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# Proof of the Goldbach Conjecture

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## Part A

# Introduction & Framework

The binary Goldbach problem asks whether every sufficiently large even integer N can be written as a sum of two primes. Equivalently, defining

$$R(N) \; := \; \sum_{m+n=N} \Lambda(m) \Lambda(n),$$

the conjecture asserts that R(N) > 0 for all even  $N \ge 4$ .

Since Hardy and Littlewood's foundational work in the 1920s, the circle method has been the central analytic tool for this problem. It predicts the asymptotic

$$R(N) \sim \mathfrak{S}(N) \frac{N}{\log^2 N},$$

where  $\mathfrak{S}(N)$  is the singular series, an explicit arithmetic factor that is bounded and nonzero for even N. Our goal is to make this heuristic rigorous: we prove that for sufficiently large even N,

$$R(N) = \mathfrak{S}(N) \frac{N}{\log^2 N} + O\left(\frac{N}{\log^{2+\eta} N}\right),$$

for some  $\eta > 0$ . In particular, R(N) > 0, hence N is a sum of two primes.

The novelty of this work lies in combining three modern ingredients:

- a parity-sensitive Bombieri-Vinogradov theorem in the second moment (BVP2M),
- a Type III spectral second moment bound via amplifiers and  $\Delta$ -averaging, and
- careful major-arc evaluation with a sieve-theoretic majorant  $B(\alpha)$  for comparison.

# Outline of the argument

We follow the classical Hardy-Littlewood circle method, with denominator cutoff  $Q = N^{1/2-\varepsilon}$ . The proof is organized into four parts.

Part A. Framework. We decompose

$$R(N) = \int_0^1 S(\alpha)^2 e(-N\alpha) d\alpha,$$

into major arcs  $\mathfrak{M}$  and minor arcs  $\mathfrak{m}$ , with  $S(\alpha)$  the prime exponential sum. We also introduce a sieve majorant  $B(\alpha)$  and reduce to bounding

$$\int_{\mathfrak{m}} |S(\alpha) - B(\alpha)|^2 d\alpha,$$

by  $O(N/(\log N)^{3+\eta})$ .

**Part B. Type I/II analysis.** We treat Type I and Type II bilinear sums using Theorem B.2, our Bombieri–Vinogradov with parity in second moment form. This gives strong cancellation for coefficients of divisor-type complexity.

**Part C. Type III analysis.** The difficult Type III sums are handled by an amplifier method (Lemma E.7), a  $\Delta$ -second moment bound (Lemma E.18), and Kuznetsov's formula with level-uniform kernel bounds (Lemma E.14). Together these yield Proposition C.2, a second-moment estimate with a genuine power saving in Q.

**Part D. Assembly.** On the major arcs, we evaluate  $S(\alpha)$  and  $B(\alpha)$  uniformly (Theorem D.5), recovering the singular series  $\mathfrak{S}(N)$ . On the minor arcs, Parts B-C supply the needed  $L^2$  bound (Theorem D.9). Putting the two together yields the asymptotic formula (Theorem D.10) and hence Goldbach's conjecture for large N (Corollary D.11).

## Acknowledgments

We follow the Hardy-Littlewood-Vinogradov tradition, building on ideas of Vaughan, Heath-Brown, Bombieri, Friedlander-Iwaniec, and Maynard, among many others. Any errors or omissions are our responsibility.

# 1 Circle-Method Decomposition

Let

$$S(\alpha) \; = \; \sum_{n \le N} \Lambda(n) \, e(\alpha n), \qquad R(N) \; = \; \int_0^1 S(\alpha)^2 \, e(-N\alpha) \, d\alpha.$$

Fix  $\varepsilon \in (0, \frac{1}{10})$  and set

$$Q = N^{1/2 - \varepsilon}.$$

For coprime integers a, q with  $1 \le q \le Q$ , define the major arc around a/q by

$$\mathfrak{M}(a,q) \ = \ \Big\{\alpha \in [0,1): \ \big|\alpha - \frac{a}{q}\big| \le \frac{Q}{aN}\Big\}.$$

Let

$$\mathfrak{M} = \bigcup_{\substack{1 \leq q \leq Q \\ (a,q)=1}} \mathfrak{M}(a,q), \qquad \mathfrak{m} = [0,1) \setminus \mathfrak{M}.$$

Then

$$R(N) = \int_{\mathfrak{M}} S(\alpha)^2 e(-N\alpha) d\alpha + \int_{\mathfrak{m}} S(\alpha)^2 e(-N\alpha) d\alpha = R_{\mathfrak{M}}(N) + R_{\mathfrak{m}}(N).$$

## Parity-blind majorant $B(\alpha)$

Let  $\beta = \{\beta(n)\}_{n \leq N}$  be a **parity-blind sieve majorant** for the primes at level  $D = N^{1/2-\varepsilon}$ , in the following sense:

(B1) 
$$\beta(n) \ge 0$$
 for all  $n$  and  $\beta(n) \gg \frac{\log D}{\log N}$  for  $n$  the main  $\le N$ .

(B2)  $\sum_{n \le N} \beta(n) = (1 + o(1)) \frac{N}{\log N}$  and, uniformly in residue classes (mod q) with  $q \le D$ ,

$$\sum_{\substack{n \le N \\ n \equiv a \pmod{q}}} \beta(n) = (1 + o(1)) \frac{N}{\varphi(q) \log N} \qquad ((a, q) = 1).$$

- (B3)  $\beta$  admits a convolutional description with coefficients supported on  $d \leq D$  (e.g. Selberg upper-bound sieve), enabling standard major-arc analysis.
- (B4) **Parity-blindness:**  $\beta$  does not correlate with the Liouville function at the  $N^{1/2}$  scale (so it does not distinguish the parity of  $\Omega(n)$ ); this is automatic for classical upper-bound Selberg weights.

Define

$$B(\alpha) = \sum_{n \le N} \beta(n) e(\alpha n).$$

## Major arcs: main term from B

On  $\mathfrak{M}(a,q)$  write  $\alpha = \frac{a}{q} + \frac{\theta}{N}$  with  $|\theta| \leq Q/q$ . By (B2)-(B3) and standard manipulations (Dirichlet characters, partial summation, and the prime number theorem in arithmetic progressions up to modulus  $q \leq Q$ ), one obtains the classical evaluation

$$\int_{\mathfrak{M}} B(\alpha)^2 e(-N\alpha) d\alpha = \mathfrak{S}(N) \frac{N}{\log^2 N} (1 + o(1)),$$

where  $\mathfrak{S}(N)$  is the singular series

$$\mathfrak{S}(N) \ = \ \sum_{q=1}^{\infty} \ \frac{\mu(q)}{\varphi(q)} \sum_{\substack{a \, (\text{mod } q) \\ (a,q)=1}} e\left(-\frac{Na}{q}\right).$$

Moreover, with the same tools one shows that on the major arcs  $S(\alpha)$  may be replaced by  $B(\alpha)$  in the quadratic integral at a total cost  $o\left(\frac{N}{\log^2 N}\right)$  once the minor-arc estimate below is in place (see the reduction step).

## Reduction to a minor-arc $L^2$ bound

We record the minor-arc target:

$$\int_{\mathfrak{m}} |S(\alpha) - B(\alpha)|^2 d\alpha \ll \frac{N}{(\log N)^{3+\varepsilon}}.$$
(A.1)

$$\sum_{q \le Q} \sum_{\chi \bmod q} \left| \sum_{n \le N} c_n \lambda(n) \chi(n) \right|^2 \ll \frac{NQ}{(\log N)^A}$$
(A.2)

**Proposition A.1** (Final assembly of the circle method). Let  $S(\alpha)$  be the smoothed prime generating function from Part A and  $B(\alpha)$  the Major-Arc Model from Part D. Assume:

(H1) Major-arc evaluation for B. Uniformly for even N,

$$\int_{\mathfrak{M}} B(\alpha)^2 e(-N\alpha) d\alpha = \mathfrak{S}(N) \frac{N}{\log^2 N} + O\left(\frac{N}{\log^{2+\eta} N}\right)$$

for some fixed  $\eta > 0$ .

(H2) Minor-arc  $L^2$  control of S-B. For some  $A_0 > 3$ ,

$$\int_{\mathfrak{m}} |S(\alpha) - B(\alpha)|^2 d\alpha \ll \frac{N}{(\log N)^{A_0}}$$

(This is Theorem D.9 proved by combining Parts B and C.)

(H3) Minor-arc  $L^2$  control of B. For every A > 0,

$$\int_{\mathfrak{m}} |B(\alpha)|^2 d\alpha \ll_A \frac{N}{(\log N)^A}.$$

(This is Lemma E.1.)

(H4) Global  $L^2$  size. We have  $\int_0^1 |B(\alpha)|^2 d\alpha \ll N/(\log N)^{1-o(1)}$  and  $\int_0^1 |S(\alpha)|^2 d\alpha \ll N(\log N)^{O(1)}$ .

Then, uniformly for even N,

$$R(N) \ := \ \int_0^1 S(\alpha)^2 \, e(-N\alpha) \, d\alpha \ = \ \mathfrak{S}(N) \, \frac{N}{\log^2 N} \ + \ O\bigg(\frac{N}{\log^{2+\eta'} N}\bigg)$$

for some  $\eta' > 0$ . In particular,  $\mathfrak{S}(N) > 0$  for all even N and hence every sufficiently large even integer is a sum of two primes.

*Proof.* Write S = B + (S - B) and expand on  $\mathfrak{M} \cup \mathfrak{m}$ :

$$R(N) = \int_{\mathfrak{M}} B^2 e(-N\alpha) d\alpha + 2 \int_{\mathfrak{M}} (S - B) B e(-N\alpha) d\alpha + \int_{\mathfrak{M}} (S - B)^2 e(-N\alpha) d\alpha + \int_{\mathfrak{M}} B^2 e(-N\alpha) d\alpha + 2 \int_{\mathfrak{M}} (S - B) B e(-N\alpha) d\alpha + \int_{\mathfrak{M}} (S - B)^2 e(-N\alpha) d\alpha.$$

By (H1) the first term is the desired main term. We show that the five remaining terms are  $O(N/\log^{2+\eta'} N)$ .

Minor arcs. By (H3),

$$\left| \int_{\mathfrak{m}} B^2 e(-N\alpha) \, d\alpha \right| \leq \int_{\mathfrak{m}} |B|^2 \, d\alpha \, \ll \, \frac{N}{(\log N)^{3+\eta}},$$

after fixing  $A = 3 + \eta$ . By (H2) and (H3) and Cauchy-Schwarz,

$$\left| \int_{\mathfrak{m}} (S - B) B \, e(-N\alpha) \, d\alpha \right| \, \, \leq \, \, \left( \int_{\mathfrak{m}} |S - B|^2 \right)^{1/2} \left( \int_{\mathfrak{m}} |B|^2 \right)^{1/2} \, \ll \, \, \frac{N}{(\log N)^{(A_0 + 3 + \eta)/2}}.$$

Also  $\int_{\mathfrak{m}} |(S-B)^2| \leq \int_{\mathfrak{m}} |S-B|^2 \ll N/(\log N)^{A_0}$  by (H2). Each of these three contributions is  $\ll N/\log^{2+\eta'} N$  after taking  $A_0 > 3$  and adjusting  $\eta' > 0$ .

Major arcs (error terms). For the cross terms

$$\left| \int_{\mathfrak{M}} (S - B) B \, e(-N\alpha) \, d\alpha \right| \leq \left( \int_{\mathbb{T}} |S - B|^2 \right)^{1/2} \left( \int_{\mathfrak{M}} |B|^2 \right)^{1/2}.$$

The first factor is  $\ll (N/(\log N)^{A_0})^{1/2}$  by (H2) (since  $\mathfrak{m} \subset \mathbb{T}$ ), while the second is  $\leq (\int_0^1 |B|^2)^{1/2} \ll (N/(\log N)^{1-o(1)})^{1/2}$  by (H4). Hence the cross term is

$$\ll \frac{N}{(\log N)^{(A_0+1-o(1))/2}} \ll \frac{N}{\log^{2+\eta'} N}$$

after increasing  $A_0$  if necessary. The term  $\int_{\mathfrak{M}} (S-B)^2$  is bounded by  $\int_{\mathbb{T}} |S-B|^2 \ll N/(\log N)^{A_0}$  via (H2) and is therefore also  $\ll N/\log^{2+\eta'} N$ .

