

ABOUT THE TEST FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA.

PATIENT

DISEASE Colon cancer (NOS)

DATE OF BIRTH 11 April 1958

SEX Male

MEDICAL RECORD # Not given

PHYSICIAN

MEDICAL FACILITY Arias Stella

ADDITIONAL RECIPIENT None

MEDICAL FACILITY ID 317319

PATHOLOGIST Not Provided

SPECIMEN

SPECIMEN ID E.F.C.P. 04/11/1958

SPECIMEN TYPE Blood

DATE OF COLLECTION 15 March 2021

SPECIMEN RECEIVED 22 March 2021

Biomarker Findings

Blood Tumor Mutational Burden - 6 Muts/Mb

Microsatellite status - MSI-High Not Detected

Tumor Fraction - 34%

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

KRAS G13C

PIK3CA Q546K

APC T1556fs*3, W699*

DNMT3A splice site 1668-1G>A, Q573*

FAM123B S324*

SMAD4 G419R

TP53 R174fs*2, P190T

0 Therapies with Clinical Benefit

20 Clinical Trials

2 Therapies with Lack of Response

BIOMARKER FINDINGS

Blood Tumor Mutational Burden - 6 Muts/Mb

Microsatellite status - MSI-High Not Detected

Tumor Fraction - 34%

THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).

Tumor fraction is an estimate of the percentage of circulating-tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample based on observed aneuploid instability.

GENOMIC FINDINGS

VAF %

KRAS - G13C 31.3%

10 Trials see p. 12

PIK3CA - Q546K 0.2%

10 Trials see p. 14

THERAPIES WITH CLINICAL BENEFIT (IN PATIENT'S TUMOR TYPE)

⚠ Cetuximab¹

⚠ Panitumumab¹

None

THERAPIES WITH CLINICAL BENEFIT (IN OTHER TUMOR TYPE)

None

None

⚠ 1. Patient may be resistant to indicated therapy

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIALS OPTIONS

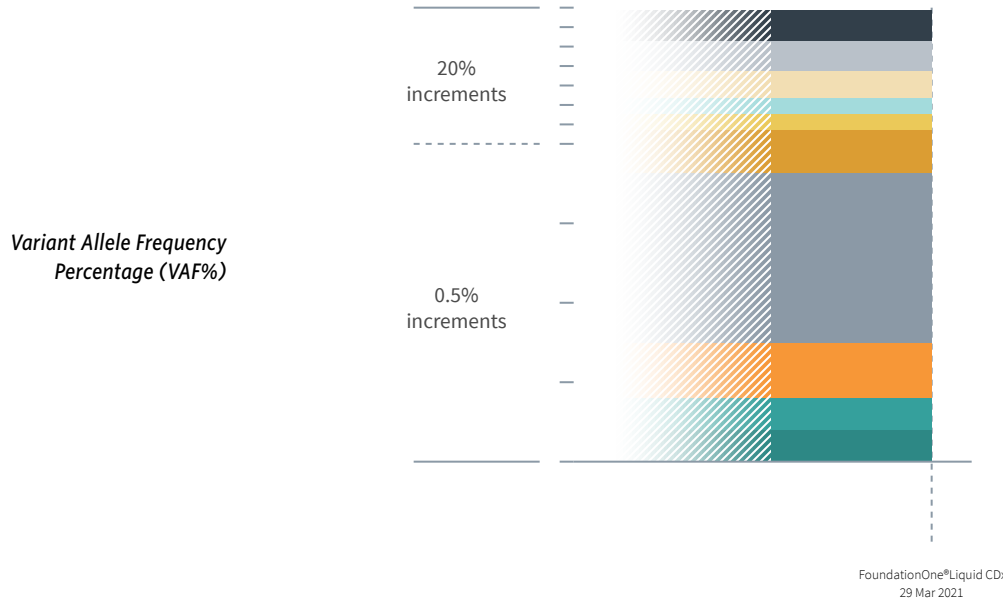
For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

APC - T1556fs*3, W699*	p. 8	SMAD4 - G419R	p. 9
DNMT3A - splice site 1668-1G>A, Q573*	p. 8	TP53 - R174fs*2, P190T	p. 10
FAM123B - S324*	p. 9		

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of APC, BRCA1, BRCA2, BRIP1, MEN1, MLH1, MSH2, MSH6, MUTYH, NF2, PALB2, PMS2, PTEN, RAD51C, RAD51D, RBB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFBR2, TP53, TSC1, TSC2, VHL, and WT1 is recommended.

Variant Allele Frequency is not applicable for copy number alterations.

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HISTORIC PATIENT FINDINGS

ORD-1048600-01
VAF%

Blood Tumor
Mutational Burden

6 Muts/Mb

Microsatellite status

MSI-High Not Detected

Tumor Fraction

34%

KRAS

● G13C

31.3%

PIK3CA

● Q546K

0.2%

APC

● W699*

16.4%

● T1556fs*3

16.7%

DNMT3A

● Q573*

1.1%

● splice site
1668-1G>A

0.35%

FAM123B

● S324*

28%

SMAD4

● G419R

14.9%

TP53

● R174fs*2

0.2%

● P190T

29.8%

NOTE This comparison table refers only to genes and biomarkers assayed by prior FoundationOne®Liquid CDx, FoundationOne®Liquid, FoundationOne®, or FoundationOne®CDx tests. Up to five previous tests may be

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Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

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shown.

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable.

Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.

Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

Detected = present (VAF% is not applicable)

VAF% = variant allele frequency percentage

Cannot Be Determined = Sample is not of sufficient data quality to confidently determine biomarker status

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BIOMARKER FINDINGS

BIOMARKER

Blood Tumor Mutational Burden

RESULT

6 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

On the basis of clinical evidence in NSCLC and HSNCC, increased bTMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1¹⁻² and anti-PD-1³ therapies. In NSCLC, multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint inhibitors following single agent or combination treatments with either CTLA4 inhibitors or chemotherapy, with

reported high bTMB cutpoints ranging from 6 to 16 Muts/Mb¹. In HNSCC, a Phase 3 trial showed that bTMB ≥ 16 Muts/Mb (approximate equivalency ≥ 8 Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor⁴.

