# **OPERATING SYSTEM AND LINUX PROGRAMMING**

## <u>UNIT-I</u>

## **OPERATING SYSTEM**

An operating system (OS) is a fundamental software component that manages and controls the hardware resources of a computer system. It acts as an intermediary between the user or application software and the underlying hardware, providing a stable and efficient platform for executing various tasks and managing system resources.

### Here's a detailed explanation of the key functions and components of an operating system:

## 1. Resource Management:

- Processor Management: The OS allocates CPU time to various processes and threads, ensuring that each gets a fair share of processing power and preventing conflicts.
- Memory Management: It oversees the allocation and deallocation of system memory (RAM) to different processes, ensuring efficient and secure use of memory resources.
- File System Management: The OS manages files and directories, providing mechanisms for reading, writing, and organizing data on storage devices such as hard drives and SSDs.
- Device Management: It controls and coordinates access to hardware devices like keyboards, mice, printers, and network interfaces, managing device drivers and handling I/O requests.

## 2. Process and Thread Management:

- Processes: An OS allows the execution of multiple processes simultaneously. Each process has its own memory space and resources, and the OS manages their creation, scheduling, and termination.
- Threads: Processes can have multiple threads of execution, each with its own set of instructions. The OS manages thread creation, scheduling, and synchronization.

#### 3. User Interface:

- Most modern operating systems provide a user-friendly interface for interacting with the computer, which can be a graphical user interface (GUI) like Windows, macOS, or Linux desktop environments, or a command-line interface (CLI) like the Windows Command Prompt or Linux Terminal.

### 4. Security and Access Control:

- OSes enforce security policies and access control mechanisms to protect system resources and data from unauthorized access and malicious software. This includes user authentication, file permissions, and encryption.

### 5. File System and Storage Management:

- The OS organizes data on storage devices into a hierarchical file system, allowing users and applications to store, retrieve, and manipulate data. It manages file metadata, directory structures, and access permissions.

### 6. Networking:

- Modern operating systems include networking functionality to facilitate communication between devices and access to the internet. They manage network interfaces, protocols, and network configurations.

### 7. Error Handling and Fault Tolerance:

- OSes are designed to handle errors and unexpected events gracefully, often providing mechanisms like error logging, recovery, and system backups to ensure data integrity and system reliability.

## 8. Resource Scheduling:

- The OS uses various scheduling algorithms to efficiently allocate CPU time and other resources to processes and threads, optimizing system performance.

### 9. Inter Process Communication (IPC):

- OS provide mechanisms for processes to communicate and share data with each other, enabling collaboration between applications.

#### 10. Virtualization:

- Some operating systems support virtualization, allowing multiple virtual machines to run concurrently on the same physical hardware, each with its own OS instance.

## 11. System Monitoring and Performance Analysis:

- OS often include tools for monitoring system performance, resource usage, and troubleshooting issues.

### **FUNCTIONS OF AN OPERATING SYSTEM**

The functions of an operating system (OS) are crucial for managing and controlling a computer system's hardware and software resources efficiently.

### Here, we'll dive into each of these functions in detail:

### 1. Process Management:

- Process Creation: The OS creates and initializes new processes, allowing multiple programs to run simultaneously.

- Process Scheduling: It manages the allocation of CPU time to processes, deciding which process should run and for how long. Various scheduling algorithms are used to optimize system performance.
- Process Termination: The OS terminates processes when they finish executing or encounter an error, releasing associated resources.

### 2. Memory Management:

- Memory Allocation: The OS allocates and deallocates memory to processes as needed, ensuring efficient use of available RAM.
- Memory Protection: It prevents processes from accessing memory areas assigned to other processes, ensuring data isolation and security.
- Virtual Memory: Many OSes support virtual memory, allowing processes to use more memory than physically available by swapping data between RAM and disk storage.

## 3. File System Management:

- File Creation and Deletion: The OS provides functions to create, delete, and manage files and directories.
  - File Access: It controls read and write access to files, enforcing permissions and security.
- File I/O: The OS manages input and output operations, ensuring data is correctly read from and written to storage devices.

### 4. Device Management:

- Device Detection: The OS identifies and initializes hardware devices during system startup.
- Device Drivers: It loads and manages device drivers to facilitate communication between software and hardware components.
- Device I/O: The OS controls input and output operations to and from devices such as keyboards, mice, printers, and network interfaces.

## 5. User Interface:

- Graphical User Interface (GUI): Many OSes provide GUIs for user interaction, featuring windows, icons, menus, and a mouse-driven interface.
- Command-Line Interface (CLI): Some OSes offer text-based interfaces, where users interact with the system by typing commands into a terminal or console.

## 6. Security and Access Control:

- User Authentication: The OS verifies user identities to grant or deny access to the system.
- Access Permissions: It enforces access control policies, regulating which users or processes can access specific resources.

- Encryption: Some OSes offer encryption features to protect data at rest and during transmission.

## 7. Networking:

- Network Configuration: The OS manages network interfaces and settings, allowing the system to connect to local and remote networks.
- Network Protocols: It supports various network protocols and services for data exchange and communication.

## 8. Error Handling and Fault Tolerance:

- Error Detection: The OS identifies and reports errors and system faults, helping administrators diagnose and resolve issues.
- Fault Tolerance: Some OSes incorporate fault tolerance mechanisms to continue functioning even in the presence of hardware failures.

### 9. Resource Scheduling:

- CPU Scheduling: The OS optimizes CPU utilization by assigning processing time to processes efficiently.
- I/O Scheduling: It manages input and output requests to storage devices and network interfaces for optimal data transfer.

## 10. Inter Process Communication (IPC):

- The OS facilitates communication between processes and threads, allowing them to share data and synchronize their activities.

### 11. System Monitoring and Performance Analysis:

- The OS includes tools for monitoring system performance, resource usage, and diagnosing performance bottlenecks.

### 12. Backup and Recovery:

- Some OSes offer backup and recovery features to protect data from loss due to hardware failures or accidental deletion.

## SIMPLE BATCH SYSTEM

A Simple Batch System, also known as a batch processing system, is a type of operating system that manages and executes batches of computer jobs without any user interaction during their execution. These systems were prevalent in the early days of computing and are still used in certain scenarios where automated, non-interactive processing is required.

## Here's a detailed explanation of simple batch systems:

#### 1. Job Submission:

- In a simple batch system, users submit batches of jobs to the system through job control languages or scripts. These job submissions typically include instructions on what programs to run and their input data.

#### 2. Job Scheduling:

- The operating system's batch scheduler is responsible for queuing and prioritizing the submitted jobs. It determines which job will run next based on various criteria, such as job priority and resource availability.

#### 3. No User Interaction:

- Unlike interactive operating systems, simple batch systems do not require user interaction during job execution. Once a job is submitted, it runs to completion without any user input or intervention.

#### 4. Resource Allocation:

- The batch system manages the allocation of resources, including CPU time, memory, and peripheral devices, to each job in the queue. It ensures that jobs do not interfere with each other's resources.

#### 5. Job Execution:

- The jobs in the batch are executed in the order determined by the scheduler. The OS loads the necessary programs and data into memory, runs the jobs, and captures their output.

#### 6. Job Termination:

- When a job completes its execution, the batch system releases the allocated resources, closes any open files, and records the job's exit status.

### 7. Error Handling:

- Batch systems typically have limited error handling capabilities. If a job encounters an error during execution, it may be terminated, and the system might log the error for later review by administrators.

### 8. Output Handling:

- The batch system manages the storage and retrieval of job output. Completed job output, which may include reports, files, or data, is typically saved for later retrieval or further processing.

### 9. Batch Processing Advantages:

- Batch systems are suited for tasks that require large-scale data processing, such as payroll processing, data analysis, and report generation.
- They optimize resource utilization by running jobs in sequence without the overhead of user interactions.

- Batch systems are often used for tasks that can be automated and scheduled to run during off-peak hours to minimize system load.

#### 10. Limitations:

- Batch systems are less interactive and flexible compared to modern interactive operating systems.
- They may not be suitable for tasks that require real-time responsiveness or user interaction.
- Debugging and diagnosing errors in batch jobs can be challenging, as there is no immediate user feedback.

