

ABOUT THE TEST FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

PATIENT

DISEASE Breast carcinoma (NOS)

DATE OF BIRTH 23 October 1970

SEX Female

MEDICAL RECORD # Not given

PHYSICIAN

MEDICAL FACILITY Clinica Internacional

ADDITIONAL RECIPIENT None

MEDICAL FACILITY ID 316373

PATHOLOGIST Not Provided

SPECIMEN

SPECIMEN SITE Lymph Node

SPECIMEN ID H20-26368 (473-4-20)

SPECIMEN TYPE Block

DATE OF COLLECTION 28 December 2020

SPECIMEN RECEIVED 11 January 2021

Biomarker Findings

Microsatellite status - MS-Stable

Tumor Mutational Burden - 3 Muts/Mb

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

ERBB2 amplification

CDK12 rearrangement exon 4

RICTOR amplification

CDKN2A/B p16INK4a G89V

FAM123B G605D

TP53 loss exons 10-11

3 Disease relevant genes with no reportable alterations: **BRCA1**, **BRCA2**, **PIK3CA**

11 Therapies with Clinical Benefit

28 Clinical Trials

0 Therapies with Lack of Response

BIOMARKER FINDINGS

Microsatellite status - MS-Stable

Tumor Mutational Burden - 3 Muts/Mb

ACTIONABILITY

No therapies or clinical trials. see Biomarker Findings section

No therapies or clinical trials. see Biomarker Findings section

GENOMIC FINDINGS	THERAPIES WITH CLINICAL BENEFIT (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL BENEFIT (IN OTHER TUMOR TYPE)
ERBB2 - amplification	Ado-trastuzumab emtansine 1	Afatinib
	Trastuzumab 1	Dacomitinib
	Trastuzumab + Pertuzumab 1	
	Trastuzumab + Tucatinib 1	
	Fam-trastuzumab deruxtecan 2A	
	Lapatinib 2A	
	Lapatinib + Letrozole 2A	
	Neratinib 2A	
	Margetuximab	
10 Trials see p. 15	none	none
CDK12 - rearrangement exon 4		
10 Trials see p. 13		
RICTOR - amplification	none	none
8 Trials see p. 17		

1 NCCN category

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIALS OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

CDKN2A/B - p16INK4a G89V p. 5 TP53 - loss exons 10-11 p. 6
FAM123B - G605D p. 6

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies.

Therapies contained in this report may have been approved by the US FDA.

ORDERED TEST # ORD-0991888-01

BIOMARKER FINDINGS

BIOMARKER

Microsatellite status

RESULT

MS-Stable

POTENTIAL TREATMENT STRATEGIES

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors¹⁻³, including approved therapies nivolumab and pembrolizumab⁴. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and experienced a significantly higher ORR compared

with non-MSI-H cases (70% vs. 12%, $p=0.001$)⁵.

FREQUENCY & PROGNOSIS

MSI is extremely rare in breast cancer, reported in 0-1% of cases across studies⁶⁻¹¹. The incidence of MSI is increased in triple-negative breast cancer⁹⁻¹¹ and in tumors with homologous recombination defects, such as mutations in BRCA1/2^{9,11}. Notably, in Lynch syndrome-related breast cancer, MSI has been reported in 51-85% of cases¹²⁻¹⁷. A prospective study of 123 patients with breast cancer treated with chemotherapy reported an increase in the incidence of MSI-H following chemotherapy treatment (from 0% pre-treatment to 19% post-treatment) and a significant association between MSI and tumor recurrence¹⁸.

FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor¹⁹. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2¹⁹⁻²¹. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers²²⁻²⁴. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins^{19,21,23-24}.

BIOMARKER

Tumor Mutational Burden

RESULT

3 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1²⁵⁻²⁷, anti-PD-1 therapies²⁵⁻²⁸, and combination nivolumab and ipilimumab²⁹⁻³³. In multiple pan-tumor studies, higher TMB has been reported to be associated with increased ORR and OS from treatment with immune checkpoint inhibitors^{25-28,34}. Higher TMB was found to be significantly associated with improved OS upon immune checkpoint inhibitor treatment of patients with 9 types of advanced tumors²⁵. Analyses across several solid tumor types reported that patients with higher TMB (defined as $\geq 16-20$ Muts/Mb) achieved greater clinical benefit from PD-1 or PD-L1-targeting monotherapy, compared with patients with higher TMB treated with chemotherapy³⁵ or those with lower TMB treated with PD-1 or PD-L1-targeting agents²⁶. However, the KEYNOTE 158 trial of pembrolizumab monotherapy in patients with solid tumors found significant improvement in ORR in patients with TMB ≥ 10 Muts/Mb (based on this assay or others)

compared to those with TMB < 10 Muts/Mb, in a large cohort that included multiple tumor types; similar findings were observed in the KEYNOTE 028 and 012 trials^{28,34}. Together, these studies suggest that patients with TMB ≥ 10 Muts/Mb may derive clinical benefit from PD-1 or PD-L1 inhibitors.

FREQUENCY & PROGNOSIS

Breast carcinoma harbors a median TMB of 3.8 muts/Mb, and 3.1% of cases have high TMB (> 20 muts/Mb)³⁶. A study of 3,969 patients with breast cancer reported a median TMB of 2.63 mutations per megabase (Muts/Mb), with 5% of cases harboring TMB ≥ 10 Muts/Mb; median TMB was significantly higher in hormone receptor (HR)-negative and HER2-negative tumors than HR-positive or HER2-positive tumors³⁷. The Breast Invasive Carcinoma TCGA analysis reported an average (non-silent) mutation load of 0.84 Muts/Mb for luminal A tumors, 1.38 Muts/Mb for luminal B tumors, 2.05 Muts/Mb for HER2-enriched tumors, and 1.68 Muts/Mb for basal-like tumors³⁸. In breast cancer, TMB is significantly higher in recurrent versus primary tumors, metastatic versus localized cancers, triple-negative versus HR-positive tumors, and CDH1-mutated versus CDH1-wildtype tumors^{37,39-40}. Among metastatic tumors, TMB-high samples have been reported more frequently in invasive lobular carcinoma (9-17% of cases, depending on the TMB cutoff to designate TMB-high) than in invasive ductal carcinoma (2-8% of cases, depending on the cutoff), and TMB-high (at

either cutoff) has not been observed in papillary carcinoma^{37,39-40}. In a large study of patients with breast cancer, hypermutation was more frequently observed in metastatic tumors than in primary tumors³⁷. In a study of 14,867 patients with breast cancer, high TMB was associated with older age and metastatic disease but was not significantly associated with PD-L1 positivity using the TMB cutoff of ≥ 10 Muts/Mb⁴⁰. In estrogen receptor-positive breast cancer, increased TMB in tissue samples ($> \text{mean of } 1.25 \text{ Muts/Mb}$) associated with shorter OS (HR=2.02) in an analysis of the TCGA data⁴¹.

FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma⁴²⁻⁴³ and cigarette smoke in lung cancer⁴⁴⁻⁴⁵, treatment with temozolomide-based chemotherapy in glioma⁴⁶⁻⁴⁷, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes⁴⁸⁻⁵², and microsatellite instability (MSI)^{48,51-52}. This sample harbors a TMB level associated with lower rates of clinical benefit from treatment with PD-1- or PD-L1-targeting immune checkpoint inhibitors compared with patients with tumors harboring higher TMB levels, based on several studies in multiple solid tumor types^{26-27,34}.

