Enhancing Trajectory Planning in Medical Robotics with Potential Fields: Leveraging Tensors for Accelerated Speed

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Clinical Application Project Documentation

Abstract

In the advancement towards digitizing operating rooms, a fundamental aspect involves the creation of a virtual representation of the operating space, enabling seamless navigation for surgical robots within it. In this clinical application project, I focused on navigating medical robots to the operating position. In my bachelor thesis, I will create a real-time virtual operating space from RBGD cameras. Furthermore, I will address translating the trajectory planning of medical robots within this virtual environment.

1 Introduction

This project focuses on a medical robot's initial navigation stage – planning a safe and adaptable trajectory to the operating position. While the intricate movements of surgical instruments during the procedure are reserved for future development, trajectory planning in medical robotics present unique challenges compared to navigation problems in other environments. Here, guaranteeing safety and adaptability within a dynamic environment is crucial.

In navigational problems in different settings, alternative trajectories might come at a higher cost but are still valid and reasonable routes. In the medical setting, this is not the case. Alternative trajectories of the robot might jeopardize the entire medical operation. Therefore, navigation in operating rooms must meet certain specific requirements. In the initial navigation stage, this requirement is the guarantee that the robot will avoid collisions with obstacles, especially the surgeon, at any cost. In addition, the path the robot should take should be smooth.

2 Potential Fields

Guaranteeing these requirements is not easy with traditional path-planning approaches. This project explores a novel approach specifically tailored for the delicate environment of the operating room – trajectory planning using potential fields.

The idea of potential fields stems from physics, representing regions of influence exerted by forces. In the context of robot navigation, we can leverage this concept to create an artificial force field around the robot and obstacles within the workspace. Attractive and repulsive forces guide the robot's path toward the target location while ensuring it remains a safe distance from any potential collisions. With this approach, we aim to leverage the following benefits:

- Generation of smooth trajectories, following the laws of physics
- Real-time adaptability for multi-agent systems
- Short processing times

In our medical application, the potential field utilizes a single attractive force. This force originates from the robot's desired final position within the operating space, also referred to as the goal or target location. Conversely, all obstacles within the operating room generate repulsive forces. The strength of this repulsion from each obstacle depends on two key parameters:

- *Distance of Influence*: This parameter defines the maximum distance at which the robot still experiences the repulsive force from an obstacle.
- Attraction Strength: This parameter determines the magnitude (amount) of repulsion exerted by the obstacle on the robot.

The work of Nasseri et al. [1] provided the foundation for exploring potential fields in trajectory planning. Wu et al. [2] propose concrete repulsive and attractive functions dependent only on the robot's position and the obstacle's position for calculating the attraction and repulsive force.

3 Modelling Forces

However, directly applying Wu et al.'s proposal to the medical environment presented some challenges and unwanted

trajectories.

3.1 Repulsive Force

One challenge arose from the rate at which the repulsive force from obstacles decreased with distance. In Wu et al.'s proposal, the rapid repulsive force falloff potentially caused the robot to "realize" an impending collision too late for safe course correction. This resulted in jerky movements as the robot attempted abrupt adjustments to avoid obstacles within the confined and delicate environment of the operating room.

Hence, a new repulsive force function had to be defined. We came up with a new similar function that mitigates this issue. In our trajectory planning algorithm, we use the following function to calculate the repulsive force for a single obstacle, with index i, at position (x_{obstacle} , y_{obstacle}):

$$F_{\text{singleRepulsive, i}}(x_{\text{robot}}, y_{\text{robot}}) = \\ c' * e^{-c''} * \sqrt{(x_{\text{robot}} - x_{\text{obstacle}})^2 - (y_{\text{robot}} - y_{\text{obstacle}})^2}$$
(1)

Parameters of an obstacle:

- *c'* > 0 : Attraction strength of obstacle. The bigger this constant, the bigger the magnitude of the repulsive force.
- *c*" > 0: Distance of influence of obstacle. The higher this constant is, the faster the drop-off of the repulsive force.

A key distinction between our proposed function and the one defined by Wu et al. lies in how it handles the repulsive force based on the distance to the obstacle. Unlike Wu et al.'s approach, our function eliminates the need for case-based calculations depending on the robot-obstacle distance. This simplification unlocks the power of mathematical objects called *tensors*, enabling parallel computation of the potential field value. To achieve real-time planning in the dynamic environment of the operating room, we prioritize parallelization of the distance calculation algorithm. This optimization is crucial for meeting the performance requirements of this application. We will discuss the further performance gains offered by utilizing tensors for computation in Section 6. Here, we first establish the core functionality of the algorithm before delving into real-time optimization strategies.

Wu et al. makes a case distinction under the following condition and returns the repulsive force value of 0 iff.

$$\sqrt{(x_{\text{robot}} - x_{\text{obstacle}})^2 - (y_{\text{robot}} - y_{\text{obstacle}})^2} >$$
distance of influence (2)

Recall, *distance of influence* is a parameter of each individual obstacle. By design, my proposed function, constructed without the need for case distinctions and relying on an exponential function, never returns a repulsive force value of

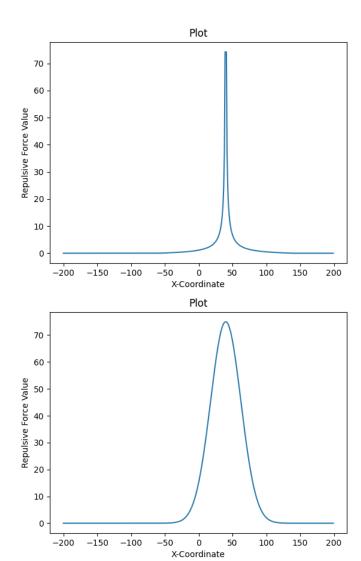


Figure 1: Comparison between Wu et al.'s and our function.

precisely 0. However, in scenarios where Wu et al.'s function would yield 0, our offers a value infinitesimally close to 0. This infinitesimally small value is neglectable in path planning and enables parallel computation of the repulsive force value. Note that this property only holds for rather large *distances* of influence. This is sufficient because most obstacles in the operating room don't have small distances of influences.