### 11. Examples:

- Mainframe computers and large-scale servers often employ batch processing systems for handling tasks like transaction processing, data warehousing, and batch reporting.

#### **MULTI-PROGRAMMED BATCH SYSTEM**

A Multi-programmed Batch System is an improvement over simple batch systems and represents a more sophisticated approach to efficiently utilizing computer resources. In a multi-programmed batch system, multiple jobs are loaded into the computer's memory simultaneously, and the operating system switches between them to keep the CPU busy. This approach enhances resource utilization, reduces idle time, and increases overall system throughput.

### Here's a detailed explanation of multi-programmed batch systems:

### 1. Job Queue:

- Users submit batches of jobs to the system. These jobs are placed in a job queue, awaiting their turn for execution.

### 2. Memory Management:

- The operating system is responsible for managing memory. It divides the available memory into partitions or pages and allocates a portion to each job in the queue. This allows multiple jobs to reside in memory concurrently.

## 3. Job Scheduling:

- Unlike simple batch systems, where jobs are executed in a strict order, multi-programmed batch systems employ a more dynamic approach to scheduling. The operating system selects a job from the job queue to run based on various criteria, such as job priority and resource availability.

### 4. Job Loading:

- The selected job is loaded into memory for execution. This process involves transferring the program's code and data from storage (e.g., disk) into available memory partitions.

#### 5. CPU Execution:

- Once a job is in memory, the CPU is assigned to execute it. The CPU executes a job until it either completes its execution or requires I/O operations.

### 6. I/O Operations:

- When a job requests I/O operations (e.g., reading from or writing to a file), it may enter an I/O queue. While waiting for the I/O to complete, the CPU can be switched to execute another job that is ready for CPU processing. This technique is known as I/O overlapping.

#### 7. Job Termination:

- A job may terminate its execution either by completing its tasks or encountering an error. When a job finishes, it releases the allocated memory and other resources.

### 8. Context Switching:

- The operating system must perform context switches when switching between jobs. This involves saving the current state of the CPU, including registers and program counters, and restoring the state of the next job to be executed.

#### 9. Job Prioritization:

- Multi-programmed batch systems often employ priority-based scheduling. Jobs with higher priorities are given preference in the job selection process, ensuring that critical or timesensitive tasks are handled promptly.

## 10. Resource Management:

- The operating system manages system resources such as CPU time, memory, and I/O devices to ensure fair and efficient resource allocation among multiple jobs.

#### 11. Feedback Mechanism:

- Some multi-programmed batch systems incorporate feedback mechanisms that adjust job priorities or scheduling parameters based on job behavior. For example, long-running jobs may have their priorities reduced to prevent them from monopolizing resources.

### 12. Advantages:

- Improved resource utilization: Multiple jobs can run concurrently, reducing idle CPU time.
- Increased system throughput: Jobs are processed more efficiently, leading to faster completion times.
- Enhanced job isolation: Jobs are protected from interfering with each other's memory space and resources.

## 13. Limitations:

- Complex management: Managing multiple jobs concurrently requires more sophisticated algorithms and resource management.
- Overhead: Context switching and memory management introduce overhead, which can impact performance.
- Fairness challenges: Prioritizing jobs can be complex, and fairness must be ensured among competing jobs.

#### **TIME-SHARING SYSTEMS**

## 1. Purpose:

- Time-sharing systems, also known as multi-user systems, are designed to support multiple users simultaneously. They allow multiple users to access and interact with the computer system concurrently.

#### 2. User Interaction:

- Time-sharing systems provide a multi-user environment where each user can log in and run their own processes concurrently. Users interact with the system through terminals or, in modern times, remote connections.

### 3. Resource Sharing:

- These systems efficiently share system resources such as CPU time, memory, and I/O devices among multiple users and processes.
- Time-sharing operating systems use scheduling algorithms to allocate CPU time fairly among active processes, ensuring that no single user monopolizes system resources.

### 4. Responsiveness:

-Time-sharing systems are designed for responsiveness and interactivity. They provide quick response times to user inputs, making them suitable for tasks that require real-time interaction, like text editing, command execution, and software development.

#### 5. Multi-Tasking:

- Time-sharing systems support multi-tasking, allowing users to run multiple applications and switch between them seamlessly. Each user's tasks are treated as separate processes, and the OS manages their execution.

### 6. Security and Isolation:

- These systems implement user authentication and access control mechanisms to ensure that users can only access their own data and processes, enhancing security and user privacy.

### 7. Examples:

- Unix and its derivatives (Linux, macOS) are classic examples of time-sharing operating systems. They provide a multi-user environment where users can log in remotely and run various tasks simultaneously.
- Mainframes and mid-range servers often use time-sharing systems to support multiple users and applications concurrently.

## **PERSONAL COMPUTER SYSTEMS**

#### 1. Purpose:

- Personal computer (PC) operating systems are designed for single-user environments, typically found on desktops and laptops. They provide a platform for individual users to perform tasks and run applications on their personal computers.

#### 2. User Interaction:

- PC operating systems offer a user-friendly graphical interface (GUI) that enables users to interact with the computer using a mouse and keyboard. Users work in their private user accounts.

#### 3. Resource Allocation:

- Unlike time-sharing systems, PC operating systems allocate all available system resources to a single user. This means the user has exclusive access to the CPU, memory, and peripherals during their session.

## 4. Applications and Software:

- Personal computer systems come with a wide range of software applications, including productivity tools, games, web browsers, and multimedia software.
  - Users can install additional software to tailor their computer to their specific needs.

#### 5. User Data:

- Personal computer systems store user-specific data in individual user profiles, ensuring data privacy and separation.

### 6. Examples:

- Microsoft Windows is one of the most well-known personal computer operating systems. Versions like Windows 10 and Windows 11 are used on millions of desktop and laptop computers worldwide.
- macOS, developed by Apple, is another popular PC operating system used on Apple's desktop and laptop computers.

- Various Linux distributions (distros) offer personal computer operating systems that cater to different user preferences and needs.

## 7. Flexibility:

- PC operating systems are highly customizable, allowing users to install, configure, and personalize their computing environment to their liking.
- Users have full control over their personal computers, including software installation, system settings, and customization of the user interface.

#### **PARALLEL SYSTEMS**

#### Definition:

Parallel systems, also known as parallel computing systems, involve the simultaneous execution of multiple tasks or processes to solve a single problem. These systems are designed to improve computational speed and performance by leveraging multiple processors or cores.

## **Block Diagram:**

Here's a simplified block diagram of a parallel system:

- Input Data: The initial data or problem to be solved.
- Parallel Processing: Multiple CPUs or processor cores work concurrently on the same task, dividing the workload.
- Combined Output: The results from individual processors are combined to produce the final output.

### **DISTRIBUTED SYSTEMS**

### **Definition:**

Distributed systems are a network of interconnected computers that work together to achieve a common goal. These systems are designed to provide efficient resource sharing, communication, and fault tolerance across geographically dispersed nodes.

### **Block Diagram:**

Here's a simplified block diagram of a distributed system:

- Input Data: The initial data or problem to be solved, often distributed across multiple nodes.
- Distributed Processing: Multiple computers (nodes) cooperate and communicate to collectively solve a larger problem. Each node may process part of the data.
- Combined Output: The results from different nodes are combined to produce the final output.

#### **REAL-TIME SYSTEMS**

### **Definition:**

Real-time systems are computer systems that are designed to respond to external events or inputs within a specified time constraint. These systems are used in applications where timing and predictability are critical, such as industrial control systems and embedded systems.

### **Block Diagram:**

Here's a simplified block diagram of a real-time system:

- Real-Time Input Events: External events or inputs that trigger actions in the real-time system.
- Real-Time Processing: Tasks and processes executed within strict timing constraints to respond to input events promptly.
- Real-Time Output Actions: Actions or responses generated by the real-time system in response to input events, often with minimal latency.

