i, j), z in np.ndenumerate(transf_image):
           ax2.text(j, i, '{:0.1f}'.format(z), ha='center',
      ⇔va='center',fontsize="x-small")
         ax1.title.set_text("SMDP")
         ax2.title.set_text("Intra-option")
         plt.show()
[]: mat_plotter(uf_values_smdp[idx],uf_values_intra[idx])
```



[]:

CS6700 Assignment3 taxi part2run

April 22, 2023

0.1 Installation And Imports

```
[]: # installation of older gym for render()
     !pip install gym==0.23.1
     # some imports and code to ignore deprecation warning
     import numpy as np
     import random
     import gym
     import glob
     import io
     import matplotlib.pyplot as plt
     from IPython.display import HTML, display, clear_output
     from time import sleep
     from tqdm import tqdm
     import warnings
     warnings.filterwarnings("ignore", category=DeprecationWarning)
    Looking in indexes: https://pypi.org/simple, https://us-python.pkg.dev/colab-
    wheels/public/simple/
    Collecting gym==0.23.1
      Downloading gym-0.23.1.tar.gz (626 kB)
                               626.2/626.2 kB
    22.8 MB/s eta 0:00:00
      Installing build dependencies ... done
      Getting requirements to build wheel ... done
      Preparing metadata (pyproject.toml) ... done
    Requirement already satisfied: importlib-metadata>=4.10.0 in
    /usr/local/lib/python3.9/dist-packages (from gym==0.23.1) (6.4.1)
    Requirement already satisfied: gym-notices>=0.0.4 in
    /usr/local/lib/python3.9/dist-packages (from gym==0.23.1) (0.0.8)
    Requirement already satisfied: numpy>=1.18.0 in /usr/local/lib/python3.9/dist-
    packages (from gym==0.23.1) (1.22.4)
    Requirement already satisfied: cloudpickle>=1.2.0 in
    /usr/local/lib/python3.9/dist-packages (from gym==0.23.1) (2.2.1)
    Requirement already satisfied: zipp>=0.5 in /usr/local/lib/python3.9/dist-
    packages (from importlib-metadata>=4.10.0->gym==0.23.1) (3.15.0)
    Building wheels for collected packages: gym
```

```
Building wheel for gym (pyproject.toml) ... done
Created wheel for gym: filename=gym-0.23.1-py3-none-any.whl size=701374
sha256=f214e0bf68d73200cc002863767e8e0b8972de315bec0b6a71acd27296a7ac38
Stored in directory: /root/.cache/pip/wheels/4e/be/7e/92a54668db96883e38ce60a9
249dc55de7cd6eee49e7311940
Successfully built gym
Installing collected packages: gym
Attempting uninstall: gym
Found existing installation: gym 0.25.2
Uninstalling gym-0.25.2:
Successfully uninstalled gym-0.25.2
Successfully installed gym-0.23.1

[]: # mount drive
from google.colab import drive
drive.mount('/content/drive')
```

Mounted at /content/drive

0.2 Exploring the environment and rendering a manual episode

```
[]: # explore the taxi-v3 environment to know the observation space(states),
     ⇔actions, rewards etc
     # make env and seed it for reproducibility
     env = gym.make('Taxi-v3')
     env.seed(42)
     # number of states
     NUM_STATES = env.observation_space.n
     print(f'State count - {NUM_STATES}')
     # number of actions
     NUM ACTIONS = env.action space.n
     print(f'Action count - {NUM_ACTIONS}')
     ACTION_NAMES = ["South", "North", "East", "West", "Pickup", "Dropoff"]
     COLOR NUM MAPPING = {0 : "Red", 1 : "Green", 2 : "Yellow", 3 : "Blue"}
     state = env.reset()
     # state decodes as -> (car row, car col, pass loc, dest); pass loc is a color /u
      →4: "in car"
     print(f'Initial state - {list(env.decode(state))}')
     env.render()
     # STARTING PASSENGER LOCATION -> BLUE COLOR; DEST -> RED COLOR; Taxi -> GREEN,
      SQUARE
```

```
# Solving the environment manually to understand it better;
for _ in range(3):
    new_state, reward, done, _ = env.step(1)
    env.render()
    print(f'performed {ACTION_NAMES[1]}; Current state - {list(env.

decode(new_state))); reward : {reward}; done : {done}')

new_state, reward, done, _ = env.step(4)
env.render()
print(f'performed {ACTION NAMES[4]}; Current state - {list(env.
  decode(new_state)); reward : {reward}; done : {done}')
for _ in range(2):
    new_state, reward, done, _ = env.step(0)
    env.render()
    print(f'performed {ACTION_NAMES[0]}; Current state - {list(env.

decode(new_state))); reward : {reward}; done : {done}')

for in range(4):
    new_state, reward, done, _ = env.step(3)
    env.render()
    print(f'performed {ACTION NAMES[3]}; Current state - {list(env.
 decode(new_state))); reward : {reward}; done : {done}')
for in range(2):
    new_state, reward, done, _ = env.step(0)
    env.render()
    print(f'performed {ACTION_NAMES[0]}; Current state - {list(env.

decode(new_state)); reward : {reward}; done : {done}')

new_state, reward, done, _ = env.step(5)
env.render()
print(f'performed {ACTION NAMES[5]}; Current state - {list(env.

decode(new_state)); reward : {reward}; done : {done}')

State count - 500
Action count - 6
Initial state - [3, 4, 1, 2]
+----+
|R: | : : G|
I:I:I
I : : : : I
| | : | : |
|Y| : |B: |
+----+
+----+
|R: | : :G|
| : | : |
```

```
+----+
  (North)
performed North; Current state - [2, 4, 1, 2]; reward : -1; done : False
|R: | : :G|
| : | : : |
| : : : : |
| \ | \ | \ | \ | \ | \ |
|Y| : |B: |
+----+
  (North)
performed North; Current state - [1, 4, 1, 2]; reward : -1; done : False
+----+
|R: | : : G|
|\cdot|\cdot|\cdot|
I : : : : I
| | : | : |
|Y| : |B: |
+----+
  (North)
performed North; Current state - [0, 4, 1, 2]; reward : -1; done : False
+----+
|R: | : : G|
| : | : : |
1::::
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (Pickup)
performed Pickup; Current state - [0, 4, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : : |
1::::
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (South)
performed South; Current state - [1, 4, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : |
1::::
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (South)
performed South; Current state - [2, 4, 4, 2]; reward : -1; done : False
```