ORDERED TEST # ORD-0991888-01

GENOMIC FINDINGS

GENE

ERBB2

ALTERATION

amplification

POTENTIAL TREATMENT STRATEGIES

On the basis of extensive clinical evidence, ERBB2 amplification or activating mutation may predict sensitivity to therapies targeting HER2, including antibodies such as trastuzumab⁵³⁻⁵⁸, pertuzumab in combination with trastuzumab^{55,59-61}, and ZW25⁶², as well as antibody-directed conjugates such as ado-trastuzumab emtansine (T-DM1)⁶³ and fam-trastuzumab deruxtecan⁶⁴, HER2 kinase inhibitors such as tucatinib⁶⁵⁻⁶⁸, and dual EGFR/HER2 kinase inhibitors such as lapatinib⁶⁹⁻⁷³, afatinib^{58,74-78}, neratinib⁷⁹⁻⁸⁰, dacomitinib⁸¹, and pyrotinib⁸²⁻⁸³. For patients with HER2-positive metastatic breast cancer (mBC), combining tucatinib⁶⁵, margetuximab⁸⁴, or pyrotinib⁸²⁻⁸³ with chemotherapy or other HER2-targeted agents significantly improved PFS and/or ORR. For patients who progressed on trastuzumab, the combination of pyrotinib with capecitabine elicited improved median PFS (mPFS) compared

with lapatinib and capecitabine (12.5 vs. 6.8 months, HR=0.39) in the Phase 3 PHOEBE study⁸³ and compared with placebo and capecitabine (11.1 vs. 4.1 months, HR=0.18) in the Phase 3 PHENIX study⁸²; patients with trastuzumab-resistant disease in these studies experienced mPFS of 12.4 months⁸⁵. For patients with HER2-positive mBC who progressed on HER2-targeted therapy, treatment with 16-mg or 24-mg doses of poziotinib elicited ORRs of 22% (6/27) and 23% (7/30) and PFS rates of 4.9 and 3.0 months, respectively⁸⁶. Early clinical studies aimed at preventing or overcoming resistance to anti-HER2 therapies are underway, including agents targeting the PI3K-AKT pathway or HSP90⁸⁷⁻⁸⁸. For patients with HER2-positive metastatic breast cancer, the combination of lapatinib with letrozole⁸⁹ or other aromatase inhibitors⁹⁰ significantly improved PFS and/or ORR.

FREQUENCY & PROGNOSIS

In the TCGA dataset, ERBB2 amplification was detected in 13% of breast invasive carcinoma cases³⁸. ERBB2 mutations have been reported in 1-3% of breast invasive carcinoma cases^{38,91-92}. The incidence of ERBB2 alterations has been found to be significantly enriched in CDH1-mutated invasive lobular breast cancers⁹³. HER2 is

predicted to be overexpressed (as assessed by FISH, CNV analysis, or immunohistochemistry) in 12-25% of breast cancers^{87,94-95}. Phosphorylated HER2 was expressed in 62.5% (55/88) of HER2-positive breast cancers⁹⁶. For patients with breast cancer and positive axillary lymph nodes, amplification of HER2 was correlated with shorter time to relapse and overall survival as compared with patients with non-amplified tumors by univariate and multivariate analysis, with greater differences observed in patients whose tumors harbored >5 copies of HER2⁹⁷. Retrospective analysis has reported that patients with low-grade, node-negative, HER2-positive breast cancer have a 5-year survival rate of 68% compared with 96% for patients with HER2-negative tumors⁹⁸. Acquisition of resistance to trastuzumab was correlated with negativity for pHER2 (p=0.028) for patients with HER2-positive breast cancer⁹⁶.

FINDING SUMMARY

ERBB2 (also known as HER2) encodes a receptor tyrosine kinase which is in the same family as EGFR. Amplification or overexpression of ERBB2 can lead to excessive proliferation and tumor formation⁹⁹.

GENE

CDK12

ALTERATION

rearrangement exon 4

POTENTIAL TREATMENT STRATEGIES

CDK12 inactivation in cancer is associated with genomic instability characterized by tandem duplications¹⁰⁰⁻¹⁰⁴ and has been shown to increase tumor immunogenicity in advanced prostate cancer¹⁰¹. On the basis of preclinical and limited clinical evidence in advanced prostate cancer, CDK12 inactivation may predict benefit from immune checkpoint inhibitors¹⁰¹. Retrospective studies have observed clinical benefit, including prostate-specific antigen (PSA) responses, for patients with CDK12-mutated castration-resistant prostate cancer treated with anti-PD-1

immunotherapy¹⁰¹. Preclinical studies suggest that CDK12 truncations and inactivating mutations impair homologous recombination and sensitize cells to PARP inhibitors¹⁰⁵⁻¹¹⁰. Preclinical data suggest CDK12 inactivating alterations may sensitize cells to PARP inhibitors¹⁰⁵⁻¹¹⁰, and the Phase 3 PROfound study reported numerically improved PFS for patients with CDK12-altered castration-resistant prostate cancer (CRPC) treated with olaparib compared to control androgen deprivation therapy (5.1 vs. 2.2 months)¹¹¹. However, multiple clinical studies have observed no radiographic responses in patients with CDK12-altered CRPC treated with PARP inhibitors¹¹²⁻¹¹³. A patient with ovarian cancer and a CDK12 frameshift mutation experienced a PR to rucaparib¹¹⁴. Cells lacking CDK12 incur spontaneous DNA damage and exhibit heightened sensitivity to DNA-damaging agents¹⁰⁵⁻¹¹⁰.

FREQUENCY & PROGNOSIS

CDK12 mutation has been reported in up to 2.4% of breast cancer cases (cBioPortal, COSMIC, Jul 2020)^{104,115-120}. CDK12 rearrangements resulting in truncation have been reported in 13% of HER2-positive breast cancers¹⁰⁶. Published data investigating the prognostic implications of CDK12 alterations in breast cancer are limited (PubMed, Jul 2020). One study reported that CDK12 protein expression was not independently associated with outcomes for patients with breast cancer¹²¹.

FINDING SUMMARY

CDK12 encodes a cyclin-dependent kinase that interacts with cyclin K to regulate the phosphorylation of RNA polymerase II and the expression of genes involved in maintaining genomic stability, including BRCA1 and ATR¹²². Alterations such as seen here may disrupt CDK12 function or expression^{108,123-125}.

ORDERED TEST # ORD-0991888-01

GENOMIC FINDINGS

GENE

RICTOR

ALTERATION

amplification

POTENTIAL TREATMENT STRATEGIES

RICTOR amplification may indicate sensitivity to mTORC1/2 inhibitors¹²⁶ or dual PI3K/mTOR inhibitors¹²⁷. A patient with RICTOR-amplified lung adenocarcinoma experienced SD for >18 months upon treatment with the dual mTORC1/2 inhibitor CC-223¹²⁶, and a patient with RICTOR-amplified metastatic thymic carcinoma achieved a PR upon treatment with a pan-PI3K/mTORC1/2

inhibitor PQR309¹²⁷. However, 4/4 patients with small cell lung cancer and RICTOR amplification did not achieve an objective response or SD (PFS of 1.25 months) from treatment with vistusertib¹²⁸, and additional trials of vistusertib were terminated due to lack of efficacy¹²⁹. RICTOR alterations, including amplification, have been implicated in resistance to the EGFR tyrosine kinase inhibitor erlotinib in patients with non-small cell lung carcinoma¹³⁰.

FREQUENCY & PROGNOSIS

In the Breast Invasive Carcinoma TCGA dataset, amplification of RICTOR has been reported in 1% of cases, and RICTOR mutation was observed in fewer than 1% of cases³⁸. In one study, RICTOR protein expression was reported to be decreased

in breast cancer tissue compared with normal breast tissue¹³¹. Studies have associated RICTOR amplification or overexpression with disease progression and therapy resistance in triple-negative or HER2-amplified breast cancer¹³²⁻¹³⁴, whereas RICTOR expression has been inversely correlated with tumor grade, and has been associated with better overall and disease-free survival in other studies of breast carcinoma^{131,135}.

