

VECTOR SPACES

ELECTRONIC VERSION OF LECTURE

HoChiMinh City University of Technology
Faculty of Applied Science, Department of Applied Mathematics



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OUTLINE

1 VECTOR SPACE AXIOMS

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2 LINEAR INDEPENDENCE AND DEPENDENCE

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- 1 VECTOR SPACE AXIOMS
- 2 LINEAR INDEPENDENCE AND DEPENDENCE
- 3 SPANNING SET AND BASIS

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- 3 SPANNING SET AND BASIS
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REAL VECTOR SPACES

Let $V \neq \emptyset$ on which **2 operations** are defined:

$$\begin{aligned} \textcircled{1} \quad & + : V \times V \rightarrow V \\ & (x, y) \longmapsto x + y \end{aligned}$$

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- 2 $\bullet: \mathbb{R} \times V \rightarrow V$
 $(\lambda, x) \mapsto \lambda.x$

REAL VECTOR SPACES

Let $V \neq \emptyset$ on which **2 operations** are defined:

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 $(x, y) \mapsto x + y$
- 2 $\bullet: \mathbb{R} \times V \rightarrow V$
 $(\lambda, x) \mapsto \lambda.x$

VECTOR SPACE AXIOMS

If the following **8 axioms** are satisfied by: $\forall x, y, z \in V, \forall \lambda, \mu \in \mathbb{R}$

- ① $x + y = y + x$
- ② $x + (y + z) = (x + y) + z$
- ③ $\exists \mathbf{0} \in V : x + \mathbf{0} = x$
- ④ $\exists (-x) \in V : x + (-x) = \mathbf{0}$
- ⑤ $(\lambda + \mu)x = \lambda x + \mu x$
- ⑥ $\lambda(x + y) = \lambda x + \lambda y$
- ⑦ $\lambda(\mu x) = (\lambda \cdot \mu)x$
- ⑧ $\mathbf{1} \cdot x = x$

then V is called **real vector space**.

LINEAR COMBINATION OF VECTORS

DEFINITION 2.1

If w is a vector in a vector space V , then w is said to be a **linear combination** of the vectors $v_1, v_2, \dots, v_n \in V$, if w can be expressed in the form

$$w = \sum_{i=1}^n \lambda_i v_i = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_n v_n,$$

where $\lambda_1, \lambda_2, \dots, \lambda_n$ are scalars. These scalars are called the **coefficients** of the linear combination.

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- If this system is **consistent** then w is a **linear combination** of v_1, v_2, \dots, v_n .

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$$w = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_n v_n$$

- If this system is **consistent** then w is a **linear combination** of v_1, v_2, \dots, v_n .
- If this system is **inconsistent** then w is **NOT** a linear combination of v_1, v_2, \dots, v_n .

EXAMPLE 2.1

Show that $w = (1, 4, -3)$ is a linear combination of

$$v_1 = (2, 1, 1), v_2 = (-1, 1, -1), v_3 = (1, 1, -2).$$

EXAMPLE 2.1

Show that $w = (1, 4, -3)$ is a linear combination of

$v_1 = (2, 1, 1)$, $v_2 = (-1, 1, -1)$, $v_3 = (1, 1, -2)$.

In order for w to be a linear combination of v_1, v_2, v_3 , there must be scalars $\lambda_1, \lambda_2, \lambda_3$ such that

$$\lambda_1 v_1 + \lambda_2 v_2 + \lambda_3 v_3 = w$$

$$\Leftrightarrow (2\lambda_1, \lambda_1, \lambda_1) + (-\lambda_2, \lambda_2, -\lambda_2) + (\lambda_3, \lambda_3, -2\lambda_3) = (1, 4, -3)$$

$$\Leftrightarrow \begin{cases} 2\lambda_1 - \lambda_2 + \lambda_3 = 1 \\ \lambda_1 + \lambda_2 + \lambda_3 = 4 \\ \lambda_1 - \lambda_2 - 2\lambda_3 = -3 \end{cases}$$

$$\Leftrightarrow \begin{pmatrix} 2 & -1 & 1 \\ 1 & 1 & 1 \\ 1 & -1 & -2 \end{pmatrix} \begin{pmatrix} \lambda_1 \\ \lambda_2 \\ \lambda_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 4 \\ -3 \end{pmatrix} \Leftrightarrow \begin{cases} \lambda_1 = 1 \\ \lambda_2 = 2 \\ \lambda_3 = 1 \end{cases}$$

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Therefore, $w = (1, 4, -3)$ is a **linear combination** of

$v_1 = (2, 1, 1)$, $v_2 = (-1, 1, -1)$, $v_3 = (1, 1, -2)$ and

$$w = v_1 + 2v_2 + v_3.$$

EXAMPLE 2.2

Determine whether $w = (4, 3, 5)$ is a linear combination of

$v_1 = (1, 2, 5)$, $v_2 = (1, 3, 7)$, $v_3 = (-2, 3, 4)$ or not?

