#### Proseminar: Deep learning for NLP

# A Fast and Accurate Dependency Parser using Neural Networks D. Chen, C. Manning

Tobias Pütz

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#### Outline

- Parsing
- 2 Dependency Parsing
- 3 Chen and Mannings Neural Network Dependency Parser
- 4 Recent development

## What is Parsing?

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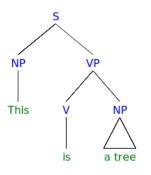
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One morning I shot an elephant in my pajamas. How he got in my pajamas, I don't know. *GrouchoMarx* 

# Two approaches to Parsing

 Constituency Parsing: assigns a deep nested structure according to a set of rules

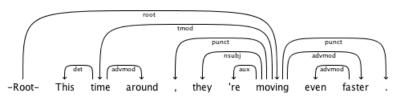


- S -> NP VP
- NP -> D NP
- VP -> V
- NP -> tree
- V -> is
- D -> a

② Dependency Parsing:

## Two approaches to Parsing

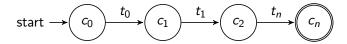
- Constituency Parsing: assigns a deep nested structure according to a set of rules
- 2 Dependency Parsing: assigns a flat structure that puts focus on binary relations (head-dependent) between words



https://nlp.stanford.edu/software/nndep.shtml

## Transition dependency Parser

- is very similar to bottom-up shift-reduce parsers
- has a runtime of O(n)
- tries to predict the **sequence of transitions** from the initial configuration  $c_0$  to the final configuration  $c_n$



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- s = Stack
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## Actions in arc-standard system (Nivre, 2004):

- 1 LEFT-ARC(I): adds arc  $s_1 - > s_2$  with label I to A and removes  $s_2$
- 2 RIGHT-ARC(I): adds arc  $s_2 - > s_1$  with label I to A and removes  $s_1$
- **3** SHIFT: moves  $b_1$  to the stack

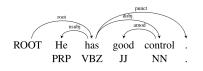
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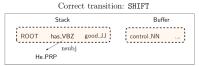
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## Final configuration:

- s = [root]
- b = ∅

## Greedy transition dependency Parser





| Transition       | Stack                   | Buffer                  | A                              |
|------------------|-------------------------|-------------------------|--------------------------------|
|                  | [ROOT]                  | [He has good control .] | Ø                              |
| SHIFT            | [ROOT He]               | [has good control .]    |                                |
| SHIFT            | [ROOT He has]           | [good control .]        |                                |
| LEFT-ARC(nsubj)  | [ROOT has]              | [good control .]        | $A \cup \text{nsubj(has,He)}$  |
| SHIFT            | [ROOT has good]         | [control .]             | -                              |
| SHIFT            | [ROOT has good control] | [.]                     |                                |
| LEFT-ARC(amod)   | [ROOT has control]      | [.]                     | $A \cup amod(control,good)$    |
| RIGHT-ARC(dobj)  | [ROOT has]              | [.]                     | $A \cup dobj(has,control)$     |
|                  |                         |                         |                                |
| RIGHT-ARC (root) | [ROOT]                  |                         | $A \cup \text{root}(ROOT,has)$ |

Chen and Manning, 2014



How does the parser learn the right transitions?

http://greekmythology.wikia.com

- the parser learns from an Oracle
- the Oracle extracts the gold sequences of transitions out of a treebank
- the Oracle is used to train a multi-class classifier

- How does the parser decide?
  - 1 extract all relevant words, their POS, their position on stack/buffer and any labels connecting them
  - 2 concatenate them together according to feature templates
  - 3 look up the vectors for these indicator features

#### Configuration:

| Stack                 |                |       | Buffer |                       |                | Arcs             |
|-----------------------|----------------|-------|--------|-----------------------|----------------|------------------|
| was                   | riding         | home  | on     | my                    | bicycle        | nsubj (I <- was) |
| <i>s</i> <sub>2</sub> | s <sub>1</sub> | $b_1$ | $b_2$  | <i>b</i> <sub>3</sub> | b <sub>4</sub> | a <sub>1</sub>   |

