## INTERNATIONAL STANDARD

ISO 28219

Second edition 2017-09

# Packaging — Labelling and direct product marking with linear bar code and two-dimensional symbols

Emballage — Étiquetage et marquage direct sur le produit avec un code à barres et des symboles bidimensionnels





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#### **Foreword**

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="www.iso.org/directives">www.iso.org/directives</a>).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see <a href="https://www.iso.org/patents">www.iso.org/patents</a>).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: <a href="https://www.iso.org/iso/foreword.html">www.iso.org/iso/foreword.html</a>.

This document was prepared by Technical Committee ISO/TC 122, Packaging.

This second edition cancels and replaces the first edition (ISO 28219:2009), which has been technically revised.

#### Introduction

Today, global industries widely use machine-readable markings on products for inventory control, quality control, and product life cycle management. Common technologies, data structures, conformance, and applications standards are necessary to enable all trading partners to use such markings internally and throughout the supply chain.

A number of different product labelling and marking standards exist, each designed to meet the requirements of the specific industry sector. For effective and economic use within and between industry sectors, one common multi-industry standard is a necessity.

A standard linear bar code or two-dimensional symbol marked on a product or part will facilitate the automation of inventory control, quality control, and product life cycle management. The linear bar code or two-dimensional symbol information on the product can be used as a key to access the appropriate database that contains detailed information about the product, including information transmitted via EDI. In addition, a product mark can contain other information as agreed between the trading partners.

This document does not supersede or replace any applicable safety or regulatory marking or labelling requirements. This document is meant to satisfy the minimum product package requirements of numerous applications and industry groups. As such, its applicability is to a wide range of industries, each of which can have specific implementation guidelines for this document. This document is intended to be applied in addition to any other mandated labelling requirements.

This document supersedes and replaces ANS MH10.8.7.

This document supersedes and replaces CEA-802.

This document supersedes and replaces CEA-621-A.

# Packaging — Labelling and direct product marking with linear bar code and two-dimensional symbols

#### 1 Scope

This document

—	defines r	ninimum	requirements	for identifying items,
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- provides guidelines for item marking with machine-readable symbols,
- covers both labels and direct marking of items,
- includes testing procedures for label adhesive characteristics and mark durability,
- provides guidance for the formatting on the label of data presented in linear bar code, twodimensional symbol or human-readable form,
- is intended for applications which include, but are not limited to, support of systems that automate the control of items during the processes of:
  - production,inventory,
  - distribution,
  - field service,
  - point of sale,
  - point of care,
  - repair, and
- is intended to include, but it is not limited to, multiple industries including:
  - automotive,
  - aerospace,
  - chemical,
  - consumer items,
  - electronics,
  - health care,
  - marine,
  - rail,
  - telecommunications.

The location and application method of the marking are not defined (these will be reviewed and agreed upon by suppliers and manufacturers and their trading partners before implementing this document).

#### ISO 28219:2017(E)

This document does not supersede or replace any applicable safety or regulatory marking or labelling requirements. This document is meant to satisfy the minimum item marking requirements of numerous applications and industry groups and as such its applicability is to a wide range of industries, each of which may have specific implementation guidelines for it. This document is to be applied in addition to any other mandated labelling direct-marking requirements.

The labelling and direct marking requirement of this document and other standards can be combined into one label or marking area or appear as separate labels or marking areas.

This document uses the terms "part marking" and "item marking" interchangeably. Unless otherwise stated, this document will use the term "item marking" to describe both the labelling and direct part marking (DPM) of an item, where DPM includes, but is not limited to, altering (e.g. dot peen, laser etch, chemical etch), as well as additive type processes (e.g. ink jet, vacuum deposition).

The purpose of this document is to establish the machine-readable (linear, two-dimensional, and composite symbols) and human-readable content for direct marking and labelling of items, parts, and components.

This document provides a means for items, parts and components to be marked, and read in either fixtured or hand-held scanning environments at any manufacturer's facility and then read by customers purchasing items for subsequent manufacturing operations or for final end use. Intended applications include, but are not limited to, supply chain applications, e.g. inventory, distribution, manufacturing, quality control, acquisition, transportation, supply, repair, and disposal.

The figures are illustrative and not necessarily to scale or to the quality requirements specified in this document.

#### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 21067, Packaging — Vocabulary

ISO/IEC 646, Information technology — ISO 7-bit coded character set for information interchange

ISO 3166-1, Codes for the representation of names of countries and their subdivisions — Part 1: Country codes

ISO/IEC 15415, Information technology — Automatic identification and data capture techniques — Bar code symbol print quality test specification — Two-dimensional symbols

ISO/IEC 15416, Automatic identification and data capture techniques — Bar code print quality test specification — Linear symbols

ISO/IEC 15417, Information technology — Automatic identification and data capture techniques — Code 128 bar code symbology specification

ISO/IEC 15434, Information technology — Automatic identification and data capture techniques — Syntax for high-capacity ADC media

 $ISO/IEC\ 15438, Information\ technology-Automatic\ identification\ and\ data\ capture\ techniques-PDF417\ bar\ code\ symbology\ specification$ 

ISO/IEC 15459-2, Information technology — Automatic identification and data capture techniques — Unique identification — Part 2: Registration procedures

ISO/IEC 16022, Information technology — Automatic identification and data capture techniques — Data Matrix bar code symbology specification

ISO/IEC 16388, Information technology — Automatic identification and data capture techniques — Code 39 bar code symbology specification

ISO/IEC 18004, Information technology — Automatic identification and data capture techniques — QR Code bar code symbology specification

ISO/IEC 19762, Information technology — Automatic identification and data capture (AIDC) techniques — Harmonized vocabulary

ISO/IEC 24723, Information technology — Automatic identification and data capture techniques — GS1 Composite bar code symbology specification

ISO/IEC 24728, Information technology — Automatic identification and data capture techniques — MicroPDF417 bar code symbology specification

ANS ATIS-0300213, American National Standard for Telecommunication — Coded Identification of Equipment Entities of the North American Telecommunications System for Information Exchange

ANS MH10.8.2, Data Application Identifier Standard

Dun & Bradstreet (D&B), DUNS®1)Number

GS1, General Specifications

NAMSA, *ACodP-1(D)*, Chapter 2, Subsection 242-243, (NCAGE)

#### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 19762 and ISO 21067 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <a href="http://www.electropedia.org/">http://www.electropedia.org/</a>
- ISO Online browsing platform: available at <a href="http://www.iso.org/obp">http://www.iso.org/obp</a>

#### 3.1

#### cell

smallest element of a two-dimensional matrix symbol

#### 3.2

#### CLEITM2) code

coding structure maintained by Telcordia d.b.a. iconectiv that identifies communications equipment and describes product type, features, source document and associated drawings and vintages per ANS ATIS-0300213

#### 3.3

#### components

parts (bare printed circuit board, integrated circuits, capacitor, diodes, switch, valve, spring, bearing, bracket, bolt, etc.) of a *first level/modular assembly* (3.6)

#### 3.4

#### data element separator

specified character used to delimit discrete fields of data

<sup>1)</sup> DUNS® Number is an example of a suitable product available commercially. This information is given for the convenience of users of this document and does not constitute an endorsement by ISO of this product.

<sup>2)</sup> CLEI<sup>TM</sup> coder is an example of a suitable product available commercially. This information is given for the convenience of users of this document and does not constitute an endorsement by ISO of this product.

#### 3.5

#### DUNS®3) Number

nine-digit number, issued by D&B, assigned to each business location in the D&B database, having a unique, separate, and distinct operation for the purpose of identifying them

#### 3.6

#### first level

#### modular assembly

manufactured item (3.8) (populated printed circuit board, hydraulic pump, starter, dashboard assembly, door assembly, etc.) made up of *components* (3.3)

#### 3.7

#### **Global Trade Item Number**

#### **GTIN**

GS1 identification key used to identify trade items

Note 1 to entry: The key comprises a GS1 Company Prefix, an item reference and a check digit.

#### 3.8

#### item

#### product

first level or higher assembly that is sold in a complete end-usable configuration

#### 3.9

#### label

adhesive backed media capable of being marked with information in machine-readable and/or human-readable form

Note 1 to entry: Both labels and direct marking methods are referred to in this document under the term "label".

#### 3.10

#### manufacturer

actual producer or fabricator of an *item* (3.8), not necessarily the *supplier* (3.11) in a transaction

#### 3.11

#### supplier

party that produces, provides, or furnishes an *item* (3.8) or service

#### 3.12

#### traceability identification

string of characters assigned to identify or trace an entity or a unique group of entities (e.g. lot, batch, *item* (3.8), revision/version or serial number)

#### 4 Requirements

#### 4.1 Identification

#### 4.1.1 General

Enterprises may choose to assign uniqueness to items at the individual, group, or product level. Individual uniqueness requires serialization or one-of-a-kind production, see 4.1.2 and 4.2.3.3. A lot or batch number captures group uniqueness, see 4.1.3 and 4.2.3.3. A product code is an example of item uniqueness, see 4.2.3.4.

<sup>3)</sup> DUNS® Number is an example of a suitable product available commercially. This information is given for the convenience of users of this document and does not constitute an endorsement by ISO of this product.

#### 4.1.2 Unique item identification

Items may be assigned a unique item identification code to each instance of the item, i.e. serialization. Serial numbers shall be unique either within an enterprise ID or within enterprise ID + part number. When using unique identification, the encoded symbol shall contain only one enterprise identifier, serial number and/or original part number to avoid confusion and ensure uniqueness.

#### 4.1.3 Lot or batch identification

Items can have group uniqueness applied by an enterprise. Some items are assigned group identification, e.g. lot or batch number.

#### 4.2 Data format common requirements

#### 4.2.1 General

Those implementing this document should refer to the guidelines for their particular industries. For a partial list of industry guidelines, see the Bibliography.

#### 4.2.2 General format

#### **4.2.2.1 Overview**

Labels will accommodate both mandatory and optional data fields. The maximum length of each discrete data field shall be 25 data characters unless otherwise specified. This character count is exclusive of overhead characters.

See Annex H for information on serialization of some electronic products.

All data elements encoded in a machine-readable medium shall be preceded by the appropriate Data Identifier (DI) as defined in ANS MH10.8.2 Data Identifier, or the appropriate Application Identifier (AI) defined in the GS1 General Specifications. The exceptions to this rule are the UPC-A, UPC-E, EAN-8, and EAN-13 symbologies.

The choice between DIs and GS1 AIs, for any user, will normally be determined in the applicable industry convention being followed.

Other industries developing item identification conventions should consider business practices, information requirements and systems capabilities of the trading partners in choosing between DIs and GS1 AIs. See <u>Annex B</u> for a list of commonly used DIs and the equivalent AIs.

The character set shall be upper case alphabetic characters (A to Z), numeric digits (0 to 9), and the five characters [dash (-), period (.), space ( ), solidus (/) and plus sign (+)], as permitted within the applicable identifier standard, ANSI MH10.8.2 or the GS1 General Specification. Further, the recommended field separators, record separators, segment terminators and compliance indicator contained in ISO/IEC 15434 are part of the allowable character set. A table of these characters and their hexadecimal and decimal equivalent is given in Annex C. The actual character set employed conforming to this document shall be the character set permitted by the data field and not the symbology. It is recommended that the resultant data stream from scanning a 2D symbol follow the syntax described in ISO/IEC 15434. See Annex D for guidance on the implementation of the ISO/IEC 15434 data syntax.

#### 4.2.2.2 Data identifiers (DIs)

The descriptions in the DI list are general in nature and are used in industrial and international applications. Specific application guidelines provide the detailed definition used among trading partners.

The full list of registered DIs and the full specification for their use are found in the American National Standard MH10.8.2.

#### ISO 28219:2017(E)

DIs may be used with any alphanumeric data carrier and are designed to ensure cross-industry commonality of Data Identifiers used in automatic identification technologies.

DIs have a format of one alphabetic character alone or one alphabetic character prefixed by one, two or three numeric characters.

#### 4.2.2.3 **GS1** Application Identifiers (AIs)

The definitions of the GS1 AIs are supported by application guidelines. The GS1 AIs, and associated guidelines, have been designed for international and multi-sector trading purposes.

The GS1 item identification system and related encoding standard are complemented by the GS1 maintained AIs. This document comprises two principal elements, which are the key to any encoding system: the data content and the data carrier.

The use of GS1 AIs is subject to the rules established by GS1.

GS1 AIs identify generic and simple data fields for use in cross-sector and international supply chain applications. The GS1 General Specifications provide rules for the definition, format and structure of the data fields.

Each GS1 AI consists of two, three or four characters.

#### 4.2.2.4 Organization inclusion in coding

It is recommended that data structures used to identify items or the traceability of items include identification of the organization providing the coding, as well as the specific coding structure.

In the GS1 General Specifications, this coding structure is the GS1 Company Prefix portion of the global trade item number (GTIN).

When telecommunications companies use the CLEI Code, the coding structure is specified in ANS ATIS-0300213. The CLEI Code is always 10 characters and the structure is as follows: a basic code (four alphanumeric characters) representing the technology or equipment type and consisting of a family code (two alphanumeric characters), sub-family code (two alphanumeric characters), a features code (three alphanumeric characters) to represent attributes of the equipment, reference code (one alphanumeric character) to represent the manufacturer of the equipment within the basic code and a complemental code (two alphanumeric characters) to identify vintage or version of the equipment. When using DIs, this coding structure uses the issuing agency code (IAC) established in ISO/IEC 15459-2 and the company identification number (CIN) assigned by the issuing agency.

