

## MODULE 3

### Prime Power Moduli

- Discuss congruences modulo  $p_i^i, i \geq 1$  ( $p$ : prime)
- Because of fundamental theorem of arithmetic any integer  $m = \underbrace{p_1^{e_1}} \underbrace{p_2^{e_2}} \dots \underbrace{p_k^{e_k}} \quad e_i \geq 1$

Any congruence modulo  $m$  can be written as a set of congruences modulo  $p_i^{e_i} \quad i=1 \dots k$ .

### Simplest case ( $i=1$ )

→ Integer polynomial:  $f(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_0$

Solutions of congruences  $a_i$ 's are integers.

$$f(x) \equiv 0 \pmod{p}$$

Ex.  $5x^2 + 3x + 7 \equiv 0 \pmod{5}$

→  $x=1$

→ Definition: The no. of solus. of  $f(x) \equiv 0 \pmod{p}$  is the number of elements in any CRS which satisfy the congruence.

→ Degree of a polynomial  $f(x) = \sum_{i=0}^d a_i x^i$

•  $f(x)$  has degree  $d$  if  $a_d \not\equiv 0 \pmod{p}$

- degree is the largest integer  $j$  such that  $a_j \not\equiv 0 \pmod{p}$

- degree is not defined if  $a_j \equiv 0 \pmod{p} \quad \forall j = 0, 1, \dots, d$

Ex.  $p=5$

$4x^6 + 3x^2 + 2$

degree = 6

$a_d = 4$

$5x^6 + 3x^2 + 2$

degree = 2

Consider polynomials with degree  $d \leq (p-1)$   $\sqrt{5x^6 + 6x + 15}$  degree not defined invariably 0 mod 5

Theorem: Any polynomial  $f(x) = a_d x^d + \dots + a_0$ , which has at least one coefficient  $a_i \not\equiv 0 \pmod{p}$  then  $f(x)$  has at most  $d$  solutions.

Eg:  $5x^2 + 3x + 2 \equiv 0 \pmod{17}$  at most 2 solns. mod 17.

Proof: Prove it by mathematical induction.

Base Case  $d=1$   $ax+b \equiv 0 \pmod{p} \Rightarrow ax \equiv -b \pmod{p}$

This has solution if and only if  $(a,p) \mid b$ .

If  $(a,p)=1$ , consider two solutions  $x_1$  &  $x_2$ .

$ax_1 \equiv b \pmod{p}$  &  $ax_2 \equiv b \pmod{p}$ . This implies that  $ax_1 \equiv ax_2 \pmod{p}$  or  $p \mid a(x_2 - x_1)$ . This in turn means that  $x_1 \equiv x_2 \pmod{p}$ .

If  $(a,p)=p$  then  $b$  is also 0 mod  $p$ . Hence  $ax+b$  does not degree defined and the theorem is not applicable.

Induction Hypothesis: Assume the theorem holds for all polynomials of degree  $\leq (d-1)$

Consider  $f(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_0$ .

If  $f(x)$  does not have any soln: then the theorem is proved.

Otherwise there at least one solution, say  $a$ . That  $f(a) \equiv 0 \pmod{p}$ .

Now  $f(x) - f(a) = \sum_{i=1}^d a_i x^i - \sum_{i=1}^d a_i a^i$

$= \sum_{i=1}^d a_i (x^i - a^i)$

$= \sum_{i=1}^d a_i (x-a) (\text{Poly: of degree } i-1)$

$= (x-a) \left[ \sum_{i=1}^d a_i x (\text{Poly: degree } i-1) \right]$

$g(x)$  has degree  $\leq (d-1)$  and hence  $g(x)$  by Ind. Hyp. has at most  $(d-1)$  solutions mod  $p$ .

$x^k - a^k = (x-a)(x^{k-1} + x^{k-2}a + \dots + a^{k-1})$

$$f(x) - f(a) = (x-a)g(x).$$

\* There is at least one coefficient in  $g(x)$  which is not zero. (Suppose all coefficients are zero then all the coefficients of  $f(x)$  will also be 0.)

$$g(x) = \sum_{i=1}^{d-1} c_i x^i. \quad (x-a)g(x) = (x-a) \left( \sum_{i=1}^{d-1} c_i x^i \right) \\ = x \left( \sum_{i=1}^{d-1} c_i x^i \right) - a \left( \sum_{i=1}^{d-1} c_i x^i \right) \\ = h(x).$$

$$\text{coefficient of } x^i \text{ in } h(x) = \begin{cases} c_{d-1} & \text{if } i=d \\ c_{i-1} - ac_i & \text{if } 1 \leq i \leq d-1 \\ -ac_0 & \text{if } i=0 \end{cases}$$

See all the coeffs are 0s.

