

ABOUT THE TEST FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

PATIENT

DISEASE Stomach carcinoma (NOS)

DATE OF BIRTH 26 September 1959

SEX Male

MEDICAL RECORD # Not given

PHYSICIAN

MEDICAL FACILITY Oncosalud- Auna

ADDITIONAL RECIPIENT None

MEDICAL FACILITY ID 203367

PATHOLOGIST Not Provided

SPECIMEN

SPECIMEN SITE Stomach

SPECIMEN ID 20-3885

SPECIMEN TYPE Slide Deck

DATE OF COLLECTION 12 September 2020

SPECIMEN RECEIVED 24 April 2021

Sensitivity for the detection of alterations and genomic signatures is reduced and the TMB score may be underreported.

Biomarker Findings

Microsatellite status - MS-Stable

Tumor Mutational Burden - 3 Muts/Mb

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

KRAS G13D

PIK3R1 M582del

APC N778fs*1

SOX9 R264fs*16

TP53 R175H

1 Disease relevant genes with no reportable alterations: *ERBB2*

0 Therapies with Clinical Benefit

11 Clinical Trials

0 Therapies with Lack of Response

BIOMARKER FINDINGS

Microsatellite status - MS-Stable

Tumor Mutational Burden - 3 Muts/Mb

GENOMIC FINDINGS

KRAS - G13D

9 Trials see p. 6

PIK3R1 - M582del

2 Trials see p. 8

ACTIONABILITY

No therapies or clinical trials. see Biomarker Findings section

No therapies or clinical trials. see Biomarker Findings section

**THERAPIES WITH CLINICAL BENEFIT
(IN PATIENT'S TUMOR TYPE)**

none

none

**THERAPIES WITH CLINICAL BENEFIT
(IN OTHER TUMOR TYPE)**

none

none

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIALS OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

APC - N778fs*1 p. 4 **TP53 - R175H** p. 5
SOX9 - R264fs*16 p. 4

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies.

Therapies contained in this report may have been approved by the US FDA.

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Electronically signed by Eric Severson, M.D., Ph.D., M.M.Sc. | 19 May 2021
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Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

ORDERED TEST # ORD-1078007-01

BIOMARKER FINDINGS

BIOMARKER

Microsatellite status

RESULT

MS-Stable

POTENTIAL TREATMENT STRATEGIES

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors¹⁻³, including approved therapies nivolumab and pembrolizumab⁴. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%, $p=0.001$)⁵.

FREQUENCY & PROGNOSIS

MSI-H tumors reportedly make up 12-35% of sporadic gastric cancers⁶⁻¹⁰, 6.6% (5/76) of Barrett esophagus-associated adenocarcinomas¹¹, and

1.5-4.2% of gastroesophageal cancers^{10,12}. In the context of diffuse-type gastric cancer, a higher frequency of MSI-H tumors has been reported in familial (28%, 7/25) versus sporadic (7%, 7/107) tumors; no difference in frequency of MSI-H tumors was observed for intestinal-type gastric cancer⁹. MSI-H tumors have been frequently associated with hypermethylation and loss of MLH1 expression in cancers of the upper gastrointestinal tract, including esophageal, gastroesophageal junction, and gastric adenocarcinomas^{9,13-17}. MSI-H gastric cancers are associated with certain clinicopathological and molecular features, including intestinal type differentiation, antral location, advanced age, reduced lymph node metastasis, and better prognosis^{7-8,18-21}. A retrospective meta-analysis of the prognostic role of MSI in gastric cancers reported an increased DFS and OS in patients with MSI-H versus MSS/MSI-Low²². Conversely, in the same study, MSI-H was a negative predictor of response and MSS/MSI-Low correlated with increased benefit for patients treated with

chemotherapy plus surgery as opposed to surgery alone²². In gastroesophageal cancer, MSI-H status was associated with shorter PFS compared to MSS patients for patients treated with chemotherapy (mPFS 4.8 months vs 6.9 months, $HR=0.4$)²³.

FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor²⁴. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2²⁴⁻²⁶. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers²⁷⁻²⁹. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins^{24,26,28-29}.

