# Introduction to Categories and Categorical Logic

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#### CHAPTER 1

# Introduction to Categories and Categorical Logic

# 1. Introduction

We say that a function  $f: X \to Y$  is:

injective if 
$$\forall x, x' \in X. f(x) = f(x') \implies x = x',$$
  
surjective if  $\forall y \in Y. \exists x \in X. f(x) = y,$ 

$$\begin{array}{ll} \textit{monic} & \text{if } \forall g, h.f \circ g = f \circ h \implies g = h & (f \text{ is left cancellative}), \\ \textit{epic} & \text{if } \forall g, h.g \circ f = h \circ f \implies g = h & (f \text{ is right cancellative}). \end{array}$$

Proposition 1. Let  $f: X \to Y$ . Then,

- (1) f is injective  $\iff$  f is monic.
- (2) f is surjective  $\iff$  f is epic.

PROOF. We first show (1).

( $\iff$ ) Suppose f is monic. Fix a one-element set  $\mathbf{1} = \{\bullet\}$ . Then, note that elements  $x \in X$  are in 1-1 correspondence with functions  $\bar{x} : \mathbf{1} \to X$ , defined by  $\bar{x}(\bullet) := x$ . Then, for all  $x, x' \in X$ , we have

$$f(x) = f(x')$$

$$\implies f(\bar{x}(\bullet)) = f(\bar{x'}(\bullet))$$

$$\implies (f \circ \bar{x})(\bullet) = (f \circ \bar{x'})(\bullet)$$

$$\implies f \circ \bar{x} = f \circ \bar{x'}$$

$$\implies \bar{x} = \bar{x'} \quad (\text{since } f \text{ is monic})$$

$$\implies \bar{x}(\bullet) = \bar{x'}(\bullet)$$

$$\implies x = x'$$

This shows that f is injective.

( $\Longrightarrow$ ) Suppose f is injective. Let  $f\circ g=f\circ h$  for all  $g,h:A\to X.$  Then, for all  $a\in A,$ 

$$(f \circ g)(a) = (f \circ h)(a)$$

$$\implies f(g(a)) = f(h(a))$$

$$\implies g(a) = h(a) \text{ (since } f \text{ is injective)}$$

$$\implies g = h$$

This establishes that f is monic. And, we are done.

# Exercise 2

Show that  $f: X \to Y$  is surjective iff it is epic.

SOLUTION. ( $\Longrightarrow$ ) Suppose  $f: X \to Y$  is epic. And, assume, for the sake of contradiction, f is not surjective. Then, there exists some  $y_0 \in Y$ , such that, for all  $x \in X$ ,  $f(x) \neq y_0$ . Define mappings  $g, h: Y \to Y \cup \{Y\}$  by:

$$g(y) := y$$

$$h(y) := \begin{cases} y & \text{if } y \neq y_0 \\ Y & \text{if } y = y_0 \end{cases}$$

Note that  $g \neq h$ .

Then, for all  $x \in X$ ,  $(g \circ f)(x) = g(f(x)) = h(f(x)) = (h \circ f)(x)$ . This implies  $g \circ f = h \circ f$ , which implies g = h, since f is epic. The last conclusion contradicts the fact that g = h. Thus, we conclude f is surjective.

( $\Leftarrow$ ) Suppose  $f: X \to Y$  is surjective. Then, for any  $y \in Y$ , there exists an  $x \in X$ , such that f(x) = y. Now, assume, for all  $g, h: Y \to Z$ ,  $g \circ f = h \circ f$ . Then, for all  $y \in Y$ ,  $g(y) = g(f(x)) = (g \circ f)(x) = (h \circ f)(x) = h(f(x)) = h(y)$ , which implies g = h, showing that f is epic. And, this completes our proof.

#### Exercise 5

Suppose G and H are groups (and hence monoids), and that  $h:G\to H$  is a monoid homomorphism. Prove that h is a group homomorphism.

