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**Information technology — Open systems  
interconnection —**

**Part 2:  
Procedures for the object identifier  
resolution system operational agency**

*Technologies de l'information — Interconnection de systèmes  
ouverts (OSI) —*

*Partie 2: Procédures pour l'agence opérationnelle du système de  
résolution de l'identificateur d'objet*



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## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of the joint technical committee is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights.

ISO/IEC 29168-2 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 6, *Telecommunications and information exchange between systems*.

ISO/IEC 29168 consists of the following parts, under the general title *Information technology — Open systems interconnection*:

- *Part 1: Object identifier resolution system*
- *Part 2: Procedures for the object identifier resolution system operational agency*

## Introduction

An introduction to the object identifier (OID) resolution system is provided in Rec. ITU-T X.672 | ISO/IEC 29168-1.

This part of ISO/IEC 29168 specifies the mechanisms and criteria to be applied for the selection and approval of the object identifier resolution system (ORS) operational agency. It also covers the procedures that the ORS operational agency is required to follow when managing the ORS.

The DNS zone files for .oid-res.org are required to provide ORS support for the root of the International Object Identifier tree.

These procedures include the appointment (or re-appointment) of the ORS operational agency (the operational agency).

The procedures require the operational agency to provide DNS zone file support for .oid-res.org, as well as for a specified set (see Clause 6) of top-level OID nodes [including DNSSEC (NSEC3) support for those zone files].

The operational agency is also required to support specified procedures (some, but not all, on a commercial basis) that enable the provision of ORS support for OID nodes beneath those that it is required to fully support (see Table 1).

Clause 5 specifies the approval mechanisms for the appointment of the operational agency and any necessary subsequent changes.

Clause 6 specifies the OID nodes to be supported by the operational agency.

Clause 7 specifies the nature of operational agency support.

Clause 8 addresses charging issues for services provided by the operational agency.



# Information technology — Open systems interconnection —

## Part 2:

## Procedures for the object identifier resolution system operational agency

### 1 Scope

**1.1** This part of ISO/IEC 29168 specifies the mechanisms and criteria to be applied for the selection and approval of the object identifier resolution system (ORS) operational agency, and includes procedures that the operational agency is required to follow.

**1.2** It also addresses any future modification of the procedures, and the procedures for any change of the operational agency.

**1.3** It lists the object identifier (OID) nodes for which the operational agency is required to provide ORS support.

**1.4** It gives the required level of support for these nodes.

**1.5** It gives the procedures by which lower-level nodes can apply for ORS support (class A, class B, or class C), and the role of the operational agency in providing these levels of support.

**1.6** It determines the basis for charges that might be levied for these levels of support.

### 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

Rec. ITU-T X.660 series | ISO/IEC 9834 (all parts), *Information technology — Open Systems Interconnection — Procedures for the operation of OSI Registration Authorities*

Rec. ITU-T X.672 | ISO/IEC 29168-1, *Information technology — Open systems interconnection — Part 1: Object identifier resolution system*

### 3 Terms and definitions

#### 3.1 Terms defined in Rec. ITU-T X.660 | ISO/IEC 9834-1

For the purposes of this document, the following terms defined in Rec. ITU-T X.660 | ISO/IEC 9834-1 apply.

- a) object identifier;
- b) International Object Identifier tree;

- c) long arc;
- d) OID internationalized resource identifier;
- e) Unicode label.

### **3.2 Terms defined in Rec. ITU-T X.672 | ISO/IEC 29168-1**

For the purposes of this document, the following terms defined in Rec. ITU-T X.672 | ISO/IEC 29168-1 apply.

- a) DNS Delegation Name (DNAME);
- b) DNS Name Server (NS);
- c) DNS zone file;
- d) NAPTR resource records;
- e) operational agency procedures (procedures);
- f) ORS operational agency (the operational agency);
- g) ORS-supported OID node.

## **4 Abbreviated terms**

DNAME	(DNS) Delegation Name
DNS	Domain Name System
NAPTR	(DNS) Naming Authority Pointer
NS	(DNS) Name Server
OID	Object Identifier
OID-IRI	OID Internationalized Resource Identifier
ORS	OID Resolution System

## **5 Appointment of the operational agency**

### **5.1 Formal appointment**

The operational agency is formally appointed as an ISO/IEC Registration Authority under the ISO/IEC JTC 1 procedures for such appointments.