See Annex G for information about the register of issuing agency codes (IACs) for ISO/IEC 15459.

#### 4.2.3 Mandatory data fields

#### **4.2.3.1** General

Mandatory data fields are given in Table 1.

Table 1 — Item identification code type

Item identification type	Mandatory fields
1. Commodity	Item identification code (example nails)
2. Non-traceable	Enterprise identification code
	Item identification code
3. Group traceability	Enterprise identification code
	Item identification code
	Unique lot or batch traceability code
4. Unique serialization within item	Enterprise identification code
identification code	Item identification code
	Unique individual item identification code
5. Unique serialization within enterprise	Enterprise identification code
	Unique item traceability code within enterprise identification code

The enterprise identification code and item identification code may be combined in a single data field, see 4.2.3.4.

#### 4.2.3.2 Enterprise identification code

The enterprise identification code shall use formats contained in <u>Table 2</u> and <u>Table 3</u>. The use of more than one enterprise identification code preceded by DI 20V is permitted on an item. The choice of enterprise identification code(s) should be mutually agreed upon between trading partners. The appropriate DI shall precede the enterprise identification when separate data fields are used to identify the supplier and the item identification.

Table 2 — Data Identifiers used for enterprise identification

Data Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#) <sup>a</sup>	Description
18V	Combined IAC/CIN	an3+an13+an313	Combined IAC/CIN
12V	DUNS® Number Identifying Manufacturer	an3+n9	Entity (manufacturer) identification assigned by Dun and Bradstreet
17V	US Department of Defence (DoD) CAGE Code/NAMSA NCAGE	an3+an5	Company identification assigned by the US Department of Defence
20V	Company identification	an3+an13+an313+"+"+an3	Combined IAC/CIN and Party Qualifier Code (EDIFACT DE 3035)
21V	Supplier identification	an3 +an25	Combined IAC/CIN followed by an internally assigned entity identification

The characters before the first "+" symbol describe the format of the DI or AI. Note that the "+" symbols are not encoded in the data except for "+" in 20V.

Application Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#)a	Description
N/A	Part number (supplier/item) UPC-A (GTIN-12)	n12	UPC-A Symbology (combination of supplier and item identification)
N/A	Part number (supplier/item) EAN-13 (GTIN-13)	n13	EAN-13 Symbology (combination of supplier and item identification)
01	Part number (supplier/item and quantity) GTIN-14	n2+n14	GS1–128, GS1 Data Bar Expanded and GS1 Data Matrix (combination of indicator digit, supplier and item identification)

The characters before the first "+" symbol describe the format of the DI or AI. Note that the "+" symbols are not encoded in the data.

#### 4.2.3.3 Group and item traceability identification

The traceability identification is assigned by the manufacturer or supplier. The DIs or AIs given in <u>Table 4</u> and <u>Table 5</u> represent a partial list of the ASC MH10 Data Identifiers and GS1 Application Identifiers that may be applicable to traceability identification.

The maximum length of a single traceability identification data field should not exceed 35 characters, which excludes the associated DI or AI. The traceability identification data field shall not exceed 50 characters.

Table 4 — Data Identifiers used for traceability identification

Data Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#) <sup>a</sup>	Description
S	Serial number	an1+an20	Serial number or code assigned by the supplier to an entity for its lifetime
18S	Serial number within CAGE Code	an3+an20	Serial number or code assigned by the CAGE Code that is unique within CAGE Code
208	Customer assigned serial number	an3+an20	Serial number or code assigned by the customer to an entity for its lifetime
22S	Cellular Mobile Telephone (CMT) Electronic Serial Number	an3+an25	Electronic Serial Number (ESN) for Cellular Mobile Telephones or the Mobile Equipment Identifier (MEID) or the International Mobile Station Equipment Identity (IMEI)

<sup>&</sup>lt;sup>a</sup> The characters before the first "+" symbol describe the format of the DI. Note that the "+" symbols are not encoded in the data.

20S may be used by industries that are serializing items that were not serialized by the manufacturer at time of manufacturing.

Asset identifiers shall not be used for any other purpose and shall remain unique for a period well beyond the lifetime of relevant records. If a company assigns asset identifiers to trade items supplied to its customers, the company shall ensure that the asset identifiers are never reused.

The length specified in the table may be shorter than the length specified in the reference standard; however, that is the maximum length specified in this document.

NOTE 1 The variable lengths for the IAC/CIN combined lengths are defined by ISO 15459.

NOTE 2 See ESN Assignment Guidelines and Procedures and MOBILE EQUIPMENT IDENTIFIER (MEID) GHA (Global Hexadecimal Administrator) Assignment Guidelines and Procedures for additional information on ESN and MEID.

**Table 4** (continued)

Data Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#)a	Description
25S	Serial number	an3 +IAC/CIN+an20	Combined IAC/CIN and the serial number assigned by the supplier
+\$+	Serial number	an3+an18	HIBC Serial Number associated with HIBC Lot/batch number (+\$) option
1T	Lot/batch number	an2+an20	Lot/Batch Number defined by the manufacturer
25T	Lot/batch number	an3 +IAC/CIN+an20	Combined IAC/CIN and enterprise identification and lot or batch number assigned by the supplier
+\$	Lot/batch number	a2+an18	Options of concatenated lot or batch combinations with item data are specified with ANSI/HIBC 2

 $<sup>^{\</sup>rm a}$  The characters before the first "+" symbol describe the format of the DI. Note that the "+" symbols are not encoded in the data.

20S may be used by industries that are serializing items that were not serialized by the manufacturer at time of manufacturing.

Asset identifiers shall not be used for any other purpose and shall remain unique for a period well beyond the lifetime of relevant records. If a company assigns asset identifiers to trade items supplied to its customers, the company shall ensure that the asset identifiers are never reused.

The length specified in the table may be shorter than the length specified in the reference standard; however, that is the maximum length specified in this document.

NOTE 1 The variable lengths for the IAC/CIN combined lengths are defined by ISO 15459.

NOTE 2 See ESN Assignment Guidelines and Procedures and MOBILE EQUIPMENT IDENTIFIER (MEID) GHA (Global Hexadecimal Administrator) Assignment Guidelines and Procedures for additional information on ESN and MEID.

Table 5 — Application Identifiers used for traceability identification

Application Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#) <sup>a</sup>	Description
10	Batch/lot number	n2+an20	Traceability identification defined by the manufacturer
11	Production date	n2+n6b	Production date (YYMMDD)
21	Serial number	n2+an20	Serial number or code assigned by the supplier to an trade item for its lifetime

<sup>&</sup>lt;sup>a</sup> The characters before the first "+" symbol describe the format of the AI. Note that the "+" symbols are not encoded in the data.

Asset identifiers shall not be used for any other purpose and shall remain unique for a period well beyond the lifetime of relevant records. If a company assigns asset identifiers to trade items supplied to its customers, the company shall ensure that the asset identifiers are never reused.

The length specified in the table may be shorter than the length specified in the reference standard; however, that is the maximum length specified in this document.

b Production date code construction using GS1 Application Identifiers use a two-digit designation for year.

Application Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#) <sup>a</sup>	Description
414	Global location number	n3+n13	Global location number (GLN) to be processed according to the particular application requirements
8003	Global returnable asset identifier	n4+n14+an16	Global returnable asset identifier (GRAI)
8004	Global individual	n4+an30	Global individual asset identifier (GIAI)

**Table 5** (continued)

Asset identifiers shall not be used for any other purpose and shall remain unique for a period well beyond the lifetime of relevant records. If a company assigns asset identifiers to trade items supplied to its customers, the company shall ensure that the asset identifiers are never reused.

The length specified in the table may be shorter than the length specified in the reference standard; however, that is the maximum length specified in this document.

#### 4.2.3.4 Item identification

asset identifier

The identity for individual products and product packages shall not contain more than 50 characters. For efficient use within various AIDC data carrier systems, it is recommended that the number of characters to be coded by one line linear bar code should not exceed 20 characters and number of characters should be kept as short as possible regardless of the permissible maximum of 50 characters. This maximum excludes the associated DI or AI. Item identification codes may be concatenated with the enterprise identification to produce a unique item identification. See <u>Tables 2</u> to <u>5</u> for IAC/CIN.

The item identification code (e.g. supplier or customer part number) shall be designated as mutually agreed upon between the supplier or manufacturer and customer. The supplier's part number is the recommended item identification code.

An item identification code may be concatenated with the company prefix to produce a unique item identification code. GTIN-12 and GTIN-13 formats are fixed length numeric examples of company prefix codes concatenated with item identification codes to provide worldwide item identification uniqueness. See <u>Table 7</u>.

Likewise, variable length examples of fixed length enterprise identification codes concatenated with variable length alphanumeric item identification codes to provide worldwide item identification uniqueness may be provided with DIs such as "9P" and "17P". See Table 6.

Data Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#)a	Description
P	Part number	an1+an20	Customer assigned part number
1P	Part number	an2+an20	Supplier assigned part number
8P	Part number	an2+n14	GS1 GTIN-14
9P	Part number	an2+n9+an16	Combined DUNS-9 supplier identification and item code assigned by the supplier

Table 6 — Data Identifiers used for item identifier

The characters before the first "+" symbol describe the format of the AI. Note that the "+" symbols are not encoded in the data.

b Production date code construction using GS1 Application Identifiers use a two-digit designation for year.

The characters before the first "+" symbol describe the format of the DI or AI. Note that the "+" symbols are not encoded in the data.

**Table 6** (continued)

Data Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#)a	Description
11P	Part number	an3+an10	CLEI <sup>TM</sup> code for telecommunications equipment
17P	Part number	an3+ an827	Combined GS1 company prefix and item code assigned by the supplier
25P	Product number	an3+IAC/CIN+an20	Combined IAC/CIN and item code assigned by the supplier
+	Product number	a1+an19	НІВСС

The characters before the first "+" symbol describe the format of the DI or AI. Note that the "+" symbols are not encoded in the data.

Table 7 — GS1 item identifiers

Application Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#) <sup>a</sup>	Description
N/A	Part number (supplier/item) UPC-A/UPC-E (GTIN-12)	n12	UPC-A/UPC-E Symbology (combination of supplier and item identification)
N/A	Part number (supplier/item) EAN-13 (GTIN-13)	n13	EAN-13 Symbology (combination of supplier and item identification)
01	Part number (supplier/item and quantity) (GTIN-14)	n2+n14	GS1–128, GS1 Data Bar Expanded and GS1 Data Matrix (combination of indicator digit, supplier, and item identification)
241	Part number	n3+an30	Customer assigned part number
8001	Roll products	n4+n14	Roll products - width, length, core diameter, direction and splices
8006	Identification of the components of a trade item	n4+n14+n2+n2	GS1 Identification of a fixed measure trade item (GTIN) packed in separate parcels.
8018	Global service relation number (GSRN)	n4+n18	GS1 identification number of a service relation (GSRN) to be assigned by the service provider

The characters before the first "+" symbol describe the format of the DI or AI. Note that the "+" symbols are not encoded in the data.

NOTE While GS1 permits AI "241" to be a maximum of 30 characters, the customer assigned part number should be limited to no more than 25 characters.

#### 4.2.4 Optional data fields

Specific applications and trading partner agreements may require additional data fields. See  $\frac{8}{100}$  and  $\frac{1}{100}$  for examples.

When using DIs or AIs to encode country of origin, it shall be in one of the formats shown in <u>Table 8</u> or <u>Table 9</u>.

Table 8 — Data Identifiers for optional data

Data Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#)a	Description
4L	Country of origin	an2+an2	The two-character country code as defined by ISO 3166-1. The country of origin is defined as the manufacturing country wherein the product obtained its present identity as a part, subassembly, or finished product.
6D	Defined date	an2+n8+an3	ISO format YYYYMMDD immediately followed by an ANSI X12.3 Data Element Number 374 Qualifier providing a code specifying type of date (e.g. ship date, manufacture date)
11D	Week	an3+n6	Format YYYYWW
12D	Date	an3+n8	Format YYYYMMDD
14D	Expiration date	an3+n8	Expiration date (YYYYMMDD)
16D	Production date	an3+n8	Production date (YYYYMMDD)
30P	First level additional item identification	an3+an20	First level (supplier assigned) item identification (item ID), which is different than or in addition to Item ID provided by "1P"

The characters before the first "+" symbol describe the format of the DI or AI. Note that the "+" symbols are not encoded in the data.

Table 9 — Application Identifiers for optional data

Application Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#)a	Description
422	Country of origin	n3+n3	The three-digit country code as defined by ISO 3166-1. The country of origin is defined as the manufacturing country wherein the product obtained its present identity as a part, subassembly, or finished product.
423	Country of initial processing (with ISO country code)	n3+n15	ISO country codes stating the countries of initial processing of a trade item. The n15 code may be 3, 6, 9, 12, 15 characters long depending on number of processing countries.
424	Country of processing (with ISO country code)	n3+n3	ISO country code stating the country of processing of a trade item
425	Country of disassembly (with ISO country code)	n3+n3	ISO country code stating the country of disassembly of a trade item
426	Country covering full process chain (with ISO country code)	n3+n3	ISO country code stating the (single) country of full processing of a trade item
17	Expiration date	n2+n8	
11	Production date	n2+n8	

<sup>&</sup>lt;sup>a</sup> The characters before the first "+" symbol describe the format of the DI or AI. Note that the "+" symbols are not encoded in the data.