BIOMARKER

Tumor Mutational Burden

RESULT

3 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1³⁰⁻³², anti-PD-1 therapies³⁰⁻³³, and combination nivolumab and ipilimumab³⁴⁻³⁸. In multiple pan-tumor studies, higher TMB has been reported to be associated with increased ORR and OS from treatment with immune checkpoint inhibitors^{30-33,39}. Higher TMB was found to be significantly associated with improved OS upon immune checkpoint inhibitor treatment of patients with 9 types of advanced tumors³⁰. Analyses across several solid tumor types reported that patients with higher TMB (defined as ≥ 16 -20 Muts/Mb) achieved greater clinical benefit from PD-1 or PD-L1-targeting monotherapy, compared with patients with higher TMB treated with chemotherapy⁴⁰ or those with lower TMB treated

with PD-1 or PD-L1-targeting agents³¹. However, the KEYNOTE 158 trial of pembrolizumab monotherapy in patients with solid tumors found significant improvement in ORR in patients with TMB ≥ 10 Muts/Mb (based on this assay or others) compared to those with TMB < 10 Muts/Mb, in a large cohort that included multiple tumor types; similar findings were observed in the KEYNOTE 028 and 012 trials^{33,39}. Together, these studies suggest that patients with TMB ≥ 10 Muts/Mb may derive clinical benefit from PD-1 or PD-L1 inhibitors.

FREQUENCY & PROGNOSIS

Gastric adenocarcinoma harbors a median TMB of 3.6 mutations per megabase (mut/Mb), and 5.5% of cases have high TMB (> 20 muts/Mb)⁴¹. However, one study reported high TMB in 20% of intestinal type stomach adenocarcinomas specifically⁴². Another study of patients with gastric cancer reported hypermutation (10-200 muts/Mb) in 16.4% of cases, with significant overrepresentation of samples with microsatellite instability among the hypermutant cases⁴³. For patients with gastric cancer, increased TMB is reported to be associated with prolonged OS⁴⁴⁻⁴⁶. One study observed that the OS and disease-free survival (DFS) benefits of postoperative chemotherapy were more pronounced in patients

with TMB-low gastric cancer (stage Ib/II) compared to those with TMB-high; however, patients with stage III gastric cancer benefited regardless of TMB level⁴⁷. In esophageal cancer, patients with TMB-high who had not received radiotherapy had significantly reduced OS ($p=0.038$) compared to those with TMB-low⁴⁸.

FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma⁴⁹⁻⁵⁰ and cigarette smoke in lung cancer⁵¹⁻⁵², treatment with temozolomide-based chemotherapy in glioma⁵³⁻⁵⁴, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes⁵⁵⁻⁵⁹, and microsatellite instability (MSI)^{55,58-59}. This sample harbors a TMB level associated with lower rates of clinical benefit from treatment with PD-1- or PD-L1-targeting immune checkpoint inhibitors compared with patients with tumors harboring higher TMB levels, based on several studies in multiple solid tumor types^{31-32,39}.

ORDERED TEST # ORD-1078007-01

GENOMIC FINDINGS

GENE

KRAS

ALTERATION

G13D

TRANSCRIPT ID

NM_004985

CODING SEQUENCE EFFECT

38G>A

VARIANT ALLELE FREQUENCY (% VAF)

21.0%

POTENTIAL TREATMENT STRATEGIES

Preclinical evidence suggests that KRAS activation may predict sensitivity to MEK inhibitors, such as trametinib, binimetinib, cobimetinib, and selumetinib⁶⁰⁻⁶⁵. While clinical responses have been reported for patients with KRAS-mutated ovarian⁶⁶⁻⁶⁹, cervical small cell neuroendocrine⁷⁰, or uterine cancer⁶⁸ treated with MEK inhibitor monotherapy, multiple clinical trials have not demonstrated increased response rates for patients with KRAS-altered tumors including