FREQUENCY & PROGNOSIS

Average bTMB levels in solid tumors other than NSCLC have not been evaluated (cBioPortal, COSMIC, PubMed, Mar 2021)⁵⁻⁷. Although direct associations between blood or tissue TMB and prognosis of patients with CRC have not been reported, multiple studies have shown that MSI-H CRCs have a better prognosis than MSI-low (MSI-L) or microsatellite stable (MSS) tumors⁸⁻¹⁵.

FINDING SUMMARY

Blood tumor mutational burden (bTMB, also

known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma¹⁶⁻¹⁷ and cigarette smoke in lung cancer¹⁸⁻¹⁹, treatment with temozolomide-based chemotherapy in glioma²⁰⁻²¹, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes²²⁻²⁶, and microsatellite instability (MSI)^{22,25-26}. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents¹⁻³. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

Tumor Fraction

RESULT

34%

POTENTIAL TREATMENT STRATEGIES

Specimens with high tumor fraction values have high circulating-tumor DNA (ctDNA) content, and thus high sensitivity for identifying genomic alterations. Such specimens are at low risk of false negative results. However, if tumor fraction is not detected as high, it does not exclude the presence of disease burden or compromise the confidence of reported alterations. Tumor fraction levels currently have limited implications for diagnosis, surveillance, or therapy and should not be overinterpreted or compared from one blood draw

to another. There are currently no targeted approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management²⁷⁻³².

FREQUENCY & PROGNOSIS

Detectable ctDNA levels have been reported in a variety of tumor types, with higher tumor fraction levels reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)³³. Elevated tumor fraction levels have been reported to be associated with worse prognosis in a variety of cancer types, including pancreatic cancer³⁴, Ewing sarcoma and osteosarcoma³⁵, prostate cancer³⁰, breast cancer³⁶, leiomyosarcoma³⁷, esophageal cancer³⁸, colorectal cancer³⁹, and gastrointestinal cancer⁴⁰.

FINDING SUMMARY

Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 single-nucleotide polymorphism (SNP) sites across the genome. Unlike the maximum somatic allele frequency (MSAF) method of estimating ctDNA content⁴¹, the tumor fraction metric does not take into account the allele frequency of individual variants but rather produces a more holistic estimate of ctDNA content using data from across the genome. The amount of ctDNA detected may correlate with disease burden and response to therapy⁴²⁻⁴³.

ORDERED TEST # ORD-1048600-01

GENOMIC FINDINGS
GENE
KRAS
ALTERATION

G13C

TRANSCRIPT ID

NM_004985

CODING SEQUENCE EFFECT

37G>T

POTENTIAL TREATMENT STRATEGIES

Activating mutations in KRAS or NRAS are associated with lack of clinical benefit from cetuximab⁴⁴⁻⁴⁷ or panitumumab⁴⁸⁻⁵⁰ in patients with CRC. Therefore, activating mutations in either gene indicate against the use of cetuximab and panitumumab (NCCN Guidelines v.3.2018). Preclinical evidence suggests that KRAS activation may predict sensitivity to MEK inhibitors, such as trametinib, binimetinib, cobimetinib, and selumetinib⁵¹⁻⁵⁶. However, multiple clinical trials have reported lack of efficacy of trametinib and

other MEK inhibitors when used as monotherapy for treatment of patients with KRAS-mutant CRC⁵⁷⁻⁶¹. Both clinical⁶²⁻⁶³ and preclinical⁶⁴⁻⁶⁵ studies suggest that combinatorial approaches including MEK inhibitors are likely to be more effective for the treatment of CRC, including strategies such as combination of MEK inhibitors with PI3K inhibitors⁶³, RAF inhibitors⁶⁴, pan-ERBB inhibitors⁶⁵, or chemotherapeutic agents⁶². Preclinical and limited clinical evidence suggest that KRAS mutation may predict sensitivity to PLK1 inhibitors⁶⁶. A Phase 1b/2 study of PLK1 inhibitor onvansertib in combination with FOLFIRI and bevacizumab for patients with KRAS-mutated metastatic CRC previously treated with chemotherapy reported an 87.5% (7/8; 3 PR, 4 SD) clinical benefit rate, with 1 patient going on to successful curative surgery⁶⁷. The reovirus Reolysin targets cells with activated RAS signaling⁶⁸⁻⁷⁰ and is in clinical trials in patients with some tumor types. Reolysin has demonstrated mixed clinical efficacy, with the highest rate of response reported for patients with head and neck cancer⁷¹⁻⁷⁹.

FREQUENCY & PROGNOSIS

Mutations in KRAS have been reported in approximately 35-50% of colorectal cancers (CRCs)⁸⁰⁻⁸⁸. Numerous studies have reported that KRAS mutations are associated with increased metastasis, adverse clinicopathological features, and shorter survival of patients with CRC^{82-85,89-90}.

FINDING SUMMARY

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation^{52,91}. KRAS alterations affecting amino acids G12, G13, Q22, P34, A59, Q61, and A146, as well as mutations G10_A11insG, G10_A11insAG (also reported as G10_A11dup and G12_G13insAG), A18D, L19F, D33E, G60_A66dup/E62_A66dup, E62K, and K117N have been characterized as activating and oncogenic^{52,92-113}.