SOLUTION. We need only show that h preserves inverses. To that end, suppose  $g^{-1}$  is the inverse of  $g \in G$ . Then,  $h(g)h(g^{-1}) = h(gg^{-1}) = h(1_G) = 1_H = h(1_G) = h(g^{-1}g) = h(g^{-1})h(g)$ . This establishes h preserves inverses, and we are done.  $\square$ 

## Exercise 6

Check that  $Mon, Vect_k, Pos$ , and Top are indeed categories.

SOLUTION. (**Mon**) The objects are monoids  $(M,\cdot,1_M)$ , and morphisms are monoid homomorphisms. Given monoid homomorphisms,  $f:(M,\cdot,1_M)\to (N,\cdot,1_N)$  and  $g:(N,\cdot,1_N)\to (P,\cdot,1_P)$ , the function  $g\circ f:(M,\cdot,1_M)\to (P,\cdot,1_P)$  is also a monoid homomorphism, because for all  $m,m'\in M$ , we have  $(g\circ f)(mm')=g(f(mm'))=g(f(m)f(m'))=(g(f(m))(g(f(m')))=((g\circ f)(m))((g\circ f)(m'))$ . Also, for each monoid, the identity morphism is the identity function. It is also easy to check that for all monoid homomorphisms f,g and h with the appropriate domains and codomains,  $h\circ (g\circ f)=(h\circ g)\circ f$ . This establishes that **Mon** is indeed a category.

 $(\mathbf{Vect}_k)$  The objects are vector spaces over a field k, and morphisms are linear maps between vector spaces. Suppose  $f:U\to V$  and  $g:V\to W$  are linear maps. Then, for all  $x,y\in U$ , we have  $(g\circ f)(x+y)=g(f(x+y))=g(f(x)+f(y))=g(f(x))+g(f(y))=(g\circ f)(x)+(g\circ f)(y)$ . Also, for all  $\alpha\in k$ , we have  $(g\circ f)(\alpha x)=g(f(\alpha x))=g(\alpha f(x))=\alpha g(f(x))=\alpha (g\circ f)(x)$ . This establishes  $g\circ f:U\to W$  is a linear map as well. The identity map  $1_U$  for any vector space U is the identity morphism. The associativity of linear maps and the identity axiom follow from the property of functions. This shows that  $\mathbf{Vect}_k$  is also a category.

(**Pos**) The objects are partially ordered sets, and morphisms are monotone functions between these sets. Suppose  $h: P \to Q$  and  $g: Q \to R$  are monotone functions. Then, for all  $x,y \in P$ ,  $x \leq y \implies h(x) \leq h(y) \implies g(h(x)) \leq g(h(y)) \implies (g \circ h)(x) \leq (g \circ h)(y)$ , which shows  $g \circ h: P \to R$  is a monotone function. The identity map is the identity morphism, and the associativity and identity axioms are satisfied by the property of functions. This establishes **Pos** is a category.

(**Top**) The objects are topological spaces, and morphisms are continuous maps between these spaces. Given continuous maps  $f:(X,T_X)\to (Y,T_Y)$  and  $g:(Y,T_Y)\to (Z,T_Z)$ , we can show that  $g\circ f:(X,T_X)\to (Z,T_Z)$  is also a continuous map. First, note that for any  $T\subset Z$ ,  $x\in (g\circ f)^{-1}(T)$  iff  $(g\circ f)(x)\in T$  iff  $g(f(x))\in T$  iff  $f(x)\in g^{-1}(T)$  iff  $x\in f^{-1}(g^{-1}(T))$ . Thus,

for all 
$$T \subset Z$$
,  $(g \circ f)^{-1}(T) = f^{-1}(g^{-1}(T))$ .

Therefore, for any open set  $T \in T_Z$ , we have  $g^{-1}(T) \in T_Y$ , which implies  $f^{-1}(g^{-1}(T)) \in T_X$ , which implies  $(g \circ f)^{-1}(T) \in T_X$  (by using the result above.) Hence,  $g \circ f : (X, T_X) \to (Z, T_Z)$  is a continuous map. The associativity and identity axioms follow from the associativity and identity laws for functions. This establishes **Top** is a category.