#### **4.2.5** Syntax

#### 4.2.5.1 Linear bar code symbol data field syntax

Data encoded in the EAN/UPC symbology includes no identifiers. A Data Identifier, as per ANS MH10.8.2, shall precede data encoded in the Code 39 symbology, as described in ISO/IEC 16388. Data encoded in GS1-128 symbology shall be formatted as defined in GS1 General Specification preceded by an Application Identifier. Data encoded in Code 128, as described in ISO/IEC 15417, not using GS1 Application Identifiers shall be preceded by a Data Identifier per ANS MH10.8.2. Data encoded in UPC-A symbology shall be in accordance with GS1 General Specification.

#### 4.2.5.2 Concatenation of multiple data fields

When concatenating data in a linear bar code symbol, the total length should be limited to 32 data characters, including the associated DIs and AIs and concatenation characters but not including symbology overhead characters. If the length exceeds the 32-character maximum message length, two-dimensional symbols should be used.

- a) When concatenating data in a linear bar code message, the appropriate data element separator shall be used in accordance with the specific industry standard. The maximum length of the concatenated data field is limited by the symbology, the reading technology, and the available space.
- b) Specific data or AIs are assigned to accommodate concatenation of specific fixed length data fields.
- c) When variable length data fields need to be concatenated using the Code 39 symbology, the plus "+" character (ASCII Decimal 43) should be used to delineate between data fields per ANS MH10.8.2.
- d) When multiple variable length data fields need to be concatenated using the Code 128 symbology with DIs, the plus "+" character (ASCII Decimal 43) should be used to delineate between data fields as per ANS MH10.8.2.
- e) When multiple variable length data fields need to be concatenated using the GS1-128 symbology, the function one "FNC1" character (transmitted as "GS" ASCII Decimal 29) is used to delineate between data fields.

#### 4.2.5.3 Two-dimensional symbology data field syntax

Data encoded to be compliant with this document shall use the syntax identified in ISO/IEC 15434. The header (first 7 characters "[)>R<sub>S</sub> 06 G<sub>S</sub>") and trailer (the last 2 characters "R<sub>S</sub> EO<sub>T</sub>") are fixed for this application, in accordance with the ANS ISO/IEC 15434 standard, when Data Identifiers are used within the message. The header (first 7 characters "[)>R<sub>S</sub>05 G<sub>S</sub>") and trailer (the last 2 characters "R<sub>S</sub> EO<sub>T</sub>") are fixed for this application, in accordance with the ANS ISO/IEC 15434 standard, when Application Identifiers are used within the data encoding. The "R<sub>S</sub>" character is ASCII/ISO/IEC 646 Decimal 30. The "EO<sub>T</sub>" character is ASCII/ISO/IEC 646 Decimal 04. All characters supported by this document are shown in Annex C. Certain symbologies support the use of a single codeword to encode the header and trailer character strings. Refer to applicable symbology standards. The use of structures combining different formats shall be as defined in ISO/IEC 15434.

When combining data fields within a two-dimensional symbol, the " $^{G}S$ " (ASCII/ISO/IEC 646 Decimal 29) character shall be used with the appropriate DI or AI to identify each of the combined fields. The exception to this requirement may be GS1 data carriers (e.g. composite symbology) that do not encode data in accordance with ISO/IEC 15434. It is incumbent upon the reader to transmit the data to the application in an ISO/IEC 15434 syntax.

#### 4.3 General layout and location

#### **4.3.1** Layout

Layout refers to the positioning of the fields on the label. Layout of bar code symbols or two-dimensional symbols will depend on the available space on the item and other factors such as industry sector business rules, trading partner agreements or customer labelling requirements.

#### 4.3.2 Location

Location refers to the positioning of the label on the item. Each label should be located in a position that facilitates scanning without degrading the safety or performance of the item. Consideration should be given to reading the symbol in the item's installed position.

#### 4.3.3 Linear bar code titles

Titles are recommended for all linear bar code fields. When DIs are used, the title shall include the appropriate DI, enclosed in parentheses, e.g. (1P) PART # SPLR. When AIs are used, the AI is part of the human-readable interpretation, not as part of the title. Titles shall be in accordance with ANS MH10.8.2. Titles may be positioned above or below the bar code symbol in accordance with industry guidelines and application standards.

If the physical space available for marking is insufficient to support the marking of the title, and the user is employing linear bar code symbols, the title may be abbreviated to only include the DI enclosed in parentheses. Where physical space for marking is extremely limited, the title may be eliminated.

#### 4.3.4 Human-readable interpretation

For linear bar codes, the human-readable interpretation should be printed adjacent to the symbol. The human-readable interpretation of the data encoded shall be printed legibly. The recommended height of the upper case alpha characters is 2 mm. The minimum height of the upper case alpha characters shall be 1,25 mm.

For bar code symbols, when DIs are used, the human-readable interpretation shall include all of the data within the bar code symbol, less the DI. See <u>Figure 1</u>.



Figure 1 — Bar code symbol example with Data Identifier

When an AI is used, the human-readable interpretation shall include the data, as well as the AI in parentheses. See Figure 2.



Figure 2 — Bar code symbol example with Application Identifier

For two-dimensional symbols, portions of the data should be shown in the human-readable interpretation when necessary or required by application or industry standards. However, the interpretation of the GTIN when using GS1 data structures shall use a human-readable interpretation of two-dimensional symbols.

#### 4.4 Symbol requirements

#### 4.4.1 Symbology recommendations

Any of the symbologies identified in this document may be used for any direct part-marking technique. Only matrix symbologies (e.g. Data Matrix and QR Code) should be used for direct part-marking techniques.

#### 4.4.2 Linear bar code symbol requirements

The linear symbologies specified in this document are Code 39, UPC-A and E, EAN-13, EAN-8, GS1-128, Code 128, and the GS1 Data Bar family. Users contemplating applications of Code 128 with DIs should familiarize themselves with the issues identified in <u>Annex F</u>. See <u>Figures 3</u> to <u>8</u>. See <u>Annex E</u> for applications using Code 39 and Code 128 symbologies.



Figure 3 — UPC-A symbol



Figure 4 — EAN-13 symbol



Figure 5 — GS1-128 symbol



Figure 6 — Code 39 symbol



Figure 7 — Code 128 symbol



Figure 8 — GS1 Data Bar-14 symbol

#### 4.4.2.1 "X" dimension

The narrow element dimension (X dimension) range should be from 0,19 mm to 0,51 mm as determined by the printing capability of the supplier/printer of the label. EAN/UPC symbols are an exception to this; for printing of EAN/UPC symbols, the narrow element dimension range should be from 0,264 mm to 0,66 mm. Note that at the smaller X dimensions, care shall be given to match the X dimension to an integer multiple of the resolution of the printer. Conformance to bar code print quality requirements shall be determined according to 4.4.2.4.

#### 4.4.2.2 Symbol height

Bar code symbol height should be no less than 15 % of the length of the bar code symbol.

EAN/UPC symbols symbol height range should be from 20,73 mm to 51,82 mm.

#### **4.4.2.3 Ouiet zone**

The linear symbol should have minimum quiet zones of 6,4 mm adjacent to the start and stop characters. To enable the user to easily scan the bar code symbol, quiet zones shall be a minimum of 10 times the narrow element width (X dimension).

UPC-A and EAN-13 symbols shall have quiet zones as follows:

- a) EAN-13 symbols: left, 11X; right, 7X;
- b) UPC A symbols: 9X.

#### 4.4.2.4 Character set

The allowable character set for linear bar code data fields identified with ASC MH10.8.2 Data Identifiers are the upper case alphabetic characters A to Z and the numeric characters 0 to 9, unless otherwise identified in the DI definition. This is in addition to any recommended field separators, record separators, segment terminators and compliance indicator.

The allowable character set for linear bar code data fields identified with GS1 Application Identifiers are the upper case alphabetic characters A to Z and the numeric characters 0 to 9, unless otherwise identified in the AI definition. This is in addition to any recommended field separators, record separators, segment terminators and compliance indicator.

The allowable character set for the EAN/UPC and ITF-14 linear bar code symbols are the numeric characters 0 to 9.

#### 4.4.2.5 Print quality

Linear bar code print quality shall be measured in accordance with ISO/IEC 15416 in the visible light range (660 nm). The minimum overall symbol print quality grade shall be 1,5 (C) using the appropriate measuring aperture as recommended in ISO/IEC 15416. When measuring EAN/UPC symbols, the recommended aperture size is 0,150 mm.

If the intent is to read symbols through translucent packaging, the minimum symbol grade shall be met when scanned through the packaging. This requirement is to ensure that the symbol can be read when scanned through protective packaging, such as an electrostatic discharge (ESD) container for circuit boards.

#### 4.4.3 Two-dimensional symbol requirements

#### 4.4.3.1 **General**

The two-dimensional symbols specified in this document are Data Matrix ECC 200, MicroPDF417, QR Code and PDF417. The encoding of data in Data Matrix ECC 200 shall be in accordance with ISO/IEC 16022 using ECC 200. The encoding of data in MicroPDF417 shall be in accordance with ISO/IEC 24728. The encoding of data in QR Code shall be in accordance with ISO/IEC 18004. The encoding of data in PDF417 shall be in accordance with ISO/IEC 15438. This document recommends that MicroPDF417 and PDF417 only be used on printed media and not for DPM.

The encoding of data shall follow the ISO/IEC 15434 message format and syntax rules.

#### 4.4.3.2 Data matrix symbol requirements

#### 4.4.3.2.1 General

The data matrix symbols referenced in this document are defined in ISO/IEC 16022. See Figure 9.



Figure 9 — Data Matrix ECC 200 symbol

#### 4.4.3.2.2 "X" dimension

The appropriate X dimension for a symbol is determined by many factors including marking area available, surface type, environment and reading device(s) used. The X dimension of a Data Matrix ECC 200 symbol is equivalent to the cell size. It is recommended that the user implement their system using the largest X dimension that will enable the symbol to fit in the available area. The minimum open system X dimension shall be 0,13 mm. X dimension sizes below 0,19 mm or greater than 0,38 mm are not recommended because these symbols may be difficult to scan in an open-systems environment. Regardless of the element width, the symbol shall meet the symbol quality requirements of 4.4.3.2.7.

#### 4.4.3.2.3 Element height

The height of any individual cell of the Data Matrix ECC 200 symbol should be equal to the X dimension.

#### **4.4.3.2.4** Symbol size

The symbol size should not be greater than 12 mm by 12 mm. The reason for this size is to establish a known field of view for reading the label or mark.

The user should implement their system using the largest X dimension that will enable the symbol to fit in the available area, up to the maximum dimensions shown in <u>Table 10</u>. This will allow for the best

possible scanner performance. The particular symbol size that is printed will depend on the amount and type of data encoded. The character count in <u>Table 10</u> includes data overhead characters (specifically, message header, Data Identifiers, data element separators, data and message trailer characters).

"X" dimension Symbol size 0.127 mm 0.175 mm 0.200 mm 0.250 mm 0.150 mm (with quiet zone)  $3 \text{ mm} \times 3 \text{ mm}$ 25 10 43 6 3  $4 \text{ mm} \times 4 \text{ mm}$ 64 52 31 25 10  $5 \text{ mm} \times 5 \text{ mm}$ 127 64 64 43 25  $6 \text{ mm} \times 6 \text{ mm}$ 127 91 64 43 214  $7 \text{ mm} \times 7 \text{ mm}$ 304 214 127 91 64 10 mm × 10 mm 550 418 214 127 91 12 mm × 12 mm 862 550 418 304 214

Table 10 — Data Matrix ECC 200 alphanumeric data capacity

#### 4.4.3.2.5 Quiet zone

The Data Matrix ECC 200 symbol shall have minimum quiet zones of one X dimension width on all four sides of the symbol. Compliance with this document does not require additional quiet zone beyond the minimum required by ISO/IEC 16022.

#### 4.4.3.2.6 Error correction level

The data matrix symbol shall have an error-correction level of ECC 200 as defined in the ISO/IEC 16022.

#### 4.4.3.2.7 Symbol quality

The Data Matrix ECC 200 symbol shall have a minimum symbol quality of 1,5/08/660/45, where the minimum overall symbol-grade is 1,5 (C), measured with an aperture size of 0,20 mm with a narrowband light source, at an angle of incidence of  $45^{\circ}$ .

Where a special application requires a smaller mark (X dimension smaller than 0,254 mm $^{4)}$ ), it should have a minimum symbol quality of 1,5/05/660/45, where the minimum overall symbol-grade is 1,5 (C), measured with an aperture size of 0,125 mm with a narrowband light source, at an angle of incidence of 45°. Applications that incorporate small symbols shall measure all symbols with the 0,125 mm aperture. ISO/IEC 15415 provides additional guidance on selection of grading parameters in application specifications, in particular the relationship between aperture size and susceptibility to gaps and other defects.

When printing on label stock, the methodology for measuring the symbol quality shall be as specified in ISO/IEC 15415.