Hence  $(x-a)g(x) + f(a)$  will also have all the coeffs zero.

$$f(x) = f(a) + (x-a)g(x) \equiv (x-a)g(x) \pmod{p}$$

Hence every solution of  $g(x) \equiv 0 \pmod{p}$  is also a solution of  $f(x)$ . The total nos of solutions hence is  $\underbrace{\leq d-1}_{\text{sols. of } g(x)} + \underbrace{1}_a = d$ .

Why didn't we consider terms with powers  $\geq p$ ?

Consider,  $x^k : k \geq p$ . Since  $p$  is prime,  $x^{p-1} \equiv 1 \pmod{p}$  if  $(x,p)=1$  otherwise  $x^p \equiv x \pmod{p}$ . Therefore in both cases  $p \mid (x^p - x)$  i.e.  $x^p \equiv x \pmod{p} \forall x \in \mathbb{Z}$ .

$$\text{Hence } x^k \equiv x^{p_1+r} = \underbrace{x^{p_1}}_{x^p} \cdot x^r \equiv x^1 \cdot x^r = x^{1+r}$$

$x^p = (x^p)^1$

Ex.  $p=5$

$$x^{16} \equiv x^{15} \cdot x \Rightarrow (x^5)^3 \cdot x \Rightarrow x^3 \cdot x = x^4$$

$x^5 \equiv x \pmod{5}$

# Solving Congruences Modulo $p^e$ , $e > 1$

Solve Congruence of the form  $f(x) \equiv 0 \pmod{p^e}$

Procedure

$$p^3 \mid f(x^1) \Rightarrow p^2 \mid f(x^1)$$

$$5^2 \mid \alpha \Rightarrow 5 \mid \alpha$$

$$p^2 \mid f(x^1) \Rightarrow p \mid f(x^1)$$

- ① Start with  $f(x) \equiv 0 \pmod{p}$
- ② Generate solutions for  $f(x) \equiv 0 \pmod{p^2}$
- ③ Generate solutions for  $f(x) \equiv 0 \pmod{p^3}$
- ⋮
- ⋮
- ⋮
- ⋮
- ⋮

[The logic behind the stepwise procedure is that every solution of  $f(x) \equiv 0 \pmod{p^{i+1}}$  is also a solution of  $f(x) \equiv 0 \pmod{p^i}$ . Therefore we first solve the simpler problem  $f(x) \equiv 0 \pmod{p^i}$  and then check which of those solutions satisfy  $f(x) \equiv 0 \pmod{p^{i+1}}$ ]

Each solution of  $f(x) \equiv 0 \pmod{p^i}$  may generate solutions  $\pmod{p^{i+1}}$ .

Say  $t$  satisfies  $f(x) \equiv 0 \pmod{p^i}$  i.e.  $f(t) \equiv 0 \pmod{p^i}$ .

$t$  generates further candidates  $t + kp^i$   $k = 0, 1, 2$   
We will figure out  $k$  for which  $t + kp^i$  is a solution  $\pmod{p^{i+1}}$ .

This translates to the congruence

$$f(t + kp^i) \equiv 0 \pmod{p^{i+1}} \quad (\text{Solve for } k)$$

Taylor Series Expansion [Assume that  $f$  is degree  $d$ ]

$$f(t+kp^i) = f(t) + kp^i f'(t) + \underbrace{\frac{(kp^i)^2}{2} f''(t) + \dots + \frac{(kp^i)^d}{d!} f^{(d)}(t)}_{\text{are multiples of } p^{i+1} \text{ (and hence congruent to } 0 \pmod{p^{i+1}})}$$

$$\equiv [f(t) + kp^i f'(t)] \pmod{p^{i+1}}$$

We need to find  $k$  s.t

$$[f(t) + kp^i f'(t)] \equiv 0 \pmod{p^{i+1}}$$

OR

$$f(t) \equiv -kp^i f'(t) \pmod{p^{i+1}} \rightarrow \boxed{A}$$

Now

$$p^i \mid f(t) \text{ and also } p^i \mid -kp^i f'(t) \quad + \quad \left. \begin{array}{l} f(t) \equiv 0 \\ \pmod{p^i} \end{array} \right\} \begin{array}{l} p^{i+1} \\ \underline{\underline{p^{i+1}}} \end{array}$$