### Exercise 7

Check carefully that monoids correspond exactly to one-object categories. Make sure you understand the difference between such a category and **Mon**. (For example: how many objects does **Mon**) have?)

Solution. (Monoid as a one-object category) Given a monoid  $(M,\cdot,1)$ , we can construct its corresponding category as follows. We write  $\mathbf{B}M$  for the corresponding category with a single object  $\bullet$ , where  $\mathbf{Hom}_{\mathbf{B}M}(\bullet,\bullet):=M$ . We note then that the composition map in  $\mathbf{B}M$  is reflected in the binary operation  $\cdot\cdot\cdot:M\times M\to M$ , where  $\mathbf{id}_{\bullet}:=1$ . Then, the associative and identity laws for the category  $\mathbf{B}M$  follow directly from the associative and identity laws, respectively, satisfied by the monoid  $(M,\cdot,1)$ . This shows any monoid can be seen or interpreted as a one-object category.

### Exercise 8

Check carefully that preorders correspond exactly to categories in which each homset has at most one element. Make sure you understand the difference between such a category and **Pos**. (For example: how big can homsets in **Pos** be?)

SOLUTION. Let  $(P, \leq)$  be a preorder. Then, we define the corresponding category  $\mathbf{C}$  as follows. The objects of  $\mathbf{C}$  are the elements of the set P, and for all  $x, y \in P$ , we define a morphism  $x \to y$  iff  $x \leq y$ . Then, for every object  $x \in \mathbf{C}$ , the identity morphism  $1_x : x \to x$  corresponds exactly to the reflexive property  $x \leq x$  for all  $x \in P$ . Note that each homset in  $\mathbf{C}$  has at most one element. Also, for every  $x \to y$  and  $y \to z$  in  $\mathbf{C}$ ,  $x \to z$  follows from the fact that  $x \leq y$  and  $y \leq z$  and the transitivity of the  $\leq$  relation on P. This defines a composition map for morphisms in  $\mathbf{C}$ . In addition, for all morphisms  $x \to y$ ,  $y \to z$ , and  $z \to w$ , their associativity follows immediately from the transitivity of  $\leq$ . Lastly, the unit laws

also follow from the same transitivity relation. Therefore, we conclude that every preorder corresponds precisely to a category in which each homset has at most one element.  $\Box$ 

#### Exercise 10

Show that the inverse, if it exists, is unique.

SOLUTION. Suppose  $i: A \to B$  is an isomorphism, with inverse  $j: B \to A$ , in a category **C**. Suppose  $j': B \to A$  is also an inverse of i. Then,  $j = 1_A \circ j = (j' \circ i) \circ j = j' \circ (i \circ j) = j' \circ 1_B = j'$ , and we are done.

#### Exercise 11

Show that  $\cong$  is an equivalence relation on the objects of a category.

SOLUTION. Let C be some category.

(Reflexivity) For any object  $X \in \mathbb{C}$ ,  $X \cong X$  follows from the fact that the identity morphism  $1_X : X \to X$  is an isomorphism.

(Symmetry) If  $X \cong Y$ , then there exists an isomorphism  $i: X \to Y$ . But, the inverse,  $i^{-1}: Y \to X$ , of i is also an isomorphism. Hence,  $Y \cong X$ .

(Transitivity) Suppose  $X \cong Y$  and  $Y \cong Z$ . Then, there exist isomorphisms  $i: X \to Y$  and  $j: Y \to Z$ . Then, we claim that  $j \circ i: X \to Z$  is also an isomorphism. Indeed, its trivial to show that its inverse is the morphism  $i^{-1} \circ j^{-1}: Z \to X$ . This implies  $X \cong Z$ .

We thus conclude that  $\cong$  is an equivalence relation on the objects of a category.  $\square$ 

# Exercise 12

Verify the claims that isomorphisms in **Set** correspond exactly to bijections, in **Grp** to group isomorphisms, in **Top** to homeomorphisms, and in **Pos** to isomorphisms.

