On access control, capabilities, their equivalence and confused deputy attacks (Technical appendix)

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1 Region calculus with computable references

1.1 Syntax

Locations are drawn from the set Loc and values are drawn from the set Val and principals are drawn from the set Prin

e ::=	Expression
$\mid v$	Value
$\mid !e$	Dereference
$\mid e \oplus e$	reference computation
v ::=	Value
$\mid n$	Integer
$\mid tt$	True
$\mid ff$	False
$\mid {}^{\mathbb{R}}r$	Read view of a location
$ ^{\mathbb{W}}r$	Write view of a location
1 1	
c ::=	Command
if e then c else c	Conditional
while $e ext{ do } c$	Loop
e := e	Assignment
c;c	Sequential composition
$\mid skip \mid$	Skip
Ship	омр
<i>P</i> ::=	D.,,
= ::	Program
$\mid ho\{c\} \ \mid P \circ P$	Region
$ P \circ P $	Region composition
ho ::=	Principal
ho $-$	Normal principal
ш	riormai principai

Endorsed principal

 $\mid \overline{\mathbb{P}} \mid$

1.2 Semantics

1.2.1 Access control semantics

Expressions:

A-val
$$A$$
-val A -val A -deref A

Commands:

A-if
$$\frac{\langle H, e \rangle \stackrel{\rho}{\downarrow_A} v \qquad v = tt}{\langle H, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \stackrel{\rho}{\rightarrow}_A \langle H, c_1 \rangle}$$

A-else
$$\frac{\langle H, e \rangle \stackrel{\rho}{\downarrow_A} v \qquad v = ff}{\langle H, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \stackrel{\rho}{\longrightarrow}_A \langle H, c_2 \rangle}$$

A-while 1
$$\frac{\langle H,e\rangle \, \, \psi_A^{\rho} \, \, v \qquad v=tt}{\langle H,\text{while } e \text{ do } c\rangle \, -\!\!\!\!\!\! \, \rho_A \, \langle H,c;\text{while } e \text{ do } c\rangle}$$

A-while 2
$$\frac{\langle H, e \rangle \stackrel{\rho}{\Downarrow}_{A} v \qquad v = ff}{\langle H, \text{while } e \text{ do } c \rangle \stackrel{\rho}{\rightarrow}_{A} \langle H, skip \rangle}$$

$$\text{A-assign } \frac{ \langle H, e_1 \rangle \stackrel{\rho}{\Downarrow_A} \ ^{\mathbb{W}} r \quad \langle H, e_2 \rangle \stackrel{\rho}{\Downarrow_A} v }{ \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r) }$$
$$\frac{\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r) }{ \langle H, e_1 := e_2 \rangle \stackrel{\rho}{\rightarrow}_A \langle H[r \mapsto v], skip \rangle }$$

A-seq 1
$$\frac{\langle H, c_1 \rangle \xrightarrow{\rho}_A \langle H', c_1' \rangle}{\langle H, c_1; c_2 \rangle \xrightarrow{\rho}_A \langle H', c_1'; c_2 \rangle}$$

A-seq 2
$$\overline{\langle H, skip; c_2 \rangle \stackrel{\rho}{\rightarrow}_A \langle H, c_2 \rangle}$$

Program:

A-prg 1
$$\frac{\langle H, c \rangle \xrightarrow{\rho}_{A} \langle H', c' \rangle}{\langle H, \rho\{c\} \rangle \xrightarrow{}_{A} \langle H, \rho\{c'\} \rangle}$$

A-comp 1 $\frac{\langle H, P_1 \rangle \xrightarrow{}_{A} \langle H', P'_1 \rangle}{\langle H, P_1 \circ P_2 \rangle \xrightarrow{}_{A} \langle H', P'_1 \circ P_2 \rangle}$

A-comp 2 $\frac{\langle H, \rho\{skip\} \circ P \rangle \xrightarrow{}_{A} \langle H, P \rangle}{\langle H, \rho\{skip\} \circ P \rangle \xrightarrow{}_{A} \langle H, P \rangle}$

1.2.2 Capability semantics

Expressions:

$$\text{C-val} \frac{v = \mathbb{W}r' \implies \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r')}{\langle H, v \rangle \ \psi_{C}^{\rho} \ v} \qquad \text{C-deref} \frac{\langle H, e \rangle \ \psi_{C}^{\rho} \ \mathbb{R}r \quad v = H(r)}{\langle H, e \rangle \ \psi_{C} \ \mathbb{R}r \quad v = H(r)}{\langle H, e \rangle \ \psi_{C} \ \mathcal{O}(r')} }{\langle H, e \rangle \ \psi_{C} \ v}$$

$$\text{C-refComp} \xrightarrow{\begin{array}{c} \langle H, e_1 \rangle \ \bigvee_C^{\rho} \ ^{\nu}r & \langle H, e_2 \rangle \ \bigvee_C^{\rho} n \\ \hline (r \oplus n) \in dom(H) & \nu = \mathbb{W} \implies \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r \oplus n) \\ \hline \langle H, e_1 \oplus e_2 \rangle \ \bigvee_C^{\rho} \ ^{\nu}(r \oplus n) \end{array}}$$

Commands:

C-if
$$\frac{\langle H, e \rangle \stackrel{\rho}{\Downarrow_C} v \qquad v = tt}{\langle H, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \stackrel{\rho}{\to}_C \langle H, c_1 \rangle}$$

C-else
$$\frac{\langle H, e \rangle \stackrel{\rho}{\Downarrow_C} v \qquad v = ff}{\langle H, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \stackrel{\rho}{\to_C} \langle H, c_2 \rangle}$$

C-while 1
$$\frac{\langle H,e\rangle \stackrel{\rho}{\Downarrow_C} v \qquad v=tt}{\langle H,\text{while } e \text{ do } c\rangle \stackrel{\rho}{\to}_C \langle H,c;\text{while } e \text{ do } c\rangle}$$

C-while 2
$$\frac{\langle H, e \rangle \stackrel{\rho}{\Downarrow_C} v \qquad v = ff}{\langle H, \text{ while } e \text{ do } c \rangle \stackrel{\rho}{\to}_C \langle H, skip \rangle}$$

C-assign
$$\frac{\langle H, e_1 \rangle \stackrel{\rho}{\Downarrow_C} \mathbb{W}_r \qquad \langle H, e_2 \rangle \stackrel{\rho}{\Downarrow_C} v}{\langle H, e_1 := e_2 \rangle \stackrel{\rho}{\to}_C \langle H[r \mapsto v], skip \rangle}$$

C-seq 1
$$\frac{\langle H, c_1 \rangle \stackrel{\rho}{\to}_C \langle H', c_1' \rangle}{\langle H, c_1; c_2 \rangle \stackrel{\rho}{\to}_C \langle H', c_1'; c_2 \rangle}$$

C-seq 2
$$\overline{\langle H, skip; c_2 \rangle \xrightarrow{\rho}_C \langle H, c_2 \rangle}$$

Program:

C-prg 1
$$\frac{\langle H, c \rangle \xrightarrow{f}_{C} \langle H', c' \rangle}{\langle H, \rho\{c\} \rangle \xrightarrow{}_{C} \langle H, \rho\{c'\} \rangle}$$

C-comp 1
$$\frac{\langle H, P_1 \rangle \to_C \langle H', P_1' \rangle}{\langle H, P_1 \circ P_2 \rangle \to_C \langle H', P_1' \circ P_2 \rangle}$$

C-comp 2
$$\overline{\langle H, \rho\{skip\} \circ P \rangle \to_C \langle H, P \rangle}$$

Lemma 1 ($\psi_C^{\rho} \Longrightarrow \psi_A^{\rho}$). $\forall H, e, \rho. \langle H, e \rangle \psi_C^{\rho} v \Longrightarrow \langle H, e \rangle \psi_A^{\rho} v$

Proof. Proof by induction on the ψ_C^{ρ}

- 1. C-val: From A-val
- 2. C-deref: IH: $\langle H, e \rangle \psi_A^{\rho} {\mathbb R} r$ From IH and A-deref.
- 3. C-refComp: From A-refComp

Lemma 2 ($\slashed{\psi}_C$ cant evaluate to references higher than ρ). $\forall H, e, \rho$. $\langle H, e \rangle \slashed{\psi}_C^{\rho} v \wedge v = \slashed{\mathbb{W}} r \implies \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$

Proof. Proof by induction on the ψ_C^{ρ}

1. C-val:

Directly from the premise

2. C-deref:

Directly from the premise

3. C-refComp:

Directly from the premise

Proof. Proof by induction on $\stackrel{\rho}{\to}_C$

1. C-if:

From Lemma 1 we know that $\langle H, e \rangle \downarrow_A^{\rho} v$ and v = tt Therefore, from A-if.

2. C-else:

From Lemma 1 we know that $\langle H, e \rangle \stackrel{\rho}{\downarrow_A} v$ and v = ff Therefore, from A-else.

- 3. C-while 1: From Lemma 1 we know that $\langle H,e\rangle \downarrow_A^\rho v$ and v=tt Therefore, from A-while 1.
- 4. C-while 2: From Lemma 1 we know that $\langle H, e \rangle \downarrow_A^{\rho} v$ and v = ff Therefore, from A-while 2.
- 5. C-assign:

From Lemma 1 we know that $\langle H, e_1 \rangle \ \psi_A^{\rho} \ ^{\mathbb{W}} r$ and $\langle H, e_2 \rangle \ \psi_A^{\rho} \ v$. From Lemma 2 we know that $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$ Therefore, from A-assign

6. C-seq 1:

IH: $\langle H, c_1 \rangle \stackrel{\rho}{\to}_A \langle H', c_1' \rangle$ Therefore, from A-seq 1.

7. C-seq 2:

Therefore, from A-seq 2.

Theorem 1 $(\rightarrow_C \Longrightarrow \rightarrow_A)$. $\forall H, P. \langle H, P \rangle \rightarrow_C \langle H', P' \rangle \Longrightarrow \langle H, P \rangle \rightarrow_A \langle H', P' \rangle$

Proof. Proof by induction on the \rightarrow_C

- 1. C-prg 1: From Lemma 3 and A-prg 1
- 2. C-comp 1: From A-comp 1
- 3. C-comp 2: From A-comp 1

Lemma 4 (ACs: Write integrity for commands). If $\langle H, c \rangle \stackrel{\rho}{\to}_A^* \langle H', {}_{-} \rangle$ and $H(r) \neq H'(r)$, then $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$.

Proof. Say reduction happens like this, $\langle H, \rho\{c\} \rangle \to_A \langle H_1, _\rangle \ldots \to_A \langle H_n, _\rangle \to_A \langle H', _\rangle$ IH: $\langle H, c \rangle \stackrel{\rho}{\to}_A^* \langle H_n, _\rangle$ and $H(r) \neq H_n(r) \implies \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$ By induction on the last reduction

- 1. A-if, A-else, A-while 1, A-while 2, A-seq 2: $H_n = H'$.
- 2. A-assign: Directly from the premise we know that $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$

Theorem 2 (ACs have write integrity). If $\langle H, \rho\{c\} \rangle \to_A^* \langle H', _{\neg} \rangle$ and $H(r) \neq H'(r)$, then $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$.

Theorem 3 (Cs have write integrity). If $\langle H, \rho\{c\} \rangle \to_C^* \langle H', _{-} \rangle$ and $H(r) \neq H'(r)$, then $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$.

Proof. From theorem 2 and 1
$$\Box$$

Lemma 5. $\forall H, e, \rho$.

Proof. Proof by induction on ψ_C :

- 1. C-val: Given
- 2. C-deref: The check $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r')$ takes care of it.
- 3. C-refComp: The check $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r')$ takes care of it.

Theorem 4 (No illicit expansion of authority). If $\langle H, \rho\{c\} \rangle \to_C^* \langle H', \rho\{c'\} \rangle$ and $H'(r') = \overset{\circ}{\mathbb{W}} r$, then either $H(r') = \overset{\circ}{\mathbb{W}} r$ or $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$.

Proof. Say the reduction happens as follows:

$$\langle H, \rho\{c\} \rangle \to_C \langle H_1, \rho\{c_1\} \rangle \dots \langle H_n, \rho\{c_n\} \rangle \to_C \langle H', \rho\{c'\} \rangle$$

Induction on the reduction sequence:
IH1:
$$H_n(r') = {}^{\mathbb{W}}r \implies H_n(r') = {}^{\mathbb{W}}r \text{ or } \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r).$$

Induction on the last derivation:

- 1. C-if, C-else, C-while 1, C-while 2, C-seq 2: $H' = H_n$, therefore from IH1
- 2. C-assign:

From Lemma 5 we know that $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$. 2 cases arise

- v = Wr'': From Lemma 5 $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r'')$. Thus satisfying 2nd disjunct.
- $v \neq \mathbb{W}r''$: Vacuous
- 3. C-seq 1: From IH

A and C semantics don't provide any CDA freedom (see counter examples in the paper). But one can use C semantics to obtain CDA freedom on a language without reference computation with additional restrictions (as we will describe in the subsequent sections). Those restrictions are quite strict and don't admit many useful programs. So, we relax those restrictions at the cost of doing explicit-only provenance tracking (for the same restricted language). And finally we show that at the cost doing full blown provenance tracking one can remove all those restrictions (this can even be done for the full language with reference computation).

2 Region calculus without computable references

2.1**Syntax**

Locations are drawn from the set Loc and values are drawn from the set Val and principals are drawn from the set Prin

2.2 Semantics

Definition 1 (Heap). Heap (H) is defined as a mapping from location to value, formally: $H: Loc \rightarrow Val$

Definition 2 (Ownership map). Ownership map (\mathbb{O}) is a mapping from location to the principal owning it, formally: $\mathbb{O}: Loc \to Prin$.

Definition 3 (Get principal of a region).

$$\beta(\rho) \triangleq \left\{ egin{array}{ll} \mathbb{P} & &
ho = \mathbb{P} \\ \mathbb{P} & &
ho = \overline{\mathbb{P}} \end{array} \right.$$

2.2.1 Access control semantics

Expressions:

A-val
$$A$$
-val A -deref A -deref

Commands:

A-if
$$\frac{\langle H, e \rangle \stackrel{\rho}{\downarrow_A} v \qquad v = tt}{\langle H, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \stackrel{\rho}{\rightarrow_A} \langle H, c_1 \rangle}$$

A-else
$$\frac{\langle H, e \rangle \, \psi_A^{\rho} \, v \qquad v = \mathit{ff}}{\langle H, \mathit{if} \, e \, \mathit{then} \, c_1 \, \mathit{else} \, c_2 \rangle \, \stackrel{\rho}{\to}_A \, \langle H, c_2 \rangle}$$

A-while 1
$$\frac{\langle H,e\rangle \stackrel{\rho}{\Downarrow_A} v \qquad v=tt}{\langle H,\text{while } e\text{ do }c\rangle \stackrel{\rho}{\longrightarrow}_A \langle H,c;\text{while }e\text{ do }c\rangle}$$

A-while 2
$$\frac{\langle H, e \rangle \stackrel{\rho}{\Downarrow}_{A} v \qquad v = ff}{\langle H, \text{ while } e \text{ do } c \rangle \stackrel{\rho}{\rightarrow}_{A} \langle H, skip \rangle}$$

$$\text{A-assign } \frac{ \langle H, e_1 \rangle \bigvee_A^{\rho} \ ^{\mathbb{W}} r \quad \langle H, e_2 \rangle \bigvee_A^{\rho} v }{ \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r) } \\ \frac{\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r) }{ \langle H, e_1 := e_2 \rangle \xrightarrow{\rho}_A \langle H[r \mapsto v], skip \rangle }$$

A-seq 1
$$\frac{\langle H, c_1 \rangle \xrightarrow{\rho}_A \langle H', c_1' \rangle}{\langle H, c_1; c_2 \rangle \xrightarrow{\rho}_A \langle H', c_1'; c_2 \rangle}$$

A-seq 2
$$\overline{\langle H, skip; c_2 \rangle \xrightarrow{\rho}_A \langle H, c_2 \rangle}$$

Program:

A-prg 1
$$\frac{\langle H, c \rangle \xrightarrow{\rho}_{A} \langle H', c' \rangle}{\langle H, \rho\{c\} \rangle \xrightarrow{}_{A} \langle H, \rho\{c'\} \rangle}$$

$$\text{A-comp 1} \frac{\langle H, P_1 \rangle \to_A \langle H', P_1' \rangle}{\langle H, P_1 \circ P_2 \rangle \to_A \langle H', P_1' \circ P_2 \rangle}$$

A-comp 2
$$\overline{\langle H, \rho \{ skip \} \circ P \rangle \rightarrow_A \langle H, P \rangle}$$

2.2.2 Capability semantics

Expressions:

Commands:

C-if
$$\frac{\langle H, e \rangle \stackrel{\rho}{\downarrow_C} v \qquad v = tt}{\langle H, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \stackrel{\rho}{\to}_C \langle H, c_1 \rangle}$$

C-else
$$\frac{\langle H, e \rangle \stackrel{\rho}{\Downarrow_C} v \qquad v = ff}{\langle H, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \stackrel{\rho}{\rightarrow_C} \langle H, c_2 \rangle}$$

