



# 2D Image Processing & Augmented Reality Winter Semester 2019/2020 Survey on Face Tracking with Deep Learning

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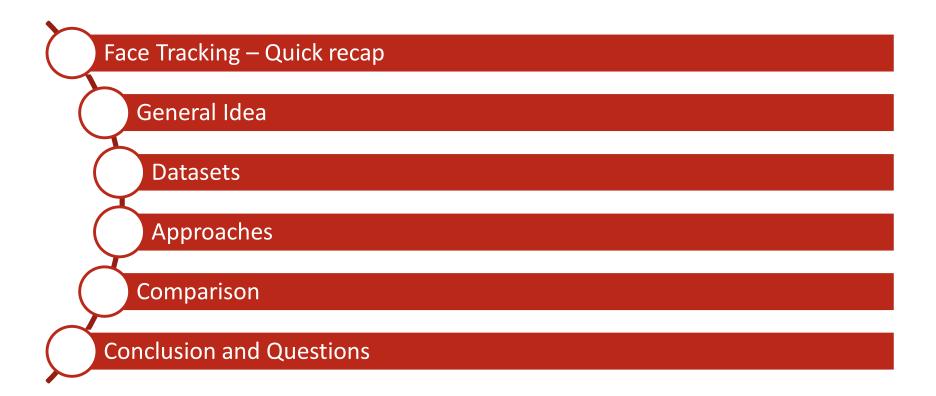
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#### **Outline**







# **Quick recap**





# **Face Tracking**



Tracking a face across all frames of a video. Bounding-box or landmark based.



Applications - Face analysis, Person identification, Activity recognition, Expression analysis, Face modeling etc.



Challenges - Videos can be captured in unconstrained conditions.

May have illumination variations, large head poses, occlusions, etc.

Image source: Google





#### **General Idea**

- Video Sequence of frames with temporal connection
- Sequence data? (Use RNN)

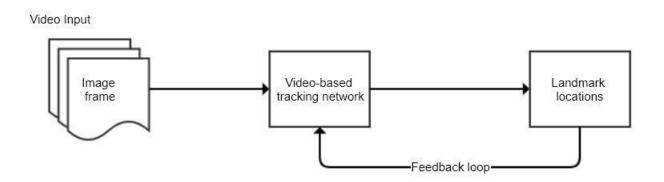






#### **General Idea**

- Video Sequence of frames with temporal connection
- Sequence data? (Use RNN)
- Give frames in temporal order, detect landmarks, feedback along with next frame.







# Why Deep Learning?

- State-of-the-art in image processing tasks
- Operate directly on data
- Learn more generic features directly from data
- Domain knowledge is never obsolete





#### **Datasets**

- AFLW Around 25k annotated face images. 21 points [AFLW]
- Helen 2000 training and 330 test images. 194 points [Helen]
- IBUG 135 images with difficult poses and expressions. 68 points [IBUG]
- LFPW 1432 images. 29 points [LFPW]
- **LFW** − 13233 images of 5749 people. 10 points [LFW]
- 300-W 600 images in the wild. 68 points [300-W]
- 3D Menpo 12k images, 280k video frames, with 2D and 3D landmarks. 84 points [3D-Menpo]
- ₱ FM 2150 images of 6 videos. 68 points [FM]
- RWMB 20 videos with motion blur. 68/98 points [RWMB]
- **☆ TF 5000 frames of a person engaged in a conversation. 68 points** [TF]
- **300-VW 114 videos with 218,595 frames. 68 points** [300-VW]





# **Approaches**

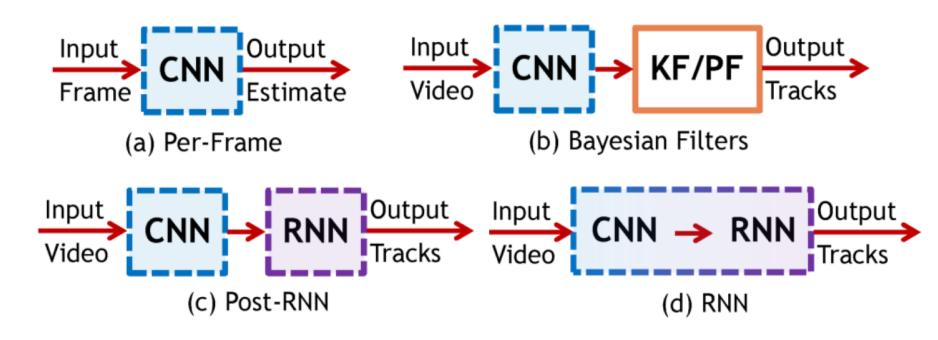
- RNN [Jinwei Gu et al., 2017]
- Two-stream network [Hao Liu et al., 2017]
- LSTM [Qiqi Hou et al., 2017]
- Encoder-Decoder network [Xi Peng et al., 2018]
- Deep reinforcement learning [Minghao Guo et al., 2018]