FINDING SUMMARY

RICTOR encodes an mTOR-binding protein that forms part of the rapamycin-insensitive mTORC2 complex, a regulator of cell metabolism and the cytoskeleton¹³⁶⁻¹³⁸. RICTOR amplification has been reported in cancer¹³⁹ and has been associated with clinical response to mTORC1/2 inhibition¹⁴⁰⁻¹⁴¹.

GENE

CDKN2A/B

ALTERATION

p16INK4a G89V

TRANSCRIPT ID

NM_000077

CODING SEQUENCE EFFECT

266G>T

VARIANT ALLELE FREQUENCY (% VAF)

59.6%

POTENTIAL TREATMENT STRATEGIES

Preclinical data suggest that tumors with loss of p16INK4a function may be sensitive to CDK4/6 inhibitors, such as abemaciclib, ribociclib, and palbociclib¹⁴²⁻¹⁴⁵. Although case studies have reported that patients with breast cancer or uterine leiomyosarcoma harboring CDKN2A loss responded to palbociclib treatment¹⁴⁶⁻¹⁴⁷, multiple other clinical studies have shown no significant correlation between p16INK4a loss or inactivation and therapeutic benefit of these agents¹⁴⁸⁻¹⁵⁴; it is not known whether CDK4/6 inhibitors would be beneficial in this case. It is not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

FREQUENCY & PROGNOSIS

CDKN2A/B loss or mutation occurs in 4% and 1% of breast invasive carcinoma cases, respectively^{38,118}. CDKN2B is deleted in 33% of early-onset breast cancers (n=47), compared to 14% of late-onset breast cancers (n=59)¹⁵⁵.

Expression of the mRNA transcripts encoding p16INK4a and p14ARF has been reported to be variable in breast carcinoma¹⁵⁶. CDKN2B and CDKN2A methylation, including hypermethylation of the p16INK4a and p14ARF promoters, in breast tumors has been reported in 4-40% of breast carcinomas¹⁵⁵⁻¹⁶¹. Loss of p16INK4a protein expression has been reported in breast carcinoma, including lobular and ductal carcinoma samples¹⁶²⁻¹⁶³, and one study did not detect p14ARF protein in 21% of invasive ductal breast carcinomas¹⁶⁴. However, other studies report p16INK4a expression in 26-50% of breast cancer samples while p14ARF expression has been reported in 24% of breast tumors¹⁶⁴⁻¹⁶⁷. Methylation of the CDKN2A promoter has been shown to increase with tumor grade in ductal carcinoma in-situ (DCIS)^{158,168}, and is associated with increased breast cancer-specific mortality¹⁶¹. There have been conflicting reports about the association between p16INK4a or p14ARF expression and prognosis. Some studies have showed that the overexpression of p16INK4a may play a role in the progression of breast tumors¹⁶⁹. Increased expression of p16INK4a in basal-like tumors has been suggested to be involved in the poor prognosis of this tumor type¹⁷⁰. However, p16INK4a expression has also been correlated with prolonged breast cancer-specific survival and disease-free survival^{165-166,171}. Increased p14 expression were associated better overall and disease-free survival; p16 expression was not found to be associated with clinical outcome in this study¹⁷². Analysis of the expression and mutational profile of CDKN2B suggests that loss of CDKN2B may play a role in the loss of control of growth but not in the progression of tumors¹⁷³. Loss of p16INK4a may also associate with HER2

positivity and be less frequent in triple-negative breast carcinomas, which often inactivate Rb^{165,171}.

FINDING SUMMARY

CDKN2A encodes two different, unrelated tumor suppressor proteins, p16INK4a and p14ARF, whereas CDKN2B encodes the tumor suppressor p15INK4b¹⁷⁴⁻¹⁷⁵. Both p15INK4b and p16INK4a bind to and inhibit CDK4 and CDK6, thereby maintaining the growth-suppressive activity of the Rb tumor suppressor; loss or inactivation of either p15INK4b or p16INK4a contributes to dysregulation of the CDK4/6-cyclin-Rb pathway and loss of cell cycle control¹⁷⁶⁻¹⁷⁷. The tumor suppressive functions of p14ARF involve stabilization and activation of p53, via a mechanism of MDM2 inhibition¹⁷⁸⁻¹⁷⁹. One or more alterations seen here have been observed in the context of cancer but have not been characterized and their effect on p16INK4a function is unclear. Germline CDKN2A mutation is associated with melanoma-pancreatic cancer syndrome, a condition marked by increased risk of developing malignant melanoma and/or pancreatic cancer¹⁸⁰. Mutation carriers within families may develop either or both types of cancer, and melanoma cases may be referred to as familial or hereditary melanoma¹⁸¹⁻¹⁸². CDKN2A is the most implicated gene in familial melanoma, with germline mutations present in 16% to 20% of familial melanoma cases¹⁸³⁻¹⁸⁵. CDKN2A alteration has also been implicated in familial melanoma-astrocytoma syndrome, an extremely rare tumor association characterized by dual predisposition to melanoma and nervous system tumors¹⁸⁶⁻¹⁸⁸. In the appropriate clinical context, germline testing of CDKN2A is recommended.

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GENOMIC FINDINGS
GENE
FAM123B
ALTERATION

G605D

TRANSCRIPT ID

NM_152424

CODING SEQUENCE EFFECT

1814G>A

VARIANT ALLELE FREQUENCY (% VAF)

30.6%

POTENTIAL TREATMENT STRATEGIES

There are no targeted therapies available to address genomic alterations in FAM123B.

FREQUENCY & PROGNOSIS

Somatic mutation of FAM123B is rare in most cancers (COSMIC, 2021)¹¹⁷, but is observed at rates ranging from 5-30% in Wilms tumor¹⁸⁹⁻¹⁹¹. No association between FAM123B alteration and clinical features or outcomes of Wilms tumor has been documented.

FINDING SUMMARY

FAM123B, also known as AMER1, encodes the protein WTX, which binds to beta-catenin, enhancing its proteasomal degradation and thereby exerting a repressive effect on WNT pathway signaling¹⁹². Germline mutation or deletion of FAM123B causes osteopathia striata with cranial sclerosis¹⁹³⁻¹⁹⁴.

GENE
TP53
ALTERATION

loss exons 10-11

POTENTIAL TREATMENT STRATEGIES

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib¹⁹⁵⁻¹⁹⁸, or p53 gene therapy and immunotherapeutics such as SGT-53¹⁹⁹⁻²⁰³ and ALT-801²⁰⁴. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% (17/176) and SDs in 53.4% (94/176) of patients with solid tumors; the response rate was 21.1% (4/19) in patients with TP53 mutations versus 12.1% (4/33) in patients who were TP53 wild-type²⁰⁵. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 31.9% (30/94, 3 CR) ORR and a 73.4% (69/94) DCR in patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer²⁰⁶. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 42.9% (9/21, 1 CR) ORR and a 76.2% (16/21) DCR in patients with platinum-refractory TP53-mutated ovarian cancer²⁰⁷. The combination of adavosertib

with paclitaxel and carboplatin in patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone²⁰⁸. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24.0% (6/25) ORR with adavosertib combined with paclitaxel¹²⁹. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71.4% (5/7) response rate for patients with TP53 alterations²⁰⁹. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel in patients with solid tumors, 75.0% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage²⁰³. Additionally, the combination of a CHK1 inhibitor and irinotecan reportedly reduced tumor growth and prolonged survival in a TP53-mutated, but not TP53-wild-type, breast cancer xenotransplant mouse model²¹⁰. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies²¹¹⁻²¹²; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies²¹³⁻²¹⁴. Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

FREQUENCY & PROGNOSIS

TP53 is one of the most commonly mutated genes in breast cancer; mutations in this gene have been identified in 27-37% of breast carcinoma samples^{38,91,215-218}. TP53 mutations that are located within the region encoding the DNA binding domain are associated with poor prognosis in patients with breast cancer^{216,219-220}. TP53 mutation is also implicated in breast cancer susceptibility, as TP53 mutation carriers have an 18-60 fold increased risk for early onset breast cancer²²¹⁻²²³.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers²²⁴. Alterations such as seen here may disrupt TP53 function or expression²²⁵⁻²²⁹. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers²³⁰⁻²³², including sarcomas²³³⁻²³⁴. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000²³⁵ to 1:20,000²³⁴. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30²³⁶. In the appropriate clinical context, germline testing of TP53 is recommended.