Unlike the inverse-square law observed in physics and used by Wu et al., which leads to undesirable jerkiness in trajectory planning, our proposed repulsive function leverages an exponential distribution. This choice avoids the abrupt dropoff of a function following the inverse-square law while maintaining a smoother decay compared to a linear function. As a result, the exponential function balances responsiveness to nearby obstacles with computational efficiency for path planning.

This can be seen best by an example in Figure 1. Consider the one-dimensional environment depicted in Figure 1, where the only obstacle is at x=40. This figure compares two repulsive value functions used for robot navigation. The upper image shows the function proposed by Wu et al. Here, the repulsive force value is highest directly at the obstacle (x=40) and rapidly decreases as the agent moves away. In contrast, the lower image depicts our proposed function. While it also assigns the maximum force at the obstacle, the repulsive effect extends over a greater distance. This smoother falloff helps trajectory planning algorithms generate smoother, less jerky paths to navigate around the obstacle.

Within the operating room environment, numerous obstacles are present. We can sum each i-th object's individual repulsive force, $F_{\text{SingleRepulsive, i}}$, to efficiently compute the entire repulsive force, $F_{\text{TotalRepulsive}}$, at a specific location. Assuming we have got n objects, the total repulsive force can be expressed mathematically as:

$$F_{\text{TotalRepulsive}}(x_{\text{robot}}, y_{\text{robot}}) = \sum_{i=1}^{n} F_{\text{SingleRepulsive, i}}(x_{\text{robot}}, y_{\text{robot}}) \quad (3)$$

3.2 Attraction Force

Wu et al. propose a unique solution to model the attraction force. They essentially flip the attraction force and model it using a repulsive function.

This can be explained best with an analogy between a magnet and the robot: Imagine a magnet at the target and one on the robot with flipped poles. In this setting, the magnet would pull the robot towards the goal. This is how an attraction force is typically thought of. Now, let's draw a line between the robot and the target. Imagine placing a new magnet directly on this line, a bit behind the robot. Fictively, the magnet is "chasing" the robot to the goal. The imaginary magnet has the same pole orientation as the one on the robot. Due to the same poles of the robot and the robot behind the magnet, the robot is pushed to the goal, resulting in the same movement of the robot.

Wu et al. model the attraction force as a force that pushes the robot toward the goal, as described above. An example of this can be seen in Fig. 2, a one-dimensional environment with only a target at x = -50.

Our project uses the core concept of the attraction force function proposed by Wu et al. However, we've introduced a simplification to enhance efficiency. In the original function, a distinction was made in the calculations based on the robot's distance to the goal. While this can provide more nuanced results, we found that the impact on the overall trajectory path was minimal. By removing this case distinction, we can streamline the calculation process. This allows us to compute the attraction force value for any location much faster, making

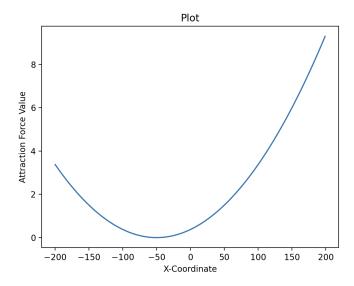


Figure 2: Attraction force value plot for a 1D environment with the target located at x = -50.

our implementation more efficient without significantly compromising the outcome. In this project, the following function was used for calculating the attraction force of a robot given a certain position. c' being the attraction strength of the target.

$$F_{\text{Attraction}}(x_{\text{robot}}, y_{\text{robot}}) = c' * ((x_{\text{robot}} - x_{\text{obstacle}})^2 + (y_{\text{robot}} - y_{\text{obstacle}})^2)$$
(4)

Given that the attractive force is effectively negated and hereby transformed into a repulsive force, the total force acting on the robot at a specific location can be obtained by simply summing the repulsive and attractive force contributions. Mathematically, this is expressed as:

$$F_{\text{Total}}(x_{\text{robot}}, y_{\text{robot}}) = F_{\text{Attraction}}(x_{\text{robot}}, y_{\text{robot}}) + F_{\text{TotalRepulsive}}(x_{\text{robot}}, y_{\text{robot}})$$
(5)

This summation is visualized in Figure 3, which depicts the total force acting on the robot as the combined effect of the previously defined attractive force (Figure 1) and repulsive force (Figure 2).

4 Distance Calculations

In path planning simulations, calculating distances between the robot and surrounding elements is crucial for both attractive and repulsive force functions. While we typically model the robot as a circle for simplicity, real-world operating rooms contain obstacles with various shapes. To account for this complexity, we model obstacles as either circles or polygons.

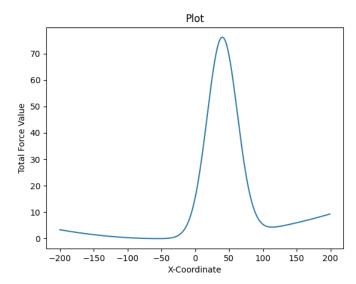


Figure 3: Total force value plot for a 1D environment with an obstacle at x = 40 and the target at x = -50.

Determining the distance between the robot and a circular obstacle is a straightforward process. We can calculate it by finding the Euclidean distance between the centers of both circles and then subtracting the sum of their radii:

$$\tilde{d}(x_r, y_r, r_r, x_c, y_c, r_c) = \sqrt{(x_r - x_c)^2 + (y_r - y_c)^2 - r_r - r_c}$$
(6)

$$d(x_r, y_r, r_r, x_c, y_c, r_c) = \begin{cases} 0, & \text{if } \widetilde{d}(x_r, y_r, r_r, x_c, y_c, r_c) \le 0\\ \widetilde{d}(x_r, y_r, r_r, x_c, y_c, r_c), & \text{else} \end{cases}$$
(7)

Unlike circles, calculating the distance between the robot and a polygonal obstacle presents a greater challenge. Here, we need to identify the closest edge of the polygon to the robot's position. Our approach involved exploring various methods and iterations to determine the most efficient way to calculate this minimum distance.