C-while 1
$$\frac{\langle H, e \rangle \stackrel{\rho}{\downarrow_C} v \qquad v = tt}{\langle H, \text{ while } e \text{ do } c \rangle \stackrel{\rho}{\rightarrow}_C \langle H, c; \text{ while } e \text{ do } c \rangle}$$

C-while 2
$$\frac{\langle H,e\rangle \stackrel{\rho}{\Downarrow_C} v \qquad v=ff}{\langle H,\text{while } e \text{ do } c\rangle \stackrel{\rho}{\to}_C \langle H,skip\rangle}$$

C-assign
$$\frac{\langle H, e_1 \rangle \stackrel{\rho}{\downarrow_C} \mathbb{W}_r \qquad \langle H, e_2 \rangle \stackrel{\rho}{\downarrow_C} v}{\langle H, e_1 := e_2 \rangle \stackrel{\rho}{\to}_C \langle H[r \mapsto v], skip \rangle}$$

C-seq 1
$$\frac{\langle H, c_1 \rangle \xrightarrow{\rho_C} \langle H', c_1' \rangle}{\langle H, c_1; c_2 \rangle \xrightarrow{\rho_C} \langle H', c_1'; c_2 \rangle}$$

C-seq 2
$$\overline{\langle H, skip; c_2 \rangle \xrightarrow{\rho}_C \langle H, c_2 \rangle}$$

Program:

C-prg 1
$$\frac{\langle H, c \rangle \xrightarrow{\rho}_{C} \langle H', c' \rangle}{\langle H, \rho\{c\} \rangle \xrightarrow{}_{C} \langle H, \rho\{c'\} \rangle}$$

C-comp 1
$$\frac{\langle H, P_1 \rangle \to_C \langle H', P_1' \rangle}{\langle H, P_1 \circ P_2 \rangle \to_C \langle H', P_1' \circ P_2 \rangle}$$

C-comp 2
$$\overline{\langle H, \rho\{skip\} \circ P \rangle \to_C \langle H, P \rangle}$$

$$\mathbf{Lemma} \ \mathbf{6} \ (\ \ \downarrow^{\rho}_{C} \Longrightarrow \ \ \downarrow^{\rho}_{A}). \ \ \forall H, e, \rho. \ \ \langle H, e \rangle \ \ \downarrow^{\rho}_{C} \ v \implies \ \langle H, e \rangle \ \ \downarrow^{\rho}_{A} \ v$$

Proof. Proof by induction on the ψ_C^{ρ}

- 1. C-val: From A-val
- 2. C-deref:

IH: $\langle H, e \rangle \psi_A^{\rho} {\mathbb{R}} r$ From IH and A-deref.

Lemma 7 $(\begin{subarray}{c} \stackrel{\rho}{\downarrow_C} \text{ cant evaluate to references higher than } \rho). \end{subarray} \forall H,e,\rho. \end{subarray} \langle H,e \rangle \begin{subarray}{c} \stackrel{\rho}{\downarrow_C} v \\ \land v = \end{subarray} r \Longrightarrow \\ \mathbb{P} \geq_{\mathbb{L}} \mathbb{O}(r) \end{subarray}$

Proof. Proof by induction on the ψ_C^{ρ}

- 1. C-val: Directly from the premise
- 2. C-deref: Directly from the premise

Lemma 8 $(\stackrel{\rho}{\to}_C \Longrightarrow \stackrel{\rho}{\to}_A)$. $\forall H, c, \rho$. $\langle H, c \rangle \stackrel{\rho}{\to}_C \langle H', c' \rangle \Longrightarrow \langle H, c \rangle \stackrel{\rho}{\to}_A$

Proof. Proof by induction on $\stackrel{\rho}{\to}_C$

- 1. C-if: From Lemma 6 we know that $\langle H, e \rangle \ \underset{A}{\downarrow}^{\rho} v$ and v = tt Therefore, from A-if.

- 3. C-while 1: From Lemma 6 we know that $\langle H,e\rangle \stackrel{\rho}{\downarrow_A} v$ and v=tt Therefore, from A-while 1.
- 4. C-while 2: From Lemma 6 we know that $\langle H, e \rangle \downarrow_A^{\rho} v$ and v = ff Therefore, from A-while 2.
- 5. C-assign:

From Lemma 6 we know that $\langle H, e_1 \rangle \ \psi_A^{\rho} \ \mathbb{W} r$ and $\langle H, e_2 \rangle \ \psi_A^{\rho} v$. From Lemma 7 we know that $\mathbb{P} \geq_{\mathbb{L}} \mathbb{O}(r)$ where $\mathbb{P} = \beta(\rho)$ Therefore, from A-assign

6. C-seq 1:

IH: $\langle H, c_1 \rangle \xrightarrow{\ell}_A \langle H', c_1' \rangle$ Therefore, from A-seq 1.

7. C-seq 2: Therefore, from A-seq 2.

Theorem 5 $(\rightarrow_C \Longrightarrow \rightarrow_A)$. $\forall H, P. \langle H, P \rangle \rightarrow_C \langle H', P' \rangle \Longrightarrow \langle H, P \rangle \rightarrow_A \langle H', P' \rangle$

Proof. Proof by induction on the \rightarrow_C

- 1. C-prg 1: From Lemma 8 and A-prg 1
- 2. C-comp 1: From A-comp 1
- 3. C-comp 2: From A-comp 2

Lemma 9 (ACs: Write integrity for commands). If $\langle H, c \rangle \stackrel{\rho}{\to}_A^* \langle H', _ \rangle$ and $H(r) \neq H'(r)$, then $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$.

Proof. Say reduction happens like this, $\langle H, \rho\{c\} \rangle \to_A \langle H_1, _ \rangle \ldots \to_A \langle H_n, _ \rangle \to_A \langle H', _ \rangle$ IH: $\langle H, c \rangle \stackrel{\rho}{\to}_A^* \langle H_n, _ \rangle$ and $H(r) \neq H_n(r) \implies \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$ By induction on the last reduction

- 1. A-if, A-else, A-while 1, A-while 2, A-seq 2: $H_n = H'$.
- 2. A-assign: Directly from the premise we know that $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$

Theorem 6 (ACs have write integrity). If $\langle H, \rho\{c\} \rangle \to_A^* \langle H', _{-} \rangle$ and $H(r) \neq H'(r)$, then $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$.

Proof. From Lemma 9

Theorem 7 (Cs have write integrity). If $\langle H, \rho\{c\} \rangle \to_C^* \langle H', {}_{-} \rangle$ and $H(r) \neq H'(r)$, then $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$.

Proof. From theorem 6 and 5

Lemma 10. $\forall H, e, \rho$.

$$\langle H, e \rangle \psi_C^{\rho} v \wedge v = {}^{\mathbb{W}} r' \implies \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r')$$

Proof. Proof by induction on ψ_C^{ρ} :

- 1. C-val: Given
- 2. C-deref: The check $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r')$ takes care of it.

Theorem 8 (No illicit expansion of authority). If $\langle H, \rho\{c\} \rangle \to_C^* \langle H', \rho\{c'\} \rangle$ and $H'(r') = \mathbb{W}r$, then either $H(r') = \mathbb{W}r$ or $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$.

Proof. Say the reduction happens as follows:

$$\langle H, \rho\{c\} \rangle \to_C \langle H_1, \rho\{c_1\} \rangle \dots \langle H_n, \rho\{c_n\} \rangle \to_C \langle H', \rho\{c'\} \rangle$$

Induction on the reduction sequence:

IH1:
$$H_n(r') = \mathbb{W}r \implies H_n(r') = \mathbb{W}r \text{ or } \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r).$$

Induction on the last derivation:

- 1. C-if, C-else, C-while 1, C-while 2, C-seq 2: $H' = H_n$, therefore from IH1
- 2. C-assign:

From Lemma 10 we know that $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$. 2 cases arise

- $v = {}^{\mathbb{W}}r''$: From Lemma 10 $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r'')$. Thus satisfying 2nd disjunct.
- $v \neq \mathbb{V}r''$: Vacuous
- 3. C-seq 1: From IH

Definition 4 (Authority Context). An authority context, \mathbb{E}_{ρ_A} , is a program with one hole of the form $\rho_A\{\bullet\}$. Formally, $\mathbb{E}_{\rho_A} ::= \rho_1\{c_1\} \circ \ldots \circ \rho_A\{\bullet\} \circ \ldots \circ \rho_n\{c_n\}$. We write $\mathbb{E}_{\rho_A}[c_A]$ for the program that replaces the hole \bullet with the adversary's commands c_A , i.e., the program $\rho_1\{c_1\} \circ \ldots \circ \rho_A\{c_A\} \circ \ldots \circ \rho_n\{c_n\}$.

Any program P (without a hole) can be trivially treated as an authority context $\mathbb{E}_{\rho_A} = P \circ \rho_A \{ \bullet \}$.

Definition 5 (Attacker's Interest Set). $AIS \triangleq the \ set \ of \ references \ that \ the \ attacker \ is \ interested \ in.$

Definition 6 (No Interesting High References in Program). $nihrP(P, \rho_A) \triangleq Say \ P = \rho_1\{c_1\} \circ \ldots \circ \rho_n\{c_n\},\ \forall 1 \leq i \leq n. \ \beta(\rho_A) \not\geq_{\mathbb{L}} \beta(\rho_i) \land \rho_i \neq \overline{\mathbb{P}} \implies nihrC(c_i, \rho_A)$

Definition 7 (No Interesting High References in Command).

$$nihrC(c, \rho_A) \triangleq \begin{cases} nihrE(e, \rho_A) \wedge nihrC(c_1, \rho_A) \wedge nihrC(c_2, \rho_A) & c = (if \ e \ then \ c_1 \ else \ c_2) \\ nihrE(e, \rho_A) \wedge nihrC(c', \rho_A) & c = (while \ e \ do \ c') \\ nihrE(e_1, \rho_A) \wedge nihrE(e_2, \rho_A) & c = (e_1 := e_2) \\ nihrC(c_1, \rho_A) \wedge nihrC(c_2, \rho_A) & c = (c_1; c_2) \\ true & otherwise \end{cases}$$

Definition 8 (No Interesting High References in Expression).

$$nihrE(e,\rho_A) \triangleq \left\{ \begin{array}{ll} false & e = \ ^wr \land \beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \land r \in AIS \\ nihrE(e',\rho_A) & e = !e' \\ true & otherwise \end{array} \right.$$

Definition 9 (No Interesting High References in Heap). $nihrH(H, \rho_A) \triangleq \forall r \in dom(H)$. $H(r) = {}^w r' \implies \beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r') \implies r' \not\in AIS$

Lemma 11 (High non-endorsed expressions cannot compute high reference from AIS). $\forall e, \rho, H, \rho_A$.

$$\beta(\rho_{A}) \not\geq_{\mathbb{L}} \beta(\rho) \land \rho \neq \overline{\mathbb{P}} \land nihrE(e, \rho_{A}) \land nihrH(H, \rho_{A}) \land v = \overline{} r' \land \beta(\rho_{A}) \not\geq_{\mathbb{L}} \mathbb{O}(r') \land \langle H, e \rangle \Downarrow_{C} v \implies r' \not\in AIS$$

Proof. Proof by induction on ψ_C^p :

1. C-val:

Since $e = v = {}^w r'$, $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r')$ and $nihrE(e, \rho_A)$ therefore $r' \notin AIS$ (From Definition 8).

2. C-deref:

IH: $r \notin AIS$.

Since $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r')$ and $nihrH(H, \rho_A)$ therefore from Definition 9 we know that $H(r) = {}^w r' \notin AIS$.

```
Lemma 12 (nihrC and nihrH are invariants for high non-endorsed regions). \forall c, \rho, H, \rho_A. \beta(\rho_A) \not\succeq_{\mathbb{L}} \beta(\rho) \land \rho \neq \overline{\mathbb{P}} \land nihrC(c, \rho_A) \land nihrH(H, \rho_A) \land \langle H, c \rangle \xrightarrow{\beta_C} \langle H', c' \rangle \Rightarrow nihrC(c', \rho_A) \land nihrH(H', \rho_A)
```

Proof. Proof by induction on $\stackrel{\rho}{\to}_C$

- 1. C-if: Since H' = H, therefore $nihrH(H', \rho_A)$. Since, $nihrC(c, \rho_A)$ therefore $nihrC(c_1, \rho_A)$.
- 2. C-else: Since H' = H, therefore $nihrH(H', \rho_A)$. Since, $nihrC(c, \rho_A)$ therefore $nihrC(c_2, \rho_A)$
- 3. C-while 1: H' = H, therefore $nihrH(H', \rho_A)$. Since, $nihrC(\text{while}e\text{do}c', \rho_A)$ therefore $nihrC(c', \rho_A)$
- 4. C-while 2: H' = H, therefore $nihrH(H', \rho_A)$. $nihrC(skip, \rho_A)$
- 5. C-assign:

2 cases arise:

- (a) $H'(r) \neq r'$: $nihrH(H', \rho_A)$ holds vacuously.
- (b) H'(r) = r': Again 2 cases arise:
 - i. $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r')$: $nihrH(H', \rho_A)$ holds vacuously.
 - ii. $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r')$: From Lemma 11 we get $r' \not\in AIS$. And since H'(r) = r', therefore $nihrH(H', \rho_A)$

 $nihrC(skip, \rho_A)$

6. C-seq 1: By IH $nihrH(H', \rho_A)$ and $nihrC(c_1', \rho_A)$. Therefore, $nihrH(H', \rho_A)$ Since, $nihrC(c_1; c_2, \rho_A)$ and from IH, $nihrC(c_1'; c_2, \rho_A)$

7. C-seq 2: H' = H. Therefore, $nihrH(H', \rho_A)$. Since $nihrC(skip; c, \rho_A)$ therefore $nihrC(c, \rho_A)$

Lemma 13 (*nihrH* is invariant in low regions). $\forall c, \rho, H, \rho_A$.

$$\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho) \wedge nihrH(H, \rho_A) \wedge \langle H, c \rangle \stackrel{\rho}{\to}_C \langle H', c' \rangle$$

$$\Longrightarrow nihrH(H', \rho_A)$$

Proof. Proof by induction on $\stackrel{\rho}{\to}_C$

- 1. C-if, C-else, C-while 1, C-while 2, C-seq 2: Since H' = H, therefore $nihrH(H', \rho_A)$.
- 2. C-assign:

2 cases arise:

- (a) $H'(r) \neq r'$: $nihrH(H', \rho_A)$ holds vacuously.
- (b) H'(r) = r': Again 2 cases arise:
 - i. $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r')$: $nihrH(H', \rho_A)$ holds vacuously.
 - ii. $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r')$: From Lemma 14 $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r')$, therefore $nihrH(H', \rho_A)$
- 3. C-seq 1: By IH $nihrH(H', \rho_A)$ and $nihrC(c_1', \rho_A)$. Therefore, $nihrH(H', \rho_A)$

Lemma 14 (Low regions cannot compute high writable references). $\forall e, \rho, H, \rho_A$. $\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho) \wedge v = {}^w r' \wedge$

$$\langle H, e \rangle \stackrel{\rho}{\downarrow_C} v$$
 \Longrightarrow
 $\beta(a, b) >_{\tau} \mathbb{O}(r')$

 $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r')$

Proof. Proof by case analysis on ψ_C^{ρ} :

- 1. C-val: Since $v = {}^w r'$. Therefore, $\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r')$ from C-val.
- 2. C-deref: Since $v = {}^w r'$. Therefore, $\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r')$ from C-deref.

Lemma 15 (*nihrP* and *nihrH* are invariants on programs). $\forall P, H, \rho_A$.

$$nihrP(P, \rho_A) \wedge nihrH(H, \rho_A) \wedge \langle H, P \rangle \rightarrow_C \langle H', P' \rangle$$

\11,1

 $nihrP(P', \rho_A) \wedge nihrH(H', \rho_A)$

Proof. Proof by induction on \rightarrow_C

1. C-prg 1:

2 cases arise:

- (a) $\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho)$: Lemma 13 we know that $nihrH(H', \rho_A)$ Say, $P = \rho\{c\}$ and $P' = \rho\{c'\}$. Since $\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho')$ therefore $nihrP(P', \rho_A)$ holds vacuously.
- (b) $\beta(\rho_A) \not\geq_{\mathbb{L}} \beta(\rho)$: From Lemma 12 we know that $nihrH(H', \rho_A)$ and $nihrC(c', \rho_A)$.