```
+----+
|R: | : :G| |
|\cdot|\cdot|\cdot|
| : : : | : |
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (West)
performed West; Current state - [2, 3, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : |
| : : : |
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (West)
performed West; Current state - [2, 2, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : : |
| : : : |
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (West)
performed West; Current state - [2, 1, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
|\cdot|\cdot|\cdot|
1::::1
| \ | \ : \ | \ : \ |
|Y| : |B: |
+----+
  (West)
performed West; Current state - [2, 0, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
| : | : : |
| : : : : |
1 : 1 : 1
|Y| : |B: |
+----+
  (South)
performed South; Current state - [3, 0, 4, 2]; reward : -1; done : False
+----+
|R: | : :G|
|\cdot|\cdot|\cdot|
```

0.3 Plotting and Helper Functions

```
[ ]: class bcolors:
        RED= '\u001b[31m'
        GREEN= '\u001b[32m'
        RESET= '\u001b[Om'
    def see_trained_agent(qtable, stime=1.5):
        episodes_to_preview = 3
        env = gym.make('Taxi-v3')
        env.seed(10)
        opt_func_map = {6: Drive_to_PX, 7 : Drive_to_PY} # opt id to option_
      → function map
        for episode in range(episodes_to_preview):
            # Reset the environment
            state = env.reset()
            clear_output(wait=True); print(f"TRAINED AGENT\n++++EPISODE_
      eps_reward = 0
            if eps_reward < 0:</pre>
                print(f"Score: {bcolors.RED}{eps_reward}{bcolors.RESET}")
            else:
                print(f"Score: {bcolors.GREEN}{eps_reward}{bcolors.RESET}")
            sleep(stime)
            done = False
            while not done:
                # Exploit
                action = np.argmax(qtable[state])
```

```
# Take an action and observe the reward
            if action < 6:
                next_state, reward, done, info = env.step(action)
                eps_reward += reward
                state = next_state
                clear_output(wait=True); print(f"TRAINED AGENT\n++++EPISODE_
 →{episode+1}++++")
                env.render()
                if eps_reward < 0:</pre>
                    print(f"Score: {bcolors.RED}{eps_reward}{bcolors.RESET}")
                else:
                    print(f"Score: {bcolors.GREEN}{eps_reward}{bcolors.RESET}")
                sleep(stime)
            else:
                optdone = False
                opt_func = opt_func_map[action]
                while (optdone == False):
                    optact, _ = opt_func(env, state)
                    next_state, reward, done, _ = env.step(optact)
                    eps_reward += reward
                    _, optdone = opt_func(env, next_state)
                    state = next_state
                    clear_output(wait=True); print(f"TRAINED_
 →AGENT\n+++++EPISODE {episode+1}+++++")
                    env.render()
                    if eps_reward < 0:</pre>
                        print(f"Score: {bcolors.RED}{eps reward}{bcolors.
 →RESET}")
                    else.
                        print(f"Score: {bcolors.GREEN}{eps_reward}{bcolors.
 →RESET}")
                    sleep(stime)
# function to test an agent after training
def test agent(q values, test episodes):
    env = gym.make('Taxi-v3')
    env.seed(0)
    test rewards = []
    opt_func_map = {6: Drive_to_PX, 7 : Drive_to_PY} # opt id to option_
 ⇔function map
    for _ in tqdm(range(test_episodes)):
        # Reset the environment
        state = env.reset()
        eps_reward = 0
        done = False
        while not done:
            # Exploit
```

```
action = np.argmax(q_values[state])
        # Take an action and observe the reward
        if action < 6:</pre>
            next_state, reward, done, info = env.step(action)
            eps_reward += reward
            state = next_state
        else:
            optdone = False
            opt_func = opt_func_map[action]
            while (optdone == False):
                optact, _ = opt_func(env, state)
                next_state, reward, done, _ = env.step(optact)
                eps_reward += reward
                _, optdone = opt_func(env, next_state)
                state = next_state
    test_rewards.append(eps_reward)
return test_rewards
```

```
def visualize_q_values(q_values, msg, path, pass_src = None, pass_dest = None):
    assert(pass_src != None and pass_dest != None)