KRAS-mutated CRC⁷¹⁻⁷⁴, pancreatic cancer⁷⁵⁻⁷⁷, and NSCLC^{72,78-79}. A Phase 2 study of trametinib and uprosertib for patients with recurrent cervical cancer reported no responses for patients with KRAS-mutated (2/2 SDs) or KRAS-amplified (1/1 SD) cancer⁸⁰. Clinical responses have been reported for combination treatment strategies including MEK inhibitors with PI3K or AKT inhibitors for patients with KRAS-mutated ovarian cancer⁸¹⁻⁸³ and KRAS-mutated endometrioid adenocarcinoma⁸⁴. The reovirus Reolysin targets cells with activated RAS signaling⁸⁵⁻⁸⁷ and is in clinical trials in patients with some tumor types. Reolysin has demonstrated mixed clinical efficacy, with the highest rate of response reported for patients with head and neck cancer⁸⁸⁻⁹⁶.

FREQUENCY & PROGNOSIS

KRAS mutations have been reported in 4% of esophageal and 0-10% of gastric adenocarcinomas^{21,97-103}. Studies in the literature have reported KRAS mutations in up to 9% of gastric, esophageal, or gastroesophageal junction cancer cases¹⁰⁴⁻¹⁰⁵. KRAS alterations, including

mutations¹⁰⁶ and amplification¹⁰⁷⁻¹⁰⁹ are associated with worse prognosis in patients with gastroesophageal cancer. One study reported that KRAS alteration did not significantly associate with OS in a cohort of patients with gastric, esophageal, or gastroesophageal adenocarcinoma¹¹⁰. Published data investigating the prognostic implications of KRAS alterations in esophageal squamous cell carcinoma are limited (PubMed, Sep 2020).

FINDING SUMMARY

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation^{61,111}. KRAS alterations affecting amino acids G12, G13, Q22, P34, A59, Q61, and A146, as well as mutations G10_A11insG, G10_A11insAG (also reported as G10_A11dup and G12_G13insAG), A18D, L19F, D33E, G60_A66dup/E62_A66dup, E62K, and K117N have been characterized as activating and oncogenic^{61,112-133}.

GENE

PIK3R1

ALTERATION

M582del

TRANSCRIPT ID

NM_181523

CODING SEQUENCE EFFECT

1742_1744delTGA

VARIANT ALLELE FREQUENCY (% VAF)

20.4%

POTENTIAL TREATMENT STRATEGIES

On the basis of clinical¹³⁴⁻¹³⁵ and preclinical¹³⁶⁻¹³⁷ data, PIK3R1 alteration may predict sensitivity to pan-PI3K or PI3K-alpha-selective inhibitors. In patients with PIK3R1 mutation and no other alterations in the PI3K-AKT-mTOR pathway, 2 CRs have been achieved by patients with

endometrial cancer treated with the pan-PI3K inhibitor pilaralisib¹³⁴, and 1 PR has been achieved by a patient with breast cancer treated with the PI3K-alpha inhibitor alpelisib in combination with ribociclib and letrozole¹³⁸. Limited clinical and preclinical data suggest that PIK3R1 alterations may also be sensitive to inhibitors of mTOR^{137,139-142} or AKT¹⁴³⁻¹⁴⁴. One preclinical study reported that PIK3R1 truncation mutations in the 299-370 range confer sensitivity to MEK inhibitors¹⁴⁵.

FREQUENCY & PROGNOSIS

In the TCGA datasets, PIK3R1 mutation is most frequently observed in endometrial carcinoma (33%)⁵⁵, glioblastoma (GBM; 11%)¹⁴⁶, uterine carcinosarcoma (11%)(cBioPortal, 2021)¹⁴⁷⁻¹⁴⁸, and lower grade glioma (5%)¹⁴⁹. PIK3R1 is often inactivated by in-frame insertions or deletions (indels), and the majority of this class of mutation (80%) was observed in endometrial

carcinoma¹⁵⁰⁻¹⁵², although PIK3R1 indels have been reported in other cancer types such as GBM, cervical squamous cell carcinoma, and urothelial bladder carcinoma¹⁵⁰. On the basis of limited clinical data, reduced PIK3R1 expression has been associated with reduced disease-free survival in prostate cancer¹⁵³ and metastasis-free survival in breast cancer¹⁵⁴. PIK3R1 expression is not associated with overall survival in neuroendocrine tumors¹⁵⁵.