The minimally acceptable overall symbol-grade of 1,5 applies to the final symbol on the item at the point of receipt. It is recommended that the overall symbol-grade, at the point of printing the symbol, be equal to or exceed 2,5 to allow for process variations and possible degradation from packaging, storage, shipping, handling and use.

Guidance for placing direct marks on various substrates can be found in NASA-STD-6002, and NASA-HDBK-6003, ISO/IEC/TR 24720 and SAE AS 9132. AIM Global's Technical Symbology Committee (TSC) has created guidance in the AIM DPM-1, Direct Part Mark (DPM) Quality Guideline. Those striving to implement quality direct part-marking should follow its guidance.

<sup>4)</sup> 0.254 mm = 10 mil.

If the intent is to read symbols through translucent packaging, the minimum overall symbol-grade shall be met when scanned through the packaging. This requirement is to ensure that the symbol can be read when scanned through protective packaging, such as an ESD container for circuit boards.

#### **4.4.3.2.8** Encryption

Encryption shall not be used for mandatory data fields.

#### 4.4.3.3 MicroPDF417 symbol requirements

#### 4.4.3.3.1 General

The MicroPDF417 symbols referenced in this document are defined in the ISO/IEC 24728. See Figure 10.



Figure 10 — MicroPDF417 symbol

#### 4.4.3.3.2 "X" dimension

The appropriate X dimension for a symbol is determined by many factors including marking area available, surface type, environment and reading device(s) used. The user should implement their system using the largest X dimension that will enable the symbol to fit in the available area. The minimum open system X dimension shall be 0,127 mm. X dimension sizes below 0,25 mm should not be used because symbols with these small X dimensions cause a reduced depth of field and can be difficult to scan in an open-systems environment. Regardless of the element width, the symbol shall meet the print quality requirements of 4.4.3.3.6.

#### 4.4.3.3.3 Element height

The MicroPDF417 symbol should have a bar height (height of the symbol element) two times the width of the narrow element (X dimension).

#### 4.4.3.3.4 **Quiet zone**

MicroPDF417 symbols should have minimum quiet zones of one X dimension on all four sides of the symbol. Compliance with this document does not require additional quiet zone beyond the minimum required by ISO/IEC 24728.

#### 4.4.3.3.5 Error correction level

For MicroPDF417 symbols, error correction levels are automatically selected in accordance with ISO/IEC 24728.

#### **4.4.3.3.6 Print quality**

Two-dimensional symbols compliant with  $\frac{4.4.3.3}{4.4.3.3}$  shall have a minimum print quality of 1,5/05/660, where the minimum overall symbol-grade is 1,5 (C), measured with an aperture size of 0,127 mm, with a light source wavelength of (660 ± 10) nm. The methodology for measuring the print quality shall be as specified in ISO/IEC 15415 and ISO/IEC 24728.

The minimum acceptable overall symbol-grade of 1,5 applies to the final symbol on the item at the point of receipt. It is recommended that the overall symbol-grade, at the point of printing the symbol, be

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equal to or exceed 2,5 to allow for process variations and possible degradation from packaging, storage, shipping, and handling.

If the intent is to read symbols through translucent packaging, the minimum overall symbol-grade shall be met when scanned through the packaging. This requirement is to ensure that the symbol can be read when scanned through protective packaging, such as an ESD container for circuit boards.

#### **4.4.3.3.7 Encryption**

Encryption shall not be used for mandatory data fields.

#### 4.4.3.4 QR Code symbol requirements

#### 4.4.3.4.1 General

The QR Code symbols referenced in this document are defined in ISO/IEC 18004. See Figure 11.



Figure 11 — QR Code symbol

#### 4.4.3.4.2 "X" dimension

The appropriate X dimension for a symbol is determined by many factors including marking area available, surface type environment and reading device(s) used. The X dimension of a QR Code symbol is equivalent to the cell size. It is recommended that the user implement their system using the largest X dimension that will enable the symbol to fit in the available area.

The minimum open system X dimension shall be 0,13 mm. X dimension sizes below 0,19 mm or greater than 0,38 mm are not recommended because these symbols may be difficult to scan in an open-systems environment. Regardless of the element width, the symbol shall meet the symbol quality requirements of 4.4.3.4.7.

#### 4.4.3.4.3 Element height ("Y" dimension)

The height of any individual cell of the QR Code symbol should be equal to the X dimension.

#### **4.4.3.4.4** Symbol size

The symbol size should not be greater than 12 mm by 12 mm. The reason for this requirement is to establish a known field of view for reading the label or mark.

The user should implement their system using the largest X dimension that will enable the symbol to fit in the available area, up to the maximum dimensions shown in <u>Table 11</u>. This will allow for the best possible scanner performance. The particular symbol size that is printed will depend on the amount and type of data encoded. The character count in <u>Table 11</u> includes data overhead characters (specifically, message header, Data Identifiers, data element separators data and message trailer characters).

Table 11 — QR Code alphanumeric data capacity

		"X" dir	mension			
Symb	ol size					
(with quiet zone)	Error correction level	0,127 mm	0,150 mm	0,175 mm	0,200 mm	0,250 mm
	M	20	N/A	N/A	N/A	N/A
4 mm × 4 mm	Q	16	N/A	N/A	N/A	N/A
	Н	10	N/A	N/A	N/A	N/A
	M	61	38	20	N/A	N/A
5 mm × 5 mm	Q	47	29	16	N/A	N/A
	Н	35	20	10	N/A	N/A
	M	122	61	38	20	N/A
6 mm × 6 mm	Q	87	47	29	16	N/A
	Н	35	35	20	10	N/A
	M	178	122	90	61	N/A
7 mm × 7 mm	Q	125	87	67	47	N/A
	Н	93	64	50	35	N/A
	M	483	311	221	154	61
10 mm × 10 mm	Q	352	221	157	108	47
	Н	259	174	122	84	35
12 mm × 12 mm	M	734	528	366	262	122
	Q	531	376	259	87	87
	Н	408	283	200	64	64

#### 4.4.3.4.5 **Quiet zone**

The QR Code symbol shall have a minimum quiet zone of four X dimension widths on all four sides of the symbol. Compliance with this document does not require additional quiet zone beyond the minimum required by ISO/IEC 18004.

#### 4.4.3.4.6 Error correction level

The error correction level shall be M (approximately 15 %), Q (approximately 25 %), or H (approximately 30 %) as specified in ISO/IEC 18004. The error correction level is determined by many factors including surface type environment, symbol quality, and reading device(s) used.

#### **4.4.3.4.7 Symbol quality**

The QR Code symbol shall have a minimum symbol quality of 1,5/0,8/660/45, where the minimum overall symbol-grade is 1,5 (C), measured with an aperture size of 0,20 mm with a narrowband light source, at an angle of incidence of 45°.

Where a special application requires a smaller mark (X dimension smaller than 0,254 mm), it should have a minimum symbol quality of 1,5/0.5/660/45, where the minimum overall symbol-grade is 1,5 (C), measured with an aperture size of 0,125 mm with a narrowband light source, at an angle of incidence of  $45^{\circ}$ . Applications that incorporate small symbols shall measure all symbols with the 0,125 mm aperture. ISO/IEC 15415 provides additional guidance on selection of grading parameters in application specifications, in particular the relationship between aperture size and susceptibility to gaps and other defects.

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The methodology for measuring the symbol quality shall be as specified in ISO/IEC 15415 when printing on label stock.

The minimally acceptable overall symbol-grade of 1,5 applies to the final symbol on the item at the point of receipt. It is recommended that the overall symbol-grade, at the point of printing the symbol, be equal to or exceed 2,5 to allow for process variations and possible degradation from packaging storage, shipping, handling and use.

Guidance for placing direct marks on various substrates can be found in NASA-STD-6002, and NASA-HDBK-6003, ISO/IEC/TR 24720 and SAE AS 9132. AIM Global's Technical Symbology Committee (TSC) has created guidance in the AIM DPM-1, Direct Part Mark (DPM) Quality Guideline. Those striving to implement quality direct part marking should follow its guidance.

If the intent is to read symbols through translucent packaging, the minimum overall symbol-grade shall be met when scanned through the packaging. This requirement is to ensure that the symbol can be read when scanned through protective packaging, such as an ESD container for circuit boards.

#### **4.4.3.4.8** Encryption

Encryption shall not be used for mandatory data fields.

#### 4.4.3.5 PDF417 Symbol requirements

#### 4.4.3.5.1 General

The PDF417 symbols referenced in this document are defined in ISO/IEC 15438. See Figure 12.



Figure 12 — PDF417 symbol

#### 4.4.3.5.2 "X" dimension

The appropriate feature size for a symbol is determined by many factors including marking area available, surface type, environment and reading device(s) used. The minimum open system X dimension (cell size) shall be 0,1 mm. X dimension sizes of 0,2 mm or greater are recommended because symbols with smaller X dimensions cause a reduced depth of field and may be difficult to scan in an open-systems environment. This document recommends the use of 400 dots per inch (dpi) or 600 dpi printers when printing at the minimum X dimension. Regardless of the element width, the symbol shall meet the symbol quality requirements of 4.4.3.5.6.

#### 4.4.3.5.3 Element height ("Y" dimension)

The PDF417 symbol shall meet the following requirements for the row height in relation to the width of the narrow element (X dimension).

For symbols with at least the recommended minimum level of error correction:

Row Height ≥ 3X

For symbols with less than the recommended minimum level of error correction:

Row Height ≥ 4X

See ISO/IEC 15438:2015, Annex E for details of the recommended minimum level of error correction.

#### 4.4.3.5.4 Quiet zone

The PDF417 symbol should have minimum quiet zones equivalent to two times the X Dimension on all four sides of the symbol. It is not the intent of this guideline to require additional quiet zone beyond the minimum required by ISO/IEC 15438.

#### 4.4.3.5.5 Error correction

The 2D symbol shall incorporate the number of error correction code words defined in ISO/IEC 15438. PDF417 error correction level should be set to a minimum of level 2.

#### **4.4.3.5.6 Symbol quality**

When printed with the minimum recommended X dimension of 0,127 mm, the PDF417 symbol shall have a minimum symbol grade of C/03/660 (1,5/03/660), where the minimum grade is C (1,5), measured with an aperture size of 0.1 mm, with a light source wavelength of 660 nm  $\pm$  10 nm. The methodology for measuring the print quality shall be as specified in ISO/IEC 15415 and ISO/IEC 15438.

The minimally acceptable overall symbol grade of C (1,5) applies to the final symbol on the product at the point of receipt. It is recommended that the overall symbol grade, at the point of printing the symbol, be equal to or exceed B (2,5) to allow for process variations and possible degradation from packaging, storage, shipping, and handling.

When symbols are intended to be read through translucent packaging, the minimum symbol grade shall be met when scanned through the packaging. This requirement is to ensure that the symbol can be read when scanned through protective packaging, such as an electro static discharge (ESD) container for circuit boards.

#### 4.4.3.5.7 **Symbol size**

The user should implement their system using the largest module dimension that will enable the symbol to fit in the available area, but a 2D symbol should not be greater than 12 mm by 12 mm in order to establish a known field of view for reading the labels specified in this document. This will allow for the best possible reader performance. The particular symbol size that is printed will depend on the amount and type of data encoded. The character count includes data overhead characters (specifically, message header, Data Identifiers, data element separators, data and message trailer characters).

#### 4.4.4 Composite symbol requirements

#### 4.4.4.1 General

The composite symbols referenced in this document are the GS1 Composite Symbols, as defined in ISO/IEC 24723, consisting of either a UPC-A, UPC-E, EAN-13, EAN-8, GS1-128, GTIN-14, GS1 Data Bar-14, GS1 Data Bar-14 Stacked, or GS1 Data Bar-14 Limited symbols as the composite linear component and a variant of MicroPDF417 (CC-A and CC-B) or PDF417 (CC-C) as the 2D composite component. The 2D composite component cannot be used by itself.

#### 4.4.4.2 Composite symbols dimensions

The dimensions of composite symbols will be determined by a number of factors. The width of the symbol will be determined by the choice of linear symbology. The height of the symbol will be determined by the width of the chosen linear symbol and the amount and mix of the alpha and numeric data to be encoded. A wider linear symbol allows more data to be encoded in each row of the 2D composite component. For example, a GS1 Data Bar-14 Limited symbol is wider than a GS1 Data Bar-14 Stacked symbol. Numeric data can be encoded more efficiently than alphanumeric data and will result in a smaller symbol.

#### 4.4.4.3 Cell size and "X" dimension

The minimum open system X dimension shall be 0,127 mm. X dimension sizes of 0,168 mm or greater are recommended because symbols with smaller X dimensions cause a reduced depth of field and may be difficult to scan in an open-systems environment. Regardless of the X dimension chosen, the symbol shall meet the print quality requirements of 4.4.4.6.

#### 4.4.4.4 Symbol height

The linear components of composite symbols have a minimum symbol height defined in their respective symbology specifications. These minimum heights support all scanning technologies, including wand scanning and omni-directional point of sale scanning. For the applications supported by this document, a height to width aspect ratio of 15 % will provide optimum performance and is recommended when space constraints permit.

The MicroPDF417-based composite components (e.g. CC-A and CC-B) shall have a minimum row height (height of the symbol element) of two times the width of the narrow element (X dimension). PDF417-based composite components shall have a minimum row height (height of the symbol element) of three times the width of the narrow element (X dimension).