### **INTRODUCTION TO LINUX**

Linux is an open-source, Unix-like operating system kernel initially developed by Linus Torvalds in 1991. Since then, it has evolved into a powerful and versatile operating system that forms the basis for many different distributions, or "distros," each tailored to specific use cases. Linux is known for its stability, security, and flexibility, and it has become a dominant force in the world of computing, powering everything from servers and supercomputers to embedded devices and smartphones.

## **Here's a detailed introduction to Linux:**

#### 1. Open Source and Free Software:

- Linux is released under an open-source license, typically the GNU General Public License (GPL). This means that anyone can view, modify, and distribute the source code freely. This open nature has fostered a large and active community of developers and users who contribute to its development and improvement.

### 2. Unix-Like Operating System:

- Linux is inspired by the Unix operating system, which is known for its robustness, scalability, and multi-user capabilities. Linux inherits many Unix concepts and features, making it a powerful and reliable choice for various applications.

### 3. Distributions (Distros):

- Linux comes in various distributions or distros, each with its own package manager, configuration tools, and software selection. Some popular Linux distros include Ubuntu, Fedora, Debian, CentOS, and Arch Linux.
- Different distros cater to various use cases, from desktop computing to server hosting, embedded systems, and specialized tasks.

## 4. Command-Line Interface (CLI):

- Linux is renowned for its powerful command-line interface (CLI). Users can interact with the system using text commands, which allows for precise control and automation.
  - The Bash shell is one of the most widely used command-line interfaces on Linux.

## 5. Graphical User Interface (GUI):

- While Linux is often associated with the command line, it also offers graphical user interfaces (GUIs) through desktop environments like GNOME, KDE, and Xfce.
  - Linux GUIs provide a user-friendly environment similar to Windows or macOS.

## 6. Software Package Management:

- Linux distros provide package managers (e.g., apt, yum, pacman) that simplify software installation, updates, and removal.
- Software repositories host thousands of pre-built applications that can be easily installed using package managers.

### 7. Stability and Reliability:

- Linux is known for its stability and reliability. It can run for extended periods without requiring a reboot (often referred to as "uptime").
  - Many mission-critical systems and servers run Linux due to its robustness.

### 8. Security:

- Linux has a strong security model with user-level permissions and access controls. It is less susceptible to malware and viruses compared to some other operating systems.
  - Frequent security updates and patches help maintain system integrity.

### 9. Versatility:

- Linux can be customized and configured for various applications, from web servers and databases to scientific research and embedded systems.
  - It runs on a wide range of hardware platforms, including x86, ARM, and more.

### 10. Community and Support:

- The Linux community is vast and active. Online forums, mailing lists, and documentation are readily available to assist users and developers.
- Commercial support is also available through companies like Red Hat, SUSE, and Canonical for enterprise users.

### ARCHITECTURE OF THE LINUX OPERATING SYSTEM

## **Linux Architecture:**

#### 1. Hardware Layer:

- The hardware layer represents the physical computer hardware, including the CPU, memory, storage devices, input/output devices, and networking interfaces.

#### 2. Kernel:

- At the core of the Linux operating system is the kernel. The kernel is responsible for interacting with the hardware and managing system resources. It provides essential services such as process management, memory management, device management, and file system access.

### 3. System Libraries:

- On top of the kernel, there is a layer of system libraries. These libraries include the C standard library (libc) and other libraries that provide essential functions and APIs for applications to interact with the kernel. Examples include the GNU C Library (glibc).

## 4. System Utilities:

- The system utilities layer contains essential command-line utilities and system management tools that help users and administrators interact with the operating system. Examples include shell utilities, file management tools, and system monitoring tools.

#### 5. Shell:

-The shell is a command-line interface that allows users to interact with the system by typing text commands. The shell interprets user commands and communicates with the kernel to execute them. Popular shells include Bash (Bourne Again Shell), Zsh, and Fish.

### 6. Graphical User Interface (GUI):

- Linux offers various desktop environments and window managers that provide a graphical user interface for users who prefer a more visually-oriented interaction with the system. Common desktop environments include GNOME, KDE, Xfce, and LXQt.

### 7. Applications:

- At the top layer, you have user applications. These can be open-source or proprietary software, including web browsers, office suites, development tools, multimedia applications, and more.

## 8. User Space and Kernel Space:

- Linux operates in two distinct modes: user space and kernel space. User space contains user-level applications and libraries, while kernel space contains the Linux kernel itself. The transition between user space and kernel space is controlled by system calls.

### Simplified Block Diagram:

Here's a simplified block diagram of the Linux operating system architecture:

```
tuser Applications |
| Graphical User Interface |
| System Utilities (Commands) |
| System Libraries (glibc, etc.)|
| Kernel |
| (Process Management, Memory, I/O, |
| File Systems, Device Drivers, etc.)|
| Hardware Layer |
```

- User Applications: These are the software programs that users interact with directly. They include web browsers, text editors, media players, and more.
- Graphical User Interface (GUI): This optional layer provides a graphical environment for users who prefer a visual interface.
- System Utilities: Command-line utilities and system management tools for system administration and interaction.

- System Libraries: Libraries that provide essential functions and APIs for applications to interact with the kernel.
- Kernel: The core of the operating system, responsible for managing hardware resources and providing system services.
- Hardware Layer: The physical computer hardware, including CPU, memory, storage, and peripherals.

## **BASIC COMMANDS OF LINUX**

### **Basic Linux Commands for Getting Help and Documentation:**

Linux provides several commands and tools to access documentation and get help on using various commands and programs.

## 1. "man" Command (Manual Pages):

The "man" command is used to display the manual pages for other commands and programs installed on your system. Manual pages provide detailed information, including command usage, options, and descriptions.

Syntax:

## man [option] command

### Example:

To view the manual page for the "ls" command (used to list files and directories), you would use:

## man ls

You can navigate through the manual page using arrow keys, the spacebar, and the 'q' key to quit.

## 2. "info" Command (GNU Info System):

The "info" command provides documentation in a structured, hypertext format. It's commonly used for GNU software and provides more detailed information than standard manual pages.

Syntax:

## info [option] command

### Example:

To view the information about the "tar" command (used for archiving files), you can use:

### info tar

The "info" system uses a hierarchical structure for documentation, and you can navigate using various keys (e.g., arrow keys, 'n' for next, 'p' for previous, 'u' for up, and 'q' to quit).

## 3. "help" Command:

The "help" command is used to display built-in help for shell commands and shell built-ins. It provides a brief overview of available options and usage for shell commands.

Syntax:

## help [command]

## Example:

To get help for the "cd" command (used for changing directories), you can use:

## help cd

This command is shell-specific, and the available help topics may vary depending on the shell you're using (e.g., Bash, Zsh).

## 4. "whatis" Command:

The "whatis" command is used to display a one-line description of a command or program as found in the system's manual pages (man pages).

Syntax:

## whatis command

#### Example:

To find a brief description of the "pwd" command (used for printing the current working directory), you can use:

### whatis pwd

This command provides a concise summary of the command's purpose.

## 5. "apropos" Command:

The "apropos" command is used to search for commands and programs related to a specific keyword or topic. It returns a list of commands with descriptions that match the specified keyword.

Syntax:

## apropos keyword

Example:

To search for commands related to file compression, you can use:

## apropos compression

This command is useful when you are looking for commands related to a specific task or topic.

## **BASIC DIRECTORY NAVIGATION**

## 1. "pwd" (Print Working Directory):

- -The "pwd" command is used to display the current working directory, which is the directory you are currently in.
  - Example:

bwd

Output: "/home/user/documents"

## 2. "cd" (Change Directory):

- The "cd" command is used to change your current directory.
- Example:

## cd /home/user/documents

This command would change your working directory to "/home/user/documents."

## 3. "Is" (List Directory Contents):

- The "Is" command is used to list the files and directories in the current directory.

- Example:

ls

Output: "file1.txt directory1 file2.txt"

## 4. "mkdir" (Make Directory):

- The "mkdir" command is used to create a new directory.
- Example:

## mkdir my\_folder

This would create a new directory named "my\_folder."

## 5. "rmdir" (Remove Directory):

- The "rmdir" command is used to remove an empty directory.
- Example:

## rmdir my\_folder

This would delete the "my\_folder" directory if it's empty.