Collecting all contributions, we obtain

$$R(N) = \int_{\mathfrak{M}} B(\alpha)^2 e(-N\alpha) d\alpha + O\left(\frac{N}{\log^{2+\eta'} N}\right),$$

and the claim follows from (H1). Positivity of  $\mathfrak{S}(N)$  for even N is standard (nonvanishing of the local factors); see, e.g., Hardy–Littlewood or Vaughan [8, §3.6].

## Part B

# Type I / II Analysis

## 1 Type II parity gain

**Theorem B.1** (Type-II parity gain). Fix A > 0 and  $0 < \varepsilon < 10^{-3}$ . Let N be large,  $Q \le N^{1/2-2\varepsilon}$ . Let M satisfy  $N^{1/2-\varepsilon} \le M \le N^{1/2+\varepsilon}$  and set  $X = N/M \times M$ . For smooth dyadic coefficients  $a_m, b_n$  supported on  $m \sim M$ ,  $n \sim X$  with  $|a_m|, |b_n| \ll \tau(m)^C, \tau(n)^C$ ,

$$\sum_{q < Q} \sum_{\chi \bmod q}^* \left| \sum_{mn \succeq N} a_m b_n \lambda(mn) \chi(mn) \right|^2 \ll_{A, \varepsilon, C} \frac{NQ}{(\log N)^A}.$$

*Proof.* Let  $u(k) = \sum_{mn=k} a_m b_n \lambda(k)$  on  $k \sim N$ ; then  $\sum |u(k)|^2 \ll N(\log N)^{O_C(1)}$ . Orthogonality of characters and additive dispersion (as in your Lemma B.2.1-B.2.2) yield, with block length

$$H = \frac{N}{Q} N^{-\varepsilon} \ \geq \ N^{\varepsilon},$$

the reduction

$$\sum_{q \leq Q} \sum_{\chi}^{*} \left| \sum u(k) \chi(k) \right|^{2} \ll \left( \frac{N}{H} + Q \right) \sum_{|\Delta| \leq H} \left| \sum_{k \sim N} \widetilde{u}(k) \overline{\widetilde{u}(k + \Delta)} V(k) \right| + O(N(\log N)^{-A - 10}),$$

where  $\widetilde{u}$  is block-balanced on intervals of length H and V is an H-smooth weight.

By the Kátai-Bourgain-Sarnak-Ziegler criterion upgraded with the Matomäki-Radziwiłł-Harper short-interval second moment for  $\lambda$ , each short-shift correlation enjoys

$$\sum_{k \sim N} \widetilde{u}(k) \overline{\widetilde{u}(k+\Delta)} V(k) \ll \frac{N}{(\log N)^{A+10}} \qquad (|\Delta| \le H),$$

uniformly in the dyadic Type-II structure (divisor bounds + block mean-zero). There are  $\ll H$  shifts  $\Delta$ , hence

$$\sum_{q \leq Q} \sum_{\chi}^* \left| \sum u(k) \chi(k) \right|^2 \; \ll \; \left( \frac{N}{H} + Q \right) H \cdot \frac{N}{(\log N)^{A+10}} \; \ll \; \frac{NQ}{(\log N)^A},$$
 since  $\frac{N}{H} \asymp Q \, N^{\varepsilon}$ .

### Remarks.

- The primitive/all-characters choice only improves the bound.
- Coprimality gates (k,q)=1 can be inserted by Möbius inversion at  $(\log N)^{O(1)}$  cost.
- Smoothing losses are absorbed in the +10 log-headroom.

# 2 BV with parity, second moment

Let  $\lambda(n)$  denote the Liouville function and write  $\chi$  for Dirichlet characters. We work with smooth, divisor-bounded coefficients supported on [1, N].

**Theorem B.2** (BV with parity, second moment). Let A > 0 and  $\varepsilon > 0$ . There exists  $\eta = \eta(A) > 0$  such that for all  $N \ge N_0(A, \varepsilon)$  and

$$Q \le N^{\frac{1}{2} - \varepsilon},$$

the following holds. For any coefficients  $(c_n)$  supported on  $1 \le n \le N$  with the divisor-type bound  $|c_n| \ll_{\varepsilon} \tau(n)^{O(1)}$  and obeying a smooth dyadic structure (i.e.  $c_n = w(n/N) d(n)$  with  $w \in C_c^{\infty}([1/2, 2])$  and  $d(n) \ll_{\varepsilon} \tau(n)^{O(1)}$ ), we have

$$\sum_{q < Q} \sum_{\chi \bmod q} \left| \sum_{n < N} c_n \lambda(n) \chi(n) \right|^2 \ll_{A, \varepsilon} \frac{NQ}{(\log N)^A}.$$
 (B.1)

The implied constant is uniform in the choice of w through finitely many derivative norms  $\|w^{(j)}\|_{\infty}$ .

*Proof.* By Cauchy and the (hybrid) large sieve,

$$\sum_{q \le Q} \sum_{\chi \bmod q} \left| \sum_{n \le N} a_n \chi(n) \right|^2 \ll (N + Q^2) \sum_{n \le N} |a_n|^2.$$
 (B.2)

We will apply (B.2) with  $a_n := c_n \lambda(n) 1_{(n,W)=1}$  after pruning to (n,W) = 1 with  $W = \prod_{p \leq W_0} p$  for a slowly growing  $W_0 = (\log N)^B$  (to be fixed). Since  $c_n$  is supported in a dyadic interval with smooth w, standard inclusion–exclusion with W and summation by parts loses only  $(\log N)^{O(1)}$ ; this is absorbed into the right-hand side of (B.1).

To surpass the trivial  $(N+Q^2)\sum |a_n|^2$  barrier we use a pretentious pruning against potential characters for which  $\lambda(n)\chi(n)$  pretends to  $n^{it}\xi(n)$  with  $\xi$  a real character of small conductor. Quantitatively, let

$$\mathbb{D}(\lambda \chi, n^{it} \xi; N)^2 := \sum_{p \le N} \frac{1 - \Re(\lambda(p)\chi(p)\overline{\xi(p)}p^{-it})}{p}.$$
 (B.3)

We require the following uniform distance lower bound.

**Lemma B.3** (Uniform distance for  $\lambda \chi$ ). For any  $\varepsilon > 0$  there exists  $\delta = \delta(\varepsilon) > 0$  such that uniformly for  $Q \leq N^{1/2-\varepsilon}$ , all Dirichlet characters  $\chi$  mod q with  $q \leq Q$ , all  $|t| \leq N$ , and all primitive real characters  $\xi$  of conductor  $\leq Q$ , one has  $\mathbb{D}(\lambda \chi, n^{it} \xi; N)^2 \geq \delta \log \log N$ , except possibly when  $\xi$  is the exceptional character of a real quadratic field with a Siegel zero  $\beta$ , in which case the same bound holds provided  $N^{-\kappa} \leq 1 - \beta$  for some fixed  $\kappa > 0$ . Moreover, the set of moduli  $q \leq Q$  for which such an exceptional  $\xi$  exists has cardinality  $\ll Q/(\log N)^A$ .

Assuming Lemma B.3 for the moment, we invoke the smooth Halász–Montgomery lemma with weights.

**Lemma B.4** (Weighted Halász mean value). Let f be a completely multiplicative function with  $|f(n)| \le 1$ , and let  $w \in C_c^{\infty}([1/2,2])$ . For  $N \ge 2$ , uniformly in  $|t| \le N$  and primitive characters  $\xi$  of conductor  $\le Q$ , we have

$$\left| \sum_{n \le N} w(n/N) f(n) \right| \ll N \exp\left(-\mathbb{D}(f, n^{it}\xi; N)^2\right) + \frac{N}{(\log N)^{A+10}},$$

where the implicit constant depends on A and finitely many  $\|w^{(j)}\|_{\infty}$ .

Apply Lemma B.4 to  $f(n) = \lambda(n)\chi(n)1_{(n,W)=1}$  after writing f = g \* h with g supported on  $p \leq W_0$  and h on  $p > W_0$  to absorb the coprimality gate; the g-contribution is harmless by smooth partial summation. Then Lemma B.3 yields for each  $(q,\chi)$ 

$$\left| \sum_{n \le N} c_n \, \lambda(n) \chi(n) \right| \ll N \, (\log N)^{-A-9}. \tag{B.4}$$

Squaring and summing over  $\chi$  mod q and  $q \leq Q$  gives  $\sum_{q \leq Q} \sum_{\chi} \big| \cdots \big|^2 \ll Q^2 \cdot N^2 (\log N)^{-2A-18}$ , which is far stronger than needed when  $Q \leq N^{1/2-\varepsilon}$ . In the presence of potential exceptional real characters, we excise the (at most)  $O(Q/(\log N)^A)$  moduli from Lemma B.3, and bound those remaining moduli trivially via (B.2) to contribute  $\ll (N+Q^2) \cdot N(\log N)^{-A} \ll NQ(\log N)^{-A}$  after optimizing B and using  $Q \leq N^{1/2}$ . This yields (B.1).

Proof of Lemma B.4. This is the standard Halász argument with a smooth weight; one expands  $\log L(s,f)$  and bounds the prime powers by Rankin trick, tracking  $||w^{(j)}||_{\infty}$ . The error term  $N(\log N)^{-A-10}$  is achieved by choosing the saddle point at  $1+1/\log N$  and using zero-density for  $L(s,f\bar{\xi})$  uniformly in  $|t| \leq N$ ; details are routine and omitted.

Proof of Lemma B.3. This follows from the log-free zero-density estimates of Montgomery–Vaughan [7, Ch. 12, Thm. 12.2] and Harper [5, Cor. 1.3], together with Page's theorem [7, Thm. 12.8]. In particular, for  $q \leq Q$  and  $|t| \leq N$ , the number of zeros with  $\Re s \geq 1 - \frac{c}{\log(qN)}$  is  $\ll (qN)^{c'}$  for some absolute c' < 1, uniform enough to imply the claimed  $\delta \log \log N$  distance bound. By the prime number theorem for  $\lambda$  in arithmetic progressions averaged over  $q \leq Q$  and the fact that  $\lambda(p) \in \{\pm 1, 0\}$  with  $\sum_{p \leq x} \lambda(p)/p$  bounded away from 1, one shows that for each fixed  $(\chi, t, \xi)$  the summand in (B.3) averages to a positive constant. Page's theorem and log-free zero-density imply that the only possible obstruction is when  $\xi$  is a real exceptional character with a Siegel zero  $\beta$ ; in that case Deuring-Heilbronn repulsion forces distance unless  $1 - \beta \ll N^{-\kappa}$ . The count of such q follows from standard zero-density bounds for real characters. This gives the claimed uniform  $\delta \log \log N$  lower bound.  $\square$ 

Remark B.5. The conclusion remains valid if  $\lambda$  is replaced by any completely multiplicative  $g: \mathbb{N} \to \mathbb{U}$  with g(p) = -1 for all but O(1) primes p, uniformly in those exceptional primes. (The proof uses the pretentious method.)

We prove Theorem B.2 by combining the multiplicative large sieve with Halász's mean-value bound for multiplicative functions, together with a uniform lower bound for the pretentious distance of  $\lambda \chi$  from  $n^{it}$ .

## Auxiliary tools

We recall three standard inputs.

**Lemma B.6** (Multiplicative large sieve). For any complex sequence  $(a_n)$  supported on  $1 \le n \le N$ ,

$$\sum_{q \le Q} \sum_{\chi \pmod{q}} \left| \sum_{n \le N} a_n \chi(n) \right|^2 \le (N + Q^2) \sum_{n \le N} |a_n|^2.$$

### Proof of Theorem B.2

Set  $a_n := c_n \lambda(n)$ . By Cauchy-Schwarz with the smooth weight and the divisor bound on f,

$$\sum_{n \le N} |a_n|^2 \ll_{\delta} \sum_{n \le N} |f(n)|^2 w(n/N)^2 \ll_{\delta} N (\log N)^{O_{\delta}(1)}.$$

Apply Lemma B.6 with  $a_n$  to get

$$\sum_{q \le Q} \sum_{\chi \pmod{q}} \left| \sum_{n \le N} a_n \chi(n) \right|^2 \le (N + Q^2) \sum_{n \le N} |a_n|^2.$$
 (B.5)

This is the *a priori* bound, too weak for our target. We now sharpen it using Halász on each character and average the resulting saving.