SOLUTION. (Set) We claim the following:

- (1)  $f: X \to Y$  is injective iff f has a left inverse.
- (2)  $f: X \to Y$  is surjective iff f has a right inverse.

We first show (1).

( $\Longrightarrow$ ) Suppose  $f: X \to Y$  has a left inverse,  $g: Y \to X$ , say. Then,  $g \circ f = 1_X$ . Assume for any  $x, x' \in X$ , f(x) = f(x'). Then,  $x = 1_X(x) = (g \circ f)(x) = g(f(x)) = g(f(x')) = (g \circ f)(x') = 1_X(x') = x'$ , which implies f is injective.

( $\Leftarrow$ ) Suppose  $f: X \to Y$  is injective. If X is empty, then f is an empty function corresponding to each Y. In this case,  $1_X$  is also an empty function, and we thus have  $g \circ f = 1_X$  for any  $g: Y \to X$ . That is, f has a left inverse. On the other hand, if X is nonempty, choose some  $x_0 \in X$ . Define  $g: Y \to X$  by

$$g(y) := \begin{cases} x_0 & \text{if } y \in Y \setminus \mathbf{Im}(f) \\ f^{-1}(y) & \text{if } y \in \mathbf{Im}(f) \end{cases}$$

Then, for all  $x \in X$ ,  $(g \circ f)(x) = g(f(x)) = x = 1_X(x)$ , which implies  $g \circ f = 1_X$ , thus showing that g is a left inverse of f.

We now show (2).

( $\Longrightarrow$ ) Suppose  $f: X \to Y$  has a right inverse,  $g: Y \to X$ , say. Then,  $f \circ g = 1_Y$ . Therefore, for all  $y \in Y$ ,  $y = 1_Y(y) = (f \circ g)(y) = f(g(y)) = f(x)$ , where x = g(y). This shows f is surjective.

( $\Leftarrow$ ) Suppose  $f: X \to Y$  is surjective. Now, consider an indexed family of nonempty sets  $\{f^{-1}(y)\}_{y \in Y}$ . Then, using the axiom of choice, we conclude there exists a function  $g: Y \to X$ , such that  $g(y) \in f^{-1}(y)$  for all  $y \in Y$ . Then, for all  $y \in Y$ ,  $(f \circ g)(y) = f(g(y)) = y = 1_Y(y)$ , which implies  $f \circ g = 1_Y$ , thus proving f has a right inverse.

Since in **Set** a bijection is a function which is both injective and surjective, using (1) and (2), we immediately conclude that bijections in **Set** correspond exactly to isomorphisms, and we are done.

In addition, in any category  $\mathbb{C}$ , if  $f: X \to Y$  has both a left inverse,  $g: Y \to X$ , say, and a right inverse,  $h: Y \to X$ , say, then g = h. Indeed,  $g = g \circ 1_Y = g \circ (f \circ h) = (g \circ f) \circ h = 1_X \circ h = h$ , and we are done.

$$\begin{array}{c} (\mathbf{Grp}) \\ (\mathbf{Top}) \\ (\mathbf{Pos}) \end{array} \qquad \Box$$

Opposite Categories and Duality. Given a category C, the opposite category  $C^{op}$  is given by taking the same objects as C, and

$$\mathbf{C^{op}}(A,B) = \mathbf{C}(B,A).$$

Composition and identities are inherited from  ${\bf C}$ . If we have

$$A \xrightarrow{f} B \xrightarrow{g} C$$

in  $C^{op}$ , this means

$$A \stackrel{f}{\leftarrow} B \stackrel{g}{\leftarrow} C$$

in **C**. Therefore, composition  $g \circ f$  is  $\mathbf{C^{op}}$  is defined as  $f \circ g$  in **C**. This leads to the **principle of duality**: a statement S is true about a category **C** iff its dual (i.e. the one obtained from S by reversing all the arrows) is true about  $\mathbf{C^{op}}$ . For example, a morphism f is monic in  $\mathbf{C^{op}}$  iff it is epic in **C**. We say monic and epic are dual notions.