## Using RNNs [Jinwei Gu et al., 2017]

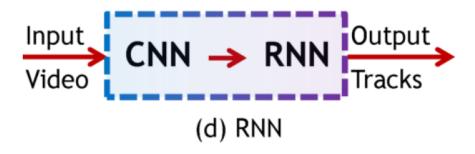
RNNs and Bayesian filters are operationally similar







## Using RNNs [Jinwei Gu et al., 2017]



- FC-RNN is used to retain generalization of pre-trained CNN
- Trained end-to-end
- 300-VW dataset for facial landmark localization
- L2 loss function
- Evaluation Area Under the Curve (AUC), Failure Rate (FR %)





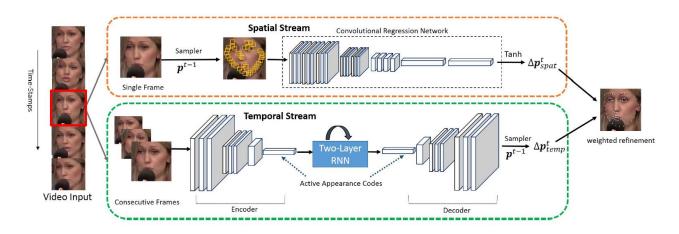
## Two-stream network [Hao Liu et al., 2017]

- Exploit both appearance information from still frames(spatial) and temporal information across frames(temporal)
  - Spatial stream Image pixels (still) -> landmark locations
  - Temporal stream Compress video as active appearance codes





## Two-stream network [Hao Liu et al., 2017]



- Spatial stream transforms local facial patches to shape residuals used to refine current face shape from previous.
- Temporal stream Encoder-decoder network with 2-layer RNN. Capture facial dynamics in temporal dimension
- Final prediction is a weighted fusion of spatial and temporal streams shape updates





## Two-stream network [Hao Liu et al., 2017]

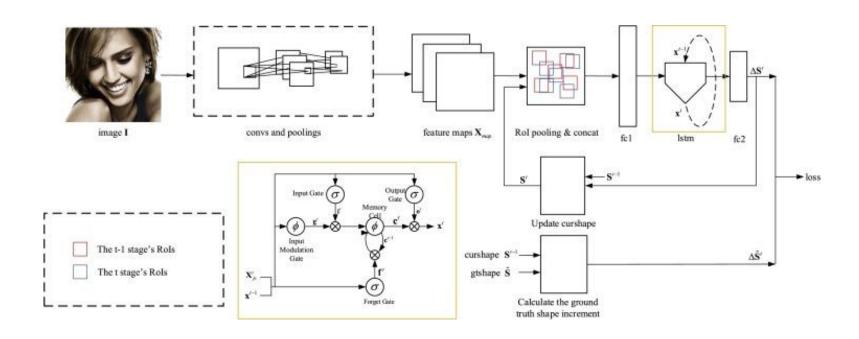
- Tested on 300-VW and TF datasets
- Evaluation Normalized RMSE and Cumulative Error Distribution plots
- Weighted fusion  $\beta_1$  and  $\beta_2$  = 0.5 yields the best performance





#### LSTMs [Qiqi Hou et al., 2017]

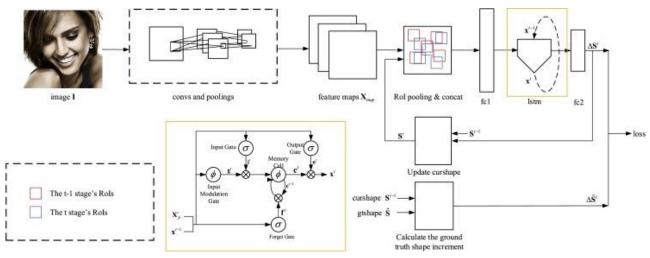
#### LSTM is used to exploit spatial and temporal information







#### LSTMs [Qiqi Hou et al., 2017]



- Input Image and Initial face shape
- Output Predicted shape increment for the initial face shape
- Input -> several conv + max pooling -> ROI pooling for initial face shape -> concat -> FC layer -> LSTM -> predicted shape increment
- Update initial shape according to predicted shape increment





#### LSTMs [Qiqi Hou et al., 2017]

- Landmark detection method
- Trained on COFW, LFPW, Helen, AFW
- Evaluated on COFW, Helen, 300-W, 300-VW
- Evaluation Point-to-point RMSE
- Runtime 18ms

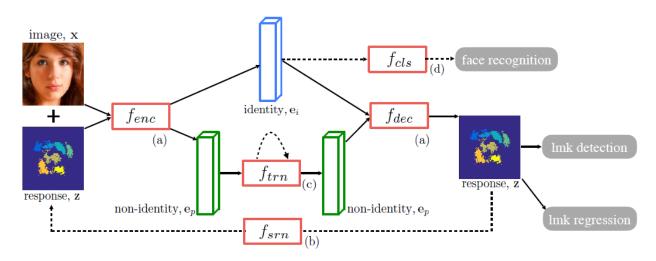




- Encoder Image pixels -> Low dimensional feature space
- Decoder Features in low dimensional space -> facial landmark heatmaps
- Feedback loop between the output(facial points) and the input