ORDERED TEST # ORD-1048600-01

GENOMIC FINDINGS
GENE
PIK3CA
ALTERATION

Q546K

TRANSCRIPT ID

NM_006218

CODING SEQUENCE EFFECT

1636C>A

POTENTIAL TREATMENT STRATEGIES

Clinical and preclinical data in various tumor types indicate that PIK3CA activating alterations may predict sensitivity to therapies targeting PI3K or AKT¹¹⁴⁻¹¹⁵. On the basis of clinical benefit for patients with PIK3CA mutations and preclinical evidence, PIK3CA-mutated tumors may also respond to mTOR inhibitors, including everolimus and temsirolimus¹¹⁶⁻¹²¹. In a Phase 1 trial of the dual PI3K/mTOR kinase inhibitor apitolisib, 79% (11/14) of patients with PIK3CA-mutated advanced solid tumors experienced disease control at the recommended Phase 2 dose (3/14 PRs, 8/14 SDs)¹²². A patient with previously treated HER2-negative metastatic breast cancer harboring a PIK3CA H1047R alteration achieved an exceptional response with the pan-class I PI3K inhibitor copanlisib¹²³. However, studies of copanlisib and the pan-class I PI3K inhibitor buparlisib have demonstrated limited efficacy against PIK3CA-mutated tumors¹²⁴⁻¹³⁰. PI3K-alpha-selective inhibitors such as alpelisib or PI3K-beta-sparing inhibitors such as taselisib may have bigger therapeutic windows than pan-PI3K

inhibitors¹¹⁵. In PIK3CA-mutated advanced solid tumors, alpelisib and taselisib have achieved low ORRs (0% [0/55] to 6% [7/111]) but high DCRs (55% [36/55] to 58% [64/111])¹³¹. AKT inhibitors ipatasertib and capivasertib have also been tested in breast cancer. Two Phase 2 studies have reported improved PFS from the addition of either ipatasertib (9.0 vs. 4.9 months, HR = 0.44) or capivasertib (9.3 vs. 3.7 months, HR = 0.30) to paclitaxel in metastatic triple-negative breast cancer harboring PIK3CA/AKT1/PTEN alterations, compared with paclitaxel and placebo¹³². Responses to capivasertib were also reported in 20% (3/15) of patients with PIK3CA-mutated breast cancer in an earlier study¹³³. However, a Phase 1 trial reported no PFS benefit for patients with PIK3CA-mutated, ER+/HER2-metastatic breast cancer from the addition of capivasertib to paclitaxel compared with paclitaxel plus placebo (10.9 vs. 10.8 months)¹³⁴. Emerging evidence suggests that the glutaminase inhibitor telaglenastat has clinical activity in CRC. A Phase 1 trial of telaglenastat and capecitabine for patients with CRC who progressed on fluoropyrimidine chemotherapy observed numerically increased PFS in patients with PIK3CA mutation compared to wild-type (26 vs. 16 weeks), including SD >30 weeks for 3 patients with PIK3CA mutation¹³⁵. Multiple clinical studies report that inhibitors of the PI3K-AKT-mTOR pathway have not produced significant clinical benefit as monotherapies to treat colorectal cancer (CRC), even for tumors that harbor alterations in PIK3CA, AKT, and/or PTEN^{127/136-141}. One patient with CRC harboring an AKT1 E17K mutation experienced short-term stable disease on

monotherapy treatment with the AKT inhibitor AZD5363¹⁴¹. Resistance to therapy may arise, at least in part, through activation of the RAS-MAPK pathway¹³⁷⁻¹³⁹. Combinations of therapies may be required to overcome this lack of response, as demonstrated by both clinical and preclinical studies evaluating the efficacy of PI3K-AKT-mTOR pathway inhibitors in combination with chemotherapy¹⁴² or inhibitors of the VEGF signaling pathway¹⁴³⁻¹⁴⁴. Activating mutations in PIK3CA may confer resistance to HER2-targeted therapies; combined inhibition of HER2 and the PI3K pathway may be required in HER2-positive tumors with PIK3CA mutation¹⁴⁵⁻¹⁴⁹.

FREQUENCY & PROGNOSIS

PIK3CA mutations have been reported in 10-20% of colorectal cancers^{25,81,150-151}. A meta-analysis of 864 patients with colorectal cancer treated with cetuximab- or panitumumab-based therapy showed that PIK3CA mutations, particularly in exon 20 (H1047R), are significantly associated with worse response¹⁵² and shorter progression-free and overall survival¹⁵³.

FINDING SUMMARY

PIK3CA encodes p110-alpha, which is the catalytic subunit of phosphatidylinositol 3-kinase (PI3K). The PI3K pathway is involved in cell signaling that regulates a number of critical cellular functions, including cell growth, proliferation, differentiation, motility, and survival¹⁵⁴⁻¹⁵⁵. PIK3CA alterations that have been characterized as activating, such as observed here, are predicted to be oncogenic¹⁵⁶⁻¹⁷⁶.

ORDERED TEST # ORD-1048600-01

GENOMIC FINDINGS
GENE

APC

ALTERATION

T1556fs*3, W699*

TRANSCRIPT ID

NM_000038, NM_000038

CODING SEQUENCE EFFECT

4666_4667insA, 2096G>A

POTENTIAL TREATMENT STRATEGIES

There are no approved drugs targeted to APC defects or WNT upregulation in solid tumors. Preclinical studies have reported that APC inactivation or beta-catenin activation confer synthetic lethality when TRAIL receptors are upregulated and the TRAIL death receptor program is activated¹⁷⁷. In addition, the COX-2 inhibitor celecoxib was shown to reduce WNT

signaling in cancer cell lines¹⁷⁸⁻¹⁷⁹. A preclinical study has found that a small-molecule tankyrase inhibitor shows some activity in APC-mutant CRC models¹⁸⁰.

FREQUENCY & PROGNOSIS

APC alterations have been found in 77% of tumors in the Colorectal Adenocarcinoma TCGA dataset²⁵. Inactivation of APC leads to activation of the Wnt/beta-catenin pathway, which is thought to play a role in the adenoma-carcinoma transition in some cancers, including colorectal cancer (CRC)¹⁸¹. The prognostic significance of APC mutations in sporadic CRC remains unclear¹⁸².

