

Compactification

Def An embedding  $e: X \rightarrow Y$  is a cts injection which is a homeomorphism onto its image:

$$e^{-1}: e(X) \rightarrow X \text{ is cts}$$

Def a compactification of  $X$  is a compact space  $K$  and an embedding  $e: X \rightarrow K$  s.t.  $e(X)$  is dense in  $K$ .

Examples:  $\mathbb{R}$  can be compactified:

①  $\bar{\mathbb{R}} = [-\infty, +\infty]$

② Add one pt,  $\infty$ , and get  $S^1$ .



... and  $X$  not cpt

If  $X$  is LCH, there is a one pt (Alexandroff) compactification.

Choose an object  $\infty \notin X$ .  $X^\bullet = X \cup \{\infty\}$ ,

$U \subset X^\bullet$  is open if  $U \subset X$  and  $U$  is open,

or  $\infty \in U$  and  $U^c \subset X$  is compact.

Thus  $X^\bullet$  is compact Hausdorff,  $X \hookrightarrow X^\bullet$  is an embedding

pf cpt: if  $\{U_i\}$  is an open cover,  $\exists U_0$  s.t.  $\infty \in U_0$ .

so  $U_0^c$  is cpt. Thus  $\{U_i\}_{i \neq 0}$  covers  $U_0^c$  which is cpt.

Hausdorff: it suffices to separate  $\infty$  from  $x \in X$ .

Since  $X$  is LCH,  $\exists$  open  $V \subset X$  s.t.  $x \in V$ ,  $\bar{V}$  cpt.

so  $\infty \in \bar{V}^c$ . □

Def A top sp is called completely regular if  $\forall$  closed  $F \subset X$  and  $x \in F^c$ ,  $\exists$  cts  $f: X \rightarrow [0,1]$  s.t.  $f(x)=1$  and  $f|_F = 0$ .

Call  $X$  Tychonoff if  $X$  is completely regular &  $T_1$ .

Facts:

- ① Tychonoff  $\Rightarrow$  Hausdorff
- ② Normal  $\Rightarrow$  Tychonoff by Urysohn/Tietze
- ③ LCH  $\Rightarrow$  Tychonoff
- ④ Any subspace of a Tychonoff sp is Tychonoff.

Embedding Lemma: Suppose  $\Phi \subset C(X, [0, 1])$ .

Define  $e: X \rightarrow [0, 1]^\Phi$  (cpt!) by  
$$x \mapsto (f(x))_{f \in \Phi}$$

- ①  $e$  is cts
- ②  $e$  is injective iff  $\Phi$  separates points
- ③ if  $\Phi$  separates points from closed sets  
 $(\forall F \subset X \text{ closed, } \lambda \in \mathbb{R}^c, \exists x \in \Phi \text{ s.t. } f(x) \neq \overline{f(F)}),$   
 $e$  is open [when restricted to  $e(X)$ ].
- ④ If  $\Phi$  separates pts And separates pts & closed sets,  
 $e$  is an embedding.

Pf ① Observe  $\pi_f \circ e = f$  is cts  $\forall f \in \Phi$ .

②  $e(x) \neq e(y) \Leftrightarrow \exists f \in \Phi \text{ s.t. } f(x) \neq f(y)$ .

③ suppose  $\Phi$  separates pts from closed sets.

Let  $U \subset X$  be open,  $x \in U$ . Want to find open  $V \subset [0,1]^{\Phi}$   
 s.t.  $e(x) \in V \cap e(X) \subset e(U)$

Now  $\exists f \in \Phi$  s.t.  $f(x) \notin \overline{f(U)}$ . Then

$W := [0,1] \setminus \overline{f(U)}$  is open and contains  $f(x)$ .

Thus  $e(x) \in \pi_f^{-1}(W)$  open in  $[0,1]^{\Phi}$ .

Observe  $e(y) \in \pi_f^{-1}(W) \cap e(X) \iff f(y) \notin \overline{f(U)}$   
 $\implies y \in U$

$V = \pi_f^{-1}(W)$   
 $\downarrow$   
 so  $e(x) \in V \cap e(X) \subset e(U)$ .

④ by ① & ②,  $e: X \hookrightarrow [0,1]^{\Phi}$  is a cts injection,

by ③,  $e^{-1}: e(X) \rightarrow X$  is cts. □

Cor:  $X$  is Tychonoff iff  $\exists$  embedding  $X \hookrightarrow [0,1]^I$ .

$\text{pf } \implies$ : take  $I = \Phi = C(X, [0,1])$  and apply embedding lemma

$\Leftarrow$ :  $[0,1]^I$  is cts hausdorff  $\implies$  Normal  $\implies$  tychonoff,

so  $X$  is a subspace of tychonoff & so is tychonoff. □

Stone-Ćech Compactification: Suppose  $X$  is tychonoff.

Let  $\Phi = C(X, [0,1])$ . Consider the embedding

$e: X \hookrightarrow [0,1]^{\Phi}$  and define  $\beta X = \overline{e(X)}$ .

Then  $(\beta X, e)$  is a compactification of  $X$ .

Theorem: The compactification  $(\beta X, e)$  satisfies:

①  $\beta X$   $\begin{array}{c} \nearrow \exists \tilde{f} \\ e \uparrow \\ X \xrightarrow{f} X \end{array}$   $\forall$  cpt Hausdorff  $Z$  and  $f: X \rightarrow Z$  cts,  
 $\exists \tilde{f}: \beta X \rightarrow Z$  cts s.t.  $\tilde{f} \circ e = f$ .

② the map  $\tilde{f}$  in ① is unique.

③  $\beta X$  is uniquely characterized by univ. prop. ①.

④  $\beta$  is a functor  $\{\text{Tychonoff } S_p\} \longrightarrow \{\text{Cpt Hausdorff } sp\}$ .

Prove in order: ②, ③, ④, ①.

② Suppose  $e': X \rightarrow K$  is a compactification.

And  $f: X \rightarrow Z$  is cts. There exists at most one  
 cts  $g: K \rightarrow Z$  s.t.  $g \circ e' = f$ .

~~pf~~ if  $g_i \circ e = f$  for  $i=1,2$ , then  $g_1 = g_2$  on  $\underbrace{e'(X) \subset K}_{\substack{\text{dense} \\ \text{do this w/ nets}}}$ . so  $g_1 = g_2$ .