#### Features:

| s <sub>1w</sub> riding ⊙ b <sub>1t</sub> verb | s <sub>2t</sub> adj ⊙ s <sub>1t</sub> noun | s <sub>1t</sub> verb ⊙ b <sub>1t</sub> noun | s1wriding | s <sub>21</sub> nsubj |  |
|---|--|---|-----------|-----------------------|--|
| 0   | 0  | 1   | 1         | 1                     |  |

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  - 3 the feature templates are handcrafted
  - the feature-concatenation and lookup is extremely time consuming

## Chen and Mannings parser

- 1 Dense features through embeddings
- 2 The network
  - The input
  - The architecture
- 3 Training the network
- 4 Results

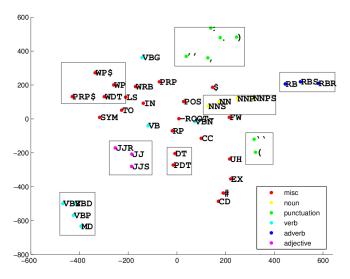
#### The Idea:

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  - king should be similar to queen while being different from airplane

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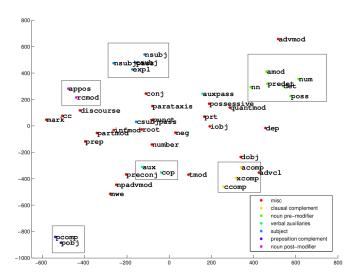
- words have semantic similarities and the word-vectors should reflect these
  - king should be similar to queen while being different from airplane
- POS tags and dependency labels also exhibit semantic similarity
  - NNS should be similar to NN while being different from VB
  - acomp should be similar to xcomp while being different from nsubj

# Visualized POS embeddings



Chen and Manning, 2014

## Visualized label embeddings



Chen and Manning, 2014

#### Word embeddings:

- each word is represented as a vector  $e_i^w \in R^d$
- the word-embedding matrix is  $E^w \in R^{d \times N_w}$

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#### Label embeddings:

- each label is represented as a vector  $e_i^l \in R^d$
- the label-embedding matrix is  $E^I \in R^{d \times N_I}$

where  $N_w$ ,  $N_t$ ,  $N_I$  are the number of words/pos-tags/labels

- the sets are called Sw, SI, St
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  - for non existent elements a special null token is introduced

## Feature example:

#### **Configuration:**

| Stack                 |                       |       | Buffer |       |         | Arcs             |
|-----------------------|-----------------------|-------|--------|-------|---------|------------------|
| was                   | riding                | home  | on     | my    | bicycle | nsubj (I <- was) |
| <i>s</i> <sub>2</sub> | <i>s</i> <sub>1</sub> | $b_1$ | $b_2$  | $b_3$ | $b_4$   | a <sub>1</sub>   |

#### Feature Sets:

```
S^w = \{\text{riding, null, null, null, was, I, null, ...}\}

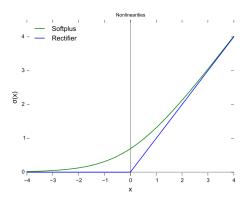
S^t = \{\text{VBG, null, null, null, NBP, PRP, null, ...}\}

S^l = \{\text{null, null, null, null, null, nsubj, null, ...}\}
```

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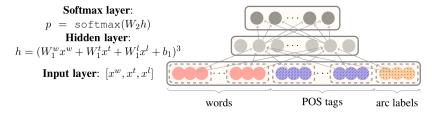
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- the output is produced by a softmax layer  $P(y|\mathbf{x}) = \frac{e^{\mathbf{W}_y^\mathsf{T} \mathbf{x} + \mathbf{b}_y}}{\sum_{k \in Y} e^{\mathbf{W}_k^\mathsf{T} \mathbf{x} + \mathbf{b}_k}}$

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- the output is produced by a softmax layer

