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Research article

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ABSTRACT

This paper studies the problem of path tracking of Autonomous Ground Vehicles (AGVs) in the presence of sideslip angles. An observer is designed to estimate both the sideslip angle and the vehicle yaw rate, based on which an observer-based controller is established such that the closed-loop system is stable and the vehicle follows a desired path accurately. In particular, the nonlinear vehicle dynamics model is reformulated as a Linear Parameter Varying (LPV) system, and a finite frequency H_∞ criteria is satisfied such that the disturbances are attenuated effectively, the parameter-dependent gain matrices are calculated simultaneously by solving a convex optimization problem. Simulation results show the effectiveness of the method proposed.

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1. Introduction

Path tracking control is one of the essential tasks of autonomous ground vehicles (AGVs), which requires that the vehicle travel along desired paths, a lot of related research has been presented in the literature [1,2]. It has been well known that PID control has been widely used due to its advantages in dealing with complex systems with nonlinear and uncertain parameters and structures [3]. By combining with other techniques such as fuzzy methods etc., the performance of PID control method can be improved greatly when applied to path tracking control problems for AGVs [4], and model predictive control (MPC) is another common method for path following controller design when different constraints are considered [5,6].

Particularly, in [7], convex quadratic programming (CQP) techniques are used to deal with the constraints of MPC for collision-free navigation of autonomous vehicles, and in [8], two independent model predictive controllers are designed for longitudinal and lateral motion planning, respectively. In addition, preview

control, sliding mode control and adaptive control strategies are also common control methods for path tracking [9–12]. To enhance system robustness, the nonsingular terminal sliding mode control is combined with active disturbance rejection control in [13], and an advanced event-triggered communication scheme is proposed in [14]. In [15], a robust steering control method is presented by the aid of a neural network approximator. On the other hand, H_∞ control is also widely utilized to improve the system robustness [16]. In [17], a robust path tracking control strategy is proposed for AGVs with data loss and delay, and in [18], a switching H_∞ optimal control method is proposed.

In practice, the frequencies of disturbances are usually bounded and their ranges are generally known, for systems with finite frequency external disturbances, the design of robust controllers in finite frequency domain instead of full frequency domain may receive better performance in both stability and robustness aspects. For example, an integrated design approach called generalized KYP lemma is proposed in [19], where the classical KYP lemma is generalized to finite frequency cases, and the conservatism introduced by full frequency design methods is reduced greatly. In [20,21], finite frequency design methods have been used for vehicle systems with and without faults, respectively. Note that AGV systems are usually approximated by linear systems affected by disturbances, model uncertainties, and faults etc [22–25]. In particular, in [26–28], nonlinear systems are converted into LPV systems, and parameter-dependent gains are obtained by the aid of parameter-dependent Lyapunov functions and linear matrix inequality (LMI) techniques. Further, state measurements including positions and headings are apt to

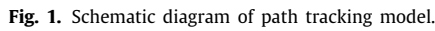
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Notation:. Throughout this paper, I is used to represent an identity matrix. $\text{diag}\{Y_1, Y_2, \dots, Y_n\}$ denotes a block diagonal matrix, whose main diagonal matrices are Y_1, Y_2, \dots, Y_n . A^T denotes the transpose of a matrix A , $\text{He}(A)$ means $A + A^*$. $A < 0 (\leq 0)$ means that A is negative (semi) definiteness.

$$\dot{x}(t) = A(\alpha)x(t) + B(\alpha)u(t) + B_d(\alpha)d(t) \quad (6)$$

where $A(\alpha) = \sum_{i=1}^8 \alpha_i A_i$, $B(\alpha) = \sum_{i=1}^8 \alpha_i B_i$, $B_d(\alpha) = \sum_{i=1}^8 \alpha_i B_{d,i}$, with $0 < \alpha_i < 1$, $\sum_{i=1}^8 \alpha_i = 1$, and

$$\begin{aligned} A_1 &= \begin{bmatrix} 0 & v_x & v_x & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & a_{11} & a_{12} \\ 0 & 0 & a_{21} & a_{22} \end{bmatrix}, A_2 = \begin{bmatrix} 0 & v_x & v_x & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & a_{11} & a_{12} \\ 0 & 0 & a_{21} & a_{22} \end{bmatrix} \\ A_3 &= \begin{bmatrix} 0 & v_x & v_x & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & \bar{a}_{11} & \bar{a}_{12} \\ 0 & 0 & \bar{a}_{21} & \bar{a}_{22} \end{bmatrix}, A_4 = \begin{bmatrix} 0 & v_x & v_x & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & \bar{a}_{11} & \bar{a}_{12} \\ 0 & 0 & \bar{a}_{21} & \bar{a}_{22} \end{bmatrix} \\ A_5 &= \begin{bmatrix} 0 & \bar{v}_x & \bar{v}_x & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & \underline{a}_{11} & \underline{a}_{12} \\ 0 & 0 & \underline{a}_{21} & \underline{a}_{22} \end{bmatrix}, A_6 = \begin{bmatrix} 0 & \bar{v}_x & \bar{v}_x & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & \underline{a}_{11} & \underline{a}_{12} \\ 0 & 0 & \underline{a}_{21} & \underline{a}_{22} \end{bmatrix} \\ A_7 &= \begin{bmatrix} 0 & \bar{v}_x & \bar{v}_x & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & \bar{a}_{11} & \bar{a}_{12} \\ 0 & 0 & \bar{a}_{21} & \bar{a}_{22} \end{bmatrix}, A_8 = \begin{bmatrix} 0 & \bar{v}_x & \bar{v}_x & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & \bar{a}_{11} & \bar{a}_{12} \\ 0 & 0 & \bar{a}_{21} & \bar{a}_{22} \end{bmatrix} \\ B_1 = B_2 = B_5 = B_6 &= \begin{bmatrix} 0 \\ 0 \\ \frac{C_f}{m v_x} \\ \frac{C_f l_f}{I_z} \end{bmatrix}, B_3 = B_4 = B_7 = B_8 = \begin{bmatrix} 0 \\ 0 \\ \frac{C_f}{m \bar{v}_x} \\ \frac{C_f l_f}{I_z} \end{bmatrix} \end{aligned}$$

$$B_{d,1} = B_{d,2} = B_{d,3} = B_{d,4} = \text{diag}\{1, \underline{v}_x, 1, \frac{1}{I_z}\}$$

$$B_{d,5} = B_{d,6} = B_{d,7} = B_{d,8} = \text{diag}\{1, \bar{v}_x, 1, \frac{1}{I_z}\}$$

$$\begin{aligned} \underline{a}_{11} &= -\frac{C_f + C_r}{m v_x}, \quad \bar{a}_{11} = -\frac{C_f + C_r}{m \bar{v}_x} \\ \underline{a}_{12} &= -(1 + \frac{C_f l_f - C_r l_r}{m v_x^2}), \quad \bar{a}_{12} = -(1 + \frac{C_f l_f - C_r l_r}{m \bar{v}_x^2}) \\ \underline{a}_{22} &= -\frac{C_f l_f^2 + C_r l_r^2}{I_z v_x}, \quad \bar{a}_{22} = -\frac{C_f l_f^2 + C_r l_r^2}{I_z \bar{v}_x} \end{aligned}$$

The task of this paper is to design a control strategy such that the closed-loop path tracking system is stable and the disturbances are attenuated effectively. However, the measurement of system states is inevitably affected by noises, an observer is designed for state estimation, and an observer-based state-feedback controller is proposed instead of classic state-feedback controller, such that the desired path is tracked accurately.

