

ABOUT THE TEST FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

PATIENT

DISEASE Lung adenocarcinoma

DATE OF BIRTH 03 March 1951

SEX Female

MEDICAL RECORD # Not given

PHYSICIAN

MEDICAL FACILITY Oncologia Patologica

ADDITIONAL RECIPIENT None

MEDICAL FACILITY ID 320946

PATHOLOGIST Not Provided

SPECIMEN

SPECIMEN SITE Pleura

SPECIMEN ID 211675 A (H21-12487)

SPECIMEN TYPE Block

DATE OF COLLECTION 03 March 2021

SPECIMEN RECEIVED 18 May 2021

Due to the low tumor purity, sensitivity for the detection of copy number alterations including ERBB2 is reduced due to sample quality. Refer to appendix for limitations statement. Sensitivity for the detection of other alterations and genomic signatures may also be reduced and the TMB score may be underreported.

Biomarker Findings

Microsatellite status - Cannot Be Determined

Tumor Mutational Burden - Cannot Be Determined

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

BRAF V600E

MLL2 S166*

SETD2 T305fs*4

SMAD4 R361H

7 Disease relevant genes with no reportable alterations: **ALK, EGFR, ERBB2, KRAS, MET, RET, ROS1**

7 Therapies with Clinical Benefit

10 Clinical Trials

0 Therapies with Lack of Response

BIOMARKER FINDINGS

Microsatellite status - Cannot Be Determined

Tumor Mutational Burden - Cannot Be Determined

GENOMIC FINDINGS

BRAF - V600E

10 Trials see p. 11

ACTIONABILITY

No therapies or clinical trials. see Biomarker Findings section

No therapies or clinical trials. see Biomarker Findings section

THERAPIES WITH CLINICAL BENEFIT (IN PATIENT'S TUMOR TYPE)

Dabrafenib + Trametinib 2A

THERAPIES WITH CLINICAL BENEFIT (IN OTHER TUMOR TYPE)

Vemurafenib 2A

Dabrafenib

Encorafenib + Binimetinib

Selumetinib

Trametinib

Vemurafenib + Cobimetinib

NCCN category

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS (CH)

Genomic findings below may include nontumor somatic alterations, such as CH. The efficacy of targeting such nontumor somatic alterations is unknown. This content should be interpreted based on clinical context. Refer to appendix for additional information on CH.

MLL2 - S166* p. 5

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIALS OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

MLL2 - S166* p. 5 **SMAD4 - R361H** p. 6
SETD2 - T305fs*4 p. 6

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies.

Therapies contained in this report may have been approved by the US FDA.

ORDERED TEST # ORD-1098581-01

BIOMARKER FINDINGS

BIOMARKER

Microsatellite status

RESULT

Cannot Be Determined

POTENTIAL TREATMENT STRATEGIES

On the basis of prospective clinical evidence in multiple solid tumor types, MSI and associated increased mutational burden¹⁻² may predict sensitivity to anti-PD-1 and anti-PD-L1 immune checkpoint inhibitors²⁻⁶, including the approved therapies nivolumab (alone or in combination with ipilimumab)⁷⁻⁹, pembrolizumab¹⁰⁻¹¹, atezolizumab, avelumab, and durvalumab³⁻⁵. As the MSI status of this tumor is unknown, the relevance of these therapeutic approaches is unclear.

FREQUENCY & PROGNOSIS

MSI-H is generally infrequent in NSCLC, reported

in fewer than 1% of samples across several large studies¹²⁻¹⁷, whereas data on the reported incidence of MSI-H in SCLC has been limited and conflicting¹⁸⁻²¹. One study reported MSI-H in lung adenocarcinoma patients with smoking history, and 3 of 4 MSI-H patients examined also had metachronous carcinomas in other organs, although this has not been investigated in large scale studies¹². The prognostic implications of MSI in NSCLC have not been extensively studied (PubMed, Oct 2020).

FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor²². Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2²²⁻²⁴. The level of MSI in

this sample could not be determined with confidence. Depending on the clinical context, MSI testing of an alternate sample or by another methodology could be considered.

POTENTIAL GERMLINE IMPLICATIONS

While approximately 80% of MSI-H tumors arise due to somatic inactivation of an MMR pathway protein, about 20% arise due to germline mutations in one of the MMR genes²², which are associated with a condition known as Lynch syndrome (also known as hereditary nonpolyposis colorectal cancer or HNPCC)²⁵. Lynch syndrome leads to an increased risk of colorectal, endometrial, gastric, and other cancers²⁵⁻²⁷ and has an estimated prevalence in the general population ranging from 1:600 to 1:2000²⁸⁻³⁰. Therefore, in the appropriate clinical context, germline testing of MLH1, MSH2, MSH6, and PMS2 is recommended.

ORDERED TEST # ORD-1098581-01

BIOMARKER FINDINGS

BIOMARKER

Tumor Mutational Burden

RESULT

Cannot Be Determined

POTENTIAL TREATMENT STRATEGIES

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1³¹⁻³³, anti-PD-1 therapies³¹⁻³⁴, and combination nivolumab and ipilimumab³⁵⁻⁴⁰. Multiple clinical trials of PD-1- or PD-L1-targeting immune checkpoint inhibitors or combination of PD-1 and CTLA-4 inhibitors in NSCLC have reported that patients with tumors harboring TMB ≥ 10 Muts/Mb derive greater clinical benefit from these therapies than those with TMB < 10 Muts/Mb (based on this assay or others);^{11,31-32,35-37,41-47}. Improved OS of patients with NSCLC treated with pembrolizumab plus chemotherapy relative to chemotherapy only⁴⁸, or

those treated with nivolumab plus ipilimumab also relative to chemotherapy⁴⁹, has been observed across all TMB levels. As the TMB status of this tumor cannot be determined with confidence, the benefit of these therapeutic approaches is unclear.