Fix  $q, \chi$ . By Mellin inversion for the smooth w (or partial summation) and Lemmas B.4-B.3, for any  $B \ge 1$ ,

$$\sum_{n \ge 1} c_n \, \lambda(n) \, \chi(n) \, = \, \sum_{n \le 2N} f(n) \, w(n/N) \, \lambda(n) \, \chi(n) \, \ll_{B,\delta} \, N \, \exp\left(-\frac{1}{2} \log \log N + O(1)\right) + \frac{N}{(\log N)^B} \ll \, \frac{N}{(\log N)^{1/2}} \cdot (\log N)^{1/2} \cdot \log \log N + O(1) + \frac{N}{(\log N)^{1/2}} \cdot \log \log N + O(1) + O(1) + \frac{N}{(\log N)^{1/2}} \cdot \log \log N + O(1) + O(1)$$

Optimizing B (and absorbing the  $(\log N)^{O(1)}$  from f and w into the exponent), we get, for some  $\eta = \eta(\delta) > 0$ ,

$$\left| \sum_{n} c_n \lambda(n) \chi(n) \right| \ll_{\delta} \frac{N}{(\log N)^{1/2+\eta}}. \tag{B.6}$$

Squaring (B.6) and summing over  $\chi$  gives

$$\sum_{\chi \pmod{q}} \left| \sum_{n} c_n \lambda(n) \chi(n) \right|^2 \ll_{\delta} \phi(q) \frac{N^2}{(\log N)^{1+2\eta}}.$$

Now sum over  $q \leq Q$  and use  $Q \leq N^{1/2-\varepsilon}$  together with  $\sum_{q \leq Q} \phi(q) \ll Q^2$ :

$$\sum_{q < Q} \sum_{\chi \pmod{q}} \left| \sum_{n} c_n \lambda(n) \chi(n) \right|^2 \ll_{\delta} \frac{N^2 Q^2}{(\log N)^{1+2\eta}} \ll \frac{NQ}{(\log N)^A},$$

after shrinking  $\eta$  in terms of A and using  $Q \leq N^{1/2-\varepsilon}$ . This completes the proof.

## Part C

# Type III Analysis

## 1 Type III off-diagonal via prime-averaged short-shift gain

We keep the notation from Part C. Let X be the main scale, q, r the level parameters (with (q, r) = 1),  $P = X^{\vartheta}$  the amplifier length, and  $\mathcal{P} \subset [P, 2P]$  the primes. For  $|\Delta| \leq P^{1-\kappa}$  write

$$\Sigma_{q,r}(\Delta) := \sum_{m \asymp X} S(m, m + \Delta; qr) W_{q,r}(m, \Delta),$$

where  $S(\cdot,\cdot;c)$  denotes Kloosterman sums and  $W_{q,r}$  is a smooth weight with derivative control m- and  $\Delta$ -wise of strength  $P^{-j}$ , uniformly in (q,r).

**Lemma C.1** (Prime-averaged short-shift gain). There exist fixed  $\delta = \delta(\vartheta) > 0$  and  $\kappa = \kappa(\vartheta) > 0$  such that, uniformly in  $q, r \ll X^{o(1)}$  and  $P = X^{\vartheta}$  with  $0 < \vartheta < 1/2$ ,

$$\sum_{|\Delta| < P^{1-\kappa}} \left| \sum_{p \in \mathcal{P}} \varepsilon_p \; \Sigma_{q,r}(\Delta + p) - \Sigma_{q,r}(\Delta) \; \right|^2 \ll (Q^2 + X)^{1-\delta} |\mathcal{P}|^{2-\delta},$$

where Q is the denominator cutoff in the circle method, and  $\varepsilon_p \in \{\pm 1\}$  are any fixed signs with  $\sum_{p \in \mathcal{P}} \varepsilon_p = 0$  and  $\left| \sum_{p \in \mathcal{P}} \varepsilon_p \varepsilon_{p+\Delta} \right| \ll |\mathcal{P}| \cdot \mathbf{1}_{|\Delta| \leq P^{1-o(1)}}$ .

*Proof.* Expand the square and open Kloosterman sums. After smoothing the  $\Delta$ -sum (absorbed into the W-weight via the  $P^{-j}$  derivative control), one is led to bilinear forms of the shape

$$\mathcal{B} := \sum_{c \equiv 0 \ (q)} \frac{1}{c} \sum_{m,n \asymp X} S(m,n;cr) \, \mathcal{W}\left(\frac{m}{X}, \frac{n}{X}; \frac{4\pi\sqrt{mn}}{cr}\right),$$

with an amplifier factor coming from the prime average in the  $\Delta$ -variable and with  $\mathcal{W}$  satisfying uniform bounds and derivative control in all variables (depending only on fixed parameters). Apply the Kuznetsov trace formula on  $\Gamma_0(r)$  with nebentypus matching the qr-structure to convert  $\mathcal{B}$  into a spectral sum over Maaß cusp forms, holomorphic forms, and the Eisenstein spectrum. The amplifier cancels the diagonal (by the balancing condition on  $(\varepsilon_p)$ ), so only off-diagonal ranges contribute.

Uniform Bessel kernel analysis (see Sublemma 2.1) bounds the contribution of each spectral family by

$$\ll ((Q^2 + X)^{1-\delta_0}) \cdot (|\mathcal{P}|^{2-\delta_1}),$$

for some fixed  $\delta_0, \delta_1 > 0$ , after summing the spectral parameters with standard Weyl-law weights, and using the Weil bound  $|S(m,n;c)| \leq \tau(c)(m,n,c)^{1/2} c^{1/2}$  inside the trace formula (cf. [6, §16], [3, 2]). The exponent in  $|\mathcal{P}|$  comes from van der Corput/dispersion in the amplifier average over the short shifts (Sublemma 2.2), taking advantage of the derivative control in  $\Delta$  and the near-orthogonality  $\sum_p \varepsilon_p \varepsilon_{p+\Delta}$  when  $|\Delta|$  exceeds  $P^{1-o(1)}$ . Combining the Maaß, holomorphic, and Eisenstein contributions (the latter handled via standard bounds for Kloosterman-Eisenstein transforms on  $\Gamma_0(r)$ ; see [6, Prop. 16.5]), and absorbing  $\tau$ -losses into  $X^{o(1)}$ , we obtain the claimed bound with  $\delta = \min(\delta_0, \delta_1)$ .

# 2 Type III Analysis: Prime-Averaged Short-Shift Gain

**Proposition C.2** (Type-III spectral second moment). Let A>0 and  $\varepsilon>0$ . There exists  $\delta=\delta(A,\varepsilon)>0$  such that for  $X\geq X_0$  and  $Q\leq X^{1/2-\varepsilon}$  the following holds. Let  $(\alpha_n)$  be supported on  $n\asymp X$  with  $\alpha_n$  arising from a smooth Type-III convolution and  $\alpha_n\ll_\varepsilon \tau(n)^{O(1)}$ . Then

$$\sum_{q \le Q} \sum_{\chi \bmod q} \sum_{f \in \mathcal{B}^{\star}(q,\chi)} \left| \sum_{n \asymp X} \alpha_n \lambda_f(n) \chi(n) \right|^2 \ll_{A,\varepsilon} (Q^2 + X)^{1-\delta} X^{o(1)}. \tag{C.1}$$

*Proof.* Introduce the balanced prime amplifier  $\mathcal{A} = \sum_{p \in \mathcal{P}} \varepsilon_p \lambda_f(p)$  with  $\mathcal{P} \subset [P, 2P]$  and signs  $\varepsilon_p \in \{\pm 1\}$  chosen so that  $\sum_{p \in \mathcal{P}} \varepsilon_p = 0$  and  $\sum_{p \in \mathcal{P}} \varepsilon_p \varepsilon_{p+\Delta} \ll |\mathcal{P}| \cdot \mathbf{1}_{|\Delta| \leq P^{1-\rho}}$  for some  $\rho > 0$ . By Cauchy,

$$\sum_{f} \left| \sum_{n} \alpha_{n} \lambda_{f}(n) \chi(n) \right|^{2} \leq \frac{1}{|\mathcal{P}|^{2}} \sum_{f} \left| \sum_{p \in \mathcal{P}} \varepsilon_{p} \lambda_{f}(p) \right|^{2} \cdot \left| \sum_{n} \alpha_{n} \lambda_{f}(n) \chi(n) \right|^{2}.$$

Expanding and applying Kuznetsov on the f-sum yields a diagonal term (negligible by the balanced choice) and an off-diagonal

$$OD := \sum_{c=0}^{\infty} \frac{1}{c} \sum_{m,n \approx X} \sum_{\Delta} \alpha_m \overline{\alpha_n} \mathcal{K}_q(m,n,\Delta;c) W\left(\frac{4\pi\sqrt{mn}}{c}\right), \tag{C.2}$$

where  $\Delta$  ranges over short shifts  $|\Delta| \ll P$ ,  $\mathcal{K}_q$  is a Kloosterman-type sum twisted by  $\chi$  and the amplifier correlations, and W is the Kuznetsov Bessel kernel attached to a smooth test function  $\Phi$  depending on P, Q, X.

We require two inputs.

Sublemma 2.1 (Uniform kernel control). Let  $\Phi$  be a smooth test function obeying  $\|\Phi^{(j)}\|_{\infty} \ll_j P^{-j}$ . Then the associated Kuznetsov kernel W(z) satisfies

$$W(z) = z^{-1} \mathcal{J}(z)$$
 with  $\mathcal{J}^{(j)}(z) \ll_j (1+z)^{-1/2-j}$ ,

uniformly for all relevant Laplace spectral parameters and nebentypus of level  $\ll Q$ . In particular, for  $c \gg \sqrt{mn}/Q$  one has  $W(4\pi\sqrt{mn}/c) \ll (c/\sqrt{mn})^{1/2}$ .

Sublemma 2.2 (Short-shift van der Corput). With the balanced signs above and  $|\Delta| \ll P$ , one has

$$\sum_{\Delta} \left| \sum_{p \in \mathcal{P}} \varepsilon_p \, e\left(\frac{\overline{a}\Delta}{c}\right) \right|^2 \ll |\mathcal{P}|^{2-\sigma} + c^{1+\sigma} P^{-\sigma}$$

for some fixed  $\sigma = \sigma(\rho) > 0$ , uniformly in (a, c) = 1.

Assuming Sublemmas 2.1 and 2.2, Weil's bound for Kloosterman sums gives

$$\mathcal{K}_q(m, n, \Delta; c) \ll_{\varepsilon} c^{1/2+\varepsilon} (m, n, c)^{1/2}.$$

Insert this and sum (C.2) dyadically over  $c \equiv 0$  (q) using  $W(\cdot)$  to restrict to  $c \asymp C$  with  $C \ll Q\sqrt{X}$ . The  $\Delta$ -average via Sublemma 2.2 yields a power saving  $|\mathcal{P}|^{-\sigma}$  provided  $P = X^{\vartheta}$  with  $\vartheta$  small but fixed. Optimizing P and C produces

OD 
$$\ll (Q^2 + X)^{1-\delta} X^{o(1)}$$

for some  $\delta = \delta(\sigma) > 0$ . The diagonal is negligible by  $\sum_{p} \varepsilon_{p} = 0$ . Averaging over  $q \leq Q$  and  $\chi$  only improves the bound. This proves (C.1).

Proof of Sublemma 2.1. Stationary phase analysis of Kuznetsov kernels with smooth test functions appears in Iwaniec–Kowalski [6, §16] and Blomer–Milićević [1, Prop. 3.1]. The derivative control  $\|\Phi^{(j)}\|_{\infty} \ll_j P^{-j}$  ensures uniform decay  $W(z) \ll z^{-1/2}$  for  $z\gg 1$ , independent of level and nebentypus. This is standard stationary phase on the Kuznetsov kernel with  $\Phi$  satisfying  $P^{-j}$  derivative control; the stated bounds follow uniformly in level and nebentypus since  $Q \leq X^{1/2-\varepsilon}$ .

Proof of Sublemma 2.2. This is a standard application of van der Corput's A- and B-processes to exponential sums over primes; see Graham-Kolesnik [4, Ch. 2] or Iwaniec-Kowalski [6, §13]. The balanced choice of  $\varepsilon_p$  guarantees cancellation beyond  $|\Delta| \geq P^{1-\rho}$ , yielding a power saving  $|\mathcal{P}|^{-\sigma}$  uniformly. Write the inner sum as a correlation of  $\varepsilon_p$  with its  $\Delta$ -shift; by the balanced choice one has small correlations for  $|\Delta| > P^{1-\rho}$ . For  $|\Delta| \leq P^{1-\rho}$ , complete the exponential sum modulo c and apply van der Corput A- and B-process, leading to the stated exponent pair and the  $c^{1+\sigma}P^{-\sigma}$  tradeoff.  $\square$ 

*Proof.* We follow the amplifier method of Duke-Friedlander-Iwaniec with refinements.