#### **4.4.4.5 Quiet zone**

The linear components have a minimum quiet zone requirement defined in their respective symbology specifications.

CC-A and CC-B composite components have a minimum width requirement of one X dimension for the left and right quiet zone. CC-C composite components have a minimum width requirement of two times the X dimension for the left and right quiet zone. No quiet zone is required above or below a GS1 composite symbol.

#### 4.4.4.6 Print quality

GS1 composite symbols shall have a minimum print quality of 1,5/06/660, where the minimum overall symbol-grade is 1,5 (C), measured with an aperture size of 0,150 mm, with a light source wavelength of  $(660 \pm 10)$  nm. The methodology for measuring the print quality shall be as specified in ISO/IEC 15416 and the applicable symbology specification.

The minimally acceptable overall symbol-grade of 1,5 applies to the final symbol on the item at the point of receipt. It is recommended that the overall symbol-grade, at the point of printing the symbol, be equal to or exceed 2,5 to allow for process variations and possible degradation from packaging, storage, shipping, and handling.

If the intent is to read symbols through translucent packaging, the minimum overall symbol-grade shall be met when scanned through the packaging. This requirement is to ensure that the symbol can be read when scanned through protective packaging, such as an ESD container for circuit boards.

#### 4.4.4.7 Error correction level

CC-A and CC-B composite components shall incorporate the number of error correction codewords defined in the International Symbology Specification, GS1 Composite Symbols. CC-C composite components shall meet or exceed the minimum error correction level recommended in ISO/IEC 15438.

#### 4.4.4.8 Encryption

Encryption shall not be used for mandatory data fields.

#### 4.5 Adhesive requirements

Notwithstanding the print quality requirements above, use  $\underline{Annex\ A}$  for information about label adhesive requirements. A more detailed standard for adhesive requirements and print quality will be forthcoming.

#### Annex A

(informative)

#### Label adhesive characteristics and mark durability

#### A.1 General

The following requirements and tests are intended to ensure that labels and marks can withstand extended long-term exposure to a variety of indoor environments, which could include an assembly process, remain affixed to products and are scannable for the intended life of the product.

Additional tests could be required for specific exports to specific countries.

This document covers the manufacturing and printing requirements for pressure-sensitive adhesive-backed bar code labels intended primarily to automate product tracking, inventory control and serialized warranty systems. Labels being tested for conformance to  $\underline{\text{A.3.4}}$  are intended to withstand harsh-environment exposures.

It is the responsibility of the trading partners to agree upon specifications of labels covered by this document and to test the label in their operating environment prior to acceptance.

All labels shall be easily separable from the release liner without damage, be smudge-resistant and be reasonably flat. Label stock should be examined visually for evidence of particles of paper, dust, or other foreign material that would adversely affect print quality.

Observed or calculated values obtained from analysis, measurement, or test shall be rounded off in accordance with the rounding off method specified in ASTM E29 to the nearest unit in the last right hand place of figures used in expressing the specified limit.

Where reference is made to an ASTM designation in this document, the issue listed in the latest published ASTM index to standards shall apply unless otherwise specified.

Generation of voltage levels significant enough to cause ESD and damage to sensitive components can occur when using pressure-sensitive labels. If meeting the requirements for ESD protected areas outlined in EIA-625 Requirements for Handling Electrostatic-Discharge-Sensitive (ESDS) Devices is required, using static control measures such as static dissipative labels or air ionization may be necessary.

#### A.2 Requirements

#### A.2.1 General

The labels shall be capable of meeting the requirements of this subclause and 4.4 when tested in accordance with 4.3.

Labels shall not show delamination within the label, blistered areas, or chipped edges. The bar code symbol and all alphanumeric characters printed on each label should be black on white substrate. Bar code labels shall be scannable and reasonably free from scratches, marks, voids, dots or misplaced colour. All labels should have minimum outside corner radii of 0,76 mm unless otherwise specified.

#### A.2.2 Label thickness

The maximum overall thickness of the label (not including the release liner) shall be 0,22 mm.

#### A.2.3 Nature of adhesive

The adhesive shall be pressure sensitive and permanent. It shall be applied in a uniform layer and be free from bubbles and foreign matter.

#### A.2.4 Adhesion strength

The minimum initial adhesion strength 2 h  $\pm$  10 min after application at ambient room temperature and humidity shall be 0,23 N/mm.

The minimum adhesion strength after stainless-steel test panel (see ASTM D1000, Section 40.2) application and conditioning according to A.3.3 shall be 0,44 N/mm.

The labels shall show no evidence of delamination, bubbles, adhesive migration, or degraded image quality for either the text or the bar code symbol.

Although the test requirements specify stainless steel test panels, these adhesion tests should also be conducted using the target substrate to ensure appropriate performance. Rough or textured surface may require increases in adhesive thickness, for example.

#### A.2.5 Label requirements after conditioning and printed circuit board processing

The labels shall meet the minimum print quality requirements of <u>4.4.2.5</u> after conditioning and passing through the printed circuit board processing cycles.

The minimum adhesion strength after test panel application and conditioning shall be 0,44 N/mm.

The labels shall show no evidence of delamination, bubbles, adhesive migration, or degraded image quality for either the text or the bar code symbol.

#### A.2.6 Abrasion

Labels or marks shall be capable of meeting the requirements of the appropriate subclauses in 4.4 after being subjected to the abrasion test in 4.3.4.7.

#### A.3 Method of test

#### A.3.1 Label thickness

Conformance to the overall label thickness requirements shall use the ASTM D374, Method C, as referenced and modified in ASTM D1000. Measure the thickness of the label plus the release liner, the release liner alone, and obtain the label thickness by subtraction. A flow chart of the test processes described in the following clauses is shown in Figure A.2.

#### A.3.2 Nature of adhesive

The general nature of the adhesive shall be permanent, pressure sensitive and free from bubbles, voids, and foreign matter.

#### A.3.3 Adhesive strength

#### A.3.3.1 General

The determination of the adhesive strength of test labels consist of the proper test label size, panel preparation and panel conditioning for the appropriate application.

#### A.3.3.2 Test label size

A minimum label size of 10 mm by 25,4 mm should be used for adhesion measurements. When possible, it is recommended to use the actual label size intended for the application. Obtain a rubber-covered steel roller (see ASTM D1000, Section 40.3) and prepare at least two stainless steel panels (see ASTM D1000, Method A).

#### A.3.3.3 Label test panel preparation

Remove at least three labels from the release liner, apply them to one or more stainless steel panels, and roll according to ASTM D1000, Section 42 taking care to leave approximately 3 mm of release liner on each label for clamping purposes.

#### A.3.3.4 Initial adhesion strength

After 2 h  $\pm$  10 min, measure the adhesion strength to conform to the requirements of <u>A.2.4</u> using a crosshead tensile tester making a 90° peel, as shown in <u>Figure A.1</u>, at a rate of 50 mm/min using a wire length of approximately 762 mm. Calculate the average value of adhesion.

#### A.3.3.5 Short-term 100 °C — Medium temperature test

Place the panel in an oven maintained at  $100\,^{\circ}$ C. At the end of  $168\,h$ , remove the panel and allow it to cool to room temperature. Within 1 h to 3 h of removing the panel from the conditioning chamber, measure the bar code print quality of the labels on one panel in accordance with 4.4.2.5, or as appropriate, and the adhesion strength of the labels on the other panel in accordance with 4.3.3.4 to determine conformance with the requirements of 4.2.4. Determine the adhesion strength by measuring the adhesive strength of at least three test labels and averaging the results for the overall value. The labels shall show no evidence of self-lifting, delaminating, smudging, or discolouring after conditioning.

#### A.3.3.6 Short-term 49 °C, 95 % RH — Temperature and humidity test

Place the panel in an oven maintained at 49 °C and a controlled relative humidity (RH) of 95 % non-condensing. At the end of 96 h, remove the panel and allow it to cool to room temperature. Within 1 h to 3 h of removing the panel from the conditioning chamber, measure the bar code print quality of the labels on one panel in accordance with 4.4.2.5, or as appropriate, and the adhesion strength of the labels on the other panel in accordance with 4.3.3.4 to determine conformance with the requirements of 4.2.4. Determine the adhesion strength by measuring the adhesive strength of at least three test labels and averaging the results for the overall value. The labels shall show no evidence of self-lifting, delaminating, smudging, or discolouring after conditioning.

#### A.3.3.7 Long-term 82 °C — Medium temperature test

Place the panels in a circulating air oven at  $(82 \pm 3)$  °C<sup>5)</sup>. After a period of 30 days, measure the bar code print quality of the labels on one panel in accordance with <u>4.4.2.5</u>, or as appropriate, and the adhesion strength of the labels on the other panel in accordance with <u>A.3.3.4</u> to determine conformance with the requirements of <u>A.2.4</u>. Determine the adhesion strength by measuring the adhesive strength of at least three test labels and averaging the results for the overall value. The labels shall show no evidence of self-lifting, delaminating, smudging, or discolouring after conditioning.

#### A.3.3.8 Long-term 32 °C, 95 % RH — Temperature and humidity test

Place two panels of labels in a circulating air oven at  $(32 \pm 3)$  °C and  $(95 \pm 2)$  % RH non-condensing. After a period of 30 days, measure the bar code print quality of the labels on one panel in accordance with 4.4.2.5, or as appropriate, and the adhesion strength of the labels on the other panel in accordance with A.3.3.4 to determine conformance with the requirements of A.2.4. Determine the adhesion strength by measuring the adhesive strength of at least three test labels and averaging the results for the overall

<sup>5)</sup>  $(82 \pm 3)$  °C  $\approx (180 \pm 5)$  °F.

value. The labels shall show no evidence of self-lifting, delaminating, smudging, or discolouring after conditioning.

# A.3.4 Additional label conditioning tests for labels or marks required to withstand printed circuit board processes

#### A.3.4.1 General

The tests described in the subclauses of <u>A.3.4</u> represent a baseline to approximate the performance of pressure-sensitive labels in a variety of application processes. These tests are not intended to precisely duplicate the processes encountered in a manufacturing environment. To precisely predict the performance of the label, it is recommended to test the process used in the intended manufacturing application.

### A.3.4.2 Short-term 260 °C — High temperature test for bottom-side labels for printed circuit boards

This test applies only to labels applied to the bottom side of printed circuit boards that are intended to withstand wavesolder processes. Place six labelled, printed ASTM D1000 test panels in an oven maintained at 260 °C. After 7 min, remove the printed test panels and allow them to cool to room temperature. Within 1 h to 3 h, measure the bar code print quality of the labels in accordance with 4.4.2.5, or as appropriate. Determine the adhesion strength by measuring the adhesive strength of at least three test labels using a crosshead tensile tester making a 90° peel, as shown in Figure A.1, at a rate of 50 mm/min using a wire length of approximately 762 mm. Calculate the average value of adhesion to determine conformance to the requirements specified in A.2.5. The labels shall show no evidence of self-lifting, delaminating, smudging, or discolouring after conditioning.

If the requirements of  $\underline{4.4}$  are met, then subject one of the labelled test panels to the short-term  $100\,^{\circ}\text{C}$  test described in  $\underline{A.3.3.5}$  and the remaining labelled test panels to the short-term  $49\,^{\circ}\text{C}$ ,  $95\,^{\circ}\text{K}$  RH test described in  $\underline{A.3.3.6}$ . Determine conformance to the bar code print quality requirements of  $\underline{4.4.2.5}$  or as appropriate and the adhesion requirements of  $\underline{A.2.5}$ .

If the labels pass both short-term tests, then simultaneously subject a set of labelled test panels that has successfully gone through the short-term 260 °C test to each of the long-term tests described in  $\underline{A.3.3.7}$  and  $\underline{A.3.3.8}$ . Determine conformance to the bar code print quality requirements of  $\underline{4.4.2.5}$ , or as appropriate, and the adhesion requirements of  $\underline{A.2.5}$ .

#### A.3.4.3 Initial cleaning

Apply at least four labels to sample printed circuited boards. Subject the circuit board to an aqueous water cleaning process and then proceed to submit the labels to the infrared (IR) reflow test described in <u>A.3.4.4</u>. The substitution of other cleaners for the aqueous water cleaner may adversely affect the adhesive and/or bar code print quality of the labels. When such a substitution is necessary, the labels shall be inspected to meet the requirements of <u>A.2.5</u> after the initial cleaning cycle.

#### A.3.4.4 IR reflow

Subject the labels to an IR reflow process test that meets the conditions in <u>Table A.1</u>. The temperatures in <u>Table A.1</u> are the actual board temperatures.

Table A.1 — IR reflow conditions and temperatures

Conditions	Temperature		
Conditions	°C	<b>Equivalent</b> °F	
Preheat temperature	150 ± 5	302 ± 8	
Peak temperature	232 ± 2,5	450 ± 4,5	
Maximum temperature rise rate	2 °/s	4 °/s	
Time above 180 °C	(120 ± 5) s		

#### A.3.4.5 Post-IR reflow cleaning

Within 1 h of completing the IR reflow test, subject the labels to an aqueous water cleaning process and proceed to subject the labels to the wavesolder test described in A.3.4.6. The substitution of other cleaners for the aqueous water cleaner may adversely affect the adhesive and/or bar code print quality of the labels. When such a substitution is necessary, the labels shall be inspected to meet the requirements of A.2.5 after the post-IR reflow cleaning cycle.