FINDING SUMMARY

PIK3R1 encodes the p85-alpha regulatory subunit of phosphatidylinositol 3-kinase (PI3K)¹⁵⁶. Loss of PIK3R1 has been shown to result in increased PI3K signaling¹⁵⁷⁻¹⁶⁰, promote tumorigenesis^{136,143,157}, and promote hyperplasia in the context of PTEN-deficiency¹⁶¹. Alterations such as seen here may disrupt PIK3R1 function or expression^{137,144-145,151-152,162-170}.

ORDERED TEST # ORD-1078007-01

GENOMIC FINDINGS

GENE

APC

ALTERATION

N778fs*1

TRANSCRIPT ID

NM_000038

CODING SEQUENCE EFFECT

2332_2335delAATT

VARIANT ALLELE FREQUENCY (% VAF)

13.7%

signaling in cancer cell lines¹⁷²⁻¹⁷³. A preclinical study has found that a small-molecule tankyrase inhibitor shows some activity in APC-mutant CRC models¹⁷⁴.

FREQUENCY & PROGNOSIS

APC mutations have been observed in up to 11% of gastroesophageal carcinoma cases, with incidence of up to 13% of gastric carcinomas and up to 8% of esophageal carcinomas (cBioPortal, Jan 2021)^{147-148,175-176}. APC mutation has been detected in 2.5-22% of gastric carcinomas in other studies, with a higher frequency in the intestinal type relative to the diffuse type¹⁷⁵⁻¹⁷⁷. Loss or methylation of APC has been detected in 26-48% of gastric carcinoma cases, and methylation of APC has also been found in 18.2% and 56.3% of low-grade and high-grade gastric adenomas, respectively¹⁷⁸⁻¹⁸⁰. Loss of APC gene expression, through deletion or methylation, and loss of protein expression have been associated with tumor progression and shorter cancer-specific and overall patient survival in patients with gastric

cancer^{178,181}. Solid tumors with WNT/beta-catenin pathway alterations, as seen here, were observed to have significantly less T-cell inflammation in one study¹⁸².

FINDING SUMMARY

APC (adenomatous polyposis coli) encodes a tumor suppressor with critical roles in regulating cell division and adhesion. APC interacts with beta-catenin and controls signaling in the WNT pathway, which regulates embryonic development and cell differentiation¹⁸³. Alterations such as seen here may disrupt APC function or expression¹⁸⁴⁻¹⁸⁸.

POTENTIAL GERMLINE IMPLICATIONS

Germline mutations in APC are found in more than 90% of patients with familial adenomatous polyposis (FAP)¹⁸⁹⁻¹⁹¹. The prevalence for FAP in the general population is estimated to be 1:8,300 from birth¹⁹², and in the appropriate clinical context germline testing of APC is recommended.

POTENTIAL TREATMENT STRATEGIES

There are no approved drugs targeted to APC defects or WNT upregulation in solid tumors. Preclinical studies have reported that APC inactivation or beta-catenin activation confer synthetic lethality when TRAIL receptors are upregulated and the TRAIL death receptor program is activated¹⁷¹. In addition, the COX-2 inhibitor celecoxib was shown to reduce WNT

GENE

SOX9

ALTERATION

R264fs*16

TRANSCRIPT ID

NM_000346

CODING SEQUENCE EFFECT

789_790insGC

VARIANT ALLELE FREQUENCY (% VAF)

21.3%

POTENTIAL TREATMENT STRATEGIES

There are no therapies available to directly address genomic alterations in SOX9.

FREQUENCY & PROGNOSIS

Mutation of SOX9 in cancer is typically rare, but it has been reported in 5-9% of colorectal carcinomas, most of which were truncating or frameshift alterations, and fewer than 4% of other tumor types (cBioPortal, COSMIC, Jan 2021)^{147-148,193}. Increased expression of SOX9 has been associated with tumor development and/or

increased aggressiveness of prostate cancer, pancreatic ductal adenocarcinoma, ovarian cancer, glioma, and esophageal adenocarcinoma¹⁹⁴⁻¹⁹⁷.