## 6. "cp" (Copy):

- The "cp" command is used to copy files and directories from one location to another.
- Example (copy a file):

## cp file1.txt /path/to/destination

- Example (copy a directory and its contents):

## cp -r directory1 /path/to/destination

## 7. "mv" (Move or Rename):

- The "mv" command is used to move files and directories from one location to another or rename them.
  - Example (move a file):

## mv file1.txt /path/to/destination

- Example (rename a file):

```
mv old_name.txt new_name.txt
```

## 8. "rm" (Remove):

- The "rm" command is used to delete files and directories.
- Example (remove a file):

## rm file2.txt

- Example (remove a directory and its contents):

```
rm -r directory2
```

## 9. "cat" (Concatenate and Display):

- The "cat" command is used to display the contents of a file or concatenate multiple files and display their content.
  - Example:

```
cat file1.txt
```

## 10. "file" (File Type):

- The "file" command is used to determine the type of a file (e.g., text, binary, directory).
- Example:

## file file1.txt

Output: "file1.txt: ASCII text"

## 11. "date" Command:

- The "date" command displays the current date and time.

Syntax:

```
date [options]
```

Example:

```
date
```

Output:

```
Sat Sep 3 14:31:47 UTC 2022
```

## 12. "cal" Command:

- The "cal" command displays a calendar for a specific month or year.

Syntax:

```
cal [month] [year]
```

Example:

```
cal 9 2022
```

Output:

```
September 2022
Su Mo Tu We Th Fr Sa

1 2 3

4 5 6 7 8 9 10

11 12 13 14 15 16 17

18 19 20 21 22 23 24

25 26 27 28 29 30
```

## 13. "echo" Command:

- The "echo" command is used to print text or variables to the terminal.

Syntax:

```
echo [options] [text]
```

Example:

```
echo "Hello, World!"
 Output:
 Hello, World!
14. "bc" Command:
 - The "bc" command is a calculator that can perform arithmetic operations.
 Syntax:
 bc
 Example:
 bc
 Input:
 5 + 3
 Output:
```

## 15. "Is" Command:

- The "Is" command lists files and directories in the current directory.

Syntax:

```
ls [options] [directory]
```

Example:

ls -1

Output:

```
total 4
-rw-r--r-- 1 user user 0 Sep 3 14:42 file1.txt
-rw-r--r-- 1 user user 0 Sep 3 14:42 file2.txt
drwxr-xr-x 2 user user 0 Sep 3 14:42 dir1
```

## 16. "who" Command:

- The "who" command displays information about users currently logged into the system.

Syntax:

```
who [options]
```

Example:

who

Output:

```
username1 tty1 2022-09-03 14:45
username2 tty2 2022-09-03 14:46
```

## 17. "whoami" Command:

- The "whoami" command prints the username of the current user.

Syntax:

whoami

Example:

whoami

Output:

user

## 18. "hostname" Command:

- The "hostname" command displays the system's hostname.

Syntax:

hostname [options]

Example:

hostname

Output:

myhostname

## 19. "uname" Command:

- The "uname" command provides system information, such as the kernel name, node name, release, version, and machine.

Syntax:

uname [options]

Example:

uname -a

Output:

Linux myhostname 5.10.0-9-amd64 #1 SMP Debian 5.10.70-1 (2021-09-29) x86\_64

## 20. "tty" Command:

- The "tty" command prints the file name of the terminal connected to the standard input.

Syntax:

tty

Example:

tty

## Output:

## /dev/pts/0

### 21. Aliases:

- Aliases are custom shortcuts or abbreviations for longer commands. You can create aliases using the "alias" command or by editing configuration files like ".bashrc".

Syntax (Creating an alias):

```
alias alias_name="command_to_alias"
```

Example:

```
alias ll="ls -l"
```

Now, you can use "II" instead of "Is -I" to list files with long format.

## **VI EDITOR**

Vi is a widely used text editor in Unix-like operating systems, known for its powerful editing capabilities and efficiency. It operates entirely in the terminal, making it a popular choice for programmers, system administrators, and experienced users.

## Here, we'll cover the basics of using Vi:

## **Starting Vi:**

1. To start Vi, open your terminal and type:

## vi filename

Replace "filename" with the name of the file you want to edit or create.

2. If the file doesn't exist, Vi will create a new file with that name.

### **MODES IN VI**

The Vi text editor operates in three primary modes, each with distinct functionalities. Understanding these modes is essential for efficient text editing in Vi:

### 1. Normal Mode (Command Mode):

- Default Mode: When you launch Vi, you start in Normal Mode.
- Functionality: In Normal Mode, you can navigate through the document, issue commands, and perform various text manipulation tasks without entering or modifying the text content.
  - Keybindings: Normal Mode uses keybindings for commands and navigation, such as:
    - "h" (left), "j" (down), "k" (up), "l" (right) for cursor movement.
  - "x" to delete a character, "dd" to delete a line, "yy" to yank (copy) a line, and "p" to paste.
  - ":w" to save changes, ":q" to quit, and ":wq" to save and quit.
- Changing Modes: You can enter Insert Mode by pressing "i", "l", "a", or "A" from Normal Mode. To return to Normal Mode from Insert Mode, press "Esc".

#### 2. Insert Mode:

- Functionality: In Insert Mode, you can insert and edit text within the document as you would in a typical text editor. This is where you input and modify content.
- Keybindings: The keybindings in Insert Mode are similar to those in other text editors. You can type and edit text freely.
  - Exiting Insert Mode: To exit Insert Mode and return to Normal Mode, press the "Esc" key.

### 3. Visual Mode:

- Functionality: Visual Mode allows you to select text in the document for copying, cutting, or other manipulation. It's a mode for highlighting and working with text.
  - Keybindings: Visual Mode provides various keybindings for selecting text, such as:
    - "v" to start character-wise selection.
    - "V" to start line-wise selection.
    - "Ctrl+v" to start block-wise (column) selection.
- After selecting text, you can use commands like "x" (cut), "y" (yank), or "d" (delete) to manipulate the selected content.
  - Exiting Visual Mode: Press "Esc" to exit Visual Mode and return to Normal Mode.

## **Workflow Example:**

Let's say you want to delete a word in Vi:

- 1. In Normal Mode, move the cursor to the beginning of the word.
- 2. Press "v" to enter Visual Mode.
- 3. Move the cursor to select the entire word.
- 4. Press "d" to delete the selected word.
- 5. Press "Esc" to return to Normal Mode.

## CREATING, SAVING, AND EXECUTING A SHELL

Creating, saving, and executing a shell script using the Vi text editor involves several steps. Here's a detailed guide:

## Step 1: Open Vi and Create a New File:

- 1. Open your terminal.
- 2. Launch Vi by typing "vi" followed by the name of the script you want to create. For example, to create a script called "myscript.sh", you would type:

vi myscript.sh

### **Step 2: Enter Insert Mode and Write Your Script:**

By default, you'll be in Normal Mode when Vi opens. To enter Insert Mode and start writing your script:

- 1. Press "i" (for insert before the cursor) or "I" (for insert at the beginning of the line).
- 2. Start typing your shell script. You can use standard shell script syntax. For example, here's a simple "Hello, World!" script:

#!/bin/bash
echo "Hello, World!"

## **Step 3: Save Your Script:**

Once you've written your script, you need to save it:

1. Press "Esc" to exit Insert Mode and return to Normal Mode.

2. To save your script, type ":w" (colon followed by "w") and press "Enter". This command tells Vi to write (save) the file.

### Step 4: Exit Vi:

To exit Vi and return to the terminal:

1. In Normal Mode, type ":q" and press "Enter". This command tells Vi to quit.

If you made changes to the script and want to save and exit in one command, you can use ":wq" instead of ":w" and ":q".

## **Step 5: Make the Script Executable:**

Before you can execute your shell script, you need to make it executable. In the terminal, use the "chmod" command:

## chmod +x myscript.sh

This command grants execute permissions to the script.