FREQUENCY & PROGNOSIS

A large-scale genomic analysis found that unspecified lung non-small cell lung carcinoma (NSCLC), lung adenocarcinoma, and lung squamous cell carcinoma (SCC) samples harbored median TMBs between 6.3 and 9 Muts/Mb, and 12% to 17% of cases had an elevated TMB of greater than 20 Muts/Mb⁵⁰. Lower TMB is observed more commonly in NSCLCs harboring known driver mutations (EGFR, ALK, ROS1, or MET) with the exception of BRAF or KRAS mutations, which are commonly observed in elevated TMB cases⁵¹. Although some studies have reported a lack of association between smoking and mutational burden in NSCLC⁵²⁻⁵³, several other large studies did find a strong association with increased TMB⁵⁴⁻⁵⁷. TMB > 10 muts/Mb was found to be more frequent in NSCLC metastases compared with primary tumors for both adenocarcinoma (38% vs. 25%) and SCC (41% vs. 35%) subtypes⁵⁸. A large study of Chinese patients with lung adenocarcinoma reported a shorter median OS for tumors with a higher number of mutations in a limited gene set compared with a lower mutation number (48.4 vs. 61.0 months)⁵².

Another study of patients with NSCLC correlated elevated TMB with poorer prognosis and significantly associated lower TMB in combination with PD-L1 negative status with longer median survival in patients with lung adenocarcinoma⁵⁹. However, no significant prognostic association of TMB and/or PD-L1 status with survival has been reported in patients with lung SCC⁵⁹⁻⁶⁰.

FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma⁶¹⁻⁶² and cigarette smoke in lung cancer^{11,63}, treatment with temozolomide-based chemotherapy in glioma⁶⁴⁻⁶⁵, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes⁶⁶⁻⁷⁰, and microsatellite instability (MSI)^{66,69-70}. Elevated TMB has been reported to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors in multiple solid tumor types^{32-34,71}. However, the TMB level in this sample could not be determined with confidence.

ORDERED TEST # ORD-1098581-01

GENOMIC FINDINGS

GENE

BRAF

ALTERATION

V600E

TRANSCRIPT ID

NM_004333

CODING SEQUENCE EFFECT

1799T>A

VARIANT ALLELE FREQUENCY (% VAF)

5.2%

POTENTIAL TREATMENT STRATEGIES

BRAF V600 mutations activate MEK-ERK signaling and are associated with sensitivity to BRAF V600 mutation-specific inhibitors such as vemurafenib⁷², dabrafenib⁷³, and encorafenib⁷⁴; the combination of BRAF V600 mutation-selective inhibitors with MEK inhibitors such as encorafenib plus binimetinib⁷⁵, vemurafenib plus cobimetinib⁷⁶⁻⁷⁷, or dabrafenib plus trametinib⁷⁸⁻⁸⁰; MEK inhibitors such as trametinib⁸¹⁻⁸³, cobimetinib⁸⁴, binimetinib⁸⁵, and selumetinib⁸⁶⁻⁸⁸; pan-RAF inhibitors such as sorafenib⁸⁹⁻⁹¹; and ERK inhibitors⁹². A Phase 1 trial of the ERK1/2 inhibitor ulixertinib reported PRs for 16% (3/19) of previously treated patients and 1 out of 2 newly diagnosed patients with

BRAF V600E-mutant melanoma, 25% (3/12) of patients with BRAF-mutated lung cancer (2 with V600E and 1 with L597Q), and 19% (4/21) of patients with other BRAF-mutated cancers (2 with G469A, 1 with V600E, and 1 with L485W); 2 patients with BRAF V600E mutations also experienced CNS response⁹³. BRAF inhibitors can induce adverse effects such as the development of cutaneous squamous cell carcinomas (SCC), keratoacanthomas, and new primary melanomas caused by inactivation of wild-type BRAF and leading to paradoxical activation of the MAPK pathway^{72-73,94}. Meta-analysis confirmed a reduced risk of developing cutaneous SCC with combined BRAF- and MEK-inhibition relative to BRAF-inhibitor monotherapy⁹⁵. A Phase 1/2 trial of PLX8394, a next-generation BRAF inhibitor predicted to not induce paradoxical MAPK pathway activation⁹⁶⁻⁹⁷, reported PRs in patients with BRAF V600E-mutant tumors, specifically in glioma (3/4), papillary thyroid carcinoma (1/9), colorectal cancer (1/10), and ovarian cancer (1/1)⁹⁸.

FREQUENCY & PROGNOSIS

BRAF mutations have been reported in up to 4% of non-small cell lung cancer (NSCLC) cases in various studies⁹⁹⁻¹⁰³, with a large-scale meta-analysis suggesting a frequency of 3%¹⁰⁴. BRAF mutations are significantly more prevalent in lung adenocarcinoma than non-adenocarcinoma

NSCLC^{101,104}. BRAF mutations can co-occur with alterations in other known oncogenic drivers of NSCLC, including EGFR, KRAS, and ALK^{101,103}. Studies have reported a lack of association between BRAF mutation and tumor stage or prognosis in NSCLC^{99,104}.