As a first approach, we aimed to expedite the initial concept validation by leveraging existing Python libraries for point-to-polygon distance calculations. Since our trajectory planning utilized Python, this approach offered readily available functionality and reduced development time on this aspect. This strategy proved valuable for a rapid proof-of-concept, allowing us to demonstrate the effectiveness of potential fields in the operating room environment within a few weeks.

However, this approach presented a significant performance bottleneck. Computing the repulsive force for 17 polygons (each with 3 edges) within an 800x640 environment, which

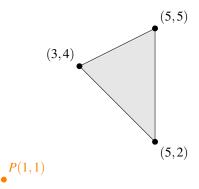


Figure 4: Polygon with vertices (3,4), (5,5), (5,2), and an orange point at (1,1).

calculated the distance between the robot and each polygon, resulted in computation times exceeding 1 minute. This latency is unacceptable for real-time path-planning applications. Driven by the need for real-time performance, we developed a custom distance calculation algorithm. This in-house solution addressed the limitations of existing libraries and enabled parallelization, a critical factor for achieving real-time responsiveness.

We will illustrate our methods for computing distances using a concrete example. This example is visually represented in Figure 4. In this scenario, we aim to determine the distance between a robot positioned at (1,1) and a polygon comprising three edges, defined by the vertices (3,4), (5,5), and (5,2). All our proposed techniques involve computing the distance to each edge of the polygon and subsequently selecting the minimum distance. How the distance to each edge is computed varies by our approaches.

Our initial approach involved the following steps: For each pair of neighboring vertices A and B, such as (3,4) and (5,2), we compute the following steps:

- 1. We determine the slope of the line connecting the two vertices. For this particular pair, the slope is calculated as $t = \frac{\Delta y}{\Delta x} = \frac{2-4}{5-3} = -1$.
- 2. With the slope in hand, we proceed to compute the slope of the line orthogonal to the edge's line. Two lines, l and l', are orthogonal if and only if their slopes satisfy: $slope_l \times slope_{l'} = -1 \Leftrightarrow slope_{l'} = \frac{-1}{slope_{l}}$. Therefore, to determine the slope of the line orthogonal to the edge's line, we calculate $slope_{orthogonal} = \frac{-1}{slope_{edge}}$. In our example, this yields $slope_{orthogonal} = \frac{-1}{-1} = 1$.
- 3. Next, we derive the equation of the line passing through the robot's location. Substituting the robot's coordinates and the slope of the orthogonal line into the general equation for a line, y = mx + t, we find $1 = 1 \times 1 + t \Leftrightarrow t = 0$. Thus, the equation for the orthogonal line is: y = 1x.

- 4. Similarly, we calculate the equation for the edge of the vertices *A* and *B* by inserting the calculated slope from step 1 and the coordinates of *A* and *B*. For example, with the vertex *A*, we have: $y = mx + t \Leftrightarrow 4 = -1 \times 3 + t \Leftrightarrow t = 7$. Thus, the equation for the edge is: y = -2x + 7.
- 5. We then find the intersection point between the orthogonal line and the edge's line: $1x+=-1x+7 \Leftrightarrow 2x=7 \Leftrightarrow x=3.5$. The intersection point is P(3.5,3.5).
- 6. Finally, we determine the distance of the robot *R* to the intersection point *P* using the Euclidean distance formula: $d = \sqrt{(3.5-1)^2 + (3.5-1)^2} \approx 3.54$.

However, we abandoned this approach to calculate distances to polygons rather quickly for two reasons:

- If a polygon had a vertical edge, our approach would not calculate the distance correctly. This comes from step 1 of the previously described algorithm. The slope of the edge would not be defined, because $\Delta x = 0$ and we would therefore divide by 0. To overcome this issue, we must make a case distinction based on a polygon's x-coordinates of neighboring vertices. This is undesirable because it introduces case distinctions and complexity. Parallelizing this algorithm would, therefore, be less efficient due to pipeline stalls or wrong pipeline predictions.
- The algorithm calculates the distance to an edge by creating an orthogonal line from a point to the edge line. However, this orthogonal line might intersect with the edge line beyond the actual edge segment defined by the two vertices (end points) of the line. If this happens, the calculated distance might not reflect the true distance between the point and the edge itself. There is no indication in our algorithm that informs us whether this is the case.

Building upon the insights from the previous analysis, the second iteration of the algorithm incorporates vector calculations for a more robust and efficient approach. This revised method forms the foundation of our current trajectory planning implementation. To illustrate the workings of this vector-based approach, we revisit the example scenario depicted in Figure 4. Furthermore, Figure 5 provides additional visualizations to enhance understanding. The revised algorithm computes the distance to a single edge bounded by the vertices A and B and a point P as follows. We will demonstrate this with the example points A(3,4), B(5,2) and P(1,1)

- 1. Calculate the vector \vec{AB} . For this particular pair $\vec{AB} = (2, -2)^T$
- 2. Determine the normal (orthogonal) vector to \vec{AB} . In two-dimensional space, this can be achieved by swapping the components of the vector and changing the sign of one entry. Therefore, $\vec{N} = (2,2)^T$.

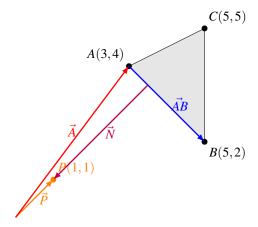


Figure 5: Polygon with vertices (3,4), (5,5), (5,2), and an orange point at (1,1), with the vector \vec{P} from (0,0) to P(1,1).