req_actions = [[None for _ in range(5)] for _ in range(5)]
    req_q_values = [[None for _ in range(5)] for _ in range(5)]
    temp_env = gym.make('Taxi-v3')
    for s in range(500):
        s_vec = list(temp_env.decode(s))
        if s_vec[2] == pass_src and s_vec[3] == pass_dest:
            req_actions[s_vec[0]][s_vec[1]] = np.argmax(q_values[s])
        req_q_values[s_vec[0]][s_vec[1]] = np.max(q_values[s])
    req_actions = np.array(req_actions)
    fig, ax = plt.subplots(figsize=(5,5))
```

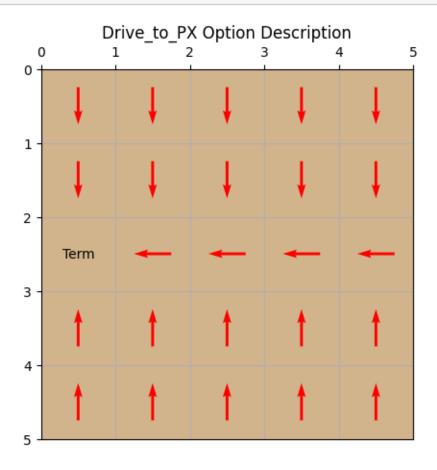
```
ax.set_title(msg)
  ax.invert_yaxis()
  ax.xaxis.tick_top()
  ax.set_xlabel('Columns')
  ax.xaxis.set_label_position('top')
  ax.set_ylabel('Rows')
  mesh = ax.pcolormesh(req_q_values, edgecolors='k', linewidths=2)
  fig.colorbar(mesh)
  def x direct(a):
      if a in [4,5,6,7,8]:
          return 0
      if a in [0, 1]:
          return 0
      return 1 if a == 2 else -1
  def y_direct(a):
      if a in [4,5,6,7,8]:
          return 0
      if a in [2, 3]:
          return 0
      return 1 if a == 1 else -1
  idx = np.indices((5,5))
  policyx = np.vectorize(x_direct)(req_actions)
  policyy = np.vectorize(y_direct)(req_actions)
  req_action_dict = {4 : 'Pickup', 5 : 'Drop', 6 : 'Goto_PX', 7 : 'Goto_PY'}
  for i,j,px,py in zip(idx[1].ravel(), idx[0].ravel(), policyx.ravel(),
→policyy.ravel()):
      if (req_actions[j, i] < 4):</pre>
          ax.quiver(i+0.5, j+0.5, px, py, pivot="middle", __
⇔scale=10,color='red')
      else:
          ax.text(i+0.5, j+0.5, req_action_dict[req_actions[j][i]],__
whorizontalalignment='center',verticalalignment='center',color='tomato')
  fig.savefig(MAINPATH+'/'+path+'/'+msg+'.png')
```

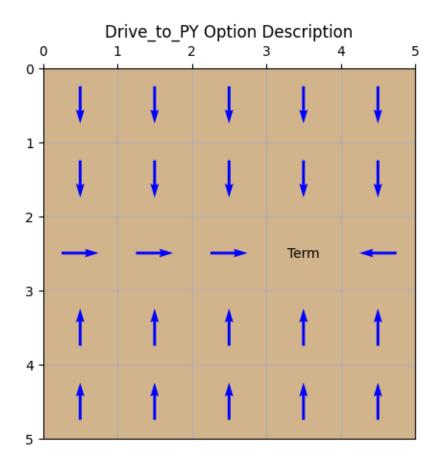
0.4 Defining the Reach Main Road, Drive Left on Main Road, Drive Right on Main Road Options

```
PLOTSPATH = MAINPATH + '/OptionDescs'
def save_option_desc(policy, desc, color, end):
    pcopy = np.copy(policy)
    pcopy[end] = -1
    fig, ax = plt.subplots(figsize = (5,5))
    ax.set_title(f'{desc} Option Description')
    ax.set_xlim([0,5])
    ax.set_ylim([0,5])
    def x_direct(a):
```

```
if a in [-1, 0, 1]:
          return 0
      return 1 if a == 2 else -1
  def y_direct(a):
      if a in [-1, 2, 3]:
          return 0
      return 1 if a == 1 else -1
  policyx = np.vectorize(x_direct)(pcopy)
  policyy = np.vectorize(y_direct)(pcopy)
  idx = np.indices(policy.shape)
  for i,j,px,py in zip(idx[1].ravel(), idx[0].ravel(), policyx.ravel(),
→policyy.ravel()):
      if (pcopy[j, i] != -1):
          ax.quiver(i+0.5, j+0.5, px, py, pivot="middle", u
⇒scale=10,color=color)
      else:
          ax.text(i+0.5, j+0.5, 'Term', __
⇔horizontalalignment='center', verticalalignment='center')
  ax.set_facecolor('tan'); ax.invert_yaxis(); ax.xaxis.tick_top(); ax.grid()
  fig.savefig(f'{PLOTSPATH}/option_{desc}.png')
```

```
[ ]: \# defining the required option action matrix for all the options [FOR EASY__
     ⇔CODING]
     X_ACTN_MATRIX = np.array([[0,0,0,0,0]],
                                 [0,0,0,0,0]
                                 [0,3,3,3,3],
                                 [1,1,1,1,1],
                                 [1,1,1,1,1]
     X_TERM_MATRIX = np.array([[0,0,0,0,0]],
                                 [0,0,0,0,0],
                                 [1,0,0,0,0]
                                 [0,0,0,0,0],
                                 [0,0,0,0,0]
     save_option_desc(X_ACTN_MATRIX,'Drive_to_PX', 'red', (2,0))
     Y_ACTN_MATRIX = np.array([[0,0,0,0,0]],
                                 [0,0,0,0,0]
                                 [2,2,2,2,3],
                                 [1,1,1,1,1],
                                 [1,1,1,1,1]
     Y_TERM_MATRIX = np.array([[0,0,0,0,0],
                                 [0,0,0,0,0]
                                 [0,0,0,1,0],
                                 [0,0,0,0,0],
                                 [0,0,0,0,0]
     save_option_desc(Y_ACTN_MATRIX, 'Drive_to_PY', 'blue', (2,3))
     OPT_TO_POLICY_MAP = {6 : X_ACTN_MATRIX, 7 : Y_ACTN_MATRIX}
```





```
[]: # defining the 2 Option functions
def Drive_to_PX(env, state):
    coords = list(env.decode(state))[:2]
    optdone = False
    optact = X_ACTN_MATRIX[coords[0], coords[1]]

    if (coords == [2, 0]): # termination at reaching cell X
        optdone = True

    return [optact, optdone]

def Drive_to_PY(env, state):
    coords = list(env.decode(state))[:2]
    optdone = False
    optact = Y_ACTN_MATRIX[coords[0], coords[1]]

if (coords == [2, 3]): # termination at reaching cell Y
        optdone = True
```

```
return [optact, optdone]
```

0.5 Exploration Function

```
[]: #Q-Table: (States x Actions) === (env.ns(500) x total actions(8))
     q values test = np.zeros((500, 8))
     uf_values_test = np.zeros((500, 8)) # Update_Frequency Data structure - stores_
      \rightarrow the number of updates for (s,a) where a = prim \ action/an \ option
     avl_actions = [0, 1, 2, 3, 4, 5, 6, 7] # for ["South", "North", "East", "West", "
      → "Pickup", "Drop", "Drive_to_X" opt, "Drive_to_Y" opt]
     def egreedy_policy(q_values, state, epsilon, rg, disallow):
         if (rg.random() < epsilon): # epsilon prob for uniform choice over all_
      ⇔actions and options
             if (disallow != None):
                 val_actions = avl_actions[:]; val_actions.remove(disallow)
                 return rg.choice(val_actions)
             else:
                 return rg.choice(avl_actions)
                                        # 1 - epsilon prob for greedy action/option
         else:
                 return np.argmax(q_values[state])
```

0.6 SMDP Q-Learning