### **5.2 Nominating bodies**

When there is a vacancy for the operational agency, the request for nominations shall be sent to the ISO/IEC JTC 1/SC 6 Secretariat for posting, and nominations can be submitted by any ISO National Body or any IEC National Committee.

Nominations shall be sent to the ISO/IEC JTC 1/SC 6 Secretariat for the attention of the Expert Group (see 5.4).



### 5.3 Criteria for nominations and statements from nominees

Those making a nomination should note the following.

- a) Eligible nominees are limited to national government agencies, private companies, charities, academic and research institutions within a country represented by an ISO National Body or IEC National Committee.
- b) Nominations shall include a statement by the nominee (in free form) that (if appointed) they are prepared to be fully compliant with the procedures set out in this part of ISO/IEC 29168, and any subsequent revisions that are agreed by ISO/IEC processes.
- c) nominations shall also include statements by the nominee (in free form) that:
  - 1) gives their status and the legal jurisdiction(s) they are prepared to operate under;
  - 2) gives evidence of expertise in the management of DNS zone files, including use of DNSSEC (NSEC3);
  - 3) they are prepared to provide 24/7 technical and operational support for the ORS 365 days a year, and give evidence of that capability and their ability to rapidly recover from failure of the servers that they use to support the ORS;
  - 4) any data obtained or used in the provision of this service will not be shared with any third party or used for any purpose other than for the provision of this service;
  - 5) the domain name `.oid-res.org` used for this service will not be used for, or in conjunction with, any other service;
  - 6) they have procedures in place to back-up and rapidly restore all relevant zone files in the event of failures of the DNS servers;
  - 7) the right to provide a service under the registered domain name `.oid-res.org` remain with the relevant ISO/IEC committees;
  - 8) if they cease to operate in this role (for whatever reason), they will do so in a way that is not disruptive to the provision of the service;
  - 9) if taking over from an existing provider of the ORS service, they are capable of doing so in a way that is not disruptive to the provision of the service;
  - 10) if disputes occur, they will accept any decision made by due process through the relevant ISO/IEC committees.

### 5.4 Selection and approval of an operational agency

**5.4.1** Once nominations have been received for the operational agency, they shall be initially reviewed by an Expert Group consisting of:

- the ISO/IEC JTC 1/SC 6/WG 9 Convenor;
- the ITU-T SG 17 ASN.1 & OID Project Leader;
- the Editor of the ISO/IEC 9834 multi-part standard;
- the Editor of ISO/IEC 29168-1.

**5.4.2** The Expert Group shall (in a timely manner after the closing date for nominations) consider the nominees and make (perhaps after further enquiry) a recommendation to ISO/IEC JTC 1/SC 6 for appointment of the operational agency.

**5.4.3** The Expert Group shall produce a report listing all of the nominees, their recommendation for appointment of the operational agency, and a summary of their deliberations and reasons for their recommendation. The report shall be discussed within ISO/IEC JTC 1/SC 6/WG 9 and presented for ratification during the next plenary session of ISO/IEC JTC 1/SC 6.

## **5.5 Term of appointment**

**5.5.1** The selected nominee shall be appointed for a minimum period of three years.

**5.5.2** Twelve months notice of any termination shall be given by any of the parties to the agreement. If there is no request by any of the parties to the agreement for termination of the appointment, it continues without further formality on an annual basis.

**5.5.3** If there is a request by any of the parties to the agreement to terminate the appointment, these procedures shall be invoked to determine a new operational agency.

**5.5.4** ISO/IEC JTC 1/SC 6 may undertake an annual review of the performance of the appointed operational agency and applicable procedures specified within this document, if they deem that necessary.

## **5.6 Transfer of .oid-res.org**

**5.6.1** There is agreement that the owner of **.oid-res.org** domain name prior to the appointment of the first operational agency will transfer that domain name free of charge to whatever organization is appointed to fulfil that role.