FINDING SUMMARY

APC (adenomatous polyposis coli) encodes a tumor suppressor with critical roles in regulating cell division and adhesion. APC interacts with beta-catenin and controls signaling in the WNT

pathway, which regulates embryonic development and cell differentiation¹⁸³. Alterations such as seen here may disrupt APC function or expression¹⁸⁴⁻¹⁸⁸.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the APC variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with familial adenomatous polyposis (ClinVar, Sep 2020)¹⁸⁹. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in APC are found in more than 90% of patients with familial adenomatous polyposis (FAP)¹⁹⁰⁻¹⁹². The prevalence for FAP in the general population is estimated to be 1:8,300 from birth¹⁹³, and in the appropriate clinical context germline testing of APC is recommended.

GENE

DNMT3A

ALTERATION

splice site 1668-1G>A, Q573*

TRANSCRIPT ID

NM_022552, NM_022552

CODING SEQUENCE EFFECT

1668-1G>A, 1717C>T

POTENTIAL TREATMENT STRATEGIES

There are no targeted therapies available to address genomic alterations in DNMT3A in solid tumors.

FREQUENCY & PROGNOSIS

DNMT3A alterations have been reported at relatively low frequencies in solid tumors and are

more prevalent in hematological malignancies (cBioPortal, Feb 2021)⁵⁻⁶. Published data investigating the prognostic implications of DNMT3A alterations in solid tumors are limited (PubMed, Feb 2021). Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion¹⁹⁴⁻¹⁹⁹. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy¹⁹⁴⁻¹⁹⁵. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease²⁰⁰. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{198,201-202}.

Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

FINDING SUMMARY

The DNMT3A gene encodes the protein DNA methyltransferase 3A, an enzyme that is involved in the methylation of newly synthesized DNA, a function critical for gene regulation²⁰³⁻²⁰⁴. The role of DNMT3A in cancer is uncertain, as some reports describe increased expression and contribution to tumor growth, whereas others propose a role for DNMT3A as a tumor suppressor²⁰⁵⁻²¹⁰. Alterations such as seen here may disrupt DNMT3A function or expression²¹¹⁻²¹⁴.

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GENOMIC FINDINGS

GENE

FAM123B

ALTERATION

S324*

TRANSCRIPT ID

NM_152424

CODING SEQUENCE EFFECT

971C>A

POTENTIAL TREATMENT STRATEGIES

There are no targeted therapies available to address genomic alterations in FAM123B.

FREQUENCY & PROGNOSIS

Somatic mutation of FAM123B is rare in most cancers (COSMIC, 2021)⁷, but is observed at rates ranging from 5-30% in Wilms tumor²¹⁵⁻²¹⁷. No association between FAM123B alteration and clinical features or outcomes of Wilms tumor has

been documented.

FINDING SUMMARY

FAM123B, also known as AMER1, encodes the protein WTX, which binds to beta-catenin, enhancing its proteasomal degradation and thereby exerting a repressive effect on WNT pathway signaling²¹⁸. Germline mutation or deletion of FAM123B causes osteopathia striata with cranial sclerosis²¹⁹⁻²²⁰.

GENE

SMAD4

ALTERATION

G419R

TRANSCRIPT ID

NM_005359

CODING SEQUENCE EFFECT

1255G>A

POTENTIAL TREATMENT STRATEGIES

There are no therapies to address SMAD4 alterations in cancer. Preclinical studies²²¹⁻²²² and a clinical study of pancreatic cancer suggest that low SMAD4 expression exhibit increased responsiveness to chemotherapeutic agents such as cisplatin and irinotecan²²³.

FREQUENCY & PROGNOSIS

SMAD4 mutation or homozygous deletion is most frequently observed in pancreatic adenocarcinoma

(43%)²²⁴, pancreatic acinar cell carcinoma²²⁵, cholangiocarcinoma (25%)²²⁶, appendiceal adenocarcinoma (14-20% mutation; 57% deletion)²²⁷⁻²²⁸, colorectal adenocarcinoma (CRC; 14%)²⁵, esophageal adenocarcinoma (14%)²²⁹, and stomach adenocarcinoma (13%)²³⁰. In preclinical studies, SMAD4 loss of function has been implicated in the development of mucinous neoplasms of the pancreas, including mucinous cystic neoplasms (MCN)²³¹ and intraductal papillary mucinous neoplasms (IPMN)²³²; in clinical samples, SMAD4 homozygous deletion has been observed in 10% of IPMNs and 8% of MCNs, and mutation was also observed in 5% of IPMNs²³³. SMAD4 gene alterations have been associated with reduced overall survival for patients with pancreatic adenocarcinoma²³⁴. Reduced SMAD4 expression has been associated with worse prognosis in various cancer types, including CRC²³⁵⁻²³⁷, appendiceal mucinous neoplasm²³⁸, gastric adenocarcinoma²³⁹⁻²⁴⁰, esophageal adenocarcinoma²⁴¹, esophageal squamous cell carcinoma²⁴², breast cancer²⁴³, and

prostate cancer²⁴⁴.

FINDING SUMMARY

SMAD4, also known as DPC4, encodes a tumor suppressor that regulates transcriptional activity downstream of TGF-beta receptor signaling²⁴⁵⁻²⁴⁶. SMAD4 alterations that result in loss or disruption of the MH1 domain (aa 18-142), MH2 domain (aa 323-552), or SAD domain (aa 275-320) are predicted to be inactivating²⁴⁷⁻²⁶⁰.

POTENTIAL GERMLINE IMPLICATIONS

Germline SMAD4 mutations, including those at the R361 hotspot, have been observed in patients with juvenile polyposis syndrome²⁶¹⁻²⁶³, which is associated with an increased risk of gastrointestinal cancers²⁶⁴. The penetrance of deleterious SMAD4 mutations in patients with colon cancer is estimated at 20% by age 35 and 70% by age 65²⁶⁵. In the appropriate clinical context, germline testing of SMAD4 is recommended.