```
[]: #### SMDP Q-Learning
     def SMDP_QLearning(alpha, epsilon, epcount = 10000, gamma = 0.9, rg = np.random.
      →RandomState(42)):
         env = gym.make('Taxi-v3')
         env.seed(42) # for reproducibility
         # arrays for storing q value, update counts and episode rewards
         q_values = np.zeros((500, 8))
         uf_values = np.zeros((500, 8))
         ep rewards list = []
         opt_func_map = {6: Drive_to_PX, 7 : Drive_to_PY} # opt id to option_
      → function map
         dis_{opts} = \{(2,0) : 6, (2,3) : 7\} # store disallowed options for each color_
      ⇔coordinates in a dict
         # Iterate over epcount episodes
         for epidx in tqdm(range(epcount)):
             state = env.reset()
             done = False
             eps reward = 0
```

```
# While episode is not over
      while not done:
           # Choose action/option. Note: option should be allowed at the
⇔current co-ordinates
           st_coords = tuple(env.decode(state))[:2]
           dis opt = (dis opts[st coords] if st coords in dis opts.keys() else,
→None)
          action = egreedy_policy(q_values, state, epsilon, rg, dis_opt)
           # Checking if primitive action
           if action < 6:</pre>
               # Perform regular Q-Learning update for state-action pair
               next_state, reward, done, _ = env.step(action)
               q_values[state, action] += alpha * (reward + gamma*np.

max(q_values[next_state]) - q_values[state, action])
               uf_values[state,action] += 1
               state = next state
               eps_reward += reward
           else:
               # find the corresponding function for the option
               opt_func = opt_func_map[action]
               reward_bar = 0
               initial state = state
               opt_steps = 0
               optdone = False
               while (optdone == False):
                   optact, _ = opt_func(env, state)
                   next_state, reward, done, _ = env.step(optact)
                   _, optdone = opt_func(env, next_state)
                   reward_bar = gamma * reward_bar + reward
                   opt_steps += 1
                   state = next_state
                   eps_reward += reward
               # SMDP Q-Learning Update for options. We update the q-value of \Box
→the (initial_state, option) pair at the end of option execution.
               q_values[initial_state, action] += alpha * (reward_bar + (gamma_
*** opt_steps) * np.max(q_values[state]) - q_values[initial_state, action])
               uf_values[initial_state,action] += 1
       ep_rewards_list.append(eps_reward)
  return q_values, uf_values, ep_rewards_list
```

0.7 Intra Option Q-Learning

```
[]: #### Intra Option Q-Learning
     # NOT USED - INSIGNIFICANT SPEED BOOST
     def gen_policies_sharing_action():
         ans = [[[[] for _ in range(6)] for _ in range(5)] for _ in range(5)]
         for opt in [6, 7]:
             policy = OPT_TO_POLICY_MAP[opt]
             for i in range(5):
                 for j in range(5):
                     ans[i][j][policy[i,j]].append(opt)
         return ans
     def IntraOption_QLearning(alpha, epsilon, epcount = 10000, gamma = 0.9, rg = np.
      ⇒random.RandomState(42)):
         env = gym.make('Taxi-v3')
         env.seed(42) # for reproducibility
         # arrays for storing q value, update counts and episode rewards
         q_values = np.zeros((500, 8))
         uf_values = np.zeros((500, 8))
         ep_rewards_list = []
         opt_func_map = {6: Drive_to_PX, 7 : Drive_to_PY} # opt id to option_
      → function map
         dis_{opts} = \{(2,0) : 6, (2,3) : 7\} # store disallowed options for each color_
      ⇔coordinates in a dict
         # Iterate over epcount episodes
         for epidx in tqdm(range(epcount)):
             state = env.reset()
             done = False
             eps_reward = 0
             # While episode is not over
             while not done:
                 # Choose action/option. Note : option should be allowed at the
      \hookrightarrow current co-ordinates
                 st_coords = tuple(env.decode(state))[:2]
                 dis_opt = (dis_opts[st_coords] if st_coords in dis_opts.keys() else_
      →None)
                 action = egreedy_policy(q_values, state, epsilon, rg, dis_opt)
                 # Checking if primitive action
                 if action < 6:</pre>
                     # Perform regular Q-Learning update for state-action pair
                     next_state, reward, done, _ = env.step(action)
```

```
q_values[state, action] += alpha * (reward + gamma*np.
max(q_values[next_state]) - q_values[state, action])
              uf_values[state,action] += 1
              state = next state
              eps_reward += reward
          else:
              # find the corresponding function for the option
              opt_func = opt_func_map[action]
              other_opts = [6,7]; other_opts.remove(action)
              optdone = False
              while (optdone == False):
                  optact, _ = opt_func(env, state)
                  next_state, reward, done, _ = env.step(optact)
                  _, optdone = opt_func(env, next_state)
                  eps_reward += reward
                  # perform update for the optact - primitive action
                  q_values[state, optact] += alpha * (reward + gamma*np.

¬max(q_values[next_state]) - q_values[state, optact])
                  uf_values[state, optact] += 1
                  # find all options that choose the same primitive action at_{\perp}
\hookrightarrowstate
                  opt upd list = [action]
                  st_coords = list(env.decode(state))[:2]
                  for oth in other opts:
                      if OPT_TO_POLICY_MAP[oth][st_coords[0], st_coords[1]]_
⇒== optact:
                          opt_upd_list.append(oth)
                   # debug - print(st_coords, action, opt_upd_list)
                   # perform updates for all options that choose the same\sqcup
⇒primitive action at state
                  nst_coords = list(env.decode(next_state))[:2]
                  for opt in opt upd list:
                      term_matrix = OPT_TO_TERM_MAP[opt]
                      if term_matrix[nst_coords[0], nst_coords[1]] == 1:
                           # if the option terminates, we do total max over
→all actions(and options) in next state
                          q_values[state, opt] += alpha * (reward + gamma *_{\sqcup}
→np.max(q_values[next_state]) - q_values[state, opt])
                          uf_values[state, opt] += 1
                      else:
                           # if it does not, we use the option q-value in next_
⇔state for update
                          q_values[state, opt] += alpha * (reward + gamma *_
uf_values[state, opt] += 1
```