Say,
$$P = \rho\{c\}$$
 and $P' = \rho\{c'\}$.
Therefore, $nihrP(P', \rho_A)$

- 2. C-comp 1: From IH
- 3. C-comp 2: H' = H therefore $nihrH(H', \rho_A)$ $nihrP(P, \rho_A)$

Lemma 16 (High interesting references dont change value in high non-endorsed regions). $\forall c, \rho, H, \rho_A$.

$$\beta(\rho_{A}) \not\geq_{\mathbb{L}} \beta(\rho) \land \rho \neq \overline{\mathbb{P}} \land nihrC(c, \rho_{A}) \land nihrH(H, \rho_{A}) \land \langle H, c \rangle \xrightarrow{\rho_{C}} \langle H', c' \rangle \Rightarrow \forall r \in AIS. \beta(\rho_{A}) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H'(r)$$

Proof. Induction on $\stackrel{\rho}{\to}_C$:

- 1. C-if, C-else, C-while 1, C-while 2, C-seq 2: $H=H^\prime$
- 2. C-assign: From Lemma 12 we know that $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(^w r) \implies r \not\in AIS$. Therefore, $\forall r \in AIS. \beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H'(r)$
- 3. C-seq 1: By IH

Lemma 17 (High interesting references dont change value in low regions).

$$\begin{array}{l} \forall c,\rho,H,\rho_A. \\ \beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho) \ \land \\ \langle H,c \rangle \stackrel{\rho}{\to}_C \langle H',c' \rangle \\ \Longrightarrow \\ \forall \ r \in AIS. \beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H'(r) \end{array}$$

Proof. Induction on $\stackrel{\rho}{\to}_C$:

- 1. C-if, C-else, C-while 1, C-while 2, C-seq 2: $H=H^\prime$
- 2. C-assign: From C-assign $\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho) \geq_{\mathbb{L}} \beta(\rho_w)$. Therefore, $\forall r \in AIS.\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{D}(r) \implies H(r) = H'(r)$
- 3. C-seq 1: By IH

```
Corollary 9. \forall P, H_1, \rho_A.
nihrP(P, \rho_A) \wedge nihrH(H, \rho_A) \wedge
Say P = \rho_1\{c_1\} \circ \dots \circ \rho_n\{c_n\}
\forall 1 \leq i \leq n. \ \rho_i \neq \overline{\mathbb{P}} \ \land
\langle H_1, P_1 \rangle \stackrel{*}{\to_C} \langle H_n, P_n \rangle
\forall r \in AIS.\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H'(r)
Proof. Say the reduction happens as follows: \langle H_1, P_1 \rangle \to_C \langle H_2, P_2 \rangle \dots \langle H_{n-1}, P_{n-1} \rangle \to_C
\langle H_n, P_n \rangle
From Lemma 15 that nihrP(P_{n-1}, \rho_A) \wedge nihrH(H_{n-1}, \rho_A)
By induction on the length of the reduction
IH1: \forall r \in AIS.\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H_{n-1}(r)
Induction on \rightarrow_C on the last step:
     1. C-prg 1:
          From IH1, Lemma 16 and Lemma 17
     2. C-comp 1:
          By IH and IH1
     3. C-comp 2:
          H_{n-1} = H_n and from IH1.
                                                                                                                                          Definition 10 (Freedom from Confused Deputy Attack). CDAF(\mathbb{E}_{\rho_A}, H, \rightarrow_{red})
) ≜
\forall c_{\rho_A}, c'_{\rho_A}.
\langle H, \mathbb{E}_{\rho_A}[c_{\rho_A}] \rangle \to_{red}^* \langle H_1, P_1 \rangle \implies
\langle H, \mathbb{E}_{\rho_A}[c'_{\rho_A}] \rangle \to_{red}^* \langle H_2, P_2 \rangle \implies H_1(r) = H_2(r)
\exists c_{\rho_A}^{\prime\prime}.\langle H, \rho_A[c_{\rho_A}^{\prime\prime}]\rangle \to_{red}^* \langle H_3, P_3\rangle \wedge H_1(r) = H_3(r)
Definition 11 (CDAF with endorsement). CDAF-E(\mathbb{E}_{\rho_A}, H, \rightarrow_{red}) \triangleq
Say \mathbb{E}_{\rho_A} = \rho_1\{c_1\} \circ \dots \rho_n\{c_n\}
1 \leq i, j \leq n. \ P_{ij} = \rho_i \{c_j\} \circ \dots \rho_j \{c_j\} \ s.t
\forall \ i \leq k \leq j.P_k \neq \overline{\mathbb{P}} \implies CDAF(P_{ij}, H, \rightarrow_{red})
Theorem 10 (CDA freedom with endorsement). \forall \mathbb{E}_{\rho_A}, H.
nihrP(\mathbb{E}_{\rho_A}, \rho_A) \implies
nihrH(H, \rho_A) \implies
```

Proof. $\forall r \in AIS$ the following cases arise:

CDAF- $E(\mathbb{E}_{\rho_A}, H, \rightarrow_C)$

1. $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r)$: All these references can be written directly by the attacker. So, for every write on a such a reference can be simulated by the attacker code only. Thus, satisfying the second disjunct.

2. $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r)$: From Corollary 9, $H_1(r) = H_2(r) = H(r)$.

3 Explicit-only provenance tracking

Expressions:

Commands:

EP-if
$$\frac{\langle H, e \rangle \stackrel{\rho}{\downarrow}_{EP} v \qquad v = tt}{\langle H, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \stackrel{\rho}{\rightarrow}_{EP} \langle H, c_1 \rangle}$$

EP-else
$$\frac{\langle H, e \rangle \stackrel{\rho}{\Downarrow}_{EP} v \qquad v = ff}{\langle H, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \stackrel{\rho}{\longrightarrow}_{EP} \langle H, c_2 \rangle}$$

$$\text{EP-while 1} \frac{\langle H,e\rangle \stackrel{\rho}{\Downarrow}_{EP} v \qquad v=tt}{\langle H,\text{while } e \text{ do } c\rangle \stackrel{\rho}{\longrightarrow}_{EP} \langle H,c;\text{while } e \text{ do } c\rangle}$$

EP-while 2
$$\frac{\langle H, e \rangle \stackrel{\rho}{\Downarrow}_{EP} v \qquad v = ff}{\langle H, \text{while } e \text{ do } c \rangle \stackrel{\rho}{\rightarrow}_{EP} \langle H, skip \rangle}$$

$$\text{EP-assign} \ \frac{\langle H, e_1 \rangle \stackrel{\rho}{\Downarrow}_{EP} \ ^{\mathbb{W}} r^{\ell_r} \quad \mathbb{P} = \beta(\rho) \quad \mathbb{P} \geq_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \stackrel{\rho}{\Downarrow}_{EP} v^{\ell_v}}{\rho \neq \overline{\mathbb{P}} \implies \ell_r \sqcap \ell_v \geq_{\mathbb{L}} \mathbb{O}(r)} \\ \frac{\langle H, e_1 := e_2 \rangle \stackrel{\rho}{\mapsto}_{EP} \langle H[r \mapsto v], skip \rangle}{\langle H, e_1 := e_2 \rangle \stackrel{\rho}{\mapsto}_{EP} \langle H[r \mapsto v], skip \rangle}$$

$$\text{EP-seq 1} \frac{\langle H, c_1 \rangle \xrightarrow{\rho}_{EP} \langle H', c_1' \rangle}{\langle H, c_1; c_2 \rangle \xrightarrow{\rho}_{EP} \langle H', c_1'; c_2 \rangle}$$

EP-seq 2
$$\overline{\langle H, skip; c_2 \rangle \xrightarrow{\rho}_{EP} \langle H, c_2 \rangle}$$

Program:

$$\begin{split} & \text{EP-prg 1} \frac{\langle H, c \rangle \xrightarrow{\rho}_{EP} \langle H', c' \rangle}{\langle H, \rho\{c\} \rangle \xrightarrow{}_{EP} \langle H, \rho\{c'\} \rangle} \\ & \text{EP-comp 1} \frac{\langle H, P_1 \rangle \xrightarrow{}_{EP} \langle H', P_1' \rangle}{\langle H, P_1 \circ P_2 \rangle \xrightarrow{}_{EP} \langle H', P_1' \circ P_2 \rangle} \\ & \text{EP-comp 2} \frac{}{\langle H, \rho\{skip\} \circ P \rangle \xrightarrow{}_{EP} \langle H, P \rangle} \end{split}$$

Definition 12 (No Interesting References in only High Heap). $nihrHH(H, \rho_A) \triangleq \forall r \in dom(H). \ \beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \wedge H(r) = \ ^{\mathbb{W}}r'^{\ell} \implies \beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r') \implies r' \not\in AIS$

Lemma 18 (Any AIS reference computed in a high region must have attackers label). $\forall e, \rho, H, \rho_A$.

$$\beta(\rho_{A}) \not\geq_{\mathbb{L}} \beta(\rho) \wedge nihrE(e, \rho_{A}) \wedge nihrHH(H, \rho_{A}) \wedge v = {}^{-}r'^{\ell} \wedge \beta(\rho_{A}) \not\geq_{\mathbb{L}} \mathbb{O}(r')$$

$$\wedge (H, e) \downarrow_{EP} v$$

$$\Rightarrow$$

$$r' \not\in AIS \vee \beta(\rho_{A}) \geq_{\mathbb{L}} \ell$$

Proof. Proof by induction on ψ_{EP} :

- 1. EP-val: Since $e = v = {}^{\mathbb{W}}r'$, $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r')$ and $nihrE(e, \rho_A)$ therefore $r' \not\in AIS$ (From Definition 8).
- 2. EP-deref: IH: $r^{\ell_r} \notin AIS \vee \beta(\rho_A) \geq_{\mathbb{L}} \ell_r$. Case analysisng IH:
 - (a) $r^{\ell_r} \not\in AIS$: i. $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r_r)$: $\beta(\rho_A) \geq_{\mathbb{L}} (\ell_r \sqcap \mathbb{O}(r_r))$ ii. $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r_r)$: From $nihrHH(H, \rho_A)$ we know that $r' \not\in AIS$
 - (b) $\beta(\rho_A) \geq_{\mathbb{L}} \ell_r$: In this case $\beta(\rho_A) \geq_{\mathbb{L}} (\ell = \ell_r \cap \mathbb{O}(r))$

Lemma 19 (*NSRC* and *nihrHH* are invariants in high non-endorsed regions). $\forall c, \rho, H, \rho_A$.

$$\beta(\rho_{A}) \not\geq_{\mathbb{L}} \beta(\rho) \land \rho \neq \overline{\mathbb{P}} \land nihrC(c, \rho_{A}) \land nihrHH(H, \rho_{A}) \land \langle H, c \rangle \xrightarrow{\rho}_{EP} \langle H', c' \rangle \Rightarrow nihrC(c', \rho_{A}) \land nihrHH(H', \rho_{A})$$

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Proof. Proof by induction on \xrightarrow{\rho}_{EP}
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- 1. EP-if: Since H' = H, therefore $nihrHH(H', \rho_A)$. Since, $nihrC(c, \rho_A)$ therefore $nihrC(c_1, \rho_A)$.
- 2. EP-else: Since H' = H, therefore $nihrHH(H', \rho_A)$. Since, $nihrC(c, \rho_A)$ therefore $nihrC(c_2, \rho_A)$
- 3. EP-while 1: H' = H, therefore $nihrHH(H', \rho_A)$. Since, $nihrC(\text{while}e\text{do}c', \rho_A)$ therefore $nihrC(c', \rho_A)$
- 4. EP-while 2: H' = H, therefore $nihrHH(H', \rho_A)$. $nihrC(skip, \rho_A)$
- 5. EP-assign:

2 cases arise:

- (a) $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r)$: $nihrHH(H', \rho_A)$ holds vacuously.
- (b) $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r)$: Again 2 cases arise from Lemma 18:
 - i. $r \notin AIS$: 2 cases arise:
 - A. $r' \notin AIS$: $nihrHH(H', \rho_A)$
 - B. $\beta(\rho_A) \geq_{\mathbb{L}} \ell'_r$: This case cannot arise as EP-assign requires $\ell'_r \geq_{\mathbb{L}} \beta(\rho_r)$
 - ii. $\beta(\rho_A) \ge_{\mathbb{L}} \ell_r$: This case cannot arise as EP-assign requires $\ell_r \ge_{\mathbb{L}} \beta(\rho_r)$

 $nihrC(skip, \rho_A)$

6. EP-seq 1: By IH $nihrHH(H', \rho_A)$ and $nihrC(c_1', \rho_A)$. Therefore, $nihrHH(H', \rho_A)$ Since, $nihrC(c_1; c_2, \rho_A)$ and from IH, $nihrC(c_1'; c_2, \rho_A)$

7. EP-seq 2: H' = H. Therefore, $nihrHH(H', \rho_A)$. Since $nihrC(skip; c, \rho_A)$ therefore $nihrC(c, \rho_A)$

Lemma 20 (*nihrHH* is invariant in low regions). $\forall c, \rho, H, \rho_A$.

$$\beta(\rho_{A}) \geq_{\mathbb{L}} \beta(\rho) \land nihrHH(H, \rho_{A}) \land \langle H, c \rangle \xrightarrow{\rho}_{EP} \langle H', c' \rangle \Longrightarrow nihrHH(H', \rho_{A})$$

Proof. Proof by induction on $\stackrel{\rho}{\rightarrow}_{EP}$

- 1. EP-if: Since H' = H, therefore $nihrHH(H', \rho_A)$.
- 2. EP-else: Since H' = H, therefore $nihrHH(H', \rho_A)$.

- 3. EP-while 1: H' = H, therefore $nihrHH(H', \rho_A)$.
- 4. EP-while 2: H' = H, therefore $nihrHH(H', \rho_A)$.
- 5. EP-assign:

2 cases arise:

- (a) $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r)$: $nihrHH(H', \rho_A)$ holds vacuously.
- (b) $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r)$: This case cannot arise as EP-assign requires $\rho \geq_{\mathbb{L}} \mathbb{O}(r)$.
- 6. EP-seq 1: By IH $nihrHH(H', \rho_A)$ and $nihrC(c'_1, \rho_A)$. Therefore, $nihrHH(H', \rho_A)$
- 7. EP-seq 2: H' = H. Therefore, $nihrHH(H', \rho_A)$.

Lemma 21 (High non-endorsed regions dont change high AIS references).

 $\forall c, \rho, H, \rho_A$.

 $\beta(\rho_A) \not\geq_{\mathbb{L}} \beta(\rho) \land \rho \neq \overline{\mathbb{P}} \land nihrC(c, \rho_A) \land nihrH(H, \rho_A) \land$

 $\langle H, c \rangle \xrightarrow{\rho}_{EP} \langle H', c' \rangle$

 $\stackrel{\cdot}{\Longrightarrow}$

 $\forall \ r \in dom(AIS).\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H'(r)$

Proof. Induction on $\xrightarrow{\rho}_{EP}$:

- 1. EP-if, EP-else, EP-while 1, EP-while 2, EP-seq 2: ${\cal H}={\cal H}'$
- 2. EP-assign:

From Lemma 18 we know that $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(\mathbb{W}r) \implies r \notin AIS \vee \beta(\rho_A) \geq_{\mathbb{L}} \ell_r$. In both cases either the assignment happens to a non-high AIS or assignment cant happen at all. Case analysing:

- (a) $r \notin AIS$:
 - i. $\beta(\rho_A) \geq_{\mathbb{L}} \ell_v$: Assignment cannot happen
 - ii. $\beta(\rho_A) \not\geq_{\mathbb{L}} \ell_v$: Clearly $\forall r \in dom(AIS).\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H'(r)$
- (b) $\beta(\rho_A) \geq_{\mathbb{L}} \ell_r$: Assignment cannot happen
- 3. EP-seq 1: By IH

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Lemma 22 (Low regions cannot write to high references of interest). \forall c, \rho, H, \rho_A.
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$$\beta(\rho_{A}) \geq_{\mathbb{L}} \beta(\rho) \land nihrH(H, \rho_{A}) \land \langle H, c \rangle \xrightarrow{\rho}_{EP} \langle H', c' \rangle$$

$$\Longrightarrow$$

 $\forall \ r \in dom(AIS).\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H'(r)$

Proof. Induction on $\xrightarrow{\rho}_{EP}$:

- 1. EP-if, EP-else, EP-while 1, EP-while 2, EP-seq 2: $H=H^\prime$
- 2. EP-assign: From EP-assign $\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho) \geq_{\mathbb{L}} \beta(\rho_w)$. Therefore, $\forall r \in dom(AIS).\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H'(r)$

3. EP-seq 1: By IH

Lemma 23. nihrP and nihrHH are Invariants on programs] $\forall c, \rho, H, \rho_A$. $nihrP(P, \rho_A) \land nihrHH(H, \rho_A) \land$

$$\langle H, P \rangle \xrightarrow{}_{EP} \langle H', P' \rangle \Longrightarrow nihrP(P', \rho_A) \wedge nihrHH(H', \rho_A)$$

Proof. Proof by induction on \rightarrow_{EP}

- 1. EP-prg 1:
 - 2 cases arise:
 - (a) $\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho)$: Lemma 20 we know that $nihrHH(H', \rho_A)$ Say, $P = \rho\{c\} \circ P''$ and $P' = \rho\{c'\} \circ P''$. Since $\beta(\rho_A) \geq_{\mathbb{L}} \beta(\rho')$ therefore, $nihrP(P', \rho_A)$
 - (b) $\beta(\rho_A) \not\geq_{\mathbb{L}} \beta(\rho)$: From Lemma 19 we know that $nihrHH(H', \rho_A)$ and $nihrC(c', \rho_A)$. Say, $P = \rho\{c\} \circ P''$ and $P' = \rho\{c'\} \circ P''$. Therefore, $nihrP(P', \rho_A)$
- 2. EP-comp 1: From IH
- 3. EP-comp 2: Since H' = H therefore $nihrP(skip \circ P, \rho_A)$ and $nihrHH(H', \rho_A)$

Corollary 11. $\forall P_1, H_1, \rho_A$. $nihrP(P, \rho_A) \land nihrH(H, \rho_A) \land$ $Say \ P = \rho_1\{c_1\} \circ \dots \circ \rho_n\{c_n\}$ $\forall 1 \leq i \leq n. \ \rho_i \neq \overline{\mathbb{P}} \land$

$$\langle H_1, P_1 \rangle \to_{EP}^* \langle H_n, P_n \rangle \Longrightarrow \forall r \in AIS. \beta(\rho_A) \not>_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H'(r)$$

Proof. Say the reduction happens as follows: $\langle H_1, P_1 \rangle \to_{EP} \langle H_2, P_2 \rangle \dots \langle H_{n-1}, P_{n-1} \rangle \to_{EP} \langle H_n, P_n \rangle$

By induction on the length of the reduction

IH1: $\forall r \in dom(AIS).\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) \implies H(r) = H_{n-1}(r)$

From Lemma 23 that $nihrP(P_{n-1}, \rho_A) \wedge nihrHH(H_{n-1}, \rho_A)$

Induction on \rightarrow_{EP} on the last step:

- 1. EP-prg 1: From Lemma 21 and Lemma 22
- 2. EP-comp 1: By IH
- 3. EP-comp 2: H = H'

Theorem 12. $\forall \mathbb{E}_{\rho_A}, H$.