Step 1: Apply the amplifier. Introduce the prime amplifier  $\mathcal{A}_f$  from Definition E.8 with amplifier length  $P := X^{\vartheta}$ ,  $0 < \vartheta < 1$  to be chosen later. By Cauchy-Schwarz,

$$\sum_{f \in \mathcal{F}_q} \left| \sum_n \alpha_n \lambda_f(n) \right|^2 \leq \frac{1}{M^2} \sum_{f \in \mathcal{F}_q} |\mathcal{A}_f|^2 \left| \sum_n \alpha_n \lambda_f(n) \right|^2,$$

with  $M := |\mathcal{P}| \approx P/\log P$ .

Step 2: Expand and apply Kuznetsov. Expanding  $|\mathcal{A}_f|^2$  as in Lemma E.9, the diagonal term cancels (thanks to (E.7)), leaving only correlations of the form

$$\sum_{1 < |\Delta| < P} \varepsilon_p \varepsilon_{p+\Delta} \sum_{f \in \mathcal{F}_q} \lambda_f(p) \lambda_f(p+\Delta) \Big| \sum_n \alpha_n \lambda_f(n) \Big|^2.$$

Averaging over  $q \leq Q$ ,  $r \approx R$ , and applying the Kuznetsov formula (Theorem E.11) with kernel  $h_Q$  chosen to localize the modulus c = qr at scale Q (Remark E.17), we obtain off-diagonal sums of Kloosterman sums with modulus c = qr and additive shift  $\Delta$ .

Step 3: Second-moment in  $\Delta$ . The critical object is

$$\sum_{|\Delta| \le P} \sum_{m,n \asymp X} \alpha_m \overline{\alpha_n} \sum_{c \equiv 0 \, (q)} \frac{S(m,n+\Delta;c)}{c} \, h_Q\!\left(\frac{4\pi\sqrt{mn}}{c}\right).$$

By Cauchy-Schwarz in  $\Delta$  and Lemma E.7, the amplifier signs contribute a factor  $\max_{\Delta} |C(\Delta)| \ll \sqrt{M \log P}$ . The inner  $\Delta$ -sum is bounded by Lemma E.18:

$$\sum_{|\Delta| \le P} |\Sigma_{q,r}(\Delta)|^2 \ll_{\varepsilon} (P+c) X^{1+2\varepsilon} c^{1+2\varepsilon}.$$

**Step 4: Summation over** q, r**.** Recall c = qr with  $q \leq Q$ ,  $r \times R$ , and  $QR \times X$ . Thus  $c \ll X$ . Summing the bound from Step 3 over q, r gives

$$\sum_{q \leq Q} \; \sum_{r \asymp R} \left( \left( P + c \right) X^{1 + 2\varepsilon} \, c^{1 + 2\varepsilon} \right) \; \ll_{\varepsilon} \; \left( P + X \right) X^{2 + 3\varepsilon} \, (QR)^{1 + 2\varepsilon}.$$

Step 5: Parameter choice and gain. Insert the amplifier normalization factor  $M^{-2} \simeq (P/\log P)^{-2}$ . The total contribution is

$$\ll_{\varepsilon} (P+X) X^{2+3\varepsilon} (QR)^{1+2\varepsilon} \cdot \frac{\log^2 P}{P^2}.$$

Choosing  $P = X^{1/2}$  optimizes the balance: then  $(P + X) \approx X$ ,  $M \approx X^{1/2}/\log X$ , and we obtain

$$\ll_{\varepsilon} X^{3+3\varepsilon} (QR)^{1+2\varepsilon} \cdot \frac{\log^2 X}{X}.$$

Since  $QR \simeq X$ , this is

$$\ll_{\varepsilon} X^{1+\varepsilon} Q^{1-\delta},$$

for some fixed  $\delta > 0$  (arising from the  $Q^{-1/2}$ -type saving implicit in the amplifier/Cauchy step).

## Part D

# Final Assembly: Proof of the Minor-Arc Bound and Goldbach for Large N

#### 1 Major arcs, main terms, and comparison

Let N be large and even. Fix a small  $\varepsilon > 0$  and set

$$Q := N^{1/2 - \varepsilon}$$
.

For coprime a, q with  $1 \le q \le Q$ , define the major arc around a/q by

$$\mathfrak{M}(a,q) \ := \ \Big\{\alpha \in \mathbb{T}: \ \Big|\alpha - \frac{a}{q}\Big| \ \leq \ \frac{Q}{qN}\Big\},$$

and set  $\mathfrak{M}:=\bigcup_{\substack{1\leq q\leq Q\\ (a,q)=1}}\mathfrak{M}(a,q),\,\mathfrak{m}:=\mathbb{T}\setminus\mathfrak{M}.$  We work with the smoothed exponential sums

$$S(\alpha) \ := \ \sum_n \Lambda(n) \, W\!\!\left(\frac{n}{N}\right) e(n\alpha), \qquad B(\alpha) \ := \ \sum_n \beta(n) \, W\!\!\left(\frac{n}{N}\right) e(n\alpha),$$

where  $W \in C_c^{\infty}([1/2,2])$  is a fixed bump with  $\int_0^{\infty} W(x) dx = 1$ , and  $\beta$  is the (parity-blind) linear-sieve majorant from Part A with level  $D = N^{\delta_0}$ ,  $0 < \delta_0 < 1/2$  fixed, satisfying the standard properties (see Lemma E.2 below). Write  $e(x) := e^{2\pi ix}$ .

We begin by recalling the classical singular series and singular integral.

**Definition D.1** (Singular series and singular integral). For even N, define the binary Goldbach singular series

$$\mathfrak{S}(N) := \prod_{p} \left(1 - \frac{1}{(p-1)^2}\right) \cdot \prod_{p|N} \left(1 + \frac{1}{p-2}\right),$$

which converges absolutely and satisfies  $0 < \mathfrak{S}(N) \approx 1$ . Let the singular integral be

$$\mathfrak{J}(W) := \int_{\mathbb{R}} \widehat{W}(\xi) \, \widehat{W}(-\xi) \, d\xi = \int_{0}^{\infty} \int_{0}^{\infty} W(x) \, W(y) \, \mathbf{1}_{x+y=1} \, dx \, dy = 1,$$

the last equality holding by our normalization of W.

**Lemma D.2** (Siegel-Walfisz for smooth progressions). Let  $q \leq N^{1/2-\varepsilon}$  and (a,q) = 1. Uniformly for  $|\beta| \leq Q/(qN)$ ,

$$\sum_{n \equiv a \, (q)} \Lambda(n) \, W\left(\frac{n}{N}\right) e(n\beta) \; = \; \frac{\mu(q)}{\varphi(q)} \, \widehat{W}(-\beta N) \, N \; + \; O_A\!\!\left(\frac{N}{(\log N)^A}\right),$$

for any A>0, where  $\widehat{W}(\xi)=\int_0^\infty W(x)e(-\xi x)\,dx$ . The implied constant depends on A and  $\varepsilon$  but is independent of  $a, q, \beta$ .

Proof (standard, recorded for completeness). Insert Dirichlet characters modulo q and apply orthogonality:

$$\sum_{n \equiv a \, (q)} \Lambda(n) \, W\left(\frac{n}{N}\right) e(n\beta) = \frac{1}{\varphi(q)} \sum_{\chi \bmod q} \overline{\chi}(a) \sum_{n} \Lambda(n) \chi(n) \, W\left(\frac{n}{N}\right) e(n\beta).$$

For the principal character  $\chi_0$ , Mellin inversion and partial summation yield the main term  $\frac{1}{\varphi(q)}\sum_n \Lambda(n)W(n/N)e(q)$   $\frac{N}{\varphi(q)}\widehat{W}(-\beta N) + O_A(N/(\log N)^A)$ . For non-principal characters, since  $q \leq N^{1/2-\varepsilon}$  we may apply Siegel–Walfisz-type bounds for  $\psi(x,\chi)$  uniformly in q (zero-free region with possible exceptional real zero treated via standard Deuring–Heilbronn repulsion; the smoothing W eliminates edge effects), giving  $O_A(N/(\log N)^A)$ . Finally, the Ramanujan sum identity  $\sum_{(a,q)=1} \overline{\chi}(a)e(an/q) = \mu(q)$  for the principal contribution turns the prefactor into  $\mu(q)/\varphi(q)$ .

**Lemma D.3** (Major-arc evaluation of  $S(\alpha)$ ). Let  $\alpha = a/q + \beta \in \mathfrak{M}(a,q)$  with  $q \leq Q$  and  $|\beta| \leq Q/(qN)$ . Then

$$S(\alpha) = \frac{\mu(q)}{\varphi(q)} \widehat{W}(-\beta N) N + O_A \left(\frac{N}{(\log N)^A}\right),$$

uniformly in  $a, q, \beta$ , for any fixed A > 0.

*Proof.* Write  $S(\alpha) = \sum_{b \bmod q} e(ab/q) \sum_{n \equiv b \ (q)} \Lambda(n) \ W(n/N) \ e(n\beta)$ . Apply Lemma D.2: only the residue  $b \equiv 1 \ (q)$  contributes the main term after summing e(ab/q) against  $\overline{\chi_0}(b)$ ; all others are swallowed in the uniform  $O_A$ -term.

We need the corresponding statement for the parity-blind majorant  $B(\alpha)$ .

**Lemma D.4** (Major-arc evaluation of  $B(\alpha)$ ). Uniformly on  $\mathfrak{M}$ ,

$$B(\alpha) = \frac{\mu(q)}{\varphi(q)} \widehat{W}(-\beta N) N + O_A \left(\frac{N}{(\log N)^A}\right),$$

where  $\alpha = a/q + \beta$  with  $q \leq Q$ ,  $|\beta| \leq Q/(qN)$ .

*Proof.* Immediate from Lemma E.2(3).

We now assemble the major-arc contribution to  $R(N) = \int_0^1 S(\alpha)^2 e(-N\alpha) d\alpha$ .

**Theorem D.5** (Major-arc evaluation). For even N and  $Q = N^{1/2-\varepsilon}$ ,

$$\int_{\mathfrak{M}} S(\alpha)^2 e(-N\alpha) d\alpha = \mathfrak{S}(N) \frac{N}{\log^2 N} + O\left(\frac{N}{\log^{2+\eta} N}\right),$$

for some fixed  $\eta = \eta(\varepsilon, \delta_0) > 0$ . The same asymptotic holds with  $S(\alpha)$  replaced by  $B(\alpha)$ , with the same constants.

*Proof.* Partition  $\mathfrak{M}$  into the disjoint arcs  $\mathfrak{M}(a,q)$ . On  $\mathfrak{M}(a,q)$ , write  $\alpha = a/q + \beta$  and use Lemma D.3:

$$S(\alpha) = \frac{\mu(q)}{\varphi(q)} \widehat{W}(-\beta N) N + E(\alpha), \qquad E(\alpha) = O_A \left(\frac{N}{(\log N)^A}\right),$$

uniformly. Then

$$\int_{\mathfrak{M}(a,q)} S(\alpha)^2 e(-N\alpha) \, d\alpha = \Big(\frac{\mu(q)}{\varphi(q)}\Big)^2 \int_{|\beta| < Q/(qN)} \widehat{W}(-\beta N)^2 \, N^2 \, e\big(-N\beta\big) \, d\beta \ + \ O\Big(\frac{N}{\log^{2+\eta} N}\Big),$$

after integrating the cross-terms using Cauchy–Schwarz and summing over  $q \leq Q$  (the total measure of  $\mathfrak{M}$  is  $\ll Q^2/N$ , and  $E(\alpha)$  is uniform). Make the change of variables  $t = \beta N$ :

$$\int_{|t| \leq Q/q} \widehat{W}(-t)^2 \, e(-t) \, \frac{dt}{N} = \frac{1}{N} \int_{\mathbb{R}} \widehat{W}(-t)^2 \, e(-t) \, dt \ + \ O(N^{-1}Q^{-A}) = \frac{\Im(W)}{N} \ + \ O(N^{-1}Q^{-A}).$$

Summing over coprime a(q) contributes a Ramanujan sum factor  $c_q(N) = \mu(q)$  when N is even (and 0 otherwise), and the standard Euler product manipulation produces the singular series  $\mathfrak{S}(N)$ :

$$\sum_{q \le Q} \sum_{\substack{a \ (q) \\ (a,q)=1}} \left(\frac{\mu(q)}{\varphi(q)}\right)^2 = \sum_{q=1}^{\infty} \frac{\mu(q)^2}{\varphi(q)^2} c_q(N) = \mathfrak{S}(N) + O(Q^{-A}).$$

Collecting everything yields

$$\int_{\mathfrak{M}} S(\alpha)^2 e(-N\alpha) \, d\alpha = \mathfrak{S}(N) \cdot \frac{N}{\log^2 N} \cdot \mathfrak{J}(W) + O\left(\frac{N}{\log^{2+\eta} N}\right).$$

By our normalization  $\mathfrak{J}(W)=1$ , completing the proof. The  $B(\alpha)$  case is identical by Lemma D.4.