Denote $y(t)$ as measurement of state $x(t)$, and let $w(t)$ denote the measurement noise, we have that

$$y(t) = Cx(t) + Dw(t) \quad (7)$$

where C is an identity matrix, and D is a diagonal weighting matrix. Combining (7) and (5), we have

$$\begin{aligned} \dot{x}(t) &= A(\alpha)x(t) + B(\alpha)u(t) + B_d(\alpha)d(t) \\ y(t) &= Cx(t) + Dw(t) \end{aligned} \quad (8)$$

Next, the design procedure of observer-based state-feedback controller will be presented in details.

3. Observer-based H_∞ state-feedback controller design

Let observer model be

$$\begin{aligned} \dot{\hat{x}}(t) &= A(\alpha)\hat{x}(t) + B(\alpha)u(t) + L(\alpha)(\hat{y}(t) - y(t)) \\ \hat{y}(t) &= C\hat{x}(t) \end{aligned} \quad (9)$$

where $\hat{x}(t)$ is observer state which is to estimate $x(t)$, $A(\alpha)$ and $B(\alpha)$ are the same as defined in (8), $L(\alpha)$ is a parameter-dependent observer gain matrix.

Define state estimation error $\tilde{x}(t) = \hat{x}(t) - x(t)$, then we have

$$\begin{aligned} \dot{\tilde{x}}(t) &= \dot{\hat{x}}(t) - \dot{x}(t) \\ &= A(\alpha)\tilde{x}(t) + L(\alpha)(\hat{y}(t) - y(t)) - B_d d(t) \\ &= A(\alpha)\tilde{x}(t) + L(\alpha)C\tilde{x}(t) - LDw(t) - B_d d(t) \\ &= (A(\alpha) + L(\alpha)C)\tilde{x}(t) + [-L(\alpha)D \quad -B_d(\alpha)]n(t) \end{aligned} \quad (10)$$

where $n(t) = [w(t) \quad d(t)]^T$.

Define

$$\xi(t) = \begin{bmatrix} x(t) \\ \tilde{x}(t) \end{bmatrix} \quad (11)$$

Combining (9)–(10), we have

$$\begin{aligned} \dot{\xi}(t) &= \bar{A}(\alpha)\xi(t) + \bar{B}(\alpha)n(t) \\ \tilde{y}(t) &= \bar{C}\xi(t) + \bar{D}n(t) \end{aligned} \quad (12)$$

where $\tilde{y}(t)$ is the output estimated error, and

$$\begin{aligned} \bar{A} &= \begin{bmatrix} A(\alpha) + B(\alpha)K(\alpha) & B(\alpha)K(\alpha) \\ 0 & A(\alpha) + L(\alpha)C \end{bmatrix}, \\ \bar{B} &= \begin{bmatrix} 0 & B_d(\alpha) \\ -L(\alpha)D & -B_d(\alpha) \end{bmatrix}, \\ \bar{C} &= [0 \quad C], \quad \bar{D} = [-D \quad 0] \end{aligned}$$

Then, the design task of this paper can be further formulated as designing an observer-based state-feedback controller

$$u(t) = K(\alpha)\hat{x}(t) \quad (13)$$

such that (12) is stable and satisfies

$$\sigma_{\max}(G(j\omega)) < \gamma_1, \forall |\omega| \leq \varpi_1 \quad (14)$$

where $G(j\omega) = \bar{C}(j\omega I - \bar{A}(\alpha))^{-1}\bar{B}(\alpha) + \bar{D}$ is the transfer function from $n(t)$ to $\tilde{y}(t)$.

Remark 1. Note that $K(\alpha)$ is a parameter-dependent controller gain matrix, and (14) is used to attenuate finite frequency disturbance $n(t)$.

Next, some lemmas are presented which are essential for the generation of LMI conditions for (14).

Lemma 1 ([19]). Consider system (12), let symmetric matrix

$$\Pi_1 = \begin{bmatrix} I & 0 \\ 0 & -\gamma_1^2 I \end{bmatrix} \quad (15)$$

be given, then condition

$$\sigma_{\max}(G(j\omega)) < \gamma_1, \forall |\omega| \leq \varpi_1 \quad (16)$$

holds, if and only if

$$\begin{bmatrix} \bar{A}(\alpha) & I \\ \bar{C}(\alpha) & 0 \end{bmatrix} \Xi(\alpha) \begin{bmatrix} \bar{A}(\alpha) & I \\ \bar{C}(\alpha) & 0 \end{bmatrix}^T + \begin{bmatrix} \bar{B}(\alpha) & 0 \\ \bar{D}(\alpha) & I \end{bmatrix} \Pi_1 \begin{bmatrix} \bar{B}(\alpha) & 0 \\ \bar{D}(\alpha) & I \end{bmatrix}^T < 0 \quad (17)$$

holds, where

$$\Xi(\alpha) = \begin{bmatrix} -Q(\alpha) & P(\alpha) \\ P(\alpha) & \varpi_1^2 Q(\alpha) \end{bmatrix}$$

Proof. Similar to Lemma 1 of [27], the proof is omitted.

Lemma 2 ([34]). Given U, V, Θ , there exists a matrix F such that $UFV + (VFU)^T + \Theta < 0$ (18)

holds, if and only if

$$N_U \Theta N_U^T < 0 \quad (19)$$

$$N_V^T \Theta N_V < 0 \quad (20)$$

hold simultaneously, where N_U and N_V are arbitrary matrices whose columns form a basis of the null spaces of U and V , respectively.

Lemma 3. Consider augmented system (12), let N_R be a null space matrix of R . The following statements are equivalent:

(a) Condition (17) holds and

$$N_R^T (J \Xi(\alpha) J^T + H(\alpha) \Pi_1(\alpha) H(\alpha)^T) N_R < 0 \quad (21)$$

(b) The following inequality holds:

$$J \Xi(\alpha) J^T + H(\alpha) \Pi_1(\alpha) H(\alpha)^T + L(\alpha) W(\alpha) R + (L(\alpha) W(\alpha) R)^T < 0 \quad (22)$$

where

$$J = \begin{bmatrix} I & 0 \\ 0 & I \\ 0 & 0 \end{bmatrix}, H(\alpha) = \begin{bmatrix} 0 & 0 \\ \bar{B}(\alpha) & 0 \\ \bar{D}(\alpha) & I \end{bmatrix}, L(\alpha) = \begin{bmatrix} -I \\ \bar{A}(\alpha) \\ \bar{C}(\alpha) \end{bmatrix}$$

and W is a positive definite matrix and $\Xi(\alpha)$ is defined in Lemma 1.

Proof. Let the null space matrix of $L(\alpha)$ be

$$N_L = \begin{bmatrix} \bar{A}(\alpha) & I & 0 \\ \bar{C}(\alpha) & 0 & I \end{bmatrix} \quad (23)$$

define

$$\Theta = J \Xi(\alpha) J^T + H(\alpha) \Pi_1(\alpha) H(\alpha)^T \quad (24)$$

Condition (17) in Lemma 1 is equivalent to

$$N_L \Theta N_L^T < 0 \quad (25)$$

according to Lemma 2, the conclusion is evident, this completes the proof.