EXAMPLE 2.2

Determine whether $w = (4, 3, 5)$ is a linear combination of

$v_1 = (1, 2, 5)$, $v_2 = (1, 3, 7)$, $v_3 = (-2, 3, 4)$ or not?

In order for w to be a linear combination of v_1, v_2, v_3 , there must be scalars $\lambda_1, \lambda_2, \lambda_3$ such that

$$\lambda_1 v_1 + \lambda_2 v_2 + \lambda_3 v_3 = w$$

$$\Leftrightarrow (\lambda_1, 2\lambda_1, 5\lambda_1) + (\lambda_2, 3\lambda_2, 7\lambda_2) + (-2\lambda_3, 3\lambda_3, 4\lambda_3) = (4, 3, 5) \quad (1)$$

$$\left(\begin{array}{ccc|c} 1 & 1 & -2 & 4 \\ 2 & 3 & 3 & 3 \\ 5 & 7 & 4 & 5 \end{array} \right) \xrightarrow{\begin{array}{l} r_2 \rightarrow r_2 - 2r_1 \\ r_3 \rightarrow r_3 - 5r_1 \end{array}}$$

$$\left(\begin{array}{ccc|c} 1 & 1 & -2 & 4 \\ 2 & 3 & 3 & 3 \\ 5 & 7 & 4 & 5 \end{array} \right) \xrightarrow{\begin{array}{l} r_2 \rightarrow r_2 - 2r_1 \\ r_3 \rightarrow r_3 - 5r_1 \end{array}}$$
$$\left(\begin{array}{ccc|c} 1 & 1 & -2 & 4 \\ 0 & 1 & 7 & -5 \\ 0 & 2 & 14 & -15 \end{array} \right) \xrightarrow{r_3 \rightarrow r_3 - 2r_2}$$

$$\begin{pmatrix} 1 & 1 & -2 & \big| & 4 \\ 2 & 3 & 3 & \big| & 3 \\ 5 & 7 & 4 & \big| & 5 \end{pmatrix} \xrightarrow{\begin{matrix} r_2 \rightarrow r_2 - 2r_1 \\ r_3 \rightarrow r_3 - 5r_1 \end{matrix}} \begin{pmatrix} 1 & 1 & -2 & \big| & 4 \\ 0 & 1 & 7 & \big| & -5 \\ 0 & 2 & 14 & \big| & -15 \end{pmatrix} \xrightarrow{r_3 \rightarrow r_3 - 2r_2} \begin{pmatrix} 1 & 1 & -2 & \big| & 4 \\ 0 & 1 & 7 & \big| & -5 \\ 0 & 0 & 0 & \big| & -5 \end{pmatrix}$$

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This system is inconsistent, so no such scalars $\lambda_1, \lambda_2, \lambda_3$ exist. Consequently, $w = (4, 3, 5)$ **is NOT a linear combination** of $v_1 = (1, 2, 5), v_2 = (1, 3, 7), v_3 = (-2, 3, 4)$

EXAMPLE 2.3

Determine whether $w = (4, 3, 10)$ is a linear combination of

$v_1 = (1, 2, 5)$, $v_2 = (1, 3, 7)$, $v_3 = (-2, 3, 4)$ or not?

EXAMPLE 2.3

Determine whether $w = (4, 3, 10)$ is a linear combination of

$v_1 = (1, 2, 5)$, $v_2 = (1, 3, 7)$, $v_3 = (-2, 3, 4)$ or not?

In order for w to be a linear combination of v_1, v_2, v_3 , there must be scalars $\lambda_1, \lambda_2, \lambda_3$ such that

$$\lambda_1 v_1 + \lambda_2 v_2 + \lambda_3 v_3 = w$$

$$\Leftrightarrow (\lambda_1, 2\lambda_1, 5\lambda_1) + (\lambda_2, 3\lambda_2, 7\lambda_2) + (-2\lambda_3, 3\lambda_3, 4\lambda_3) = (4, 3, 10) \quad (2)$$

$$\left(\begin{array}{ccc|c} 1 & 1 & -2 & 4 \\ 2 & 3 & 3 & 3 \\ 5 & 7 & 4 & 10 \end{array} \right) \xrightarrow{\begin{array}{l} r_2 \rightarrow r_2 - 2r_1 \\ r_3 \rightarrow r_3 - 5r_1 \end{array}}$$

$$\left(\begin{array}{ccc|c} 1 & 1 & -2 & 4 \\ 2 & 3 & 3 & 3 \\ 5 & 7 & 4 & 10 \end{array} \right) \xrightarrow{\begin{array}{l} r_2 \rightarrow r_2 - 2r_1 \\ r_3 \rightarrow r_3 - 5r_1 \end{array}}$$
$$\left(\begin{array}{ccc|c} 1 & 1 & -2 & 4 \\ 0 & 1 & 7 & -5 \\ 0 & 2 & 14 & -10 \end{array} \right) \xrightarrow{\begin{array}{l} r_3 \rightarrow r_3 - 2r_2 \\ r_1 \rightarrow r_1 - r_2 \end{array}}$$