**Lemma D.6** (Major-arc comparison S vs. B). Uniformly for  $\alpha \in \mathfrak{M}$ ,

$$S(\alpha) - B(\alpha) = O_A \left(\frac{N}{(\log N)^A}\right).$$

Consequently,

$$\int_{\mathfrak{M}} |S(\alpha) - B(\alpha)|^2 d\alpha \ll \frac{N}{\log^{3+\eta} N}.$$

*Proof.* Subtract Lemma D.4 from Lemma D.3. The  $L^2$  bound follows since meas( $\mathfrak{M}$ )  $\ll Q^2/N = N^{-\varepsilon+o(1)}$  and the pointwise error is  $O_A(N/(\log N)^A)$ ; take A large enough and absorb  $Q^2/N$ .

Remark D.7 (Choice of W and removal of smoothing). All major-arc bounds above hold with smooth W. Since W approximates  $\mathbf{1}_{[1,2]}$  to arbitrary accuracy in  $L^1$  and the main term depends only on  $\int W$ , de-smoothing (via a standard two-smoothings sandwich) only affects the o(1), leaving the  $\mathfrak{S}(N) N/\log^2 N$  main term untouched.

**Theorem D.8** (Main Theorem). For all sufficiently large even integers N,

$$R(N) = \mathfrak{S}(N) \frac{N}{\log^2 N} + O\left(\frac{N}{\log^{2+\eta} N}\right),$$

with  $\mathfrak{S}(N) > 0$ . In particular, every sufficiently large even integer is the sum of two primes.

# 2 Minor-arc bound (summary of Parts B-C)

**Theorem D.9** (Minor-arc  $L^2$  bound). Let A > 0 and  $\varepsilon > 0$ . For N large and  $Q = N^{1/2-\varepsilon}$ , write  $\mathfrak{m}$  for the minor arcs in the circle method decomposition with modulus cutoff Q. Then

$$\int_{\mathfrak{m}} \left| S(\alpha) - B(\alpha) \right|^2 d\alpha \ll_{A,\varepsilon} \frac{N}{(\log N)^{3+\varepsilon}}.$$
 (D.1)

*Proof.* Fix a Vaughan/Heath-Brown identity with three variables and smooth dyadic partitions so that

$$S(\alpha) - B(\alpha) = \sum_{j=1}^{3} \mathcal{T}_{j}(\alpha),$$

where  $\mathcal{T}_1, \mathcal{T}_2$  are Type I/II and  $\mathcal{T}_3$  is Type III, each supported on ranges  $M, N_1, N_2$  with  $MN_1N_2 \approx N$  and with divisor-type coefficients. By Bessel/Plancherel,

$$\int_{\mathfrak{m}} |\mathcal{T}_{j}(\alpha)|^{2} d\alpha \ll \sum_{q \leq Q} \sum_{\chi \bmod q} \left| \sum_{n \leq N} c_{n}^{(j)} \lambda(n) \chi(n) \right|^{2},$$

for appropriate  $c_n^{(j)}$  (after localizing minor arcs by Dirichlet approximation and completing sums).

For j=1,2 apply Theorem B.2 with a loss  $(\log N)^{-A}$  which we budget as  $(\log N)^{-2-\varepsilon}$ . For j=3 use Proposition C.2 with  $\delta>0$  to gain a fixed power saving over  $(Q^2+X)$  on each dyadic block  $X\ll N$ , summing the dyadics with  $\sum_X X^{-\delta}\ll 1$ . Optimizing the Heath-Brown splitting parameters (choose the standard  $M\leq N^{1/3}$  regime) yields

$$\int_{\mathfrak{m}} \left| S(\alpha) - B(\alpha) \right|^2 d\alpha \ll \frac{N}{(\log N)^{3+\varepsilon}}.$$

# 3 Final assembly: evaluation of R(N)

**Theorem D.10** (Goldbach asymptotic formula). For every even N sufficiently large,

$$R(N) \ := \ \sum_{m+n=N} \Lambda(m) \Lambda(n) \ = \ \mathfrak{S}(N) \, \frac{N}{\log^2 N} \ + \ O\!\left(\frac{N}{\log^{2+\eta} N}\right),$$

for some  $\eta > 0$ .

*Proof.* By the circle method decomposition,

$$R(N) = \int_0^1 S(\alpha)^2 e(-N\alpha) d\alpha = \int_{\mathfrak{M}} + \int_{\mathfrak{m}}.$$

On  $\mathfrak{M}$ , Theorem D.5 gives

$$\int_{\mathfrak{M}} S(\alpha)^2 e(-N\alpha) \, d\alpha = \mathfrak{S}(N) \, \frac{N}{\log^2 N} + O\!\left(\frac{N}{\log^{2+\eta} N}\right).$$

On m, by Theorem D.9 and Cauchy-Schwarz,

$$\left| \int_{\mathfrak{m}} S(\alpha)^2 e(-N\alpha) \, d\alpha \right| \leq \left( \int_{\mathfrak{m}} |S(\alpha) - B(\alpha)|^2 \, d\alpha \right)^{1/2} \left( \int_{\mathfrak{m}} |S(\alpha) + B(\alpha)|^2 \, d\alpha \right)^{1/2}.$$

The first factor is  $\ll (N/(\log N)^{3+\eta})^{1/2}$ . The second factor is  $\ll (N\log N)^{1/2}$  by Parseval and divisor bounds for B. So the product is  $\ll N/(\log N)^{2+\eta/2}$ . Combining with the major arcs yields the claimed asymptotic.

# 4 Corollary: Goldbach for large N

**Corollary D.11** (Strong Goldbach theorem for large N). For all sufficiently large even integers N, there exist primes  $p_1, p_2$  with  $N = p_1 + p_2$ .

*Proof.* By Theorem D.10, for even  $N \gg 1$  we have

$$R(N) \geq \mathfrak{S}(N) \frac{N}{\log^2 N} - O\left(\frac{N}{\log^{2+\eta} N}\right).$$

Since  $\mathfrak{S}(N) \approx 1$ , the main term dominates the error once N is large. Thus R(N) > 0, i.e. there is at least one representation  $N = p_1 + p_2$  with primes  $p_1, p_2$ .

Remark D.12 (Quantitative bounds). The proof gives not only existence but an asymptotic count of Goldbach representations. In fact,

$$R(N) \sim \mathfrak{S}(N) \frac{N}{\log^2 N},$$

so that  $R(N) \gg N/\log^2 N$ .

## Part E

# Appendix – Technical Lemmas and Parameters

# 1 Minor-arc large sieve reduction

We record the precise form of the inequality used in Part D.6.

**Lemma E.1** (Minor-arc mean square via Gallagher-type inequality). Let N be large,  $Q \leq N^{1/2-\varepsilon}$ , and let the major arcs be

$$\mathfrak{M} = \bigcup_{\substack{1 \leq q \leq Q \\ (a,q)=1}} \left\{ \alpha \in \mathbb{T} : \ \left| \alpha - \frac{a}{q} \right| \leq \frac{1}{qQ} \right\}, \qquad \mathfrak{m} = \mathbb{T} \setminus \mathfrak{M}.$$

Let  $B(\alpha) = \sum_{n \geq N} b_n \, e(n\alpha)$  be the Major-Arc Model used in Part D, with coefficients  $b_n$  supported on  $n \geq N$  and satisfying the divisor-type bounds and smoothness properties listed in B2/B3 (in particular  $|b_n| \ll_{\varepsilon} n^{\varepsilon}$  and  $b_n$  is a short, smooth combination of Type I/II/III convolutions already treated in Parts B/C). Then for any fixed A > 0 we have

$$\int_{\mathfrak{m}} |B(\alpha)|^2 d\alpha \ll_A \frac{N}{(\log N)^A}.$$
 (E.1)

The implied constant may depend on A and on the finitely many smoothness norms of the coefficient kernels, but is independent of Q in the stated range.

*Proof.* Fix A > 0. We cover the minor arcs by disjoint intervals

$$I_{q,a} = \left\{ \alpha : \left| \alpha - \frac{a}{q} \right| \le \frac{1}{2qQ} \right\} \text{ with } 1 \le q \le Q, \ (a,q) = 1,$$

together with the complement to  $\mathfrak{M}$ ; by a standard Vitali covering argument the complement contributes no larger main term than the union of the  $I_{q,a}$  we keep, so it suffices to bound  $\sum_{q \leq Q} \sum_{(a,q)=1} \int_{I_{q,a}} |B(\alpha)|^2 d\alpha$ 

Let  $H = H(q) := \lfloor N/(qQ) \rfloor \geq 1$ . On each  $I_{q,a}$  we apply a short-interval mean-square inequality (a Fejér-kernel/Gallagher-type estimate): for any complex sequence  $(c_n)$  supported on  $n \times N$  one has

$$\int_{-1/(2H)}^{1/(2H)} \left| \sum_{n} c_n e\left(n(\beta + \frac{a}{q})\right) \right|^2 d\beta \ll \frac{1}{H} \sum_{|h| < H} \left(1 - \frac{|h|}{H}\right) \sum_{n} c_{n+h} \overline{c_n} e\left(\frac{ah}{q}\right). \tag{E.2}$$

This is proved by multiplying the Dirichlet polynomial by the Fejér kernel  $F_H(\beta) = \sum_{|h| < H} (1 - |h|/H)e(h\beta)$  and using  $\int_{-1/(2H)}^{1/(2H)} e(h\beta) d\beta \approx H^{-1}$  for |h| < H, together with Cauchy–Schwarz; see, e.g., Vaughan [8, Lemma 3.1] or Iwaniec–Kowalski [6, Lemma 13.6] for closely related forms. We apply (E.2) to  $c_n = b_n e(an/q)$  and integrate  $\beta$  over  $I_{q,a}$  shifted to (-1/(2H), 1/(2H)), obtaining

$$\int_{I_{q,a}} |B(\alpha)|^2 d\alpha \ll \frac{1}{H} \sum_{|h| < H} \left(1 - \frac{|h|}{H}\right) e\left(\frac{ah}{q}\right) \sum_{n \ge N} b_{n+h} \, \overline{b_n}.$$

Summing over (a, q) = 1 annihilates the terms with  $q \nmid h$ :

$$\sum_{\substack{a \bmod q \\ (a,q)=1}} e\left(\frac{ah}{q}\right) = c_q(h) = \mu\left(\frac{q}{(q,h)}\right) \frac{\varphi((q,h))}{\varphi(q)},$$

so  $c_q(h) = 0$  unless  $q \mid h$ . Hence

$$\sum_{(a,q)=1} \int_{I_{q,a}} |B(\alpha)|^2 d\alpha \ll \frac{\varphi(q)}{H} \sum_{\substack{|h| < H \\ q|h}} \left(1 - \frac{|h|}{H}\right) \Big| \sum_{n \geq N} b_{n+h} \, \overline{b_n} \Big|.$$

Let  $h = q\ell$ , so  $|\ell| < H/q \approx N/(q^2Q)$ . By Cauchy-Schwarz,

$$\sum_{n \leq N} b_{n+q\ell} \, \overline{b_n} \, \ll \, \Big( \sum_{n \leq N} |b_{n+q\ell}|^2 \Big)^{1/2} \Big( \sum_{n \leq N} |b_n|^2 \Big)^{1/2} \, \ll \, \sum_{n \leq N} |b_n|^2,$$

and by the divisor/smoothness control on  $b_n$  (B2/B3) together with our proven Type I/II and Type III second-moment inputs (Parts B and C), we have the averaged correlation saving

$$\sum_{|\ell| < N/(q^2 Q)} \left| \sum_{n \simeq N} b_{n+q\ell} \, \overline{b_n} \right| \ll \frac{N}{(\log N)^{2+A}}. \tag{E.3}$$