```
state = next_state
ep_rewards_list.append(eps_reward)
return q_values, uf_values, ep_rewards_list
```

0.8 Wandb Sweeping For finding best hyperparameters

```
[]: !pip install wandb
     import wandb
     wandb.login()
    Looking in indexes: https://pypi.org/simple, https://us-python.pkg.dev/colab-
    wheels/public/simple/
    Collecting wandb
      Downloading wandb-0.14.2-py3-none-any.whl (2.0 MB)
                                2.0/2.0 MB
    31.3 MB/s eta 0:00:00
    Requirement already satisfied: psutil>=5.0.0 in
    /usr/local/lib/python3.9/dist-packages (from wandb) (5.9.4)
    Collecting docker-pycreds>=0.4.0
      Downloading docker_pycreds-0.4.0-py2.py3-none-any.whl (9.0 kB)
    Requirement already satisfied: Click!=8.0.0,>=7.0 in
    /usr/local/lib/python3.9/dist-packages (from wandb) (8.1.3)
    Collecting pathtools
      Downloading pathtools-0.1.2.tar.gz (11 kB)
      Preparing metadata (setup.py) ... done
    Collecting setproctitle
      Downloading setproctitle-1.3.2-cp39-cp39-manylinux_2_5_x86_64.manylinux1_x86_6
    4.manylinux_2_17_x86_64.manylinux2014_x86_64.whl (30 kB)
    Collecting sentry-sdk>=1.0.0
      Downloading sentry_sdk-1.19.1-py2.py3-none-any.whl (199 kB)
                               199.2/199.2 kB
    25.2 MB/s eta 0:00:00
    Requirement already satisfied: requests<3,>=2.0.0 in
    /usr/local/lib/python3.9/dist-packages (from wandb) (2.27.1)
    Requirement already satisfied: protobuf!=4.21.0,<5,>=3.15.0 in
    /usr/local/lib/python3.9/dist-packages (from wandb) (3.20.3)
    Requirement already satisfied: typing-extensions in
    /usr/local/lib/python3.9/dist-packages (from wandb) (4.5.0)
    Requirement already satisfied: setuptools in /usr/local/lib/python3.9/dist-
    packages (from wandb) (67.6.1)
    Requirement already satisfied: appdirs>=1.4.3 in /usr/local/lib/python3.9/dist-
    packages (from wandb) (1.4.4)
    Collecting GitPython!=3.1.29,>=1.0.0
      Downloading GitPython-3.1.31-py3-none-any.whl (184 kB)
                               184.3/184.3 kB
```

23.8 MB/s eta 0:00:00

```
Requirement already satisfied: PyYAML in /usr/local/lib/python3.9/dist-
    packages (from wandb) (6.0)
    Requirement already satisfied: six>=1.4.0 in /usr/local/lib/python3.9/dist-
    packages (from docker-pycreds>=0.4.0->wandb) (1.16.0)
    Collecting gitdb<5,>=4.0.1
      Downloading gitdb-4.0.10-py3-none-any.whl (62 kB)
                               62.7/62.7 kB
    7.5 MB/s eta 0:00:00
    Requirement already satisfied: idna<4,>=2.5 in
    /usr/local/lib/python3.9/dist-packages (from requests<3,>=2.0.0->wandb) (3.4)
    Requirement already satisfied: urllib3<1.27,>=1.21.1 in
    /usr/local/lib/python3.9/dist-packages (from requests<3,>=2.0.0->wandb)
    (1.26.15)
    Requirement already satisfied: charset-normalizer~=2.0.0 in
    /usr/local/lib/python3.9/dist-packages (from requests<3,>=2.0.0->wandb) (2.0.12)
    Requirement already satisfied: certifi>=2017.4.17 in
    /usr/local/lib/python3.9/dist-packages (from requests<3,>=2.0.0->wandb)
    (2022.12.7)
    Collecting smmap<6,>=3.0.1
      Downloading smmap-5.0.0-py3-none-any.whl (24 kB)
    Building wheels for collected packages: pathtools
      Building wheel for pathtools (setup.py) ... done
      Created wheel for pathtools: filename=pathtools-0.1.2-py3-none-any.whl
    size=8807
    sha256=37bfe1832b91063a132edffc63db5238f1a7a59f9e4144509f50fff16fd18bbe
      Stored in directory: /root/.cache/pip/wheels/b7/0a/67/ada2a22079218c75a88361c0
    782855cc72aebc4d18d0289d05
    Successfully built pathtools
    Installing collected packages: pathtools, smmap, setproctitle, sentry-sdk,
    docker-pycreds, gitdb, GitPython, wandb
    Successfully installed GitPython-3.1.31 docker-pycreds-0.4.0 gitdb-4.0.10
    pathtools-0.1.2 sentry-sdk-1.19.1 setproctitle-1.3.2 smmap-5.0.0 wandb-0.14.2
    <IPython.core.display.Javascript object>
    wandb: Appending key for api.wandb.ai to your netrc file:
    /root/.netrc
[]: True
```

0.8.1 SMDP Q-Learning Hyperparameter Finetuning

```
[]: def test_smdp():
    run = wandb.init(reinit=True)
    al = wandb.config.alpha
    epsn = wandb.config.epsilon
    epcount = wandb.config.train_episodes
    run.name = 'al={:.4f}_eps={:.4f}'.format(al, epsn)
```