$$\begin{pmatrix} 1 & 1 & -2 & \big| & 4 \\ 2 & 3 & 3 & \big| & 3 \\ 5 & 7 & 4 & \big| & 10 \end{pmatrix} \xrightarrow{\begin{matrix} r_2 \rightarrow r_2 - 2r_1 \\ r_3 \rightarrow r_3 - 5r_1 \end{matrix}} \begin{pmatrix} 1 & 1 & -2 & \big| & 4 \\ 0 & 1 & 7 & \big| & -5 \\ 0 & 2 & 14 & \big| & -10 \end{pmatrix} \xrightarrow{\begin{matrix} r_3 \rightarrow r_3 - 2r_2 \\ r_1 \rightarrow r_1 - r_2 \end{matrix}} \begin{pmatrix} 1 & 0 & -9 & \big| & 9 \\ 0 & 1 & 7 & \big| & -5 \\ 0 & 0 & 0 & \big| & 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 1 & -2 & \big| & 4 \\ 2 & 3 & 3 & \big| & 3 \\ 5 & 7 & 4 & \big| & 10 \end{pmatrix} \xrightarrow{\begin{matrix} r_2 \rightarrow r_2 - 2r_1 \\ r_3 \rightarrow r_3 - 5r_1 \end{matrix}} \begin{pmatrix} 1 & 1 & -2 & \big| & 4 \\ 0 & 1 & 7 & \big| & -5 \\ 0 & 2 & 14 & \big| & -10 \end{pmatrix} \xrightarrow{\begin{matrix} r_3 \rightarrow r_3 - 2r_2 \\ r_1 \rightarrow r_1 - r_2 \end{matrix}} \begin{pmatrix} 1 & 0 & -9 & \big| & 9 \\ 0 & 1 & 7 & \big| & -5 \\ 0 & 0 & 0 & \big| & 0 \end{pmatrix}$$

This system has infinitely many solutions

$$(\lambda_1, \lambda_2, \lambda_3) = (9 + 9t, -5 - 7t, t), \quad t \in \mathbb{R}.$$

Therefore, $w = (4, 3, 10)$ is **a linear combination** of

$$v_1 = (1, 2, 5), v_2 = (1, 3, 7), v_3 = (-2, 3, 4)$$

and

$$w = (9 + 9t)v_1 + (-5 - 7t)v_2 + tv_3, \quad t \in \mathbb{R}.$$

$\{v_1, v_2, \dots, v_m\}$
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$$\begin{aligned} &\exists \lambda_1, \lambda_2, \dots, \lambda_m \in \mathbb{R} : \\ &\lambda_1^2 + \lambda_2^2 + \dots + \lambda_m^2 \neq 0 \\ &\text{such that} \\ &\sum_{i=1}^m \lambda_i v_i = \lambda_1 v_1 + \lambda_2 v_2 + \\ &\quad \dots + \lambda_m v_m = 0 \end{aligned}$$

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$$\begin{aligned}
 \sum_{i=1}^m \lambda_i v_i &= \lambda_1 v_1 + \\
 \lambda_2 v_2 + \dots + \lambda_m v_m &= 0 \\
 \Rightarrow \lambda_1 = \lambda_2 = \dots = \\
 \lambda_m &= 0
 \end{aligned}$$

$\{v_1, v_2, \dots, v_m\}$
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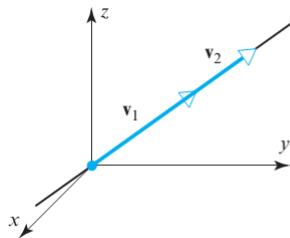
$\exists \lambda_1, \lambda_2, \dots, \lambda_m \in \mathbb{R}:$
 $\lambda_1^2 + \lambda_2^2 + \dots + \lambda_m^2 \neq 0$
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 $\sum_{i=1}^m \lambda_i v_i = \lambda_1 v_1 + \lambda_2 v_2 +$
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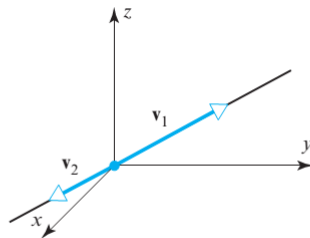


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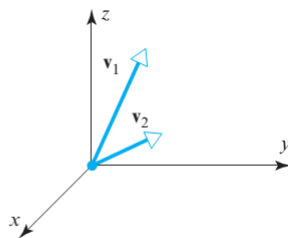
A GEOMETRIC INTERPRETATION OF LINEAR INDEPENDENCE