FINDING SUMMARY

SOX9 encodes a transcription factor important for the development and differentiation of multiple tissues, including cartilage, testis, and prostate¹⁹⁸.

ORDERED TEST # ORD-1078007-01

GENOMIC FINDINGS

GENE

TP53

ALTERATION

R175H

TRANSCRIPT ID

NM_000546

CODING SEQUENCE EFFECT

524G>A

VARIANT ALLELE FREQUENCY (% VAF)

21.1%

POTENTIAL TREATMENT STRATEGIES

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib¹⁹⁹⁻²⁰², or p53 gene therapy and immunotherapeutics such as SGT-53²⁰³⁻²⁰⁷ and ALT-801²⁰⁸. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% (17/176) and SDs in 53.4% (94/176) of patients with solid tumors; the response rate was 21.1% (4/19) in patients with TP53 mutations versus 12.1% (4/33) in patients who were TP53 wild-type²⁰⁹. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 31.9% (30/94, 3 CR) ORR and a 73.4% (69/94) DCR in patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer²¹⁰. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 42.9% (9/21, 1 CR) ORR and a 76.2% (16/21) DCR in patients with platinum-refractory TP53-mutated ovarian cancer²¹¹. The combination of adavosertib with paclitaxel and carboplatin in patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone⁸¹. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24.0% (6/25) ORR with adavosertib combined with paclitaxel²¹². A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71.4% (5/7)

response rate for patients with TP53 alterations²¹³. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel in patients with solid tumors, 75.0% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage²⁰⁷. Additionally, the combination of a CHK1 inhibitor and irinotecan reportedly reduced tumor growth and prolonged survival in a TP53-mutated, but not TP53-wild-type, breast cancer xenotransplant mouse model²¹⁴. Missense mutations leading to TP53 inactivation may also be sensitive to therapies that reactivate mutant p53 such as APR-246²¹⁵⁻²¹⁷. In a Phase 1b trial in patients with p53-positive high-grade serous ovarian cancer, APR-246 combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR²¹⁸. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies²¹⁹⁻²²⁰; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies²²¹⁻²²². Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

FREQUENCY & PROGNOSIS

TP53 is frequently mutated in cancers of the gastrointestinal tract, with alterations reported in 34–72% of esophageal, gastroesophageal junction, and gastric adenocarcinomas^{219,223-224}. Overexpression of p53 protein, which may occur as a result of mutation, has been reported in approximately 36% of gastric cancers, with p53 expression reported to be more frequent in intestinal-type compared with diffuse-type gastric cancer²²⁵⁻²²⁸. While some studies have reported no association between TP53 mutation status and prognosis in patients with esophageal carcinoma or gastroesophageal junction adenocarcinoma²²³⁻²²⁴ others have associated TP53 mutation and elevated p53 expression with poor prognosis for patients with esophageal squamous cell carcinoma²²⁹⁻²³⁰ or stomach cancer²³¹⁻²³³.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers²³⁴. Alterations such as seen here may disrupt TP53 function or expression²³⁵⁻²³⁹.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the TP53 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with Li-Fraumeni syndrome (ClinVar, Mar 2021)²⁴⁰. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers²⁴¹⁻²⁴³, including sarcomas²⁴⁴⁻²⁴⁵. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000²⁴⁶ to 1:20,000²⁴⁵. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30²⁴⁷. In the appropriate clinical context, germline testing of TP53 is recommended.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion²⁴⁸⁻²⁵³. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy²⁴⁸⁻²⁴⁹. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease²⁵⁴. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{252,255-256}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

ORDERED TEST # ORD-1078007-01

CLINICAL TRIALS

NOTE Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and

should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that may require

medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://www.foundationmedicine.com/genomic-testing#support-services). Or visit <https://www.foundationmedicine.com/genomic-testing#support-services>.

GENE KRAS

ALTERATION G13D

RATIONALE
KRAS activating mutations or amplification may predict sensitivity to inhibitors of MAPK pathway

components, including MEK inhibitors.