Congruence  $\boxed{A}$  therefore is equivalent to

$$\frac{f(t)}{p^i} \equiv -k f'(t) \pmod{p}$$

Solve this  
Congruence.

$$\boxed{t+kp^i}$$

$$\boxed{\frac{f(t)}{\sqrt{p^i}}} \equiv \underline{\underline{-k \underline{f'(t)}}} \pmod{p}$$

If  $t$  happens to satisfy  $f(t) \equiv 0 \pmod{p^{i+1}}$   
also then  $-kp^i f'(t) \equiv 0 \pmod{p^{i+1}}$ . If  $f'(t) \equiv 0 \pmod{p}$  then  $t+kp^i$  is a Solu: of  $f(x) \equiv 0 \pmod{p^{i+1}}$   
 $\forall k = \{0, \dots, p-1\}$ . Otherwise  $k$  has to be a multiple  
of  $p^{i+1}$  and hence  $t+kp^i$  is congruent to  $t \pmod{p^{i+1}}$   
[and hence not a different solution].

Ex:  $f(x) = 2x + 3$   $p = 5$

Solve  $2x + 3 \equiv 0 \pmod{5^2}$

$\{0, 1, 2, 3, 4, 5, 6, \dots, 24\}$   
 $\downarrow$   $\downarrow$   $\downarrow$   $\downarrow$   $\downarrow$   $\downarrow$   $\downarrow$   
 $(1)$   $(6)$   $(11)$   $(16)$   $(21)$   $(24)$

→ Solution: First solve  $2x + 3 \equiv 0 \pmod{5}$   
 $t = 1$  is the only solution.

•  $f(t) = 5$   $f'(t) = 2$   $2x + 3 \Rightarrow 2$

$\frac{f(t)}{p} = 1 \equiv -k \cdot 2 \pmod{5}$

$k = 2$  is a solution:

$f(t) \not\equiv 0 \pmod{25}$  Therefore  $t + k \cdot p = 1 + 2 \cdot 5 = 11$  is a solution.  
 $[2 \cdot 11 + 3 = 25 \equiv 0 \pmod{25}]$

→ Therefore the only solution is 11

Ex:  $f(x) \equiv 0 \pmod{7^3}$

$f(x) = x^2 + 5x + 47$

①  $x^2 + x + 47 \equiv 0 \pmod{7}$

Solutions =  $\{1, 5\}$   
 $\downarrow$   $\downarrow$   
 $t_1$   $t_2$

Solutions mod  $7^2$  from  $t_1 = 1$

$f(t_1) = \underline{49} \equiv \underline{0} \pmod{\underline{7^2}}$   $f'(t_1) = 2x + 1 = \underline{3}$

Hence  $t_1$  generates no solns. mod  $7^2$ , other than itself.

Solutions mod  $7^2$  from  $t_2 = 5$

$f(5) = 77 \not\equiv 0 \pmod{7^2}$   $f'(5) = 2x + 1 = \underline{11}$

$\frac{f(t_2)}{p} = \frac{f(t_2)}{7} = 11$

1, 50, 99,  
148, 197,  
246, 295,  
344

$$11 \equiv -11k \pmod{7}$$

$k=6$  is a solution:

Solution generated by  $t_2 = t_2 + \underline{k} \cdot p^i \quad i=1$

$$= 5 + 6 \times 7 = \underline{\underline{47}}$$

Therefore Solns mod  $7^2$  are

$$\{1, 47\}$$

Solutions mod  $7^3$  generated by  $\{1, 47\}$

Solutions from  $t_1 = 1$

$$f(t_1) = 49 \not\equiv 0 \pmod{343}$$

$$f'(t_1) = 2n+1 = \underline{\underline{3}}$$

$$\frac{f(t_1)}{p^2} = -k \cdot 3 \pmod{7}$$

$$1 \equiv -k \cdot 3 \pmod{7}$$

$$\underline{\underline{k=2}}$$

$$t_1 + k \cdot p^2 = 1 + 2 \cdot 49 = \underline{\underline{99}}$$

Soln. from  $t_2 = 47$

$$f(47) = 47^2 + 47 + 47$$

$$= 47 \times 49 = \underline{\underline{2703}} \not\equiv 0 \pmod{2750-47}$$

$$(343)$$

$$\underline{\underline{2703}}$$

$$\begin{array}{r} 47 \\ 5 \\ \hline 275 \\ \hline 2750 \\ 47 \\ \hline 2703 \end{array}$$