(a) Linearly dependent

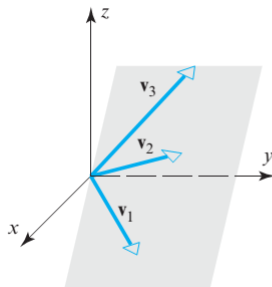


(b) Linearly dependent

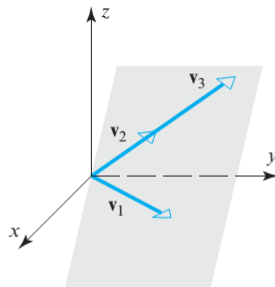


(c) Linearly independent

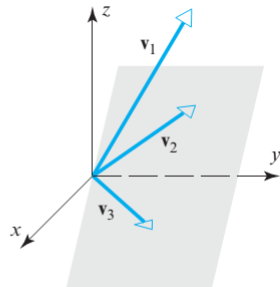
A GEOMETRIC INTERPRETATION OF LINEAR INDEPENDENCE



(a) Linearly dependent



(b) Linearly dependent



(c) Linearly independent

The linear independence or linear dependence of v_1, v_2, \dots, v_m is determined by whether there exist non-trivial solutions of the system $\lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_m v_m = 0$, where $\lambda_1, \lambda_2, \dots, \lambda_m \in \mathbb{R}$ are the unknowns.

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- If this system has **trivial solution** $\lambda_1 = \lambda_2 = \dots = \lambda_m = 0$ then v_1, v_2, \dots, v_m are **linearly independent**.

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- If this system has **trivial solution** $\lambda_1 = \lambda_2 = \dots = \lambda_m = 0$ then v_1, v_2, \dots, v_m are **linearly independent**.
- If this system has **non-trivial solutions** then v_1, v_2, \dots, v_m are **linearly dependent**.

WHEN $v_1, v_2, \dots, v_m \in \mathbb{R}_n$

Let $A = \begin{pmatrix} v_1^T & v_2^T & \dots & v_m^T \end{pmatrix}$ and determine $r(A)$.

WHEN $v_1, v_2, \dots, v_m \in \mathbb{R}_n$

Let $A = \begin{pmatrix} v_1^T & v_2^T & \dots & v_m^T \end{pmatrix}$ and determine $r(A)$.

- If $r(A) = m$ then v_1, v_2, \dots, v_m are **linearly independent**.

WHEN $v_1, v_2, \dots, v_m \in \mathbb{R}_n$

Let $A = \begin{pmatrix} v_1^T & v_2^T & \dots & v_m^T \end{pmatrix}$ and determine $r(A)$.

- If $r(A) = m$ then v_1, v_2, \dots, v_m are **linearly independent**.
- If $r(A) < m$ then v_1, v_2, \dots, v_m are **linearly dependent**.

WHEN $v_1, v_2, \dots, v_m \in \mathbb{R}_n$

Let $A = \begin{pmatrix} v_1^T & v_2^T & \dots & v_m^T \end{pmatrix}$ and determine $r(A)$.

- If $r(A) = m$ then v_1, v_2, \dots, v_m are **linearly independent**.
- If $r(A) < m$ then v_1, v_2, \dots, v_m are **linearly dependent**.

SPECIAL CASE $m = n$

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- If $\det(A) \neq 0$ then v_1, v_2, \dots, v_m are **linearly independent**.

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- If $\det(A) = 0$ then v_1, v_2, \dots, v_m are **linearly dependent**.

EXAMPLE 2.4

Determine whether

$v_1 = (2, 1, 2)$, $v_2 = (3, 2, 1)$, $v_3 = (1, 1, 4)$ are linearly dependent or linearly independent?

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Let

$$A = \begin{pmatrix} v_1^T & v_2^T & v_3^T \end{pmatrix} = \begin{pmatrix} 2 & 3 & 1 \\ 1 & 2 & 1 \\ 2 & 1 & 4 \end{pmatrix}.$$

EXAMPLE 2.4

Determine whether

$v_1 = (2, 1, 2)$, $v_2 = (3, 2, 1)$, $v_3 = (1, 1, 4)$ are linearly dependent or linearly independent?

Let

$$A = \begin{pmatrix} v_1^T & v_2^T & v_3^T \end{pmatrix} = \begin{pmatrix} 2 & 3 & 1 \\ 1 & 2 & 1 \\ 2 & 1 & 4 \end{pmatrix}.$$

We have $\det(A) = 5 \neq 0$, thus v_1, v_2, v_3 are linearly independent.

EXAMPLE 2.5

Determine whether

$v_1 = (1, 2, 3)$, $v_2 = (4, 5, 6)$, $v_3 = (7, 8, 9)$ are linearly independent or linearly dependent?