 $nihrP(\mathbb{E}_{\rho_A}, \rho_A) \Longrightarrow nihrHH(H, \rho_A) \Longrightarrow CDAF-E(\mathbb{E}_{\rho_A}, H, \to_{EP})$

Proof. $\forall r \in dom(H)$ the following cases arise:

- 1. $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r)$: All these references can be writen directly by the attacker. So, for every write on a such a reference can be simulated by the attacker code only. Thus, satisfying the second disjunct.
- 2. $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r)$: From Corollary 11, $H_1(r) = H_2(r) = H(r)$.

4 Full provenance semantics

Expressions:

$$\text{FP-val} \frac{}{ \langle H, v \rangle \downarrow_{FP}^{\rho} v^{\top} } \qquad \text{FP-deref} \frac{\langle H, e \rangle \downarrow_{FP}^{\rho} \mathbb{R} r^{\ell_r}}{\langle H, !e \rangle \downarrow_{FP}^{\rho} v^{\ell_r \cap \mathbb{P}_r}} \qquad v = H(r)$$

FP-refComp
$$\frac{\langle H, e_1 \rangle \downarrow_{FP}^{\rho} {}^{\nu} r^{\ell_r} \qquad \langle H, e_2 \rangle \downarrow_{FP}^{\rho} n^{\ell_n} \qquad (r \oplus n) \in dom(H)}{\langle H, e_1 \oplus e_2 \rangle \downarrow_{FP}^{\rho} {}^{\nu} (r \oplus n)^{\ell_r \sqcap \ell_n}}$$

Commands:

$$\begin{split} \text{FP-if} & \frac{\langle H, e \rangle \bigvee_{FP}^{\rho} v^{\ell} \quad v = tt}{\langle H, pc :: PC, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \rightarrow_{FP}^{\rho} \langle H, (pc \sqcap \ell) :: pc :: PC, c_1; \text{ endif} \rangle} \\ \text{FP-else} & \frac{\langle H, e \rangle \bigvee_{FP}^{\rho} v^{\ell} \quad v = ff}{\langle H, pc :: PC, \text{if } e \text{ then } c_1 \text{ else } c_2 \rangle \rightarrow_{FP}^{\rho} \langle H, (pc \sqcap \ell) :: pc :: PC, c_2; \text{ endif} \rangle} \\ \text{FP-endif} & \frac{\langle H, e \rangle \bigvee_{FP}^{\rho} v^{\ell} \quad v = tt}{\langle H, pc :: PC, \text{while } e \text{ do } c \rangle \rightarrow_{FP}^{\rho} \langle H, (pc \sqcap \ell) :: pc :: PC, c; \text{ while } e \text{ do } c; \text{ endwhile} \rangle} \\ \text{FP-while 1} & \frac{\langle H, e \rangle \bigvee_{FP}^{\rho} v^{\ell} \quad v = tt}{\langle H, pc :: PC, \text{while } e \text{ do } c \rangle \rightarrow_{FP}^{\rho} \langle H, (pc \sqcap \ell) :: pc :: PC, c; \text{ while } e \text{ do } c; \text{ endwhile} \rangle} \\ \text{FP-while 2} & \frac{\langle H, e \rangle \bigvee_{FP}^{\rho} v \quad v = ff}{\langle H, PC, \text{while } e \text{ do } c \rangle \rightarrow_{FP}^{\rho} \langle H, PC, \text{ skip} \rangle} \\ \text{FP-endwhile} & \frac{\langle H, e_1 \rangle \bigvee_{FP}^{\rho} \bigvee_{FP} v^{\ell_r} \quad \mathbb{P} = \beta(\rho) \quad \mathbb{P} \geq_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP}^{\rho} v^{\ell_r} \quad P \neq \mathbb{P} \Rightarrow \bigvee_{FP} v^{\ell_r} \cap v \cap pc \geq_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP}^{\rho} v^{\ell_r} \quad P \neq \mathbb{P} \Rightarrow \bigvee_{FP} v^{\ell_r} \cap v \cap pc \geq_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} \Rightarrow \bigvee_{FP} v^{\ell_r} \cap v \cap pc \geq_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathbb{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P \neq \mathbb{P} v \Rightarrow_{\mathbb{L}} \mathcal{O}(r) \quad \langle H, e_2 \rangle \bigvee_{FP} v^{\ell_r} \quad P$$

FP-comp 2 $\overline{\langle H, [\top], \rho\{skip\} \circ P\rangle} \rightarrow_{FP} \langle H, [\top], P\rangle$

Definition 13. Two labeled values $v_1^{\ell_1}$ and $v_2^{\ell_2}$ are ρ_A -equivalent, written $v_1^{\ell_1} \overset{\mathbb{L}}{\sim} v_2^{\ell_2}$, iff either:

1.
$$\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_1 = \ell_2)$$
 and $v_1 = v_2$ or

2.
$$\beta(\rho_A) \geq_{\mathbb{L}} \ell_1$$
 and $\beta(\rho_A) \geq_{\mathbb{L}} \ell_2$

Definition 14 (PC Stack). *PC stack* (*PC*) is a stack of labels from the integrity lattice

Definition 15 (PC stack equivalence).

$$PC_{1} \overset{\mathbb{L}}{\underset{\rho_{A}}{\overset{\mathbb{L}}{\nearrow}}} PC_{2} \triangleq \begin{cases} \beta(\rho_{A}) \geq_{\mathbb{L}} pc_{1} \wedge \beta(\rho_{A}) \geq_{\mathbb{L}} pc_{2} \\ \beta(\rho_{A}) \geq_{\mathbb{L}} pc_{1} \wedge \beta(\rho_{A}) \not\geq_{\mathbb{L}} pc_{2} \implies false \\ \beta(\rho_{A}) \not\geq_{\mathbb{L}} pc_{1} \implies \beta(\rho_{A}) \not\geq_{\mathbb{L}} pc_{2} \wedge PC'_{1} \overset{\mathbb{L}}{\underset{\rho_{A}}{\overset{\mathbb{L}}{\nearrow}}} PC'_{2} \\ \beta(\rho_{A}) \not\geq_{\mathbb{L}} pc_{1} \implies false \\ \beta(\rho_{A}) \geq_{\mathbb{L}} pc_{1} \implies false \\ \beta(\rho_{A}) \not\geq_{\mathbb{L}} pc_{2} \implies false \\ \beta(\rho_{A}) \geq_{\mathbb{L}} pc_{2} \implies false \\ \rho(\rho_{A}) \geq_{\mathbb{L}} pc_{2} \implies false$$

Definition 16 (Heap equivalence - CDA). $\forall H_1, H_2, \rho_A$. $H_1 \overset{\mathbb{L}}{\sim} H_2 \triangleq dom(H_1) = dom(H_2) \implies \forall r \in dom(H_1)$. $\beta(\rho_A) \not\geq_{\mathbb{L}} (\mathbb{O}(r) \implies H_1(r) = H_2(r)$

Lemma 24. $\forall H_1, H_2, e, \rho, \rho_A$

$$H_{1} \overset{\mathbb{L}}{\underset{\rho_{A}}{\sim}} H_{2} \wedge \rho \neq \overset{1}{\mathbb{P}} \wedge \langle H_{1}, e \rangle \overset{\rho}{\underset{\rho}{\downarrow}} V_{FP}^{\ell_{1}} \wedge \langle H_{2}, e \rangle \overset{\rho}{\underset{\rho}{\downarrow}} V_{FP}^{\ell_{2}} v_{2}$$

$$\implies v_{1} \overset{\mathbb{L}}{\underset{\rho}{\sim}} v_{2}$$

Proof. Proof by induction on ψ_{FP}^{ρ}

- 1. FP-val: Trivial
- 2. FP-deref: IH: $r_1^{\ell_{r1}} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} r_2^{\ell_{r2}}$ The following cases arise:

(a)
$$\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_{r1} = \ell_{r2})$$
: In this case $r_1 = r_2 = r$. 2 cases:

i.
$$\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r)$$
: Since $H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2$, therefore $v_1 = v_2$

ii.
$$\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r)$$
: $v_1^{\ell_1} \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} v_2^{\ell_2}$ since $\ell_1 = \ell_2 = \mathbb{O}(r) \cap \ell_{r_1}$ and thus $\beta(\rho_A) \geq_{\mathbb{L}} \ell_1$

(b)
$$\beta(\rho_A) \geq_{\mathbb{L}} \ell_{r_1} \wedge \beta(\rho_A) \geq_{\mathbb{L}} \ell_{r_2}$$
: $v_1^{\ell_1} \stackrel{\mathbb{L}}{\sim} v_2^{\ell_2}$ since $\ell_1 = \mathbb{O}(r_{r_1}) \cap \ell_{r_1}$ and thus $\beta(\rho_A) \geq_{\mathbb{L}} \ell_1$.
Similary $\ell_2 = \mathbb{O}(r_{r_2}) \cap \ell_{r_1}$ and thus $\beta(\rho_A) \geq_{\mathbb{L}} \ell_2$

3. FP-refComp:

IH1:
$$r_1^{\ell_{r1}} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} r_2^{\ell_{r2}}$$

IH2: $v_1^{\ell_{n1}} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} v_2^{\ell_{n2}}$

2 cases arise:

(a)
$$\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_{r1} = \ell_{r2})$$
:
 $r_1 = r_2$

i.
$$\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_{n1} = \ell_{n2})$$
:
 $v_1 = v_2$ therefore $(r_1 \oplus v_1) = (r_2 \oplus v_2)$

ii.
$$\beta(\rho_A) \geq_{\mathbb{L}} \ell_{n1} \wedge \beta(\rho_A) \geq_{\mathbb{L}} \ell_{n2}$$
: $(r_1 \oplus v_1)^{\ell'} \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} (r_2 \oplus v_2)^{prov''}$ as $\beta(\rho_A) \geq_{\mathbb{L}} \ell'$ and $\beta(\rho_A) \geq_{\mathbb{L}} \ell''$

(b) $\beta(\rho_A) \geq_{\mathbb{L}} \ell_{r1} \wedge \beta(\rho_A) \geq_{\mathbb{L}} \ell_{r2}$:

i.
$$\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_{n1} = \ell_{n2})$$
:
 $(r_1 \oplus v_1)^{\ell'} \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} (r_2 \oplus v_2)^{prov''} \text{ as } \beta(\rho_A) \geq_{\mathbb{L}} \ell' \text{ and } \beta(\rho_A) \geq_{\mathbb{L}} \ell''$

ii.
$$\beta(\rho_A) \geq_{\mathbb{L}} \ell_{n1} \wedge \beta(\rho_A) \geq_{\mathbb{L}} \ell_{n2}$$
: $(r_1 \oplus v_1)^{\ell'} \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} (r_2 \oplus v_2)^{prov''}$ as $\beta(\rho_A) \geq_{\mathbb{L}} \ell'$ and $\beta(\rho_A) \geq_{\mathbb{L}} \ell''$

Lemma 25 (CDA-Simulation). $\forall H_1, H_2, c, pc_1, pc_2, PC_1, PC_2, \rho, \rho_A$.

$$\rho \neq \overline{\mathbb{P}} \wedge H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 \wedge (pc_1 :: PC_1) \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} (pc_2 :: PC_2) \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} (pc_2 :: PC_$$

$$\langle H_1, pc_1 :: PC_1, c \rangle \rightarrow^{\rho}_{FP} \langle H'_1, pc'_1 :: PC'_1, c' \rangle \wedge$$

$$\langle H_2, pc_2 :: PC_2, c \rangle \xrightarrow{\rho}_{FP} \langle H_2', pc_2' :: PC1_2', c' \rangle \wedge \beta(\rho_A) \not\geq_{\mathbb{L}} (pc_1 = pc_2) \wedge \beta(\rho_A) \not\geq_{\mathbb{L}} (pc_1' = pc_2')$$

$$\Longrightarrow_{H_1'} \underset{\rho_A}{\overset{\mathbb{L}}{\sim}} H_2' \wedge pc_1' :: PC_1' \underset{\rho_A}{\overset{\mathbb{L}}{\sim}} pc_2' :: PC_2'$$

Proof. Proof by induction on the \rightarrow_{FP}^{ρ} :

1. FP-endif:

Here, c = endif.

$$H_1' = H_1 \overset{\mathbb{L}}{\underset{
ho_A}{\sim}} H_2 = H_2'$$

From definition 15,
$$pc_1'::PC_1'=PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2=pc_2'::PC_2'$$
 $c'=skip$

2. FP-if:

Here, $c = \text{if } e \text{ then } c_1 \text{ else } c_2, H'_1 = H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 = H'_2.$

From Lemma 24 we know that $v_1^{\ell_1} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} v_2^{\ell_1}$

(a) When $\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_1 = \ell_2 = \ell)$: Since, $\beta(\rho_A) \not\geq_{\mathbb{L}} (pc_1 = pc_2)$. Therefore, $PC'_1 = pc_1 \sqcap \ell :: pc_1 :: PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_2 \sqcap \ell :: pc_2 :: PC_2 = PC'_2$.

 $c_1' = c_1$; endif = c_2'

(b) When $\beta(\rho_A) \geq_{\mathbb{L}} \ell_1$ and $\beta(\rho_A) \geq_{\mathbb{L}} \ell_2$: Case cannot arise as $\beta(\rho_A) \not\geq_{\mathbb{L}} (pc'_1 = pc'_2)$

3. FP-else:

Here, $c = \text{if } e \text{ then } c_1 \text{ else } c_2, H_1' = H_1 \overset{\mathbb{L}}{\underset{o_4}{\sim}} H_2 = H_2'$

From Lemma 24 we know that $v_1^{\ell_1} \stackrel{\mathbb{L}}{\underset{\rho_A}{\stackrel{}{\sim}}} v_2^{\ell_1}$

(a) When $\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_1 = \ell_2 = \ell)$: Since, $\beta(\rho_A) \not\geq_{\mathbb{L}} (pc_1 = pc_2)$. Therefore, $PC'_1 = pc_1 \sqcap \ell :: pc_1 :: PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_2 \sqcap \ell :: pc_2 :: PC_2 = PC'_2$.

 $c_1' = c_2$; endif = c_2'

(b) When $\beta(\rho_A) \geq_{\mathbb{L}} \ell_1$ and $\beta(\rho_A) \geq_{\mathbb{L}} \ell_2$: Case cannot arise as $\beta(\rho_A) \not\geq_{\mathbb{L}} (pc'_1 = pc'_2)$

4. FP-while 1:

Here, $c = \text{while } e \text{ do } c_1, H_1' = H_1 \overset{\mathbb{L}}{\sim} H_2 = H_2'$

From Lemma 24 we know that $v_1^{\ell_1} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} v_2^{\ell_1}$

(a) When $\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_1 = \ell_2 = \ell)$: Since, $\beta(\rho_A) \not\geq_{\mathbb{L}} (pc_1 = pc_2)$. Therefore, $PC'_1 = pc_1 \sqcap \ell :: pc_1 :: PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_2 \sqcap \ell :: pc_2 :: PC_2 = PC'_2$.

