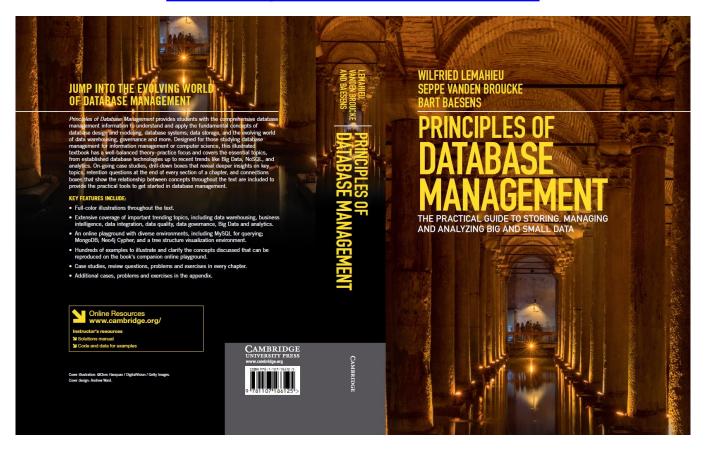
## SQL is Dead, Long Live SQL!

Prof. dr. Wilfried Lemahieu
Faculty of Economics and Business
KU Leuven

Wilfried.Lemahieu@kuleuven.be

#### Our New Book!

#### www.pdbmbook.com



### **Author Team**

- Prof. Wilfried Lemahieu
  - professor and dean at KU Leuven (Belgium)
  - Database Management, Data Integration, Data Quality
  - Wilfried.Lemahieu@kuleuven.be
- Prof. Seppe vanden Broucke
  - professor at KU Leuven (Belgium)
  - Process and Data Science
  - Seppe.vandenBroucke@kuleuven.be
- Prof. Bart Baesens
  - professor at KU Leuven (Belgium) and University of Southampton (UK)
  - Analytics, Credit Risk, Fraud Detection
  - Bart.Baesens@kuleuven.be







## BAAS (Book as a Service)

- Book website: www.pdbmbook.com
  - Free YouTube lectures
  - Free PowerPoint slides
    - Available in English, Mandarin and Spanish
  - Free on-line multiple choice quiz tool
  - Online playground including MySQL, MongoDB, and Neo4j Cypher (also available as a Dockerfile)
  - Solutions manual

#### Overview

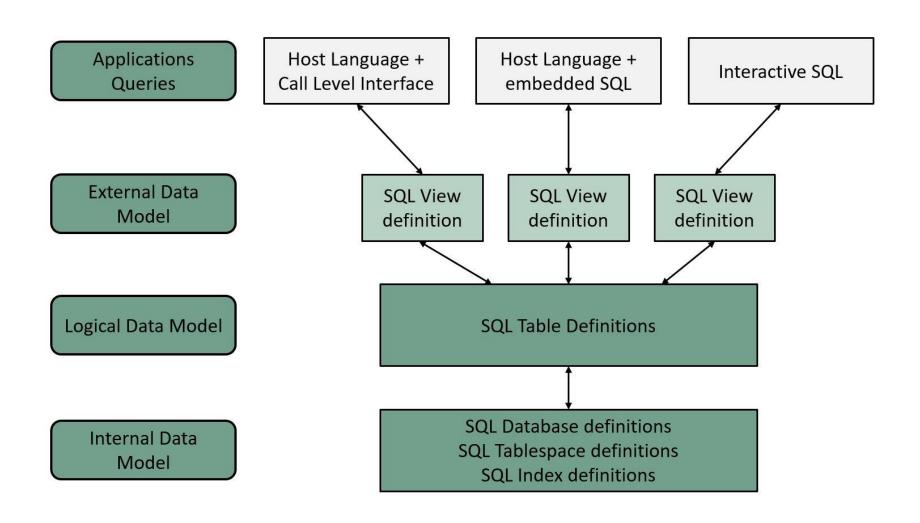
- SQL and relational databases
- NoSQL
  - Key-value stores
  - Document stores
  - Column-oriented databases
  - Graph databases
  - Transaction management, APIs, other NoSQL types
- Evaluating NoSQL databases: is SQL dead and buried?