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EXAMPLE 2.5

Determine whether

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$$A = \begin{pmatrix} v_1^T & v_2^T & v_3^T \end{pmatrix} = \begin{pmatrix} 1 & 4 & 7 \\ 2 & 5 & 8 \\ 3 & 6 & 9 \end{pmatrix}.$$

We have $\det(A) = 0$, therefore, v_1, v_2, v_3 are linearly dependent.

EXAMPLE 2.6

Determine whether

$v_1 = (1, 1, 2, 3)$, $v_2 = (2, 3, 3, 1)$, $v_3 = (1, 2, 1, -2)$ are linearly independent or linearly dependent?

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Determine whether

$v_1 = (1, 1, 2, 3)$, $v_2 = (2, 3, 3, 1)$, $v_3 = (1, 2, 1, -2)$ are linearly independent or linearly dependent?

$$A = \begin{pmatrix} v_1^T & v_2^T & v_3^T \end{pmatrix} = \begin{pmatrix} 1 & 2 & 1 \\ 1 & 3 & 2 \\ 2 & 3 & 1 \\ 3 & 1 & -2 \end{pmatrix} \xrightarrow{\begin{array}{l} r_2 \rightarrow r_2 - r_1 \\ r_3 \rightarrow r_3 - 2r_1 \\ r_4 \rightarrow r_4 - 3r_1 \end{array}}$$

$$\begin{pmatrix} 1 & 2 & 1 \\ 0 & 1 & 1 \\ 0 & -1 & -1 \\ 0 & -5 & -5 \end{pmatrix} \xrightarrow{\begin{array}{l} r_3 \rightarrow r_3 + r_2 \\ r_4 \rightarrow r_4 + 5r_2 \end{array}}$$

$$\begin{pmatrix} 1 & 2 & 1 \\ 0 & 1 & 1 \\ 0 & -1 & -1 \\ 0 & -5 & -5 \end{pmatrix} \xrightarrow[r_4 \rightarrow r_4 + 5r_2]{r_3 \rightarrow r_3 + r_2} \begin{pmatrix} 1 & 2 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 2 & 1 \\ 0 & 1 & 1 \\ 0 & -1 & -1 \\ 0 & -5 & -5 \end{pmatrix} \xrightarrow[r_4 \rightarrow r_4 + 5r_2]{r_3 \rightarrow r_3 + r_2} \begin{pmatrix} 1 & 2 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\Rightarrow r(A) = 2 < 3 = m.$$

$$\begin{pmatrix} 1 & 2 & 1 \\ 0 & 1 & 1 \\ 0 & -1 & -1 \\ 0 & -5 & -5 \end{pmatrix} \xrightarrow[r_4 \rightarrow r_4 + 5r_2]{r_3 \rightarrow r_3 + r_2} \begin{pmatrix} 1 & 2 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\Rightarrow r(A) = 2 < 3 = m.$$

Therefore, v_1, v_2, v_3 are linearly dependent.

SPANNING SET

DEFINITION 3.1

The set $S = \{v_1, v_2, \dots, v_m\}$ of the vector space V **spans** V if $\forall w \in V, \exists \lambda_i \in \mathbb{R}, i = 1, 2, \dots, m :$

$$w = \sum_{i=1}^m \lambda_i v_i = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_m v_m.$$

We denote it by

$$V = \text{Span}(S) = \text{Span}\{v_1, v_2, \dots, v_m\}.$$

EXAMPLE 3.1

In \mathbb{R}_2 consider $S = \{(1, 0); (0, 1)\}$. For all $w = (x_1, x_2) \in \mathbb{R}_2$ we have

$$w = (x_1, x_2) = x_1(1, 0) + x_2(0, 1)$$

thus, S is the *spanning set* of \mathbb{R}_2 .

EXAMPLE 3.2

In \mathbb{R}_2 consider $S = \{(1, 2); (1, 1)\}$. For all $w = (x_1, x_2) \in \mathbb{R}_2$, we find $a, b \in \mathbb{R}$ such that

$$w = (x_1, x_2) = a(1, 2) + b(1, 1) = (a + b, 2a + b)$$

$$\Leftrightarrow \begin{cases} a + b = x_1 \\ 2a + b = x_2 \end{cases}$$

This system is consistent because

$$\begin{vmatrix} 1 & 1 \\ 2 & 1 \end{vmatrix} = -1 \neq 0. \text{ Therefore, } S \text{ spans } \mathbb{R}_2.$$

EXAMPLE 3.3

The set $S = \{(1, 1, 1); (1, 0, 2)\}$ does not span \mathbb{R}_3 .

EXAMPLE 3.3

The set $S = \{(1, 1, 1); (1, 0, 2)\}$ *does not span* \mathbb{R}_3 .

S spans \mathbb{R}_3 if the system

$$\alpha(1, 1, 1) + \beta(1, 0, 2) = (x_1, x_2, x_3)$$

$$\begin{cases} \alpha + \beta = x_1 \\ \alpha = x_2 \\ \alpha + 2\beta = x_3 \end{cases} \Leftrightarrow \begin{cases} \alpha + \beta = x_1 \\ 0\alpha - \beta = x_2 - x_1 \\ 0\alpha + 0\beta = x_3 + x_2 - 2x_1 \end{cases}$$

is consistent for all x_1, x_2, x_3 .