3. Solve the equation $\vec{A} + i \cdot \vec{AB} = \vec{P} + j \cdot \vec{N}$ for i and j. This equation represents finding the coefficients i and j such that the point $\vec{A} + i \cdot \vec{AB}$ lies on the line defined by \vec{P} and is orthogonal to the direction given by \vec{N} . In other words, we are determining how many times we need to add the vector \vec{AB} to \vec{A} to reach a point on the line defined by \vec{P} and orthogonal to the direction given by \vec{N} .

The given equation can be formulated as a matrix-vector problem Ax = b, enabling solution using standard matrix solvers:

$$\begin{bmatrix} a_{x} \\ a_{y} \end{bmatrix} + i \begin{bmatrix} ba_{x} \\ ba_{y} \end{bmatrix} = \begin{bmatrix} p_{x} \\ p_{y} \end{bmatrix} + j \begin{bmatrix} n_{x} \\ n_{y} \end{bmatrix}$$
 (8)

$$\Leftrightarrow i \begin{bmatrix} ba_x \\ ba_y \end{bmatrix} - j \begin{bmatrix} n_x \\ n_y \end{bmatrix} = \begin{bmatrix} p_x - a_x \\ p_y - a_x \end{bmatrix}$$
 (9)

$$\Leftrightarrow \begin{bmatrix} ba_x & -n_x \\ ba_y & -n_y \end{bmatrix} * \begin{bmatrix} i \\ j \end{bmatrix} = \begin{bmatrix} p_x - a_x \\ p_y - a_x \end{bmatrix}$$
 (10)

For our example we solve:

$$\begin{bmatrix} 2 & -2 \\ -2 & -2 \end{bmatrix} * \begin{bmatrix} i \\ j \end{bmatrix} = \begin{bmatrix} 1-3 \\ 1-4 \end{bmatrix} \quad \Leftrightarrow \begin{bmatrix} i \\ j \end{bmatrix} = \begin{bmatrix} 0.25 \\ 1.25 \end{bmatrix} \quad (11)$$

4. If $i \in [0, 1]$, then the point *P* lies within the 2D space, such that there exists an orthogonal vector \vec{N} to \vec{AB} that originates from a point *q* on line segment \overline{AB} (defined by vertices *A* and *B*) that intersects point *P*. This point *q* can be either an endpoint (A or B) or a point located on the interior of the line segment. Then the distance of the point to the edge is $|j\vec{N}| = \sqrt{(j*n_x)^2 + (j*n_y)^2} = j*\sqrt{(n_x)^2 + (n_y)^2}$.

Because $i = 0.25 \in [0, 1]$ the distance in our example can be computed as $|j\vec{N}| = \sqrt{(1.25 * 2)^2 + (1.5 * 2)^2} =$

 $1.25*\sqrt{(2)^2+(2)^2}\approx 3.54$, the same value as determined by our first method. This is as expected.

Alternatively, if *P* cannot be reached by an orthogonal vector originating from a point on the line segment defined by the vertices *A* and *B*, the closest point on the line segment to *P* is either *A* or *B*. Therefore, the distance can be computed using the Euclidean distance formula: $min\{\sqrt{(p_x-a_x)^2+(p_y-a_y)^2},\sqrt{(p_x-b_x)^2+(p_y-b_y)^2}\}$.

The closest point to a polygon is determined by calculating the distance between the point and each edge of the polygon using the algorithm previously described. The minimum distance among these calculated distances corresponds to the closest point on the polygon to the original point.

Our current distance calculation algorithm hasn't yet addressed a critical edge case: where the point of interest lies inside the polygon. In this case, the algorithm would incorrectly identify the closest edge as one within the polygon. This is an undesirable outcome.

To address this limitation, we implemented an additional check after calculating the distance to the closest polygon edge. This check verifies if the point of interest could reside inside the polygon. A common approach for such a point-in-polygon test involves creating a bounding rectangle around the polygon.

The bounding rectangle serves as a preliminary check to exclude points obviously outside the polygon. We construct this rectangle by finding the maximum and minimum x and y coordinates among all the polygon's vertices. These extrema, which define the opposite corners of the rectangle, may not necessarily belong to the same vertex. If any of the following conditions are met for the point of interest (x_{point}, y_{point}) :

- $x_{point} < x_{min}$
- $x_{point} > x_{max}$
- $y_{point} < y_{min}$
- $y_{point} > y_{max}$

then the point lies outside the bounding rectangle and can be definitively excluded from residing within the polygon. This significantly reduces unnecessary computations for distance checks. To optimize performance, we pre-compute the bounding box for each polygon at creation time. This bounding box efficiently encapsulates the polygon's extent. The coordinates of the minimum and maximum x and y values are then stored as attributes of the polygon object itself, enabling efficient retrieval for future calculations. A visualization of this principle can be seen in Figure 6.

If the initial bounding rectangle check fails to exclude the point, a more refined test is necessary to definitively determine whether it lies inside or outside the polygon. This is achieved using a ray-casting algorithm. This approach involves shooting a ray (imaginary line segment) from a fixed point outside

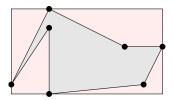


Figure 6: Example of bounding box for sanity check.

the polygon towards the point of interest. The algorithm then counts the number of intersections between the ray and the polygon's edges. An odd number of intersections indicates that the point lies inside the polygon, while an even number (including zero) signifies it's outside.

The computational cost of ray casting can vary depending on the chosen origin point for the ray (the point from which it shoots). To optimize performance, we employ a strategic approach that minimizes the number of necessary intersection calculations between the ray and the polygon's edges.

