

Dirac Fermions

01

Probability of occupied/unoccupied states:-

For a gas of non interacting fermions, we derived in class the partition function:

$$Z_{FD} = \prod_k \left(1 + e^{\beta(\mu - \epsilon_k)} \right) \quad \text{where } k \text{ are the single particle states of energy } \epsilon_k.$$

The probability of any state ν is:

$$P_\nu = \frac{1}{Z} e^{-\beta E_\nu + \beta \mu N_\nu} \quad \text{with: } \begin{cases} E_\nu = \sum_k n_k \epsilon_k \\ N_\nu = \sum_k n_k \end{cases}$$

Hence:
$$P_\nu = \frac{1}{Z} \prod_k e^{n_k(-\beta \epsilon_k + \beta \mu)}$$

and therefore the probability of a state k (they are to be treated as independent in the grand canonical setting)

$$P_k = \frac{e^{n_k \beta(\mu - \epsilon_k)}}{\sum_{n_k} e^{n_k \beta(\mu - \epsilon_k)}} \quad \text{the same factorization done in class to compute the partition function.}$$

Since $n_k = 0, 1$ for a gas of Fermions, we have:

$$P_k(n_k = 0) = \frac{1}{1 + e^{\beta(\mu - \epsilon_k)}} \quad (1)$$

$$P_k(n_k = 1) = \frac{e^{\beta(\mu - \epsilon_k)}}{1 + e^{\beta(\mu - \epsilon_k)}} \quad (2)$$

$$\left[\text{recall } \langle n_k \rangle_{FD} = \frac{1}{1 + e^{\beta(\epsilon_k - \mu)}} \right]$$

DIRAC FERMIONS

02

Probability of occupied/unoccupied states:-

For a gas of fermions

We now consider the probability of occupation of $\epsilon_k = \mu + \delta$ and $\epsilon_k = \mu - \delta$

$\langle n_k^+ \rangle$

$$p(\epsilon_k = \mu + \delta, n_k = 1) = \frac{e^{\beta(\mu - \mu - \delta)}}{1 + e^{\beta(\mu - \mu - \delta)}} = \frac{e^{-\beta\delta}}{1 + e^{-\beta\delta}} = \frac{1}{1 + e^{\beta\delta}} = \langle n_k^+(\mu + \delta) \rangle$$

$$p(\epsilon_k = \mu - \delta, n_k = 0) = \frac{1}{1 + e^{\beta(\mu - \mu + \delta)}} = \frac{1}{1 + e^{\beta\delta}}$$

$\langle n_k^- \rangle = \frac{1}{e^{-\beta\delta} + 1} = \frac{e^{\beta\delta}}{1 + e^{\beta\delta}}$

$\Rightarrow p(\epsilon_k = \mu + \delta, n_k = 1) = p(\epsilon_k = \mu - \delta, n_k = 0)$

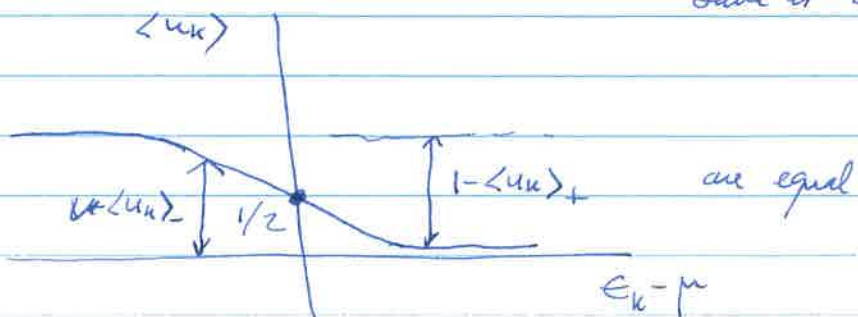
occupied state at $\epsilon_k = \mu + \delta$ unoccupied state at $\epsilon_k = \mu - \delta$

Same conclusion follows directly from occupation numbers:

$\langle n_k \rangle = \frac{1}{e^{\beta(\epsilon_k - \mu)} + 1} = \frac{e^{\beta(\mu - \epsilon_k)}}{1 + e^{\beta(\mu - \epsilon_k)}}$ Same as Eq. (2)

$1 - \langle n_k \rangle = 1 - \frac{1}{e^{\beta(\epsilon_k - \mu)} + 1} = \frac{e^{\beta(\epsilon_k - \mu)}}{1 + e^{\beta(\epsilon_k - \mu)}} = \frac{1}{1 + e^{\beta(\mu - \epsilon_k)}}$ Same as Eq. (1)

Graphically:



The function $\langle u_k \rangle$ is symmetric around $\epsilon = \mu$:

$$\langle u_k \rangle = \frac{1}{1 + e^{\beta(\epsilon_k - \mu)}}$$

Take $\epsilon_k = \mu + \delta$

$$\langle u_k \rangle = \frac{1}{1 + e^{\beta\delta}}$$

$$\langle u_k \rangle = \frac{1}{2} \text{ at } \delta = 0$$

and there is symmetry of $(+\epsilon)$ and $(-\epsilon)$ states:

(See graph on page 2)

$$\langle n_k \rangle_- = 1 - \langle u_k \rangle_+$$

$$\frac{1}{1 + e^{\beta(-\epsilon - \mu)}} = 1 - \frac{1}{1 + e^{\beta(\epsilon - \mu)}} = \frac{1 + e^{\beta(\epsilon - \mu)} - 1}{1 + e^{\beta(\epsilon - \mu)}}$$

$$\Rightarrow 1 + e^{\beta(-\epsilon - \mu)} = e^{\beta(\epsilon - \mu)} (1 + e^{-\beta(\epsilon + \mu)})$$

$$= e^{\beta(-\epsilon - \mu)} + e^{-2\mu\beta} \Rightarrow 1 = e^{-2\mu\beta} \text{ or } \boxed{\mu = 0}$$

(c) Energy of an excitation at finite T

for any temperature.

An excitation means a $(-)$ particle leaves the state and occupies a $(+)$ state. Hence:

$$\begin{aligned} \langle E \rangle(T) &= \cancel{\langle E \rangle(T=0)} = 2 \sum_k \left[\langle n(k) \rangle_+ \epsilon_+(k) + \langle u(k) \rangle_- \epsilon_-(k) \right] \\ &= 2 \sum_k \left[\langle n_k^+ \rangle \epsilon_k^+ - \epsilon_k^+ (1 - \langle u_k^+ \rangle) \right] \end{aligned}$$

Spin

prob. of occupied $+$ state

prob. of unoccupied $(-)$ state.

Given that $1 - \langle u_k \rangle_- = \langle u_k \rangle_+$

$$= 2 \sum_k \left[2 \langle u_k^+ \rangle \epsilon_k^+ - \epsilon_k^+ \right]$$

$$\Rightarrow \langle E \rangle(T) - \langle E \rangle(T=0) = 2 \sum_k \left[2 \langle u(k) \rangle_+ \epsilon_+(k) \right] + 2 \sum_k \epsilon_k^-$$

$$= 2V \int \frac{d^3k}{(2\pi)^3} \epsilon_-(k)$$

$$= 4V \int \frac{d^3k}{(2\pi)^3} \frac{\epsilon_+(k)}{1 + e^{\beta\epsilon_+(k)}}$$

with the usual transformation of sums into integrals.

$$\langle E \rangle = 2 \sum_k \left[\langle u_k^+ \rangle \epsilon_k^+ + \langle u_k^- \rangle \epsilon_k^- \right]$$

$$= 2 \sum_k \left[\langle u_k^+ \rangle \epsilon_k^+ + (1 - \langle u_k^+ \rangle) \epsilon_k^- \right]$$

$$= 2 \sum_k \left[\langle u_k^+ \rangle \epsilon_k^+ - (1 - \langle u_k^+ \rangle) \epsilon_k^- \right] =$$

$$= 2 \sum_k \left(2 \langle u_k^+ \rangle \epsilon_k^+ - \epsilon_k^- \right) = 2 \sum_k 2 \langle u_k^+ \rangle \epsilon_k^+ + 2 \sum_k \epsilon_k^-$$

$$\left(\langle E \rangle - 2 \sum_k \epsilon_k^- = 4 \sum_k \langle u_k^+ \rangle \epsilon_k^+ \right) \quad E_0 = 2 \sum_k \epsilon_k^-$$

Backwards:

$$\langle E \rangle - E(0)$$

$$\langle E \rangle - E(0) = 2 \sum_k \left[\langle u_k^+ \rangle \epsilon_k^+ + \langle u_k^- \rangle \epsilon_k^- \right] - 2 \sum_k \epsilon_k^-$$

$$= 2 \sum_k \left[\langle u_k^+ \rangle \epsilon_k^+ + \langle u_k^- \rangle \epsilon_k^- - \epsilon_k^- \right]$$

$$= 2 \sum_k \left[\langle u_k^+ \rangle \epsilon_k^+ - (1 - \langle u_k^- \rangle) \epsilon_k^- \right]$$

$$= 2 \sum_k \left[\cancel{\langle u_k^- \rangle \epsilon_k^-} - \epsilon_k^- + \cancel{\langle u_k^- \rangle \epsilon_k^-} \right]$$