ORDERED TEST # ORD-1048600-01

GENOMIC FINDINGS

GENE

TP53

ALTERATION

R174fs*2, P190T

TRANSCRIPT ID

NM_000546, NM_000546

CODING SEQUENCE EFFECT

519_520insTTGTG, 568C>A

POTENTIAL TREATMENT STRATEGIES

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib²⁶⁶⁻²⁶⁹, or p53 gene therapy and immunotherapeutics such as SGT-53²⁷⁰⁻²⁷⁴ and ALT-801²⁷⁵. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% (17/176) and SDs in 53.4% (94/176) of patients with solid tumors; the response rate was 21.1% (4/19) in patients with TP53 mutations versus 12.1% (4/33) in patients who were TP53 wild-type²⁷⁶. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 31.9% (30/94, 3 CR) ORR and a 73.4% (69/94) DCR in patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer²⁷⁷. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 42.9% (9/21, 1 CR) ORR and a 76.2% (16/21) DCR in patients with platinum-refractory TP53-mutated ovarian cancer²⁷⁸. The combination of adavosertib with paclitaxel and carboplatin in patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone²⁷⁹. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24.0% (6/25) ORR with adavosertib combined with paclitaxel²⁸⁰. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71.4% (5/7) response rate for patients with TP53 alterations²⁸¹. In a Phase 1b clinical trial of SGT-53 in

combination with docetaxel in patients with solid tumors, 75.0% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage²⁷⁴. Additionally, the combination of a CHK1 inhibitor and irinotecan reportedly reduced tumor growth and prolonged survival in a TP53-mutated, but not TP53-wild-type, breast cancer xenotransplant mouse model²⁸². Missense mutations leading to TP53 inactivation may also be sensitive to therapies that reactivate mutant p53 such as APR-246²⁸³⁻²⁸⁵. In a Phase 1b trial in patients with p53-positive high-grade serous ovarian cancer, APR-246 combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR²⁸⁶. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies²⁸⁷⁻²⁸⁸; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies²⁸⁹⁻²⁹⁰. Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

FREQUENCY & PROGNOSIS

TP53 mutations have been reported in up to 60% of colorectal cancer cases^{25,291-296}. In one study comparing colorectal neuroendocrine carcinoma (NECs) and neuroendocrine tumors (NETs), mutations observed in colorectal adenocarcinomas were frequently observed in colorectal NECs but not in colorectal NETs; TP53 mutation was identified in 5/24 NEC samples but not in any of 20 NET samples analyzed, and aberrant p53 expression was significantly more frequent in NECs (88%; 16/20) than in NETs (67%; 17/25)²⁹⁷. There are additional reports of TP53 mutation in other types of neuroendocrine carcinomas²⁹⁸⁻³⁰¹. A study reported p53 expression in 49% of analyzed colorectal cancer cases³⁰². Loss of heterozygosity (LOH) at the TP53 locus has been identified in 80% (4/5) of poorly differentiated colorectal NECs in one study³⁰³. Studies have reported high p53 expression in 50-100% of neuroendocrine carcinomas analyzed³⁰⁴⁻³⁰⁷. TP53 mutation has not been consistently demonstrated to be a significant

independent prognostic marker in the context of CRC³⁰⁸. A study of p53 expression in high-grade neuroendocrine carcinomas showed that patients with tumors that overexpressed p53, CD117, and Ki-67 had shorter survival³⁰⁹. High p53 expression has also been correlated with poor prognosis for patients with gastroenteropancreatic neuroendocrine tumors³⁰⁶. Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion¹⁹⁴⁻¹⁹⁹. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy¹⁹⁴⁻¹⁹⁵. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease²⁰⁰. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{198,201-202}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers³¹⁰. Alterations such as seen here may disrupt TP53 function or expression³¹¹⁻³¹⁵.

POTENTIAL GERMLINE IMPLICATIONS

Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers³¹⁶⁻³¹⁸, including sarcomas³¹⁹⁻³²⁰. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000³²¹ to 1:20,000³²⁰. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30³²². In the appropriate clinical context, germline testing of TP53 is recommended.

ORDERED TEST # ORD-1048600-01

THERAPIES ASSOCIATED WITH LACK OF RESPONSE

IN PATIENT'S TUMOR TYPE

Cetuximab

⚠ Patient may be resistant to Cetuximab

Assay findings association

KRAS
G13C

AREAS OF THERAPEUTIC USE

Cetuximab is a monoclonal antibody that targets EGFR. It is FDA approved for the treatment of head and neck squamous cell carcinoma (HNSCC) and KRAS-wild-type, EGFR-expressing metastatic colorectal cancer (CRC). Please see the drug label for full prescribing information.

GENE ASSOCIATION

Therapies targeting EGFR, including cetuximab, have been shown to have significant clinical activity in patients with CRC^{44-47,323-324}; wild-type KRAS and NRAS are predictive biomarkers for the efficacy of cetuximab in metastatic CRC (NCCN Guidelines v2.2019). Activating mutations in either KRAS⁴⁴⁻⁴⁷ or NRAS^{153,296}, which function downstream of EGFR, are associated with lack of benefit of cetuximab in patients with CRC and indicate against the use of cetuximab (NCCN Guidelines v2.2019).

SUPPORTING DATA

Cetuximab has been shown to improve OS, PFS, and response rate for patients with KRAS-wild-type CRC, both as first-line combination therapy with FOLFIRI or FOLFOX₄^{44-45,324} and as monotherapy or combination therapy with irinotecan for chemotherapy-refractory patients^{46-47,323}. A prospective study of first-line cetuximab for patients with KRAS/NRAS/BRAF mutation-negative metastatic CRC resulted in limited efficacy, with 10.5% (2/19) of participants experiencing PRs and 57.9% (11/19) experiencing SDs³²⁵. The Phase 2 AVETUX trial of cetuximab combined with avelumab and mFOLFOX6 for patients with RAS/BRAF-wild-type metastatic CRC resulted in an ORR of 79.5% (6 CR and 25 PRs, n=39) and a DCR of 92.3%³²⁶.