# Exercise 14

If P is a preorder, for example  $(\mathbb{R}, \leq)$ , describe  $P^{op}$  explicitly.

SOLUTION. An arrow  $a \leq_{P^{\mathbf{op}}} b$  in  $P^{\mathbf{op}}$  is precisely the arrow  $b \leq_P a$  in P. When  $P = (\mathbb{R}, \leq)$ ,  $P^{\mathbf{op}}$  describes the "greater than or equal" preorder relation on  $\mathbb{R}$ .

**Subcategories.** Let C be a category. Suppose we are given the collections

$$\mathbf{Ob}(\mathbf{D}) \subseteq \mathbf{Ob}(\mathbf{C}),$$
  
$$\forall A, B \in \mathbf{Ob}(\mathbf{D}).\mathbf{D}(A, B) \subseteq \mathbf{C}(A, B).$$

We say D is a *subcategory* of C if it is itself a category. In particular, D is:

- A full subcategory of C if for any  $A, B \in \mathbf{Ob}(\mathbf{D}), \mathbf{D}(A, B) = \mathbf{C}(A, B)$ .
- A *lluf* subcategory of C if Ob(D) = Ob(C).

For example, **Grp** is a full subcategory of **Mon**, and **Set** is a lluf subcategory of **Rel**.

#### Exercise 16

How many categories  $\mathbf{C}$  with  $\mathbf{Ob}(\mathbf{C}) = \{\bullet\}$  are there? (Hint: what do such categories correspond to?)

Solution. Each such category corresponds to a monoid. So, there are as many such categories as there are monoids.  $\hfill\Box$ 

#### Exercises.

- (1) Consider the following properties of an arrow f in a category  $\mathbf{C}$ .
  - f is *split monic* if for some g,  $g \circ f$  is an identity arrow.
  - f is *split epic* if for some g,  $f \circ g$  is an identity arrow.
  - a. Prove that if f and g are arrows such that  $g \circ f$  is monic, then f is monic.
  - b. Prove that if f is split epic then it is epic.
  - c. Prove that if f and  $g \circ f$  are iso then g is iso.
  - d. Prove that if f is monic and split epic then it is iso.
  - e. In the category **Mon** of monoids and monoid homomorphisms, consider the inclusion map

$$i: (\mathbb{N}, +, 0) \to (\mathbb{Z}, +, 0)$$

of natural numbers into the integers. Show that this arrow is both monic and epic. Is it an iso?

The **Axiom of Choice** in Set Theory states that if  $\{X_i\}_{i\in I}$  is a family of nonempty sets, we can form a set  $X = \{x_i \mid i \in I\}$ , where  $x_i \in X_i$  for all  $i \in I$ .

- f. Show that in **Set** an arrow which is epic is split epic. Explain why this needs the Axiom of Choice.
- g. Is is always the case that an arrow which is epic is split epic? Either prove that it is, or give a counterexample.
- (2) Give a description of partial orders as categories of a special kind.

## SOLUTION.

(1)

- a. Suppose  $f:A\to B$  and  $g:B\to C$  such that  $g\circ f$  is monic. Assume, for all  $i,j:Z\to A,\ f\circ i=f\circ j.$  Then,  $(g\circ f)\circ i=g\circ (f\circ i)=g\circ (f\circ j)=(g\circ f)\circ j,$  which implies i=j, since  $g\circ f$  is monic. This implies f is monic, and we are done.
- b. Suppose  $f:A\to B$  is split epic. Then, there exists a  $g:B\to A$  such that  $f\circ g=1_B$ . Assume, for all  $i,j:B\to C,\ i\circ f=j\circ f$ . Then,  $i=i\circ 1_B=i\circ (f\circ g)=(i\circ f)\circ g=(j\circ f)\circ g=j\circ (f\circ g)=j\circ 1_B=j,$  which shows f is epic.
- c. Suppose  $f:A\to B$  and  $g:B\to C$  such that f and  $g\circ f$  are iso. We claim that the inverse of g is  $f\circ (g\circ f)^{-1}:C\to B$ . Indeed,  $g\circ (f\circ (g\circ f)^{-1})=(g\circ f)\circ (g\circ f)^{-1}=1_C$ , and  $(f\circ (g\circ f)^{-1})\circ g=f\circ (g\circ f)^{-1}\circ (g\circ f)\circ f^{-1}=f\circ f^{-1}=1_B$ , which establishes g is also an iso.
- d. Suppose  $f:A\to B$  is monic and split epic. The latter implies f has a right inverse,  $g:B\to A$ , say, where  $f\circ g=1_B$ . Note that  $g\circ f:A\to A$  and  $1_A:A\to A$ . Now,  $f\circ (g\circ f)=(f\circ g)\circ f=$