$$= 2 \sum_k \left[\langle u_k^+ \rangle \epsilon_k^+ - \langle u_k^- \rangle \epsilon_k^- \right]$$

$$= 2 \sum_k \left[\langle u_k^+ \rangle \epsilon_k^+ + \langle u_k^+ \rangle \epsilon_k^+ \right]$$

$$\langle E \rangle = 2 \sum_k \left[\langle n_k^+ \rangle \epsilon_k^+ + \langle n_k^- \rangle \epsilon_k^- \right]$$

$$\langle n_k^- \rangle = (1 - \langle n_k^+ \rangle)$$

$$\langle E \rangle = 2 \sum_k \left[\langle n_k^+ \rangle \epsilon_k^+ + (1 - \langle n_k^+ \rangle) \epsilon_k^- \right]$$

$$= 2 \sum_k \left[\langle n_k^+ \rangle \epsilon_k^+ - (1 - \langle n_k^+ \rangle) \epsilon_k^+ \right]$$

~~$$\langle E \rangle = 2 \sum_k \langle n_k^+ \rangle \epsilon_k^+ +$$~~

$$\begin{aligned} \langle E \rangle - E(T_0) &= 2 \sum_k \left[\langle n_k^+ \rangle \epsilon_k^+ + \langle n_k^- \rangle \epsilon_k^- - \epsilon_k^- \right] \\ &= 2 \sum_k \left[\langle n_k^+ \rangle \epsilon_k^+ + (\langle n_k^- \rangle - 1) \epsilon_k^- \right] \end{aligned}$$

$$= 2 \sum_k \left[\langle n_k^+ \rangle \epsilon_k^+ - \underbrace{(1 - \langle n_k^- \rangle)}_{\langle n_k^+ \rangle} \epsilon_k^+ \right]$$

(d) Evaluate the integral for small k :

$$E_+(k) = \sqrt{m^2 c^4 + \hbar^2 c^2 k^2} = \sqrt{m^2 c^4 \left(1 + \frac{\hbar^2 c^2 k^2}{m^2 c^4}\right)} = m c^2 \left(1 + \frac{\hbar^2 c^2 k^2}{2 m^2 c^4}\right)$$

$$\Rightarrow \boxed{E_+(k) = m c^2 + \frac{\hbar^2 k^2}{2m}} \quad \text{Gap at } k=0.$$

$$\langle E \rangle - E_0 > 4V \int \frac{d^3 k}{(2\pi)^3} \frac{m c^2 + \hbar^2 k^2 / 2m}{1 + e^{\beta(m c^2 + \hbar^2 k^2 / 2m)}} =$$

neglect 1 in denominator and return only $m c^2$ in numerator

$$= \frac{4V m c^2}{(2\pi)^3} \int d^3 k e^{-\beta(m c^2 + \hbar^2 k^2 / 2m)} =$$

$$= \frac{4V m c^2 e^{-\beta m c^2}}{(2\pi)^3} \int d^3 k e^{-\beta \frac{\hbar^2 k^2}{2m}} =$$

$$= \frac{4V m c^2 e^{-\beta m c^2}}{(2\pi)^3 (2\pi)^3} 4\pi \int_0^\infty k^2 dk e^{-\beta \frac{\hbar^2 k^2}{2m}}$$

Tables: $\int_0^\infty x^2 e^{-ax^2} dx = \frac{\Gamma(3/2)}{2a^{3/2}} = \frac{\sqrt{\pi}}{4a^{3/2}}$

$$\Gamma\left(\frac{3}{2}\right) = \Gamma\left(\frac{1}{2} + 1\right) = \frac{2\sqrt{\pi}}{4} = \frac{\sqrt{\pi}}{2}$$

Exponent: $\frac{\beta \hbar^2}{2m} = \frac{\hbar^2}{2m 4\pi^2 \cdot h_0 T} = \frac{1}{4\pi} \frac{\lambda^2}{\lambda^3}$

$$\Rightarrow \int_0^\infty k^2 dk e^{-\frac{\lambda^2}{4\pi} k^2} = \frac{\sqrt{\pi}}{4} \frac{1}{\left(\frac{\lambda^2}{4\pi}\right)^{3/2}} = \frac{(4\pi)^{3/2}}{4} \frac{1}{\lambda^{3/2}}$$

$$= \frac{2\pi^2}{\lambda^3}$$

$$\Rightarrow \langle E \rangle - E_0 = \frac{4V m c^2 e^{-\beta m c^2}}{4\pi \lambda^3} = \frac{4V}{\lambda^3} m c^2 e^{-\beta m c^2}$$