Lemma 4 (Young's Relation). Given two matrices X and Y . Then, for any symmetric positive definite matrix S , condition

$$X^T Y + Y^T X \leq X^T S^{-1} X + Y^T S Y \quad (26)$$

holds.

Theorem 1. Given augmented system (12), performance index

$$\sigma_{\max}(G(j\omega)) < \gamma_1, \forall |\omega| \leq \varpi_1 \quad (27)$$

holds if the following LMI (28) holds,

$$\begin{bmatrix} -Q_{11}(\alpha) & -\bar{Q}_{12}(\alpha) & P_{11}(\alpha) - W_{11} & \bar{P}_{12}(\alpha) & 0 & 0 & 0 & 0 & 0 \\ * & -\bar{Q}_{22}(\alpha) & \bar{P}_{21}(\alpha) & \bar{P}_{22}(\alpha) - Y & 0 & 0 & 0 & 0 & 0 \\ * & * & T_1 & \varpi_1^2 Q_{12}(\alpha) & 0 & 0 & 0 & 0 & 0 \\ * & * & * & T_2 & 0 & 0 & 0 & 0 & 0 \\ * & * & * & * & 0 & 0 & 0 & 0 & 0 \\ * & * & * & * & 0 & 0 & 0 & 0 & 0 \\ * & * & * & * & 0 & 0 & 0 & 0 & 0 \\ * & * & * & * & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & B(\alpha) \hat{K}(\alpha) & 0 & B_d(\alpha) & 0 & 0 & 0 & 0 & 0 \\ \hat{L}(\alpha) D D^T + C^T & 0 & I & -Y B_d & \hat{L} D & 0 & 0 & 0 & 0 \\ D D^T - \gamma_1^2 I & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ * & -\frac{1}{\epsilon_1} W_{11} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ * & * & -\epsilon_1 W_{11} & 0 & 0 & 0 & 0 & 0 & 0 \\ * & * & * & -I & 0 & 0 & 0 & 0 & 0 \\ * & * & * & * & -I & 0 & 0 & 0 & 0 \end{bmatrix} < 0 \quad (28)$$

where

$$T_1 = \varpi_1^2 Q_{11}(\alpha) + He(A(\alpha) W_{11} + B(\alpha) \hat{K}(\alpha))$$

$$T_2 = \varpi_1^2 Q_{22}(\alpha) + He(Y A(\alpha) + \hat{L}(\alpha) C)$$

Proof.

$$R = \begin{bmatrix} 0 \\ I \\ 0 \end{bmatrix}^T, W = \begin{bmatrix} W_{11} & 0 \\ 0 & W_{22} \end{bmatrix},$$

$$Q(\alpha) = \begin{bmatrix} Q_{11}(\alpha) & Q_{12}(\alpha) \\ Q_{21}(\alpha) & Q_{22}(\alpha) \end{bmatrix}, P(\alpha) = \begin{bmatrix} P_{11}(\alpha) & P_{12}(\alpha) \\ P_{21}(\alpha) & P_{22}(\alpha) \end{bmatrix}$$

(22) can be rewritten as $\Lambda_1 < 0$, where

$$\Lambda_1 = \begin{bmatrix} -Q_{11}(\alpha) & -Q_{12}(\alpha) & P_{11}(\alpha) - W_{11} & P_{12}(\alpha) & 0 \\ * & -Q_{22}(\alpha) & P_{21}(\alpha) & P_{22}(\alpha) - W_{22} & 0 \\ * & * & S_1 & T_3 & 0 \\ * & * & * & S_2 & L(\alpha) D D^T + W_{22}^T C^T \\ * & * & * & * & D D^T - \gamma_1^2 I \end{bmatrix} \quad (29)$$

with

$$T_3 = \varpi_1^2 Q_{12}(\alpha) - B_d(\alpha) B_d^T(\alpha) + B(\alpha) K(\alpha) W_{22}$$

$$S_1 = \varpi_1^2 Q_{11}(\alpha) + B_d(\alpha) B_d^T(\alpha) + He(A(\alpha) W_{11} + B(\alpha) K(\alpha) W_{11})$$

$$S_2 = \varpi_1^2 Q_{22}(\alpha) + B_d(\alpha) B_d^T(\alpha) + L(\alpha) D D^T L^T(\alpha) + He(A(\alpha) W_{22} + L(\alpha) C W_{22})$$

Let $M_1 = \text{diag}\{I, I, I, Y, I\}$, $M_2 = \text{diag}\{I, Y, I, I, I\}$, performing a congruence transformation to (29), we have

$$M_1 M_2 \Lambda_1 M_2^T M_1^T < 0 \quad (30)$$

where $Y = W_{22}^{-1}$, which is $\Lambda_2 < 0$, where

$$\Lambda_2 = \begin{bmatrix} -Q_{11}(\alpha) & -Q_{12}(\alpha) Y & P_{11}(\alpha) - W_{11} & P_{12}(\alpha) Y & 0 \\ * & -Y Q_{22}(\alpha) Y & Y P_{21}(\alpha) & Y P_{22}(\alpha) Y - Y & 0 \\ * & * & S_1 & T_4 & 0 \\ * & * & * & S_3 & Y L(\alpha) D D^T + C^T \\ * & * & * & * & D D^T - \gamma_1^2 I \end{bmatrix} \quad (31)$$

with

$$T_4 = \varpi_1^2 Q_{12}(\alpha) Y - B_d(\alpha) B_d^T(\alpha) Y + B(\alpha) K(\alpha)$$

$$S_3 = \varpi_1^2 Y Q_{22}(\alpha) Y + Y B_d(\alpha) B_d^T(\alpha) Y + Y L(\alpha) D D^T L^T(\alpha) Y + He(Y A(\alpha) + Y L(\alpha) C)$$

Since (31) can be converted to

$$\Lambda_2 = \begin{bmatrix} -Q_{11}(\alpha) & -Q_{12}(\alpha) Y & P_{11}(\alpha) - W_{11} \\ * & -Y Q_{22}(\alpha) Y & Y P_{21}(\alpha) \\ * & * & S_1 \\ * & * & * \\ P_{12}(\alpha) Y & 0 & \\ Y P_{22}(\alpha) Y - Y & 0 & \\ T_5 & 0 & \\ S_3 & Y L(\alpha) D D^T + C^T & \\ * & D D^T - \gamma_1^2 I & \end{bmatrix} + \gamma_1 < 0 \quad (32)$$

where

$$T_5 = \varpi_1^2 Q_{12}(\alpha) Y - B_d(\alpha) B_d^T(\alpha) Y$$

and

$$\gamma_1 = \begin{bmatrix} 0 \\ 0 \\ BK(\alpha) \\ 0 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 0 \\ I \\ 0 \end{bmatrix}^T + \begin{bmatrix} 0 \\ 0 \\ 0 \\ I \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}^T$$