```
q_values, uf_values, ep_reward_list = SMDP_QLearning(al, epsn, epcount)

for i, rew in enumerate(ep_reward_list):
    wandb.log({'train episode number': i+1, 'train_reward' : rew})

test_rewards = test_agent(q_values, wandb.config.test_episodes)
for i, rew in enumerate(test_rewards):
    wandb.log({'test episode number': i+1, 'test_reward' : rew})

wandb.log({'avg_test_reward' : np.mean(test_rewards)})
run.finish()
```

```
[]: sweep_config = {
         'method' : 'bayes',
         'name' : 'alpha_epsilon_sweep',
         'metric' : {
             'goal' : 'maximize',
             'name' : 'avg_test_reward'
         },
         'parameters' : {
             'alpha': {'min': 0.2, 'max': 0.7},
             'epsilon': {'min': 0.02, 'max': 0.25},
             'train_episodes' : {'value' : 20000},
             'test_episodes' : {'value' : 1000}
         }
     }
     sweep_id = wandb.sweep(sweep=sweep_config, project='RL-A3-P2-SMDP',__

entity='cs6700_team_2023')
     wandb.agent(sweep_id, test_smdp, 'cs6700_team_2023', count=100)
```

0.8.2 Intra-Option Q-Learning Hyperparameter Finetuning

```
[]: def test_intra():
    run = wandb.init(reinit=True)
    al = wandb.config.alpha
    epsn = wandb.config.epsilon
    epcount = wandb.config.train_episodes
    run.name = 'al={:.4f}_eps={:.4f}'.format(al, epsn)
        q_values, uf_values, ep_reward_list = IntraOption_QLearning(al, epsn,___)
    epcount)

for i, rew in enumerate(ep_reward_list):
    wandb.log({'train episode number': i+1, 'train_reward' : rew})

test_rewards = test_agent(q_values, wandb.config.test_episodes)
```

```
for i, rew in enumerate(test_rewards):
    wandb.log({'test episode number': i+1, 'test_reward' : rew})