We adopted a strategic choice for the ray's origin point to minimize the computational cost of intersection calculations. Given the polygon's edges and the point of interest, we consistently shoot rays from a fixed location at $x = x_{min} - 1$ and $y = y_{point}$. Here, x_{min} is the minimum x-coordinate obtained from the previously computed bounding box, ensuring the ray originates outside the polygon. We subtract 1 from x_{min} for extra certainty. The y-coordinate of the ray's origin is set equal to the y-coordinate of the point in question. This configuration creates a horizontal ray. Notably, determining intersections between a horizontal ray and an arbitrary edge of the polygon is computationally simpler compared to rays with at angle. This optimization significantly reduces the complexity of the ray-casting algorithm.

Determining whether a horizontal ray with the start point $S(x_{start}, y_{start})$ and an edge, bounded by the vertices $A(x_a, y_a)$ and $B(x_b, y_b)$ intersect, can be computed with simple if-statements. The ray intersects with the edge under the following conditions:

- $y_{start} < max\{y_a, y_b\}$
- $y_{start} > min\{y_a, y_b\}$
- $x_{start} < x_a + \frac{x_b x_a}{y_b y_a} * (y_{start} * y_a)$

Therefore, to check whether a point resides inside a polygon, we check the above three conditions for all edges. If an odd number of edges fulfill all three previously mentioned conditions, the point lies within the polygon, and the distance to the polygon should be set to zero. Otherwise, it lies outside, and the previously computed distance remains valid. Figure 7 visualizes this ray-casting technique.

Now, we can compute the distance to polygon and circle obstacles. Therefore, we can determine each obstacle's individual repulsive force value and thus calculate the total

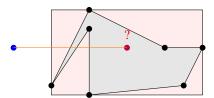


Figure 7: Visualization of ray-casting algorithm. The red point is the point which is in question. The ray is shot from the blue point.

repulsive force for a specific location (x,y). We will return to the topic of calculating distances once we parallelize our entire algorithm. For this, the distance computation has to be altered slightly. For example, we can't get the i value by solving a matrix-vector problem. This does not scale well. We'll come back to this topic in Section 69 (Update Section number, placeholder).

5 Learning to Steer: A Primer on Path Planning

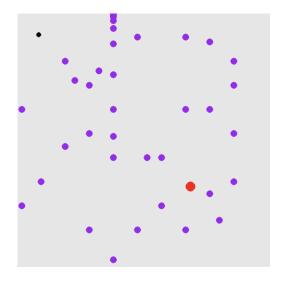
So far we have addressed constructing the repulsive and attraction force value functions and how to calculate distances to objects of different shapes. We have set the groundwork for actual path planning using potential fields.

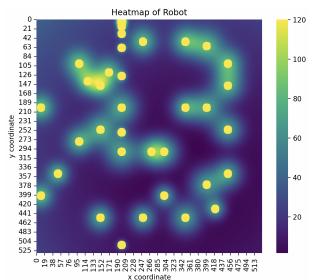
The general idea of the planning algorithm is as follows: For each point/pixel in our environment, we calculate the potential field value function. Recall that this value is the sum of all the individual repulsive forces, and the attraction force acted upon the agent in that location.

Then, we construct a map with these values, assigning each point in 2D space a potential field value. This value represents the amount of repulsion acted upon the agent in this location. One can imagine the potential field value as a measurement of how urgently the robot wants to exit this state. We propose a path-planning algorithm that minimizes the accumulated repulsive force over the entire trajectory path. An example environment with 38 obstacles and the environment's corresponding map, each modeled as a circle, can be seen in Figure 8.

One small adjustment to the potential field value must still be made before performing a search on the map. To ensure that the robot does not collide with any obstacle we set the potential field value in the location of the obstacle to infinity.

Following the potential field calculation, we employ a pathfinding algorithm on the generated map. The robot's current location serves as the starting point, while the operating table's coordinates define the goal. The corresponding potential field value at that location determines the cost associated with moving the robot to an adjacent cell. Our algorithm only allows the robot to move north, south, west, and east. Combinations of direction in a single move, e.g. north-west, are





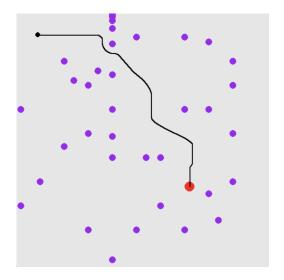


Figure 8: Example environment with 38 obstacles (purple), the corresponding heat map and the agent's trajectory with our proposed algorithm.

prohibited. Then, we perform an altered version of Dijkstra's algorithm on this map. Altered in the sense that if the algorithm expands a node of its frontier with a potential field value of infinity, we abort the path planning. A potential field value of infinity would imply that the path to the location would include a location with infinite repulsive force. Since we want to guarantee that the robot doesn't collide in these situations, we abort the trajectory planning. In this environment, no path to the location exists which does not collide with an obstacle.

6 Shifting Gears: Optimizing the Algorithm for Real-time Performance

This path-planning strategy works well in theory. Implementing the algorithm in practice is another story. The main bottleneck is the computational time needed to compute the repulsive force for each obstacle at each location.

Our initial implementation prioritized rapid prototyping by sequentially calculating the potential field value for each location. This straightforward approach facilitated quick development and effectively validated the core functionality of the algorithm. However, this method suffers from high computational cost. For instance, processing environments like the one shown in Figure 8 or one with only 13 triangles both required approximately 50 seconds to find a trajectory path. Real-time performance is crucial for practical medical applications, as frequent trajectory adjustments are necessary in dynamic environments such as in the operating room. Therefore, a more computationally efficient approach had to be thought of to achieve real-world viability.

While manually multithreading the repulsive force calculations emerged as a potential solution to the computational bottleneck, we ultimately opted against this approach. Multithreading introduces thread overhead, impacting performance. Additionally, manual implementation carries the inherent risks associated with concurrency - race conditions can be notoriously difficult to debug, and ensuring software quality becomes significantly more complex. Furthermore, manually written multithreading may not fully utilize the underlying hardware architecture, potentially leaving performance on the table.