Primality Test  
using Fermat's theorem

→ Consider the congruence  $a^n \equiv a \pmod{n}$  ✓

→ We already know from Fermat's little theorem that if  $n$  is prime, the congruence is valid.

$$a^n - a = a(a^{n-1} - 1)$$

Therefore if  $\gcd(a, n) = 1$  then  $(a^{n-1} - 1)$  is a multiple of  $n$ , otherwise  $\gcd(a, n) = n$  and hence  $n \mid a(a^{n-1} - 1)$

→ For  $n \in \mathbb{N}$ , if for some  $a$ ,  $a^n \not\equiv a \pmod{n}$  then we can be sure that  $n$  is not prime.

(This gives a primality check algorithm)

★ But you will have to try all  $a$ 's from 0 to  $(n-1)$  making the algorithm inefficient.

Therefore the test was done for  $a=2$  alone.  
(Probably this started with Chinese)

PRIMALITY TEST

$$2^n \equiv 2 \pmod{n}$$

yes →  $n$  is prime ✗  
no →  $n$  is Composite

Pseudo prime

★ There are composite numbers which satisfy the congruence  $2^n \equiv 2 \pmod{n}$

→ Smallest Pseudo-prime is 341 (= 11·31)

THEOREM: There are infinitely many pseudo primes

PROOF: We claim that if  $n$  is a pseudo-prime, so is  $2^n - 1$ . → [This precludes the no. of pseudo-primes being finite]

Suppose  $n$  is a pseudo-prime. Then

$$2^{(2^n-1)} \equiv 2 \pmod{2^n-1} \text{ or equivalently } 2^n = nk + 2. \text{ Therefore } 2^{(2^n-1)} = 2^{nk+1} = 2 \cdot 2^{nk} \equiv 2 \pmod{2^n-1} \text{ since } 2^n \equiv 1 \pmod{2^n-1}$$

SUMMARY

- Primality Testing:  $2^n \equiv 2 \pmod{n} \rightarrow \text{yes} \Rightarrow \text{Prime}$   
 $\rightarrow \text{yes part is not true}$   
 $\rightarrow \text{such composite } n\text{'s which satisfy the test are Pseudo primes}$
- There are infinitely many Pseudo-primes



## CARMICHAEL NUMBERS

Composite numbers which satisfy the congruence  $a^n \equiv a \pmod{n} \forall a \in \mathbb{Z}$ .

Eg:  $n = 561$      $n = 3 \cdot 11 \cdot 17$   
 $n = 1729$  (Ramanujan Number)

CARMICHAEL NOS.  $\rightarrow \infty$ 

Carmichael conjectured that there infinite such numbers in 1912. But it was proved in 1992

## EULER'S FUNCTION

$\phi(n) \triangleq$  Cardinality of the Reduced Residue System modulo  $n$ .

Eg:  $n = 6$      $RRS(6) = \{1, 5\}$      $\phi(6) = 2$ .  
 $n = 15$      $RRS(15) = \{1, 2, 4, 7, 8, 11, 13, 14\}$      $\phi(15) = 8$   
 $\phi(n)$  is also called Totient

 $\phi(p)$ 

If  $p$  is prime then  $\phi(p) = p - 1$ .

[Because all the integers in  $\{1, \dots, (p-1)\}$  are rel. prime to  $p$ ]

Eg:  $\phi(7)$      $RRS(7) = \{1, 2, 3, 4, 5, 6\}$

 $\phi(p^i)$ 

Theorem: If  $p$  is prime then  $\phi(n)$ , where  $n = p^i, i \geq 1$  is given by  $n(1 - \frac{1}{p})$ .

Eg:  $n = 3^2 = 9$      $\{1, 2, 4, 5, 7, 8\}$      $\phi(9) = 9(1 - \frac{1}{3})$   
 $p = 3$      $RRS(9)$      $= 9 \times \frac{2}{3} = 6$

PROOF: (Remove from the CRS mod  $p^i = \{1, 2, 3, 4, \dots, p^i\}$ , elements which share a factor with  $p^i$ .  $\phi(n) = p^i - \# \text{elements removed}$ )  
 The elements in the CRS which are not rel. prime to  $p^i$  are  $\{1p, 2p, 3p, 4p, 5p, \dots, p^{i-1} \cdot p\}$   
 The the number of elements removed is  $p^{i-1}$ .  
 Hence  $\phi(n) = p^i - p^{i-1} = p^i(1 - \frac{1}{p}) = n(1 - \frac{1}{p})$