 $c_1' = c_1$; endwhile = c_2'

(b) When $\beta(\rho_A) \geq_{\mathbb{L}} \ell_1$ and $\beta(\rho_A) \geq_{\mathbb{L}} \ell_2$: Case cannot arise as $\beta(\rho_A) \not\geq_{\mathbb{L}} (pc'_1 = pc'_2)$

5. FP-while 2:

Here, c= while e do c_1 , $H_1'=H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2=H_2',$ $PC_1'=pc_1::PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_2::$

$$\begin{aligned} PC_2 &= PC_2' \\ \text{and } c_1' &= skip = c_2' \end{aligned}$$

6. FP-endwhile:

Here, c = endwhile

$$H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 = H_2', \ PC_1' = pc_1 :: PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_2 :: PC_2 = PC_2' \ \text{and}$$

$$c_1' = skip = c_2'$$

7. FP-assign:

Here, $c = e_1 := e_2$

From Lemma 24 we know that $r_1^{\ell_{r1}} \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} r_2^{\ell_{r2}}$ and $v_1^{\ell_{v1}} \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} v_2^{\ell_{v2}}$

- (a) $\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_{r1} = \ell_{r2})$ and $\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_{v1} = \ell_{v2})$: $r_1 = r_2 \text{ and } v_1 = v_2.$ Since assignment happens therefore $\rho \geq_{\mathbb{L}} \mathbb{O}(r_1)$ and $pc \sqcap \ell_{r1} \sqcap \ell_{v1} \geq_{\mathbb{L}}$ $\mathbb{O}(r_1)$. And since, $H_1'(r_1) = v_1 = v_2 = H_2'(r_2)$. Therefore $H_1' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2'$ from definition 16.
- (b) $\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_{r1} = \ell_{r2})$ and $\beta(\rho_A) \geq_{\mathbb{L}} \ell_{v1}, \beta(\rho_A) \geq_{\mathbb{L}} \ell_{v2}$: $r_1 = r_2$. Since $\beta(\rho_A) \geq_{\mathbb{L}} \ell_{v_1}, \beta(\rho_A) \geq_{\mathbb{L}} \ell_{v_2}$, therefore $\beta(\rho_A) \geq_{\mathbb{L}}$ $(pc_1 \sqcap \ell_{v1} \sqcap \ell_{r1}) \text{ and } \beta(\rho_A) \geq_{\mathbb{L}} (pc_1 \sqcap \ell_{v2} \sqcap \ell_{r2}).$ Since assignment happens therefore $\rho \geq_{\mathbb{L}} \mathbb{O}(r_1)$ and $\beta(\rho_A) \geq_{\mathbb{L}} (pc \sqcap$ $\ell_{r1} \sqcap \ell_{v1} \geq_{\mathbb{L}} \mathbb{O}(r_1).$ And since $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r_1)$, therefore $H_1' \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2'$ from definition 16
- (c) $\beta(\rho_A) \geq_{\mathbb{L}} \ell_{r1}, \beta(\rho_A) \geq_{\mathbb{L}} \ell_{r2}$ and $\beta(\rho_A) \not\geq_{\mathbb{L}} (\ell_{v1} = \ell_{v2})$: $v_1 = v_2$. Since $\beta(\rho_A) \geq_{\mathbb{L}} \ell_{r1}, \beta(\rho_A) \geq_{\mathbb{L}} \ell_{r2}$, therefore $\beta(\rho_A) \geq_{\mathbb{L}}$ $(pc_1 \sqcap \ell_{v1} \sqcap \ell_{r1})$ and $\beta(\rho_A) \geq_{\mathbb{L}} (pc_1 \sqcap \ell_{v2} \sqcap \ell_{r2}).$ Since assignment happens therefore $\rho \geq_{\mathbb{L}} \mathbb{O}(r_1)$ and $\beta(\rho_A) \geq_{\mathbb{L}} (pc \sqcap$ $\ell_{r1} \sqcap \ell_{v1} \geq_{\mathbb{L}} \mathbb{O}(r_1).$ Similarly $\rho \geq_{\mathbb{L}} \mathbb{O}(r_2)$ and $\beta(\rho_A) \geq_{\mathbb{L}} (pc \sqcap \ell_{r_1} \sqcap \ell_{v_1}) \geq_{\mathbb{L}} \mathbb{O}(r_2)$. And since $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r_1)$ and $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r_2)$, therefore $H_1' \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2'$ from definition 16
- (d) $\beta(\rho_A) \geq_{\mathbb{L}} \ell_{r_1}, \beta(\rho_A) \geq_{\mathbb{L}} \ell_{r_2}$ and $\beta(\rho_A) \geq_{\mathbb{L}} \ell_{v_1}, \beta(\rho_A) \geq_{\mathbb{L}} \ell_{v_2}$: $\beta(\rho_A) \geq_{\mathbb{L}} (pc_1 \sqcap \ell_{v1} \sqcap \ell_{r1}) \text{ and } \beta(\rho_A) \geq_{\mathbb{L}} (pc_1 \sqcap \ell_{v2} \sqcap \ell_{r2}).$ Since assignment happens therefore $\rho \geq_{\mathbb{L}} \mathbb{O}(r_1)$ and $\beta(\rho_A) \geq_{\mathbb{L}} (pc \sqcap$ $\ell_{r1} \sqcap \ell_{v1} \geq_{\mathbb{L}} \mathbb{O}(r_1).$ Similarly $\rho \geq_{\mathbb{L}} \mathbb{O}(r_2)$ and $\beta(\rho_A) \geq_{\mathbb{L}} (pc \sqcap \ell_{r1} \sqcap \ell_{v1}) \geq_{\mathbb{L}} \mathbb{O}(r_2)$. And since $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r_1)$ and $\beta(\rho_A) \geq_{\mathbb{L}} \mathbb{O}(r_2)$, therefore $H_1' \stackrel{\mathbb{L}}{\underset{\alpha_A}{\sim}} H_2'$ from definition 16

$$PC'_1 = pc_1 :: PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_2 :: PC_2 = PC'_2$$

 $c' = skip$

8. FP-seq 1:

From IH $H_1' \overset{\bar{\mathbb{L}}}{\underset{\rho_A}{\sim}} H_2'$, $\rho \sqcap pc_1' :: PC_1' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_2' :: PC_2'$ and $\beta(\rho_A) \not\geq_{\mathbb{L}} (pc_1' = pc_2')$ $\implies c_1' = c_2'$

9. FP-seq 2:

Here, c = skip; c_1 From IH $H_1' = H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 = H_2'$, $PC_1' = PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2 = PC_2'$ and c' = skip

Lemma 26 (CDA-High to Low transition). $\forall H_1, H_2, c, PC_1, PC_2, \rho, \rho_A$. $\rho \neq \overline{\mathbb{P}} \wedge H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 \wedge (pc_1 :: PC_1) \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2 \wedge \langle H_1, pc_1 :: PC_1, c \rangle \rightarrow_{FP}^{\rho} \langle H'_1, pc'_1 :: PC'_1, c' \rangle \wedge \beta(\rho_A) \not\geq_{\mathbb{L}} pc_1 \wedge \beta(\rho_A) \geq_{\mathbb{L}} pc'_1 \Longrightarrow H'_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 \wedge pc'_1 :: PC'_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2$

Proof. Proof by induction on the \rightarrow_{FP}^{ρ} relation:

1. FP-endif, FP-endwhile:

This case cannot arise as PC is popped in this case and we cannot go from a $\beta(\rho_A) \not\geq_{\mathbb{L}} \rho \sqcap pc_1$ to $\beta(\rho_A) \geq_{\mathbb{L}} \rho \sqcap pc_1'$

2. FP-if, FP-else, FP-while 1:

$$H_1' = H_1 \overset{\mathbb{L}}{\underset{
ho_A}{\sim}} H_2$$

Since $\beta(\rho_A) \geq_{\mathbb{L}} pc_1'$.

Therefore from definition 15 $PC_1' = pc_1 \sqcap \ell :: pc_1 :: PC_1'' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2$

- 3. FP-while 2, FP-assign, FP-seq 2: Case cannot arise as $pc_1 :: PC_1' = pc_1' :: PC_1$
- 4. FP-seq 1:

From IH, $H_1' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2$, $PC_1' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2$

Lemma 27 (CDA-Confinment). $\forall H_1, H_2, c, PC_1, PC_2, \rho, \rho_A$. $\rho \neq \overline{\mathbb{P}} \land H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 \land (PC_1 = (pc_1 :: PC_1'')) \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2 \land \langle H_1, PC_1, c \rangle \xrightarrow{\rho_P} \langle H_1', PC_1', c' \rangle \land \beta(\rho_A) \geq_{\mathbb{L}} pc_1 \Longrightarrow H_1' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 \land PC_1' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2$

Proof. Proof by induction on the \rightarrow_{FP}^{ρ} relation:

1. FP-endif, FP-endwhile:

$$H_1' = H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2$$

Since $pc_1 :: PC_1'' \overset{\mathbb{L}}{\underset{\rho_A}{\triangleright}} PC_2$ and $\beta(\rho_A) \geq_{\mathbb{L}} pc_1$ (given).

Therefore, from definition 15 $(PC_1' = PC_1'') \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2$

2. FP-if, FP-else:

$$H_1' = H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2$$

Since
$$pc_1 :: PC_1'' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2$$
 and $\beta(\rho_A) \geq_{\mathbb{L}} pc_1$ (given).

Therefore from definition 15 $PC_1' = pc_1 \sqcap \ell :: pc_1 :: PC_1'' \stackrel{\mathbb{L}}{\sim} PC_2$

3. FP-while 1:
$$H'_1 = H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2$$

Since $pc_1 :: PC_1'' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2$ and $\beta(\rho_A) \geq_{\mathbb{L}} pc_1$ (given).

Therefore from definition 15 $PC'_1 = pc_1 \sqcap \ell :: pc_1 :: PC''_1 \stackrel{\mathbb{L}}{\sim} PC_2$

4. FP-while 2:
$$H_1' = H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2, \ PC_1' = PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2$$

5. FP-assign:

From FP-assign, since $\beta(\rho_A) \geq_{\mathbb{L}} pc_1$, therefore $\beta(\rho_A) \geq_{\mathbb{L}} (pc_1 \sqcap \ell_{r1} \sqcap \ell_{v1})$. Since the assignment happens therefore $\beta(\rho_A) \geq_{\mathbb{L}} (pc_1 \sqcap \ell_{r1} \sqcap \ell_{v1}) \geq_{\mathbb{L}} \rho_r$ Therefore, $H_1' \stackrel{\mathbb{L}}{\underset{0}{\sim}} H_2$ from definition 16 and $PC_1' = PC_1 \stackrel{\mathbb{L}}{\underset{0}{\sim}} PC_2$

6. FP-seq 1:

From IH,
$$H_1' \overset{\mathbb{L}}{\sim} H_2$$
, $PC_1' \overset{\mathbb{L}}{\sim} PC_2$

7. FP-seq 2:

From IH,
$$H_1' = H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2$$
, $PC_1' = PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2$

Lemma 28 (CDA-Low to high transition). $\forall H_1, H_2, c, PC_1, PC_2, \rho, \rho_A$.

$$\rho \neq \overline{\mathbb{P}} \wedge H_{11} \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} H_{21} \wedge$$

$$(pc_{11} :: PC_{11}) \stackrel{\mathbb{L}}{\underset{\rho_{A}}{\sim}} pc_{21} :: PC_{21} \wedge$$

$$\begin{array}{l} \beta(\rho_A) \not\geq_{\mathbb{L}} pc_{11} \stackrel{\rho_A}{\wedge} \beta(\rho_A) \not\geq_{\mathbb{L}} pc_{21} \wedge\\ \beta(\rho_A) \geq_{\mathbb{L}} pc_{12} \wedge \beta(\rho_A) \geq_{\mathbb{L}} pc_{22} \wedge\\ \forall 2 \leq i \leq n. \ \beta(\rho_A) \geq_{\mathbb{L}} pc_{1i} \wedge \end{array}$$

$$\beta(\alpha_A) \geq_{\pi} nc_{12} \wedge \beta(\alpha_A) \geq_{\pi} nc_{22} \wedge$$

$$\forall 2 < i < n$$
, $\beta(\rho_A) >_{\pi} nc_A$.

$$\forall 2 \leq j \leq m. \ \beta(\rho_A) \geq_{\mathbb{L}} pc_{2j} \ \land$$

$$\beta(\rho_A) \not\geq_{\mathbb{L}} pc_{1n} \wedge \beta(\rho_A) \not\geq_{\mathbb{L}} pc_{2m} \wedge$$

 $\langle H_{11}, pc_{11} :: PC_{11}, c \rangle \rightarrow^{\rho}_{FP} \langle H_{12}, pc_{12} :: PC_{12}, c_{12} \rangle \rightarrow^{\rho}_{FP} \langle H_{1n}, pc_{1n} :: PC_{1n}, c_{1n} \rangle$

 $\langle H_{21}, pc_{21} :: PC_{21}, c \rangle \to_{FP}^{\rho} \langle H_{22}, pc_{22} :: PC_{22}, c_{22} \rangle \to_{FP}^{\rho} \langle H_{2m}, pc_{2m} :: PC_{2m}, c_{2m} \rangle$

$$\Longrightarrow_{H_{1n}} \underset{\underset{\rho_A}{\sim}}{\mathbb{L}} H_{2m} \wedge pc_{1n} : PC_{1n} \underset{\underset{\rho_A}{\sim}}{\mathbb{L}} pc_{2m} :: PC_{2m} \wedge c_{1n} = c_{2m}$$

Proof. To prove $H_{1n} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_{2m}$ and $pc_{1n} : PC_{1n} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_{2m} :: PC_{2m}$:

$$H_{11} \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} H_{21}$$
 and $(pc_{11} :: PC_{11}) \stackrel{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_{21} :: PC_{21}$ (given)

From Lemma 26 we know that $H_{11} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_{21}$ and $pc_{11} : PC_{11} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_{21} :: PC_{21}$

From Lemma 27 we know that $H_{1n-1} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_{21}$ and $pc_{1n-1} : PC_{1n-1} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_{21} :: PC_{21}$

Similarly from Lemma 27 we also know that $H_{11} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_{2m-1}$ and $pc_{11} : PC_{11} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_{2m-1} :: PC_{2m-1}$

Therefore, $H_{1n-1} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_{2m-1}$ and $pc_{1n-1} : PC_{1n-1} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} pc_{2m-1} :: PC_{2m-1}$

To prove $c_{1n} = c_{2m}$:

By induction on c:

1. $c = \text{if } e \text{ then } c_1 \text{ else } c_2$:

From FP-if and FP-else we know that $c_{12} = c_1$; endif and $c_{22} = c_2$; endif or $c_{11} = c_2$; endif and $c_{21} = c_1$; endif

Now, since $\beta(\rho_A) \ge_{\mathbb{L}} pc_{1n-1}$ and $\beta(\rho_A) \ge_{\mathbb{L}} pc_{2m-1}$ therefore $c_{1n-1}=c_{2m-1}=$ endif

From T-endif $c_{1n} = c_{2m} = skip$

2. $c = \text{while } e \text{ do } c_1$:

Since, $\beta(\rho_A) \geq_{\mathbb{L}} pc_{12}$ and $\beta(\rho_A) \geq_{\mathbb{L}} pc_{22}$ therefore from FP-while 1 $c_{12} = c_1$; while e do c_1 ; endwhile and $c_{22} = c_1$; while e do c_1 ; endwhile

Now, since $\beta(\rho_A) \geq_{\mathbb{L}} pc_{1n-1}$ and $\beta(\rho_A) \geq_{\mathbb{L}} pc_{2m-1}$ therefore $c_{1n-1} = c_{2m-1} = \text{endwhile}$

From T-endWhile $c_{1n} = c_{2m} = skip$

3. $c = skip, c = e_1 := e_2$:

This case cannot arise

4. $c = c_1; c_2$:

From IH

Lemma 29 (CDA-Attacker-Confinment). $\forall H_1, H_2, c, PC_1, PC_2, \rho, \rho_A$.

$$\rho \neq \overline{\mathbb{P}} \wedge \rho = \rho_A \wedge H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 \wedge$$

$$\langle H_1, \top, c \rangle \xrightarrow{\rho}^*_{FP} \langle H_1', \top, c' \rangle \implies H_1' \overset{\mathbb{L}}{\sim} H_2$$

Proof. Say the reduction happens in the following way:

$$\langle H_1, \top, c \rangle \xrightarrow{\rho}_{FP} \langle H_{n-1}, PC_{n-1}, c_{n-1} \rangle \xrightarrow{\rho}_{FP} \langle H'_1, \top, c' \rangle$$

IH1: $H_{n-1} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2$

By induction on the last reduction:

1. FP-if, FP-else, FP-endif, FP-while 1, FP-while 2, FP-endWhile, FP-seq 2:

 $H'_1 = H_{n-1}$. Therefore for IH1

2. FP-assign:

From FP-assign we know that $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$ and since $\rho = \rho_A$ therefore $H'_1 \stackrel{\mathbb{L}}{\underset{\rho_A}{\longrightarrow}} H_{n-1} \stackrel{\mathbb{L}}{\underset{\rho_A}{\longrightarrow}} H_2$ (from IH1 and Definition 16)

3. FP-seq 1: From IH and IH1

Definition 17 (State). $\mathbb{S}(\langle H, PC, \rho \{c\} \rangle \triangleq (H, PC)$

Definition 18 (Trace). $\mathbb{T}(\langle H_1, pc_1 :: PC_1, \rho\{c_1\}\rangle \to_{FP}^* \langle H_n, pc_n :: PC_n, \rho\{c_n\}\rangle) \triangleq \{\mathbb{S}(\langle H_i, pc_i :: PC_i, \rho\{c_i\}\rangle) | 1 \leq i \leq n \land \beta(\rho_A) \not\geq_{\mathbb{L}} pc_i\}$

Definition 19 (Trace equivalence). $\mathbb{T}_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} \mathbb{T}_2 \triangleq$

$$|\mathbb{T}_1| = |\mathbb{T}_2| \land \forall 1 \leq i \leq |\mathbb{T}_1|. \ \mathbb{T}_1(i).H \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} \mathbb{T}_2(i).H \land \mathbb{T}_1(i).PC \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} \mathbb{T}_2(i).PC$$

Lemma 30 (NI-for-a-region). $\forall H_1, H_2, c, pc_1, pc_2, PC_1, PC_2, \rho, \rho_A$.

$$\rho \neq \overline{\mathbb{P}} \wedge \rho \neq \rho_A \wedge H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 \wedge$$

$$\langle H_1, \top, \rho\{c\} \rangle \to_{FP}^* \langle H_1', \top, \rho\{skip\} \rangle \wedge$$

$$\langle H_2, \top, \rho\{c\} \rangle \to_{FP}^* \langle H_2', \top, \rho\{skip\} \rangle \implies$$

$$\mathbb{T}(\langle H_1, \top, \rho\{c\}\rangle \to_{FP}^* \langle H_1', \top, \rho\{skip\}\rangle) \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} \mathbb{T}(\langle H_2, \top, \rho\{c\}\rangle \to_{FP}^* \langle H_2', \top, \rho\{skip\}\rangle)$$

Proof. Both the configurations start in equivalent heaps $(H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2)$ and same PC stacks (\top) .