### SQL

- First version SQL-86 in 1986
- Most recent version in 2016 (SQL:2016)
- Accepted by
  - American National Standards Institute (ANSI) in 1986
  - International Organization for Standardization (ISO) in 1987
- Each vendor provides own implementation
  - SQL dialect



## SQL: DDL + DML



#### SQL: DDL + DML

```
CREATE TABLE SUPPLIER
(SUPNR CHAR(4) NOT NULL PRIMARY KEY,
 SUPNAME VARCHAR(40) NOT NULL,
 SUPADDRESS VARCHAR(50),
 SUPCITY VARCHAR(20),
 SUPSTATUS SMALLINT)
SELECT SUPNR, SUPNAME
FROM SUPPLIER
WHERE SUPCITY = 'San Francisco'
AND SUPSTATUS > 80
SELECT SUPNAME
FROM SUPPLIER R
WHERE EXISTS
         (SELECT *
           FROM SUPPLIES S
           WHERE R.SUPNR = S.SUPNR
           AND S.PRODNR = '0178')
```

```
DELETE FROM SUPPLIES S1
WHERE S1.PURCHASE_PRICE >
   (SELECT 2*AVG(S2.PURCHASE_PRICE)
FROM SUPPLIES S2
WHERE S1.PRODNR = S2.PRODNR)
```

CREATE VIEW ORDEROVERVIEW(PRODNR,
PRODNAME, TOTQUANTITY)
AS SELECT P.PRODNR, P.PRODNAME,
SUM(POL.QUANTITY)
FROM PRODUCT AS P LEFT OUTER JOIN PO\_LINE
AS POL
ON (P.PRODNR = POL.PRODNR)
GROUP BY P.PRODNR

CREATE UNIQUE INDEX PRODNR\_INDEX
ON PRODUCT(PRODNR ASC)

**GRANT** SELECT, INSERT, UPDATE, DELETE **ON** SUPPLIER **TO** BBAESENS

## Key Characteristics of SQL

- Set-oriented and declarative
- Free form language
- Case insensitive
- Executed interactively from command prompt or by a program
- Very powerful language!



## Relational databases: one size fits all?



- Formal data model based on normalised tables, foreign keys, static and strict database schema
- ACID transactions (atomicity, consistency, isolation, durability)
- Vertical scalability, but limited horizontal scalability
- Scalability and availability restricted by strong focus on consistency
- Application domains:
  - Good performance with intensive read/write operations on small(ish) data sets or large batch processes with limited amount of simultaneous transactions
  - Oriented towards structured data, rather than semi-structured and unstructured data
  - Database functionality (complex queries, transaction mgmt, ...) is sometimes 'overkill' compared to application needs

#### And then came Web 2.0...











- Volume + Variety + Velocity
- Storage of massive amounts of (semi-)structured and unstructured, highly dynamic data
- Need for flexible storage structures (no fixed schema)
- Availability and performance often favoured over consistency
- Complex query facilities not always needed: just put/get data
- Need for massive horizontal scalability (server clusters) with flexible reallocation of data to server nodes
- Big Data Analytics
- Cloud computing and cloud data services

## NoSQL databases

- Read as: "not only SQL"
- Umbrella term for diverse systems with (partially) similar properties:
  - No relational data model
  - No (or limited) schema restrictions
  - Distribution and (nearly linear) horizontal scalability
  - Massive replication for availability (failover) and performance (load balancing)
  - Diverse types of (often very simple) APIs
  - Often emanating from open source community
  - Different transaction paradigm: BASE (basically available, soft state eventually consistent) instead of ACID
- Other key terms:
  - Map/reduce
  - Consistent hashing
  - multi version concurrency control (MVCC)
  - Eventual consistency

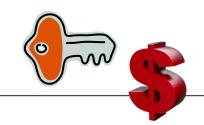
## Properties of NoSQL databases

- Classification according to data model
  - Key-value stores
  - Document stores
  - Column-oriented databases
  - Graph-based databases
  - **—** ...