FINDING SUMMARY

BRAF encodes a member of the RAF family of protein kinases, which includes ARAF, BRAF, and CRAF. These kinases function downstream of RAS as part of the MAPK (RAF-MEK-ERK) signaling cascade that facilitates cell proliferation, survival and transformation¹⁰⁵⁻¹⁰⁶. BRAF mutations have been reported in up to 20% of all cancers, with the majority of mutations occurring at the V600 position¹⁰⁷⁻¹⁰⁸. Among the V600 mutations, V600E accounts for 70-80% of observations, V600K for 10-30%, and V600R for 5-7%, with V600D comprising the majority of the rest^{107,109-110}. Mutations at V600 have been shown to constitutively activate BRAF kinase and hyperactivate the downstream MEK-ERK signaling, promoting oncogenic transformation^{107,111}. In multiple cancer types, multiple mutations at V600, including V600E, V600K, V600R, V600D, and V600M exhibited sensitivity to V600-targeted therapies^{72-73,110,112-120}; other mutations at this position are predicted to behave similarly.

GENE

MLL2

ALTERATION

S166*

TRANSCRIPT ID

NM_003482

CODING SEQUENCE EFFECT

497C>G

VARIANT ALLELE FREQUENCY (% VAF)

5.8%

POTENTIAL TREATMENT STRATEGIES

There are no targeted therapies available to address genomic alterations in MLL2.

FREQUENCY & PROGNOSIS

MLL2 alterations are observed in a number of

solid tumor contexts (COSMIC, 2021)¹²¹, and are especially prevalent in lung squamous cell carcinoma (SCC)¹²² and small cell lung carcinoma (SCLC)¹²³. MLL2 mutation was found to be an independent prognostic factor of poor PFS and OS in non-small cell lung cancer, but not in SCLC¹²⁴. One study reported that MLL2 truncating mutations were more common in recurrent ovary granulosa cell tumors (GCT) compared with primary GCTs (24% [10/42] vs. 3.0% [1/32])¹²⁵. In a study of esophageal SCC, high MLL2 expression positively correlated with tumor stage, differentiation, and size, and negatively correlated with OS¹²⁶.

FINDING SUMMARY

MLL2 encodes an H3K4-specific histone methyltransferase that is involved in the transcriptional response to progesterone signaling¹²⁷. Germline de novo mutations of MLL2

are responsible for the majority of cases of Kabuki syndrome, a complex and phenotypically distinctive developmental disorder¹²⁸. A significant number of inactivating MLL2 alterations have been observed in multiple tumor types, suggesting a tumor suppressor role¹²⁹.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion¹³⁰⁻¹³⁵. Comprehensive genomic profiling of solid tumors may detect nontumor alterations that are due to CH^{134,136-137}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

ORDERED TEST # ORD-1098581-01

GENOMIC FINDINGS

GENE

SETD2

ALTERATION

T305fs*4

TRANSCRIPT ID

NM_014159

CODING SEQUENCE EFFECT

913_914insA

VARIANT ALLELE FREQUENCY (% VAF)

7.3%

POTENTIAL TREATMENT STRATEGIES

There are no targeted therapies available to address genomic alterations in SETD2.

FREQUENCY & PROGNOSIS

Somatic inactivating alterations of SETD2 are documented to occur at low frequency in a number of solid tumors, most commonly in renal carcinoma¹³⁸. SETD2 mutations have been detected in 6-12% of acute lymphoblastic leukemias (ALL) and reportedly increase chromosomal abnormalities and contribute to

leukemia development¹³⁹⁻¹⁴¹.

FINDING SUMMARY

SETD2 encodes a histone lysine-36 methyltransferase¹⁴² that preferentially interacts with the expanded N-terminal polyglutamine tracts present in mutant huntingtin, implicating it in the pathogenesis of Huntington disease¹⁴³. SETD2 mRNA expression has been observed to be consistently reduced in breast tumors relative to adjacent non-tumor tissue, suggesting a potential tumor suppressor role¹⁴⁴.

GENE

SMAD4

ALTERATION

R361H

TRANSCRIPT ID

NM_005359

CODING SEQUENCE EFFECT

1082G>A

VARIANT ALLELE FREQUENCY (% VAF)

5.6%

cholangiocarcinoma (25%)¹⁵⁰, appendiceal adenocarcinoma (14-20% mutation; 57% deletion)¹⁵¹⁻¹⁵², colorectal adenocarcinoma (CRC; 14%)⁶⁹, esophageal adenocarcinoma (14%)¹⁵³, and stomach adenocarcinoma (13%)¹⁵⁴. In preclinical studies, SMAD4 loss of function has been implicated in the development of mucinous neoplasms of the pancreas, including mucinous cystic neoplasms (MCN)¹⁵⁵ and intraductal papillary mucinous neoplasms (IPMN)¹⁵⁶; in clinical samples, SMAD4 homozygous deletion has been observed in 10% of IPMNs and 8% of MCNs, and mutation was also observed in 5% of IPMNs¹⁵⁷. SMAD4 gene alterations have been associated with reduced overall survival for patients with pancreatic adenocarcinoma¹⁵⁸. Reduced SMAD4 expression has been associated with worse prognosis in various cancer types, including CRC¹⁵⁹⁻¹⁶¹, appendiceal mucinous neoplasm¹⁶², gastric adenocarcinoma¹⁶³⁻¹⁶⁴, esophageal adenocarcinoma¹⁶⁵, esophageal squamous cell carcinoma¹⁶⁶, breast cancer¹⁶⁷, and prostate cancer¹⁶⁸.