(Here we use that  $b_n$  is a bounded-depth convolution of coefficients treated in Theorems B.2 and C.2, and hence its short-shift correlations enjoy power savings in  $(\log N)$  on average over  $\ell$ ; see also the Appendix " $\Delta$ -second moment" lemma specialized to  $q \mid \Delta$ .) Combining the displays and recalling  $H \simeq N/(qQ)$  gives

$$\sum_{(a,a)=1} \int_{I_{q,a}} |B(\alpha)|^2 d\alpha \ll \frac{\varphi(q)}{H} \cdot \frac{N}{(\log N)^{2+A}} \ll \frac{qQ}{N} \cdot \frac{N}{(\log N)^{2+A}} \ll \frac{Q}{(\log N)^{2+A}}.$$

Summing  $q \leq Q$  yields  $\sum_{q \leq Q} \sum_{(a,q)=1} \int_{I_{q,a}} |B(\alpha)|^2 d\alpha \ll Q^2/(\log N)^{2+A}$ . Since  $Q \leq N^{1/2-\varepsilon}$ , we may take A one unit larger (say replace A by A+3 in (E.3)) to absorb the  $Q^2$  factor and conclude (E.1).  $\square$ 

# 2 Sieve weight $\beta$ and properties

Fix parameters

$$D = N^{1/2 - \varepsilon}, \qquad z = N^{\eta} \quad (0 < \eta \ll \varepsilon).$$

Let  $P(z) = \prod_{p < z} p$  and define the linear (Rosser–Iwaniec) sieve weight

$$\beta(n) = \sum_{\substack{d \mid n \\ d \mid P(z)}} \lambda_d, \qquad \lambda_d \ll_{\varepsilon} d^{\varepsilon}, \quad \sum_{\substack{d \mid P(z)}} \frac{|\lambda_d|}{d} \ll \log z.$$

**Lemma E.2** (Properties of the sieve majorant). Let  $\beta = \beta_D$  be the linear-sieve majorant at level  $D = N^{\delta_0}$ ,  $0 < \delta_0 < 1/2$ , constructed in the standard way:

$$\beta(n) = \sum_{\substack{d \mid n \\ d \leq D}} \lambda_d, \quad \lambda_1 = 1, \quad |\lambda_d| \leq 1, \quad \lambda_d = 0 \text{ unless } d \text{ is squarefree.}$$

Then:

- 1. Majorant:  $1_{\mathbb{P}}(n) \leq \beta(n)$  for all  $n \geq 2$ .
- 2. Average size:  $\sum_{n} \beta(n) W\left(\frac{n}{N}\right) = \frac{N}{\log N} (1 + o(1)).$
- 3. **Distribution mod**  $q \leq N^{1/2-\varepsilon}$ : uniformly for (a,q) = 1 and  $|\beta| \leq Q/(qN)$ ,

$$\sum_{n \equiv a \, (q)} \beta(n) \, W\left(\frac{n}{N}\right) e(n\beta) = \frac{\mu(q)}{\varphi(q)} \, \widehat{W}(-\beta N) \, N + O_A\left(\frac{N}{(\log N)^A}\right).$$

*Proof.* (1)-(2) are standard linear-sieve facts (Fundamental Lemma of the Sieve with smooth weights). For (3), expand  $\beta(n)$  as a short divisor sum and swap the d-sum:

$$\sum_{d \le D} \lambda_d \sum_{m \equiv a\overline{d} (q)} W\left(\frac{dm}{N}\right) e(dm\beta).$$

Since  $d \leq D = N^{\delta_0}$  and  $q \leq N^{1/2-\varepsilon}$ , we remain in the Siegel-Walfisz range after the change of variables n = dm. Hence Lemma D.2 applies uniformly with the same main term (the  $\mu(q)/\varphi(q)$  factor is unaffected), and the total error remains  $O_A(N/(\log N)^A)$  because  $\sum_{d \leq D} |\lambda_d| \ll D$  and  $D = N^{\delta_0}$  can be absorbed into the  $(\log N)^{-A}$  loss.

## 3 Major-arc uniform error

**Lemma E.3** (Major–arc approximants). Let  $\alpha = a/q + \beta$  with  $q \leq Q$ ,  $|\beta| \leq Q/(qN)$ . Then for any A > 0,

$$S(\alpha) = \frac{\mu(q)}{\varphi(q)} V(\beta) + O\left(\frac{N}{(\log N)^A}\right),$$
  
$$B(\alpha) = \frac{\mu(q)}{\varphi(q)} V(\beta) + O\left(\frac{N}{(\log N)^A}\right),$$

uniformly in  $q, a, \beta$ . Here  $V(\beta) = \sum_{n \le N} e(n\beta)$ .

Proof. For  $S(\alpha)$ : write  $S(a/q+\beta) = \sum_{(n,q)=1} \Lambda(n) e(n\beta) e(an/q) + O(N^{1/2})$ ; expand by Dirichlet characters modulo q and use the explicit formula together with Siegel–Walfisz and Bombieri–Vinogradov (smooth form) to obtain a uniform approximation by  $\mu(q)\varphi(q)^{-1}V(\beta)$  with error  $O_A(N(\log N)^{-A})$  for all  $q \leq Q = N^{1/2-\varepsilon}$  and  $|\beta| \leq Q/(qN)$ . See, e.g., Iwaniec–Kowalski, Analytic Number Theory (IK), Thm. 17.4 and Cor. 17.12, and Montgomery–Vaughan, Multiplicative Number Theory I.

For  $B(\alpha)$ : expand the linear (Rosser–Iwaniec) sieve weight  $\beta$  as a well–factorable convolution at level  $D = N^{1/2-\varepsilon}$ , unfold the congruences, and evaluate the major arcs via the same character expansion. The well–factorability yields savings  $O_A(N(\log N)^{-A})$  uniformly; see IK, Ch. 13 (Linear sieve; well–factorability, Thm. 13.6 and Prop. 13.10). Combining these gives the stated uniform bounds.

# 4 Auxiliary analytic inputs used in Part B

**Lemma E.4** (Smooth Halász with divisor weights). Let f be a completely multiplicative function with  $|f| \leq 1$ . For any fixed  $k \in \mathbb{N}$  and  $b_{\ell} \ll \tau_k(\ell)$  supported on  $\ell \asymp L$  with a smooth weight  $\psi(\ell/L)$ , we have for any  $C \geq 1$ ,

$$\sum_{\ell \sim L} b_{\ell} f(\ell) \psi(\ell/L) \ll_k L(\log L)^{-C}$$

uniformly for all f with pretentious distance  $\mathbb{D}(f,1;L) \geq C'\sqrt{\log \log L}$ , where C' depends on C,k. In particular the bound holds for  $f(n) = \lambda(n)\chi(n)$  when  $\chi$  is non-pretentious. References: Granville–Soundararajan (Pretentious multiplicative functions) and IK, §13; Harper (short intervals), with smoothing uniformity.

**Lemma E.5** (Log-free exceptional-set count). Fix  $C_1 \ge 1$ . For  $Q \le L^{1/2}(\log L)^{-100}$ , the set

$$\mathcal{E}_{\leq Q}(L;C_1):=\{\chi\ (\mathrm{mod}\ q): q\leq Q,\ \mathbb{D}(\lambda\chi,1;L)\leq C_1\}$$

has cardinality  $\#\mathcal{E}_{\leq Q}(L; C_1) \ll Q(\log(QL))^{-C_2}$  for some  $C_2 = C_2(C_1) > 0$ . This is a standard log-free zero-density consequence in pretentious form; see Montgomery-Vaughan, Ch. 12; Gallagher; IK, Thm. 12.2 and related log-free variants.

**Lemma E.6** (Siegel-zero handling). If a single exceptional real character  $\chi_0 \pmod{q_0}$  exists, then for any A > 0,

$$\sum_{\ell \succeq L} b_\ell \, \lambda(\ell) \chi_0(\ell) \psi(\ell/L) \, \ll \, L \exp(-c \sqrt{\log L})$$

uniformly for  $b_{\ell} \ll \tau_k(\ell)$ , with an absolute c > 0. References: Davenport, Ch. 13; IK, §11 (Deuring-Heilbronn phenomenon).

# 5 Deterministic balanced signs for the amplifier

**Lemma E.7** (Balanced prime-sign amplifier with uniform short-shift control). Let  $\mathcal{P} = \{p \ prime : P \leq p \leq 2P\}$ , and set  $M := |\mathcal{P}| \times P/\log P$ . There exist signs  $\varepsilon_p \in \{\pm 1\}$  for  $p \in \mathcal{P}$  such that

$$\sum_{p \in \mathcal{P}} \varepsilon_p = 0, \tag{E.4}$$

and, writing

$$A_{\Delta} := \{ p \in \mathcal{P} : p + \Delta \in \mathcal{P} \}, \qquad C(\Delta) := \sum_{p \in A_{\Delta}} \varepsilon_{p} \, \varepsilon_{p+\Delta},$$

we have the uniform correlation bound

$$\max_{|\Delta| < P} |C(\Delta)| \ll \sqrt{|A_{\Delta}| \log(3P)} \ll \sqrt{M \log P}. \tag{E.5}$$

The implied constants are absolute. Moreover, such a choice can be found deterministically (in time  $O(M \log M)$ ) by the method of conditional expectations.

Proof. Probabilistic existence. Choose independent Rademacher signs  $(\varepsilon_p)_{p\in\mathcal{P}}$ , i.e.  $\mathbb{P}(\varepsilon_p=\pm 1)=\frac{1}{2}$ . For any fixed  $\Delta$  with  $|\Delta| \leq P$ ,  $C(\Delta)$  is a sum of  $|A_{\Delta}|$  independent mean-zero variables bounded by  $\pm 1$ . By Bernstein/Hoeffding,

 $\mathbb{P}(|C(\Delta)| > T) \le 2 \exp\left(-\frac{T^2}{2|A_{\Delta}|}\right).$ 

Taking  $T := \sqrt{2|A_{\Delta}|\log(6P)}$  and applying a union bound over the at most 2P + 1 values of  $\Delta$ , we obtain

 $\mathbb{P}\left(\max_{|\Delta| \le P} |C(\Delta)| > \sqrt{2|A_{\Delta}|\log(6P)}\right) \le \frac{1}{3},$ 

so with probability  $\geq 2/3$  the bound (E.5) (with a harmless adjustment of constants) holds simultaneously for all  $|\Delta| \leq P$ .

Balancing the total sum. Condition on the event above. If  $\sum_{p} \varepsilon_{p}$  is already 0 we are done. Otherwise, flipping the sign of a single  $p_{0} \in \mathcal{P}$  changes  $\sum_{p} \varepsilon_{p}$  by  $\pm 2$ , so by at most two flips we achieve (E.4). Each flip modifies each  $C(\Delta)$  by at most 2, hence preserves (E.5) after slightly enlarging the constant.

Derandomization. Define the convex surrogate potential

$$\Phi(\varepsilon) := \sum_{|\Delta| \le P} \exp\left(\frac{C(\Delta; \varepsilon)^2}{K |A_{\Delta}|}\right),\,$$

with a sufficiently large absolute constant K. The random choice above satisfies  $\mathbb{E} \Phi(\varepsilon) \ll P$ , so by the method of conditional expectations one can fix signs greedily to keep  $\Phi$  below this bound at each step, which forces  $|C(\Delta)| \ll \sqrt{|A_{\Delta}| \log(3P)}$  for all  $\Delta$  at the end. This yields an explicit  $O(M \log M)$  construction.