Panitumumab

⚠ Patient may be resistant to Panitumumab

Assay findings association

KRAS
G13C

AREAS OF THERAPEUTIC USE

Panitumumab is a monoclonal antibody that targets EGFR. It is FDA approved to treat KRAS wild-type and NRAS wild-type metastatic colorectal cancer (CRC) combined with chemotherapy or as monotherapy for patients who have progressed on prior chemotherapy. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Therapies targeting EGFR, including panitumumab, have been shown to have significant clinical activity in patients with CRC^{48,327-328}; wild-type KRAS and NRAS are predictive biomarkers for the efficacy of panitumumab in metastatic CRC (NCCN Guidelines v2.2019). Activating mutations in either KRAS⁴⁸⁻⁵⁰ or NRAS^{49,294}, which function downstream of EGFR, are associated with lack of

benefit of panitumumab in patients with CRC and indicate against the use of panitumumab (NCCN Guidelines v2.2019).

SUPPORTING DATA

Panitumumab has been shown to improve OS, PFS, and ORR in patients with KRAS wild-type CRC, both as first-line combination therapy with FOLFOX₄⁴⁸ and as monotherapy for chemotherapy-refractory patients³²⁷⁻³²⁸. An open-label, randomized Phase 2 trial reported that in patients with unresectable RAS-wild-type colorectal adenocarcinoma treated with first-line panitumumab plus FOLFOX₄, maintenance with a combination of panitumumab plus fluorouracil and leucovorin was superior to panitumumab monotherapy (10-month PFS 59% vs. 49%)³²⁹.

NOTE Genomic alterations detected may be associated with activity of certain US FDA or other specific country approved therapies; however, the therapies listed in this report may have varied evidence in the patient's tumor type. The listed therapies are not ranked in order of potential or predicted efficacy for this patient or in order of level of evidence for this patient's tumor type. The therapies listed in this report may not be complete and/or exhaustive. Furthermore, the listed therapies are limited to US FDA approved pharmaceutical drug products that are linked to a specific genomic alteration. There may also be US FDA approved pharmaceutical drug products that are not linked to a genomic alteration. Further there may also exist pharmaceutical drug products that are not approved by the US FDA or other national authorities. There may also be other treatment modalities available than pharmaceutical drug products.

ORDERED TEST # ORD-1048600-01

CLINICAL TRIALS

IMPORTANT Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. However, clinicaltrials.gov does not list all clinical trials that might be available.

GENE
KRAS
ALTERATION
G13C

RATIONALE
KRAS activating mutations or amplification may predict sensitivity to inhibitors of MAPK pathway components, including MEK inhibitors. KRAS mutation may predict sensitivity to PLK1

inhibitors. Multiple clinical studies have reported lack of efficacy of MEK inhibitors as monotherapy for treatment of KRAS-mutant colorectal cancer; combination therapies may be more effective.

NCT03989115
PHASE 1/2

Dose-Escalation and Dose-Expansion of RMC-4630 and Cobimetinib in Relapsed/Refractory Solid Tumors

TARGETS
SHP2, MEK

LOCATIONS: Florida, Georgia, Texas, North Carolina, Tennessee, Virginia, Oklahoma, Maryland, Pennsylvania, Ohio

NCT03829410
PHASE 1/2

Ovansertib in Combination With FOLFIRI and Bevacizumab for Second Line Treatment of Metastatic Colorectal Cancer Patients With a Kras Mutation

TARGETS
PLK1, VEGFA

LOCATIONS: Florida, Arkansas, Virginia, Kansas, Arizona, Minnesota, California

NCT03374254
PHASE 1

Safety and Efficacy of Pembrolizumab (MK-3475) Plus Binimetinib Alone or Pembrolizumab Plus Chemotherapy With or Without Binimetinib in Metastatic Colorectal Cancer (mCRC) Participants (MK-3475-651)

TARGETS
PD-1, MEK

LOCATIONS: Florida, Texas, Pennsylvania, New Jersey, Connecticut, Illinois, Toronto (Canada), Montreal (Canada), Colorado, California

NCT03905148
PHASE 1/2

Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors

TARGETS
RAFs, EGFR, MEK

LOCATIONS: Texas, Randwick (Australia), Blacktown (Australia), Melbourne (Australia), Nedlands (Australia)

NCT02079740
PHASE 1/2

Trametinib and Navitoclax in Treating Patients With Advanced or Metastatic Solid Tumors

TARGETS
BCL-W, BCL-XL, BCL2, MEK

LOCATIONS: Massachusetts

ORDERED TEST # ORD-1048600-01

CLINICAL TRIALS
NCT03981614
PHASE 2

Binimetinib and Palbociclib or TAS-102 in Treating Patients With KRAS and NRAS Mutant Metastatic or Unresectable Colorectal Cancer

TARGETS
CDK4, CDK6, MEK

LOCATIONS: Texas, Georgia, North Carolina, Tennessee, Kansas, Arizona

NCT02613650
PHASE 1

A Trial of mFOLFIRI With MEK162 in Patients With Advanced KRAS Positive Metastatic Colorectal Cancers

TARGETS
MEK

LOCATIONS: Utah

NCT03284502
PHASE 1

Cobimetinib and HM95573 in Patients With Locally Advanced or Metastatic Solid Tumors

TARGETS
MEK, RAFs

LOCATIONS: Seoul (Korea, Republic of), Goyang-si (Korea, Republic of), Seongnam (Korea, Republic of), Pusan (Korea, Republic of), Hwasun (Korea, Republic of)

NCT03065387
PHASE 1

Study of the Pan-ERBB Inhibitor Neratinib Given in Combination With Everolimus, Palbociclib or Trametinib in Advanced Cancer Subjects With EGFR Mutation/Amplification, HER2 Mutation/Amplification or HER3/4 Mutation