**NOTE 1** This may involve requesting **.org** to change its DNS zone file record to point to a new server for the **.oid-res.org** zone files.

**NOTE 2** There may be a further charge (to be paid by the new operational agency) to **.org** to support the signing of a new public key to provide DNSSEC (NSEC3) support, as is required by Rec. ITU-T X.672 | ISO/IEC 29168-1.

**5.6.2** It is a requirement that any appointed operational agency that is replaced as specified in Clause 5 will also provide such a transfer of ownership of **.oid-res.org** free of charge.

## **6 OID nodes to be supported by the operational agency**

Table 1 lists the OID nodes to be supported by the operational agency. There are long arcs and Unicode labels associated with some of these OID nodes. These can be determined from past ITU-T SG 17 and ISO/IEC JTC 1/SC 6 Resolutions, and from Rec. ITU-T X.660 | ISO/IEC 9834-1, and are not listed here. Only the canonical identification is given in Table 1.

OID-IRI	Comment on ORS support (see Clause 7)
Root	Fully supported, for all current and new children (including current and new long arcs) and all Unicode labels to children. It is not expected that there will ever be nodes $/n$ for values of $n$ above 2
$/0$	Fully supported.
$/0/0$	Fully supported.
$/0/0/n$	Fully supported for all current ( $n = 1$ to 26, representing the A to Z <sub>x</sub> series of Recommendations) OID nodes and supported for new children (none expected). Support available for all subsequent child OID nodes under class C, B or A provision (see 7.2).
$/0/1$	Support not needed – obsolete.
$/0/2$	Fully supported. Support available for all subsequent child OID nodes under class C, B or A provision.
$/0/3$	Fully supported. Support available for all subsequent child OID nodes under class C, B or A provision.
$/0/4$	Fully supported. Support available for all subsequent child OID nodes under class C, B or A provision.
$/0/5$	Fully supported. Support available for all subsequent child OID nodes under class C, B or A provision.
$/1$	Fully supported.
$/1/0$	Fully supported. Support available for all subsequent child OID nodes under class C, B or A provision.
$/1/1$	No support required, unless there is a formal request for support of a child node ( $/1/1/n$ ), under class C, B or A provision, in which case $/1/1$ shall then be given class C support, and the request shall be given class C, B or A provision. If no such request is made, a DNS request for $/1/1$ will produce an error return.
$/1/2$	Fully supported. Support available for all subsequent child OID nodes under class C, B or A provision.
$/1/3$	Fully supported. Support available for all subsequent child OID nodes under class C, B or A provision.
$/2$	Fully supported. Support available for all subsequent child OID nodes under class C, B or A provision.
$/2/n$	Fully supported for all $n$ that are approved in accordance with Rec. ITU-T X.662   ISO/IEC 9834-3 (new values of $n$ are expected to be approved at most twice a year). Support available for all subsequent child OID nodes under class C, B or A provision.

Table 1 — OID nodes to be supported by the operational agency

## 7 Operational agency support

**NOTE** The following subclauses provide details of the services that the operational agency is required to support under the terms of their appointment as a Registration Authority by ISO/IEC.

### 7.1 Support for the OID nodes supported by the operational agency

**7.1.1** The operational agency shall provide DNS zone files with appropriate NS, DNAME and NAPTR resource records for all the nodes listed in Clause 6, in order to provide navigation and support of the services specified in the Annexes to Rec. ITU-T X.672 | ISO/IEC 29168-1.

**7.1.2** It shall support long arcs to any of its supported nodes, and shall add, in a timely manner, any new Unicode labels on arcs to those nodes that are duly approved.

**7.1.3** Additional nodes that are at the same level as those in Clause 6 (and additional Unicode labels) shall be supported following their approval by ITU-T SG 17 and ISO/IEC JTC 1/SC 6.

**7.1.4** In particular, the COID service, the CINF service, and the RINF service shall be supported.

**7.1.5** The XML file for the CINF service for any node that has any child OID node that is listed in Clause 6 shall have an XML file that gives full disclosure of its children.

**7.1.6** The XML file for the RINF service for any node that is listed in Clause 6 is not required to provide contact information, but the operational agency may choose to do so subject to the requirements of Rec. ITU-T X.672 | ISO/IEC 29168-1.