ORDERED TEST # ORD-0991888-01

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Ado-trastuzumab emtansine

Assay findings association
ERBB2
amplification

AREAS OF THERAPEUTIC USE

Ado-trastuzumab emtansine (T-DM1) is an antibody-drug conjugate that targets the protein ERBB2/HER2 on the cell surface, which inhibits HER2 signaling; it also releases the cytotoxic therapy DM1 into cells, leading to cell death. T-DM1 is FDA approved to treat patients with HER2-positive (HER2+) metastatic breast cancer and disease progression on prior therapy as well as patients with HER2+ early breast cancer who have residual invasive disease after neoadjuvant taxane and trastuzumab-based treatment. Please see the drug label for full prescribing information.

GENE ASSOCIATION

ERBB2 amplification or activating mutations may predict sensitivity to T-DM1.

SUPPORTING DATA

For patients with HER2+ breast cancer (BC) previously treated with HER2-directed therapies, Phase 3 trials of single-agent T-DM1 have reported significant increases in median PFS as compared with physician's choice of therapy (6.2 vs. 3.3 months)²³⁷ or lapatinib plus capecitabine (9.6 vs. 6.4 months)^{63,238-239}. The Phase 3 MARIANNE study for patients with HER2+ advanced BC treated in the first line with T-DM1 reported no significant differences in ORR (60%, 64%, and 68%) or

median PFS (14.1, 15.2, and 13.7 months) when comparing T-DM1 combined with placebo, T-DM1 with pertuzumab, and trastuzumab with a taxane, respectively²⁴⁰; however, an earlier Phase 2 study reported improved median PFS with T-DM1 as compared with trastuzumab plus docetaxel (14.2 months vs. 9.2 months, HR=0.59) in this setting²⁴¹. In the Phase 3 KATHERINE study, patients with HER2+ early BC with residual invasive disease following completion of neoadjuvant taxane and trastuzumab treated with T-DM1 experienced significantly higher invasive disease-free survival rates at 3 years (88.3% vs. 77.0%, HR=0.50) compared with patients treated with trastuzumab²⁴². In the neoadjuvant setting, the Phase 3 KRISTINE study for patients with HER2+ BC reported a lower pathologic CR rate (44.4% vs. 55.7%, p=0.016) with T-DM1 plus pertuzumab compared with the combination of trastuzumab, pertuzumab, docetaxel, and carboplatin²⁴³. Patients with HER2+ locally advanced BC or metastatic BC (MBC) have experienced clinical benefit in Phase 1/2 studies from T-DM1 in combination with docetaxel²⁴⁴, paclitaxel and pertuzumab²⁴⁵, neratinib²⁴⁶, alpelisib²⁴⁷, and tucatinib²⁴⁶. A retrospective analysis found that patients with HER2+ MBC and active central nervous system (CNS) metastases treated with T-DM1 achieved an ORR of 40% (4/10); there was no significant OS difference between patients with and without CNS metastases²⁴⁸.

Fam-trastuzumab deruxtecan

Assay findings association
ERBB2
amplification

AREAS OF THERAPEUTIC USE

Fam-trastuzumab deruxtecan is an antibody-drug conjugate that targets the protein ERBB2/HER2 on the cell surface and delivers the cytotoxic payload DXd, which inhibits DNA topoisomerase I to induce DNA damage. Fam-trastuzumab deruxtecan is FDA approved to treat patients with HER2-positive breast cancer and gastric or gastroesophageal junction adenocarcinoma who have received prior HER2-targeted therapy. Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of clinical data in solid cancers, including breast^{64,249}, gastric²⁵⁰⁻²⁵¹, non-small cell lung²⁵², and colon²⁵³ cancers, ERBB2 amplification may predict sensitivity to fam-trastuzumab deruxtecan.

SUPPORTING DATA

The Phase 2 DESTINY-Breast01 study of fam-trastuzumab deruxtecan for patients with ERBB2-positive breast cancer previously treated with ado-trastuzumab emtansine reported a 60.9% ORR (6% CR) and a 97.3% DCR with a median PFS of 16.4 months⁶⁴. A Phase 1 trial reported similar results (59.5% ORR, 93.7% DCR, median PFS of 22.1 months) for previously treated patients with ERBB2-positive breast cancer²⁴⁹. A Phase 1b study evaluating fam-trastuzumab deruxtecan for the treatment of patients with heavily pre-treated breast cancer expressing low levels of ERBB2 reported an ORR of 37.0% (20/54) and a median duration of response of 10.4 months²⁵⁴.

ORDERED TEST # ORD-0991888-01

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Lapatinib

Assay findings association
ERBB2
amplification

AREAS OF THERAPEUTIC USE

Lapatinib is a tyrosine kinase inhibitor that targets EGFR, ERBB2/HER2, and to a lesser degree, ERBB4. It is FDA approved in combination with capecitabine to treat patients with HER2-overexpressing (HER2+) metastatic breast cancer. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Activation or amplification of ERBB2 may predict sensitivity to lapatinib⁶⁹⁻⁷³.

SUPPORTING DATA

Lapatinib as a treatment for HER2+ breast cancer has primarily been investigated in combination with other

chemotherapeutic agents; these combination regimens have been shown to extend PFS as well as to extend OS in some instances^{69-70,255-258}. However, multiple Phase 3 trials have shown superiority of other HER2-targeted agents in certain settings, including trastuzumab plus taxane as first-line therapy for HER2+ metastatic breast cancer²⁵⁹ and ado-trastuzumab emtansine (T-DM1) for patients who have progressed on trastuzumab plus taxane⁶³. Phase 3 studies of adjuvant lapatinib have reported no significant disease-free survival benefit compared with placebo²⁶⁰ or trastuzumab²⁶¹. Phase 2/3 trials in the neoadjuvant setting have found that the combination of lapatinib and trastuzumab may result in numerically improved ORRs compared with either drug alone^{256-257,262}.

Lapatinib + Letrozole

Assay findings association
ERBB2
amplification

AREAS OF THERAPEUTIC USE

Lapatinib is a tyrosine kinase inhibitor that targets EGFR and ERBB2 (HER2) and letrozole is an aromatase inhibitor. The combination is FDA approved for the treatment of HER2-overexpressing (HER2+) metastatic breast cancer. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Clinical benefit with lapatinib combined with letrozole

has been observed for patients with breast cancer harboring ERBB2 amplification⁸⁹.

SUPPORTING DATA

The combination of lapatinib with letrozole⁸⁹ significantly improved PFS (8.2 vs 3.0 months) and ORR (28% vs 15%) for patients with HR+, HER2+ breast cancer compared to letrozole + placebo but did not improve PFS for patients with HR+, HER2-negative breast cancer.

