

Lecture 2

Introduction to Asymptotic Notations

Recap:

- RAM Model
- Compute the time required by an algorithm

Exercise 1

For each of the following problems, give a metric for input size

1. Computing the sum of n numbers in an array
2. Computing $n!$
3. Merging two arrays.
4. Finding the shortest path between two cities in a state with n cities

For each of the following problems, give a metric for input size

1. Computing the sum of n numbers in an array

The number of elements in the array

2. Computing $n!$

The number of bits required to encode the given number n

3. Merging two arrays.

The total number of elements (from both arrays)

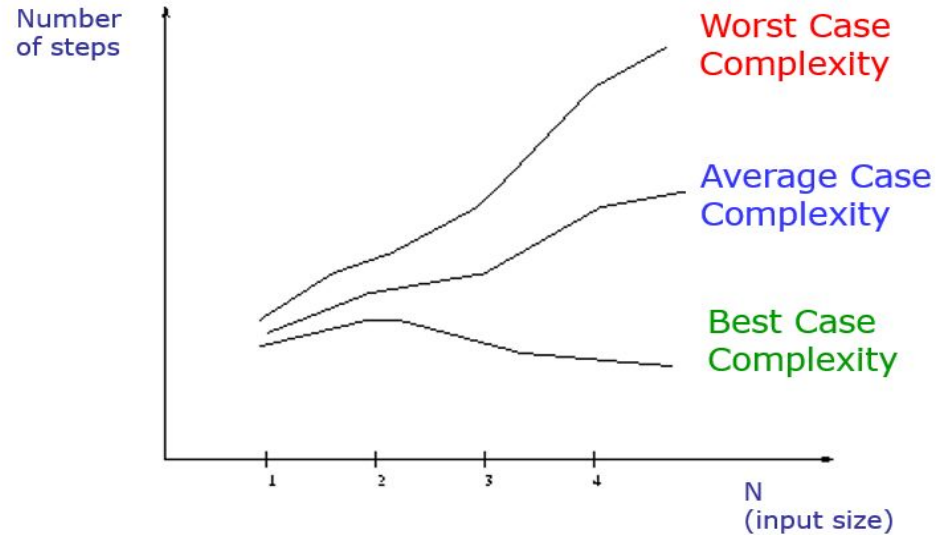
4. Finding the shortest path between two cities in a state n cities

The total number of cities in the state.

Algorithm Complexity

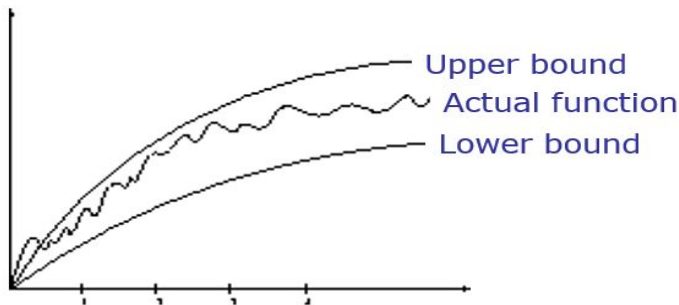
- **Worst Case Complexity:**
 - the function defined by the *maximum* number of steps taken on any instance of size n
- **Best Case Complexity:**
 - the function defined by the *minimum* number of steps taken on any instance of size n
- **Average Case Complexity:**
 - the function defined by the *average* number of steps taken on any instance of size n

Best, Worst, and Average Case Complexity



Doing the Analysis

- It's hard to estimate the running time exactly
 - Best case depends on the input
 - Average case is difficult to compute
 - So we usually focus on worst case analysis
 - Easier to compute
 - Usually close to the actual running time
- Strategy: find a function (an equation) that, for large n , is an upper bound to the actual function (actual number of steps, memory usage, etc.)



Linear Time

- We may not be able to predict to the nanosecond how long a Java program will take, but do know *some* things about timing:
 - ```
for (i = 0, j = 1; i < n; i++) {
 j = j * i;
}
```
  - This loop takes time  $k*n + c$ , for some constants  $k$  and  $c$
  - $k$  : How long it takes to go through the loop once  
(the time for  $j = j * i$ , plus loop overhead)
  - $n$  : The number of times through the loop  
(we can use this as the “size” of the problem)
  - $c$  : The time it takes to initialize the loop
  - The total time  $k*n + c$  is *linear in  $n$*



# Asymptotic Complexity

- Running time of an algorithm as a function of input size  $n$  **for large  $n$** .
- Expressed using only the **highest-order term** in the expression for the exact running time.
  - Instead of exact running time, say  $\Theta(n^2)$ .
- Describes behavior of function in the limit.
- Written using ***Asymptotic Notation***.

# Asymptotic Notation

- To examine an algorithm's running time by expressing its performance as the input size,  $n$ , of an algorithm increases.
- There are *three* common asymptotic notations: **Big O**, **Big Theta** and **Big Omega**.