#### The full network is then:

$$P(y|\mathbf{x}) = \frac{e^{\mathbf{W}_{y}^{\mathsf{T}}\mathbf{x} + \mathbf{b}_{y}}}{\sum_{k \in Y} e^{\mathbf{W}_{k}^{\mathsf{T}}\mathbf{x} + b_{k}}}$$



Chen and Manning 2014

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- **6** backpropagate the error, tune the weights using AdaGrad

# The objective function

$$L(\theta) = -\sum_{i} \log p_{t_i} + \frac{\lambda}{2} ||\theta||^2$$

where

$$\theta = \{W_{1-n}, b_{1-n}, E^w, E^l, E^t\}$$

- usual cross-entropy loss function with a l2-regularization term
- I2-regularization penalizes big parameters

#### Results:

 the parser achieves state-of-the-art accuracy while being significantly faster than other state-of-the-art parsers

| Parser     | Dev  |      | Test |      | Speed    | Parser     | Dev  |      | Test |      | Speed    |
|------------|------|------|------|------|----------|------------|------|------|------|------|----------|
|            | UAS  | LAS  | UAS  | LAS  | (sent/s) | raisei     | UAS  | LAS  | UAS  | LAS  | (sent/s) |
| standard   | 89.9 | 88.7 | 89.7 | 88.3 | 51       | standard   | 90.2 | 87.8 | 89.4 | 87.3 | 26       |
| eager      | 90.3 | 89.2 | 89.9 | 88.6 | 63       | eager      | 89.8 | 87.4 | 89.6 | 87.4 | 34       |
| Malt:sp    | 90.0 | 88.8 | 89.9 | 88.5 | 560      | Malt:sp    | 89.8 | 87.2 | 89.3 | 86.9 | 469      |
| Malt:eager | 90.1 | 88.9 | 90.1 | 88.7 | 535      | Malt:eager | 89.6 | 86.9 | 89.4 | 86.8 | 448      |
| MSTParser  | 92.1 | 90.8 | 92.0 | 90.5 | 12       | MSTParser  | 91.4 | 88.1 | 90.7 | 87.6 | 10       |
| Our parser | 92.2 | 91.0 | 92.0 | 90.7 | 1013     | Our parser | 92.0 | 89.7 | 91.8 | 89.6 | 654      |

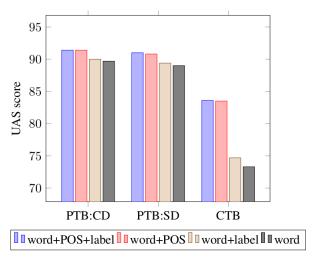
PTB with CoNLL dependecies

PTB with Stanford dependecies

Chen and Manning, 2014

### Results:

the POS and Label embeddings have proven to be useful



Chen and Manning, 2014

#### Results:

- the parser achieves state-of-the-art accuracy while being significantly faster than other state-of-the-art parsers
- the POS and Label embeddings have proven to be useful
- the network learned complex features from the single embedding representations

# Recent Development

### Newer approaches have included:

- Beam search instead of greedy search (Straka et al. 2015, SyntaxNet)
- use of **dynamic oracles** that make the parser more robust to recover from bad decisions (Straka et al. 2015, SyntaxNet)
- search for global optima instead of local config to config optima

## The End

Thank you for listening!

Questions?

#### Sources:

Chen, Danqi and Christopher D Manning. 2014. A fast and accurate dependency parser using neural networks. In Proceedings of the 2014 Conference on Empirical Methods in Natural Language Processing (EMNLP). pages 740–750.

**Nivre, Joakim** 2008. Algorithms for deterministic incremental dependency parsing. In: Computational Linguistics 34.4, 2008, 513-553.

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Milan Straka, Jan Hajič, Jana Straková and Jan Hajič jr. Parsing Universal Dependency Treebanks using Neural Networks and Search-Based Oracle. In Proceedings of the Fourteenth International Workshop on Treebanks and Linguistic Theories (TLT 14), December 2015.