Margetuximab

Assay findings association
ERBB2
amplification

AREAS OF THERAPEUTIC USE

Margetuximab is an Fc-engineered antibody targeting ERBB2/HER2 that was designed to enhance the anti-tumor immune response. Margetuximab is FDA approved for the treatment of patients with HER2-positive breast cancer. Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of clinical studies in multiple tumor types, ERBB2 amplification may predict sensitivity to margetuximab^{84,263-265}.

SUPPORTING DATA

The Phase 3 SOPHIA trial of margetuximab for HER2-positive metastatic breast cancer, reported improved median PFS (5.8 vs. 4.9 months, HR=0.76) and ORR (22% vs. 16%) when combining margetuximab with chemotherapy, compared with trastuzumab and chemotherapy, for patients who had progressed on ≥ 2 prior HER2-directed therapies⁸⁴. A Phase 1 trial for HER2-positive solid tumors reported 4 PRs in patients with breast cancer²⁶³. In a study of margetuximab for HER2-positive cancers, 2/10 patients with breast cancer reported PRs²⁶⁴.

ORDERED TEST # ORD-0991888-01

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Neratinib

Assay findings association

ERBB2
amplification

AREAS OF THERAPEUTIC USE

Neratinib is an irreversible tyrosine kinase inhibitor that targets EGFR, ERBB2/HER2, and ERBB4. It is FDA approved for the extended adjuvant treatment of early-stage HER2-positive (HER2+) breast cancer following adjuvant trastuzumab. Neratinib is also approved in combination with capecitabine to treat patients with advanced or metastatic HER2+ breast cancer who have been previously treated with 2 or more anti-HER2 regimens. Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of extensive clinical^{80,266-269} and preclinical²⁷⁰⁻²⁷⁴ evidence, ERBB2 amplification or activating mutations may confer sensitivity to neratinib.

SUPPORTING DATA

For patients with HER2+ metastatic breast cancer following progression on 2 or more lines of HER2-directed therapies, the Phase 3 NALA study showed improved mean PFS (8.8 vs. 6.6 months, HR=0.76) and fewer interventions for CNS disease with neratinib plus capecitabine than with lapatinib plus capecitabine; mean OS did not significantly differ

between the treatments (24.0 vs. 22.2 months, HR=0.88)²⁷⁵. In a Phase 2 study for patients with advanced HER2+ breast cancer, neratinib monotherapy resulted in a median PFS of 22.3 weeks for patients previously treated with trastuzumab (n=63) and 39.6 weeks for patients with no prior trastuzumab treatment (n=64)²⁷⁶. Single-agent neratinib showed modest CNS activity (7.5% ORR, 3/40) in a Phase 2 study for patients with breast cancer and HER2+ brain metastases²⁷⁷. As first-line therapy in HER2+ metastatic breast cancer, a Phase 2 study for neratinib plus paclitaxel compared with trastuzumab plus paclitaxel reported a lower incidence of CNS disease recurrence²⁷⁸. The I-SPY2 Phase 2 trial reported, as neoadjuvant treatment in HER2+, HR- breast cancer, the estimated pathologic CR rate was 56% for neratinib plus paclitaxel compared with 33% for trastuzumab plus paclitaxel²⁶⁹. In the placebo-controlled Phase 3 ExteNET study for patients with early stage HER2+ breast cancer previously treated with trastuzumab, extended adjuvant neratinib for one year significantly improved 2-year invasive disease-free survival (iDFS; 93.9% vs. 91.6%, HR=0.67)²⁶⁸ and 5-year iDFS (90.2% vs. 87.7%, HR=0.73)²⁷⁹. Final OS analysis of this study did not reach statistical significance in the ITT population (HR=0.95)²⁸⁰.

ORDERED TEST # ORD-0991888-01

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Trastuzumab

Assay findings association
ERBB2
amplification

AREAS OF THERAPEUTIC USE

Trastuzumab is a monoclonal antibody that targets the protein ERBB2/HER2. It is FDA approved as monotherapy and in combination with chemotherapy for HER2+ metastatic gastric or gastroesophageal adenocarcinoma. Trastuzumab biosimilars are also FDA approved for these indications. Please see the drug label(s) for full prescribing information.

GENE ASSOCIATION

On the basis of clinical studies in multiple tumor types, ERBB2 amplification, overexpression, or activating mutations may confer sensitivity to trastuzumab^{53-54,58,72,281-285}.

SUPPORTING DATA

In a retrospective study for patients with HER2-positive breast cancer treated with neoadjuvant chemotherapy with or without trastuzumab, patients with non-inflammatory breast cancer reported a significant association between elevated HER2 FISH ratio and increased pathologic CR rate and longer OS, compared with patients with inflammatory breast cancer (IBC)²⁸⁶. In a study of patients with early breast cancer treated with neoadjuvant trastuzumab, higher ERBB2 copy number (HER2/CEP17 ratio >6) correlated with increased incidence of pathologic CR compared to lower ERBB2 copy number²⁸⁷. A Phase 3 study of adjuvant trastuzumab with chemotherapy for patients with metastatic HER2-positive breast cancer (HER2+ BC) demonstrated significant improvements in OS, time to progression, and ORR⁵³. Trastuzumab biosimilars demonstrated comparable clinical benefit to trastuzumab for patients with HER2+ BC²⁸⁸⁻²⁹⁶. In the Phase 3 NOAH study for patients with HER2+ BC, neoadjuvant trastuzumab plus chemotherapy resulted in improved 5-year event-free survival (EFS) compared with neoadjuvant chemotherapy alone (58% vs. 43%)²⁸¹. The Phase 3 CLEOPATRA study of first-line trastuzumab with pertuzumab and docetaxel for patients with metastatic HER2+ BC reported significantly improved median PFS (18.7 vs. 12.4 months, HR=0.69) and median OS (57.1 vs. 40.8 months, HR=0.69) compared with trastuzumab plus docetaxel^{59-60,297-298}. The Phase 3 NeoALTTO trial for patients with early-stage HER2+ BC

treated with lapatinib, trastuzumab, or a combination of both reported 3-year EFS rates of 78%, 76%, and 84%, and 3-year OS rates of 93%, 90%, and 95%, respectively²⁶². Two Phase 3 studies comparing 6-month with 12-month adjuvant trastuzumab reported similar disease-free survival (DFS) rates for patients with HER2+ early-stage BC after 5.4 years (89.4% vs. 89.8%, HR=1.07)²⁹⁹ or 7.5-year median follow-up (78.8% vs. 79.6%, HR=1.08)³⁰⁰. The randomized Phase 3 NSABP B-47 study reported that the addition of trastuzumab to adjuvant chemotherapy did not significantly improve invasive disease-free survival (IDFS) for patients with HER2-low BC (defined as IHC score of 1+ or 2+ in the absence of gene amplification) compared with chemotherapy alone (5-year IDFS rates of 89.8% vs. 89.2%, HR=0.98; p=0.85); this response was reported regardless of lymph node involvement or HR status³⁰¹. A Phase 2 analysis reported 5-year distant DFS rates of 92% for patients with HER2+ early-stage BC treated with chemotherapy and trastuzumab, and 89% for patients treated with lapatinib and chemotherapy²⁸². In the Phase 3 BOLERO-1 trial, first-line treatment with everolimus and trastuzumab plus paclitaxel versus placebo for patients with HER2+ advanced BC did not significantly improve median PFS (15.0 vs. 14.5 months); however, the regimen increased PFS in the HR-negative subpopulation (20.3 vs. 13.1 months, HR=0.66)³⁰². Everolimus plus trastuzumab with vinorelbine prolonged median PFS (7.0 vs. 5.8 months, HR=0.78), relative to the addition of placebo, for patients with trastuzumab-resistant HER2+ BC treated in the Phase 3 BOLERO-3 trial³⁰³. In a Phase 2 trial for patients with HER2+ metastatic BC previously treated with HER2-targeting agents, tucatinib plus trastuzumab and capecitabine significantly extended median PFS (7.8 vs. 5.6 months) and increased the 1-year median PFS rate (33.1% vs. 12.3%, HR=0.54) and 2-year median OS rate (44.9% vs. 26.6%, HR=0.66) compared with placebo with trastuzumab and capecitabine⁶⁵. For patients with HR+, HER2+ BC who had received prior HER2-targeted therapy, abemaciclib combined with trastuzumab and fulvestrant compared with abemaciclib plus trastuzumab or trastuzumab plus chemotherapy significantly improved median PFS (8.3 vs. 5.7 vs. 5.7 months) and ORR (35.7% vs. 16.2% vs. 15.9%) in Phase 2 monarchHER study³⁰⁴.