This system may have no solution or may have solutions depending on x_1, x_2, x_3 .

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Choosing $(x_1, x_2, x_3) = (1, 1, 2)$, this system is inconsistent. Therefore, $(1, 1, 2)$ is not a linear combination of vectors in S .

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Choosing $(x_1, x_2, x_3) = (1, 1, 2)$, this system is inconsistent. Therefore, $(1, 1, 2)$ is not a linear combination of vectors in S .

Note. $A = \begin{pmatrix} 1 & 1 \\ 1 & 0 \\ 1 & 2 \end{pmatrix} \Rightarrow \text{rank}(A) = 2 < 3$

S does not span \mathbb{R}_3

WHEN $v_1, v_2, \dots, v_m \in \mathbb{R}_n$

Let $A = \begin{pmatrix} v_1^T & v_2^T & \dots & v_m^T \end{pmatrix}$ and determine $r(A)$.

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Let $A = \begin{pmatrix} v_1^T & v_2^T & \dots & v_m^T \end{pmatrix}$ and determine $r(A)$.

- If $r(A) = n$ then v_1, v_2, \dots, v_m **span** \mathbb{R}_n .

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- If $r(A) < n$ then v_1, v_2, \dots, v_m **does not span** \mathbb{R}_n .

Note. n is the number of coordinates of vectors v_1, v_2, \dots, v_m in \mathbb{R}_n .

SPECIAL CASE $m = n$

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BASIS FOR A VECTOR SPACE

DEFINITION 3.2

If $S = \{v_1, v_2, \dots, v_n\}$ is a set of vectors in vector space V , then S is called a **basis** for V if

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If $S = \{v_1, v_2, \dots, v_n\}$ is a set of vectors in vector space V , then S is called a **basis** for V if

- ① S spans V
- ② S is linearly independent

The number of vectors in a basis S for V is called the **dimension** of vector space V . We denote it by $\dim(V)$.

EXAMPLE 3.4

The set $S = \{i, j, k\} \subset \mathbb{R}_3$, where $i = (1, 0, 0)$, $j = (0, 1, 0)$, $k = (0, 0, 1)$, is the standard basis for \mathbb{R}_3 .

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- Indeed, $\forall x = (x_1, x_2, x_3) \in \mathbb{R}_3$ we have $x = x_1.i + x_2.j + x_3.k \Rightarrow S$ spans \mathbb{R}_3 .

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- Consider $\alpha.i + \beta.j + \gamma.k = \mathbf{0}$
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 $\Rightarrow S$ is linear independent.

Therefore, S is the basis for $\mathbb{R}_3 \Rightarrow \dim(\mathbb{R}_3) = 3$.

DEFINITION 4.1

If $S = \{v_1, v_2, \dots, v_n\}$ is a basis for a vector space V , then every vector $w \in V$ can be expressed in the form

$$w = x_1 v_1 + x_2 v_2 + \dots + x_n v_n$$

in *exactly one way*. The scalars x_1, x_2, \dots, x_n are called the *coordinates of w relative to the basis S* . We denote $\begin{bmatrix} w \end{bmatrix}_S = \begin{pmatrix} x_1 & x_2 & \cdots & x_n \end{pmatrix}^T$.

EXAMPLE 4.1

Find the coordinate vector of $w = (6, 5, 4)$ relative to the basis S : $v_1 = (1, 1, 0)$, $v_2 = (2, 1, 3)$, $v_3 = (1, 0, 2)$.

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Find the coordinate vector of $w = (6, 5, 4)$ relative to the basis S : $v_1 = (1, 1, 0)$, $v_2 = (2, 1, 3)$, $v_3 = (1, 0, 2)$.

We must find x_1, x_2, x_3 such that

$$w = (6, 5, 4) = x_1(1, 1, 0) + x_2(2, 1, 3) + x_3(1, 0, 2)$$

$$\Leftrightarrow \begin{cases} x_1 + 2x_2 + x_3 = 6 \\ x_1 + x_2 = 5 \\ 3x_2 + 2x_3 = 4 \end{cases} \Leftrightarrow \begin{cases} x_1 = 3 \\ x_2 = 2 \\ x_3 = -1 \end{cases}$$

Therefore, $\begin{bmatrix} w \end{bmatrix}_S = (3, 2, -1)^T$.

