

## Chapter 3. Growth of Functions

3.1-1.

$$(1/2)(f(n) + g(n)) \leq \max(f(n), g(n)) \leq f(n) + g(n) \Rightarrow \max(f(n), g(n)) = \Theta(f(n) + g(n))$$

3.1-2.

$$n^b \leq (n + a)^b \leq \left(\binom{n}{0} + \cdots + \binom{n}{n}\right)n^b \Rightarrow (n + a)^b = \Theta(n^b).$$

3.1-3.

Because it doesn't constrain the upper bound of the running time of algorithm A.

3.1-4.

$$\text{Yes, } 2^{n+1} = 2 \cdot 2^n.$$

No, for every  $c > 0$ , eventually  $2^{2n} > c2^n$ .

3.1-5.

$\Rightarrow$ : Trivial.

$\Leftarrow$ : Let  $n_1, n_2$  be two constants for definitions of  $O(g(n))$  and  $\Omega(g(n))$ . Defining  $n = \max(n_1, n_2)$  fulfills the definition of  $\Theta(g(n))$ .

3.1-6.

$\Rightarrow$ : Trivial.

$\Leftarrow$ : There are positive constants  $c_1, c_2, n_1, n_2$  such that  $0 \leq c_1g(n) \leq f_1(n)$  for all  $n \geq n_1$  and  $0 \leq f_2(n) \leq c_2g(n)$  for all  $n \geq n_2$ , where  $f_1(n), f_2(n)$  is the best-case/worst-case running time. From the inequalities, we can deduce that  $0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$  for all  $n \geq \max(n_1, n_2)$ , where  $f(n)$  is an arbitrary case running time. Therefore the running time is  $\Theta(g(n))$ .

3.1-7.

Fix  $k > 0$  and suppose there is a constant  $n_1 > 0, n_2 > 0$  such that  $0 \leq f(n) < kg(n)$  for all  $n \geq n_1$ ,  $0 \leq kg(n) < f(n)$  for all  $n \geq n_2$ . Then for  $n \geq \max(n_1, n_2)$ ,  $f(n) < kg(n) < f(n)$ , which is impossible.

3.1-8.

$\Omega(g(n, m)) = \{f(n, m) : \exists c, n_0, m_0 > 0 \text{ s.t. } 0 \leq cg(m, n) \leq f(n, m) \forall n, m \text{ s.t. } n \geq n_0 \text{ and } m \geq m_0\}.$

$\Theta(g(n, m)) = \{f(n, m) : \exists c_1, c_2, n_0, m_0 > 0 \text{ s.t. } 0 \leq c_1g(m, n) \leq f(n, m) \leq c_2g(m, n) \forall n, m \text{ s.t. } n \geq n_0 \text{ and } m \geq m_0\}.$

3.2-1.

$m \leq n \Rightarrow f(m) + g(m) \leq f(n) + g(n).$

$m \leq n \Rightarrow g(m) \leq g(n) \Rightarrow f(g(m)) \leq f(g(n)).$

$m \leq n \text{ and } f(n), g(n) \geq 0 \Rightarrow f(m)g(m) \leq f(n)g(n).$

3.2-2.

$\lg_b(1/a) = \lg_b(a^{-1}) = -\lg_b(a).$

$a = b^{\lg_b a} \Rightarrow 1 = \lg_a(b^{\lg_b a}) \Rightarrow \lg_b a = \frac{1}{\lg_a b}.$

$a^{\lg_b c} = c^{\lg_b c \lg_c a} = c^{\frac{\lg_c a}{\lg_c b}} = c^{\lg_b a}.$

3.2-3.

$2 \cdot 2 \cdots 2 < n! < n \cdot n \cdots n \Rightarrow n! = o(n^n), n! = \omega(2^n).$

$\lg n! = \lg 1 + \cdots + \lg n \leq n \lg n$ , and  $\lg n! = \lg 1 + \cdots + \lg n \geq \lg(n/2) + \cdots + \lg n \geq \lg(n/2) + \cdots + \lg(n/2) = (n/2) \cdot \lg(n/2) \geq (1/4)n \lg n \Rightarrow \lg n! = \Theta(n \lg n).$

3.2-4.

Let  $n = e^m$ , then  $(\lceil \lg n \rceil)! = m!$ . For any  $k > 0$ , we can pick large  $m$  such that  $\lg(m/2) > 2k$ , then  $m! > m \cdot (m-1) \cdot (m/2) = e^{(m/2) \lg(m/2)} > e^{mk} = n^k$ , so  $(\lceil \lg n \rceil)!$  is not polynomially bounded.