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ORDERED TEST # ORD-0991888-01

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Trastuzumab + Pertuzumab

Assay findings association
ERBB2
amplification

AREAS OF THERAPEUTIC USE

Trastuzumab is a monoclonal antibody that targets ERBB2/HER2, and pertuzumab is a monoclonal antibody that interferes with the interaction between HER2 and ERBB3. These therapies are FDA approved in combination for the treatment of patients with HER2-positive (HER2+) metastatic breast cancer who have not received prior chemotherapy or HER2-targeted therapy. Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of clinical studies in multiple tumor types, ERBB2 amplification or activating mutations may predict sensitivity to trastuzumab in combination with pertuzumab^{60,283,305-309}.

SUPPORTING DATA

The CLEOPATRA Phase 3 randomized trial for patients with HER2+ metastatic breast cancer (MBC) reported that compared to placebo, addition of pertuzumab to first-line trastuzumab and docetaxel demonstrated a significant improvement in median PFS (18.7 vs. 12.4 months, HR=0.69) and median OS (57.1 vs. 40.8 months,

HR=0.69)^{59-60,297-298}. Superior clinical benefit has been observed in multiple clinical studies where pertuzumab was added to the combination of trastuzumab plus chemotherapy as compared to other combinations of pertuzumab, trastuzumab, and/or chemotherapy in patients with HER2+ MBC and locally advanced breast cancer (LABC)^{308,310-313}. In the large-scale randomized Phase 3 APHINITY study for patients with HER2+ early-stage breast cancer, the addition of pertuzumab to chemotherapy plus trastuzumab as adjuvant treatment improved the estimated 3-year rate of invasive disease-free survival (IDFS) compared with placebo (94.1% vs. 93.2%), with greater improvement seen for patients with node-positive (92.0% vs. 90.2%, HR=0.77) than those with node-negative (97.5% vs. 98.4%, HR=1.13) disease³⁰⁶. In the randomized large-scale Phase 3 KRISTINE trial, patients with HER2+ Stage 2 to Stage 3 breast cancer treated in the neoadjuvant setting experienced an increased number of pathological CRs (pCRs) when treated with pertuzumab, trastuzumab, and chemotherapy, as compared to trastuzumab emtansine plus pertuzumab (55.7% vs. 44.4%, respectively)³⁰⁵.

Trastuzumab + Tucatinib

Assay findings association
ERBB2
amplification

AREAS OF THERAPEUTIC USE

Trastuzumab is a monoclonal antibody that targets ERBB2/HER2, and tucatinib is a reversible TKI targeting ERBB2/HER2. These therapies are FDA approved in combination for the treatment of patients with previously treated advanced HER2-positive breast cancer. Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of clinical data in breast cancer⁶⁵⁻⁶⁸ and colorectal cancer³¹⁴, ERBB2 amplification may predict sensitivity to trastuzumab plus tucatinib.

SUPPORTING DATA

In the Phase 2 HER2CLIMB trial for patients with HER2+ metastatic BC previously treated with HER2-targeting agents, the combination of tucatinib with trastuzumab and capecitabine significantly extended median PFS (7.8 vs. 5.6 months, HR=0.54) and median OS (21.9 vs. 17.4 months, HR=0.66) compared with placebo plus trastuzumab and capecitabine⁶⁵. For HER2CLIMB patients with brain metastases, the tucatinib-containing combination improved intracranial ORR (47% vs. 20%), central nervous system-specific PFS (9.9 vs. 4.2 months, HR=0.32), and OS (18.1 vs. 12.0 months, HR=0.58)³¹⁵.

ORDERED TEST # ORD-0991888-01

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Afatinib

Assay findings association

ERBB2
amplification

AREAS OF THERAPEUTIC USE

Afatinib is an irreversible kinase inhibitor that targets the kinase domains of EGFR, ERBB2/HER2, and ERBB4. It is FDA approved for the first-line treatment of patients with metastatic non-small cell lung cancer (NSCLC) and nonresistant EGFR mutations and for the treatment of patients with metastatic, squamous NSCLC after progression on platinum-based chemotherapy. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Clinical and preclinical data support sensitivity of multiple activating mutations in ERBB2, including A775_G776insYVMA and P780_Y781insGSP, to afatinib³¹⁶⁻³²³. Studies have reported DCRs of 54 to 70% for patients with ERBB2-mutated NSCLC treated with afatinib, most of whom harbored exon 20 insertions³¹⁶⁻³¹⁹.

SUPPORTING DATA

In a Phase 3 study for patients with HER2-positive (HER2+) breast cancer and disease progression on

trastuzumab, afatinib plus vinorelbine compared to trastuzumab plus vinorelbine did not improve median PFS (5.5 vs. 5.6 months) or ORR (46% vs. 47%), associated with shorter median OS (20.5 vs. 28.6 months), and was less well tolerated³²⁴. Afatinib monotherapy achieved an ORR of 11% (4/35) and a median OS of 61 weeks in this setting⁷⁴. For patients with progressive brain metastases after HER2-targeted therapy, treatment with afatinib alone, afatinib combined with vinorelbine, or investigator's choice did not increase patient benefit (12/40 vs. 13/38 vs. 18/43) and caused frequent adverse events³²⁵. As neoadjuvant treatment for HER2+ breast cancer, afatinib demonstrated a comparable or higher ORR (80%, 8/10) than lapatinib (75%, 6/8) or trastuzumab (36%, 4/11); however, adverse events were more frequent than with lapatinib or trastuzumab³²⁶. In contrast, a Phase 2 trial reported no objective responses for genomically unselected patients with HER2-negative breast cancer³²⁷. Afatinib plus letrozole achieved SD for 54% (15/28) of patients with estrogen receptor-positive breast cancer who had progressed on single-agent letrozole³²⁸.

Dacomitinib

Assay findings association

ERBB2
amplification

AREAS OF THERAPEUTIC USE

Dacomitinib is a second generation irreversible tyrosine kinase inhibitor that targets the kinase domains of EGFR, ERBB2/HER2, and ERBB4/HER4. It is FDA approved for the first-line treatment of patients with metastatic non-small cell lung cancer (NSCLC) with EGFR exon 19 deletion or exon 21 L858R substitution mutations. Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of strong clinical^{181,329-332} and preclinical³³³⁻³³⁶ data, ERBB2 amplification or activating mutation may indicate sensitivity to dacomitinib.