Since *Python* is our choice of programming language multithreading is especially tricky. Due to the *Global Interpreter Lock* only one thread can execute bytecode at a time. This means that while you can create multiple threads, they won't truly run in parallel for CPU-bound tasks. The calculation of the potential field value is such a CPU-bounded task.

Our current implementation for repulsive force computation leverages the capabilities of the *PyTorch* framework. While traditionally used for deep learning, *PyTorch* excels at efficient tensor operations. In deep learning, called *tensors* represent the core building blocks of neural networks. *PyTorch* facilitates the weight adjustments ("learning") through oper-

ations on these tensors. Crucially, *PyTorch* offers automatic multithreading, making it well-suited for computationally intensive tasks like ours. This even extends to utilizing external CUDA-compliant GPUs for further performance gains.

While the traditional application of tensors lies in deep learning, we've effectively repurposed them for calculating the potential field value efficiently. By leveraging *PyTorch's* tensor-based computations, the entire trajectory path calculation in Figure 8 achieves an average runtime of just 0.24 seconds. This represents a remarkable 200x speedup compared to the sequential algorithm, highlighting the significant efficiency gains achieved with PyTorch.

In the next subsections we are going to dive into the tensor math for computing the potential field value in less than half a second.

The general algorithmic approach with *tensors* is to calculate the repulsive field values and the attraction field value for all locations in our environment at once. However, our environment contains obstacles modeled by both circles and polygons, which require different algorithms for the distance calculations for determining the repulsive field value. Therefore, we need to split the computation for the repulsive field values. We calculate the repulsive field values for circles and polygons separately, then simply add the repulsive field values together.

6.1 Tensor-Based Repulsive Force Calculation for Circular Obstacles

To establish the mathematical foundation, we define the following variables:

- Environment Bounds: width and height represent the dimensions of our environment. Referring to Figure 8, these values are width = 800 and height = 640 respectively.
- Obstacles: A list named *obstacles* stores all circular objects within the environment. Each element, denoted by *obstacles_i.vektor*, holds the coordinates for the *i th* circular object. The number of circular obstacles is stored in the variable *amount obstacles*

We employ tensors to efficiently compute the sum of repulsive forces exerted by all circular obstacles at each location within the environment. Tensors are essentially multidimensional arrays that offer significant advantages for numerical computations.

The computational steps for calculating the entire repulsive force for all obstacles at all locations in our environment at once with tensors are the following:

1. Create a "base" tensor T with $T \in \mathbb{R}^{(height+1) \times (width+1) \times 2}$. The entries of T are: $\forall i \in [height+1], j \in [width+1] : T_{i,j,0} = i, T_{i,j,1} = j.$ A visualization of this Tensor can be seen in Figure 9.

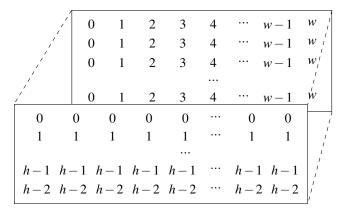


Figure 9: Visualization of the "base" tensor. The size of the tensor is $(height + 1) \times (width + 1) \times 2$. w and h are the width and height of our environment, respectively.

- 2. Define an "obstacle position tensor" $O_p \in \mathbb{R}^{amount_obstacles \times 2}$ that stores the x and y-coordinate of the i-th obstacle in the i-th row of the tensor O_p . Mathematically speaking: $\forall i \in [amount_obstacles] : O_{p_i} = obstacles_i.vector$. (Assuming obstacles is zero-indexed)
- 3. To compute the potential field value, we need to create two additional 1D tensors: "obstacles distance of influence" (O_d) and "obstacle attraction value" (O_a) . These tensors, both of size $\mathbb{R}^{amount_obstacles}$, store the distance of influence and attraction value of the i-th obstacle at the i-th position, respectively: $\forall i \in [amount_obstacles]$: $O_{d_i} = obstacles_i.distance_of_influence$, $O_{a_i} = obstacles_i.attraction$.
- 4. Now that we have our tensors defined, PyTorch's powerful broadcasting capabilities come into play. Broadcasting allows us to perform mathematical operations on tensors of different sizes, simplifying calculations.

To use *PyTorch's* broadcasting feature, we still have to add dimensions to the previously defined tensors so that the tensor dimensions align according to the broadcasting rules and the math works out. For the tensor T, we add a fictive third dimension. The size of the tensor now is [width + 1, height + 1, 1, 2]. For the tensor O_p we add two fictive 1st and 2nd dimensions, the resulting tensor size being $[1, 1, amount_obstacles, 2]$.

5. After adjusting the dimensions of T and O_p we simply subtract O_p from T: T - O_p . This results in a tensor of size [width + 1, height + 1, amount_obstacles, 2]. But what does this tensor represent?

This tensor encodes the difference vectors between each point (x, y) and every obstacle in the list. Each entry in the fourth dimension represents the vector from point (x, y) to the corresponding obstacle (i-th obstacle for the i-th

entry in the third dimension). The first element within this fourth-dimension-entry signifies the x-component of the difference vector, while the second element represents the y-component.

6. To determine the distance between each point (x, y) and all obstacles, we employ a two-step process leveraging the pre-computed difference vectors in tensor T.

First, we calculate the Euclidean distance for each vector in the fourth dimension of T. This involves squaring each element within a vector (representing the difference between the point and an obstacle) and summing those squares. Essentially, we compute the dot product of each difference vector with itself. This operation collapses the fourth dimension, resulting in a 3D tensor.

In the second step, we apply the square root element-wise to the resulting 3D tensor. This transformation yields the final distances between each point and all obstacles.

To access the distance between a specific point (x, y) and the i-th circular obstacle, one can perform a lookup at position $T_{y,x,i}$. This retrieves the corresponding distance value from the tensor.

