

## DUE DILIGENCE FORM

Milano, 18/12/2015

C.a. Dott. Mauro Micillo

### Oggetto: autorizzazione nuovo Broker <sup>(1)</sup>

La presente come richiesta di autorizzazione ad iniziare l'operatività con il Broker di seguito menzionato:

**DMBL - Dinosaur Merchant Bank Limited (Milan Branch)- ITMI2052419**

Descrizione	<p><i>The company, based in the United Kingdom, operates as a full-service international investment bank. It was incorporated in the year 2003 and has its registered head office based in London. It also has an office located in New York, United States. The company's areas of services are institutional brokerage; execution, prime brokerage and clearing services; investment banking and advisory; and asset management and private banking. The company is an international institutional broker of equities, bonds, structured products, financial futures, commodities, and foreign exchange. Its strategic alliances are crucial to helping us provide the best standard of service possible. Its partners include Penson Financial Services, National Financial Services, Rosenthal Collins Group Plc and Options Express among others. The company's clients include individuals, institutions, public and private organizations, and other brokers. Milan Branch was opened in January 2015.</i></p>
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La richiesta deriva dalla volontà del richiedente di iniziare una collaborazione in merito ai seguenti prodotti:

- CASH BOND
- REPO

Documentazione disponibile:

- Bilancio
- Statuto
- Atto costitutivo
- Opening Form
- Fee schedule
- Registrazione presso l'Autorità di Vigilanza competente

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