SUPPORTING DATA

Clinical data on the efficacy of dacomitinib for the treatment of breast carcinoma are limited (PubMed, Aug 2020). Investigations into the efficacy of dacomitinib have primarily been in the context of non-small cell lung cancer (NSCLC). Patients with EGFR-mutant NSCLC

treated with dacomitinib exhibited significant improvement in OS compared with gefitinib treatment (median OS, 34.1 vs. 26.8 months)³³⁷⁻³³⁸. A Phase 2 study of dacomitinib in patients with advanced penile squamous cell carcinoma (SCC) reported an ORR of 32% (1 CR, 8 PR), including a 100% DCR (1 CR, 1 PR, 2 SD) in four patients with EGFR amplification³³⁹⁻³⁴⁰. A Phase 2 study of dacomitinib in patients with recurrent or metastatic head and neck SCC reported clinical benefit (defined as PFS>4 months) in 13/31 (42%) of patients³³¹. Studies of dacomitinib in esophageal³⁴¹ and cutaneous³⁴² SCC reported RRs of 12.5% (6/48) and 28.6% (12/42), respectively, but high DCRs of 73% and 86%, respectively. In contrast, trials of dacomitinib in heavily pretreated patients with HER2+ gastric cancer³³² and patients with EGFR-amplified glioblastoma³⁴³ found RRs of fewer than 10% and DCRs of fewer than 50%: 11/27 (41%) DCR in HER2+ gastric cancer³³² and 15/49 (31%) in EGFR-amplified glioblastoma³⁴³.

NOTE Genomic alterations detected may be associated with activity of certain FDA approved drugs, however, the agents listed in this report may have varied evidence in the patient's tumor type.

ORDERED TEST # ORD-0991888-01

CLINICAL TRIALS

NOTE Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and

should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that may require

medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://www.foundationmedicine.com/genomic-testing#support-services). Or visit <https://www.foundationmedicine.com/genomic-testing#support-services>.

GENE
CDK12
RATIONALE
Preclinical and clinical data suggest that tumors with CDK12 mutation or loss may be sensitive to

PARP inhibitors.

ALTERATION
rearrangement exon 4

NCT03742895
PHASE 2

Efficacy and Safety of Olaparib (MK-7339) in Participants With Previously Treated, Homologous Recombination Repair Mutation (HRRm) or Homologous Recombination Deficiency (HRD) Positive Advanced Cancer (MK-7339-002 / LYNK-002)

TARGETS
PARP

LOCATIONS: Lima (Peru), Trujillo (Peru), Cali (Colombia), Bogota (Colombia), Medellin (Colombia), Monteria (Colombia), Valledupar (Colombia), Barranquilla (Colombia), Buenos Aires (Argentina), Ciudad de Buenos Aires (Argentina)

NCT04123366
PHASE 2

Study of Olaparib (MK-7339) in Combination With Pembrolizumab (MK-3475) in the Treatment of Homologous Recombination Repair Mutation (HRRm) and/or Homologous Recombination Deficiency (HRD)-Positive Advanced Cancer (MK-7339-007/KEYLYNK-007)

TARGETS
PARP, PD-1

LOCATIONS: Lima (Peru), Bellavista (Peru), Cuzco (Peru), Cali (Colombia), Medellin (Colombia), Bucaramanga (Colombia), Barranquilla (Colombia), Buenos Aires (Argentina), Ciudad de Buenos Aires (Argentina), Guatemala (Guatemala)

NCT03598257
PHASE 2

Radiation Therapy With or Without Olaparib in Treating Patients With Inflammatory Breast Cancer

TARGETS
PARP

LOCATIONS: San Juan (Puerto Rico), Florida, Louisiana, Georgia, Texas, South Carolina

NCT03992131
PHASE 1/2

A Study to Evaluate Rucaparib in Combination With Other Anticancer Agents in Patients With a Solid Tumor (SEASTAR)

TARGETS
PARP, FGFRs, VEGFRs, TOP1

LOCATIONS: Texas, Tennessee, Massachusetts

NCT02769962
PHASE 1/2

Trial of CRLX101, a Nanoparticle Camptothecin With Olaparib in People With Relapsed/Refractory Small Cell Lung Cancer

TARGETS
PARP, TOP1

LOCATIONS: Maryland

ORDERED TEST # ORD-0991888-01

CLINICAL TRIALS
NCT02264678
PHASE 1/2

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

TARGETS
ATR, PARP, PD-L1

LOCATIONS: New York, Massachusetts, California, Saint Herblain (France), Withington (United Kingdom), Sutton (United Kingdom), London (United Kingdom), Villejuif (France), Seoul (Korea, Republic of)

NCT02286687
PHASE 2

Phase II Study of BMN 673

TARGETS
PARP

LOCATIONS: Texas

NCT03842228
PHASE 1

Copanlisib, Olaparib, and Durvalumab in Treating Patients With Metastatic or Unresectable Solid Tumors

TARGETS
PI3K, PD-L1, PARP

LOCATIONS: Texas, Massachusetts

NCT04267939
PHASE 1

ATR Inhibitor BAY 1895344 Plus Niraparib Phase 1b Study in Advanced Solid Tumors and Ovarian Cancer

TARGETS
ATR, PARP

LOCATIONS: Texas, New York, Massachusetts

NCT03964532
PHASE 1/2

TALAVE: Induction Talazoparib Followed by Combination of Talazoparib and Avelumab in Advanced Breast Cancer

TARGETS
PD-L1, PARP

LOCATIONS: North Carolina, District of Columbia

ORDERED TEST # ORD-0991888-01

CLINICAL TRIALS

GENE ERBB2 ALTERATION amplification	RATIONALE ERBB2 amplification may confer sensitivity to the combination of lapatinib with aromatase inhibitors. ERBB2 amplification or activating	mutation may confer sensitivity to HER2-targeted and dual EGFR/HER2-directed therapies, and may enhance efficacy of HSP90 inhibitors.
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NCT03387553
PHASE NULL

HER2 Directed Dendritic Cell Vaccine During Neoadjuvant Therapy of HER2+Breast Cancer

TARGETS
ERBB2, ERBB3

LOCATIONS: Florida

NCT03975647
PHASE 3

A Study of Tucatinib vs. Placebo in Combination With Trastuzumab Emtansine (T-DM1) for Patients With Advanced or Metastatic HER2+ Breast Cancer

TARGETS
ERBB2

LOCATIONS: Florida, Louisiana, Alabama, Texas

NCT03199885
PHASE 3

Paclitaxel, Trastuzumab, and Pertuzumab With or Without Atezolizumab in Treating Patients With Metastatic Breast Cancer

TARGETS
PD-L1, ERBB2, ERBB3

LOCATIONS: Florida, Mississippi

NCT02947685
PHASE 3

Randomized, Open Label, Clinical Study of the Targeted Therapy, Palbociclib, to Treat Metastatic Breast Cancer

TARGETS
ERBB2, ERBB3, ER, Aromatase, CDK4, CDK6

LOCATIONS: Florida, Louisiana, Texas, South Carolina, Georgia, North Carolina

NCT02693535
PHASE 2

TAPUR: Testing the Use of Food and Drug Administration (FDA) Approved Drugs That Target a Specific Abnormality in a Tumor Gene in People With Advanced Stage Cancer

TARGETS
VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, CDK4, CDK6, CSF1R, FLT3, KIT, RET, mTOR, EGFR, ERBB2, ERBB3, MEK, BRAF, SMO, DDR2, PARP, PD-1, CTLA-4, ERBB4

LOCATIONS: Florida, Texas, Georgia, Alabama, North Carolina, Virginia, Oklahoma, Pennsylvania, Indiana

NCT03377387
PHASE 1/2

Capecitabine 7/7 Schedule With Neratinib in Patients With Metastatic HER2-Positive Breast Cancer

TARGETS
EGFR, ERBB2, ERBB4

LOCATIONS: Florida, New Jersey, Pennsylvania, New York, Connecticut

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Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

ORDERED TEST # ORD-0991888-01

CLINICAL TRIALS
NCT03179904
PHASE 2

FASN Inhibitor TVB-2640, Paclitaxel, and Trastuzumab in Treating Patients With HER2 Positive Advanced Breast Cancer