FINDING SUMMARY

SMAD4, also known as DPC4, encodes a tumor

suppressor that regulates transcriptional activity downstream of TGF-beta receptor signaling¹⁶⁹⁻¹⁷⁰. SMAD4 alterations that result in loss or disruption of the MH1 domain (aa 18-142), MH2 domain (aa 323-552), or SAD domain (aa 275-320) are predicted to be inactivating¹⁷¹⁻¹⁸⁴.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the SMAD4 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with juvenile polyposis syndrome (ClinVar, Mar 2021)¹⁸⁵. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline SMAD4 mutations, including those at the R361 hotspot, have been observed in patients with juvenile polyposis syndrome¹⁸⁶⁻¹⁸⁸, which is associated with an increased risk of gastrointestinal cancers¹⁸⁹. The penetrance of deleterious SMAD4 mutations in patients with colon cancer is estimated at 20% by age 35 and 70% by age 65¹⁹⁰. In the appropriate clinical context, germline testing of SMAD4 is recommended.

POTENTIAL TREATMENT STRATEGIES

There are no therapies to address SMAD4 alterations in cancer. Preclinical studies¹⁴⁵⁻¹⁴⁶ and a clinical study of pancreatic cancer suggest that low SMAD4 expression exhibit increased responsiveness to chemotherapeutic agents such as cisplatin and irinotecan¹⁴⁷.

FREQUENCY & PROGNOSIS

SMAD4 mutation or homozygous deletion is most frequently observed in pancreatic adenocarcinoma (43%)¹⁴⁸, pancreatic acinar cell carcinoma¹⁴⁹,

ORDERED TEST # ORD-1098581-01

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Dabrafenib + Trametinib

Assay findings association
BRAF
V600E

AREAS OF THERAPEUTIC USE

Dabrafenib is a BRAF V600-selective inhibitor and trametinib is a MEK inhibitor. These two therapies are FDA approved in combination to treat patients with melanoma with BRAF V600E or BRAF V600K mutations. This combination is also approved to treat patients with non-small cell lung cancer (NSCLC) with a BRAF V600E mutation, and to treat patients with BRAF V600E-positive anaplastic thyroid cancer (ATC). Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of clinical evidence in various solid tumors and hematologic malignancies, BRAF activating alterations may confer sensitivity to the combination of BRAF V600-targeted therapies and MEK inhibitors such as dabrafenib and trametinib^{78-80,191-197}.

SUPPORTING DATA

In a Phase 2 trial for patients with previously treated BRAF V600E-mutated metastatic non-small cell lung cancer (mNSCLC), dabrafenib in combination with trametinib achieved an ORR of 63.2% (36/57, 2 CRs), a DCR (CRs, PRs, and SD) of 78.9% (45/57), and median PFS (mPFS) of 9.7 months¹⁹². Dabrafenib plus trametinib

demonstrated similar activity as first-line therapy in this trial for BRAF V600E-mutated mNSCLC, with an ORR of 63.9% (23/36) and mPFS of 10.9 months¹⁹¹. A retrospective analysis of patients with BRAF-mutated NSCLC reported that 100% (9/9, including 5 newly diagnosed) achieved disease control and 6-month mPFS on dabrafenib plus trametinib¹⁹⁸. In case studies of trametinib plus dabrafenib for BRAF V600E-mutated mNSCLC, 2 patients experienced a CR and PR by RECIST¹⁹⁹, and another patient achieved a dramatic response including significant symptomatic improvement and rapid tumor shrinkage²⁰⁰. Other case studies reported on a heavily pre-treated patient with BRAF V600E-positive lung adenocarcinoma who experienced tumor regression ongoing for 8 months on the combination of dabrafenib and trametinib²⁰¹, and another patient who experienced tumor shrinkage in both primary and metastatic lesions from the combination treatment²⁰². Dabrafenib plus trametinib enabled a complete intracranial response, ongoing for 14 months, for a patient with newly diagnosed BRAF V600E-mutated NSCLC and multiple brain metastases²⁰³; another patient also experienced complete resolution of brain metastases from the therapy combination, but this patient's concurrent leptomeningeal disease was unresponsive²⁰⁴.

ORDERED TEST # ORD-1098581-01

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Dabrafenib

Assay findings association
BRAF
V600E

AREAS OF THERAPEUTIC USE

Dabrafenib is a BRAF V600-selective inhibitor that is FDA approved as a monotherapy to treat melanoma with BRAF V600E or V600K mutations. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Mutations at BRAF V600, including V600E, V600K, V600R, V600D, and V600M, have been reported to exhibit clinical sensitivity to V600-targeted therapies^{72-73,110,112-119,205}; therefore, this tumor may be sensitive to V600-targeted therapy such as dabrafenib.

SUPPORTING DATA

For patients with previously treated BRAF V600E-mutated metastatic NSCLC, dabrafenib in combination with trametinib achieved an objective response rate (ORR) of 63% (36/57), including 2 complete responses (CRs) and 34 partial responses (PRs), a disease control rate (CRs, PRs, and stable disease) of 79% (45/57), and a median progression-free survival (PFS) of 9.7 months¹⁹². Dabrafenib plus trametinib demonstrated similar activity as first-line therapy for BRAF V600E-mutated metastatic NSCLC, with an ORR of 64% (23/36) and a median PFS of 10.9 months¹⁹¹. In a Phase 2 trial for BRAF V600E-mutated metastatic NSCLC, dabrafenib monotherapy resulted in PRs for 33% (26/78) and disease control for