### **Step 6: Execute Your Shell Script:**

Now that your script is saved and executable, you can run it from the terminal:

### ./myscript.sh

Replace "myscript.sh" with the name of your script. The "./" before the script name specifies that you want to execute a script in the current directory.

Your script will run, and the output (if any) will be displayed in the terminal.

That's it! You've successfully created, saved, and executed a shell script using the Vi text editor. You can continue to edit and improve your scripts as needed, and Vi provides a powerful environment for text editing and scripting on Unix-like systems.

## **UNIT-II**

#### PROCESS CONCEPT

A process in computing is a fundamental concept representing a running program. It's a dynamic entity that includes not only the program code but also its current execution state, memory, resources, and system-related information. Each process operates independently of others, and the operating system (OS) manages and schedules processes for efficient execution.

## **Key attributes of a process include:**

- 1. Program Counter (PC): Keeps track of the address of the next instruction to be executed within the program code.
- 2. Registers: Store data and control information, including processor registers and flags.
- 3. Stack: Used for function call and local variable storage.
- 4. Heap: Reserved for dynamic memory allocation (e.g., malloc in C/C++).
- 5. Process Identifier (PID): A unique identifier assigned by the OS to each process.
- 6. Status: Represents the current state of the process (e.g., running, waiting, terminated).

## **PROCESS SCHEDULING**

Process scheduling is the mechanism by which the OS determines which process should execute next on the CPU. It's crucial for managing CPU resources efficiently and ensuring fair execution of multiple processes.

The process scheduling algorithm's primary goal is to maximize system performance by minimizing CPU idle time and ensuring fairness among processes.

## Common scheduling algorithms include:

- 1. First-Come-First-Served (FCFS): Processes are executed in the order they arrive.
- 2. Shortest Job Next (SJN): The process with the shortest execution time is selected next.
- 3. Round Robin (RR): Each process is assigned a fixed time quantum, and they take turns executing.
- 4. Priority Scheduling: Processes are assigned priority levels, and higher-priority processes are executed first.
- 5. Multilevel Queue Scheduling: Processes are grouped into multiple queues, each with its own scheduling algorithm.

## **OPERATIONS ON PROCESSES**

Operations on processes are actions or functionalities provided by the OS to manage and control processes effectively.

#### Some common operations include:

- 1. Creation: Creating a new process, typically using system calls like "fork()" in Unix-like systems.
- 2. Termination: Terminating a process that has completed its execution or is no longer needed.
- 3. Synchronization: Managing synchronization and communication between processes using mechanisms like semaphores, mutexes, and message queues.
- 4. Communication: Allowing processes to exchange data and information, often through interprocess communication (IPC) mechanisms.
- 5. Suspension and Resumption: Temporarily suspending a process to free up CPU resources and later resuming its execution.
- 6. Priority Adjustment: Changing the priority or scheduling characteristics of a process to optimize resource allocation.

### **CPU SCHEDULING**

CPU scheduling is a fundamental aspect of operating system design that determines how the CPU (Central Processing Unit) allocates its time among multiple processes or threads competing for execution. This allocation is essential to optimize system performance and ensure fairness.

### **BASIC CONCEPTS**

### 1. Process Burst Time:

- The time a process takes to execute a specific CPU-bound task is known as its burst time. Processes alternate between CPU bursts (execution) and I/O bursts (waiting for I/O operations).

#### 2. Scheduler:

- The scheduler is the part of the operating system responsible for selecting the next process to run on the CPU.

## 3. Queueing Models:

- CPU scheduling can be understood through queueing models, where processes are organized into various queues based on their status (e.g., ready queue, blocked queue).

## **SCHEDULING CRITERIA**

To evaluate the performance of scheduling algorithms, various criteria are used:

#### 1. CPU Utilization:

- Maximizing CPU utilization is crucial to keep the CPU busy and efficient.

### 2. Throughput:

- Throughput measures the number of processes completed within a given time, reflecting the system's overall efficiency.

#### 3. Turnaround Time:

- Turnaround time is the total time taken for a process to execute, including waiting time in queues and actual execution time.

### 4. Waiting Time:

- Waiting time represents the total time a process spends in the ready queue, waiting for execution.

## 5. Response Time:

- Response time is the time it takes for a system to respond to an event or for the first output to be produced. In the context of CPU scheduling, it refers to the time a process takes to start executing after being submitted.

### **SCHEDULING ALGORITHMS**

Several CPU scheduling algorithms are employed to determine which process should be given the CPU next.

### Here are some common algorithms:

### 1. First-Come, First-Served (FCFS):

- The simplest scheduling algorithm, FCFS serves processes in the order they arrive. It suffers from the "convoy effect" where a long process can hold up short ones behind it.

## 2. Shortest Job First (SJF):

- SJF selects the process with the shortest burst time next. It minimizes average waiting time but can lead to starvation for long processes.

## 3. Priority Scheduling:

- Each process is assigned a priority, and the process with the highest priority runs next. Priorities can be static or dynamic. Starvation is possible for low-priority processes.

## 4. Round Robin (RR):

- RR allocates a fixed time slice (quantum) to each process in the ready queue. If a process doesn't complete within its quantum, it's placed back in the queue. It provides fairness but can result in high context-switching overhead.

### 5. Multilevel Queue Scheduling:

- Processes are grouped into multiple queues with different priorities. Each queue may use a different scheduling algorithm.

## 6. Multilevel Feedback Queue Scheduling:

- Similar to multilevel queues but allows processes to move between queues based on their behavior (e.g., aging, priority boost). It provides more flexibility.

### PROCESS SYNCHRONIZATION

Process synchronization is a fundamental concept in operating systems that deals with coordinating the execution of multiple processes or threads to ensure correct and orderly access to shared resources. It prevents conflicts and race conditions that can occur when multiple processes or threads access shared data simultaneously.

### **BACKGROUND**

In a multi-process or multi-threaded environment, processes/threads often share resources like memory, files, or devices. Synchronization is essential to avoid problems like data corruption, inconsistent states, and deadlocks when multiple processes/threads access shared resources concurrently.

## THE CRITICAL-SECTION PROBLEM

The critical-section problem is a synchronization problem where multiple processes compete for access to a shared resource or a section of code (known as a "critical section") with the following conditions:

- 1. Mutual Exclusion: At most one process can be executing in its critical section at any given time.
- 2. Progress: If no process is in its critical section and some processes wish to enter it, only those processes not in their remainder section can participate in deciding which will enter its critical section next, and this selection cannot be postponed indefinitely.
- 3. Bounded Waiting: There exists a bound on the number of times other processes are allowed to enter their critical sections after a process has requested to enter its critical section and before that request is granted.

### SEMAPHORES SOLUTION TO THE CRITICAL-SECTION PROBLEM

Semaphores are synchronization mechanisms introduced by Dijkstra in 1965 to address the critical-section problem. A semaphore is a variable or abstract data type that provides two atomic operations: "wait" (P) and "signal" (V).

## 1. Wait (P) Operation:

- If the semaphore value is greater than 0, decrement it by 1 and continue.
- If the semaphore value is 0, the process/thread is blocked until the semaphore becomes greater than 0.

## 2. Signal (V) Operation:

- Increment the semaphore value by 1.
- If there are blocked processes/threads waiting for the semaphore, wake up one of them.

To solve the critical-section problem using semaphores, you can implement the following structure:

```
Semaphore mutex = 1; // Binary semaphore to ensure mutual exclusion
```

- Each process/thread, before entering its critical section, executes a "wait(mutex)" operation.
- After completing the critical section, it performs a "signal(mutex)" operation to release the semaphore, allowing other processes to enter.

## Here's a simplified example in pseudo-code:

```
Process P1:
while true:
    wait(mutex)
    # Critical Section
    signal(mutex)
    # Remainder Section

Process P2:
while true:
    wait(mutex)
    # Critical Section
    signal(mutex)
    # Remainder Section
```

## PROCESS-RELATED COMMANDS

- 1. "ps" Command (Process Status):
  - The "ps" command provides information about currently running processes.

Syntax:

## ps [options]

Example:

#### ps aux

This command displays a list of all processes with detailed information, including their process IDs (PIDs), resource utilization, and user ownership.