Applying Lemma 4, we have

$$\begin{bmatrix} -Q_{11}(\alpha) & -Q_{12}(\alpha)Y & P_{11}(\alpha) - W_{11} & P_{12}(\alpha)Y & 0 \\ * & -YQ_{22}(\alpha)Y & YP_{21}(\alpha) & YP_{22}(\alpha)Y - Y & 0 \\ * & * & S_1 & T_5 & 0 \\ * & * & * & S_3 & YL(\alpha)DD^T + C^T \\ * & * & * & * & DD^T - \gamma_1^2 I \end{bmatrix} + \gamma_2 < 0 \quad (33)$$

where

$$\gamma_2 = \epsilon_1 \begin{bmatrix} 0 \\ 0 \\ BK(\alpha) \\ 0 \\ 0 \end{bmatrix} W_{11} \begin{bmatrix} 0 \\ 0 \\ BK(\alpha) \\ 0 \\ 0 \end{bmatrix}^T + \frac{1}{\epsilon_1} W_{11}^{-1} \begin{bmatrix} 0 \\ 0 \\ 0 \\ I \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 0 \\ I \\ 0 \end{bmatrix}^T$$

and $\epsilon_1 > 0$. Let $\hat{K}(\alpha) = K(\alpha)W_{11}$, $\hat{L}(\alpha) = YL(\alpha)$, we get

$$\begin{bmatrix} -Q_{11}(\alpha) & -YQ_{12}(\alpha) & P_{11}(\alpha) - W_{11} & P_{12}(\alpha)Y \\ * & -YQ_{22}(\alpha)Y & YP_{21}(\alpha) & YP_{22}(\alpha)Y - Y \\ * & * & S_4 & T_5 \\ * & * & * & S_5 \\ * & * & * & * \\ * & * & * & * \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & B(\alpha)\hat{K}(\alpha) & 0 \\ \hat{L}(\alpha)DD^T + C^T & 0 & I \\ DD^T - \gamma_1^2 I & 0 & 0 \\ * & -\frac{1}{\epsilon_1} W_{11} & 0 \\ * & * & -\epsilon_1 W_{11} \end{bmatrix} + \gamma_2 < 0 \quad (34)$$

where

$$S_4 = \varpi_1^2 Q_{11}(\alpha) + B_d(\alpha)B_d^T(\alpha) + He(A(\alpha)W_{11} + B(\alpha)\hat{K}(\alpha))$$

and

$$S_5 = \varpi_1^2 YQ_{22}(\alpha)Y + YB_d(\alpha)B_d^T(\alpha)Y + \hat{L}(\alpha)DD^T\hat{L}^T(\alpha) + He(YA(\alpha) + \hat{L}(\alpha)C)$$

Using Schur complement Lemma, and let

$$\bar{Q}_{12}(\alpha) = Q_{12}(\alpha)Y, \bar{Q}_{22}(\alpha) = YQ_{22}(\alpha)Y,$$

$$\bar{P}_{12}(\alpha) = P_{12}(\alpha)Y, \bar{P}_{21}(\alpha) = YP_{21}(\alpha),$$

$$\bar{P}_{22}(\alpha) = YP_{22}(\alpha)Y$$

we have that (28) holds.

4. Stability analysis

Firstly, the following lemma is essential for the formulation of stability conditions.

Lemma 5 ([35]). For system (5), A is Hurwitz if there exist symmetric matrix variables X and W such that the following inequality holds:

$$\begin{bmatrix} -(W + W^T) & W^T A^T + X & W^T \\ * & -X & 0 \\ * & * & -X \end{bmatrix} < 0 \quad (35)$$

where $V = W^{-1}$, $Y = X^{-1}$.

Theorem 2. System (12) is stable if there exists positive scalar ϵ_2 , and matrix variables W_{11} , Y , $\bar{X}_{11}(\alpha)$, $\bar{X}_{12}(\alpha)$, $\bar{X}_{21}(\alpha)$, $\bar{X}_{22}(\alpha)$ satisfying the following inequality

$$\begin{bmatrix} -2W_{11} & 0 & T_6 & \bar{X}_{12}(\alpha) \\ * & -2Y & \bar{X}_{12}^T(\alpha) & T_7 \\ * & * & -\bar{X}_{11}(\alpha) & -\bar{X}_{12}(\alpha) \\ * & * & * & -\bar{X}_{22}(\alpha) \\ * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ W_{11}^T & 0 & 0 & 0 \\ 0 & Y & 0 & I \\ 0 & 0 & B(\alpha)\hat{K}(\alpha) & 0 \\ 0 & 0 & 0 & 0 \\ -\bar{X}_{11}(\alpha) & -\bar{X}_{12}(\alpha) & 0 & 0 \\ * & -\bar{X}_{22}(\alpha) & 0 & 0 \\ * & * & -\frac{1}{\epsilon_2} W_{11} & 0 \\ * & * & * & -\epsilon_2 W_{11} \end{bmatrix} < 0 \quad (36)$$

where

$$T_6 = \bar{X}_{11}^T(\alpha) + \hat{K}^T(\alpha)B^T(\alpha) + W_{11}^T A^T(\alpha)$$

$$T_7 = A^T(\alpha)Y^T + C^T \hat{L}^T(\alpha) + \bar{X}_{22}^T(\alpha)$$

Proof. Let

$$W = \begin{bmatrix} W_{11} & 0 \\ 0 & W_{22} \end{bmatrix}, X = \begin{bmatrix} X_{11}(\alpha) & X_{12}(\alpha) \\ X_{21}(\alpha) & X_{22}(\alpha) \end{bmatrix}$$

Apply Lemma 5, it is evident that (12) is stable if

$$\begin{bmatrix} -(W_{11} + W_{11}^T) & 0 & T_8 & X_{12}(\alpha) & W_{11}^T & 0 \\ * & -(W_{22} + W_{22}^T) & T_9 & T_{10} & 0 & W_{22}^T \\ * & * & -X_{11}(\alpha) & -X_{12}(\alpha) & 0 & 0 \\ * & * & * & -X_{22}(\alpha) & 0 & 0 \\ * & * & * & * & -X_{11}(\alpha) & -X_{12}(\alpha) \\ * & * & * & * & * & -X_{22}(\alpha) \end{bmatrix} < 0 \quad (37)$$

where

$$T_8 = W_{11}^T A^T(\alpha) + W_{11}^T K^T(\alpha)B^T(\alpha) + X_{11}(\alpha)$$

$$T_9 = W_{22}^T K^T(\alpha)B^T(\alpha) + X_{21}(\alpha)$$

$$T_{10} = W_{22}^T A^T(\alpha) + W_{22}^T C^T L^T(\alpha) + X_{22}(\alpha)$$

Define $Y = W_{22}^{-1}$, and let $M_3 = \text{diag}\{I, Y, I, Y, I, Y\}$, multiply (37) by M_3 and M_3^T on the left and right respectively, we have

$$\begin{bmatrix} -2W_{11} & 0 & T_8 & X_{12}(\alpha)Y & W_{11}^T & 0 \\ * & -2Y & T_{11} & T_{12} & 0 & Y \\ * & * & -X_{11}(\alpha) & -X_{12}(\alpha)Y & 0 & 0 \\ * & * & * & -YX_{22}(\alpha)Y & 0 & 0 \\ * & * & * & * & -X_{11} & -X_{12}(\alpha)Y \\ * & * & * & * & * & -YX_{22}(\alpha)Y \end{bmatrix} < 0 \quad (38)$$