- Other
  - Query facilities + APIs
  - Transaction management and concurrency control

## NoSQL Versus SQL

	Relational Databases	NoSQL Databases	
Data paradigm	Relational tables	Key-value (tuple) based	
		Document based	
		Column based	
		Graph based	
		XML, object based	
		Others: time series, probabilistic, etc.	
Distribution	Single-node and distributed	Mainly distributed	
Scalability	Vertical scaling, harder to scale	Easy to scale horizontally, easy data	
	horizontally	replication	
Openness Closed and open source		Mainly open source	
Schema role	Schema-driven	Mainly schema-free or flexible schema	
Query language	SQL as query language	No or simple querying facilities, or	
		special-purpose languages	
Transaction ACID: Atomicity, Consistency, Isolation		BASE: Basically available, Soft state,	
mechanism Durability		Eventual consistency	
Feature set	Many features (triggers, views, stored	Simple API	
	procedures, etc.)		
Data volume	Capable of handling normal-sized data	Capable of handling huge amounts of	
	sets	data and/or very high frequencies of	
		read/write requests	



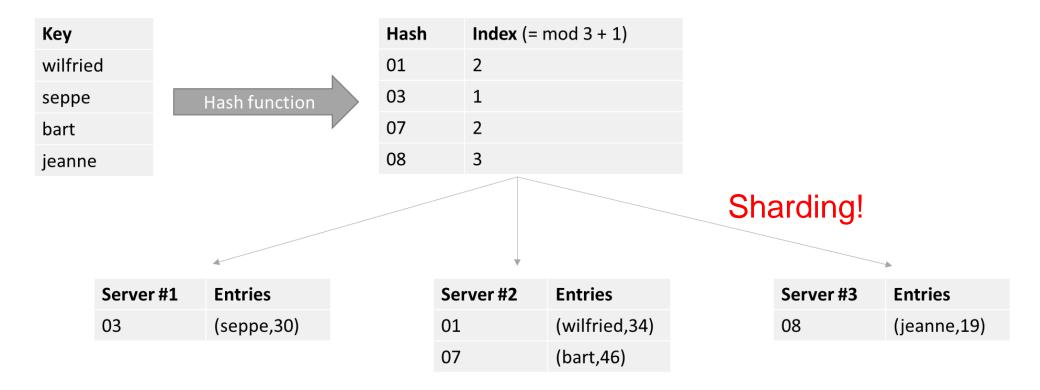
- Concept: storage of (key, data value) couples
- The unique keys are the only criterion for data retrieval
- Store and retrieve across multiple nodes by hashing the key ('consistent hashing')
- Data values = BLOBs, no meaning, no search criteria
- No schema; data interrelations managed at application level
- Mainly useful for simple put/get functionality, based on (part of) key
- Scalability and performance
- Often foundation layer to more complex systems
- Examples: Memcached, Redis, Membase, Dynamo (Amazon), Bigtable (Google)

```
import java.util.HashMap;
import java.util.Map;
public class KeyValueStoreExample {
         public static void main(String... args) {
                  // Keep track of age based on name
                  Map<String, Integer> age_by_name = new HashMap<>();
                  // Store some entries
                  age by name.put("wilfried", 34);
                  age by name.put("seppe", 30);
                  age by name.put("bart", 46);
                   age by name.put("jeanne", 19);
                  // Get an entry
                  int age of wilfried = age by name.get("wilfried");
                  System.out.println("Wilfried's age: " + age of wilfried);
                  // Keys are unique
                   age by name.put("seppe", 50); // Overrides previous entry
         }
```

- Keys (e.g., "bart", "seppe") are hashed by means of so-called hash function
  - Hash function takes arbitrary value of arbitrary size and maps it to key with fixed size (hash value)
  - Hash can be mapped to space in computer memory