Let  $n = e^{e^m}$ , then  $(\lceil \lg \lg n \rceil)! = m!$ . Since  $\lg m! < \lg m^m = m \lg m < m^2 < e^m = \lg e^{e^m} = \lg n$ , we have  $(\lceil \lg \lg n \rceil)! < n$ , so  $(\lceil \lg \lg n \rceil)!$  is polynomially bounded.

3.2-5.

Let  $\lg^* n = k$ . Then  $\lg(\lg^* n) = \lg k$ ,  $\lg^*(\lg n) = k - 1$ , therefore  $\lg^*(\lg n)$  is asymptotically larger.

3.2-6.

For  $\phi$  and  $\hat{\phi}$ ,

$$x^2 = \frac{1 + \sqrt{5}^2 \pm 2\sqrt{5}}{4} = \frac{3 \pm \sqrt{5}}{2} = 1 + x.$$

3.2-7.

$$F_1 = 1 = \frac{\phi - \hat{\phi}}{\sqrt{5}} = \frac{2\sqrt{5}}{2\sqrt{5}}.$$

$$\phi^{i+1} - \hat{\phi}^{i+1} = \phi(\phi^i - \hat{\phi}^i) + \hat{\phi}(\phi^i - \hat{\phi}^i) + \phi\hat{\phi}^i - \phi^i\hat{\phi} = (\phi + \hat{\phi})(\phi^i - \hat{\phi}^i) - \phi\hat{\phi}(\phi^{i-1} - \hat{\phi}^{i-1})$$

Since  $\phi + \hat{\phi} = 1$  and  $\phi\hat{\phi} = -1$ ,  $F_{i+1} = F_i + F_{i-1}$ .

3.2-8.

$$c_1 n \leq k \lg k \leq c_2 n$$

If  $k$  is large enough,  $k \lg k < k^2$ ,

$$\Rightarrow c_1 n \leq k^2$$

$$\Rightarrow \lg n < 2 \lg k$$

$$\Rightarrow \frac{k}{2} < k \frac{\lg k}{\lg n} \leq c_2 \frac{n}{\lg n}$$

$$\Rightarrow k < \frac{2c_2 n}{\lg n}$$

Since  $k \lg k > k$ ,

$$\Rightarrow k < c_2 n$$

$$\Rightarrow \lg k < \lg c_2 + \lg n < 2 \lg n$$

$$\Rightarrow \frac{\lg k}{\lg n} < 2$$

$$\Rightarrow \frac{c_1 n}{\lg n} < \frac{k \lg k}{\lg n} < 2k$$

$$\Rightarrow \frac{c_1 n}{2 \lg n} < k$$

$$\Rightarrow k = \Theta\left(\frac{n}{\lg n}\right)$$

3-1.

- a.  $p(n) \leq d \max a_i n^d \leq d \max a_i n^k \Rightarrow p(n) = O(n^k)$ .
- b.  $p(n) \geq a_d n^d \geq a_d n^k \Rightarrow p(n) = \Omega(n^k)$ .
- c.  $p(n) \leq d \max a_i n^d, p(n) \geq a_d n^d \Rightarrow p(n) = \Theta(n^k)$ .
- d.  $p(n) \leq d \max a_i n^d < d \max a_i n^k \Rightarrow p(n) = o(n^k)$ .
- e.  $p(n) \geq a_d n^d > a_d n^k \Rightarrow p(n) = \omega(n^k)$ .

3-2.

- a.  $(\lg n)^k = \Omega(n^\epsilon), \omega(n^\epsilon)$ .
- b.  $n^k = \Omega(c^n), \omega(c^n)$ .
- c. No one of the five relationships holds.
- d.  $2^n = O(n^\epsilon), o(n^\epsilon)$ .
- e. Since  $n^{\lg c} = c^{\lg n}, n^{\lg c} = O(c^{\lg n}), o(c^{\lg n}), \Omega(c^{\lg n}), \omega(c^{\lg n}), \Theta(c^{\lg n})$ .
- f. As proved in 3.2-3  $\lg n! = O(\lg(n^n)), o(\lg n!), \Omega(\lg(n^n)), \omega(\lg n!), \Theta(\lg(n^n))$ .

3-3.