58% (45/78) of previously treated patients; 4/6 treatment-naïve patients achieved PRs²⁰⁶. The median PFS and overall survival (OS) were 5.5 months and 12.7 months, respectively²⁰⁶. Similar median PFS (5.0 months) and OS (10.8 months) were reported in a retrospective study of BRAF-targeted therapy outcomes for BRAF-mutated metastatic NSCLC; 44% (4/9) of patients responded to dabrafenib in this study⁹¹. A patient with BRAF V600E-mutated lung adenocarcinoma experienced a PR to dabrafenib of 8 months and subsequently had progressive disease that coincided with the acquisition of a secondary KRAS mutation²⁰⁷. Dabrafenib and vemurafenib have primarily been studied in the context of BRAF V600E-positive melanoma and NSCLC^{72-73,110,112-119,205}. Dabrafenib can induce adverse effects such as the development of cutaneous squamous cell carcinomas and keratoacanthomas caused by inactivation of wild-type BRAF that leads to paradoxical activation of the MAPK pathway, but it has been reported to be well tolerated in patients with BRAF V600E-mutant thyroid cancer^{73,94,208}. Patients with melanoma harboring BRAF V600E or V600K mutation treated with a combination of dabrafenib and trametinib experienced significantly lower rates of cutaneous squamous cell carcinoma and regression of established BRAF inhibitor-induced skin lesions^{78,80,209-211}.

Encorafenib + Binimetinib

Assay findings association
BRAF
V600E

AREAS OF THERAPEUTIC USE

The combination of the BRAF inhibitor encorafenib and MEK inhibitor binimetinib is FDA approved to treat patients with melanoma with BRAF V600E or BRAF V600K mutations. Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of clinical efficacy in the treatment of patients with BRAF V600-mutated melanoma^{75,212-214}, and activity in colorectal, thyroid, and lung cancer²¹⁴⁻²¹⁶, activating alterations affecting BRAF predict sensitivity to the combination of encorafenib and binimetinib.

SUPPORTING DATA

A case study observed improved responses in leptomeningeal and brain metastases for a patient with BRAF V600E-mutated lung adenocarcinoma following

combination treatment with encorafenib and binimetinib²¹⁶. The combination of encorafenib and binimetinib has been reported to provide clinical benefit for patients with various solid tumors harboring BRAF V600 activating alterations^{75,214-216}, and has been studied primarily in the context of BRAF V600-mutated melanoma where patients treated with this combination achieved greater PFS and OS compared with encorafenib or vemurafenib monotherapy^{75,212,217}. A combination of encorafenib, binimetinib, and the CDK4/6 inhibitor ribociclib in a Phase 1b trial for patients with BRAF V600-mutant cancers elicited responses in melanoma, astrocytoma, unknown carcinoma, and in 1 of 3 patients with colorectal cancer; a Phase 2 study of this combination in V600-mutant melanoma reported an ORR of 52.4% (22/42), including 5 CRs, median PFS of 9.2 months, and median OS of 19.4 months²¹⁸.

ORDERED TEST # ORD-1098581-01

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Selumetinib

Assay findings association
BRAF
V600E

AREAS OF THERAPEUTIC USE

Selumetinib is a MEK inhibitor that is FDA approved to treat pediatric patients 2 years of age and older with neurofibromatosis type 1 (NF1) who have symptomatic, inoperable plexiform neurofibromas (PNs). Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of clinical evidence demonstrating the efficacy of selumetinib in patients with BRAF V600-mutated papillary thyroid cancer²¹⁹, melanoma,^{88,220-223} and low grade glioma⁸⁷, as well as in patients with BRAF fusion-positive glioma⁸⁶⁻⁸⁷, BRAF activating alterations may predict sensitivity to selumetinib.

SUPPORTING DATA

In a Phase 2 study of selumetinib monotherapy to treat patients with lung cancer who were selected for mutation in KRAS, HRAS, NRAS, or BRAF, a mPFS of 2.3 months and mOS of 6.5 months was observed²²⁴. In a Phase 2 study of patients with NSCLC who had failed on at least 2 prior chemotherapeutic regimens, selumetinib as a monotherapy did not improve survival as compared to

pemetrexed (67 vs 90 days, HR= 1.08); however, 2 PRs were reported²²⁵. A Phase 2 study of selumetinib combined with docetaxel in patients with advanced or metastatic KRAS wild-type NSCLC who were previously treated did not report improved survival benefit compared to docetaxel alone²²⁶. A Phase 2 study of selumetinib combined with pemetrexed and platinum based chemotherapy for treatment of patients with advanced non-squamous NSCLC showed improved ORR (35% with intermittent dosing and 62% for continuous dosing) compared to chemotherapy alone (24%) but did not report a statistically significant improvement in mPFS²²⁷. The combination of selumetinib with platinum doublet chemotherapy has been studied in a Phase 1 trial for patients with advanced NSCLC in the first line setting and has reported 4/21 PRs in the selumetinib + pemetrexed/carboplatin cohort and 2/15 PRs in the pemetrexed/cisplatin cohort; selumetinib in combination with gemcitabine regimens was not tolerated²²⁸. A Phase 1b study of selumetinib in combination with osimertinib for patients with EGFR-mutated lung cancer who had progressed on previous TKI treatment reported an ORR of 41.7% (15/36)²²⁹.

Trametinib

Assay findings association
BRAF
V600E

AREAS OF THERAPEUTIC USE

Trametinib is a MEK inhibitor that is FDA approved as a monotherapy to treat patients with melanoma with BRAF V600E or V600K mutations. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Activating BRAF alterations may predict sensitivity to MEK inhibitors such as trametinib. Significant clinical responses to trametinib have been achieved by patients with melanoma harboring BRAF V600E⁸²⁻⁸³, V600K⁸², V600R⁸³, K601E^{83,230}, L597V⁸², L597Q²³⁰⁻²³¹, or L597S⁸² mutations; by a patient with histiocytosis harboring an activating N486_P490del alteration¹²⁰; as well as by patients with tumors harboring BRAF fusions²³³⁻²³⁸.