NCT03989115

PHASE 1/2

Dose-Escalation and Dose-Expansion of RMC-4630 and Cobimetinib in Relapsed/Refractory Solid Tumors

TARGETS
SHP2, MEK

LOCATIONS: Florida, Georgia, Texas, North Carolina, Tennessee, Virginia, Oklahoma, Maryland, Pennsylvania, Ohio

NCT03905148

PHASE 1/2

Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors

TARGETS
RAFTs, EGFR, MEK

LOCATIONS: Texas, Randwick (Australia), Blacktown (Australia), Melbourne (Australia), Nedlands (Australia)

NCT03281369

PHASE 1/2

A Study of Multiple Immunotherapy-Based Treatment Combinations in Patients With Locally Advanced Unresectable or Metastatic Gastric or Gastroesophageal Junction Cancer (G/GEJ) (Morpheus-Gastric Cancer)

TARGETS
MEK, CXCR4, VEGFRs, PD-L1

LOCATIONS: Texas, Kentucky, New York, California, Glasgow (United Kingdom), Manchester (United Kingdom), Sutton (United Kingdom), London (United Kingdom), Tel-Aviv (Israel), Petach Tikva (Israel)

NCT02079740

PHASE 1/2

Trametinib and Navitoclax in Treating Patients With Advanced or Metastatic Solid Tumors

TARGETS
BCL-W, BCL-XL, BCL2, MEK

LOCATIONS: Massachusetts

NCT03065387

PHASE 1

Study of the Pan-ERBB Inhibitor Neratinib Given in Combination With Everolimus, Palbociclib or Trametinib in Advanced Cancer Subjects With EGFR Mutation/Amplification, HER2 Mutation/Amplification or HER3/4 Mutation

TARGETS
mTOR, EGFR, ERBB2, ERBB4, CDK4, CDK6, MEK

LOCATIONS: Texas

ORDERED TEST # ORD-1078007-01

CLINICAL TRIALS
NCT03162627
PHASE 1

Selumetinib and Olaparib in Solid Tumors

TARGETS
MEK, PARP

LOCATIONS: Texas

NCT02070549
PHASE 1

Trametinib in Treating Patients With Advanced Cancer With or Without Hepatic Dysfunction

TARGETS
MEK

LOCATIONS: Toronto (Canada)

NCT02407509
PHASE 1

Phase I Trial of RO5126766

TARGETS
RAF_s, MEK, mTOR

LOCATIONS: Sutton (United Kingdom), London (United Kingdom)

NCT03284502
PHASE 1

Cobimetinib and HM95573 in Patients With Locally Advanced or Metastatic Solid Tumors

TARGETS
MEK, RAF_s
LOCATIONS: Seoul (Korea, Republic of), Goyang-si (Korea, Republic of), Seongnam (Korea, Republic of), Pusan (Korea, Republic of), Hwasun (Korea, Republic of)

ORDERED TEST # ORD-1078007-01

CLINICAL TRIALS

GENE
PIK3R1

RATIONALE
On the basis of clinical and strong preclinical data, sensitivity to pan-PI3K or PI3K-alpha-selective inhibitors.
PIK3R1 loss or inactivation may indicate

ALTERATION
M582del

NCT03711058
PHASE 1/2

Study of PI3Kinase Inhibition (Copanlisib) and Anti-PD-1 Antibody Nivolumab in Relapsed/Refractory Solid Tumors With Expansions in Mismatch-repair Proficient (MSS) Colorectal Cancer

TARGETS
PD-1, PI3K

LOCATIONS: Maryland

NCT03502733
PHASE 1

Copanlisib and Nivolumab in Treating Patients With Metastatic Solid Tumors or Lymphoma