Key		Hash	Key
wilfried	Hash function	01	(wilfried,34)
seppe		03	(seppe,30)
bart		07	(bart,46)
jeanne		08	(jeanne,19)

- Remember: NoSQL databases built with horizontal scalability support in mind
- Distribute hash table over different locations
- Assume we need to spread hashes over 3 servers
  - Hash every key ("wilfried", "seppe") to server identifier
  - index(hash) = mod(hash, nrServers) + 1







- Concept: storage of (key, document) couples
- The DBMS is aware of the document type and interprets the document content
- Document formats: semi-structured data, a.o. XML, JSON (JavaScript Object Notation), YAML (YAML Ain't Markup Language), ...
- Documents contain attributes: (key, value) couples. Therefore, we also speak of tuple stores, i.e. the document is a vector of data
- Document processing (add/change attributes); attributes as search criteria
- Complex data structures and nested objects; no fixed schema
- Examples: CouchDB, MongoDB

 Most Document stores (e.g. MongoDB) choose to represent documents using JSON

```
{
         "title": "Harry Potter",
         "authors": ["J.K. Rowling", "R.J. Kowling"],
         "price": 32.00,
         "genres": ["fantasy"],
         "dimensions": {
                   "width": 8.5,
                   "height": 11.0,
                   "depth": 0.5
         "pages": 234,
         "in_publication": true,
         "subtitle": null
```



- Most NoSQL document stores allow to store items in tables (collections) in schema-less way, but enforce that primary key be specified
- Document stores exhibit many similarities to relational databases
  - Including query, aggregation and indexing facilities
- MapReduce
  - Open source software framework for distributed computing and storage of large data sets

#### Map Reduce

- Map-reduce pipeline starts from series of key-value pairs (k1,v1)
   and maps each pair to 1 or more output pairs
- Output entries shuffled and distributed so that all output entries belonging to same key are assigned to same worker (e.g., physical machines)
- Workers then apply reduce function to each group of key-value pairs having same key, producing new list of values per output key
- Resulting, final outputs are then (optionally) sorted per key k2 to produce final outcome

- Example: get a summed count of pages for books per genre
- Create list of input keys-value pairs

k1	v1
1	{genre: education, nrPages: 120}
2	{genre: thriller, nrPages: 100}
3	{genre: fantasy, nrPages: 20}
•••	

Map function is simple conversion to genre-nrPages key-value pair

 Workers have produced following 3 output lists, with keys corresponding to genres

Worker 1	
k2	v2
education	120
thriller	100
fantasy	20

Worker 2	
k2	v2
drama	500
education	200

Worker 3	
k2	v2
education	20
fantasy	10

- Working operation started per unique key k2, for which its associated list of values will be reduced
  - E.g., (education,[120,200,20]) will be reduced to its sum, 340

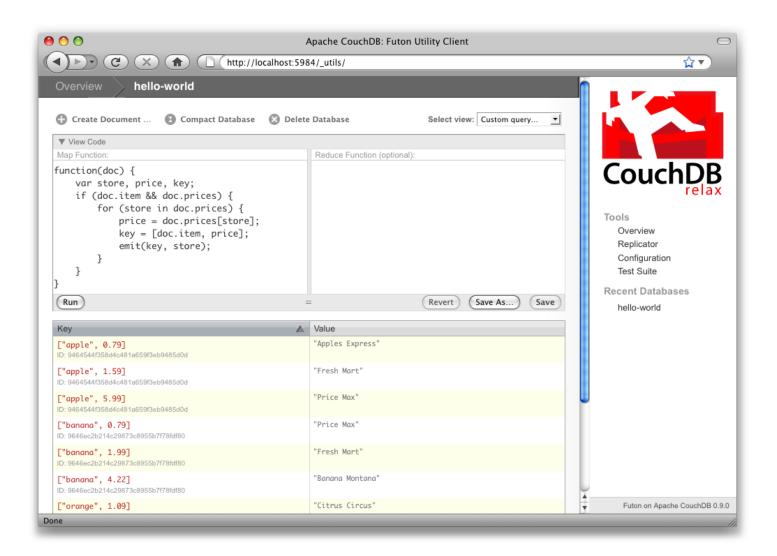
```
function reduce(k2, v2_list)
    emit output record (k2, sum(v2_list))
end function
```