where

$$T_{11} = K^T(\alpha)B^T(\alpha) + YX_{21}(\alpha),$$

$$T_{12} = A^T(\alpha)Y + C^T L^T(\alpha)Y + YX_{22}(\alpha)Y$$

Applying Lemma 4, it is obtained that (38) is equivalent to

$$\begin{bmatrix} -2W_{11} & 0 & T_{12} & X_{12}(\alpha)Y \\ * & -2Y & YX_{21}(\alpha) & T_{13} \\ * & * & -X_{11}(\alpha) & -X_{12}(\alpha)Y \\ * & * & * & -YX_{22}(\alpha)Y \\ * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ W_{11}^T & 0 & 0 & 0 \\ 0 & Y & 0 & I \\ 0 & 0 & B(\alpha)K(\alpha)W_{11} & 0 \\ 0 & 0 & 0 & 0 \\ -X_{11}(\alpha) & -X_{12}(\alpha)Y & 0 & 0 \\ * & -YX_{22}(\alpha)Y & 0 & 0 \\ * & * & -\frac{1}{\epsilon_2}W_{11} & 0 \\ * & * & * & -\epsilon_2W_{11} \end{bmatrix} < 0 \quad (39)$$

where

$$T_{12} = X_{11}^T(\alpha) + W_{11}^T K^T(\alpha) B^T(\alpha) + W_{11}^T A^T(\alpha)$$

$$T_{13} = A^T(\alpha) Y^T + C^T L^T(\alpha) Y + YX_{22}(\alpha) Y$$

Let

$$\bar{X}_{11}(\alpha) = X_{11}(\alpha), \bar{X}_{12}(\alpha) = X_{12}(\alpha)Y, \bar{X}_{22}(\alpha) = YX_{22}(\alpha)Y$$

$$\hat{K}(\alpha) = K(\alpha)W_{11}, \hat{L}(\alpha) = YL(\alpha)$$

we have that (39) becomes (36), this completes the proof.

5. LMI conditions for controller design

The controller design problem is formulated as satisfying a set of LMIs, which can be solved readily.

Theorem 3. Augmented system (12) is guaranteed to be stable and

$$\sigma_{\max}(G(j\omega)) < \gamma_1, \forall |\omega| \leq \varpi_1 \quad (40)$$

is satisfied if there exist positive matrices \bar{P}_i, W_{11}, Y , matrices $\bar{Q}_i, \hat{L}_i, \hat{K}_i, A_j, B_j, B_{dj}$ and a positive scalar ϵ_1 satisfying the following LMIs for $i = 1, \dots, 8, j = 1, \dots, 8$

$$\Theta_{1ij} < 0 \quad (41)$$

$$\Theta_{2ij} < 0 \quad (42)$$

where

$$\Theta_{1ij} = \begin{bmatrix} -Q_{11i} & -\bar{Q}_{12i} & P_{11i} - W_{11} & \bar{P}_{12i} & 0 \\ * & -\bar{Q}_{22i} & \bar{P}_{21i} & \bar{P}_{22i} - Y & 0 \\ * & * & S_6 & \varpi_1^2 \bar{Q}_{12i} & 0 \\ * & * & * & S_7 & \hat{L}_i D D^T + C^T \\ * & * & * & * & D D^T - \gamma_1^2 I \\ * & * & * & * & * \\ * & * & * & * & * \\ * & * & * & * & * \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ B_j \hat{K}_i & 0 & B_{dj} & 0 & 0 \\ 0 & I & -Y B_{dj} & \hat{L}_i D & 0 \\ 0 & 0 & 0 & 0 & 0 \\ -\frac{1}{\epsilon_1} W_{11} & 0 & 0 & 0 & 0 \\ * & -\epsilon_1 W_{11} & 0 & 0 & 0 \\ * & * & -I & 0 & 0 \\ * & * & * & -I & 0 \end{bmatrix} \quad (43)$$

$$\Theta_{2ij} = \begin{bmatrix} -2W_{11} & 0 & S_8 & \bar{X}_{12i} & W_{11}^T & 0 & 0 & 0 \\ * & -2Y & \bar{X}_{12i}^T & S_9 & 0 & Y & 0 & I \\ * & * & -\bar{X}_{11} & -\bar{X}_{12i} & 0 & 0 & B_j \hat{K}_i & 0 \\ * & * & * & -\bar{X}_{22i} & 0 & 0 & 0 & 0 \\ * & * & * & * & -\bar{X}_{11} & -\bar{X}_{12i} & 0 & 0 \\ * & * & * & * & * & -\bar{X}_{22i} & 0 & 0 \\ * & * & * & * & * & * & -\frac{1}{\epsilon_2} W_{11} & 0 \\ * & * & * & * & * & * & * & -\epsilon_2 W_{11} \end{bmatrix} \quad (44)$$

where

$$S_6 = \varpi_1^2 Q_{11i} + He(A_j W_{11} + B_j \hat{K}_i), S_7 = \varpi_1^2 \bar{Q}_{22i} + He(Y A_j + \hat{L}_i C)$$

$$S_8 = \bar{X}_{11}^T + \hat{K}_i^T B_j^T + W_{11}^T A_j^T, S_9 = A_j^T Y^T + C^T \hat{L}_i^T + \bar{X}_{22}^T$$

Proof. Note that

$$A(\alpha) = \sum_{j=1}^8 \alpha_j A_j, B(\alpha) = \sum_{j=1}^8 \alpha_j B_j,$$

$$B_d(\alpha) = \sum_{j=1}^8 \alpha_j B_{dj}.$$

Let

$$Q_{11}(\alpha) = \sum_{i=1}^8 \alpha_i Q_{11i}, Q_{12}(\alpha) = \sum_{i=1}^8 \alpha_i Q_{12i}, Q_{21}(\alpha) = \sum_{i=1}^8 \alpha_i Q_{21i},$$

$$Q_{22}(\alpha) = \sum_{i=1}^8 \alpha_i Q_{22i}, P_{11}(\alpha) = \sum_{i=1}^8 \alpha_i P_{11i}, P_{12}(\alpha) = \sum_{i=1}^8 \alpha_i P_{12i},$$

$$P_{21}(\alpha) = \sum_{i=1}^8 \alpha_i P_{21i}, P_{22}(\alpha) = \sum_{i=1}^8 \alpha_i P_{22i}, K(\alpha) = \sum_{i=1}^8 \alpha_i K_i,$$

$$\hat{K}(\alpha) = \sum_{i=1}^8 \alpha_i \hat{K}_i, L(\alpha) = \sum_{i=1}^8 \alpha_i L_i, \hat{L}(\alpha) = \sum_{i=1}^8 \alpha_i \hat{L}_i$$

we have that (28) is equivalent to

$$\sum_{i=1}^8 \sum_{j=1}^8 \alpha_i \alpha_j \Theta_{1ij} < 0 \quad (45)$$

Obviously, performance index (40) is satisfied if (41) holds for $i = 1, \dots, 8$. Similarly, system (12) is guaranteed to be stable if (42) holds for $i = 1, \dots, 8$, this completes the proof.

From Theorem 3, we have that the controller gains can be calculated by solving the following convex optimization problem

$$\begin{aligned} \min \quad & \gamma_1 \\ \text{s.t.} \quad & (41), (42) \end{aligned} \quad (46)$$

where $i = 1, \dots, 8, j = 1, \dots, 8$.