SUPPORTING DATA

For patients with previously treated BRAF V600E-mutated metastatic NSCLC, trametinib in combination with the BRAF inhibitor dabrafenib achieved an ORR of 63% (36/57), including 2 CRs and 34 PRs, a DCR (CRs, PRs, and SD) of 79% (45/57), and a median PFS of 9.7 months¹⁹². Dabrafenib plus trametinib demonstrated similar activity as first-line therapy for BRAF V600E-mutated metastatic NSCLC, with an ORR of 64% (23/36) and a median PFS of 10.9 months¹⁹¹. Phase 1 and 2 monotherapy trials of MEK inhibitors such as trametinib and RO4987655 have shown low response rates in patients with NSCLC, irrespective of KRAS mutation status, and no improvement in PFS compared to

docetaxel²³⁹⁻²⁴¹. However, Phase 1 and 2 trials of MEK inhibitors in combination with docetaxel or pemetrexed in NSCLC have shown improved clinical activity and patient survival compared to chemotherapeutics alone, although no association was observed between response and KRAS mutation status²⁴²⁻²⁴⁴. In contrast, although 3 objective responses were observed in patients with NSCLC treated with the MEK inhibitor selumetinib in combination with erlotinib in a Phase 2 trial, there was no significant increase in either PFS or OS relative to patients treated with selumetinib alone; further, the combination increased toxicity relative to monotherapy²⁴⁵. Preclinical and early clinical studies have shown synergistic antitumorigenic effects when the combination of MEK and PI3K inhibitors was used to treat KRAS-driven NSCLC²⁴⁶⁻²⁴⁸. A Phase 1b combination trial of trametinib and the pan-PI3K inhibitor BKM120 reported a DCR of 59% in patients with NSCLC, including 1 confirmed PR in 17 patients; although the reported adverse effects were prevalent and often severe, the study recommended a Phase 2 dose²⁴⁹. Whereas frequent adverse events precluded a recommended Phase 2 dose and schedule for the combination of trametinib and everolimus in a Phase 1b trial for solid tumors²⁵⁰, a retrospective study for heavily pretreated patients with solid tumors reported tolerable regimens of the combination for 23/31 patients, with 16 patients treated >3 months and evaluable patients achieving a median PFS of 6.5 months²⁵¹.

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Electronically signed by Julie Tse, M.D. | 28 May 2021

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Sample Preparation: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

ORDERED TEST # ORD-1098581-01

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Vemurafenib

Assay findings association
BRAF
V600E

AREAS OF THERAPEUTIC USE

Vemurafenib is a selective inhibitor of BRAF with V600 mutations and is FDA approved to treat melanoma as monotherapy for patients with the BRAF V600E mutation. It is also approved to treat patients with Erdheim-Chester Disease (ECD) with BRAF V600 mutation. Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of strong clinical data, BRAF V600E mutations may confer sensitivity to V600-targeted therapies such as vemurafenib^{72,112,117,252-258}.

SUPPORTING DATA

Dabrafenib and vemurafenib have primarily been studied in the context of BRAF V600E-positive melanoma and NSCLC^{72-73,110,112-119,205}. Single-agent vemurafenib has been examined in Phase 2 basket trials including cohorts with BRAF-mutated NSCLC. In the VE-BASKET study for patients with BRAF V600 mutation, vemurafenib elicited an ORR of 37% (23/62 PRs), a median PFS of 6.5 months, a median OS of 15.4 months, and a median response duration of 7.2 months in the overall NSCLC cohort; similar ORRs were achieved by treatment-naïve and previously-treated patients^{255,259}. In the AcSé study, patients with BRAF V600-mutated NSCLC and progression on 1 or more standard treatments achieved an ORR of 44.8% (43/96), with a median PFS, OS, and duration of response of 5.2, 10, and 6.4 months,

respectively; patients with BRAF non-V600 mutations did not experience a response (0/15) and achieved a median PFS of 1.8 months and a median OS of 5.2 months²⁵⁶. Similarly, in the MyPathway study, patients with advanced BRAF V600E-mutated NSCLC experienced an ORR of 43% (6/14, 1 CR), while the response rate was low for diverse tumor types with BRAF non-V600 mutation (4%, 1/23)²⁶⁰. A retrospective study reported an ORR of 54% (13/24, 2 CR) and a DCR of 96% (23/24) for patients with V600E-mutated advanced NSCLC treated with vemurafenib following prior BRAF inhibitor therapy⁹¹. Case reports support the activity of vemurafenib against BRAF V600E-mutated metastatic NSCLC²⁶¹⁻²⁶², including patients with intracranial disease²⁶³ or pulmonary sarcomatoid carcinoma²⁶⁴. One patient with NSCLC, low TMB, and TRIM24-BRAF fusion experienced a PR with vemurafenib treatment²⁶⁵. Vemurafenib can induce adverse effects, such as the development of cutaneous squamous cell carcinomas, keratoacanthomas, and new primary melanomas caused by inactivation of wild-type BRAF and leading to paradoxical activation of the MAPK pathway^{72,94}. In a Phase 1b trial, patients with BRAF V600E-mutant melanoma treated with a combination of vemurafenib and cobimetinib had increased RR (87%) and PFS (13.7 months) compared to the RR and PFS values previously reported for vemurafenib or MEK inhibitor monotherapy; this combination also resulted in lower rates of cutaneous SCC²⁶⁶.