TARGETS
PI3K, PD-1

LOCATIONS: Texas, Maryland

ORDERED TEST # ORD-1078007-01

APPENDIX
Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

ARFRP1
amplification

ASXL1
amplification

AURKA
amplification

BCL2L1
amplification

GNAS
amplification

SRC
amplification

ORDERED TEST # ORD-1078007-01

APPENDIX
Genes Assayed in FoundationOne®CDx

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1	BTG2
BTK	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL	CCND1
CCND2	CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2	DIS3	DNMT3A	DOT1L	EED	EGFR	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRF1	ESR1	EZH2
FAM46C	FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3
FGFR4	FH	FLCN	FLT1	FLT3	FOXJ2	FUBP1	GABRA6	GATA3
GATA4	GATA6	GNA11	GNA13	GNAQ	GNAS	GRM3	GSK3B	H3F3A
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDMSA	KDM5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11A	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKB1A	NKX2-1	NOTCH1	NOTCH2	NOTCH3
NPM1	NRAS	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3	P2RY8	PALB2
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA
PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10	REL	RET
RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB	SDHC	SDHD	SETD2
SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO	SNCAIP	SOC3
SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3	STK11	SUFU
SYK	TBX3	TEK	TET2	TGFBR2	TIPARP	TNFAIP3	TNFRSF14	TP53
TSC1	TSC2	TYRO3	U2AF1	VEGFA	VHL	WHSC1	WT1	XPO1
XRCC2	ZNF217	ZNF703						

DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSP02	SDC4	SLC34A2	TERC*	TERT**	TMPRSS2

*TERC is an NCRNA

**Promoter region of TERT is interrogated

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Loss of Heterozygosity (LOH) score
Microsatellite (MS) status
Tumor Mutational Burden (TMB)

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Electronically signed by Eric Severson, M.D., Ph.D., M.M.Sc. | 19 May 2021
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Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

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APPENDIX
About FoundationOne®CDx

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium.


ABOUT FOUNDATIONONE CDx

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details:
www.rochefoundationmedicine.com/f1cdxtech.

INTENDED USE

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffin-embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

TEST PRINCIPLES

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes detection of alterations in a total of 324 genes.

Using an Illumina® HiSeq platform, hybrid capture-selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g., gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

Diagnostic Significance

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

Qualified Alteration Calls (Equivocal and Subclonal)

An alteration denoted as "amplification – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for ERBB2 and six (6) for all other genes. Conversely, an alteration denoted as "loss – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical methodology has identified as being present in <10% of the assayed tumor DNA.

Ranking of Alterations and Therapies
Biomarker and Genomic Findings

Therapies are ranked based on the following criteria: Therapies with clinical benefit in patient's tumor type (ranked alphabetically within each NCCN category) followed by therapies with clinical benefit in other tumor type (ranked alphabetically within each NCCN category).

Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

Limitations

1. The MSI-H/MSS designation by FMI F1CDx test is based on genome wide analysis of 95 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines. The threshold for MSI-H/MSS was determined by analytical concordance to comparator assays (IHC and PCR) using uterine, cecum and colorectal cancer FFPE tissue. The clinical validity of the qualitative MSI designation has not been established. For Microsatellite Instability (MSI) results, confirmatory testing using a validated orthogonal method should be considered.
2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit.

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About FoundationOne®CDx

Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf. The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.

3. The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and extrapolating an LOH profile, excluding arm- and chromosome-wide LOH segments. Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH. Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.
4. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
5. Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient's physician should determine whether the patient is a candidate for biopsy.
6. Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an *ERBB2* amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH

test, 70% (7 out of 10 samples) were positive, and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The frequency of *ERBB2* copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in <https://www.mycancergenome.org/content/disease/breast-cancer/ERBB2/238/> report the frequency of HER2 overexpression as 20% in breast cancer. Based on the F1CDx HER2 CDx concordance study, approximately 10% of HER2 amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

VARIANT ALLELE FREQUENCY

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

Precision of VAF for base substitutions and indels

BASE SUBSTITUTIONS	
Repeatability	5.11 - 10.40
Reproducibility	5.95 - 12.31
INDELS	
Repeatability	6.29 - 10.00
Reproducibility	7.33 - 11.71

*Interquartile Range = 1st Quartile to 3rd Quartile

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >10%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*,

MSH2, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

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About FoundationOne®CDx

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
mut/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

MR Suite Version 4.0.0

The median exon coverage for this sample is 500x

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APPENDIX
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