- 2. "top" Command:
- -The "top" command is an interactive tool that provides real-time information about system processes, resource usage, and system performance.

Syntax:

top

Example:

top

This command opens an interactive interface showing a dynamic list of processes, CPU usage, memory usage, and other system statistics.

- 3. "pstree" Command:
- -The "pstree" command displays the processes in a hierarchical tree structure, showing their parent-child relationships.

Syntax:

pstree [options]

## Example:

```
pstree -p
```

This command generates a tree-like representation of processes, with each process listed beneath its parent process.

- 4. "nice" Command:
- The "nice" command is used to modify the priority of a process. Lower values indicate higher priority.

Syntax:

```
nice [options] command
```

Example:

```
nice -n -10 ./my_process
```

This command starts the "my\_process" program with a higher priority (lower nice value).

- 5. "renice" Command:
  - The "renice" command allows you to change the priority of an already running process.

Syntax:

```
renice [options] priority -p pid
```

Example:

```
renice -n 10 -p 12345
```

This command increases the priority (decreases the nice value) of the process with PID 12345.

#### PROCESS-RELATED SYSTEM CALLS

- 1. "fork()" System Call:
- -The "fork()" system call creates a new process that is a copy of the calling process. It is often used for creating child processes.

Example (C code snippet):

```
#include <stdio.h>
#include <unistd.h>

int main() {
    pid_t child_pid = fork();
    if (child_pid == 0) {
        printf("This is the child process.\n");
    } else if (child_pid > 0) {
        printf("This is the parent process.\n");
    } else {
        perror("Fork failed");
        return 1;
    }
    return 0;
}
```

- 2. "exec()" System Calls (e.g., "execve()", "execl()"):
- The "exec()" family of system calls replaces the current process with a new one. It is commonly used to start a different program within the same process.

Example (C code snippet):

```
#include <stdio.h>
#include <unistd.h>

int main() {
    execl("/bin/ls", "ls", "-l", NULL);
    printf("This line will not be executed.\n");
    return 0;
}
```

# UNIT-III

#### **MEMORY MANAGEMENT**

Memory Management in computer systems is the process of managing and organizing computer memory to ensure that it is used efficiently and effectively. Memory management involves various techniques and strategies to provide processes with access to the memory resources they need.

#### **BACKGROUND**

# In modern computer systems, memory management is essential for several reasons:

- 1. Resource Allocation: Memory management allocates memory to processes, data, and the operating system itself.
- 2. Protection: It ensures that one process cannot access the memory space of another process, preventing unauthorized access.
- 3. Sharing: Memory management allows multiple processes to share memory when needed.
- 4. Optimization: It optimizes memory usage to prevent fragmentation and waste of resources.

#### **LOGICAL VS. PHYSICAL ADDRESS SPACE**

- **Logical Address Space:** This is the range of addresses that a process uses to reference memory locations. It starts from address 0 and goes up to the maximum address space a process can access.
- **Physical Address Space:** This is the actual physical memory installed in the computer. It consists of physical memory addresses that correspond to storage locations in the hardware.

#### **SWAPPING**

- Swapping is a memory management technique used when the physical memory is insufficient to hold all the processes in execution.
- It involves moving parts of processes in and out of main memory (RAM) and secondary storage (usually disk).
- When a process is swapped out, its entire state is saved to disk, and when it's swapped in, it's restored to main memory.
- Swapping allows for more processes to run than can fit in RAM at the same time, but it can be slow due to the time it takes to read/write from/to disk.

#### **CONTIGUOUS ALLOCATION**

- Contiguous allocation assigns a single contiguous block of memory to a process.
- It's simple and efficient but can lead to fragmentation issues, both external (unused memory fragments outside allocated blocks) and internal (unused memory within an allocated block).
- Example: In a system with 8GB of RAM, if a process requires 2GB, it is allocated a continuous 2GB block of memory.

#### **SEGMENTATION**

- Segmentation divides memory into different segments, where each segment corresponds to a specific type of data or functionality.
- Each segment can grow or shrink independently.
- Example: In a segmented memory system, you might have separate segments for code, data, stack, and heap.

#### **PAGING**

- Paging divides memory into fixed-size blocks called pages, and processes are divided into fixed-size blocks called frames.
- The mapping between logical and physical addresses is managed through page tables.
- Paging eliminates external fragmentation, as pages can be allocated non-contiguously.
- Example: In a system with 4KB pages and a process requiring 12KB of memory, it would be allocated three pages, using 12KB of physical memory.

#### VIRTUAL MEMORY

Virtual Memory is a memory management technique that provides an illusion of a larger main memory (RAM) by using a combination of RAM and secondary storage (usually a hard disk). Virtual memory allows processes to access more memory than is physically available by temporarily transferring data between RAM and disk storage as needed.

# **VIRTUAL MEMORY**

- Virtual Memory: It creates an abstraction layer over physical memory, allowing processes to use more memory than the physical RAM available.
- Benefits: Provides process isolation, enables larger programs to run, simplifies memory management, and supports multitasking.

#### **DEMAND PAGING**

- Demand Paging: A technique in virtual memory where pages are loaded into RAM from secondary storage only when they are needed.
- Advantages: Reduces initial loading time, conserves physical memory, and minimizes disk I/O.
- Example: Suppose you have a word processing program open, but you haven't accessed all the functions yet. Demand paging loads the program code and data into RAM as you use various features, rather than loading the entire program at once.

#### PERFORMANCE OF DEMAND PAGING

- Page Fault: Occurs when a process tries to access a page not currently in RAM.
- Page Hit: Occurs when the required page is already in RAM.
- Performance Metrics: Page fault rate and page fault service time.

#### PAGE REPLACEMENT

- Page Replacement: When all RAM frames are occupied, the operating system must select a page in RAM for replacement.
- Objective: Minimize the number of page faults.
- Example: Let's say you have four frames in RAM (A, B, C, D), and you need to bring a new page (X) into RAM. To make space, you choose one of the frames (e.g., B) to be replaced with X.

#### PAGE REPLACEMENT ALGORITHMS

## 1. FIFO (First-In, First-Out):

- The oldest page in RAM is replaced.
- Simple to implement but doesn't always yield optimal results.

#### 2. LRU (Least Recently Used):

- The least recently used page in RAM is replaced.
- More accurate but requires tracking usage patterns.

#### 3. Optimal Page Replacement:

- Replaces the page that won't be used for the longest time in the future.
- Theoretical best but often impractical due to future knowledge.

#### **ALLOCATION OF FRAMES**

- Fixed Allocation: Each partition gets a fixed number of frames.
- Proportional Allocation: Divide frames based on process size or priority.
- Priority Allocation: Allocate more frames to high-priority processes.

#### **THRASHING**

- Thrashing: Occurs when the system spends most of its time swapping pages between RAM and disk, resulting in a severely degraded system performance.
- Causes: Insufficient physical memory, improper page replacement algorithms, or excessive concurrent processes.
- Example: If the system has too many processes, each requiring a large number of pages, it may spend more time swapping pages in and out of RAM than executing instructions.

To mitigate thrashing, the system must either reduce the number of active processes or increase available physical memory.

# **DEADLOCKS**

Deadlocks are situations in a computer system where two or more processes or threads are unable to proceed because they are each waiting for the other(s) to release resources, resulting in a standstill. Deadlocks can occur in multi-process and multi-threaded systems, often in situations involving shared resources.

## **SYSTEM MODEL**

### To discuss deadlocks, we define a system model consisting of the following components:

- 1. Resources: These can be devices (e.g., printers, CPUs) or data structures (e.g., files, semaphores) that processes or threads request and release.
- 2. Processes: These are the entities in the system that can request resources, use resources, and release resources.
- 3. Resource Types: Different types of resources exist, each with multiple instances. For example, if a printer is a resource, there may be multiple printers available.