#### A.3.4.6 Wavesolder

Subject the labels to a wavesolder process at the conditions indicated in <u>Table A.2</u>. Within 1 h of the completion of the wavesolder test, subject the labels to post-wavesolder cleaning as described in <u>A.3.4.7</u>.

Table A.2 — Wavesolder conditions

Conditions	Temperature		
Conditions	°C	<b>Equivalent</b> °F	
Preheat temperature	90	194	
Maximum preheat temperature rise rate	2°/s	4°/s	
Solder temperature	260	500	
Conveyor angle	7° angle from the horizontal plane		
Process time	4 min to 9 min		

#### A.3.4.7 Post-wavesolder cleaning

Subject the labels to an aqueous water cleaning process. The substitution of other cleaners for the aqueous water cleaner may adversely affect the adhesive and/or bar code print quality of the labels. When such a substitution is necessary, the labels shall be inspected to meet all the requirements of A.2.5, after the post-wavesolder cleaning cycle.

#### A.3.4.8 Abrasion test

The Taber® abrader model 5135<sup>6</sup>) has been found to be a suitable apparatus for abrasion testing. When using this piece of equipment, the components needed for this abrasion procedure are

- specimen holder E100-125,
- specimen plate S-16, and
- abrading wheel CS-10.

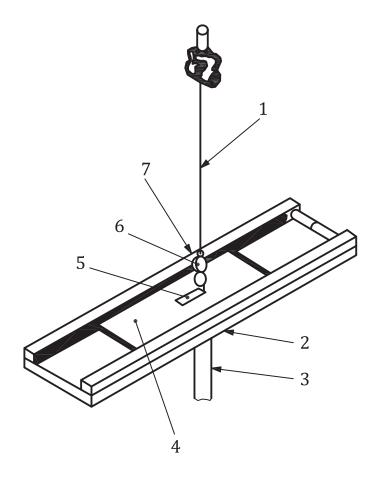
<sup>6)</sup> The Taber®Abraser (abrader) is the trade name of a product supplied by Taber Industries, New York, USA. This information is given for the convenience of users of this document and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same results.

The procedure is performed as follows.

- a) The specimen plate shall be clean and dry. Two labels shall be attached to the specimen plate in such a position that the path of abrasion covers a maximum area of the bar code symbol. The labels shall not be trimmed; rather they are allowed to extend beyond the path of the abrasive wheel. The test labels shall be attached to the specimen plate in accordance with ASTM D1000, where applicable.
- b) When possible, the test will be conducted in atmosphere controlled to 50 % RH and 21 °C to 23 °C. The test samples should be conditioned in the test atmosphere for at least 24 h before testing.
- c) Select one character from the middle of the bar code symbol on each label and measure all the bars and spaces.
- d) The specimen plate shall be rotated beneath the abrasion wheels for a period of (100  $\pm$  1) cycles with a 250 g mass. After the required number of cycles, remove the specimen plate and examine the test character on each label.
- e) Following the abrasion test, linear symbols shall meet the print quality requirements of the <u>4.4.2.5</u>. Following the abrasion test, two-dimensional symbols shall meet the print quality requirements of the applicable clause.

#### A.3.5 Label recyclability

When possible, the label material, printing ink and adhesive should be specified to be recyclable materials. In particular, care should be taken that all label materials be compatible with the substrate packaging material for purposes of recyclability.



## Key

- 1 wire
- 2 bed can be rotated
- 3 to testing machine
- 4 panel per ASTM D1000

- 5 label
- 6 clamp
- 7 bed with grooves to permit panel to slide freely

Figure A.1 —  $90^{\circ}$  peel test apparatus

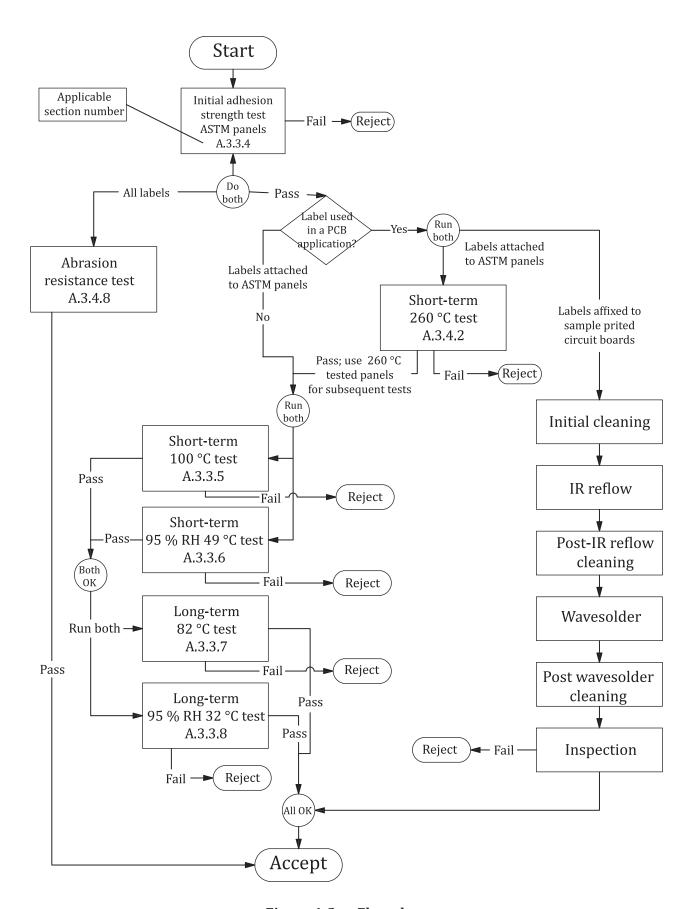


Figure A.2 — Flow chart

# Annex B

(informative)

# Partial list of commonly used identifiers

Data Identifier	Identifier description	Application Identifier
5D	ISO format YYMMDD immediately followed by an ANSI X12.3 Data Element Number 374 Qualifier providing a code specifying type of date (e.g. manufacture date "094")	11 (Production date)
6D	ISO format YYYYMMDD immediately followed by an ANSI X12.3 Data Element Number 374 Qualifier providing a code specifying date type (e.g. manufacture date "094")	906D (90 contains the information mutually agreed between trading partners. For example, 906D can represent DI 6D)
4L	Country of origin, two-character ISO 3166-1 country code	422 (3-digit ISO 3166-1 Code)
N	National/NATO Stock Number (NSN)	7001
P	Item identification code assigned by customer	241
1P	Item identification code assigned by supplier	01 - GTIN-14
9P	Combined DUNS-9 supplier identification and item code assigned by the supplier	909P (90 contains the information mutually agreed between trading partners. For example, 909P can represent DI 9P)
11P	10-character (alphanumeric) CLEI Code for telecommunications equipment	9011P (90 contains the information mutually agreed between trading partners. For example, 9011P can represent DI 11P)
Q	Quantity, number of pieces, or amount (numeric only) (unit of measure and significance mutually defined)	30
7Q	Quantity, amount, or number of pieces in the format: quantity followed by the two character ANSI X12.3 Data Element Number 355 Unit of Measurement Code	
S	Serial number or code assigned by the supplier to an entity for its lifetime (e.g. computer serial number, traceability number, contract tool identification)	21
1S	Additional code assigned by the supplier to an entity for its lifetime (e.g. traceability number, computer serial number)	250
25S	Combined IAC/CIN and the serial number assigned by the supplier	8004

Data Identifier	Identifier description	Application Identifier
1T	Traceability number assigned by the supplier to identify/trace a unique group of entities (e.g. lot, batch, heat)	10
V	Supplier code assigned by customer	90V (90 contains the information mutually agreed between trading partners. For example, 90V can represent DI V)
1V	Supplier code assigned by supplier	01 - GTIN-14
3V	Company code as assigned by the Uniform Code Council (UCC) or EAN international	95
12V	9-digit DUNS® Number as assigned by Dun and Bradstreet to identify a manufacturer	9012V (90 contains the information mutually agreed between trading partners. For example, 9012V can represent DI 12V)
13V	9-digit DUNS® Number as assigned by Dun and Bradstreet to identify a supplier	9013V (90 contains the information mutually agreed between trading partners. For example, 9013V can represent DI 13V)
17V	US DoD CAGE Number	9017V (90 contains the information mutually agreed between trading partners. For example, 9017V can represent DI 17V)
18V	Identification of a party to a transaction in which the data format consists of two concatenated segments. The first segment is the unique code assigned in accordance with ISO/IEC 15459, the second segment is a unique entity identification assigned in accordance with rules established by the issuing agency.	9018V  (90 contains the information mutually agreed between trading partners. For example, 9018V can represent DI 18V)
20V	Identification of a party to a transaction as identified in 18V, followed by a plus (+) character followed by one or more code values from EDIFACT Code List 3035 "Party Qualifier", separated by plus signs(+) [Never to be concatenated with other DIs in a linear symbol or other media where the concatenation character is a plus sign(+)]	9020V (90 contains the information mutually agreed between trading partners. For example, 9020V can represent DI 20V)

Annex C (informative)

# Subset of ISO/IEC 646 (table of hexadecimal and decimal values)

HEX	DEC	ASCII/ ISO/ IEC 646	HEX	DEC	ASCII/ ISO/ IEC 646	HEX	DEC	ASCII/ ISO/ IEC 646
00	00	NUL	30	48	0	60	96	1
01	01	SOH	31	49	1	61	97	a
02	02	STX	32	50	2	62	98	b
03	03	ETX	33	51	3	63	99	С
04	04	ЕОТ	34	52	4	64	100	d
05	05	ENQ	35	53	5	65	101	е
06	06	ACK	36	54	6	66	102	f
07	07	BEL	37	55	7	67	103	g
08	08	BS	38	56	8	68	104	h
09	09	НТ	39	57	9	69	105	i
0A	10	LF	3A	58	:	6A	106	j
0B	11	VT	3B	59	;	6B	107	k
0C	12	FF	3C	60	<	6C	108	l
0D	13	CR	3D	61	=	6D	109	m
0E	14	SO SO	3E	62	>	6E	110	n
0F	15	SI	3F	63	?	6F	111	0
10	16	DLE	40	64	@	70	112	р
11	17	DC1	41	65	A	71	113	q
12	18	DC2	42	66	В	72	114	r
13	19	DC3	43	67	С	73	115	S
14	20	DC4	44	68	D	74	116	t
15	21	NAK	45	69	E	75	117	u
16	22	SYN	46	70	F	76	118	V
17	23	ETB	47	71	G	77	119	W
18	24	CAN	48	72	Н	78	120	X
19	25	EM	49	73	I	79	121	у
1A	26	SUB	4A	74	J	7A	122	Z
1B	27	ESC	4B	75	К	7B	123	{
1C	28	FS	4C	76	L	7C	124	İ
1D	29	GS	4D	77	М	7D	125	}
1E	30	RS	4E	78	N	7E	126	~
1F	31	US	4F	79	0	7F	127	DEL
20	32	SP	50	80	P			
21	33	!	51	81	Q			1
22	34	и	52	82	R			

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нех	DEC	ASCII/ ISO/ IEC 646	HEX	DEC	ASCII/ ISO/ IEC 646	HEX	DEC	ASCII/ ISO/ IEC 646
23	35	#	53	83	S			
24	36	\$	54	84	Т			
25	37	%	55	85	U			
26	38	&	56	86	V			
27	39	'	57	87	W			
28	40	(	58	88	X			
29	41	)	59	89	Y			
2A	42	*	5A	90	Z			
2B	43	+	5B	91	[			
2C	44	,	5C	92	\			
2D	45	-	5D	93	]			
2E	46		5E	94	٨			
2F	47	/	5F	95	_			
Values shown	Values shown in <b>BOLD</b> are specifically supported by this document.							

# Annex D

(informative)

# User guidance for implementation of the ISO/IEC 15434 data syntax

ISO/IEC 15434 employs as a header, the three-character sequence [)>. ISO/IEC 15434 further employs the following special characters as separators and terminators: <GS>, <FS>, <RS>, <US>, and <EOT>. These characters may be difficult to implement without specific knowledge of the programming language and character sets employed.

Information and guidance on the use of ISO/IEC 15434 special characters can be found by contacting specific printer or software manufacturers or by referring to Reference [20].

# **Annex E**

(informative)

# For applications using Code 39 and Code 128 symbologies

#### E.1 General

Open systems, such as identified in this document, encourage the free movement of products between any supplier and customer. Organizations scanning the bar code label for shipping and receiving may be presented with symbols which do not conform to their specific requirements but are useful elsewhere in the supply chain. This annex addresses issues that are associated with this situation. These issues can affect any organization. This annex also addresses the issues that need to be considered in a planned migration between options.

This annex describes the use of symbology identifiers as identified in ISO/IEC 15424. The symbology identifier is a prefix to the data transmitted by a decoder. Symbology identifiers are not encoded in the symbol.

The options, as defined in this annex are

- Option 1:
  - Als with GS1-128 symbology,
  - Als with another symbology or data carrier;
- Option 2 DIs with Code 39 symbology;
- Option 3:
  - DIs with Code 128 symbology.
  - DIs with another symbology or data carrier.

Although it may be intended that only one of these combinations be in a system, it is important for all users to be aware that any of the other combinations can appear in a scanning system. Given this fact, organizations may choose to support a single option or support other options as well. These are discussed below.