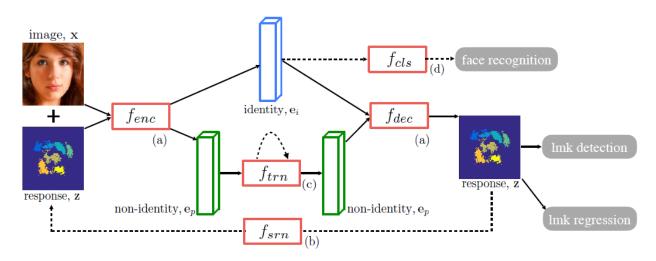




- Encoder -> Feature disentangling on low dimensional representation -> Decoder
- Disentangle temporal-variant and temporal-invariant factors
- Temporal-invariant: Person identity
- Temporal-variant: Pose, expression, illumination



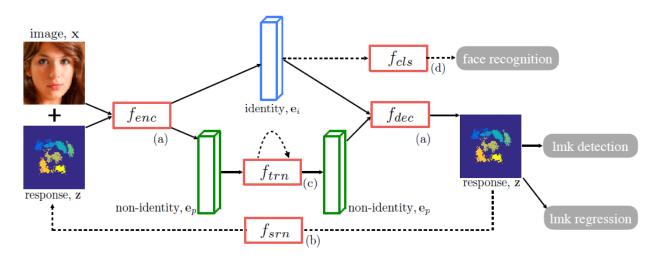




- Spatial recurrent learning: Coarse-to-fine landmark search
- Feedback loop: Previous prediction + image
- Landmark detection: Detect major landmarks
- Landmark regression: Refine predicted locations from previous detection step







 Temporal recurrent learning: Model non-identity factors (temporal-variant) using LSTM



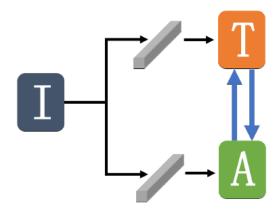


- Evaluated on AFLW, LFW, Helen, LFPW, TF, FM, 300-VW
- Evaluation Inter-ocular distance normalized RMSE



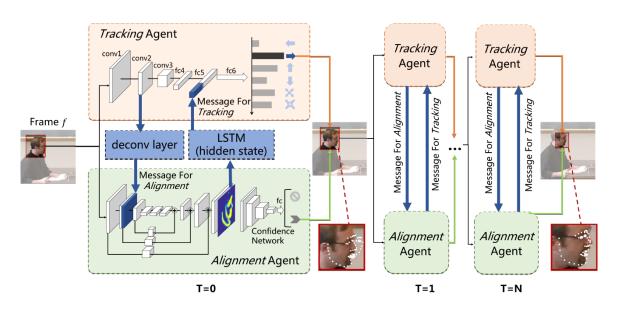


- Bounding box generation and facial landmark detection are heavily dependent
- Two agents bounding box Tracking and facial landmark
   Alignment









- Communication channels between agents (Deep Q-learning)
- Current state initialized to terminal state of previous frame
- Agents decide sequence of actions based on observed state and received messages





- Go to next frame when landmarks are finalized
- State Current image region extracted by bounding box
- Action Tracking agent(Movement), Alignment agent(stop/continue iterations)



Reward – Landmark detection accuracy improvements





- Evaluated on Category 3 of 300-VW
- Supervised learning stage
  - Alignment agent trained on 300-W
  - Tracking agent trained on 300-VW
- Reinforcement learning stage
  - Whole network trained on 300-VW
- Evaluation Normalized RMSE and cumulative error distribution plots
- DADRL-3D Trained with 3D data from 3D Menpo. 3D landmarks.





# Comparison

Approach	Evaluated on dataset	Evaluation metrics	
RNN	300-VW	RMSE, AUC, FR	
Two-stream network	TF, 300-VW	RMSE, CED plot	
LSTM	COFW, Helen, 300-W, 300-VW	RMSE	
Encoder-decoder network	TF, 300-VW, FM	RMSE	
Reinforcement Learning	300-VW	RMSE and CED plot	

$$RMSE_{i} = \frac{1}{Pd_{i}} \sum_{p=1}^{P} \sqrt{(x_{i,p} - \hat{x}_{i,p})^{2} + (y_{i,p} - \hat{y}_{k,p})^{2}}$$





# Comparison

Approach	300-VW		TF		
	RMSE (68 landmarks)	RMSE (7 landmarks)	RMSE (68 landmarks)	RMSE (7 landmarks)	Runtime (ms)
RNN	6.16				
Two-stream network	5.59			2.13	33
LSTM	5.9				18
Encoder-decoder network	5.15	5.29	2.77	2.89	40
Reinforcement Learning	3.09				





#### Conclusion

#### RNN based approach

FC-RNN to model temporal information.

#### Two-stream network

- Spatial and temporal streams
- Least error on TF dataset for 7 landmarks

#### LSTM approach

- LSTM to model temporal information
- Performs in real-time 18ms

#### Encoder-Decoder approach

Separate temporal-variant and temporal-invariant factors.

#### Reinforcement Learning approach

- Bounding box tracking Facial alignment.
- Least error on the category-3 of 300-VW





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#### **THANK YOU**