IN MATRIX FORM

$$\begin{pmatrix} \vdots & \cdots & \vdots & \cdots & \vdots \\ e_1 & \cdots & e_i & \cdots & e_n \\ \vdots & \cdots & \vdots & \cdots & \vdots \end{pmatrix} \cdot \begin{pmatrix} \vdots \\ [x]_B \\ \vdots \end{pmatrix} = \begin{pmatrix} \vdots \\ x \\ \vdots \end{pmatrix}$$

We have

$$B[x]_B = x^T \Rightarrow [x]_B = B^{-1} \cdot x^T$$

THE CHANGE-OF-BASIS PROBLEM

If $B = \{e_1, e_2, \dots, e_n\}$ and $B' = \{e'_1, e'_2, \dots, e'_n\}$ are 2 bases for a vector space V .

THE CHANGE-OF-BASIS PROBLEM

If $B = \{e_1, e_2, \dots, e_n\}$ and $B' = \{e'_1, e'_2, \dots, e'_n\}$ are 2 bases for a vector space V .

Suppose that $w \in V$, then

$$w = \sum_{k=1}^n x_k e_k \text{ or } [w]_B = (x_1, x_2, \dots, x_n)^T \text{ and}$$

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How are the coordinate vectors $[w]_B$ and $[w]_{B'}$ related?

Suppose that there is a relation between B and B' :

$$e'_i = \sum_{k=1}^n s_{ki} e_k = s_{1i} e_1 + s_{2i} e_2 + \dots + s_{ni} e_n, \quad i = 1, 2, \dots, n.$$

DEFINITION 4.2

The matrix $S = \begin{pmatrix} s_{11} & \dots & \mathbf{s_{1i}} & \dots & s_{1n} \\ s_{21} & \dots & \mathbf{s_{2i}} & \dots & s_{2n} \\ \dots & \dots & \dots & \dots & \dots \\ s_{n1} & \dots & \mathbf{s_{ni}} & \dots & s_{nn} \end{pmatrix}$ is called the *transition matrix from B' to B* . We denote it by $S = P_{B' \rightarrow B}$. And

$$[w]_B = P_{B' \rightarrow B} [w]_{B'}$$

$$\begin{aligned}w &= \sum_{i=1}^n x'_i e'_i \\&= x'_1 e'_1 + x'_2 e'_2 + \dots + x'_n e'_n \\&= x'_1 (s_{11} e_1 + s_{21} e_2 + \dots + s_{n1} e_n) + x'_2 (s_{12} e_1 + s_{22} e_2 + \dots + s_{n2} e_n) + \dots + x'_n (s_{1n} e_1 + s_{2n} e_2 + \dots + s_{nn} e_n) \\&= (s_{11} x'_1 + s_{12} x'_2 + \dots + s_{1n} x'_n) e_1 + (s_{21} x'_1 + s_{22} x'_2 + \dots + s_{2n} x'_n) e_2 + \dots + (s_{n1} x'_1 + s_{n2} x'_2 + \dots + s_{nn} x'_n) e_n \\&= \sum_{k=1}^n x_k e_k = x_1 e_1 + x_2 e_2 + \dots + x_n e_n\end{aligned}$$

[illegible]

$$\begin{cases} x_1 &= s_{11}x'_1 + s_{12}x'_2 + \dots + s_{1n}x'_n \\ x_2 &= s_{21}x'_1 + s_{22}x'_2 + \dots + s_{2n}x'_n \\ \vdots &\vdots \quad \text{.....} \\ x_n &= s_{n1}x'_1 + s_{n2}x'_2 + \dots + s_{nn}x'_n \end{cases}$$

$$\begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} s_{11} & s_{12} & \dots & s_{1n} \\ s_{21} & s_{22} & \dots & s_{2n} \\ \dots & \dots & \dots & \dots \\ s_{n1} & s_{n2} & \dots & s_{nn} \end{pmatrix} \begin{pmatrix} x'_1 \\ x'_2 \\ \vdots \\ x'_n \end{pmatrix}$$

[illegible]

$$\begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} s_{11} & s_{12} & \dots & s_{1n} \\ s_{21} & s_{22} & \dots & s_{2n} \\ \dots & \dots & \dots & \dots \\ s_{n1} & s_{n2} & \dots & s_{nn} \end{pmatrix} \begin{pmatrix} x'_1 \\ x'_2 \\ \vdots \\ x'_n \end{pmatrix}$$

$$\Rightarrow \begin{bmatrix} w \end{bmatrix}_B = P_{B' \rightarrow B} \begin{bmatrix} w \end{bmatrix}_{B'},$$

EXAMPLE 4.2

Consider the bases

$$B = \{(2, 1, 0), (1, 0, 3), (0, 0, 1)\},$$

$$B' = \{(1, 0, 1), (0, 1, -2), (0, 1, 3)\} \text{ for } \mathbb{R}_3 \text{ and}$$
$$w = (8, -4, 6).$$

- ① *Find the transition matrix S from B' to B .*
- ② *Find the coordinate vector of w relative to 2 bases B, B' .*

The old basis vectors are

$e_1 = (2, 1, 0)$, $e_2 = (1, 0, 3)$, $e_3 = (0, 0, 1)$ and the new basis vectors are