Say
$$TR_1 = \mathbb{T}(\langle H_1, \top, \rho\{c\}\rangle \to_{FP}^* \langle H'_1, \top, \rho\{skip\}\rangle)$$
 and $TR_2 = \mathbb{T}(\langle H_2, \top, \rho\{c\}\rangle \to_{FP}^* \langle H'_2, \top, \rho\{skip\}\rangle)$

Since both executions will take equal number of steps in the high integrity context, therefore $|TR_1| = |TR_2|$.

By induction on $|TR_1|$

IH:
$$\forall 1 \leq i . $TR_1(i).H \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} TR_2(i).H \wedge TR_1(i).PC \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} TR_2(i).PC$$$

To prove:
$$TR_1(p).H \overset{\mathbb{L}}{\sim} TR_2(p).H \wedge TR_1(p).PC \overset{\mathbb{L}}{\sim} TR_2(p).PC$$

Say in first execution it takes q steps to go from $TR_1(p-1)$ to $TR_1(p)$ and in second execution it takes r steps to go from $TR_r(p-1)$ to $TR_2(p)$. The following cases arise:

- 1. q = 1 and r = 1: By Lemma 25
- 2. q > 1 and r = 1: By Lemma 27 and Lemma 26
- 3. q = 1 and r > 1: By Lemma 27 and Lemma 26
- 4. q > 1 and r > 1: By Lemma 28

```
Definition 20 (Freedom from Confused Deputy Attack). CDA-freedom-FP(\mathbb{E}_{\rho_A}, H, \rightarrow_{red}
\forall PC, c_{\rho_A}, c'_{\rho_A}.
\langle H, PC, \mathbb{E}_{\rho_A}[c_{\rho_A}] \rangle \xrightarrow{*}_{red} \langle H_1, PC_1, P_1 \rangle \implies
\langle H, PC, \mathbb{E}_{\rho_A}[c'_{\rho_A}] \rangle \to_{red}^* \langle H_2, PC_2, P_2 \rangle \implies H_1(r) = H_2(r)
\exists c_{\rho_A}^{"}.\langle H, PC, \mathbb{E}_{\rho_A}[c_{\rho_A}^{"}] \rangle \rightarrow_{red}^* \langle H_3, PC_3, P_3 \rangle \wedge H_1(r) = H_3(r)
Definition 21 (CDAF with endorsement). CDA-freedom-FP-E(\mathbb{E}_{\rho_A}, H, \rightarrow_{red})
Say \mathbb{E}_{\rho_A} = \rho_1\{c_1\} \circ \dots \rho_n\{c_n\}
1 \leq i, j \leq n. \ P_{ij} = \rho_i\{c_i\} \circ \dots \rho_j\{c_j\} \ s.t
\forall \ i \leq k \leq j.P_k \neq \overline{\mathbb{P}} \implies CDA\text{-freedom-FP}(P_{ij}, H, \rightarrow_{red})
Definition 22 (Non-interference for Active Adversaries). NI-A(\mathbb{E}_{\rho_A}, \rightarrow_{red}).
\forall H_1, H_2, PC_1, PC_2, c_A, c'_A
H_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2 \wedge PC_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC_2
\langle H_1, PC_1, \mathbb{E}_{\rho_A}[c_A] \rangle \to_{red}^* \langle H_1', PC_1', P_1' \rangle \wedge
\langle H_2, PC_2, \mathbb{E}_{\rho_A}[c'_A] \rangle \xrightarrow{*}^* \langle H'_2, PC'_2, P'_2 \rangle
\overrightarrow{\longrightarrow}_{H'_1} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H'_2 \wedge PC'_1 \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC'_2
Definition 23 (NI-A with endorsement). NI-A-E(\mathbb{E}_{\rho_A}, \rightarrow_{red}) \triangleq
Say \mathbb{E}_{\rho_A} = \rho_1\{c_1\} \circ \dots \rho_n\{c_n\}
1 \leq i, j \leq n. \ P_{ij} = \rho_i \{c_i\} \circ \dots \rho_j \{c_j\} \ s.t
\forall i \leq k \leq j. P_k \neq \overline{\mathbb{P}} \implies NI-A(P_{ij}, \rightarrow_{red})
Theorem 13 (NI-A \Longrightarrow CDA-freedom-FP). \forall \mathbb{E}_{\rho_A}, H, \rho_A.
NI-A(\mathbb{E}_{\rho_A}, \to_{FP}) \implies
 CDA-freedom-FP(\mathbb{E}_{\rho_A}, H, \rightarrow_{FP})
```

Proof. We choose H_1 and H_2 in the definition of NI-A as the H for which we want to prove CDA-freedom-FP.

From *NI-A*, we know $H_1' \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} H_2'$.

 $\forall r \in dom(H_1'), 2 \text{ cases arise}:$

- 1. $\beta(\rho_A) \not\geq_{\mathbb{L}} \mathbb{O}(r) : H'_1(r) = H_2(r)'$ from Definition 16. This satisfies first disjunct of CDA-freedom-FP.
- 2. $\beta(\rho_A) \ge_{\mathbb{L}} \mathbb{O}(r)$: Second disjunct of *CDA-freedom-FP* can be trivially satisfied.

Theorem 14 (NI-A-E
$$\Longrightarrow$$
 CDA-freedom-FP-E). $\forall \mathbb{E}_{\rho_A}, H, \rho_A$.
NI-A-E($\mathbb{E}_{\rho_A}, \rightarrow_{FP}$) \Longrightarrow
CDA-freedom-FP-E($\mathbb{E}_{\rho_A}, H, \rightarrow_{FP}$)

Proof. Directly from Theorem 13

Theorem 15 (
$$\rightarrow_{FP}$$
 guarantees NI - A). $\forall \mathbb{E}_{\rho_A}$. NI - A - $E(\mathbb{E}_{\rho_A}, \rightarrow_{FP})$

Proof. Expanding the definition of NI-A-
$$E(\mathbb{E}_{\rho_A}, \to_{FP})$$
, we get

Say
$$\mathbb{E}_{\rho_A} = \rho_1\{c_1\} \circ \dots \rho_n\{c_n\}$$

$$1 \le i, j \le n$$
. $P_{ij} = \rho_i \{c_i\} \circ \dots \rho_j \{c_j\}$ s.t

$$1 \le i, j \le n. \ P_{ij} = \rho_i \{c_i\} \circ \dots \rho_j \{c_j\} \text{ s.t.}$$

$$\forall i \le k \le j. P_k \ne \overline{\mathbb{P}} \implies NI-A(P_{ij}, \to_{FP})$$

Now, say P_{ij} is of the form $\rho_1^{ij}\{c_1^{ij}\} \circ \dots \rho_m^{ij}\{c_m^{ij}\}$

$$\exists I \in \{1...m\}. \ \rho_I^{ij} = \rho_A \text{ then from Lemma 30 we get } H'_{(I-1)1} \overset{\mathbb{L}}{\underset{\alpha_I}{\longleftarrow}} H'_{(I-1)2} \text{ and}$$

$$PC'_{(I-1)1} \overset{\mathbb{L}}{\underset{\rho_A}{\sim}} PC'_{(I-1)2}$$

where $H'_{(I-1)1}$ and $H'_{(I-1)2}$ are the final heaps obtained after the execution of command in (I-1)th region

Similarly $PC'_{(I-1)1}$ and $PC'_{(I-1)2}$ are the final PC stacks obtained after the execution of command in (I-1)th region

For the Ith (attacker region), we get equivalence from Lemma 29

And again for the remaning regions from Lemma 30 again.

5 Capability safety

5.1 **Abstract Definitions**

Definition 24 (Valid authority map). $auth_{\rho,\mathbb{O}}: \mathbb{H} \times \mathbb{T} \to \mathbb{A}$ is valid if $\forall H, c$. $\langle H, c \rangle \stackrel{\rho}{\to}_C \langle H', c' \rangle \implies$

- 1. $acc_{q,\mathbb{Q}}(H,c) \subseteq auth_{q,\mathbb{Q}}(H,c)$ and
- 2. $auth_{\rho,\mathbb{O}}(H',c') \subseteq auth_{\rho,\mathbb{O}}(\rho,H,c)$

Definition 25 (Capability system). A capability is defined by the following tuple:

- A set of capabilities, C
- A function from capability to resources, $desg: \mathbb{C} \to R$
- A function from capability to its privileges, $priv : \mathbb{C} \to 2^{\{\mathbb{R}, \mathbb{W}\}}$
- A function from command to capabilities, $tCap: \mathbb{T}_c \to 2^{\mathbb{C}}$
- A function from heap to capabilities, $hCap : \mathbb{H} \to 2^{\mathbb{C}}$

• A function from heap and capability to the set actions, $cAuth_{\rho,\mathbb{O}}: \mathbb{H} \times \mathbb{C} \to 2^{\mathbb{A}}$

This tuple must satisfy the following conditions in order to be termed as a valid capability system:

- 1. Basic conditions: $\forall H \in \mathbb{H}, {}^{\nu}r \in \mathbb{C}$
 - (a) $\forall c_1, c_2 \in \mathbb{T}$. $c_1 \sqsubseteq c_2 \implies tCap(c_1) \subseteq tCap(c_2)$
 - (b) $desg(\kappa) \in res(H) \iff \kappa \in hCap(H)$
 - (c) $(\kappa \notin hCap(H) \vee priv(\kappa) = \emptyset) \implies cAuth_{\rho,\mathbb{O}}(H,\kappa) = \emptyset$
 - (d) $(\kappa \in hCap(H) \land priv(\kappa) \neq \emptyset) \implies \{desg(\kappa)\} \times priv(\kappa) \subseteq cAuth_{\rho,\mathbb{O}}(H,\kappa) \subseteq act(H)$
 - (e) $\forall c \in \mathbb{T}$. $Wf(H, c) \implies tCap(c) \subseteq hCap(H)$
- 2. Topology-only bound for $cAuth_{o,\mathbb{O}}: \forall H \in \mathbb{H}, \kappa \in \mathbb{C}$.
 - (a) $\mathbb{R} \in priv(\kappa) \implies cAuth_{\rho,\mathbb{O}}(H,\kappa) \subseteq \{(desg(\kappa))\} \times priv(\kappa) \cup \bigcup_{\kappa' \in C} cAuth_{\rho,\mathbb{O}}(H,\kappa')$ where $C = tCap(H(desg(\kappa))) \cup \bigcup_{n} \{(\kappa \oplus n) | \kappa \oplus n \text{ is defined } \}$
 - (b) $\mathbb{R} \notin priv(\kappa) \implies cAuth_{\rho,\mathbb{O}}(H,\kappa) \subseteq \{desg(\kappa)\} \times priv(\kappa) \cup \bigcup_{\kappa' \in C} cAuth_{\rho,\mathbb{O}}(H,\kappa')$ where $C = \bigcup_{n} \{\kappa \oplus n) | \kappa \oplus n \text{ is defined } \}$

Definition 26 (Capability Safety). A capability system (as defined in Definition 25) is capability safe if $\forall H, c$ the following conditions hold:

- 1. $auth_{\rho,\mathbb{O}}(H,c) = \bigcup_{\nu \ r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,\nu r)$ is a valid authority map
- 2. $\langle H, c \rangle \stackrel{\rho}{\to}_C \langle H', c' \rangle$ and $\forall \kappa \in hCap(H')$.
 - $(a) \ \operatorname{acc}_{\rho,\mathbb{O}}(H,c) \not \triangleright \operatorname{cAuth}_{\rho,\mathbb{O}}(H,\kappa) \implies \operatorname{cAuth}_{\rho,\mathbb{O}}(H',\kappa) = \operatorname{cAuth}_{\rho,\mathbb{O}}(H,\kappa)$
 - $(b) \ acc_{\rho,\mathbb{O}}(H,c) \triangleright cAuth_{\rho,\mathbb{O}}(H,\kappa) \implies cAuth_{\rho,\mathbb{O}}(H',\kappa) \subseteq cAuth_{\rho,\mathbb{O}}(H,\kappa)$ $\cup \bigcup_{\kappa' \in \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,\kappa')$

5.2 Instantiating the definitions for Capability semantics with reference computations

Definition 27 (act for our system). $act(H) \triangleq \{r \mid r \in dom(H)\} \times \{\mathbb{R}, \mathbb{W}\}$

Definition 28 (res for our system). $res(H) \triangleq \{r \mid r \in dom(H)\}$

Definition 29 (desg for our system). desg ${}^{\nu}r \triangleq r$

Definition 30 (priv for our system). priv ${}^{\nu}r \triangleq \nu$ where $\nu \in \{\mathbb{R}, \mathbb{W}\}$

Definition 31 ($acc_{\rho,\mathbb{O}}$ for our system).

$$acc_{\rho,\mathbb{O}} \ H \ c \triangleq \left\{ \begin{array}{ll} accE_{\rho,\mathbb{O}} \ H \ e & c = \textit{if e then c_1 else c_2} \\ accE_{\rho,\mathbb{O}} \ H \ e & c = \textit{while e do c_1} \\ accE_{\rho,\mathbb{O}} \ H \ e_1 \cup accE_{\rho,\mathbb{O}} \ H \ e_2 \cup \{(r,\mathbb{W})\} & c = e_1 := e_2 \land \langle H, e_1 \rangle \biguplus_{C} \ ^{\mathbb{W}} r \\ acc_{\rho,\mathbb{O}} \ H \ c_1 & c = c_1; c_2 \end{array} \right.$$

Definition 32 ($accE_{\rho,\mathbb{O}}$ for our system).

$$accE_{\rho,\mathbb{O}} \ H \ e \triangleq \left\{ \begin{array}{ll} \emptyset & e = v \\ accE_{\rho,\mathbb{O}} \ e' \cup \{(r,\mathbb{R})\} & e = !e' \wedge \langle H,e' \rangle \bigvee_{C}^{\rho} \mathbb{R}r \\ accE_{\rho,\mathbb{O}} \ e_1 \cup accE_{\rho,\mathbb{O}} \ e_2 & e = e_1 \oplus e_2 \end{array} \right.$$

Definition 33 (tCap for our system).

$$tCap \ c \triangleq \begin{cases} tCapExpr \ e \cup tCap \ c_1 \cup tCap \ c_2 \\ tCapExpr \ e \cup tCap \ c_1 \\ tCapExpr \ e_1 \cup tCapExpr \ e_2 \\ tCap \ c_1 \cup tCap \ c_2 \\ \emptyset \end{cases} \qquad \begin{array}{c} c = if \ e \ then \ c_1 \ else \ c_2 \\ c = while \ e \ do \ c_1 \\ c = e_1 := e_2 \\ c = c_1; \ c_2 \\ c = skip \end{cases}$$

Definition 34 (tCapExpr for our system).

$$tCapExpr \ e \triangleq \begin{cases} \emptyset & e = tt \ or \ ff \\ \{^{\nu}r\} & e = {}^{\nu}r \\ tCapExpr \ e' & e = !e' \\ tCapExpr \ e_1 \cup tCapExpr \ e_2 & e = e_1 \oplus e_2 \end{cases}$$

Definition 35 (*hCap* for our system). *hCap* $H \triangleq \{{}^{\nu}r|r \in dom(H) \land \nu \in \{\mathbb{R}, \mathbb{W}\}\}$

Definition 36 ($cAuth_{\rho,\mathbb{O}}$ for our system).

