

Intimation Mail

## Personal Conflict of Interest Disclosure – for Quarter ended Jun 2023

Dear Employee name,

In line with our disclosure policy regarding personal conflict of interest, disclosures for the quarter ending June 2023 needs to be made on or before July 31, 2023. For your immediate reference, list of the Disclosure Policy is highlighted below:

- Personal conflict of interest situation, if any, needs to be disclosed to the undersigned, on quarterly basis, within one month from the end of respective quarter. This requirement is in addition to approvals/confirmations provided by under Nuvama code for personal dealing in securities.
- This disclosure would be required for any transaction or dealing of a value of Rs. 5 Lakhs or more undertaken by the Employee, Immediate Relative or Connected Person during the respective quarter. Disclosure will be required for –
  1. Any personal transaction by the Employee (including Immediate Relative or Connected Person) any time during the period of six months prior to the Nuvama transaction or;
  2. Any personal transaction by the Employee (including Immediate Relative or Connected Person) any time during the continuation of the Nuvama transaction or;
  3. Any personal transaction by the Employee (including Immediate Relative or Connected Person) any time during the period of six months after the Nuvama transaction.
- Conflict at a personal level would deem to arise, when an Employee's interest, whether directly or indirectly, is perceived to be inconsistent with or is in conflict with the business interest of Nuvama, whether domestic or international, including but not limited to dealing with clients / developers / vendors / suppliers / promoters / borrowers and, their Immediate Relatives, Connected Parties, associates, counter-parties, competitors etc., at a personal level. A SBU wise illustrative list of parties is provided for your reference in Annexure B.

Your disclosure in Annexure A may be filed latest by July 31, 2023. You can make the submission online by clicking on the following link: [Please Click here](#)

Please note if the browser prompts to enter credentials, use Username: Loginid and laptop (system) login password.

In case, we do not receive a Disclosure / NIL Confirmation from you by the due date say July 31, 2023 for June Quarter, your Disclosure would be deemed to be NIL.

Please feel free to touch base with compliance team/SPOC for any further queries that you may have. In case, you are facing any technical issues, please write back to [nwilcompliance@nuvama.com](mailto:nwilcompliance@nuvama.com)

With Regards,  
Team Compliance

Newel Technologies Pvt