- 7. We then leverage the pre-processed tensors to calculate the repulsive force exerted by each obstacle on all points (x, y). This involves the following steps:
 - Weighted Influence: We multiply the collapsed distance tensor T with the "obstacle distance of influence" tensor with the help of broadcasting. This emphasizes the influence of closer obstacles on the repulsive force.
 - Exponential Scaling: We raise the resulting element-wise product to the power of e (Euler's number). This introduces a non-linear relationship where closer obstacles contribute more significantly to the force as described by the 1st function introduced in this paper.
 - Attraction Integration: Finally, we multiply the result with the "obstacle attraction value" tensor. This incorporates the obstacle's inherent attraction strength.

Now, each value in the Tensor $T_{y,x,i}$ represents the single repulsive force in the location (x,y) generated by the i-th obstacle in the obstacle list.

8. We sum over all the single repulsive forces in the third dimension of the tensor T. This will collapse another dimension of our tensor. Hence, T will be 2D after summing in the third dimension. Through this, we added all the single repulsive forces of the obstacles to obtain the total repulsive force for all circular obstacles in a given position (x,y).

9. One final step involves setting the repulsive field values for obstacle coordinates (x, y) and the surrounding obstacle's coordinates to positive infinity. This ensures the path avoids these areas completely, guaranteeing no collisions. This calculation is the only point where the algorithm directly evaluates each location sequentially. To achieve this, we iterate through all obstacles in a list and set the field values in their vicinity to infinity.

6.2 Tensor-Based Repulsive Force Calculation for Polygon Obstacles

Currently, only repulsive forces for circular obstacles have been calculated (as described in Section 6.1). We now focus on extending this to polygonal obstacles.

Similar to the circular case, this algorithm for computing repulsive forces with tensors will also result in a tensor containing the corresponding repulsive field values. Ultimately, these repulsive field tensors for both circular and polygonal obstacles will be added to create a single tensor representing the total repulsive field.

Let's delve into the specifics of computing repulsive force values for polygonal obstacles using tensors. Figuring out the specific algorithm to calculate the repulsive field value for the polygon was the most difficult part of this clinical application project. Many hours went into coming up with a parallelizable algorithm.

We based the first algorithm approach on the sequential algorithm, described in Section 4. The core idea is that we only need to compute the distance between each edge of the obstacle and take a minimum of these values. This must be done for all obstacles and all positions in our environment.

We started off by using PyTorch to only calculate the distance between a point and all edges of an obstacle in parallel. Evaluating each point in our environment will still be done sequentially.

To do this, we needed to extend the math used in Section 4. Assuming a polygon is bounded by n vertices, we must solve equation (10) n-1 times to get the distance to all edges. Performing this operation in PyTorch is not as straightforward as one might assume.

The sequential algorithm mentioned in Section 4 effectively solves a rank-2 system n-1 times. However, since PyTorch does not have the capability to solve n-1 2-rank matrix-vector problems in parallel, we rewrite the n-1 sub-problems to one single matrix-vector problem that can be executed in parallel. This can be done by rewriting the entire problem with simple linear algebra. The solution vector of the rewritten problem will have 2*(n-1) entries, where each entry at position 2k stores the i value (Recall that the i value and it's meaning was addressed in Section 4) of the k-th obstacle's edge. At the position 2k+1 in the new solution vector the j value of the k-th obstacle's edge is stored.

The matrix A of newly formulated problem Ax = b is a 2×2 block diagonal matrix. Each block A_i stores the x and y coordinates of the i-th edge vector and the coordinates of the normal vector of the edge vector. This is the same as in equation 10. Vector b is simply a list containing all the individual b vectors from the original n-1 rank-2 matrix-vector problems, where the i-th and (i-th + 1) entry in the list corresponds to the b vector of the i-th subproblem. This is visualized in equation 12.

With this reformulated problem, in order to compute the distance between a point (x, y) and a polygonal obstacle, we formulate the matrix-vector equation as defined in Equation 12 and solve it.

We quickly recognized limitations with this initial approach. Calculating distances to obstacle edges with values of i outside the range [0,1] presented additional complexities and overhead. However, the most significant issue was the scalability of this matrix-vector approach. This approach required allocating a large amount of unnecessary memory.

To illustrate, consider a scenario with a typical environment size of 800x640 pixels and 10 obstacles, each with 10 edges. In this case, we would need to solve roughly 50 million equations in parallel. Fortunately, this isn't a CPU-intensive task due to the matrix structure involved (a collection of 2x2 blocks). Instead, the problem becomes entirely memory-bound. The resulting matrix would be massive, with dimensions of 50 million by 50 million, requiring storage for 25 quadrillion $(25x10^14)$ values. This memory footprint is simply impossible.

Fortunately, not all hope is lost. A mere fraction $\frac{2}{50.000.000} = 0,00000004 = 0,0000004\%$ of the entries in Matrix A are non-zero. PyTorch does not automatically recognize this sparsity, even when specifying the matrix as a block matrix using the *torch.block_diag()* function. Therefore, it becomes necessary to manually develop a new mathematical formulation that effectively utilizes the problem's characteristics. This new approach should specifically avoid storing the zero values.

We propose an approach based on 1D vector mathematics that addresses the previously described problem by relying solely on element-wise operations: addition, subtraction, multiplication, and division. To explain this approach, we look back at Equation 10. Here, we solved the matrix-vector problem with Gauss-Elimination while explicitly storing all zero values in Matrix A. We propose to solve the problem in an alternative way. From Equation 10 we can derive two equations:

I:
$$a_x + i \cdot ba_x = p_x + j \cdot n_x$$

II: $a_y + i \cdot ba_y = p_y + j \cdot n_y$ (13)