6. Simulation results

In this section, the proposed design method is verified through an AGV model, whose parameters are $m = 1573$ kg, $I_z = 2873$ kg m², $l_f = 1.1$ m, $l_r = 1.58$ m, $C_f = 80000$ N/rad, $C_r = 80000$ N/rad. Assume that the velocity v_x varies in $[15, 25]$, the frequency parameter $\omega_1 = 8$, positive constant $\epsilon_1 = 0.01, \epsilon_2 = 0.02$. Through solving (46), the H_∞ performance index is obtained to be $\gamma = 0.0844$, the controller gain K_i and observer gain L_i are obtained simultaneously, and $K(\alpha) = \sum_{i=1}^8 \alpha_i K_i, L(\alpha) = \sum_{i=1}^8 \alpha_i L_i$ are both parameter-dependent, for example, when $v_x = 20$ m/s, we have

$$K = [-0.1091 \quad -3.1639 \quad -0.7469 \quad -0.5890],$$

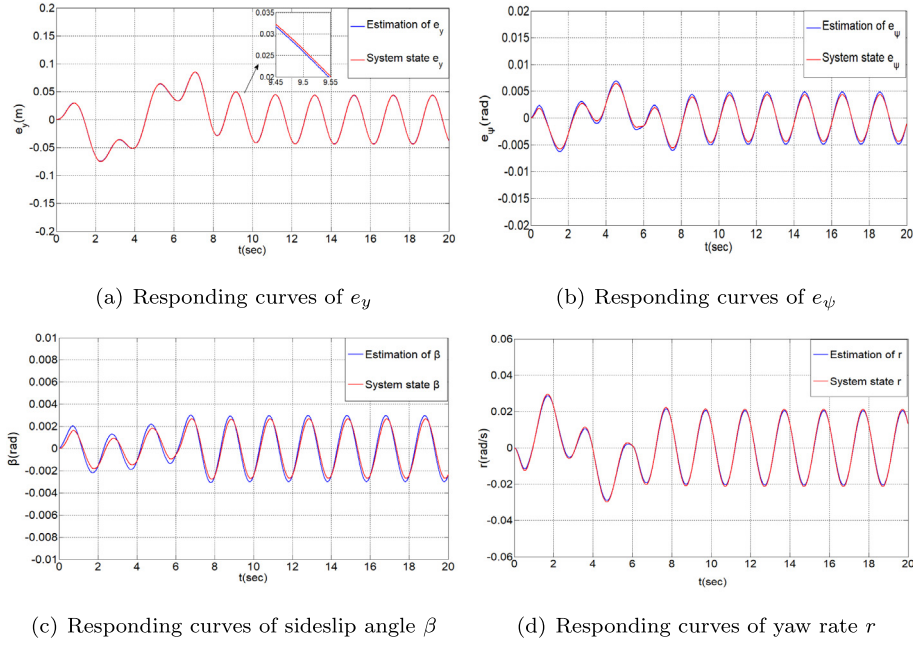


Fig. 2. Simulation results of system states. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

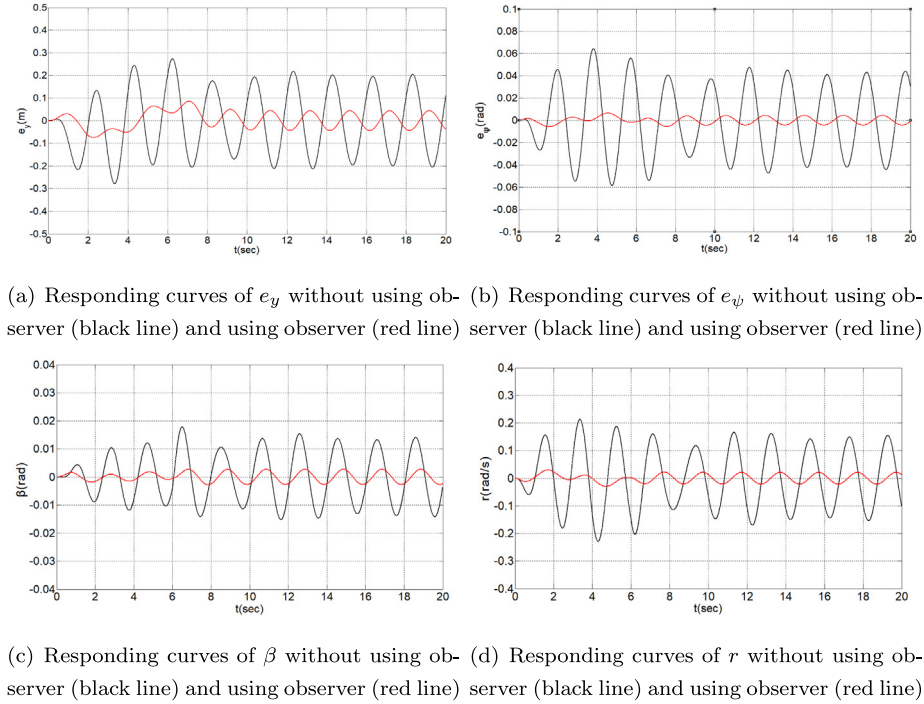


Fig. 3. Responding curves of system states without using observer (black line) and using observer (red line). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

$$L = 10^3 \times \begin{bmatrix} -0.2928 & -0.0409 & -0.0181 & -0.0057 \\ 0.0077 & -1.0817 & -0.0123 & -0.0068 \\ -0.0138 & 0.0004 & -0.0345 & -0.0043 \\ -0.0092 & 0.0001 & -0.0188 & -0.0215 \end{bmatrix}$$

Assume that initial state $x_0 = [0, 0, 0, 0]^T$, external disturbance $d(t) = [0.01 \sin(\pi t), 0.001 \sin(\pi t) - \rho, 0.01 \sin(\pi t), 0.02 \sin(\pi t)]^T$, measurement noise $w(t) = \sin(\pi t) \times [0.01, 0.01, 0.02, 0.01]^T$. v_x is variable, which is assumed to be $v_x = 16$ m/s for $t \leq 6$ s,

$v_x = 20$ m/s for $6 < t \leq 13$ s, and $v_x = 24$ m/s for $t > 13$ s. Fig. 2 show the state estimation results, where the red lines denote the true values, and the blue lines denote the estimation results of the observer.

In order to verify the advantage of the approach proposed, we compare the finite frequency observer-based controller with the finite frequency controller without observer and full frequency observer-based controller, respectively. Firstly, Fig. 3 show the comparison results of state estimation for the finite frequency

Table 1

Comparison results of path tracking errors e_y and heading angle errors e_ψ using full frequency observer based method and finite frequency observer based method under different levels of noises.

Noises level	Root mean square of e_y		Root mean square of e_ψ	
	Full frequency	Finite frequency	Full frequency	Finite frequency
$M = 0.05$	0.0363	0.028	0.0042	0.0017
$M = 0.1$	0.1356	0.1022	0.0292	0.0219
$M = 1$	0.311	0.2304	0.0639	0.047
$M = 3$	1.0172	0.748	0.2033	0.1476

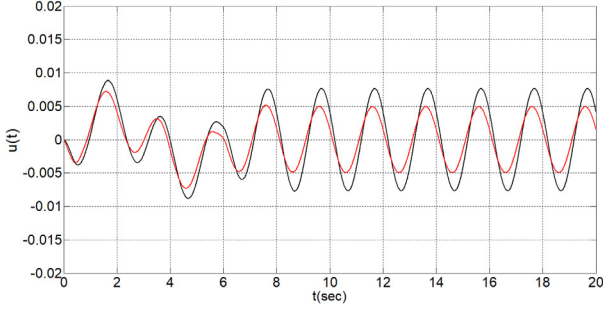


Fig. 4. Control input. The control inputs of finite frequency approach and full frequency approach are denoted by the red line and the black line respectively. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

control approaches with and without observer, respectively, from which we have that the observer-based state-feedback control approach receives better results.