TARGETS
mTOR, EGFR, ERBB2, ERBB4, CDK4, CDK6, MEK

LOCATIONS: Texas

NCT03162627
PHASE 1

Selumetinib and Olaparib in Solid Tumors

TARGETS
MEK, PARP

LOCATIONS: Texas

ORDERED TEST # ORD-1048600-01

CLINICAL TRIALS
GENE
PIK3CA
ALTERATION
Q546K

RATIONALE

PIK3CA activating mutations may lead to activation of the PI3K-AKT-mTOR pathway and may therefore indicate sensitivity to inhibitors of this pathway. Strong clinical data support sensitivity of PIK3CA-mutated solid tumors to the PI3K-alpha inhibitor alpelisib. Several clinical studies have shown that inhibitors of the PI3K-AKT-mTOR pathway have not produced

significant clinical benefit when used as a monotherapy in patients with colorectal cancer; combination therapies may be required to overcome this lack of response. On the basis of preclinical and limited clinical data, PIK3CA activating mutations may predict sensitivity to glutaminase inhibitors.

NCT04337463
PHASE NULL

ATG-008 Combined With Toripalimab in Advanced Solid Tumors

TARGETS
mTORC1, mTORC2, PD-1

LOCATIONS: Chengdu (China)

NCT03439462
PHASE 1/2

ABI-009 (Nab-rapamycin) in Combination With FOLFOX and Bevacizumab as First-line Therapy in Patients With Advanced or Metastatic Colorectal Cancer

TARGETS
mTOR, VEGFA

LOCATIONS: Louisiana, Texas, New Jersey, Arizona, Nevada, Washington

NCT04589845
PHASE 2

Tumor-Agnostic Precision Immuno-Oncology and Somatic Targeting Rational for You (TAPISTRY) Platform Study

TARGETS
ALK, ROS1, TRKA, TRKB, TRKC, RET, PD-L1, AKTs, ERBB2, MDM2, PI3K-alpha

LOCATIONS: Alabama, Texas, Tennessee, Pennsylvania, Ohio, New Jersey, New York

NCT03711058
PHASE 1/2

Study of PI3Kinase Inhibition (Copanlisib) and Anti-PD-1 Antibody Nivolumab in Relapsed/Refractory Solid Tumors With Expansions in Mismatch-repair Proficient (MSS) Colorectal Cancer

TARGETS
PD-1, PI3K

LOCATIONS: Maryland

NCT03366103
PHASE 1/2

Navitoclax and Vistusertib in Treating Patients With Relapsed Small Cell Lung Cancer and Other Solid Tumors

TARGETS
mTORC1, mTORC2, BCL-W, BCL-XL, BCL2

LOCATIONS: Maryland, New Jersey, New York

NCT02688881
PHASE 4

Study to Evaluate the Safety and Efficacy of Sirolimus, in Subject With Refractory Solid Tumors

TARGETS
mTOR

LOCATIONS: Seoul (Korea, Republic of)

ORDERED TEST # ORD-1048600-01

CLINICAL TRIALS
NCT03502733
PHASE 1

Copanlisib and Nivolumab in Treating Patients With Metastatic Solid Tumors or Lymphoma

TARGETS
PI3K, PD-1

LOCATIONS: Texas, Maryland

NCT03842228
PHASE 1

Copanlisib, Olaparib, and Durvalumab in Treating Patients With Metastatic or Unresectable Solid Tumors

TARGETS
PI3K, PD-L1, PARP

LOCATIONS: Texas, Massachusetts

NCT03006172
PHASE 1

To Evaluate the Safety, Tolerability, and Pharmacokinetics of GDC-0077 Single Agent in Participants With Solid Tumors and in Combination With Endocrine and Targeted Therapies in Participants With Breast Cancer

TARGETS
PI3K-alpha, Aromatase, ER, CDK4, CDK6

LOCATIONS: Tennessee, New York, Massachusetts, Toronto (Canada), Valencia (Spain), Bordeaux (France), Barcelona (Spain), Surrey (United Kingdom), London (United Kingdom), Villejuif (France)

NCT02861300
PHASE 1/2

CB-839 + Capecitabine in Solid Tumors and Fluoropyrimidine Resistant PIK3CA Mutant Colorectal Cancer