Final output looks as

k2	v3
education	340
thriller	100
drama	500
fantasy	30

Can be sorted based on k2 or v3

GROUP BY style SQL queries are convertible to equivalent MapReduce pipeline



#### Column-oriented Databases



- Concept: unit of storage ≠ record with attribute values (as in RDBMS), but the values of the same attribute type for a set of records (cf. column in RDBMS)
- All values of a storage unit have the same data type
- No storage of null values ("sparse data") >< RDBMSs</li>
- Aimed at structured data
- Scalability, very efficient aggregation of values (sum, average, ...) + sparse data (null values)
- No/limited support for data interrelations or more complex queries (e.g. joins)
- Examples: Cassandra, Hbase, Google BigTable, Parquet

#### Column-oriented Databases

Example

Id	Genre	Title	Price	Audiobook price
1	fantasy	My first book	20	30
2	education	Beginners guide	10	null
3	education	SQL strikes back	40	null
4	fantasy	The rise of SQL	10	null

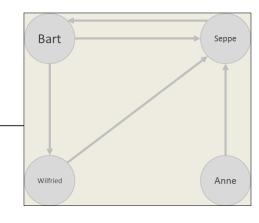
- Row based databases not efficient at performing operations that apply to entire data set
  - Need indexes which add overhead

#### Column-oriented Databases

 In column-oriented database, all values of column are placed together on disk

```
Genre: fantasy:1,4 education:2,3
Title: My first book:1 Beginners guide:2 SQL strikes back:3 The rise of SQL:4
Price: 20:1 10:2,4 40:3
Audiobook price: 30:1
```

- Operations such as: find all records with price equal to 10 can now be executed directly
- Null values do not take up storage space anymore
- But: retrieving all attributes pertaining to a single entity becomes less efficient

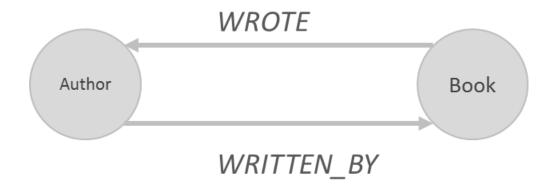


- Concept: storage of nodes and links/edges
- Nodes and links have unique IDs and may also contain (key, attribute) couples to represent properties (e.g. distance) or link types (e.g. married\_to)
- Focus on data interrelations >< other NoSQL databases</li>
- Flexible interrelations >< RDBMSs</li>
- Navigate links instead of (expensive) joins
- Sometimes schema definition (e.g. constraints on node-link combinations)
- Applications: location based services, knowledge representation, navigation systems, recommender systems, ...
- Examples: FlockDB (Twitter), InfiniteGraph, Neo4j (+ Cypher)

- One-to-one, one-to-many, and many-to-many structures can easily be modeled in a graph
- Consider N-M relationship between books and authors
- RDBMS needs 3 tables: Book, Author and Books\_Authors
- SQL query to return all book titles for books written by a particular author would look like follows

```
FROM books, authors, books_authors
WHERE author.id = books_authors.author_id
   AND books.id = books_authors.book_id
   AND author.name = "Bart Baesens"
```

In a graph database (using Cypher query language from Neo4j)



```
MATCH (b:Book)<-[:WRITTEN_BY]-(a:Author)
WHERE a.name = "Bart Baesens"
RETURN b.title</pre>
```



Who likes romance books?

```
MATCH (r:Reader)--(:Book)--(:Genre
{name:'romance'})
RETURN r.name
```

Who are Bart's friends that liked Humor books?

```
MATCH (me:Reader)--(friend:Reader)--
(b:Book)--(g:Genre)
WHERE g.name = 'humor' AND me.name =
'Bart Baesens'
RETURN DISTINCT friend.name
```