Equation I can be solved for j and then be inserted into II:

$$\begin{pmatrix}
ba_{1_{x}} & -n_{1_{x}} & 0 & 0 & 0 & 0 & \cdots & 0 & 0 \\
ba_{1_{y}} & -n_{1_{y}} & 0 & 0 & 0 & 0 & \cdots & 0 & 0 \\
0 & 0 & ba_{2_{x}} & -n_{2_{x}} & 0 & 0 & \cdots & 0 & 0 \\
0 & 0 & ba_{2_{y}} & -n_{2_{y}} & 0 & 0 & \cdots & 0 & 0 \\
0 & 0 & 0 & ba_{3_{x}} & -n_{3_{x}} & \cdots & 0 & 0 \\
0 & 0 & 0 & 0 & ba_{3_{y}} & -n_{3_{y}} & \cdots & 0 & 0 \\
\vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & 0 & 0 & 0 & \cdots & ba_{(n-1)_{x}} & -n_{(n-1)_{x}} \\
0 & 0 & 0 & 0 & 0 & \cdots & ba_{(n-1)_{y}} & -n_{(n-1)_{y}}
\end{pmatrix}$$

$$\begin{vmatrix}
i_{1} \\ j_{1} \\ i_{2} \\ j_{2} \\ i_{3} \\ j_{3} \\ \vdots \\ i_{(n-1)} \\ j_{(n-1)}
\end{vmatrix} = \begin{pmatrix}
p_{1_{x}} - a_{1_{x}} \\
p_{1_{y}} - a_{1_{y}} \\
p_{2_{x}} - a_{2_{x}} \\
p_{2_{y}} - a_{2_{y}} \\
p_{3_{x}} - a_{3_{x}} \\
p_{3_{y}} - a_{3_{y}} \\
\vdots \\
p_{(n-1)_{x}} - a_{(n-1)_{x}} \\
p_{(n-1)_{y}} - a_{(n-1)_{y}}
\end{pmatrix}$$

$$(12)$$

I:
$$a_x + i \cdot ba_x = p_x + j \cdot n_x$$

 $\Leftrightarrow a_x + i \cdot ba_x - p_x = j \cdot n_x$
 $\Leftrightarrow j = \frac{a_x + i \cdot ba_x - p_x}{n_x}$
(14)

Inserting 14 into II:

$$a_{y} + i \cdot ba_{y} = p_{y} + j \cdot n_{y}$$

$$\Leftrightarrow a_{y} + i \cdot ba_{y} = p_{y} + \frac{a_{x} + i \cdot ba_{x} - p_{x}}{n_{x}} \cdot n_{y}$$

$$\Leftrightarrow n_{x} \cdot (a_{y} + i \cdot ba_{y}) = p_{y} \cdot n_{x} + (a_{x} + i \cdot ba_{x} - p_{x}) \cdot n_{y}$$

$$\Leftrightarrow n_{x} \cdot a_{y} + i \cdot ba_{y} \cdot n_{x} = p_{y} \cdot n_{x} + a_{x} \cdot ba_{y} + i \cdot ba_{x} \cdot n_{y} - p_{x} \cdot n_{y}$$

$$\Leftrightarrow i \cdot ba_{y} \cdot n_{x} - i \cdot ba_{x} \cdot n_{y} = p_{y} \cdot n_{x} + a_{x} \cdot n_{y} - n_{x} \cdot a_{y} - p_{x} \cdot n_{y}$$

$$\Leftrightarrow i = \frac{p_{y} \cdot n_{x} + a_{x} \cdot n_{y} - n_{x} \cdot a_{y} - p_{x} \cdot n_{y}}{ba_{y} \cdot n_{x} - ba_{x} \cdot n_{y}}$$

$$\Leftrightarrow i = \frac{n_{x} \cdot (p_{y} - a_{y}) + n_{y} \cdot (a_{x} - p_{x})}{ba_{y} \cdot n_{y} - ba_{x} \cdot n_{y}}$$

$$(15)$$

With this reformulation, only 9 operations on single scalar values must be performed to determine the i value of a position (x,y) and an arbitrary edge of an obstacle. This is done without the overhead of storing zero values. To calculate the distance of a position to an obstacle we require the j value. This j value can be computed similarly with only scalar operations:

I:
$$a_{x} + i \cdot ba_{x} = p_{x} + j \cdot n_{x}$$

 $\Leftrightarrow i \cdot ba_{x} = p_{x} + j \cdot n_{x} - a_{x}$
 $\Leftrightarrow i = \frac{p_{x} + j \cdot n_{x} - a_{x}}{ba_{x}}$
(16)

Inserting 16 into II:

$$a_{y} + i \cdot ba_{y} = p_{y} + j \cdot n_{y}$$

$$\Leftrightarrow a_{y} + \frac{p_{x} + j \cdot n_{x} - a_{x}}{ba_{x}} \cdot ba_{y} = p_{y} + j \cdot n_{y}$$

$$\Leftrightarrow a_{y} \cdot ba_{x} + (p_{x} + j \cdot n_{x} - a_{x}) \cdot ba_{y} = (p_{y} + j \cdot n_{y}) \cdot ba_{x}$$

$$\Leftrightarrow a_{y} \cdot ba_{x} + p_{x} \cdot ba_{y} + j \cdot n_{x} \cdot ba_{y} - a_{x} \cdot ba_{y} = p_{y} \cdot ba_{x} + j \cdot n_{y} \cdot ba_{x}$$

$$\Leftrightarrow a_{y} \cdot ba_{x} + p_{x} \cdot ba_{y} - a_{x} \cdot ba_{y} - p_{y} \cdot ba_{x} = j \cdot n_{y} \cdot ba_{x} - j \cdot n_{x} \cdot ba_{y}$$

$$\Leftrightarrow j = \frac{a_{y} \cdot ba_{x} + p_{x} \cdot ba_{y} - a_{x} \cdot ba_{y} - p_{y} \cdot ba_{x}}{n_{y} \cdot ba_{x} - n_{x} \cdot ba_{y}}$$

$$\Leftrightarrow j = \frac{ba_{x} \cdot (a_{y} - p_{y}) + ba_{y} \cdot (p_{x} - p_{y})}{n_{y} \cdot ba_{x} - n_{x} \cdot ba_{y}}$$

$$(17)$$

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