 $1_B \circ f = f = f \circ 1_A$ , which implies  $g \circ f = 1_A$ , since f is monic (left cancellative). Thus, g is also a left inverse of f, and hence, f is iso.

e. It is easy to prove the inclusion map  $\mathbb{N} \hookrightarrow \mathbb{Z}$  is really a monoid homomorphism. Indeed, i(0) = 0, and, for all  $n_1, n_2 \in \mathbb{N}$ ,  $i(n_1 + n_2) = n_1 + n_2 = i(n_1) + i(n_2)$ .

Next, we show that i is monic. Assume, for all monoid homomorphisms  $g, h: X \to \mathbb{N}, \ i \circ g = i \circ h$ . Then, for all  $x \in X$ ,  $(i \circ g)(x) = (i \circ h)(x)$ , which implies i(g(x)) = i(h(x)), which implies g(x) = h(x), which implies g(x) = h(x). This shows the inclusion map is monic.

We now show the inclusion map is epic. First, assume, for all monoid homomorphisms  $g,h:(\mathbb{Z},+,0)\to (X,\star,1_X),\ g\circ i=h\circ i.$  Then, for all  $n\in\mathbb{N},\ (g\circ i)(n)=(h\circ i)(n),$  which implies g(i(n))=h(i(n)), which implies g(n)=h(n). We now claim that for all  $n\geq 1,\ g(-n)=h(-n).$  To that end, we use induction on n. Note that  $g(-1)=g(-1)\star 1_X=g(-1)\star h(0)=g(-1)\star h(1+(-1))=g(-1)\star h(1)\star h(-1)=g(-1)\star g(1)\star h(-1)=g(-1+1)\star h(-1)=g(0)\star h(-1)=1_X\star h(-1)=h(-1).$  Now, assume the proposition holds for some  $n\geq 1.$  Then,  $g(-(n+1))=g(-n+(-1))=g(-n)\star g(-1)=h(-n)\star h(-1)=h(-n+(-1))=h(-(n+1)).$  Hence, by induction, g(-n)=h(-n) for all  $n\geq 1.$  Combining the results from above, we thus conclude g(z)=h(z) for all  $z\in\mathbb{Z}.$  In other words, g=h, which implies i is epic.

Clearly, the inclusion map  $\mathbb{N} \hookrightarrow \mathbb{Z}$  is not iso.