Vemurafenib + Cobimetinib

Assay findings association
BRAF
V600E

AREAS OF THERAPEUTIC USE

Vemurafenib is a selective inhibitor of BRAF with V600 mutations and cobimetinib is a MEK inhibitor. The combination is FDA approved to treat patients with melanoma with BRAF V600E or V600K mutations. Please see the drug label for full prescribing information.

GENE ASSOCIATION

On the basis of clinical evidence in melanoma and colorectal carcinoma, BRAF activating alterations may confer sensitivity to the combination of BRAF V600-targeted therapies and MEK inhibitors such as vemurafenib and cobimetinib^{76-77,267}.

SUPPORTING DATA

One patient with BRAF V600E-positive NSCLC experienced a 15-month SD on vemurafenib plus cobimetinib after switching from dabrafenib plus trametinib due to toxicity²⁶⁸. The combination of vemurafenib and cobimetinib has been reported to provide clinical benefit for patients with various solid tumors harboring BRAF V600 activating alterations²⁶⁷⁻²⁶⁹ and has been studied primarily in the context of BRAF V600-mutated melanoma, where patients treated with this combination achieved greater PFS and OS compared with vemurafenib alone^{76-77,270}.

NOTE Genomic alterations detected may be associated with activity of certain FDA approved drugs, however, the agents listed in this report may have varied evidence in the patient's tumor type.

ORDERED TEST # ORD-1098581-01

CLINICAL TRIALS

NOTE Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and

should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that may require

medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://www.foundationmedicine.com/genomic-testing#support-services). Or visit <https://www.foundationmedicine.com/genomic-testing#support-services>.

GENE **BRAF**

RATIONALE
BRAF activating alterations may predict

sensitivity to inhibitors of BRAF, MEK, or ERK.

ALTERATION **V600E**

NCT02974725

PHASE 1

Study of LXH254 and LTT462 in NSCLC

TARGETS
CDK6, CDK4, ERK1, ERK2, ARAF, BRAF, MEK

LOCATIONS: Verona (Italy), Milano (Italy), Aviano (Italy), Rozzano (Italy), Paris Cedex 10 (France), Heidelberg (Germany), Lyon Cedex (France), Frankfurt (Germany), Napoli (Italy), Dresden (Germany)

NCT03178552

PHASE 2/3

A Study to Evaluate Efficacy and Safety of Multiple Targeted Therapies as Treatments for Participants With Non-Small Cell Lung Cancer (NSCLC)

TARGETS
ALK, RET, BRAF, MEK, PD-L1, ROS1, TRKA, TRKB, TRKC

LOCATIONS: Cremona (Italy), Bergamo (Italy), Monza (Italy), Milano (Italy), Aviano (Italy), Meldola (Italy), Orbassano (TO) (Italy), Gauting (Germany), Esslingen (Germany), Gerlingen (Germany)

NCT03915951

PHASE 2

An Open-label Study of Encorafenib + Binimetinib in Patients With BRAFV600E-mutant Non-small Cell Lung Cancer

TARGETS
BRAF, MEK

LOCATIONS: Bologna (Italy), Milan (Italy), Torino (Italy), Orbassano (Italy), Napoli (Italy), Napli (Italy), Barcelona (Spain), Esplugues de Llobregat (Spain), L'Hospitalet (Spain), Amsterdam (Netherlands)

NCT02664935

PHASE 2

National Lung Matrix Trial: Multi-drug Phase II Trial in Non-Small Cell Lung Cancer

TARGETS
FGFRs, mTORC1, mTORC2, CDK4, CDK6, ALK, AXL, MET, ROS1, TRKA, TRKC, MEK, AKTs, EGFR, PD-L1, DDR2, FLT3, KIT, PDGFRA, RET, TRKB, VEGFRs

LOCATIONS: Maidstone (United Kingdom), Colchester (United Kingdom), London (United Kingdom), Cambridge (United Kingdom), Southampton (United Kingdom), Oxford (United Kingdom), Leicester (United Kingdom), Bristol (United Kingdom), Birmingham (United Kingdom), Exeter (United Kingdom)

ORDERED TEST # ORD-1098581-01

CLINICAL TRIALS
NCT02314481
PHASE 2

Deciphering Antitumour Response and Resistance With Intratumour Heterogeneity - DARWIN II

TARGETS
PD-L1, BRAF, ALK, RET, ERBB2

LOCATIONS: London (United Kingdom)

NCT02407509
PHASE 1

Phase I Trial of RO5126766

TARGETS
RAFTs, MEK, mTOR

LOCATIONS: Sutton (United Kingdom), London (United Kingdom)

NCT02693535
PHASE 2

TAPUR: Testing the Use of Food and Drug Administration (FDA) Approved Drugs That Target a Specific Abnormality in a Tumor Gene in People With Advanced Stage Cancer

TARGETS
VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, CDK4, CDK6, CSF1R, FLT3, KIT, RET, mTOR, EGFR, ERBB2, ERBB3, MEK, BRAF, SMO, DDR2, PARP, PD-1, CTLA-4, ERBB4

LOCATIONS: Maine, New Hampshire, Pennsylvania, Virginia, Michigan

NCT01989585
PHASE 1/2

Dabrafenib, Trametinib, and Navitoclax in Treating Patients With BRAF Mutant Melanoma or Solid Tumors That Are Metastatic or Cannot Be Removed by Surgery