#### **DEADLOCK CHARACTERIZATION**

Deadlocks are characterized by four conditions known as the "Coffman Conditions." For a deadlock to occur, all four conditions must be satisfied simultaneously:

- 1. Mutual Exclusion (Mutual Exclusion Condition): At least one resource must be non-shareable, meaning that only one process can use it at a time.
- 2. Hold and Wait (Hold and Wait Condition): A process must be holding at least one resource and waiting for one or more additional resources held by other processes.
- 3. No Preemption (No Preemption Condition): Resources cannot be forcibly taken away from a process; they can only be released voluntarily.
- 4. Circular Wait (Circular Wait Condition): There must exist a circular chain of two or more processes, each waiting for a resource held by the next process in the chain.

#### **Example**

Let's consider an example involving two processes (P1 and P2) and two resources (R1 and R2). Both processes need both resources to complete their tasks:

- 1. Mutual Exclusion: Both R1 and R2 are non-shareable resources. Only one process can access each resource at a time.
- 2. Hold and Wait: P1 acquires R1 and then waits for R2. Simultaneously, P2 acquires R2 and waits for R1.
- 3. No Preemption: Resources cannot be forcibly taken away. P1 cannot take R2 from P2, and vice versa.
- 4. Circular Wait: There is a circular chain of waiting. P1 is waiting for R2, and P2 is waiting for R1. This forms a circular dependency.

```
Initial state:
P1: Holds R1, Waits for R2
P2: Holds R2, Waits for R1
```

In this state, neither P1 nor P2 can proceed because they are both waiting for resources held by the other. This situation constitutes a deadlock, and unless broken, both processes will remain stuck indefinitely.

#### **HANDLING DEADLOCKS**

Handling deadlocks in computer systems is essential to ensure that processes or threads do not get stuck indefinitely. There are several methods for dealing with deadlocks, including deadlock prevention, deadlock avoidance, deadlock detection, and deadlock recovery.

#### **DEADLOCK PREVENTION**

Deadlock prevention techniques are proactive measures taken to ensure that at least one of the four necessary conditions for a deadlock cannot hold.

## There are several methods for preventing deadlocks:

#### 1. Mutual Exclusion:

- Solution: Ensure that resources can be shared by multiple processes or threads simultaneously.
- Example: In a printing system, multiple processes should be allowed to read a printer's status without preventing others from reading it concurrently. In this case, mutual exclusion is not required.

#### 2. Hold and Wait:

- Solution: Processes must request all required resources upfront or release all held resources when requesting new ones.
- Example: In a banking application, a process must request both the source and destination accounts' locks before transferring funds. If one lock is unavailable, the process releases any acquired locks and retries.

## 3. No Preemption:

- Solution: If a process needs a resource held by another process, the system can preempt (take away) the resource from the latter.
- Example: In real-time systems, a high-priority process may preempt a lower-priority one if it urgently needs a resource.

#### 4. Circular Wait:

- Solution: Impose a total ordering of resources and require that processes request resources in that order.
- Example: Consider three resources (R1, R2, R3). If one process starts by requesting R1, another starts by requesting R2, and the third starts by requesting R3, the circular wait condition is broken.

#### **RESOURCE ALLOCATION GRAPH (RAG)**

To implement deadlock prevention based on the Circular Wait condition, a data structure called a Resource Allocation Graph (RAG) is used. It is a directed graph where:

- Nodes represent processes (P) and resource types (R).
- Edges represent resource allocation (P  $\rightarrow$  R), resource request (P  $\rightarrow$  R), and resource release (R  $\rightarrow$  P).

The RAG is constructed based on the state of the system, and cycles in the graph indicate potential deadlocks. A cycle in the RAG implies that a circular wait condition exists.

## **BANKER'S ALGORITHM**

The Banker's Algorithm is a popular method for deadlock prevention. It is used to determine whether a resource allocation request can be granted without causing a deadlock. It keeps track of available resources and processes' maximum resource requirements.

## Here's a simplified example:

Suppose we have three resource types (A, B, C) and three processes (P1, P2, P3). The following tables show the maximum demand of each process and the current allocation:

```
Maximum Demand:

A B C
P1 7 5 3
P2 3 2 2
P3 9 0 2

Currently Allocated:

A B C
P1 0 1 0
P2 2 0 0
P3 3 0 2

Available Resources:
A 3, B 3, C 2
```

Using the Banker's Algorithm, we can check if a request, such as P1 requesting one unit of resource B, can be granted without causing a deadlock. If the system can find a safe sequence of resource allocation, the request is granted; otherwise, it is denied.

Deadlock prevention techniques like the Banker's Algorithm aim to ensure that a deadlock cannot occur by carefully managing resource allocation and process requests. While they can be effective, they may require additional overhead and may not be suitable for all scenarios.

#### **DEADLOCK AVOIDANCE**

Deadlock avoidance is a proactive approach to managing resources and process requests to prevent deadlocks from occurring. It uses various algorithms to decide whether a resource allocation request should be granted based on the current state of the system and the potential for deadlock. One commonly used algorithm is the Banker's Algorithm.

# **Example (Banker's Algorithm):**

Consider a simplified example with three resource types (A, B, C), three processes (P1, P2, P3), and the following tables:

```
Maximum Demand:

A B C
P1 7 5 3
P2 3 2 2
P3 9 0 2

Currently Allocated:

A B C
P1 0 1 0
P2 2 0 0
P3 3 0 2

Available Resources:
A 3, B 3, C 2
```

With the Banker's Algorithm, the system can determine whether a resource allocation request, such as P1 requesting one unit of resource B, can be granted without causing a deadlock. If the request can be satisfied while maintaining a safe sequence of resource allocation, it is granted; otherwise, it is denied.

#### **DEADLOCK DETECTION**

Deadlock detection is a reactive approach to handling deadlocks. Instead of trying to prevent them, it identifies the existence of a deadlock after it has occurred. Detection typically involves periodically checking the system's state and resource allocation graph for the presence of a cycle, which indicates a potential deadlock.

#### Example:

Consider a system with three processes (P1, P2, P3) and three resources (R1, R2, R3). The following resource allocation graph shows a cycle, indicating a potential deadlock:

```
P1 P2
I I
V V
R1 R2
I I
V V
P3 P1
```

In this case, the cycle involves processes P1 and P2 waiting for resources held by each other, which meets the circular wait condition. A deadlock is detected when this cycle is identified.

# **RECOVERY FROM DEADLOCK**

Once a deadlock is detected, recovery mechanisms are used to resolve it.

## There are several strategies for recovering from deadlocks:

- **Process Termination:** One or more processes involved in the deadlock are terminated to release their resources. This approach is suitable when processes can be safely restarted or when some processes have lower priority.
- **Resource Preemption:** Resources are preempted (taken away) from processes to break the deadlock. The preempted processes are then rolled back to some safe state and restarted.
- Wait-Die and Wound-Wait Schemes: In database systems, these schemes control which processes are allowed to wait for resources and which are aborted (killed). Wait-Die allows older processes to wait for resources, while Wound-Wait allows younger processes to wait.
- Process Restart: In some cases, the entire system may be restarted to break the deadlock.

# **UNIT-IV**

#### INFORMATION MANAGEMENT

Information Management refers to the process of acquiring, organizing, storing, and retrieving information effectively and efficiently. In computer systems, information management is critical for storing and accessing data and files.

# INTRODUCTION TO INFORMATION MANAGEMENT

Information management involves handling data and information to support various business processes, decision-making, and operations.

#### It encompasses the following aspects:

- 1. Data Acquisition: Collecting data from various sources, such as sensors, databases, users, or external systems.
- 2. Data Storage: Organizing and storing data in a structured and efficient manner, including data structures, databases, and files.
- 3. Data Retrieval: Accessing and retrieving data when needed, often through querying or searching.
- 4. Data Processing: Manipulating and transforming data to generate insights, reports, or other valuable information.
- 5. Data Security: Ensuring the confidentiality, integrity, and availability of data while protecting it from unauthorized access or loss.

#### **FILE CONCEPT**

In information management, a file is a fundamental unit used to store and organize data. Files can contain various types of data, such as text, documents, images, videos, or program instructions. Each file is identified by a unique name and often includes metadata, such as its size, creation date, and permissions.

#### **Example:**

Consider a simple text file named "example.txt" containing the following text:

```
Hello, this is an example text file.

It contains some sample text for illustration.
```

In this example, "example.txt" is a file with textual content.