$e'_1 = (1, 0, 1)$, $e'_2 = (0, 1, -2)$, $e'_3 = (0, 1, 3)$. We want to find the coordinate vectors of e'_1, e'_2, e'_3 relative to basis B :

$$\Leftrightarrow \begin{cases} e'_1 &= s_{11}e_1 + s_{21}e_2 + s_{31}e_3 \\ e'_2 &= s_{12}e_1 + s_{22}e_2 + s_{32}e_3 \\ e'_3 &= s_{13}e_1 + s_{23}e_2 + s_{33}e_3 \end{cases}$$

$$e'_1 = s_{11}e_1 + s_{21}e_2 + s_{31}e_3$$

$$\Leftrightarrow s_{11}(2, 1, 0) + s_{21}(1, 0, 3) + s_{31}(0, 0, 1) = (1, 0, 1)$$

$$\Leftrightarrow \begin{cases} 2s_{11} + s_{21} &= 1 \\ s_{11} &= 0 \\ 3s_{21} + s_{31} &= 1 \end{cases}$$

$$\Leftrightarrow s_{11} = 0, s_{21} = 1, s_{31} = -2.$$

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In matrix form:
$$\begin{pmatrix} 2 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 3 & 1 \end{pmatrix} \begin{pmatrix} s_{11} \\ s_{21} \\ s_{31} \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}$$

$$e'_2 = s_{12}e_1 + s_{22}e_2 + s_{32}e_3$$

$$\Leftrightarrow s_{12}(2, 1, 0) + s_{22}(1, 0, 3) + s_{32}(0, 0, 1) = (0, 1, -2)$$

$$\Leftrightarrow \begin{cases} 2s_{12} + s_{22} &= 0 \\ s_{12} &= 1 \\ 3s_{22} + s_{32} &= -2 \end{cases}$$

$$\Leftrightarrow s_{12} = 1, s_{22} = -2, s_{32} = 4.$$

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Therefore, the transition matrix S from B' to B is

$$S = \begin{pmatrix} 0 & 1 & 1 \\ 1 & -2 & -2 \\ -2 & 4 & 9 \end{pmatrix}$$

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Note. $\begin{pmatrix} 2 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 3 & 1 \end{pmatrix} \cdot S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 1 & -2 & 3 \end{pmatrix}$

$$\Rightarrow S = \begin{pmatrix} 2 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 3 & 1 \end{pmatrix}^{-1} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 1 & -2 & 3 \end{pmatrix}$$

IN MATRIX FORM

$$\begin{pmatrix} \vdots & \cdots & \vdots & \cdots & \vdots \\ e_1 & \cdots & e_i & \cdots & e_n \\ \vdots & \cdots & \vdots & \cdots & \vdots \end{pmatrix} \cdot \begin{pmatrix} \vdots & \cdots & \vdots & \cdots & \vdots \\ [e'_1]_B & \cdots & [e'_i]_B & \cdots & [e'_n]_B \\ \vdots & \cdots & \vdots & \cdots & \vdots \end{pmatrix} \\
 = \begin{pmatrix} \vdots & \cdots & \vdots & \cdots & \vdots \\ e'_1 & \cdots & e'_i & \cdots & e'_n \\ \vdots & \cdots & \vdots & \cdots & \vdots \end{pmatrix}$$

We have

$$BS = B' \Rightarrow S = B^{-1} \cdot B'$$

$$B[x]_B = x^T = B'[x]_{B'} \Rightarrow [x]_B = B^{-1} \cdot B'[x]_{B'} = S[x]_{B'}.$$

2. The Coordinate vectors of w relative to 2 bases B, B' .

The coordinates of w relative to basis B are $\lambda_1, \lambda_2, \lambda_3$ which satisfies $w = \lambda_1 e_1 + \lambda_2 e_2 + \lambda_3 e_3$

$$\Leftrightarrow \lambda_1(2, 1, 0) + \lambda_2(1, 0, 3) + \lambda_3(0, 0, 1) = (8, -4, 6)$$

$$\Leftrightarrow \begin{cases} 2\lambda_1 + \lambda_2 & = 8 \\ \lambda_1 & = -4 \\ 3\lambda_2 + \lambda_3 & = 6 \end{cases}$$

$$\Leftrightarrow \lambda_1 = -4, \lambda_2 = 16, \lambda_3 = -42$$

$$\Rightarrow \begin{bmatrix} w \end{bmatrix}_B = (-4, 16, -42)^T$$

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$$\Rightarrow \begin{bmatrix} w \end{bmatrix}_B = (-4, 16, -42)^T \Rightarrow \begin{bmatrix} w \end{bmatrix}_{B'} = S^{-1} \cdot \begin{bmatrix} w \end{bmatrix}_B = (8, -2, -2)^T.$$

THANK YOU FOR YOUR ATTENTION