## Big Oh O notation

For function  $g(n)$ , we define  $O(g(n))$ , big-O of  $n$ , as the set:

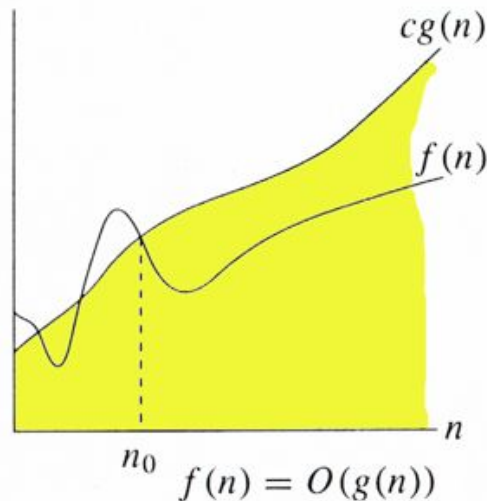
$$\begin{aligned} O(g(n)) = \{f(n) : \\ \exists \text{ positive constants } c \text{ and } n_0, \text{ such} \\ \text{that } \forall n \geq n_0, \\ \text{we have } 0 \leq f(n) \leq cg(n) \} \end{aligned}$$

*Intuitively:* Set of all functions whose *rate of growth* is the same as or lower than that of  $g(n)$ .

$g(n)$  is an *asymptotic upper bound* for  $f(n)$ .

$$f(n) = \Theta(g(n)) \Rightarrow f(n) = O(g(n)).$$

$$\Theta(g(n)) \subset O(g(n)).$$



## Qn:1

$f(n) = n$  and  $g(n) = 2n$ .

Is  $f(n) = O(g(n))$  ?

$$f(n) \leq c \cdot g(n) \quad \text{for some } n_0$$

$$n \leq c \cdot 2n \quad // \text{if } n_0 = 1 \quad \text{and } c = 1$$

ie this function grows linearly.

## Qn:2

Prove that:

$$5n^2 - 3n + 20 = O(n^2)$$

$$5n^2 - 3n + 20 \leq c(n^2) \quad \text{for some } c$$

eliminating - term

$$25n^2 \leq c n^2$$

ie  $c=25$  Assuming  $n_0=1$

# $\Omega$ Notation

For function  $g(n)$ , we define  $\Omega(g(n))$ , big-Omega of  $n$ , as the set:

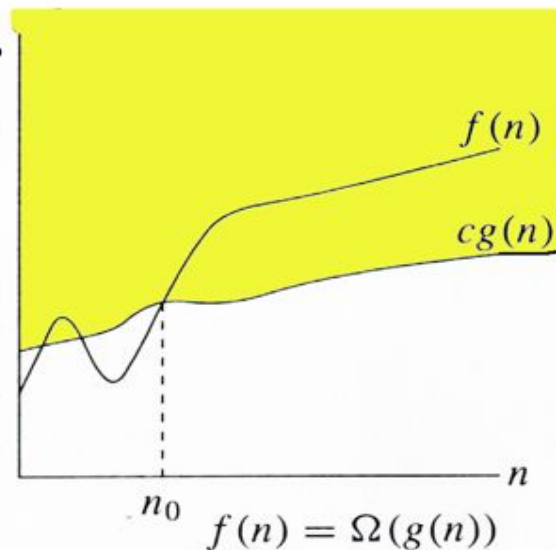
$\Omega(g(n)) = \{f(n) :$   
 $\exists$  positive constants  $c$  and  $n_0$ , such  
that  $\forall n \geq n_0$ ,  
we have  $0 \leq cg(n) \leq f(n)\}$

*Intuitively*: Set of all functions whose *rate of growth* is the same as or higher than that of  $g(n)$ .

$g(n)$  is an *asymptotic lower bound* for  $f(n)$ .

$$f(n) = \Theta(g(n)) \Rightarrow f(n) = \Omega(g(n)).$$

$$\Theta(g(n)) \subset \Omega(g(n)).$$



### Qn: 3

Prove that  $5n^2 = \Omega(n)$

i.e  $0 \leq cn \leq f(n)$

Put  $c=1$  and  $n_0=1$

# $\Theta$ -notation

For function  $g(n)$ , we define  $\Theta(g(n))$ , big-Theta of  $n$ , as the set:

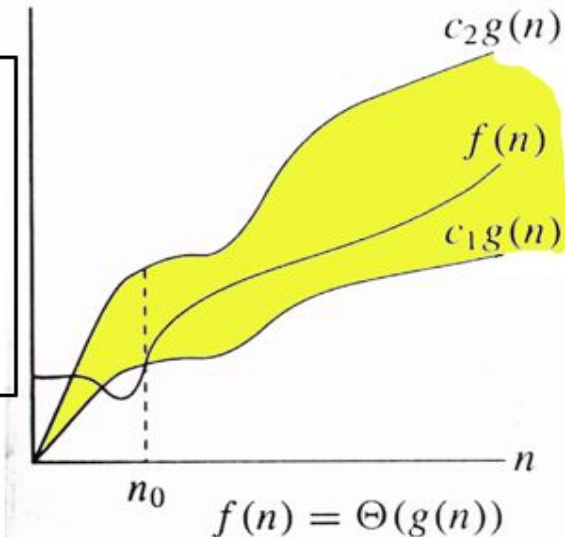
$$\Theta(g(n)) = \{f(n) :$$

$\exists$  positive constants  $c_1, c_2$ , and  $n_0$ ,  
such that  $\forall n \geq n_0$ ,

$$\text{we have } 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$$

$\}$

*Intuitively:* Set of all functions that have the same *rate of growth* as  $g(n)$ .