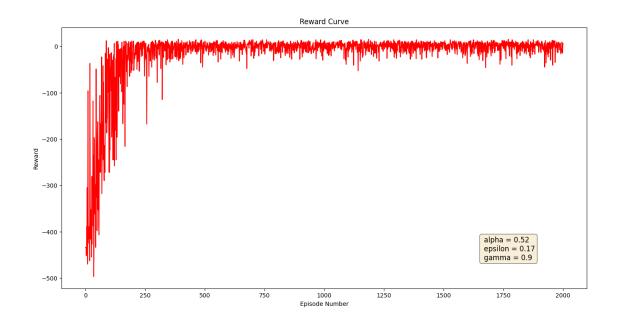
wandb.log({'avg_test_reward' : np.mean(test_rewards)})
run.finish()
```

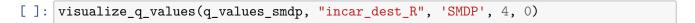
```
[]: sweep_config = {
         'method' : 'bayes',
         'name' : 'alpha_epsilon_sweep',
         'metric' : {
             'goal' : 'maximize',
             'name' : 'avg_test_reward'
         },
         'parameters' : {
             'alpha': {'min': 0.2, 'max': 0.7},
             'epsilon' : {'min' : 0.02, 'max' : 0.25},
             'train episodes' : {'value' : 20000},
             'test_episodes' : {'value' : 1000}
         }
     }
     sweep_id = wandb.sweep(sweep=sweep_config,__
      project='RL-A3-P2-IntraOptionQLearning', entity='cs6700_team_2023')
     wandb.agent(sweep_id, test_intra, 'cs6700_team_2023', count=100)
```

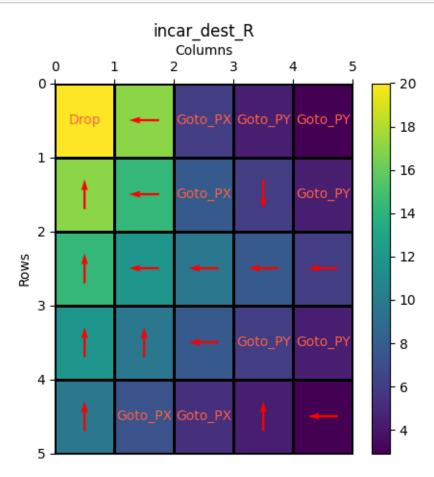
0.9 Generating Plots for Best Hyperparameter Combination

0.9.1 SMDP Q-Learning

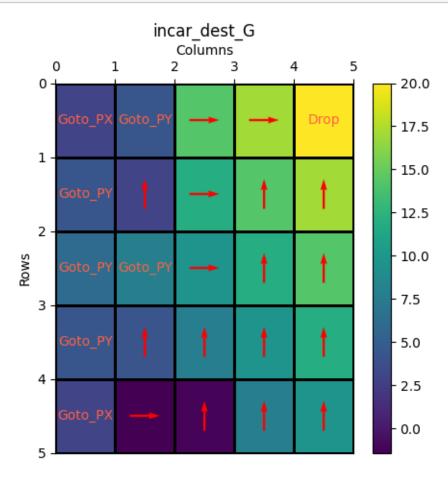
```
[]: plot_reward_curve(eps_rewards[:2000], 'SMDP', bestalpha, bestepsilon) # 2k to 3k
```



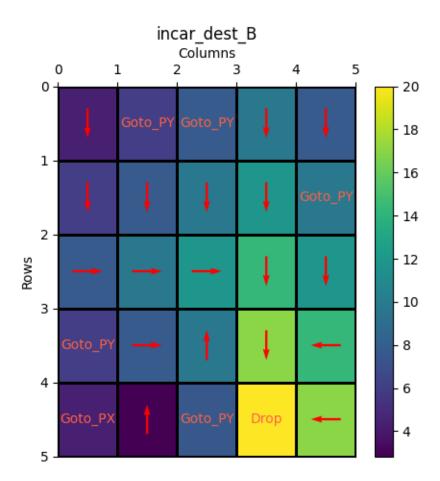




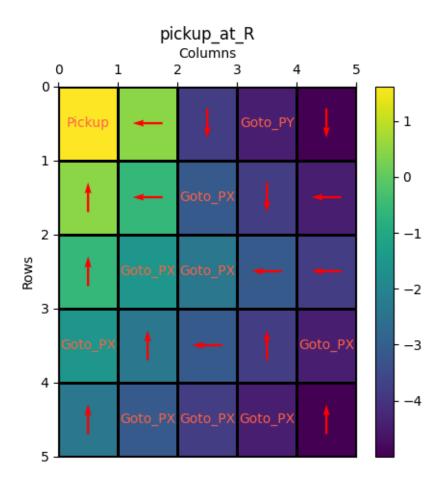
```
[]: visualize_q_values(q_values_smdp, "incar_dest_G", 'SMDP', 4, 1)
```



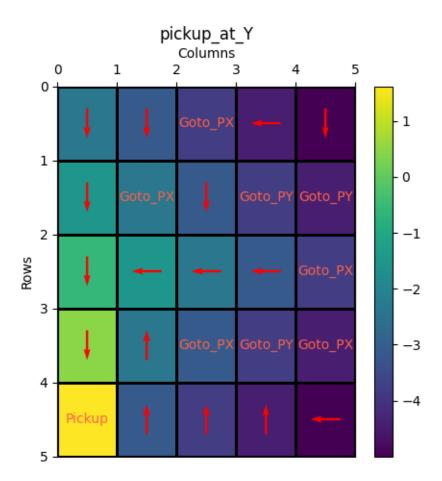
```
[]: visualize_q_values(q_values_smdp, "incar_dest_B", 'SMDP', 4, 3)
```



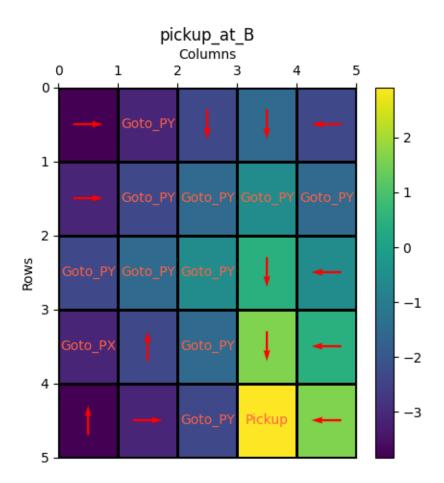
```
[]: visualize_q_values(q_values_smdp, "pickup_at_R", 'SMDP', 0, 1)
```



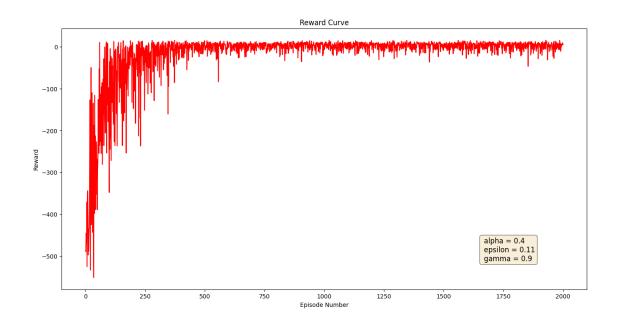
```
[]: visualize_q_values(q_values_smdp, "pickup_at_Y", 'SMDP', 2, 1)
```



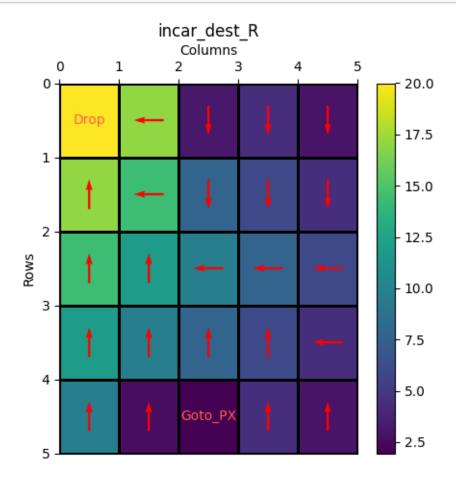
```
[]: visualize_q_values(q_values_smdp, "pickup_at_B", 'SMDP', 3, 2)
```



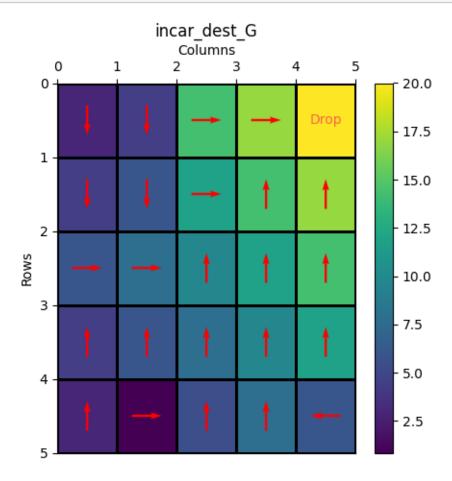
0.9.2 Intra-Option Q-Learning



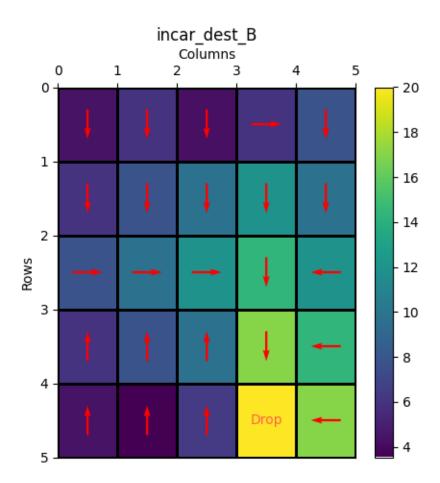
[]: visualize_q_values(q_values_intra, "incar_dest_R", 'Intra', 4, 0)



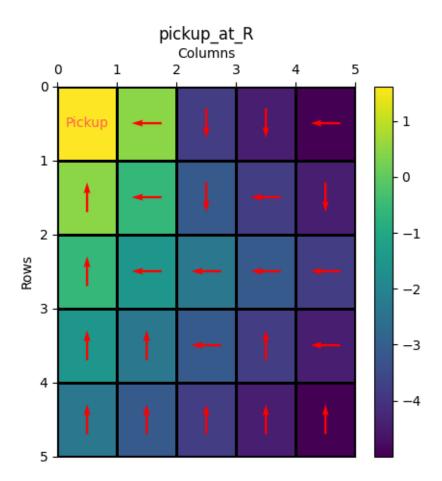
```
[]: visualize_q_values(q_values_intra, "incar_dest_G", 'Intra', 4, 1)
```



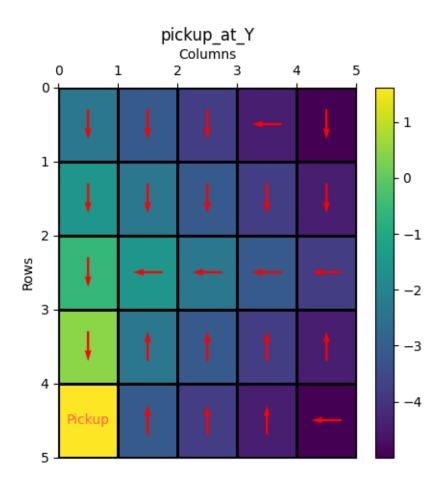
```
[]: visualize_q_values(q_values_intra, "incar_dest_B", 'Intra', 4, 3)
```



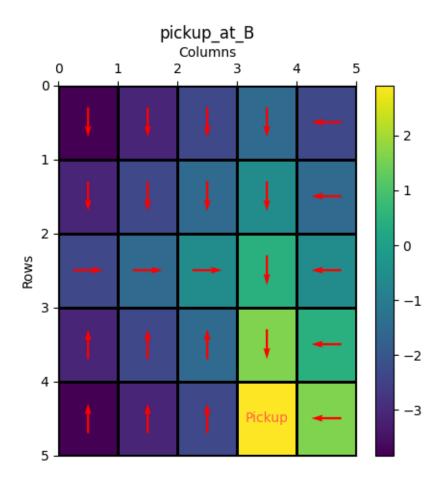
[]: visualize_q_values(q_values_intra, "pickup_at_R", 'Intra', 0, 1)