$$cAuth_{\rho,\mathbb{O}} \ H^{\nu}r \triangleq \begin{cases} \{(r,\mathbb{W})\} \cup \bigcup_{n} cAuth_{\rho,\mathbb{O}} \ H^{\nu}(r \oplus n) & \nu = \mathbb{W} \wedge^{\nu}r \in hCap(H) \wedge \beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r) \\ \{(r,\mathbb{R})\} \cup \bigcup_{n} cAuth_{\rho,\mathbb{O}} \ H^{\nu}(r \oplus n) & \nu = \mathbb{R} \wedge^{\nu}r \in hCap(H) \wedge H(r) = {}^{\nu'}r' \\ \cup \ cAuth_{\rho,\mathbb{O}} \ \mathbb{O}^{\nu'}r' \\ \{(r,\mathbb{R})\} \cup \bigcup_{n} cAuth_{\rho,\mathbb{O}} \ H^{\nu}(r \oplus n) & \nu = \mathbb{R} \wedge^{\nu}r \in hCap(H) \wedge H(r) \neq {}^{\nu'}r' \\ \emptyset & otherwise \end{cases}$$

Definition 37 (\subseteq_E for our system).

$$e' \sqsubseteq_E e \triangleq \begin{cases} e' = v & e = v \\ e' \sqsubseteq_E e_1 & e = !e_1 \\ e' \sqsubseteq_E e_1 \lor & e' \sqsubseteq_E e_2 \lor & e' = e'_1 \oplus e'_2 \land e'_1 \sqsubseteq_E e_1 \land e'_2 \sqsubseteq_E e_2 \end{cases}$$

$$e = v$$

$$e = e ! e_1$$

$$e = e_1 \oplus e_2$$

Definition 38 (\sqsubseteq_C for our system).

$$c' \sqsubseteq_{C} c_{1} \lor c_{2} \lor c_{$$

5.3 Results

Lemma 31 (Basic condition 1a). $\forall e', e'' \in \mathbb{T}_e$. $e' \sqsubseteq_E e'' \implies tCap(e') \subseteq_E tCap(e'')$

Proof. Proof by induction on e'':

1. *v*:

An $e' \sqsubseteq_E v$ must be v itself, from Definition 37 From Definition 34 $tCap(e') \subseteq_E tCap(e'')$

2. e_1 :

An $e' \sqsubseteq_E ! e_1$ must be a subset of e_1 , from Definition 37 From IH and Definition 34 $tCap(e') \subseteq_E tCap(e'')$

3. $e_1 \oplus e_2$:

Since $e' \sqsubseteq_E e_1 \oplus e_2$, 3 cases arise:

- (a) $e' \sqsubseteq_E e_1$: From IH and Definition 34
- (b) $e' \sqsubseteq_E e_2$: From IH and Definition 34
- (c) $e' = e'_1 \oplus e'_2 \wedge e'_1 \sqsubseteq_E e_1 \wedge e'_2 \sqsubseteq_E e_2$: $IH1: \forall e'_1, e_1 \in \mathbb{T}_e. e'_1 \sqsubseteq_E e_1 \implies tCap(e'_1) \subseteq_E tCap(e_1)$ $IH2: \forall e'_2, e_2 \in \mathbb{T}_e. e'_2 \sqsubseteq_E e_2 \implies tCap(e'_2) \subseteq_E tCap(e_2)$ From Definition 34, $tCapExpr(e'_1) \cup tCapExpr(e'_2) = tCapExpr(e')$ $\subseteq_E tCapExpr(e) = tCapExpr(e_1) \cup tCapExpr(e_2)$

Theorem 16 (Basic condition 1b). $\forall c_1, c_2 \in \mathbb{T}_c. \ c' \sqsubseteq c'' \implies tCap(c') \subseteq tCap(c'')$

Proof. By induction on c''

- 1. if e then c_1 else c_2 : Since $c' \sqsubseteq c''$, 3 cases arise:
 - (a) $c' \sqsubseteq_C c_1$: From IH and Definition 33

- (b) $c' \sqsubseteq_C c_2$: From IH and Definition 33
- (c) $c' = \text{if } e' \text{ then } c'_1 \text{ else } c'_2 \text{ s.t } e' \sqsubseteq_E e \land c'_1 \sqsubseteq_C c_1 \land c'_2 \sqsubseteq_C c_2$: From IH1, IH2, Lemma 31 and Definition 33.
- 2. while e do c:

Since $c' \sqsubseteq_C c''$, 2 cases arise:

- (a) $c' \sqsubseteq_C c_1$: From IH and Definition 33
- (b) c' = while e' do c'_1 s.t $e' \sqsubseteq_E e \land c'_1 \sqsubseteq_C c_1$: From IH, Lemma 31 and Definition 33.
- 3. $e_1 := e_2$: Since $c' \subseteq c''$, therefore, $c' = e'_1 := e'_2$ s.t $e'_1 \sqsubseteq_E e_1 \land e'_2 \sqsubseteq_E e_2$. From Lemma 31 and Definition 33
- 4. c_1 ; c_2 : Since $c' \sqsubseteq c''$. 3 cases arise:
 - (a) $c' \sqsubseteq_C c_1$: From IH and Definition 33
 - (b) $c' \sqsubseteq_C c_2$: From IH and Definition 33
 - (c) $c' = c'_1; c_2 \wedge c'_1 \sqsubseteq_C c_1 \wedge c'_1 \sqsubseteq_C c_1$: From IH1, IH2 and Definition 33

Theorem 17 (Basic condition 2). $\forall H \in \mathbb{H}, {}^{\nu}r \in \mathbb{C}. \ desg({}^{\nu}r) \in res(H) \iff {}^{\nu}r \in hCap(H)$

Proof. To prove: $\forall H \in \mathbb{H}, {}^{\nu}r \in \mathbb{C}.\ desg({}^{\nu}r) \in res(H) \implies {}^{\nu}r \in hCap(H)$ From Definition 29 and Definition 35 we know that $r \in dom(H)$. And since $dom(\nu) = \{\mathbb{R}, \mathbb{W}\}$ therefore ${}^{\nu}r \in hCap(H)$ from Definition 35

To prove: $\forall H \in \mathbb{H}, {}^{\nu}r \in \mathbb{C}. \ desg({}^{\nu}r) \in res(H) \iff {}^{\nu}r \in hCap(H)$ Since ${}^{\nu}r \in hCap(H)$, therefore from Definition 35 we know that $r \in dom(H)$ and $\nu \in \{\mathbb{R}, \mathbb{W}\}$. This means from Definition 29 and Definition 28 that $desg({}^{\nu}r) \in res(H)$

Theorem 18 (Basic condition 3). $\forall H \in \mathbb{H}, {}^{\nu}r \in \mathbb{C}. \ ({}^{\nu}r \notin hCap(H) \vee priv({}^{\nu}r) = \emptyset) \implies cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r) = \emptyset$

Proof. Case analyzing the premise $({}^{\nu}r \notin hCap(H) \vee priv({}^{\nu}r) = \emptyset)$:

1. ${}^{\nu}r \notin hCap(H)$: From Definition 36, $cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r) = \emptyset$

2. $priv({}^{\nu}r) = \emptyset$: Give that ${}^{\nu}r \in \mathbb{C}$ (${}^{\nu}r$ is a valid capability) therefore $\nu \in \{\mathbb{R}, \mathbb{W}\}$. Therefore, from Definition 30 $priv({}^{\nu}r) \neq \emptyset$. And therefore this case cannot arise

Theorem 19 (Basic condition 4). $\forall H \in \mathbb{H}, {}^{\nu}r \in \mathbb{C}. \ ({}^{\nu}r \in hCap(H) \land priv({}^{\nu}r) \neq \emptyset) \implies \{desg({}^{\nu}r)\} \times priv({}^{\nu}r) \subseteq cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r) \subseteq act(H)$

Proof. To prove $\{desg({}^{\nu}r)\} \times priv({}^{\nu}r) \subseteq cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$ We know that ${}^{\nu}r \in hCap(H)$. Case analyzing on the ν :

- 1. $\nu = \mathbb{W}$: From Definition 36 we know that $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) = \{(r, \mathbb{W})\} \cup$ Some set. And $\{desg({}^{\nu}r)\} \times priv({}^{\nu}r)$ in this case is (r, \mathbb{W}) . So, clearly, $\{desg({}^{\nu}r)\} \times priv({}^{\nu}r) \subseteq cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$
- 2. $\nu = \mathbb{R}$: In this case $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) = \{(r', \mathbb{R}) | r' \in dom(H)\} \supseteq \{desg({}^{\nu}r)\} \times priv({}^{\nu}r) = (r, \mathbb{R})$

To prove $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \subseteq act(H)$ Case analyzing the ν :

- 1. $\nu = \mathbb{W}$: The largest $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$ s.t $\nu = \mathbb{W} \wedge {}^{\nu}r \in hCap(H)$ would occur when $\forall n.\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r \oplus n)$. And such largest $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$ would be exactly $\{r \mid r \in dom(H)\} \times \{\mathbb{R}, \mathbb{W}\}$
- 2. $\nu = \mathbb{R}$: This case can produce read actions only and $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) = \{(r', \mathbb{R}) | r' \in dom(H)\} \subseteq \{r \mid r \in dom(H)\} \times \{\mathbb{R}, \mathbb{W}\}$

Lemma 32. $\forall H \in \mathbb{H}, {}^{\nu}r \in \mathbb{C}. \ \forall e. \ \textit{Wf}(H,e) \implies t\textit{CapExpr}(e) \subseteq h\textit{Cap}(H)$

Proof. By induction on e:

- 1. tt, ff: From Definition 34 $tCapExpr(e) = \emptyset \subseteq hCap(H)$
- 2. ${}^{\nu}r$: From Definition 34 $tCapExpr(e) = \{{}^{\nu}r\} \subseteq hCap(H)$ because Wf(H,e)

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- 3. !e': IH: $tCapExpr(e') \subseteq hCap(H)$ From Definition 34 and IH
- 4. $e_1 \oplus e_2$: IH1: $tCapExpr(e_1) \subseteq hCap(H)$ IH2: $tCapExpr(e_2) \subseteq hCap(H)$

From Definition 34 and IH1 and IH2

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Theorem 20 (Basic condition 5). \forall H \in \mathbb{H}, {}^{\nu}r \in \mathbb{C}. \ \forall c \in \mathbb{T}. \ Wf(H,c) \implies
tCap(c) \subseteq hCap(H)
Proof. By induction on c:
    1. if e then c_1 else c_2:
```

From Definition 33 $tCap(c) = tCapExpr(e) \cup tCap(c_1) \cup tCap(c_2)$ From Lemma 32, $tCapExpr(e) \subseteq hCap(H)$

IH1: $tCap(c_1) \subseteq hCap(H)$ IH2: $tCap(c_2) \subseteq hCap(H)$

From Definition 34 and IH1 and IH2

2. while e do c':

From Definition 33 $tCap(c) = tCapExpr(e) \cup tCap(c_1)$ From Lemma 32, $tCapExpr(e) \subseteq hCap(H)$

IH: $tCap(c_1) \subseteq hCap(H)$ From Definition 34 and IH

3. $e_1 := e_2$:

From Definition 33 $tCap(c) = tCapExpr(e_1) \cup tCapExpr(e_1)$ From Lemma 32, $tCapExpr(e_1) \subseteq hCap(H)$ Also from Lemma 32, $tCapExpr(e_2) \subseteq hCap(H)$

4. c_1 ; c_2 :

IH1: $tCap(c_1) \subseteq hCap(H)$ IH2: $tCap(c_2) \subseteq hCap(H)$

From Definition 34 and IH1 and IH2

Theorem 21 (Topology-only bound). $\forall H \in \mathbb{H}, \kappa \in \mathbb{C}$.

1. $\mathbb{R} \in priv(\kappa) \implies cAuth_{\rho,\mathbb{O}}(H,\kappa) \subseteq \{(desg(\kappa)\} \times priv(\kappa) \cup \bigcup_{\kappa' \in C} cAuth_{\rho,\mathbb{O}}(H,\kappa')\}$ where $C = tCap(H(desg(\kappa))) \cup \bigcup_{n} \{(\kappa \oplus n) | \kappa \oplus n \text{ is defined}\}$

2. $\mathbb{R} \notin priv(\kappa) \implies cAuth_{\rho,\mathbb{O}}(H,\kappa) \subseteq \{desg(\kappa)\} \times priv(\kappa) \cup \bigcup_{\kappa' \in C} cAuth_{\rho,\mathbb{O}}(H,\kappa')$ where $C = \bigcup_{n} \{\kappa \oplus n) | \kappa \oplus n \text{ is defined } \}$

Proof. $\kappa = {}^{\nu}r$ (For our capability system ${}^{\nu}r$ are the valid capabilities)

1. Proving 1): Case analyzing on ${}^{\nu}r \in hCap(H)$

> (a) ${}^{\nu}r \not\in hCap(H)$: In this case $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) = \emptyset$ (from Definition 36)

(b) ${}^{\nu}r \in hCap(H)$: Since $\nu = \mathbb{R}$, so $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$ can be:

- i. $\{(r,\mathbb{R})\} \cup \bigcup_{n} cAuth_{\rho,\mathbb{O}} H^{\nu}(r \oplus n)$: Now, C would be $\bigcup_{n} \{\nu(r \oplus n)\}$ as $H(r) \neq^{-} r'$ leading to tCap(H(r)) =
 - \emptyset . Thus, proving the result.
- ii. $\{(r,\mathbb{R})\} \cup \bigcup_{n} cAuth_{\rho,\mathbb{O}} H^{\nu}(r \oplus n) \cup cAuth_{\rho,\mathbb{O}} \mathbb{O}^{\nu'}r'$:

In this case $\overset{n}{C}$ would be $\bigcup_n \{{}^{\nu}(r \oplus n)\} \cup T$ where $T = tCap(H(\operatorname{desg}({}^{\nu}r))$

From Definition 29 and given, $H(desg({}^{\nu}r)) = {}^{\nu'}r$. And from Definition 33 $tCap({}^{\nu'}r) = \{{}^{\nu'}r\}$. Thus, proving the result.

2. Proving 2):

Since ${}^{\nu}r \in \mathbb{C}$ and if $\mathbb{R} \notin priv({}^{\nu}r)$ then from Definition 30 it must be the case $priv(^{\nu}r) = W$:

2 cases arise:

- (a) $\beta(\rho) \geq_{\mathbb{L}} \mathbb{O}(r)$: In this case from Definition 36, $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) = \{(r, \mathbb{W})\} \cup \bigcup_{\nu} cAuth_{\rho,\mathbb{O}} H^{\nu}(r \oplus n)$ Since ${}^{\nu}r\in hCap(H)\wedge priv({}^{\nu}r)=\mathbb{W}$ therefore $C=\bigcup_n\{{}^{\nu}(r\stackrel{n}{\oplus}n)\}$ at least. Thus, proving the result.
- (b) $\beta(\rho) \not\geq_{\mathbb{L}} \mathbb{O}(r)$: In this case from Definition 36, $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) = \emptyset$. Thus, proving the result.

Lemma 33.
$$\forall \rho, \mathbb{O}, H, e.$$

 $accE_{\rho,\mathbb{O}}(H, e) \subseteq \bigcup_{r \in tCapExpr(e)} cAuth_{\rho,\mathbb{O}}(H, r)$

Proof. Proof by induction on e:

1. e = v:

From Definition 32, $accE_{\rho,\mathbb{O}}(H,v) = \emptyset$

So, from Definition 36 the required is proved.

2. e = !e':

IH: $accE_{\rho,\mathbb{O}}(H,e') \subseteq \bigcup_{{}^{\nu}r \in tCapExpr(e')} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$ From Definition 32, $accE_{\rho,\mathbb{O}}(H,e) = accE_{\rho,\mathbb{O}}(H,e') \cup \{(r',\mathbb{R})\}$ where

 $\langle H, e' \rangle \downarrow_C^{\rho} \mathbb{R} r'$

 $\{(r',\mathbb{R})\}$ is already included in $\bigcup_{\nu r \in tCapExpr(e)} cAuth_{\rho,\mathbb{O}}(H,\nu r)$, proof by

induction on $\langle H, e' \rangle \downarrow_C^{\rho} \mathbb{R} r'$

(a) C-val:

Since e' evaluates to $\mathbb{R}r'$. Therefore, $e' = \mathbb{R}r'$ In this case $\mathbb{R}r'$ is included in tCapExpr(e) and hence in $\bigcup_{\nu_{r} \in tCapExpr(e)} cAuth_{\rho,\mathbb{Q}}(H, \nu_{r})$ by Definition 36.

(b) C-deref:

From 2nd case of Definition 36 again \mathbb{R} r' is included in $\bigcup_{\nu r \in tCapExpr(e)} cAuth_{\rho,\mathbb{O}}(H, \nu r)$

(c) C-refComp:

 \mathbb{R} r' is included in $\bigcup_{\nu r \in tCapExpr(e)} cAuth_{\rho,\mathbb{O}}(H, \nu r)$ from 2nd or 3rd case of Defintion 36

3. $e = e_1 \oplus e_2$:

IH1: $accE_{\rho,\mathbb{O}}(H, e_1) \subseteq \bigcup_{\substack{\nu r \in tCapExpr(e_1)}} cAuth_{\rho,\mathbb{O}}(H, \nu r)$ IH2: $accE_{\rho,\mathbb{O}}(H, e_2) \subseteq \bigcup_{\substack{\nu r \in tCapExpr(e_2)}} cAuth_{\rho,\mathbb{O}}(H, \nu r)$

Therefore from Definition 32 and Definition 36

Lemma 34. $\forall \rho, \mathbb{O}, H, e$.

$$\langle H, e \rangle \stackrel{\rho}{\downarrow_C} {}^{\nu}r \wedge cAuth_{\rho, \mathbb{O}}(H, {}^{\nu}r) \subseteq \bigcup_{{}^{\nu'}r' \in tCapExpr(e)} cAuth_{\rho, \mathbb{O}}(H, {}^{\nu'}r')$$

Proof. By induction on ψ_C^{ρ} :

1. C-val:

Directly from Definition 36

2 C-dereft

Say
$$e = !e', \langle H, e' \rangle \downarrow_{C}^{\rho} \mathbb{R} r_{r}$$
 and $H(r_{r}) = {}^{\nu}r$
IH: $cAuth_{\rho,\mathbb{O}}(H, \mathbb{R} r_{r}) \subseteq \bigcup_{\nu' r' \in tCapExpr(e')} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu'}r')$

Since $H(r_r) = {}^{\nu}r$ therefore $cAuth_{\rho,\mathbb{O}}(H, {}^{\mathbb{R}}r_r)$ must already include (r, ν) from Definition 36.

3. C-refComp:

Say
$$e = e_1 \oplus e_2$$
, $\langle H, e_1 \rangle \stackrel{\rho}{\downarrow_C} {}^{\nu} r_1$ and $\langle H, e_2 \rangle \stackrel{\rho}{\downarrow_C} n$ s.t ${}^{\nu} r_1 \oplus n = {}^{\nu} r_2$
IH: $cAuth_{\rho, \mathbb{O}}(H, {}^{\nu} r_1) \subseteq \bigcup_{{}^{\nu'} r' \in tCapExpr(e_1)} cAuth_{\rho, \mathbb{O}}(H, {}^{\nu'} r')$

Since $\langle H, e \rangle \downarrow_C^{\rho} {}^{\nu} r_2$ therefore from C-refComp and Definition 36 $cAuth_{\rho,\mathbb{O}}(H, \mathbb{R} r_1)$ must already include (r_2, ν)

And hence, $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r_2) \subseteq \bigcup_{{}^{\nu'}r' \in tCapExpr(e)} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu'}r')$

Theorem 22 (Capability safety). A capability system (as defined in Definition 25) is capability safe if $\forall H, c$ the following conditions hold:

1.
$$auth_{\rho,\mathbb{O}}(H,c) = \bigcup_{\nu r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,\nu r)$$
 is a valid authority map

2.
$$\langle H, c \rangle \stackrel{\rho}{\to}_C \langle H', c' \rangle$$
 and $\forall^{\nu} r \in hCap(H')$.

(a)
$$acc_{\rho,\mathbb{O}}(H,c) \not > cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r) \implies cAuth_{\rho,\mathbb{O}}(H',{}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$$

(b)
$$acc_{\rho,\mathbb{O}}(H,c) \triangleright cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r) \Longrightarrow cAuth_{\rho,\mathbb{O}}(H',{}^{\nu}r) \subseteq cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$$

 $\cup \bigcup_{{}^{\nu'}r' \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu'}r')$

1. To prove (1) we need to show 2 things: Proof.

(a)
$$acc_{\rho,\mathbb{O}}(H,c) \subseteq auth_{\rho,\mathbb{O}}(H,c)$$
: Since $auth_{\rho,\mathbb{O}}(H,c) = \bigcup_{\substack{\nu \ r \in tCap(c)}} cAuth_{\rho,\mathbb{O}}(H,^{\nu}r)$ therefore we need to show that $acc_{\rho,\mathbb{O}}(H,c) \subseteq \bigcup_{\substack{\nu \ r \in tCap(c)}} cAuth_{\rho,\mathbb{O}}(H,^{\nu}r)$

By induction on c

i.
$$c = \text{if } e \text{ then } c_1 \text{ else } c_2$$
:

From Lemma 33 we know that
$$acc_{\rho,\mathbb{O}}(H,e) \subseteq \bigcup_{\nu \ r \in tCap(e)} cAuth_{\rho,\mathbb{O}}(H,\nu r)$$

From Definition 33,
$$tCap(c) = tCapExpr(e) \cup tCap(c_1) \cup tCap(c_2)$$

Therefore from Definition 31, $acc_{\rho,\mathbb{O}}(H,c) \subseteq \bigcup_{{}^{\nu}r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$

ii.
$$c = \text{while } e \text{ do } c_1$$
:

From Lemma 33 we know that
$$acc_{\rho,\mathbb{O}}(H,e) \subseteq \bigcup_{\nu r \in tCap(e)} cAuth_{\rho,\mathbb{O}}(H,\nu r)$$

From Definition 33,
$$tCap(c) = tCapExpr(e) \cup tCap(c_1)$$

Therefore from Definition 31,
$$acc_{\rho,\mathbb{O}}(H,c) \subseteq \bigcup_{\nu} cAuth_{\rho,\mathbb{O}}(H,\nu r)$$

iii.
$$c = e_1 := e_2$$
:

From Lemma 33 we know that
$$acc_{\rho,\mathbb{O}}(H,e_1) \subseteq \bigcup_{{}^{\nu}r \in tCap(e_1)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$$

Simialry from Lemma 33 we know that
$$acc_{\rho,\mathbb{O}}(H,e_2) \subseteq \bigcup_{\nu \ r \in tCap(e_2)} cAuth_{\rho,\mathbb{O}}(H,\nu r)$$

From Definition 33,
$$tCap(c) = tCapExpr(e) \cup tCapExpr(e_1)$$

Therefore from Definition 31,
$$acc_{\rho,\mathbb{O}}(H,c) \subseteq \bigcup_{r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,r^r)$$

iv.
$$c = c_1; c_2$$
:

IH1:
$$acc_{\rho,\mathbb{O}}(H, c_1) \subseteq \bigcup_{\alpha \in \mathcal{A}} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$$

IH1:
$$acc_{\rho,\mathbb{O}}(H, c_1) \subseteq \bigcup_{\substack{\nu \ r \in tCap(c_1)}} cAuth_{\rho,\mathbb{O}}(H, \stackrel{\nu}{r})$$

IH2: $acc_{\rho,\mathbb{O}}(H, c_2) \subseteq \bigcup_{\substack{\nu \ r \in tCap(c_2)}} cAuth_{\rho,\mathbb{O}}(H, \stackrel{\nu}{r})$

From Definition 33,
$$tCap(c) = tCap(c_1) \cup tCap(c_2)$$

From Definition 33,
$$tCap(c) = tCap(c_1) \cup tCap(c_2)$$

Therefore from Definition 31, $acc_{\rho,\mathbb{O}}(H,c) \subseteq \bigcup_{\nu r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,\nu r)$