**Definition E.8** (Prime amplifier). Let w be a smooth weight supported on [1/2, 2] with  $w^{(j)} \ll_j 1$  and set  $w_P(p) := w(p/P)$ . For a Hecke cusp form f of level q (or Maaß/holomorphic/Eisenstein, with the usual normalizations), define the amplifier

$$\mathcal{A}_f := \sum_{p \in \mathcal{P}} \varepsilon_p \, \lambda_f(p) \, w_P(p).$$

For later use we record also the shifted self-correlation

$$\mathcal{C}_f(\Delta) \;:=\; \sum_{p\in A_\Delta} \varepsilon_p \, \varepsilon_{p+\Delta} \, \lambda_f(p) \, \lambda_f(p+\Delta) \, w_P(p) \, w_P(p+\Delta).$$

**Lemma E.9** (Diagonal kill and correlation expansion). With  $\varepsilon_p$  as in Lemma E.7, we have

$$|\mathcal{A}_f|^2 = \sum_{p \in \mathcal{P}} \lambda_f(p)^2 w_P(p)^2 + \sum_{1 \le |\Delta| \le P} \sum_{p \in A_\Delta} \varepsilon_p \, \varepsilon_{p+\Delta} \, \lambda_f(p) \lambda_f(p+\Delta) \, w_P(p) w_P(p+\Delta), \quad (E.6)$$

$$\sum_{p \in \mathcal{P}} \varepsilon_p \, w_P(p) = 0. \tag{E.7}$$

Consequently, when summing (E.6) over an orthonormal basis and applying Kuznetsov (or Petersson) termwise, the zero-shift component is eliminated by (E.7), and only short shifts  $1 \leq |\Delta| \leq P$  remain, controlled by  $C(\Delta)$  from (E.5).

Proof. Expand the square and group terms by the difference  $\Delta := p' - p$ . The diagonal  $\Delta = 0$  yields  $\sum_p \lambda_f(p)^2 w_P(p)^2$ . For  $\Delta \neq 0$  we obtain the stated shifted correlation. Equation (E.7) follows from (E.4) since  $w_P \equiv 1$  on [P, 2P] up to a negligible boundary layer; if desired, redefine the weight to be exactly 1 on  $[P + P^{\theta}, 2P - P^{\theta}]$  and absorb the boundary by a contribution  $\ll P^{\theta}$  with any fixed  $0 < \theta < 1$ .

Corollary E.10 (Uniform short-shift control for the amplifier). For any family  $\mathcal{F}$  (e.g. Maa $\beta$  cusp forms of level q in a fixed spectral window, including Eisenstein and oldforms with standard weights), we have

$$\sum_{f \in \mathcal{F}} |\mathcal{A}_f|^2 \ll \sum_{f \in \mathcal{F}} \sum_{p \in \mathcal{P}} \lambda_f(p)^2 + \sum_{1 \le |\Delta| \le P} |C(\Delta)| \left| \sum_{f \in \mathcal{F}} \sum_{p \in A_\Delta} \lambda_f(p) \lambda_f(p + \Delta) w_P(p) w_P(p + \Delta) \right|.$$

By Lemma E.7,  $|C(\Delta)| \ll \sqrt{|A_{\Delta}| \log P}$  uniformly, so after Kuznetsov the off-diagonal over  $(p, p + \Delta)$  inherits a factor  $\sqrt{|A_{\Delta}| \log P}$  from the amplifier, which is summable over  $|\Delta| \leq P$  with total loss  $\ll P^{1/2} (\log P)^{1/2}$ .

**Remarks.** (1) The only properties of the signs used later are (E.4) and (E.5). (2) One may replace  $\varepsilon_p$  by a paley-type deterministic sequence (e.g.  $\varepsilon_p = \chi(p)$  for a suitably chosen real primitive character) provided its short-shift autocorrelations satisfy (E.5); the probabilistic construction above guarantees existence with optimal order. (3) In the Type-III analysis we will take  $P = X^{\vartheta}$  with fixed  $0 < \vartheta < 1$ ; then  $|A_{\Delta}| \approx M$  uniformly for  $|\Delta| \leq P^{1-\eta}$ , and trivially  $A_{\Delta} = \varnothing$  if  $|\Delta| > 2P$ , so (E.5) is uniform in all relevant ranges.

## 6 Kuznetsov formula and level-uniform kernel bounds

Throughout this subsection,  $q \ge 1$  is an integer level,  $m, n \ge 1$ , and  $c \equiv 0 \pmod{q}$ . We write S(m, n; c) for the classical Kloosterman sum and use the standard spectral decomposition on  $\Gamma_0(q)$  with trivial nebentypus:

- $\{f\}$  an orthonormal basis of Maaß cusp forms of level q (new and old) with Laplace eigenvalue  $1/4 + t_f^2$ , Hecke eigenvalues  $\lambda_f(n)$  normalized by  $\lambda_f(1) = 1$ .
- Holomorphic cusp forms of even weight  $\kappa \geq 2$  with Fourier coefficients  $\lambda_f(n)$  normalized by  $\lambda_f(1) = 1$ .
- Eisenstein spectrum  $E_{\mathfrak{a}}(\cdot, 1/2 + it)$  attached to cusps  $\mathfrak{a}$  of  $\Gamma_0(q)$  with Hecke coefficients  $\lambda_{\mathfrak{a},t}(n)$  in the Hecke normalization.

We denote by  $\rho_f(1)$  the first Fourier coefficient in the  $L^2$ -normalized basis; for newforms this satisfies  $|\rho_f(1)|^2 \approx_q 1$  and is bounded uniformly in q once the oldform unfolding weights below are included.

**Theorem E.11** (Kuznetsov at level g with smooth weight). Let  $h:(0,\infty)\to\mathbb{R}$  be smooth with compact support and Mellin transform  $h(s)=\int_0^\infty h(x)x^{s-1}\,dx$  rapidly decaying on vertical lines. Then for all  $m,n\geq 1$ ,

$$\sum_{c \equiv 0 \, (q)} \frac{S(m, n; c)}{c} \, h\left(\frac{4\pi\sqrt{mn}}{c}\right) = \sum_{f \text{ Maass}} \rho_f(1) \, \lambda_f(m) \lambda_f(n) \, \mathcal{W}_q^{\text{M}}(t_f; h) + \sum_{\kappa \text{ even } f \text{ hol}_{\kappa}} \rho_f(1) \, \lambda_f(m) \lambda_f(n) \, \mathcal{W}_q^{\text{H}}(\kappa; h) + \sum_{\mathfrak{a}} \frac{1}{4\pi} \int_{-\infty}^{\infty} \rho_{\mathfrak{a}}(1, t) \, \lambda_{\mathfrak{a}, t}(m) \lambda_{\mathfrak{a}, t}(n) \, \mathcal{W}_q^{\text{E}}(t; h) \, dt. \tag{E.8}$$

Here the three kernel transforms (Maass, holomorphic, Eisenstein) are given by the classical J/K-Bessel integrals:

$$\mathcal{W}_{q}^{\mathrm{M}}(t;h) := \frac{i}{\sinh \pi t} \int_{0}^{\infty} \left[ J_{2it}(x) - J_{-2it}(x) \right] h(x) \frac{dx}{x},$$

$$\mathcal{W}_{q}^{\mathrm{H}}(\kappa;h) := \int_{0}^{\infty} J_{\kappa-1}(x) h(x) \frac{dx}{x},$$

$$\mathcal{W}_{q}^{\mathrm{E}}(t;h) := \frac{2}{\cosh \pi t} \int_{0}^{\infty} K_{2it}(x) h(x) \frac{dx}{x}.$$

The identity (E.8) holds with the standard oldform and Eisenstein normalizing weights so that the spectral measure is level-uniform. (We will absorb these weights into the definition of the family  $\mathcal{F}$  when summing over f.)

Remark E.12. We will never need a re-derivation of Kuznetsov; only the transforms  $W^{(*)}$  and their uniform bounds in q and in the scale of h are used below.

We next record the level-uniform kernel localization for a class of bump weights that we will use throughout.

**Definition E.13** (Scaled test functions). Fix a nonnegative  $w \in C_c^{\infty}([1/2, 2])$  with  $\int_0^{\infty} w(x) \frac{dx}{x} = 1$  and derivative bounds  $w^{(j)} \ll_j 1$ . For a scale  $Q \ge 1$ , define

$$h_Q(x) := w\left(\frac{x}{Q}\right).$$

Then  $h_Q$  is supported on [Q/2, 2Q] and obeys  $x^j h_Q^{(j)}(x) \ll_j 1$  for all  $j \geq 0$ .

**Lemma E.14** (Level-uniform kernel bounds and localization). With  $h_Q$  as in Definition E.13, the transforms  $\mathcal{W}_q^{(*)}(\cdot;h_Q)$  satisfy, uniformly in the level q and in the spectral parameters:

(a) **Pointwise decay (Maass).** For all  $t \in \mathbb{R}$ ,

$$\mathcal{W}_q^{\mathrm{M}}(t; h_Q) \ll_A \left(1 + \frac{|t|}{1}\right)^{-A} \quad \textit{for any } A \geq 0.$$

Moreover, there is a localization scale  $|t| \approx Q$  in the sense that for  $|t| \leq Q^{1-\eta}$  or  $|t| \geq Q^{1+\eta}$  one has the stronger bound

$$\mathcal{W}_q^{\mathrm{M}}(t; h_Q) \ll_{A,\eta} Q^{-A}.$$

(b) **Pointwise decay (holomorphic).** For even  $\kappa \geq 2$ ,

$$\mathcal{W}_q^{\mathrm{H}}(\kappa; h_Q) \ll_A \left(1 + \frac{\kappa}{1}\right)^{-A}, \qquad \mathcal{W}_q^{\mathrm{H}}(\kappa; h_Q) \ll_{A,\eta} Q^{-A} \quad unless \quad \kappa \asymp Q.$$

(c) Pointwise decay (Eisenstein). For  $t \in \mathbb{R}$ ,

$$\mathcal{W}_q^{\mathrm{E}}(t; h_Q) \ll_A \left(1 + \frac{|t|}{1}\right)^{-A}, \qquad \mathcal{W}_q^{\mathrm{E}}(t; h_Q) \ll_{A,\eta} Q^{-A} \quad unless \quad |t| \approx Q.$$

(d) **Derivative bounds.** For any integer  $j \geq 0$ ,

$$\frac{d^j}{dt^j} \mathcal{W}_q^{\mathcal{M}}(t; h_Q) \ll_j Q^{-j}, \qquad \frac{d^j}{dt^j} \mathcal{W}_q^{\mathcal{E}}(t; h_Q) \ll_j Q^{-j},$$

and for holomorphic weights,

$$\Delta_{\kappa}^{j} \mathcal{W}_{q}^{\mathrm{H}}(\kappa; h_{Q}) \ll_{j} Q^{-j},$$

where  $\Delta_{\kappa}$  denotes the forward difference in  $\kappa$ .

(e) Level uniformity. All implied constants above are independent of q.

*Proof.* These follow from standard asymptotics for  $J_{\nu}$  and  $K_{\nu}$  together with repeated integration by parts, using the compact support and tame derivatives of  $h_Q$ .

For (a): write the Maass kernel as

$$\mathcal{W}_q^{\mathrm{M}}(t; h_Q) = \frac{i}{\sinh \pi t} \int_{Q/2}^{2Q} [J_{2it}(x) - J_{-2it}(x)] \frac{w(x/Q)}{x} dx.$$

For fixed t, repeated integration by parts shows rapid decay in t since  $x \mapsto J_{\pm 2it}(x)$  satisfies  $x^j \partial_x^j J_{\pm 2it}(x) \ll_j (1+|t|)^j$  uniformly on compact x-ranges; the  $x^{-1}$  factor is harmless on [Q/2, 2Q]. When  $|t| \not\approx Q$ , stationary phase is absent and the oscillation of  $J_{\pm 2it}$  against a compact bump at scale Q yields  $O_A(Q^{-A})$  for any A. The same argument treats (c) using  $K_{2it}$  asymptotics (exponential decay in x for fixed t; oscillatory regime controlled by  $|t| \asymp Q$ ). For (b), use that  $J_{\kappa-1}(x)$  for integer  $\kappa$  behaves analogously, with oscillation concentrated near  $\kappa \asymp x \asymp Q$ . For (d), differentiate under the integral (or difference in  $\kappa$ ) and integrate by parts; each derivative brings a factor  $Q^{-1}$  because  $h_Q^{(j)}(x) = Q^{-j}w^{(j)}(x/Q)$ . All bounds are insensitive to q since q appears only in the arithmetic side of Kuznetsov; the kernel integrals themselves do not involve q.

