

## 1 Approximation Algorithms

Suppose we have an approximation algorithm  $A$  that takes in instance  $I$  and returns a solution with value  $A(I)$ . Then the approximation ratio is:

$$\begin{array}{ll} \text{Maximization Problems} & \text{Minimization Problems} \\ \min_I \frac{A(I)}{\text{OPT}(I)} \leq 1 & \max_I \frac{A(I)}{\text{OPT}(I)} \geq 1 \end{array}$$

A general strategy for finding approximation algorithms (for minimization problems) is:

1. Solve another problem that gives a lower-bound on the optimal solution. (This problem is usually a *relaxed*, less constrained version of the original problem, but as a result its solution is not feasible in the original problem)
2. Find a way to turn this non-feasible solution into a feasible solution, inevitably increasing its value. The maximum amount this value can increase by is the approximation ratio.

## 2 Conceptual Questions

1. Which is better (for a minimization problem)? An approximation ratio of  $\log(n)$  or 2?
2. True or False, does reductions preserve approximation algorithms? Suppose problem  $X$  reduces to problem  $Y$ , and  $\mathcal{A}$  is an algorithm that gives an approximation ratio of  $\delta$  for  $Y$ . Will  $\mathcal{A}$  give the same approximation ratio ( $\delta$ ) for  $X$  after it is reduced to an instance of  $Y$ ?

## 3 Practice Questions

1. Approximation algorithms are useful for tackling computationally hard problems. Sometimes the task at hand is not computationally hard, but we still want approximation guarantees. Consider the following scenario:

Your friends have convinced you to go skiing with them for the first time in your life. You are not sure whether you will like skiing or not, and it might even take you several sessions to find out whether you like it. Skiing equipment is expensive. If you want to buy a set, it will cost you  $x$  dollars, but you can also rent a set for one session for  $y$  dollars. Should you invest in a set or just rent?

Friend A suggests you should simply buy a set, since renting is like throwing money away.

Friend B suggests you should always rent a set, since you never know when you might quit skiing.

- (a) If you were able to predict the future and know in advance that you would go skiing for  $n$  sessions, what would be the optimal strategy? Let the money you spend using this strategy be called  $\text{OPT}$ .

- (b) How bad can listening to friend A be compared to OPT, in terms of an approximation factor?
- (c) How bad can listening to friend B be compared to OPT?

Friend C suggests you follow this strategy: start by renting and keep track of how much you have spent on rentals. The first time that this sum is about to go over  $x$ , instead of renting, just buy a set. How bad can following C be compared to OPT?

2. Give a factor  $1/2$  approximation algorithm for the following problem: given a directed graph  $G = (V, E)$ , pick a maximum-size set of edges from  $E$  so that the resulting subgraph is acyclic.
3. Let  $\pi = \pi_1 \pi_2 \dots \pi_n$  be a permutation of  $1 \ 2 \dots n$ . A reversal  $\rho(i, j)$  reverses the contiguous subsequence between  $i$  and  $j$  i.e. transforms

$$\pi_1 \dots \pi_{i-1} \pi_i \pi_{i+1} \dots \pi_{j-1} \pi_j \pi_{j+1} \dots \pi_n$$

into

$$\pi_1 \dots \pi_{i-1} \pi_j \pi_{j-1} \dots \pi_{i+1} \pi_i \pi_{j+1} \dots \pi_n$$

The *sorting by reversals* problem is to find the minimum number of reversals that transforms a given permutation to the identity permutation. For example, the permutation  $[3, 4, 2, 1]$  can be sorted by flipping  $[3, 4]$  to get  $[4, 3, 2, 1]$  and then flipping this entire sequence.

- (a) Let's extend the permutation by adding  $\pi_0 = 0$  and  $\pi_{n+1} = n + 1$  to the ends ( $\pi_0$  and  $\pi_{n+1}$  are not moved during sorting). We say there is a breakpoint between  $\pi_i$  and  $\pi_{i+1}$  if they are not consecutive (in either order). For example, the permutation  $[3, 4, 2, 1]$  is converted to  $[0, 3, 4, 2, 1, 5]$  and there are three breakpoints: between  $(0, 3)$ ,  $(4, 2)$ , and  $(1, 5)$ . Note there are not breakpoints between  $(3, 4)$  or between  $(2, 1)$  as each adjacent pair consists of consecutive elements.  
Give a lower bound on the number of reversals needed to sort a permutation,  $\pi$ , if  $\pi$  has  $b(\pi)$  breakpoints.
- (b) Give an algorithm for the sorting by reversals problem with approximation ratio of at most 4 with respect to the minimal number of necessary reversals. (Hint: Any maximal run of contiguously increasing and contiguously decreasing elements should be kept together.)
4. Give a  $1 - \frac{1}{k}$  approximation algorithm for Max  $k$ -Cut: given an undirected graph  $G = (V, E)$  with non-negative edge costs, and an integer  $k$ , find a partition of  $V$  into sets  $S_1, \dots, S_k$  so that the total cost of edges running between these sets is maximized.