# E.2 Systems where a single option is intended to be read

For users selecting to operate in a single option environment, there are three procedures to consider.

- a) For single use of option 1, users may be able to switch off all other symbologies in a decoder, including Code 128, as described in option 3. If the decoder supports symbology identifiers, the host system shall validate the appropriate symbology identifier, specifically **]C1** that signifies a GS1-128 symbol having a FNC1 character in the first position after the start character.
- b) For single use of option 2, users shall switch off all other symbologies in any decoder. If the decoder supports symbology identifiers, the host computer system shall validate the appropriate symbology identifier, specifically **]A0**.
- c) For single use of option 3, users will need to fully implement the symbology identifier capability. For decoders that do not support symbology identifiers, host computer systems will be unable to automatically distinguish between option 1 and option 3. By using the symbology identifier, the

host computer can distinguish between the different options and filter out the unwanted options. The host computer system shall validate the appropriate symbology identifier, specifically **]CO**.

## E.3 Systems where multiple options are intended to be read

Users, who choose to provide their systems with information scanned from labels using two or all of the options, shall fully implement symbology identifier capabilities. For decoders that do not support symbology identifiers, host computer systems will be unable to automatically distinguish between option 1, option 2, and option 3. By using the symbology identifier, the host computer can distinguish between the different options and filter out the unwanted options. The combination of the symbology identifier and the ASC MH10 Data Identifier or Application Identifier will provide the user with reliable input. Users should consider adopting additional reliability features described in <u>E.4.4</u> as appropriate.

## **E.4** Migration choices

#### E.4.1 General

It is feasible to migrate from one option to another. The realistic migrations are:

- Code 39 using ASC MH10 DIs to GS1-128 using AIs;
- Code 39 using ASC MH10 DIs to Code 128 using ASC MH10 DIs;
- a linear bar code symbology using ASC MH10 DIs to another data carrier;
- Code 128 using ASC MH10 DIs to GS1-128 using AIs;
- a linear bar code symbology using GS1 AIs to another GS1 data carrier.

Migration paths require some (usually considerable) period of parallel operation. This has implications for both systems (see E.4.2) and equipment (see E.4.3).

#### **E.4.2** Systems considerations

Industry bodies and individual suppliers migrating between any two options need to be aware of their responsibilities to customers. While it is relatively easy for a company or industry body to assume that its bar code label standards affect all customers equally, this is an over-simplification.

If there is a change between ASC MH10 DIs and GS1 AIs (for example migration choices from DIs to AIs), the computer systems supporting label production and customers' computer systems need to be upgraded to handle GS1 Application Identifiers prior to any switch.

Each of the migration choices requires the host computer system software to be able to recognize symbology identifiers (see  $\underline{\text{E.4.3.2}}$ ), which provide the only reliable means of distinguishing between the symbologies and some of their optional features.

Such migrations involve significant changes that need to be mutually agreed to by supplier and customer groups. Failure to do so could result in problems with well-established systems and even to the corruption of data.

#### **E.4.3** Equipment considerations

## **E.4.3.1** Printing considerations

Printing hardware, printing software, and users implementing printing hardware and software shall be capable of producing the new format symbols by including the ASC MH10 Data Identifiers and GS1 Application Identifiers correctly and generating the correct symbol.

#### E.4.3.2 Decoder considerations

In order to avoid errors in automatic data capture, bar code readers that can automatically read more than one symbology should be configured to read only those symbologies and technologies required by the application.

Decoders need to be configured to read and transmit data from both the old and new symbology and to transmit the relevant symbology identifier.

Migration from DIs to AIs requires a different decoder setting between the old and new standards.

Not all decoders are capable of transmitting symbology identifiers. The use of non-conforming equipment in a system with the old and new symbologies could result in the inability to correctly distinguish between them. Some decoders may be upgradeable; others may not be upgradeable and will need to be replaced.

Scanners are unlikely to be affected. Models that have integrated decoders may be affected.

### **E.4.4** Recommended actions to manage migration

#### **E.4.4.1** By the responsible industry body

The industry body responsible for initiating the migration needs to identify any potential transition problems likely to be experienced by suppliers and customers. Liaison should take place with bodies representing interests as soon as the supplying industry is contemplating a migration. In particular, it should

- identify and carefully consider the migration issues,
- survey suppliers and customers to assess the extent that equipment will be made obsolete,
- survey these groups to assess the extent that databases will need to be upgraded, and
- allow for an upgrade path for the enhancement of equipment and computer systems, bearing in mind that users required to scan symbols consistent with the new standard need to have systems in place before the new label formats are introduced.

NOTE This is a completely different implementation strategy to that commonly adopted when initially implementing bar code systems, where a number of labels usually precede the implementation of scanning.

#### **E.4.4.2** By those organizations producing the label

Suppliers implementing a change of identifier standard and/or symbology should:

- if changing to GS1 AIs, ensure that the mapping software between the internal database and the Application Identifiers is correct;
  - NOTE The format of data can be different between ASC MH10 DIs and GS1 AIs for the nominal data, for example, the way dates or units of measure are encoded.
- if changing to GS1-128, ensure that printing software and/or hardware fully supports the options in that symbology, including FNC1 in the first position after the start character and in other positions;
- carry out print quality tests of Code 128 and GS1-128 prior to a live launch of the new format label.

These systems tests could identify the need to upgrade or replace existing systems and hardware.

#### **E.4.4.3** By those organizations scanning the label

Organizations needing to scan the new format label should take the following actions prior to the live introduction of the label.

- Ensure that decoders are fully compliant with the applicable ISO/IEC 15424 Symbology Identifier specification with respect to Code 39, Code 128, or the symbology/technology employed.
- Implement software that checks on the validity of both ASC MH10 Data Identifiers and GS1 Application Identifiers.
- Implement software that parses the data for format and length.
- If changing to GS1 AIs, implement software to convert the data from the AI format to the format requirements of the host computer.

This is required because the format of some data fields is different between ASC MH10 DIs and GS1 AIs.

# Annex F

(informative)

# Using DUNS®7), with ASC MH10 Data Identifiers (DIs) in linear bar code and two-dimensional symbols

#### F.1 About the DUNS® Number

The DUNS® Number is a non-indicative nine-digit number assigned by Dun and Bradstreet to identify unique business entities. It is also used to access and link global business data. The DUNS® Number can "not only identify the company", but can "also identify the exact location of that company's plant" that actually manufactured the product. The first eight digits of the DUNS are sequentially machine generated. The ninth digit is a check digit that is mathematically related to the other digits. Once a DUNS® Number is assigned, it will not be reused or be reissued to another company.

There are approximately 45 million DUNS® Numbers already issued. To ensure an adequate supply of DUNS® Numbers for the future, Dun and Bradstreet has implemented an alternate check digit calculation, which provides an additional 100 million DUNS® Numbers.

The DUNS® Number can be used by itself in a separate bar code symbol or as a separate field in a 2D symbol. The DUNS® Number can also be combined with a product number in one bar code symbol or in one data field in a 2D symbol. Whatever the type of machine-readable code used, linear bar code or 2D, the appropriate ASC MH10 DI(s) shall precede them.

There is no charge to receive a DUNS® Number for your business.

## F.2 Using the DUNS® Number in bar codes

See Figure F.1 for an example using Code 39 or Code 128 to identify the manufacturer in one bar code and the product number in another bar code.

(12V) = ASC MH10 'DI' immediately followed by (043325711) = 9-digit DUNS® Number

(1P) = ASC MH10 'DI' immediately followed by (MH80312) = Manufacturer's Product Number



Figure F.1 — Example using DUNS® Number in bar codes

See Figure F.2 for an example using Code 39 or Code 128 to identify the manufacturer and the product number in one combined bar code symbol.

(9P) = ASC MH10 'DI' immediately followed by the 9-digit DUNS (043325711) plus the manufacturer's Product Number (MH80312)

<sup>7)</sup> DUNS® Number is an example of a suitable product available commercially. This information is given for the convenience of users of this document and does not constitute an endorsement by ISO of this product.

# Code 39 Example



# Code 128 Example

(9P) DUNS & PROD ID: 043325711MH801312

Figure F.2 — Example using DUNS Number combined with product identification in bar codes

## F.3 Using the DUNS®<sup>8)</sup> Number in 2D symbols

Figure F.3 shows a label including a Data Matrix symbol encoding DUNS and product ID, "traceability number" (e.g. serial number, lot/batch number, manufacture date), and country of origin.



Figure F.3 — Example using DUNS Number in 2D symbols

<sup>8)</sup> DUNS® Number is an example of a suitable product available commercially. This information is given for the convenience of users of this document and does not constitute an endorsement by ISO of this product.

# **Annex G** (informative)

# Register of issuing agency codes (IACs) for ISO/IEC 15459

## **G.1** Managing uniqueness of supply chain parties

Unique identification can occur at many different levels in the supply chain, at the transport unit, at the item level, and elsewhere. Several parties (the sender, the receiver, one or more carriers, customs authorities, etc.) often handle such distinct entities. Each of these parties needs to be able to identify and trace the item so that reference can be made to associated information such as address, order number, contents of the item, weight, sender, batch or lot number. There are considerable benefits if the identity of the item is common between all the relevant parties.

The procedures and obligations to construct a unique identifier for item management are defined in ISO/IEC 15459-2. It specifies the procedural requirements to maintain a non-significant, unique identifier for item management applications and outlines the obligations of the registration authority and issuing agencies.

## G.2 ISO/IEC 15459-2 registration authority

The ISO/IEC 15459-2 registration authority maintains the ISO/IEC 15459 IAC Register. The ISO/IEC 15459-2 Registration authority can be found by directing your browser to <a href="http://www.iso.org/iso/maintenance\_agencies.htm">http://www.iso.org/iso/maintenance\_agencies.htm</a> and search for "15459".

# **Annex H**

(informative)

# Serialization of some electronics products

## H.1 Cellular Mobile Telephone (CMT) Electronic Serial Number

#### H.1.1 General

The document recognizes the need for an electronic serial number for manufacturers of the cellular mobile telephone (CMT). The CMT has two serial numbers, namely an item serial number (MSN) assigned by the manufacturer, and an electronic serial number (ESN) that was assigned by the Federal Communications Commission (FCC) in the US or the Mobile Equipment item IDentifier (MEID) that is controlled by the Third Generation Partnership Project 2 (3GPP2).

#### H.1.2 CMT Electronic Serial Number derivation

In the USA, the FCC assigns the first three characters of the ESN to the CMT manufacturer. The manufacturer then assigns the last five characters of the ESN. These eight characters are hexadecimal, meaning that each character can have one of 16 values (0 to 9 and A to F). Some manufacturers convert this eight-character hexadecimal number into an 11-digit decimal number. This document supports ESN length of up to 20 alphanumeric characters.

## H.1.3 CMT Electronic Serial Number bar code symbol title

The title should be "CMT Electronic Serial Number." The suggested GS1-128 printing format is shown in Figures H.3 and H.4. The title should be printed above the bar code.

If a Data Identifier is used for the electronic serial number, the title should be "(22S) CMT Electronic Serial Number". See <u>H.1.4</u>.

#### H.1.4 CMT Electronic Serial Number identifiers

The GS1 Application Identifier 8002 has been assigned to the Electronic Serial Number (ESN). Therefore, when encoding the ESN in GS1-128 symbology, the numbers 8002 shall precede the eight or 11 character ESN. When representing this encoded data in human-readable form, the AI 8002 shall be enclosed in parentheses and precede the ESN. However, the parentheses shall not be considered part of the data; therefore, the parentheses shall not be encoded in the bar code nor key entered should keying of the bar code data be necessary. See Figures H.3, H.4 and Table H.2.

If Code 39 or Code 128 is used for CMT electronic serial number, the CMT electronic serial number shall be preceded by 22S in keeping with ANS MH10.8.2 (see <u>Table H.1</u>). The Data Identifier is not shown as part of the human-readable bar code interpretation; rather it is enclosed in parenthesis and printed in the bar code title. See <u>H.1.3</u>.

## H.1.5 CMT Electronic Serial Number label placement and orientation

The CMT electronic serial number bar code symbol shall be combined with the item serial bar code symbol and the point-of-sale bar code symbol, as shown in Figures H.3 and H.4, to form a label. Due to the number of bar code symbols involved and the limited space available on some CMT components, it may not be possible to stack the bar codes one over the other. Figure H.4 shows a modified vertical/horizontal bar code orientation, which may be necessary to help with label placement and orientation.

When using the modified vertical/horizontal bar code orientation shown in <u>Figure H.4</u>, care shall be taken to separate the GS1 symbol's quiet zone from the serial number bar code symbols' quiet zones. The GS1 symbol should be centred vertically between the two serial number bar codes and the two serial number bar codes should be vertically separated by 12,7 mm.

#### H.2 Interior service number

#### H.2.1 General

This document recognizes the need for an interior service number or secondary serial number to assist in the verification and authentication of processing warranty claims for some electronics products. The interior service number should be different from the item serial number shown on the outside of the equipment/product. The interior service number should not be visible unless the cover of the equipment/product is removed. The use of an interior service number is at the discretion of the manufacturer.