#### **ACCESS METHODS**

Access methods are techniques or mechanisms for reading and writing data to and from files. Different access methods are suitable for various types of files and data structures.

### Here are some common access methods:

#### 1. Sequential Access:

- In sequential access, data is read or written sequentially from the beginning to the end of a file.
- This method is efficient for files where data is stored in a continuous stream, such as text files.
  - Example: Reading a text file line by line.

#### 2. Random Access:

- Random access allows direct access to any part of the file without reading data sequentially.
- This method is suitable for files with structured data, such as databases.
- Example: Accessing a specific record in a database file using an index.

#### 3. Indexed Sequential Access Method (ISAM):

- ISAM combines sequential and random access methods by using an index to quickly locate records.
  - It is often used in databases and is more efficient than pure sequential access.
  - Example: Accessing records in a database file using an index.

#### 4. Direct Access File System (DAFS):

- DAFS is a file system designed for high-performance, parallel access to files in a distributed environment.
  - It provides efficient random access to files stored across multiple nodes.
  - Example: A cluster of servers accessing shared files in a distributed file system.

#### 5. Content-Addressable Storage (CAS):

- CAS is a storage system that retrieves data based on its content rather than its location.
- It is suitable for deduplication and data archiving.

- Example: Storing and retrieving duplicate files in a deduplication system.

#### **DIRECTORY AND DISK STRUCTURE**

# **Directory Structure**

- A directory is a container that holds files and other directories.
- Directories are organized hierarchically, forming a tree-like structure.
- The top-level directory is often called the root directory.
- Each directory can contain files and subdirectories.
- Directories are used to group related files and provide a logical organization of data.

#### **Example Directory Structure:**

In this example, the root directory / contains subdirectories for user home directories, system logs, web server files, and system configuration. Each user's home directory contains subdirectories for documents, photos, and music.

#### **Disk Structure**

- The disk structure refers to how data is physically organized on storage devices, such as hard drives or solid-state drives (SSDs).
- Disks are divided into blocks or sectors, which are the smallest units of data storage.

- File systems manage the allocation and organization of data on disks.
- Disks may have multiple partitions, each with its own file system.

# **Example Disk Structure:**

A disk can be divided into partitions, such as "C:" and "D:" on a Windows system or "/dev/sda1" and "/dev/sda2" on a Linux system. Each partition has its file system and directory structure.

## **FILE PROTECTION**

File protection mechanisms ensure that files are accessed and modified only by authorized users and processes. Common file protection features include:

#### 1. File Permissions:

- Each file has associated permissions that specify who can read, write, or execute the file.
- Permissions are typically represented as three sets of flags: owner, group, and others.
- Examples:
  - "rw-r--r-" Owner can read and write; others can only read.
  - "rwxr-xr-x" Owner can read, write, and execute; others can only execute.

#### 2. Ownership:

- Each file is associated with an owner and a group.
- Owners can modify permissions and access files regardless of permissions.
- Groups allow multiple users to share access to files.

## 3. Access Control Lists (ACLs):

- ACLs provide more fine-grained control over file access by allowing specific permissions for individual users or groups.
  - Example:
    - User "alice" can read and write, while user "bob" can only read a file.

#### 4. File Attributes:

- Additional attributes can be associated with files, such as read-only, hidden, or system attributes.
  - These attributes control special behaviors or visibility.

## **Example File Protection:**

Let's consider a Linux file named "confidential.txt" with the following permissions:

```
-rw----- 1 user1 user1 25 Sep 10 09:00 confidential.txt
```

In this example:

- "rw-----" indicates that the owner (user1) has read and write permissions.
- The file is owned by "user1" and belongs to the group "user1."
- Other users and groups have no access to the file.

Only "user1" can read and modify "confidential.txt." Other users have no access to it due to strict file permissions.

#### **LINUX FILE SECURITY**

Linux File Security is based on a robust permission system that governs who can access, modify, or execute files and directories. In Linux, file security is managed through permission types and access control settings.

### **PERMISSION TYPES**

Linux file permissions consist of three basic types, each represented by a letter or symbol:

- 1. Read (r): Allows users to read the contents of a file or list the contents of a directory.
- 2. Write (w): Allows users to modify the file's content or create/delete files in a directory.
- 3. Execute (x): Allows users to execute a file or access the contents of a directory if they have read and execute permissions for it.

#### **PERMISSION LEVELS**

#### Linux files and directories have permission settings for three levels of users:

- 1. Owner (u): The user who owns the file or directory.
- 2. Group (g): A group of users who have common access permissions.
- 3. Others (o): All other users on the system who are neither the owner nor in the group.

# **EXAMINING PERMISSIONS**

You can examine the permissions of a file or directory using the "Is" command with the "-I" option. The output of "Is -I" displays the file permissions, owner, group, file size, modification time, and file/directory name.

#### Here's an example of how to examine permissions:

```
$ ls -1 /path/to/your/file
```

# **Example Output:**

```
-rw-r--r-- 1 user1 users 12345 Sep 10 09:00 myfile.txt
```

#### In this output:

- "-rw-r--r" represents the file's permissions. The first character indicates the file type (regular file in this case). The next nine characters are grouped into three sets of three. Each set represents permissions for the owner, group, and others, respectively.
  - "rw-" (Owner): The owner (user1) has read and write permissions.
  - "r--" (Group): The group (users) has read-only permission.
  - "r--" (Others): Other users have read-only permission.
- "1" indicates the number of hard links to the file.
- "user1" is the owner of the file.
- "users" is the group associated with the file.
- "12345" is the file size in bytes.

- "Sep 10 09:00" is the modification date and time.
- "myfile.txt" is the file name.

## **MODIFYING PERMISSIONS**

You can modify permissions using the "chmod" command. For example, to grant execute permission to the owner of a file, you can use the following command:

# \$ chmod u+x filename

This command adds the execute permission ("x") to the owner ("u") of the file named "filename".

#### **CHANGING FILE PERMISSIONS**

Changing file permissions in Linux can be done using two methods: the symbolic method and the numeric method. Both methods allow you to modify the read (r), write (w), and execute (x) permissions for the owner, group, and others on a file or directory.

#### **SYMBOLIC METHOD**

The symbolic method uses letters and symbols to represent permission changes. The format is as follows:

## chmod who operator permission file

- "who": Specifies the target user or group whose permissions are being modified. It can be one or more of the following:
- "u" for the owner (user)
- "g" for the group
- "o" for others
- "a" for all (equivalent to "ugo")
- "operator": Specifies the operation to perform. It can be one of the following:
  - "+" to add permissions
- "-" to remove permissions
- "=" to set permissions explicitly

- "permission": Specifies the permission to add, remove, or set. It can be one or more of the following:
  - "r" for read
  - "w" for write
  - "x" for execute

#### **Example:**

Let's say we have a file named "example.txt," and we want to add execute permission for the owner and group:

```
chmod u+x,g+x example.txt
```

This command adds execute permission to the owner and group of "example.txt."

## **NUMERIC METHOD (OCTAL MODE)**

The numeric method uses three-digit octal (base-8) numbers to represent permission changes. Each digit corresponds to a permission level (owner, group, others), and each permission (read, write, execute) is represented by a bit:

- "4" represents read (r).
- "2" represents write (w).
- "1" represents execute (x).

To determine the permission number, add the values of the desired permissions:

- "r" (read) = 4
- "w" (write) = 2
- "x" (execute) = 1

For example, to set read and execute permissions for the owner (4 + 1 = 5), read-only permissions for the group (4), and no permissions for others (0), you would use the octal number 540:

chmod 540 example.txt

# **Example:**

Let's consider another example where we want to grant read and write permissions to the owner, read-only permissions to the group, and no permissions to others:

chmod 640 example.txt

This command sets the permissions as specified.

# **COMBINING PERMISSIONS**

You can also combine permissions and use multiple symbolic or numeric expressions in a single "chmod" command. For example:

chmod u+rw,g-w,o+x myfile.txt

This command adds read and write permissions for the owner, removes write permissions for the group, and adds execute permission for others.