- a.  $1 < \lg(\lg^* n) < \lg^*(\lg n) = \lg^* n < \ln \ln n < 2^{\lg^* n} < \sqrt{\lg n} < \lg^2 n < 2^{\sqrt{2 \lg n}} < n^{1/\lg n} < \sqrt{2}^{\lg n} < n = 2^{\lg n} < n^2 = 4^{\lg n} = n^3 < n^{\lg \lg n} < (\lg n)^{\lg n} < (3/2)^n < 2^n < n2^n < e^n < (\lg n)! < n! < (n+1)! < 2^{2^n} < 2^{2^{n+1}}$ .
- b.  $f(n) = 2^{2^{n+1}}(1 + \sin n)$  is neither  $O(g_i(n))$  nor  $\Omega(g_i(n))$  for all  $g_i(n)$ .

3-4.

- a. False.  $f(n) = n, g(n) = n^2$
- b. False.  $f(n) = n, g(n) = n^2$
- c. False.  $f(n) = 2, g(n) = 1$ .
- d. False.  $f(n) = 2 \lg n, g(n) = \lg n$ .
- e. False.  $f(n) = 1/n$ .
- f. True. We have  $c, n_0 > 0$  such that  $f(n) < cg(n)$  for all  $n > n_0$ . Since  $(1/c)f(n) < g(n), g(n) = \Omega(f(n))$ .
- g. False.  $f(n) = n^n$ .
- h. True. Let  $g(n) = o(f(n))$ . For  $n_0 > 0$ , we have  $c > 0$  such that  $g(n) < cf(n)$  for  $n > n_0$ . This gives  $(1/c)(f(n) + g(n)) < (c+1)/(c)f(n) < (c+1)/(c)(f(n) + g(n)) < (c+1)^2/(c)f(n) \Rightarrow f(n) + g(n) = \Theta(f(n))$ .

3-5.

a. If  $f(n) \notin \tilde{\Omega}(g(n))$ , then for all  $c > 0$ ,  $f(n) \leq cg(n)$  for large enough  $n$ , therefore  $f(n) \in O(g(n))$ .

b. The definition of  $\tilde{\Omega}$  enables you to handle with "fluctuating" functions. However, it does not ensure asymptotic behavior over the whole set after some  $n_0$ .

c. The both directions are unaffected.  $f(n) = \Theta(g(n))$  ensures  $f(n) = O'(g(n))$  and  $f(n) = \Omega(g(n))$ . The converse direction also holds:  $f(n) = \Omega(g(n))$  ensures  $f(n)$  is asymptotically nonnegative. Combining this with  $f(n) = O'(g(n))$  gives  $f(n) = O(g(n))$ .

d.  $\tilde{\Omega}(g(n)) = \{f(n) : \exists c, k, n_0 > 0 \text{ s.t. } 0 \leq cg(n) \lg^{-k}(n) \leq f(n) \forall n \geq n_0\}$ .

$\tilde{\Theta}(g(n)) = \{f(n) : \exists c_1, k_1, c_2, k_2, n_0 > 0 \text{ s.t. } 0 \leq c_1 g(n) \lg^{-k_1}(n) \leq f(n) \leq c_2 g(n) \lg^{k_2}(n) \forall n \geq n_0\}$ .

The analog to Theorem 3.1 would be  $f(n) = \tilde{\Theta}(g(n)) \Leftrightarrow f(n) = \tilde{\Omega}(g(n))$  and  $f(n) = \tilde{O}(g(n))$ . The proof is trivial.

3-6.

a.  $f_c^*(n) = \Theta(n)$ .

b.  $f_c^*(n) = \Theta(\lg^* n)$ .

c.  $f_c^*(n) = \Theta(\lg n)$ .

d.  $f_c^*(n) = \Theta(\lg n)$ .

e.  $n^{2^{-i}} \geq 2 \Rightarrow 2^i = \Theta(\lg n) \Rightarrow f_c^*(n) = \Theta(\lg \lg n)$ .

f. The iterated functions do not converge.

g.  $n^{3^{-i}} \geq 2 \Rightarrow 3^i = \Theta(\lg n) \Rightarrow f_c^*(n) = \Theta(\lg \lg n)$ .

h. Since each iteration,  $f(n)$  decreases value by at least  $\lfloor \lg n \rfloor$  times, for fixed  $n$ ,  $\lfloor \lg n \rfloor$  iteration of  $f$  gives  $f^{(k)}(n) \leq 2 \Rightarrow f_c^*(n) = o(\lg n)$ .

Since each iteration, for fixed  $n$ , applying  $f$  decreases value by at most  $\lceil \lg n \rceil$  times, hence at least  $\lceil \lg \lg n \rceil$  iterations of  $f$  is needed to make  $f^{(k)}(n) \leq 2$ .