TARGETS
GLS

LOCATIONS: Ohio

ORDERED TEST # ORD-1048600-01

APPENDIX
Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

BCORL1
G1203S

CD22
T793M

CDK12
E1024K

DNMT3A
D702Y and G685E

EP300
K418R

FGFR4
R443H

MAP3K13
Q725K

NTRK1
G18E

RET
G533S

TET2
M533I

TSC1
M322T

APPENDIX

Genes assayed in FoundationOne®Liquid CDx

ORDERED TEST # ORD-1048600-01

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

ABL1 Exons 4-9	ACVR1B	AKT1 Exon 3	AKT2	AKT3	ALK Exons 20-29, Introns 18, 19	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF Exons 4, 5, 7, 11, 13, 15, 16	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BCR* Introns 8, 13, 14	BRAF Exons 11-18, Introns 7-10	BRCA1 Introns 2, 7, 8, 12, 16, 19, 20	BRCA2 Intron 2	BRD4	BRIP1	BTG1
BTG2	BTK Exons 2, 15	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL
CCND1	CCND2	CCND3	CCNE1	CD22	CD70	CD74* Introns 6-8	CD79A	CD79B
CD274 (PD-L1)	CDC73	CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B
CDKN2A	CDKN2B	CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL
CSF1R	CSF3R	CTCF	CTNNA1	CTNNB1 Exon 3	CUL3	CUL4A	CXCR4	CYP17A1
DAXX	DDR1	DDR2 Exons 5, 17, 18	DIS3	DNMT3A	DOT1L	EED	EGFR Introns 7, 15, 24-27	EP300
EPHA3	EPHB1	EPHB4	ERBB2	ERBB3 Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25	ERBB4	ERCC4	ERG	ERRF1
ESR1 Exons 4-8	ETV4* Intron 8	ETV5* Introns 6, 7	ETV6* Introns 5, 6	EWSR1* Introns 7-13	EZH2 Exons 4, 16, 17, 18	EZR* Introns 9-11	FAM46C	FANCA
FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12	FGF14	FGF19
FGF23	FGF3	FGF4	FGF6	FGFR1 Introns 1, 5, Intron 17	FGFR2 Intron 1, Intron 17	FGFR3 Exons 7, 9 (alternative designation exon 10), 14, 18, Intron 17	FGFR4	FH
FLCN	FLT1	FLT3 Exons 14, 15, 20	FOXL2	FUBP1	GABRA6	GATA3	GATA4	GATA6
GNA11 Exons 4, 5	GNA13	GNAQ Exons 4, 5	GNAS Exons 1, 8	GRM3	GSK3B	H3F3A	HDAC1	HGF
HNF1A	HRAS Exons 2, 3	HSD3B1	ID3	IDH1 Exon 4	IDH2 Exon 4	IGF1R	IKBKE	IKZF1
INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2 Exon 14	JAK3 Exons 5, 11, 12, 13, 15, 16	JUN	KDM5A
KDM5C	KDM6A	KDR	KEAP1	KEL	KIT Exons 8, 9, 11, 12, 13, 17, Intron 16	KLHL6	KMT2A (MLL) Introns 6, 8-11, Intron 7	KMT2D (MLL2)

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Electronically signed by Richard Huang, M.D. | 29 March 2021
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Sample Preparation: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

APPENDIX

Genes assayed in FoundationOne®Liquid CDx

ORDERED TEST # ORD-1048600-01

KRAS	LTK	LYN	MAF	MAP2K1 (MEK1) Exons 2, 3	MAP2K2 (MEK2) Exons 2-4, 6, 7	MAP2K4	MAP3K1	MAP3K13
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APPENDIX

Genes assayed in FoundationOne®Liquid CDx

ORDERED TEST # ORD-1048600-01

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1	MERTK	MET
MITF	MKNK1	MLH1	MPL Exon 10	MRE11A	MSH2 Intron 5	MSH3	MSH6	MST1R
MTAP	MTOR Exons 19, 30, 39, 40, 43-45, 47, 48, 53, 56	MUTYH	MYB* Intron 14	MYC Intron 1	MYCL (MYCL1)	MYCN	MYD88 Exon 4	NBN
NF1	NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2 Intron 26	NOTCH3	NPM1 Exons 4-6, 8, 10
NRAS Exons 2, 3	NSD3 (WHSC1L1)	NTSC2	NTRK1 Exons 14, 15, Introns 8-11	NTRK2 Intron 12	NTRK3 Exons 16, 17	NUTM1* Intron 1	P2RY8	PALB2
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA Exons 12, 18, Introns 7, 9, 11
PDGFRB Exons 12-21, 23	PDK1	PIK3C2B	PIK3C2G	PIK3CA Exons 2, 3, 5-8, 10, 14, 19, 21 (Coding Exons 1, 2, 4-7, 9, 13, 18, 20)	PIK3CB	PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1 Exons 3, 4, 6, 7, 10, 14, 15, 17, Introns 4-8	RARA Intron 2	RB1	RBM10	REL	RET Introns 7, 8, Exons 11, 13-16, Introns 9-11
RICTOR	RNF43	ROS1 Exons 31, 36-38, 40, Introns 31-35	RPTOR	RSPO2* Intron 1	SDC4* Intron 2	SDHA	SDHB	SDHC
SDHD	SETD2	SF3B1	SGK1	SLC34A2* Intron 4	SMAD2	SMAD4	SMARCA4	SMARCB1
SMO	SNCAIP	SOCS1	SOX2	SOX9	SPEN	SPOP	SRC	STAG2
STAT3	STK11	SUFU	SYK	TBX3	TEK	TERC* ncRNA	TERT* Promoter	TET2
TGFBR2	TIPARP	TMPPRSS2* Introns 1-3	TNFAIP3	TNFRSF14	TP53	TSC1	TSC2	TYRO3
U2AF1	VEGFA	VHL	WHSC1	WT1	XPO1	XRCC2	ZNF217	ZNF703

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Microsatellite (MS) status

Blood Tumor Mutational Burden (bTMB)

Tumor Fraction

ORDERED TEST # ORD-1048600-01

APPENDIX

About FoundationOne®Liquid CDx

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.



ABOUT FOUNDATIONONE LIQUID CDx

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details.

INTENDED USE

FoundationOne Liquid CDx is a next generation sequencing based *in vitro* diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

TEST PRINCIPLES

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted

cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also detects select genomic rearrangements, select copy number alterations, tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. *Note:* A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

RANKING OF ALTERATIONS AND THERAPIES

Biomarker and Genomic Findings

Therapies are ranked based on the following criteria: Therapies with clinical benefit in patient's tumor type (ranked alphabetically within each NCCN category) followed by therapies with clinical benefit in other tumor type (ranked alphabetically within each NCCN category).

Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

LIMITATIONS

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
5. The test is not intended to provide information on cancer predisposition.
6. Performance has not been validated for cfDNA input below the specified minimum input.
7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.
8. Tumor fraction is the percentage of circulating-tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate is computationally derived from observed aneuploid instability in the sample.
9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
10. Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited

ORDERED TEST # ORD-1048600-01

APPENDIX

About FoundationOne®Liquid CDx

to: *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, *TP53*, and *U2AF1*.

11. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.
12. The test is not intended to replace germline testing or to provide information about cancer predisposition.

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters with no conflicts), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *FH*, *FLCN*, *MLH1*, *MSH2*, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories please refer to the NCCN Compendium®.

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LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >40bp, or

repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
Muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

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ORDERED TEST # ORD-1048600-01

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APPENDIX
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