# Transaction Management and Concurrency Control



- RDBMSs: concurrency control through locking, atomic transactions ('ACID')
  - → impact on performance/throughput, esp. with replicated data
- NoSQL: multiversion concurrency control (MVCC): no locking, but multiple versions are stored for a data item in chronological order
  - Read: (hopefully) most recent version(s)
  - Write = create new versions
  - Conflicts are solved by DBMS or client
  - Eventual consistency: asynchronuous propagation of updates; all replicas of a data item become consistent 'eventually', but not immediately as with ACID (cf. tweets in a SN)

## Transaction Management and Concurrency Control

- NoSQL DBMSs do not give up on consistency altogether
- BASE transactions:
  - Basically Available: measures are in place to guarantee availability under all circumstances, if necessary at the cost of consistency
  - Soft State: the state of the database may evolve, even without external input, due to the asynchronous propagation of updates throughout the system
  - Eventually consistent: the database will become consistent over time, but may not be consistent at any moment and especially not at transaction commit

## Query facilities and APIs



- No standard query language or API
- Key-value stores:
  - Just API with key based put() and get() methods
  - Often REST and/or SOAP interface
- Document stores:
  - Richer API; search and manipulate document content
  - 'Range' queries on attribute values
- Column oriented databases:
  - Very efficient range and aggregate queries on attribute values
- Graph databases:
  - Graph pattern matching: find parts of graph that match search pattern
  - Graph traversal: navigate graph according to predefined path (breadth-first, depth-first)
  - Very efficient for querying transitive relationships (>< RDBMS)</li>
  - FlockDB: no query language, just look for related data items ('follows' in Twitter)
  - Dedicated query languages, e.g. Cypher (Neo4J)

## Query facilities and APIs

- MapReduce: parallel searching and processing of large data volumes in distributed storage clusters
- In-database analytics
- Low level programming; considerable 'plumbing'

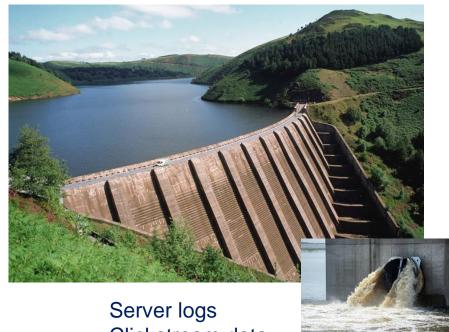


#### Data warehouses vs data lakes



Traditional data

Structure tailored to predictable types of analysis
Manage quality, completeness, ...



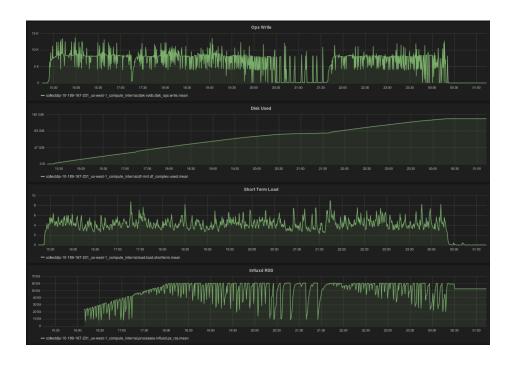
Clickstream data
Social media feeds
Sensor and RFID data

. . .

'As is' volatile structure
Type(s) of analysis as yet unknown

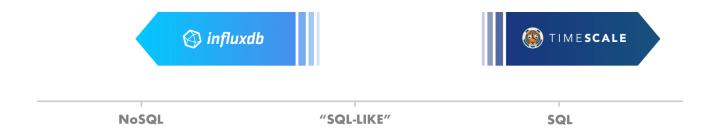
#### Time-series Databases

- Optimized for time-stamped or time series data
  - Server metrics and performance monitoring
  - Network data
  - Sensor data
  - Events, clicks, trades in a market...
  - Queries based on analysis tasks over time: windowing, aggregating, joining on time series