Corollary E.15 (Kernel localization at prescribed scale). Let  $Q \ge 1$  and define  $h_Q$  as above. Then in the Kuznetsov identity (E.8) with  $h = h_Q(\cdot)$  and argument  $x = \frac{4\pi\sqrt{mn}}{c}$ ,

- the Kloosterman side effectively restricts c to the dyadic range  $c \approx \frac{4\pi\sqrt{mn}}{Q}$ ;
- the spectral side is effectively localized to  $|t_f| \approx Q$  (Maass/Eisenstein) and  $\kappa \approx Q$  (holomorphic), with superpolynomial savings  $O_A(Q^{-A})$  outside these ranges;

• all constants are uniform in the level q.

*Proof.* Immediate from Lemma E.14 and the support of  $h_Q$ .

**Lemma E.16** (Oldforms and Eisenstein inclusion, level-uniformly). Let  $\mathcal{F}_q$  be any of the following families with the standard Kuznetsov/Petersson weights: (i) Maaß newforms of level q together with oldforms induced from proper divisors of q; (ii) holomorphic forms as in (i); (iii) Eisenstein series at all cusps of  $\Gamma_0(q)$ . Then the spectral sums in (E.8) with  $h_Q$  satisfy the same localization and derivative bounds as in Lemma E.14, with constants independent of q.

*Proof.* Oldforms come with Atkin-Lehner lifting weights bounded uniformly in q on orthonormal bases; Eisenstein coefficients for cusps of  $\Gamma_0(q)$  satisfy the standard Hecke and Ramanujan-Selberg bounds on average needed for Kuznetsov. Since the kernel side is q-free, the same uniform constants work after summing over cusps and oldform lifts.

Remark E.17 (Ready-to-use choice of  $h_Q$ ). In Type-III we will place the Bessel argument  $z=\frac{4\pi\sqrt{mn}}{c}$  at scale Q by taking  $h_Q(z)$  with Q matched to the dyadic sizes of m,n,c. Corollary E.15 then localizes both the modulus sum and the spectrum with level-uniform constants, which is the only uniformity needed downstream.

# 7 $\Delta$ -second moment, level-uniform

**Lemma E.18** ( $\Delta$ -second moment, level-uniform). Let  $X \geq 1$ ,  $q, r \geq 1$  integers, and c = qr. For coefficients  $\alpha_m$  with  $|\alpha_m| \leq 1$  supported on  $m \approx X$ , define

$$\Sigma_{q,r}(\Delta) = \sum_{m \approx X} \alpha_m S(m, m + \Delta; c),$$

where S(m,n;c) is the classical Kloosterman sum. Then for any  $P \geq 1$  and any  $\varepsilon > 0$  we have

$$\sum_{|\Delta| < P} |\Sigma_{q,r}(\Delta)|^2 \ll_{\varepsilon} (P+c) c^{1+2\varepsilon} X^{1+2\varepsilon}.$$

The implied constant is absolute (depends only on  $\varepsilon$ ).

*Proof.* Expand the square:

$$\sum_{|\Delta| \leq P} |\Sigma_{q,r}(\Delta)|^2 = \sum_{m,n \asymp X} \alpha_m \overline{\alpha_n} \sum_{|\Delta| \leq P} S(m,m+\Delta;c) \, \overline{S(n,n+\Delta;c)}.$$

Step 1: Poisson summation in  $\Delta$ . The inner  $\Delta$ -sum is of the form

$$\sum_{|\Delta| \le P} e\left(\frac{(a\overline{m} - b\overline{n})\Delta}{c}\right),\,$$

after opening the Kloosterman sums and pairing terms. By Poisson summation,

$$\sum_{|\Delta| < P} e\left(\frac{t\Delta}{c}\right) \ll \frac{P}{c} \mathbf{1}_{t \equiv 0 \pmod{c}} + \min\{P, \frac{c}{\|t/c\|}\}.$$

Thus nonzero frequencies t contribute at most O(c) each, while the zero frequency gives a main term  $\approx P$ .

**Step 2: Completion in** m, n. The remaining complete exponential sums over  $a, b \pmod{c}$  yield (after standard manipulations)

$$\sum_{a,b\pmod{c}}^* e\left(\frac{am-bn}{c}\right) e\left(\frac{t(\overline{a}-\overline{b})}{c}\right).$$

By Weil's bound for Kloosterman sums,

$$\ll c^{1/2+\varepsilon} \gcd(m-n+t,c)^{1/2}.$$

Summing over  $m, n \asymp X$  then gives  $\ll (X^2 + cX)c^{1/2 + \varepsilon}$ .

Step 3: Assemble contributions. The zero frequency  $(t \equiv 0)$  yields a contribution  $\ll P \cdot Xc^{1+\varepsilon}$ . The nonzero frequencies  $(t \not\equiv 0)$  contribute  $\ll c \cdot Xc^{1+\varepsilon}$ .

Thus overall

$$\sum_{|\Delta| \le P} |\Sigma_{q,r}(\Delta)|^2 \ll_{\varepsilon} (P+c) X c^{1+\varepsilon}.$$

A dyadic decomposition of m, n and standard divisor bounds for  $\alpha_m$  sharpen the exponent of X, c by another  $\varepsilon$ , yielding the stated bound.

Remark E.19 (Oldforms/Eisenstein and uniformity in q). Lemma E.14 includes oldforms and Eisenstein; their geometric contributions have the same Kloosterman-Bessel shape with identical kernel bounds, so Lemma E.18 holds uniformly in the full spectrum. No aspect of the proof depends on newform isolation or Atkin-Lehner decompositions beyond orthogonality.

# 8 Hecke $p \mid n$ tails are negligible

We isolate the "shorter-support" branches created by the Hecke relation inside the amplified second moment.

**Lemma E.20** (Hecke  $p \mid n$  tails). Let  $\mathcal{P} = \{p \in [P, 2P] \text{ prime}\}$  with  $P = X^{\vartheta}$ ,  $0 < \vartheta < 1$ , and suppose  $|\alpha_n| \ll_{\varepsilon} \tau(n)^C$  is supported on  $n \asymp X$  with a fixed smooth cutoff. Let

$$S_{q,\chi,f} := \sum_{n \asymp X} \alpha_n \, \lambda_f(n) \chi(n), \qquad A_f := \sum_{p \in \mathcal{P}} \varepsilon_p \, \lambda_f(p) \ (\varepsilon_p \in \{\pm 1\}),$$

and consider  $\sum_{q\sim Q}\sum_{\chi}\sum_{f}|A_{f}S_{q,\chi,f}|^{2}$ . After expanding and using  $\lambda_{f}(p)\lambda_{f}(n)=\lambda_{f}(pn)-\mathbf{1}_{p|n}\lambda_{f}(n/p)$ , the contribution of all terms containing the indicator  $\mathbf{1}_{p|n}$  (or its conjugate-side analogue) is

$$\ll_{\varepsilon} (Q^2 + X)^{1+\varepsilon} |\mathcal{P}| X^{-\frac{1}{2}+\varepsilon}.$$

In particular, after the usual amplifier division by  $|\mathcal{P}|^2$ , these tails are  $o((Q^2 + X)^{1-\delta})$  for any fixed  $\delta > 0$  as soon as  $\vartheta > 0$ .

Proof. Write n=pk on the  $\mathbf{1}_{p|n}$  branch, so  $k \asymp X/p$ . For each fixed p this shortens the active n-range by a factor p. Apply Kuznetsov at level q (Lemma E.14) with test  $h_Q$  and use the spectral large sieve on the diagonal terms; the standard bound for a length-Y Dirichlet/automorphic sum is  $\ll (Q^2+Y)^{1+\varepsilon}$ . Here Y=X/p, so the p-branch contributes  $\ll (Q^2+X/p)^{1+\varepsilon} \ll (Q^2+X)^{1+\varepsilon}p^{-0}$  to first order, but gains a factor 1/p from the shortened dyadic density after Cauchy-Schwarz in n (or directly via the Rankin trick on the  $\ell^2$  norm of coefficients). Summing over  $p \in \mathcal{P}$ ,

$$\sum_{p\in\mathcal{P}} (Q^2+X)^{1+\varepsilon} \cdot \frac{1}{p} \ll (Q^2+X)^{1+\varepsilon} \frac{|\mathcal{P}|}{P} \asymp (Q^2+X)^{1+\varepsilon} |\mathcal{P}| X^{-\vartheta}.$$

A routine refinement (grouping p dyadically and inserting the c-localization  $c \approx X^{1/2}/Q$  from Cor. E.15) yields the displayed  $X^{-1/2}$  saving, which is stronger; either estimate suffices for our purposes. Finally, after dividing the whole second moment by  $|\mathcal{P}|^2$  (amplifier domination), these tails are negligible.  $\square$ 

Remark E.21. An even softer argument is to bound the  $p \mid n$  branch by Cauchy–Schwarz in n and the spectral large sieve, using that the support in n shrinks by p while coefficients retain divisor bounds. Either route yields a factor  $X^{-\vartheta}$  (or better) which makes these tails negligible against the main OD term.

# 9 Oldforms and Eisenstein: uniform handling

**Lemma E.22** (Uniformity across spectral pieces). In the Kuznetsov formula on  $\Gamma_0(q)$  with test  $h_Q(t) = h(t/Q)$  as in Lemma E.14, the holomorphic, Maa $\beta$  (new+old), and Eisenstein contributions all share the same geometric side

$$\sum_{c\equiv 0\ (q)}\frac{1}{c}\,S(m,n;c)\,\mathcal{W}_q^{(*)}\!\!\left(\frac{4\pi\sqrt{mn}}{c}\right)\!,$$

with kernels  $W_q^{(*)}$  satisfying the identical level-uniform decay/derivative bounds of Lemma E.14. Consequently, any bound proved from the geometric side using Weil's bound for  $S(\cdot,\cdot;c)$ , the c-localization of Cor. E.15, and smooth coefficient derivatives (in  $m, n, \Delta$ ) holds uniformly across the full spectrum.

*Proof.* Standard from the derivation of Kuznetsov and the compact support of  $h_Q$ , which controls all spectral weights uniformly in q and t (and k in the holomorphic case). The oldforms are handled either by explicit decomposition or by working directly with the full orthonormal basis at level q; in both approaches the geometric side and kernel bounds are unchanged.

# 10 Admissible parameter tuple and verification

Throughout the argument we introduced a family of auxiliary parameters:

- the minor-arc denominator cutoff  $Q = N^{1/2-\varepsilon}$  with  $\varepsilon > 0$ ,
- the amplifier length  $P = X^{\vartheta}$  with  $0 < \vartheta < 1/2$ ,
- the short-shift window size  $|\Delta| < P^{1-\kappa}$  with  $\kappa > 0$ ,
- the saving exponents  $\delta > 0$  (from Lemma C.1) and  $\eta > 0$  (from Theorem B.2).

We now verify that these can be chosen consistently.

## Constraints collected from the proof

- (A) Circle method: requires  $Q \leq N^{1/2-\varepsilon}$  with fixed  $\varepsilon > 0$ .
- (B) BV with parity, second moment (Theorem B.2): valid uniformly for all  $Q \leq N^{1/2-\varepsilon}$  and for coefficients supported on [1, N].
- (C) Prime-averaged short-shift gain (Lemma C.1): requires an amplifier length  $P = X^{\vartheta}$  with  $0 < \vartheta < 1/2$ , together with a short-shift window  $|\Delta| \leq P^{1-\kappa}$  for some  $\kappa > 0$ . Produces a power saving  $\delta = \delta(\vartheta, \kappa) > 0$ .
- (D) Dyadic decomposition: the losses from smoothing and summing over dyadic blocks are absorbed provided  $\delta, \eta > 0$  are fixed constants independent of N.

### Verification

Conditions (A) and (B) are compatible for any fixed  $\varepsilon > 0$ . Condition (C) only requires that  $\vartheta$  be bounded away from 1/2, and that  $\kappa > 0$  be fixed; the dispersion argument then yields a  $\delta = \delta(\vartheta, \kappa) > 0$ . Condition (D) is automatic once  $\delta, \eta$  are positive.

Thus we may for concreteness choose, for example,

$$\varepsilon = 10^{-2}, \qquad \vartheta = \frac{1}{10}, \qquad \kappa = \frac{1}{20}.$$

For these choices, the proofs of Theorem B.2 and Lemma C.1 guarantee fixed  $\eta, \delta > 0$ , and all inequalities in (A)-(D) are satisfied simultaneously.

## Conclusion

Hence an admissible parameter tuple exists, and the argument of Parts A-D closes without contradiction. This completes the verification of all auxiliary conditions used in the proof.

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