To compare with the classic full frequency observer-based state-feedback controller design condition, which is provided in Lemma 6 of Appendix, solving optimization problem (46) with conditions (41)–(42) being replaced by (48), we have H_∞ performance index $\gamma = 0.4411$, the controller gain $K(\alpha)$ and $L(\alpha)$ for full frequency approach can also be calculated, and when

$v_x = 20$ m/s, we have

$$K = \begin{bmatrix} -0.0748 & -0.9398 & -0.4242 & -0.0699 \end{bmatrix}$$

$$L = 10^3 \times \begin{bmatrix} -0.1471 & -0.0396 & -0.0438 & -0.0228 \\ -0.0381 & -1.9453 & -0.0063 & -0.0033 \\ -0.0436 & -0.0050 & -0.0459 & -0.0171 \\ -0.0230 & -0.0023 & -0.0173 & -0.0190 \end{bmatrix}$$

Fig. 4 shows curves of control inputs of both approaches, and Fig. 5 shows comparison results of state estimation, where the red lines denote the state estimation results of finite frequency approach and black line denote the state estimation results of full frequency approach, obviously, the finite frequency approach generates smaller estimation error and sideslip angle.

Furthermore, to see the results from different levels of noises, let $w(t) = M \times [\sin(\pi t), \sin(\pi t), \sin(\pi t), \sin(\pi t)]^T$, where M is a positive scalar, the comparison results for different M are shown in Table 1, which shows that the controller designed receives better results than existing full frequency methods.

To see the path tracking results, suppose that a single-lane change is completed at 20 m/s. The road curvature is plotted in Fig. 6, and the global trajectories of the AGV using different approaches are shown in Fig. 7, where the desired trajectory is denoted by blue line, the vehicle trajectory generated by using the full frequency observe-based H_∞ control method is denoted by black line, while the vehicle trajectory generated by the method of this paper is denoted by red line, which receives better results.

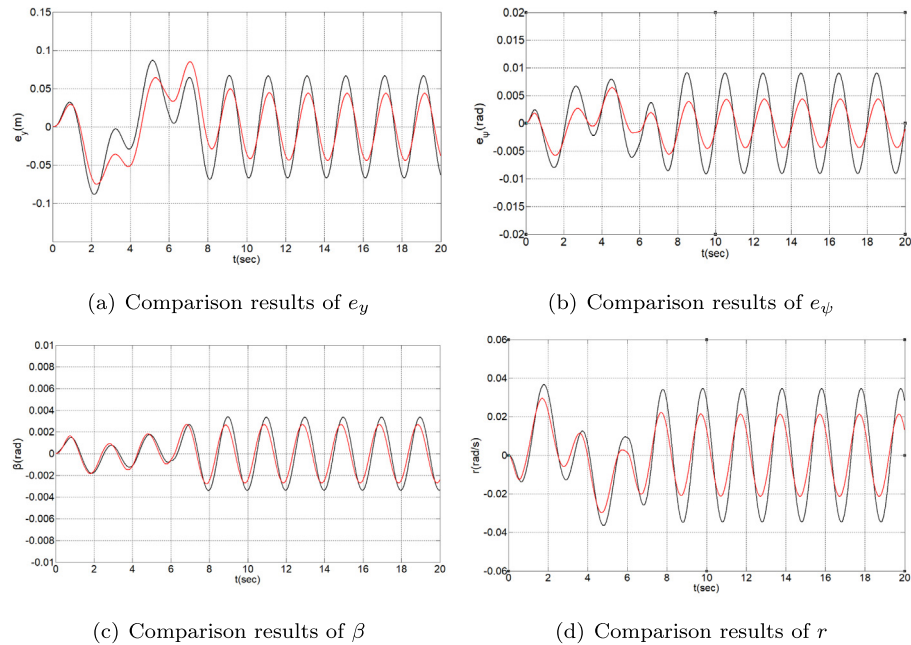


Fig. 5. Comparison results of system states between full frequency observer-based state-feedback control approach (black line) and finite frequency observer-based state-feedback control approach (red line). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

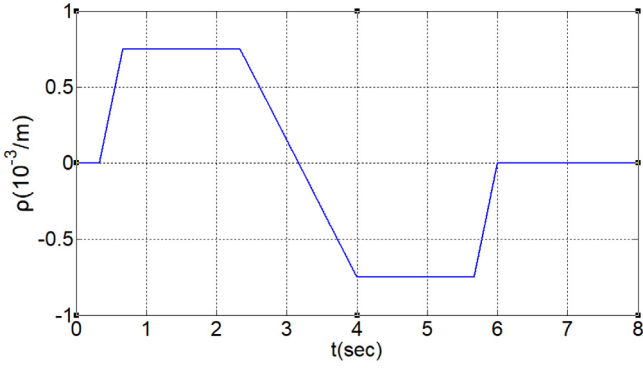


Fig. 6. Simulation results of the road curvature in single-lane change maneuver.

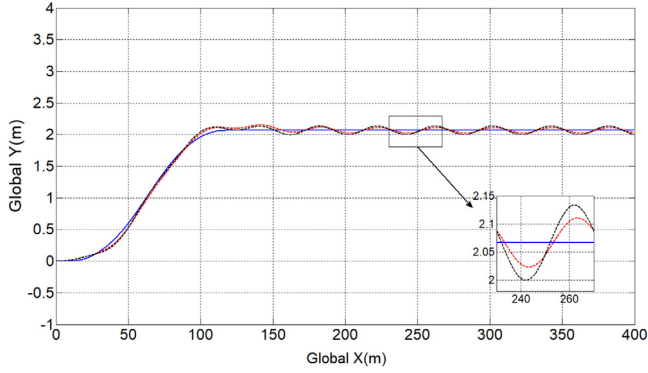


Fig. 7. Global trajectories for single-lane change maneuver. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

To further demonstrate the effectiveness of the method proposed, a double-lane change maneuver is tested in CarSim simulation environment [24,25], Fig. 8 shows the simulation environment, and Fig. 9 shows the state responding curves for both the full frequency and finite frequency methods, where the finite frequency method proposed in this paper receives better results.

7. Conclusions

In this paper, the path tracking control problem of AGVs is considered, an finite frequency observer-based control method is proposed, where the closed-loop control system is stable and certain finite frequency performances are satisfied. The nonlinear AGV model is transformed into an LPV system, and both the parameter-dependent controller gains and parameter-dependent observer gains are determined simultaneously through solving a convex optimization problem. Simulation results shows the effectiveness of the design method proposed, and the advantage of the proposed method has been illustrated through comparing with existing classic full frequency design problem.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.



Fig. 8. Simulation environment in CarSim for double-lane change maneuver of an AGV.