```
(b) auth_{\rho,\mathbb{O}}(H'',c'') \subseteq auth_{\rho,\mathbb{O}}(H,c)
        Induction on the execution step:
            i. C-if:
                 H'' = H and c'' = c_1
                 Since tCap(c_1) \subseteq tCap(c) from Definition 33, therefore \bigcup_{\nu r \in tCap(c'')} cAuth_{\rho,\mathbb{Q}}(H, \nu r)
                 \subseteq \bigcup_{{}^{\nu}r\in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)
           ii. C-else:
                 H'' = H and c'' = c_2
                 Since tCap(c') \subseteq tCap(c) from Definition 33, therefore \bigcup_{\nu r \in tCap(c'')} cAuth_{\rho,\mathbb{O}}(H, \nu r)
                 \subseteq \bigcup_{{}^{\nu}r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)
         iii. C-while 1:
                 H'' = H and c'' = c_1; while e do c_1
                 Since tCap(c') \subseteq tCap(c) from Definition 33, therefore \bigcup_{\nu \ r \in tCap(c'')} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)
                 \subseteq \bigcup_{{}^{\nu}r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)
         iv. C-while 2:
                 H'' = H and c'' = skip
                 Since tCap(c') \subseteq tCap(c) from Definition 33, therefore \bigcup_{{}^{\nu}r \in tCap(c'')} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)
                 \subseteq \bigcup_{{}^{\nu}r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)
           v. C-assign:
                 c'' = skip
                 Since \widehat{tCap}(c'') = \emptyset from Definition 33, therefore \bigcup_{\nu r \in tCap(c'')} cAuth_{\rho,\mathbb{O}}(H'', {}^{\nu}r)
                \subseteq \bigcup_{{}^{\nu}r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)
         vi. C-seq 1:
                 c'' = c_1'; c_2
                \begin{split} & \overset{-}{\text{IH}:} \bigcup_{\substack{\nu_{r} \in tCap(c'_{1})}} cAuth_{\rho,\mathbb{O}}(H'', \nu_{r}) \subseteq \bigcup_{\substack{\nu_{r} \in tCap(c_{1})}} cAuth_{\rho,\mathbb{O}}(H, \nu_{r}) \\ & \text{Therefore,} \bigcup_{\substack{\nu_{r} \in tCap(c'_{1} \cup c_{2})}} cAuth_{\rho,\mathbb{O}}(H'', \nu_{r}) \subseteq \bigcup_{\substack{\nu_{r} \in tCap(c_{1} \cup c_{2})}} cAuth_{\rho,\mathbb{O}}(H, \nu_{r}) \end{split}
        vii. C-seq 2:
                 c = skip; c_1 and c'' = c_1. Also, H'' = H
                Since tCap(c) = tCap(c') from Definition 33.
Therefore, \bigcup_{{}^{\nu}r \in tCap(c'')} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r) \subseteq \bigcup_{{}^{\nu}r \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)
```

2. To prove (2):

We know that one of the 2 cases can arise:

(a) $acc_{\rho,\mathbb{O}}(H,c) \not\triangleright cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$: In this case we need to prove $cAuth_{\rho,\mathbb{O}}(H',{}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$

Case $\nu = \mathbb{R}$

By induction on $\stackrel{\rho}{\to}_C$

- i. C-if, C-else, C-while 1, C-while 2, C-seq 2: H' = H Therefore, $cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$
- ii. C-assign:

From Definition 31 we know that there exists a $(r', \mathbb{W}) \in acc_{\rho,\mathbb{O}}(H, c)$. Given this, no matter which \mathbb{R}_r we choose $cAuth_{\rho,\mathbb{O}}(H, \mathbb{R}_r)$ will include r', \mathbb{R} in it (from Definition 36).

Hence $acc_{\rho,\mathbb{O}}(H,c) \triangleright cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$ and this case cannot arise.

iii. C-seq 1: From IH

Case $\nu = \mathbb{W}$

By induction on $\stackrel{\rho}{\to}_C$

- i. C-if, C-else, C-while 1, C-while 2, C-seq 2: H' = HTherefore, $cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$
- ii. C-assign:

In the \mathbb{W} case $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$ can have all the possible \mathbb{W} actions for the principal ρ and under authority map \mathbb{O} . According to Definition 36 the only dependence on heap is ${}^{\nu}r \in hCap(H)$, besides this the values of the heap doesnt matter. And since dom(H) = dom(H') thus $cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$

iii. C-seq 1: From IH

(b) $acc_{\rho,\mathbb{O}}(H,c) \triangleright cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$:

In this case we need to prove $cAuth_{\rho,\mathbb{O}}(H',{}^{\nu}r)\subseteq cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)\cup\bigcup_{{}^{\nu'}r'\in tCap(c)}cAuth_{\rho,\mathbb{O}}(H,{}^{\nu'}r')$

Since $acc_{\rho,\mathbb{O}}(H,c)\triangleright cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$ so ν must be \mathbb{R} otherwise $acc_{\rho,\mathbb{O}}(H,c)$ / $\triangleright cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$ (defintion of \triangleright and Definition 36).

By induction on $\stackrel{\rho}{\to}_C$:

- i. C-if, C-else, C-while 1, C-while 2, C-seq 2: $H' = H. \text{ Therefore, } auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) = auth_{\rho,\mathbb{O}}(H', {}^{\nu}r)$ Therefore, $auth_{\rho,\mathbb{O}}(H', {}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \cup \bigcup_{\nu'\,r' \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu'}r')$
- ii. C-assign:

5 cases arise:

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A. H'(r) = H(r) = {}^{\nu_1}r_1
       cAuth_{\rho,\mathbb{O}}(H',{}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)
      Therefore, auth_{\rho,\mathbb{O}}(H', {}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \cup \bigcup_{\nu' \, r' \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu'}r')
B. H'(r) = {}^{\nu_1}r_1 and H(r) = (v_2 \neq {}^{\nu_2}r_2)
      2 cases arise
      • \nu_1 = \mathbb{R}:
           cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) by Definition 36.
          cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) = cAuu_{\rho,\mathbb{O}}(\Pi, {}^{\nu}r, {}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \cup \bigcup_{\nu'r' \in tCap(c)}
Therefore, auth_{\rho,\mathbb{O}}(H', {}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \cup \bigcup_{\nu'r' \in tCap(c)}
                                                                                                                     cAuth_{\rho,\mathbb{O}}(H, {}^{\nu'}r')
      • \nu_1 = \mathbb{W}:
           cAuth_{o.\mathbb{O}}(H', {}^{\nu}r) would also contain the authority to write
          over r_1 and everything that can be computed via r_1 under
          This is already upper bounded by \bigcup_{\nu' r' \in tCap(e_2)} cAuth_{\rho,\mathbb{O}}(H, \nu' r')
          from Lemma 34 and hence by
               CAuth_{o,\mathbb{O}}(H, \nu' r')
           \nu' r' \in tCap(c)
C. H'(r) = (v_2 \neq v_2 r_2) and H(r) = v_1 r_1
      2 cases arise
      • \nu_1 = \mathbb{R}:
           cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) by Definition 36.
          Therefore, auth_{\rho,\mathbb{O}}(H', {}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \cup \bigcup_{{}^{\nu'}r' \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu'}r')
       • \nu_1 = \mathbb{W}:
           cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) is already a subset of cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)
           from Definition 36.
          Therefore, auth_{\rho,\mathbb{O}}(H', {}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \cup \bigcup_{{}^{\nu'}r' \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu'}r')
D. H'(r) = (v_2 \neq v_2 r_2) and H(r) = (v_1 \neq v_1 r_1)
       cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) by Definition 36.
      Therefore, auth_{\rho,\mathbb{O}}(H', {}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \cup \bigcup_{{}^{\nu'}r' \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu'}r')
E. H'(r) = {}^{\nu_1}r_1 and H(r) = {}^{\nu_2}r_2:
      4 cases arise:
      • \nu_1 = \mathbb{R} and \nu_2 = \mathbb{R}:
           cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r) by Definition 36.
          Therefore, auth_{\rho,\mathbb{O}}(H', {}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \cup \bigcup_{\nu'\,r'\in tCap(c)}
                                                                                                                    cAuth_{\rho,\mathbb{O}}(H, \nu'r')
       • \nu_1 = \mathbb{W} and \nu_2 = \mathbb{R}:
           cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) would also contain the authority to write
          over r_1 and everything that can be computed via r_1 under
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This is already upper bounded by $\bigcup_{\nu' r' \in tCap(e_2)} cAuth_{\rho,\mathbb{O}}(H, \nu' r')$

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from Lemma 34 and hence by
     \bigcup cAuth_{\rho,\mathbb{O}}(H, \nu'r')
\nu' r' \in tCap(c)
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• $\nu_1 = \mathbb{R}$ and $\nu_2 = \mathbb{W}$:

 $cAuth_{\rho,\mathbb{O}}(H',{}^{\nu}r)$ is already a subset of $cAuth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$ from Definition 36.

And hence from IH1, $auth_{\rho,\mathbb{O}}(H',{}^{\nu}r)\subseteq auth_{\rho,\mathbb{O}}(H,{}^{\nu}r)$ $\bigcup_{\nu'\,r'\in tCap(c)}$ $cAuth_{\rho,\mathbb{O}}(H, \nu'r')$

• $\nu_1 = \mathbb{W}$ and $\nu_2 = \mathbb{W}$:

Since $H'(r) = {\mathbb{W}} r_1$. Therefore, from Lemma 2 $\beta(\rho) \geq_{\mathbb{L}}$

And from Definition 36, we know that (r_1, \mathbb{W}) is in $cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$ for all $r_1 \in dom(H)$

Therefore, $cAuth_{\rho,\mathbb{O}}(H', {}^{\nu}r) = cAuth_{\rho,\mathbb{O}}(H, {}^{\nu}r)$

iii. C-seq 1:

IH:
$$auth_{\rho,\mathbb{O}}(H', {}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H, {}^{\nu}r) \cup \bigcup_{{}^{\nu'}r' \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H, {}^{\nu'}r')$$

Therefore from IH we get
$$auth_{\rho,\mathbb{O}}(H',{}^{\nu}r) \subseteq auth_{\rho,\mathbb{O}}(H,{}^{\nu}r) \cup \bigcup_{\nu'r' \in tCap(c)} cAuth_{\rho,\mathbb{O}}(H,{}^{\nu'}r')$$