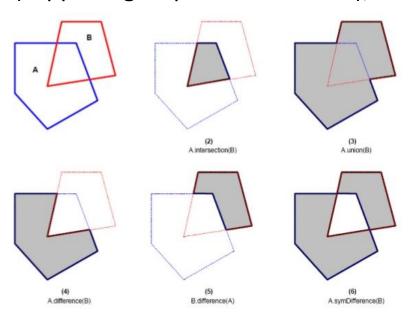
#### Time-series Databases

- Some popular vendors: InfluxDB, Kdb+, TimescaleDB
  - Some embrace SQL (which also supports many time-based operations in later standards) and extend it (TimescaleDB) whilst others take a NoSQL oriented approach with bespoke query languages (e.g. Flux in InfluxDB)
  - Like graph databases: a growing niche within NoSQL sphere

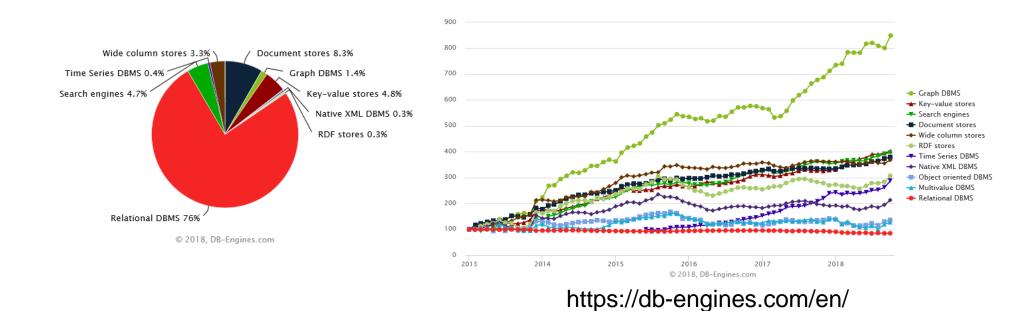


### Geospatial Databases

- Optimized for storing and querying data that represents objects defined in a geometric space
  - Represented as points, lines, line segments, polygons, complex polygons with holes
  - Query operations based on spatial operators: spatial indexes to improve query speed
- Popular vendors: PostgreSQL with PostGIS, ESRI GIS Tools (Hadoop extension),
   Microsoft SQL Server (supports geospatial extensions), GIS tools such as ESRI



# **Evaluating NoSQL DBMSs**



- Document stores already quite popular!
- Graph databases gaining interest!

## **Evaluating NoSQL DBMSs**

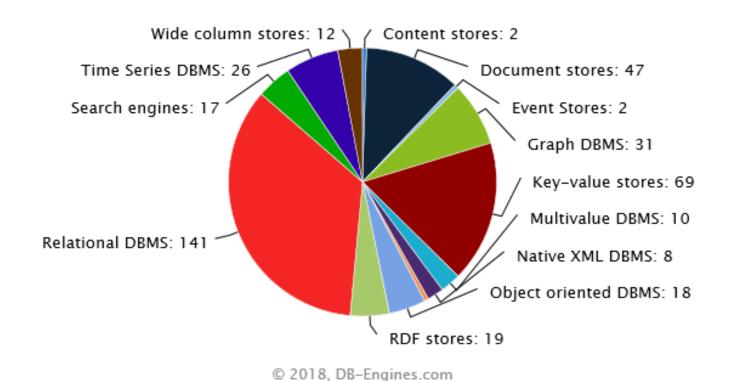
- Many NoSQL implementations have yet to prove their true worth
- Some queries or aggregations particularly difficult, with MapReduce interfaces harder to learn and use
- Some early-adaptors of NoSQL were confronted with some sour lessons
- 'Use the right tool for the job !!'
  - Need for complex data model + enforce constraints ?
  - Need for rich query functionality ?
  - Need for graph like structures ?
  - Tradeoff between performance/scalability and consistency