Appendix

Lemma 6. System (12) is stable with condition

$$\sigma_{\max}(G(j\omega)) < \gamma_1 \quad (47)$$

if there exist positive matrices P_{22} , Y , matrices \hat{L}_i , \hat{K}_i , A_j , B_j , B_{dj} and a positive scalar ϵ_3 such that

$$\begin{bmatrix} He(A_j Y + B_j \hat{K}_i) & 0 & * & * & * & * & * & * \\ & He(P_{22} A_j + \hat{L}_i C) & * & * & * & * & * & * \\ * & * & 0 & B_j \hat{K}_i & 0 & * & * & * \\ * & * & -\hat{L}_i D & -P_{22} B_{dj} & C^T & 0 & 0 & I \\ * & * & -\gamma^2 I & 0 & -D^T & 0 & 0 & 0 \\ * & * & * & -\gamma^2 I & 0 & 0 & 0 & 0 \\ * & * & * & * & -I & 0 & 0 & 0 \\ * & * & * & * & * & -\frac{1}{\epsilon_3} Y_i & 0 & 0 \\ * & * & * & * & * & * & -\epsilon_3 Y_i & * \end{bmatrix} < 0 \quad (48)$$

holds for $i = 1, \dots, 8$.

Proof. Applying Bounded Real Lemma, it can be easily obtained that (47) is satisfied if

$$\begin{bmatrix} \bar{A}^T(\alpha)P + P\bar{A}(\alpha) & P\bar{B}(\alpha) & \bar{C}^T \\ * & -\gamma^2 I & \bar{D}^T \\ * & * & -I \end{bmatrix} < 0 \quad (49)$$

holds. Let

$$P = \begin{bmatrix} P_{11} & 0 \\ 0 & P_{22} \end{bmatrix} < 0$$

Define $M_4 = \text{diag}\{Y, I, I, I, I\}$, multiply (49) by M_4 and M_4^T on the left and right sides respectively, we have

$$\begin{bmatrix} He(A(\alpha)Y + B(\alpha)\hat{K}(\alpha)) & B(\alpha)K(\alpha) & * & * & * \\ * & He(P_{22}A(\alpha) + \hat{L}(\alpha)C) & * & * & * \\ * & * & 0 & B(\alpha)\hat{K}(\alpha) & 0 \\ * & * & -\hat{L}(\alpha)D & -P_{22}B_{dj} & C^T \\ * & * & -\gamma^2 I & 0 & -D^T \end{bmatrix} < 0$$

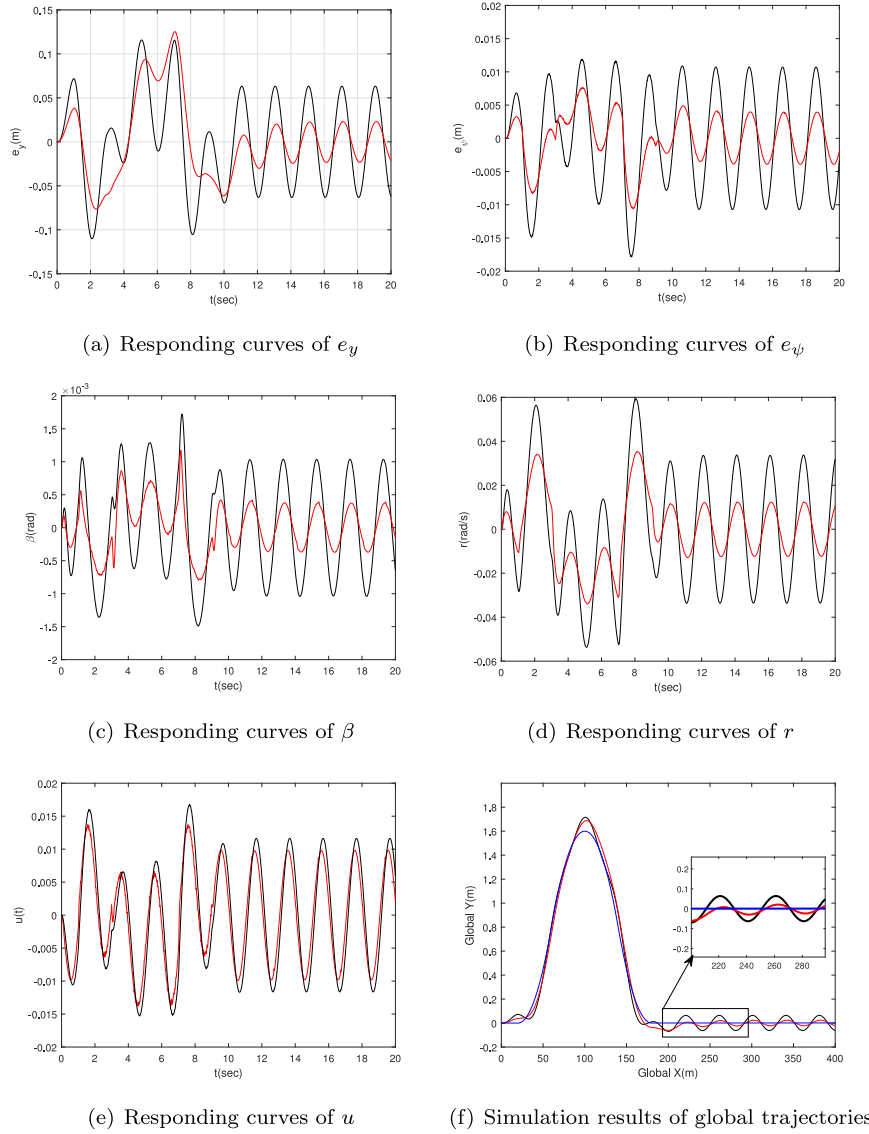


Fig. 9. State responding curves for double-lane change using full frequency observer-based state-feedback control approach (black lines) and finite frequency observer-based state-feedback control approach (red lines). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

$$\begin{bmatrix} 0 & B_d(\alpha) & 0 \\ -\hat{L}(\alpha)D & -P_{22}B_d(\alpha) & C^T \\ -\gamma^2 I & 0 & -D^T \\ * & -\gamma^2 I & 0 \\ * & * & -I \end{bmatrix} < 0 \quad (50)$$

where $Y = P_{11}^{-1}$, $\hat{L}(\alpha) = P_{22}L(\alpha)$, $\hat{K}(\alpha) = K(\alpha)Y$. Using [Lemma 4](#), we have

$$\begin{bmatrix} He(A(\alpha)Y + B(\alpha)\hat{K}(\alpha)) & 0 \\ * & He(P_{22}A(\alpha) + \hat{L}(\alpha)C) \\ * & * \\ * & * \\ * & * \\ * & * \\ * & * \end{bmatrix}$$

$$\begin{bmatrix} 0 & B_d(\alpha) & 0 & B(\alpha)\hat{K}(\alpha) & 0 \\ -\hat{L}(\alpha)D & -P_{22}B_d(\alpha) & C^T & 0 & I \\ -\gamma^2 I & 0 & -D^T & 0 & 0 \\ * & -\gamma^2 I & 0 & 0 & 0 \\ * & * & -I & 0 & 0 \\ * & * & * & -\frac{1}{\epsilon_3}Y(\alpha) & 0 \\ * & * & * & * & -\epsilon_3 Y(\alpha) \end{bmatrix} < 0 \quad (51)$$

Similar to [Theorem 2](#), we have if (48) holds for $i = 1, \dots, 8$, (51) holds, this completes the proof.

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