$g(n)$  is an *asymptotically tight bound* for  $f(n)$ .



## Qn: 4

Prove that :  $f(n) = 8n^2 + 2n - 3 = \Theta(n^2)$ .

To show that  $f(n) \in \Theta(n^2)$

– We need to find the following three values.

– **c1, c2 and  $n_0$**

- To find Lower bound we need **c1** and  $n_0$
- To find Upper bound we need **c2** and  $n_0$

# Finding $c_1$ and $n_0$

Lower bound:  $0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$

$$f(n) = 8n^2 + 2n - 3, f(n) \in \Theta(n^2)$$

- $c_1 n^2 \leq 8n^2 + 2n - 3$  ??

- $7n^2 \leq 8n^2 + 2n - 3$

- $c_1 = 7$

- $N_0 = 1$

$c_1$  can be anything lesser than the constant with  $n^2$  of the expression

$$n_0 = 1$$

$$7(1)^2 \leq 8(1)^2 + 2(1) - 3$$

$$7 \leq 8 + 2 - 3$$

$$7 \leq 7$$

## Finding $c_2$ and $n_0$

Upper Bound:  $0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$

$$f(n) = 8n^2 + 2n - 3, f(n) \in \Theta(n^2)$$

$$8n^2 + 2n - 3 \leq c_2n^2$$

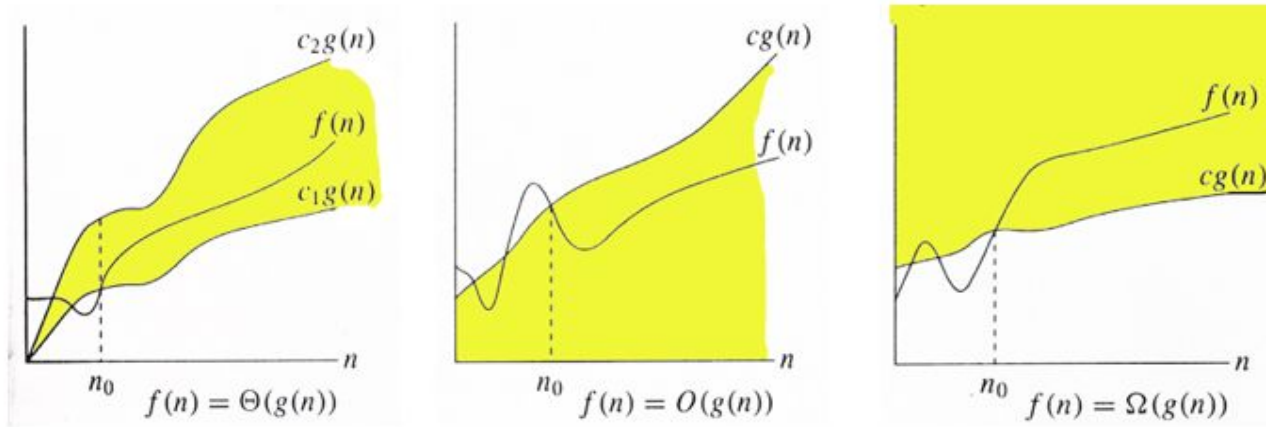
$$8n^2 + 2n - 3 \leq 9n^2$$

$$\Rightarrow 8n^2 + 2n - 3 \leq 9n^2$$

$c_2$  can be anything greater than the constant with  $n^2$  of the expression

$$c_2 = 9$$

$$N_0 = 1$$



Intuitively:

$O(g(n))$  contains functions whose dominant term is *at most* that of  $g(n)$  .

$\Omega(g(n))$  contains functions whose dominant term is *at least* that of  $g(n)$ .

$\Theta(g(n))$  contains functions whose dominant term is *equal to* that of  $g(n)$ .

# Properties

## Transitivity

$f(n) = O(g(n))$  &  $g(n) = O(h(n)) \implies f(n) = O(h(n))$

## Reflexivity:

If  $f(n)$  is given then

$$f(n) = O(f(n))$$

## Symmetry:

$$f(n) = O(g(n)) \text{ if and only if } g(n) = O(f(n))$$

## Transpose Symmetry:

$$f(n) = O(g(n)) \text{ if and only if } g(n) = \Omega(f(n))$$

## Observations:

$$O(f(n)) + O(g(n)) = O(\max(f(n), g(n)))$$

$$\max(f(n), g(n)) = \Theta(f(n) + g(n))$$

# Homework

Are each of the following true or false?

- (a)  $3n^2 + 10n \log n = O(n \log n)$
- (b)  $3n^2 + 10n \log n = \Omega(n^2)$
- (c)  $3n^2 + 10n \log n = \Theta(n^2)$

\*\* Submit the image of handwritten solution in teams.

Refer to the video for more worked out examples