TARGETS
FASN, ERBB2

LOCATIONS: Florida, Arizona, Minnesota

NCT03523572
PHASE 1

Trastuzumab Deruxtecan (DS-8201a) With Nivolumab in Advanced Breast and Urothelial Cancer

TARGETS
PD-1, ERBB2

LOCATIONS: Florida, North Carolina, Tennessee, Kentucky, New York, Connecticut, California, Utah, Washington, Madrid (Spain)

NCT03219268
PHASE 1

A Study of MGD013 in Patients With Unresectable or Metastatic Neoplasms

TARGETS
LAG-3, PD-1, ERBB2

LOCATIONS: Florida, Texas, North Carolina, Tennessee, Oklahoma, Maryland, Ohio, Pennsylvania, Massachusetts

NCT01042379
PHASE 1

I-SPY 2 TRIAL: Neoadjuvant and Personalized Adaptive Novel Agents to Treat Breast Cancer

TARGETS
PARP, PD-L1, ERBB2, ERBB3, PD-1, TLR9, LAG-3

LOCATIONS: Florida, Georgia, Alabama, North Carolina, District of Columbia, Pennsylvania, New York, Connecticut, Illinois

ORDERED TEST # ORD-0991888-01

CLINICAL TRIALS
GENE
RICTOR
ALTERATION
amplification

RATIONALE
RICTOR amplification may predict sensitivity to dual mTORC1/mTORC2 inhibitors, as well as dual

PI3K/mTOR inhibitors.

NCT04032080
PHASE 2

Treatment With Oral LY3023414 To Inhibit Homologous Recombination Followed By Prexasertib

TARGETS
CHK1, mTOR, PI3K

LOCATIONS: Texas

NCT03366103
PHASE 1/2

Navitoclax and Vistusertib in Treating Patients With Relapsed Small Cell Lung Cancer and Other Solid Tumors

TARGETS
mTORC1, mTORC2, BCL-W, BCL-XL, BCL2

LOCATIONS: Maryland, New Jersey, New York

NCT02159989
PHASE 1

Sapanisertib and Ziv-Aflibercept in Treating Patients With Recurrent Solid Tumors That Are Metastatic or Cannot Be Removed by Surgery

TARGETS
PIGF, VEGFA, VEGFB, mTORC1, mTORC2

LOCATIONS: Texas

NCT03017833
PHASE 1

Sapanisertib and Metformin in Treating Patients With Advanced or Metastatic Relapsed or Refractory Cancers

TARGETS
mTORC1, mTORC2

LOCATIONS: Texas

NCT03430882
PHASE 1

Sapanisertib, Carboplatin, and Paclitaxel in Treating Patients With Recurrent or Refractory Malignant Solid Tumors

TARGETS
mTORC1, mTORC2

LOCATIONS: Texas

NCT03065062
PHASE 1

Study of the CDK4/6 Inhibitor Palbociclib (PD-0332991) in Combination With the PI3K/mTOR Inhibitor Gedatolisib (PF-05212384) for Patients With Advanced Squamous Cell Lung, Pancreatic, Head & Neck and Other Solid Tumors

TARGETS
PI3K-alpha, PI3K-gamma, mTORC1, mTORC2, CDK4, CDK6

LOCATIONS: Massachusetts

ORDERED TEST # ORD-0991888-01

CLINICAL TRIALS

NCT04337463

PHASE NULL

ATG-008 Combined With Toripalimab in Advanced Solid Tumors

TARGETS
mTORC1, mTORC2, PD-1

LOCATIONS: Chengdu (China)

NCT03154294

PHASE 1

Evaluation of the Safety and Tolerability of TAK-228 With TAK-117 and Paclitaxel in Advanced Solid Tumors

TARGETS
PI3K-alpha, mTORC1, mTORC2

LOCATIONS: South Dakota

ORDERED TEST # ORD-0991888-01

APPENDIX
Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

CDK12
amplification

ID3
K114E

KEAP1
amplification

MAP3K1
amplification

POLE
V134D

RAD51D
amplification

RET
D567N

SDHC
I58M

SPEN
S2306del

SPOP
amplification

TP53
rearrangement

ORDERED TEST # ORD-0991888-01

APPENDIX
Genes Assayed in FoundationOne®CDx

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1	BTG2
BTB	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL	CCND1
CCND2	CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2	DIS3	DNMT3A	DOT1L	EED	EGFR	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRF1	ESR1	EZH2
FAM46C	FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3
FGFR4	FH	FLCN	FLT1	FLT3	FOXO2	FUBP1	GABRA6	GATA3
GATA4	GATA6	GNA11	GNA13	GNAQ	GNAS	GRM3	GSK3B	H3F3A
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDMSA	KDMS5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11A	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKB1A	NKX2-1	NOTCH1	NOTCH2	NOTCH3
NPM1	NRAS	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3	P2RY8	PALB2
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA
PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10	REL	RET
RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB	SDHC	SDHD	SETD2
SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO	SNCAIP	SOC3
SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3	STK11	SUFU
SYK	TBX3	TEK	TET2	TGFBR2	TIPARP	TNFAIP3	TNFRSF14	TP53
TSC1	TSC2	TYRO3	U2AF1	VEGFA	VHL	WHSC1	WT1	XPO1
XRCC2	ZNF217	ZNF703						

DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSP02	SDC4	SLC34A2	TERC*	TERT**	TPR352

*TERC is an NCRNA

**Promoter region of TERT is interrogated

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Loss of Heterozygosity (LOH) score

Microsatellite (MS) status

Tumor Mutational Burden (TMB)

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APPENDIX

About FoundationOne®CDx

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium.


ABOUT FOUNDATIONONE CDx

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details:
www.rochefoundationmedicine.com/f1cdxtech.

INTENDED USE

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffin-embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

TEST PRINCIPLES

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes detection of alterations in a total of 324 genes. Using an Illumina® HiSeq platform, hybrid

capture-selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g., gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

Diagnostic Significance

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

Qualified Alteration Calls (Equivocal and Subclonal)

An alteration denoted as "amplification - equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for ERBB2 and six (6) for all other genes. Conversely, an alteration denoted as "loss - equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical methodology has identified as being present in <10% of the assayed tumor DNA.

Ranking of Alterations and Therapies
Biomarker and Genomic Findings

Therapies are ranked based on the following criteria: Therapies with clinical benefit in patient's tumor type (ranked alphabetically within each NCCN category) followed by therapies with clinical benefit in other tumor type (ranked alphabetically within each NCCN category).

Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2020. All rights reserved. To view the most recent and complete version of the guideline, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

Limitations

1. The MSI-H/MSS designation by FMI F1CDx test is based on genome wide analysis of 95 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines. The threshold for MSI-H/MSS was determined by analytical concordance to comparator assays (IHC and PCR) using uterine, cecum and colorectal cancer FFPE tissue. The clinical validity of the qualitative MSI designation has not been established. For Microsatellite Instability (MSI) results, confirmatory testing using a validated orthogonal method should be considered.
2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics

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Electronically signed by Brennan Decker, M.D., Ph.D. | 19 January 2021
 Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531
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Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
 Sample Preparation: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
 Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

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About FoundationOne®CDx

of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf. The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.

- The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and extrapolating an LOH profile, excluding arm- and chromosome-wide LOH segments. Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH. Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.

VARIANT ALLELE FREQUENCY

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

Precision of VAF for base substitutions and indels

BASE SUBSTITUTIONS	
Repeatability	5.11 - 10.40
Reproducibility	5.95 - 12.31
INDELS	
Repeatability	6.29 - 10.00
Reproducibility	7.33 - 11.71

*Interquartile Range = 1st Quartile to 3rd Quartile

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such

as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
mut/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

MR Suite Version 2.1.0

The median exon coverage for this sample is 565x

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APPENDIX
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