**7.1.7** If new ORS services are progressed as new Annexes to Rec. ITU-T X.672 | ISO/IEC 29168-1, then discussions shall be held with the operational agency on the level of their support.

**NOTE** This clause also applies to services currently specified in Annex A to Rec. ITU-T X.672 | ISO/IEC 29168-1:2011 and referred to another standard.

**7.1.8 Security:** All zone files for the nodes specified in Clause 6 shall support the use of DNSSEC (NSEC3) as specified in Rec. ITU-T X.672 | ISO/IEC 29168-1:2011, Clause 5.

**7.1.9 Data Protection:** All information that forms part of any file or service element shall be subject to the data protection requirements of the country providing the operational agency.

**7.1.10 Validation of requests:** Requests for class A, B or C support for a child node (see 7.2) shall be validated appropriately (including checking that the child node has been officially allocated by the ITU-T | ISO/IEC processes) to avoid spam, fraud, etc.

### 7.2 ORS-support for lower-level nodes

**7.2.1** There are three levels of support that are possible. Class A support, class B support, and class C support.

**NOTE** Charging for these levels of support is specified in Clause 8.

**7.2.2** In summary, class C support is required for all approved child nodes of those nodes supported by the operational agency, is free of charge, and simply provides an NS record pointing to a server provided by the child node. Class B support provides the same pointer, but with a DNSSEC (NSEC3) trust anchor link. This is chargeable at cost. Class A support (if required) is for the operational agency to completely administer the child node (under its direction), and is subject to normal commercial negotiations.

**7.2.3** Class C support shall be provided (on request from a subordinate OID node) without charge (see Clause 8). Class C support requires only the addition (and backup maintenance) of DNAME and NS resource records for the new ORS-supported child OID node. The child OID node is then responsible for maintenance of all zone files on the server referenced by the NS resource record (including any required XML files). With class C support only, the child node will not be able to use DNSSEC (NSEC3) without establishing its own trust anchor.

**7.2.4** Requests for class C support for a child OID node shall normally be responded to, and the NAPTR and NS resource records updated within one month of receipt of the request.

**7.2.5** Class B support involves the signing by the operational agency of certificates for use by the child OID node, so that the child OID node does not need its own trust anchor. It is expected that the operational agency will have a target of three months processing time for a class B support of a child OID node, to allow time for appropriate signing, but this will depend on the interactions with the child OID node.

**7.2.6** Class A support is where the child OID node contractually agrees with the operational agency for that agency to fully maintain the zone files for its ORS support. There is no recommended limit on the duration of such negotiations.

**NOTE** In this case, if the operational agency is changed, there can be no guarantee that the new operational agency will take over such a contract.

### **7.3 Procedures for requesting support for lower-level nodes**

**7.3.1** A request for class A, B or C support shall be submitted to the current operational agency, saying which class of support is required, and providing contact information.

**NOTE** A child OID node can obtain contact details for the operational agency from any member of the Expert Group or from the ISO/IEC JTC 1/SC 6 Secretariat.

**7.3.2** The operational agency shall attempt to respond within two weeks to initiate a dialogue in accordance with Clause 8.

**7.3.3** Support shall be provided in a timely manner, but any problems shall be reported to the Expert Group for resolution.

## **8 Charging issues**

**NOTE** This clause is designed to ensure that the ORS can grow downwards without financial transactions, but also to ensure a potential revenue stream to the operational agency, both to cover costs of interactions with child nodes and to permit more commercial operations.

**8.1** Class C support to a child OID node shall be free of charge.

**8.2** Initial class B support (provision for DNSSEC (NSEC3) use) shall be provided (on request from a child OID node) at a reasonable charge (including a profit element), on a non-discriminatory basis. Any subsequent requests for further signing may be charged at a normal negotiated commercial rate, without constraint.

**8.3** Class A support shall be established by contract at a negotiated commercial rate, and may be refused.

**8.4** Charging for child OID nodes beneath OID nodes that are not supported by the operational agency is not constrained, and is a matter for the parent and any child OID nodes to negotiate.