#### H.2.2 Interior service number derivation

The interior service number or secondary serial numbers are derived from the item serial number. They should be encrypted by each manufacturer in a manner that is unique to that manufacturer and of that manufacturer's choosing. This document recommends the use of a "check character algorithm" to create an interior service number from an item serial number. In other words, the item serial number will be contained within the interior service number. However, the interior service number will be one character longer with a calculated additional character in the rightmost position.

If alpha characters are used in an item serial number, a Mod 36 "check character" calculation would be required (See Annex I). If alpha characters are not used in the manufacturer's serial number, a Mod 10 "check character" calculation could be used, similar to that used with the UPC-A symbol. Different position weighting schemes could be used to further "complicate"/encrypt the calculation, regardless of whether the Mod 36 or Mod 10 calculation is used. See Figures H.1 and H.2 for an example of a Mod 10 algorithm used to derive an interior service number. See Annex A for Mod 36 calculations.

Each manufacturer may choose its own scheme for interior service numbering and calculating the rightmost "check character." Likewise, each manufacturer may choose a scheme that does not use the "check character" calculation. If a secondary serial number is used for the purpose of providing an interior service number, it shall be preceded with the appropriate application or Data Identifier.

NOTE The Interior Service Number check character is not the symbology check character that is used to verify correct reading of the bar code symbol. The Interior Service Number check character is part of the data that is encoded and displayed in the human-readable interpretation.

## H.2.3 Interior service number bar code symbol title

The title should be "Interior Service Number." The suggested printing format per the GS1 General Specification is shown in Figure H.2. The title should be printed above the bar code.

If a Data Identifier is used for the interior service number, the title should be "(30S) Interior Service Number". See <u>H.1.4</u>.

#### H.2.4 Interior service number identifiers

Since the interior service number or secondary serial number bar code application is different from the item serial number application, a different GS1 Application Identifier (AI) from the AI 21 is needed. The GS1 AI assigned for a secondary serial number or interior service number is AI 250. Since the item serial number can contain up to 20 alphanumeric characters, this interior service number shall be able to contain up to 21 alphanumeric characters. For this bar code application to be successful, the data system shall be able to capture, contain and print the "check character" calculated and placed in the right most position, hence the need for a 21-character field length.

When using the GS1-128 symbology, the interior service number shall be preceded by the numerals 250 in keeping with the General GS1 Specifications. When this secondary serial number and Application Identifier (AI) are represented in human-readable form, the AI shall be shown in the human-readable interpretation of the bar code symbol, separated from the rest of the data by parentheses. However, the parentheses shall not be considered part of the data; therefore, the parentheses shall not be encoded in the bar code nor key entered should keying of the bar code data be necessary. See Figure H.4.

If Code 39 or Code 128 is used for the interior service number, the interior service number or secondary serial number shall be preceded by the numerals 30S in keeping with ANS MH10.8.2. The Data Identifier is not shown as part of the human-readable bar code interpretation; rather, it is enclosed in parenthesis and printed in the bar code title. See  $\underline{\text{H.1.3}}$ .

## H.2.5 Interior service number label placement and orientation

The interior service number or secondary serial number bar code symbol should be printed, along with the point of sale bar code symbol, as shown in Figure H.2, to form a label. This label should be placed inside the product so that it is not visible without removing the case or cover. Each manufacturer shall decide on its own where the most appropriate placement and orientation should be for each product that utilizes the interior service number.

Table H.1 — Data Identifiers used for the electronic serial number and the interior service number

Data Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#) <sup>a</sup>	Description
22S	Unique Individual Identity for Cellular Mobile Telephones	an3+an25	Electronic Serial Number (ESN) for Cellular Mobile Telephones or the Mobile Equipment Identifier (MEID). <sup>a</sup>
30S	Secondary serial number/Interior service number	an3+an21	Secondary serial number or interior service number of a component of a trade itemb

<sup>&</sup>lt;sup>a</sup> See ESN Assignment Guidelines and Procedures and MOBILE EQUIPMENT IDENTIFIER (MEID) Global Hexadecimal Administrator (GHA) Assignment Guidelines and Procedures for additional information on ESN and MEID.

Table H.2 — Application Identifiers used for the electronic serial number and the interior service number

Application Identifier	Data field	Data characteristics Type followed by the number of characters (e.g. a#, n#, an#) <sup>a</sup>	Description
250	Secondary serial number/Interior service number	n3+an30	Secondary serial number or interior service number of a component of a trade item <sup>b</sup>
8002	Electronic Serial Number	n4+an11	Electronic Serial Identifier for Cellular Mobile Telephones (CMTI)

<sup>&</sup>lt;sup>a</sup> See ESN Assignment Guidelines and Procedures and MOBILE EQUIPMENT IDENTIFIER (MEID) Global Hexadecimal Administrator (GHA) Assignment Guidelines and Procedures for additional information on ESN and MEID.

b If this AI "250" is being used a trade item shall be symbol marked with the following Element Strings:

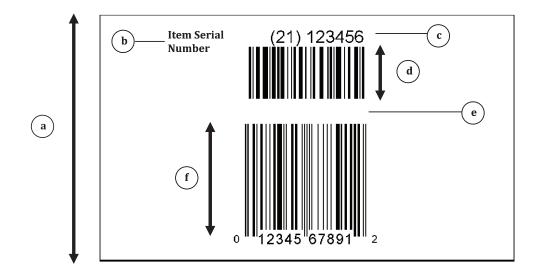
AI 01 representing the identification number (GTIN) of the trade item;

AI 21 representing the serial number of the trade item.

b If this AI "250" is being used a trade item shall be symbol marked with the following Element Strings:

AI 01 representing the identification number (GTIN) of the trade item;

AI 21 representing the serial number of the trade item.



#### Key

- The overall height of the label should be a minimum of 60,9 mm.
- The two-line human-readable title information should be a minimum height of 6,4 mm.
- The human-readable encodation height should be a minimum of 4,8 mm.
- d The overall height of the GS1 to G128 bar code should be a minimum of 12,7 mm.
- e The distance dividing the GS1 to G128 and the point-of-sale bar code should be a minimum height of 3,2 mm.
- The overall height of the point-of-sale bar code should be a minimum of 25,9 mm.

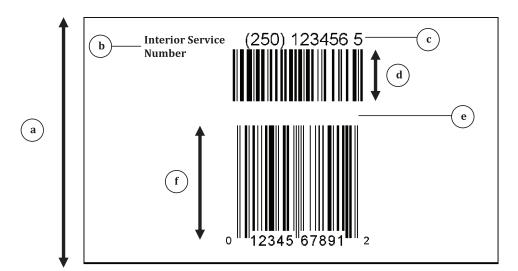


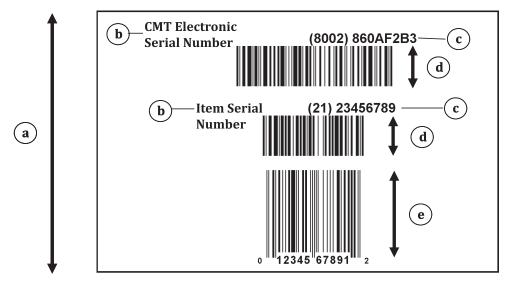
Figure H.1 — Item serial number bar code label

# Key

- (a) The overall height of the label should be a minimum of 60,9 mm.
- (b) The two-line human-readable title information should be a minimum height of 6,4 mm.
- The human-readable encodation height should be a minimum of 4,8 mm.
- d The overall height of the GS1 to G128 bar code should be a minimum of 12,7 mm.
- e The distance dividing the GS1 to G128 and the point-of-sale bar code should be a minimum height of 3,2 mm.
- The overall height of the point-of-sale bar code should be a minimum of 25,9 mm.

Figure H.2 — Interior service number bar code label

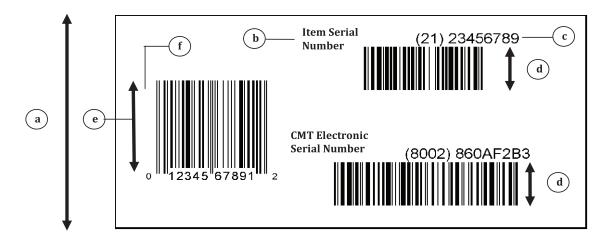
There should be a minimum of 6,4 mm margin between the edge of the label and the information included in the label (including bar code quiet zones).



#### Key

- The overall height of the label should be a minimum of 82,6 mm for Figure 1 and 63,5 mm for Figure 2.
- The two lines of human-readable title information should be a minimum height of 6,4 mm.
- The human-readable encodation height should be a minimum of 4,8 mm.
- The overall height of the GS1–128 bar code should be a minimum of 12,7 mm.
- e The overall height of the point-of-sale bar code should be a minimum of 25,9 mm.

Figure H.3 — CMT vertical label



#### Key

- The overall height of the label should be a minimum of 82,6 mm for Figure 1 and 63,5 mm for Figure 2.
- (b) The two lines of human-readable title information should be a minimum height of 6.4 mm.
- The human-readable encodation height should be a minimum of 4,8 mm.
- (d) The overall height of the GS1–128 bar code should be a minimum of 12,7 mm.
- (e) The overall height of the point-of-sale bar code should be a minimum of 25,9 mm.
- The quiet zone before and after all bar codes should be a minimum of 6,4 mm.

Figure H.4 — CMT modified vertical/horizontal label

There should be a minimum of 6,4 mm margin between the edge of the label and the information included in the label (including bar code quiet zones).

Calculating a typical Interior Service Number from an all numeric Item Serial Number (see <u>Figure H.5</u>) using a Mod-10 check character algorithm:



#### Key

a 12,7 mm (0,5 in).

Figure H.5 — Item serial number

IMPORTANT — Character positions are numbered from RIGHT to LEFT in this algorithm with the check character in the first (rightmost) position.

The item serial number Application Identifier shall not be included in the check character calculation. See <u>Table H.3</u>.

EXAMPLE Assume an item serial number of (21) 123456 as shown in Figure H.5.

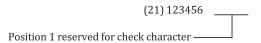


Table H.3 — Check character calculation algorithm

Step 1:	2 + 4 + 6 (from right)	= 12
Step 2:	×3	= 36
Step 3:	1 + 3 + 5 (from right)	= 9
Step 4:	36 (Step 2) + 9 (Step 3)	= 45
Step 5:	45/10 = 4 with a Remainder of 5; 10 – 5	= 5 (check character)

The resulting interior service number would be as shown in Figure H.6.



#### Key

a 12,7 mm (0,5 in).

Figure H.6 — Resultant interior service number

NOTE (250) is the Interior Service Number Application Identifier and "5" in the rightmost position is the calculated "check character" value.

# Annex I

(informative)

# MOD 36 interior service number "check character" calculation

A "check character" algorithm is suggested to calculate the interior service number or secondary serial number from the manufacturer's serial number. The Mod 36 check character (remainder method) should be used if alpha characters are contained in the item serial number. This "check character" is neither a replacement for nor the same as any symbology check character(s).

Table I.1 — Character value table

Value	Character	Value	Character
0	0	18	I
1	1	19	J
2	2	20	K
3	3	21	L
4	4	22	M
5	5	23	N
6	6	24	0
7	7	25	Р
8	8	26	Q
9	9	27	R
10	A	28	S
11	В	29	Т
12	С	30	U
13	D	31	V
14	E	32	W
15	F	33	X
16	G	34	Y
17	Н	35	Z

To calculate the MOD 36 check character (Remainder Method), see <u>Table I.2</u>.

Note that following for data content for check character calculation for the interior service number and item serial number.

- The interior service number or secondary serial number Application Identifier (AI) is 250. The interior service number Application Identifier shall not be included in the check character calculation.
- The item serial number Application Identifier shall not be included in the check character calculation.

IMPORTANT — Character positions are numbered from RIGHT to LEFT in this algorithm (the check character is in the first position).

Table I.2 — Mod 36 check character calculation algorithm

Step 1:	Starting from position 2 of the number (i.e. the character to the left of the position reserved for the check character), add up the values of the characters in even-numbered positions.
Step 2:	Multiply the sum obtained in Step 1 by 3.
Step 3:	Starting from position 3 (from right), add up the values of the characters in odd-numbered positions.
Step 4:	Add the product of Step 2 to the sum of Step 3.
Step 5:	Divide the sum of Step 4 by 36. Look in the Character Value Table for the character with the remainder value. This corresponding character is the Mod 36 check character and it becomes the right-most character in the interior service number.

#### **EXAMPLE**

Assume the item serial number of:

Position 1 is reserved for check character as calculated in the example in <u>Table I.3</u>.

Table I.3 — Mod 36 check character calculation example

Step 1:	10 + 12 + 5 + 7 + 9 + 1 + 2 + 3 (from right)	= 49
Step 2:	×3	= 147
Step 3:	1 + 11 + 4 + 6 + 8 + 13 + 15 + 16 (from right)	= 74
Step 4:	147 (Step 2) + 74 (Step 3)	= 221
Step 5:	221/36 = 6 with a remainder of 5	

Looking in the value at <u>Table I.1</u>, a remainder value of 5 corresponds to a character of 5; therefore, for this example, the check character is 5.

The final interior service number for this example is shown in Figure I.1.

NOTE If Step 5 results in a remainder of zero, the check character is zero. If the remainder is greater than nine, the check character is an alpha character.

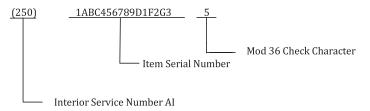


Figure I.1 — Resultant interior service number

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