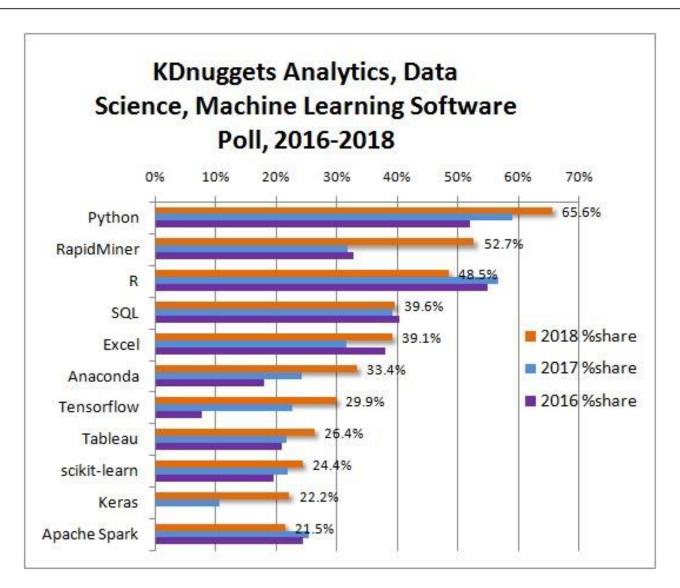


	Rank				Score		
Oct 2018	Sep 2018	Oct 2017	DBMS	Database Model	Oct 2018	Sep 2018	Oct 2017
1.	1.	1.	Oracle 🚹	Relational DBMS	1319.27	+10.15	-29.54
2.	2.	2.	MySQL 🚹	Relational DBMS	1178.12	-2.36	-120.71
3.	3.	3.	Microsoft SQL Server 😷	Relational DBMS	1058.33	+7.05	-151.99
4.	4.	4.	PostgreSQL 🚹	Relational DBMS	419.39	+12.97	+46.12
5.	5.	5.	MongoDB 🔠	Document store	363.19	+4.39	+33.79
6.	6.	6.	DB2 🔠	Relational DBMS	179.69	-1.38	-14.90
7.	<b>1</b> 8.	<b>1</b> 9.	Redis 🚹	Key-value store	145.29	+4.35	+23.24
8.	<b>4</b> 7.	<b>1</b> 0.	Elasticsearch 🗄	Search engine	142.33	-0.28	+22.09
9.	9.	<b>4</b> 7.	Microsoft Access	Relational DBMS	136.80	+3.41	+7.35
10.	10.	<b>4</b> 8.	Cassandra 🚹	Wide column store	123.39	+3.83	-1.40

https://db-engines.com/en/ranking

#### Number of systems per category:



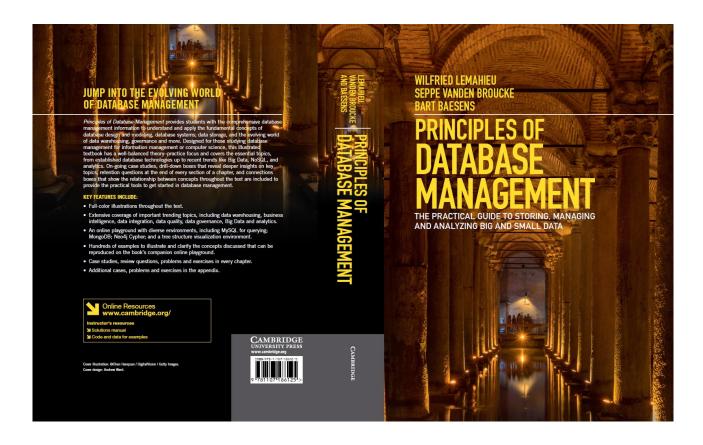


- NoSQL vendors start focusing again on robustness and durability and on SQL like query languages
- NewSQL: RDBMS vendors start implementing NoSQL by
  - Focusing on horizontal scalability and distributed querying
  - Dropping schema requirements
  - Support for nested data types or allowing to store
     JSON directly in tables
  - Support for MapReduce operations
  - Support for special data types, such as geospatial data



#### More Information?

#### www.pdbmbook.com



#### End