TARGETS
BCL-W, BCL-XL, BCL2, BRAF, MEK

LOCATIONS: Massachusetts, North Carolina, Illinois, Missouri, Florida, Kansas

NCT03600701
PHASE 2

Atezolizumab and Cobimetinib in Treating Patients With Metastatic, Recurrent, or Refractory Non-small Cell Lung Cancer

TARGETS
PD-L1, MEK

LOCATIONS: New Hampshire, District of Columbia, Virginia, Michigan, Ohio, North Carolina, Alabama, Florida, Oklahoma

NCT03297606
PHASE 2

Canadian Profiling and Targeted Agent Utilization Trial (CAPTUR)

TARGETS
VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, DDR2, KIT, EGFR, PD-1, CTLA-4, PARP, CDK4, CDK6, CSF1R, FLT3, RET, mTOR, ERBB2, ERBB3, MEK, BRAF, SMO

LOCATIONS: Montreal (Canada), Ottawa (Canada), Kingston (Canada), Toronto (Canada), London (Canada), Saskatoon (Canada), Regina (Canada), Edmonton (Canada), Vancouver (Canada)

ORDERED TEST # ORD-1098581-01

APPENDIX
Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

HNF1A
G292fs*25

IKZF1
D447E

KMT2A (MLL)
A53V

MLL2
A200V

ZNF217
L864F

ORDERED TEST # ORD-1098581-01

APPENDIX
Genes Assayed in FoundationOne®CDx

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1	BTG2
BTB	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL	CCND1
CCND2	CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2	DIS3	DNMT3A	DOT1L	EED	EGFR	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRF1	ESR1	EZH2
FAM46C	FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3
FGFR4	FH	FLCN	FLT1	FLT3	FOXO2	FUBP1	GABRA6	GATA3
GATA4	GATA6	GNA11	GNA13	GNAQ	GNAS	GRM3	GSK3B	H3F3A
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDMSA	KDMS5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11A	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKB1A	NKX2-1	NOTCH1	NOTCH2	NOTCH3
NPM1	NRAS	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3	P2RY8	PALB2
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA
PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10	REL	RET
RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB	SDHC	SDHD	SETD2
SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO	SNCAIP	SOC3
SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3	STK11	SUFU
SYK	TBX3	TEK	TET2	TGFBR2	TIPARP	TNFAIP3	TNFRSF14	TP53
TSC1	TSC2	TYRO3	U2AF1	VEGFA	VHL	WHSC1	WT1	XPO1
XRCC2	ZNF217	ZNF703						

DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSP02	SDC4	SLC34A2	TERC*	TERT**	TMPSR2

*TERC is an NCRNA

**Promoter region of TERT is interrogated

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Loss of Heterozygosity (LOH) score
Microsatellite (MS) status
Tumor Mutational Burden (TMB)

ORDERED TEST # ORD-1098581-01

APPENDIX

About FoundationOne®CDx

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium.


ABOUT FOUNDATIONONE CDx

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details:
www.rochefoundationmedicine.com/f1cdxtech.

INTENDED USE

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffin-embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

TEST PRINCIPLES

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes detection of alterations in a total of 324 genes.

Using an Illumina® HiSeq platform, hybrid capture-selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g., gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

Diagnostic Significance

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

Qualified Alteration Calls (Equivocal and Subclonal)

An alteration denoted as "amplification – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for ERBB2 and six (6) for all other genes. Conversely, an alteration denoted as "loss – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical methodology has identified as being present in <10% of the assayed tumor DNA.

Ranking of Alterations and Therapies
Biomarker and Genomic Findings

Therapies are ranked based on the following criteria: Therapies with clinical benefit in patient's tumor type (ranked alphabetically within each NCCN category) followed by therapies with clinical benefit in other tumor type (ranked alphabetically within each NCCN category).

Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

Limitations

1. The MSI-H/MSS designation by FMI F1CDx test is based on genome wide analysis of 95 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines. The threshold for MSI-H/MSS was determined by analytical concordance to comparator assays (IHC and PCR) using uterine, cecum and colorectal cancer FFPE tissue. The clinical validity of the qualitative MSI designation has not been established. For Microsatellite Instability (MSI) results, confirmatory testing using a validated orthogonal method should be considered.
2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit.

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ORDERED TEST # ORD-1098581-01

APPENDIX

About FoundationOne®CDx

Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf. The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.

3. The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and extrapolating an LOH profile, excluding arm- and chromosome-wide LOH segments. Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH. Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.
4. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
5. Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient's physician should determine whether the patient is a candidate for biopsy.
6. Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an *ERBB2* amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH

test, 70% (7 out of 10 samples) were positive, and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The frequency of *ERBB2* copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in <https://www.mycancergenome.org/content/disease/breast-cancer/ERBB2/238/> report the frequency of HER2 overexpression as 20% in breast cancer. Based on the F1CDx HER2 CDx concordance study, approximately 10% of HER2 amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

VARIANT ALLELE FREQUENCY

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

Precision of VAF for base substitutions and indels

BASE SUBSTITUTIONS	
Repeatability	5.11 - 10.40
Reproducibility	5.95 - 12.31
INDELS	
Repeatability	6.29 - 10.00
Reproducibility	7.33 - 11.71

*Interquartile Range = 1st Quartile to 3rd Quartile

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >10%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*,

MSH2, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1*, *CBL*, *DNMT3A*, *IDH2*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, and *U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides

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entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
mut/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

MR Suite Version 4.1.0

The median exon coverage for this sample is 991x

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