```
[]: visualize_q_values(q_values_intra, "pickup_at_Y", 'Intra', 2, 1)
```



[]: visualize_q_values(q_values_intra, "pickup_at_B", 'Intra', 3, 2)



1 Comparing SMDP and Intra-option Q learning:

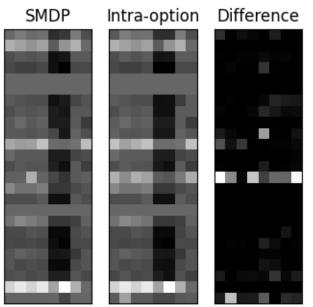
```
[]: def plotter(image, transf_image,diff, title_1, title_2):
         fig, (ax1, ax2,ax3) = plt.subplots(1, 3, figsize=(4,4))
         fig. suptitle("Alternate options:", fontsize=15)
         ax1.set_xticks([])
         ax1.set_yticks([])
         ax2.set_xticks([])
         ax2.set_yticks([])
         ax3.set_xticks([])
         ax3.set_yticks([])
         ax1.imshow(image, 'gray')
         ax2.imshow(transf image, 'gray')
         ax1.title.set_text(title_1)
         ax2.title.set_text(title_2)
         ax3.imshow(diff, 'gray')
         ax3.title.set_text("Difference")
         plt.show()
```

1.1 Q Value plots

```
[ ]: rng = np.random.default_rng()
idx = rng.choice(np.arange(len(q_values_smdp)), 25, replace=False)
```

```
[]: image1=q_values_smdp[idx]
  #image1 = rng.choice(image1, 50)
  image2=q_values_intra[idx]
  #image2 = rng.choice(image2, 50)AC
  diff=np.abs(image1-image2)
  plotter(image1,image2,diff,"SMDP","Intra-option")
```

Alternate options:



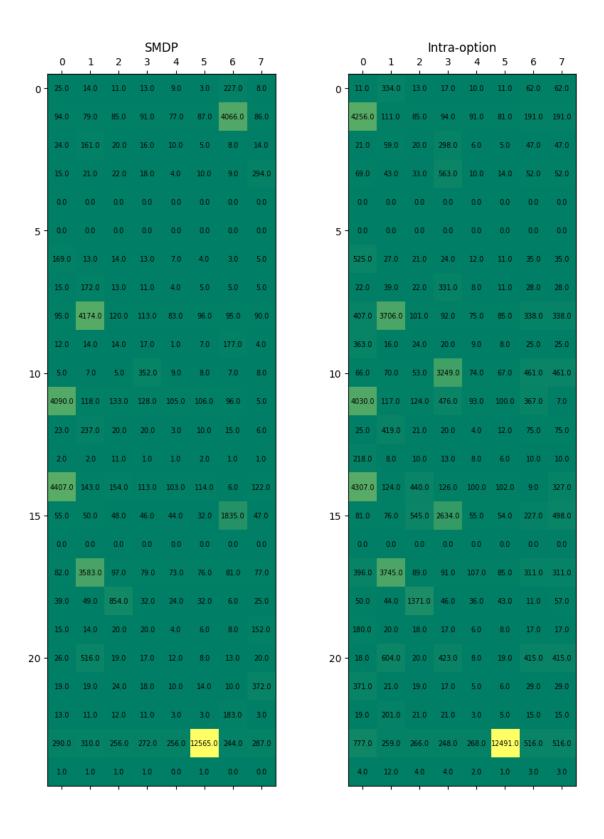
1.1.1 Update frequency:

```
[]: print("Total number of updates in SMDP: "+str(np.sum(uf_values_smdp)))
     print("Total number of updates in Intra-option: "+str(np.sum(uf_values_intra)))
    Total number of updates in SMDP: 586072.0
    Total number of updates in Intra-option: 998700.0
[]: def mat_plotter(image, transf_image):
         fig, (ax1, ax2) = plt.subplots(1,2, figsize=(10,13))
         fig. suptitle("Alternate options:", fontsize=15)
         ax1.matshow(image,cmap="summer")
         for (i, j), z in np.ndenumerate(image):
           ax1.text(j, i, '{:0.1f}'.format(z), ha='center', L
      ⇔va='center',fontsize="x-small")
         ax2.matshow(transf_image,cmap="summer")
         for (i, j), z in np.ndenumerate(transf_image):
           ax2.text(j, i, '{:0.1f}'.format(z), ha='center',
      ⇔va='center',fontsize="x-small")
         ax1.title.set_text("SMDP")
         ax2.title.set_text("Intra-option")
         plt.show()
```

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[]: mat_plotter(uf_values_smdp[idx],uf_values_intra[idx])

Alternate options:



[]:[