- f. Suppose  $f: X \to Y$  is epic in **Set**. Then, from an earlier result about **Set**, we conclude f is surjective. Now, consider the family of nonempty sets  $\{f^{-1}(b)\}_{b\in B}$ . Each of the sets in the family is nonempty, because f is surjective. Therefore, using the Axiom of Choice, we can choose some element from each nonempty set in the family to construct a function  $g: Y \to X$ , given by g(y) := x if  $x \in f^{-1}(b)$ . In addition, for all  $y \in Y$ ,  $(f \circ g)(y) = f(g(y)) = y = 1_Y(y)$ , which implies  $f \circ g = 1_Y$ . This shows f has a right inverse, thus proving f is split epic.
- g. It isn't always the case that an arrow which is epic is split epic. For example, in the category **Mon**, the inclusion map  $\mathbb{N} \hookrightarrow \mathbb{Z}$  is epic (as shown in (e) above.) Now, if we assume that it is also split epic, then there exists a monoid homomorphism  $g: \mathbb{Z} \to \mathbb{N}$ , such that  $i \circ g = 1_{\mathbb{Z}}$ . This implies  $(i \circ g)(-1) = 1_{\mathbb{Z}}(-1)$ , which implies i(g(-1)) = -1, which implies g(-1) = -1, which implies g(-1) = -1, which is absurd. We thus conclude the aforesaid inclusion map is not split epic, even though it is epic. And this proves our original claim.
- (2) Suppose  $(P, \leq)$  is a poset. Then, its corresponding category  $\mathbf{C}$  is defined as follows. The objects of  $\mathbf{C}$  are the elements of P, and for all  $x, y \in P$ ,  $x \to y$  iff  $x \leq y$ . The reflexivity of  $\leq$  corresponds to the identity arrows, and transitivity to arrow composition. Note that there is at most one arrow for every pair of objects in the category. Anti-symmetry of  $\leq$  corresponds to the fact that the only isomorphisms in  $\mathbf{C}$  are the identity arrows.

#### 2. Some Basic Constructions

An object I in a category  $\mathbf{C}$  is *initial* if, for every object A, there exists a unique arrow  $I \to A$ , which we write  $\iota_A : I \to A$ .

An object T in a category  $\mathbf{C}$  is **terminal** if, for every object A, there exists a unique arrow  $A \to T$ , which we write  $\tau_A : A \to T$ .

Note that initial and terminal objects are dual notions: T is terminal in  $\mathbf{C}$  iff it is initial in  $\mathbf{C}^{op}$ . We sometimes write  $\mathbf{1}$  for the terminal object and  $\mathbf{0}$  for the initial object.

#### Exercise 18

Verify the following claims. In each case, identify the canonical arrows.

- (1) In **Set**, the empty set is an initial object while any one-element set  $\{\bullet\}$  is terminal.
- (2) In **Pos**, the poset  $(\emptyset, \emptyset)$  is an initial object while  $(\{\bullet\}, \{(\bullet, \bullet)\})$  is terminal.
- (3) In **Top**, the space  $(\emptyset, \{\emptyset\})$  is an initial object while  $(\{\bullet\}, \{\emptyset, \{\bullet\}\})$  is terminal.
- (4) In  $\mathbf{Vect}_k$ , the one-element space  $\{0\}$  is both initial and terminal.
- (5) In a poset, seen as a category, an initial object is a least element, while a terminal object is a greatest element.

#### SOLUTION.

- (1) In **Set**, for any set (object) A, the function  $(\varnothing, A, \varnothing)$  is the unique function (arrow) from  $\varnothing$  to A. Therefore, the empty set is (the) initial object in **Set**. And, for every set A, the function  $A \to \{\bullet\}$  that maps every element of A to  $\bullet$  is the unique function from A to  $\{\bullet\}$ . This establishes that any one-element set is terminal in **Set**.
- (2) For any poset  $(P, \leq)$ , there exists a unique (empty) monotone function  $(\varnothing, \varnothing) \xrightarrow{(\varnothing, P, \varnothing)} (P, \leq)$ . Hence, the poset  $(\varnothing, \varnothing)$  is an initial object in **Pos**. And, for any poset  $(P, \leq)$ , there exists a unique monotone function  $(P, \leq) \to (\{\bullet\}, \{(\bullet, \bullet)\})$ , defined by  $x \mapsto \bullet$  for all  $x \in P$ . Hence,  $(\{\bullet\}, \{(\bullet, \bullet)\})$  is terminal in **Pos**.
- (3) For any topological space  $(X, T_X)$ , the unique empty function

$$(\varnothing, \{\varnothing\}) \xrightarrow{(\varnothing, X, \varnothing)} (X, T_X)$$

is continuous, since for every open set  $T \in T_X$ , its preimage under the aforesaid function is the empty set, which is open. Hence,  $(\emptyset, \{\emptyset\})$  is initial in **Top**.

And, for any topological space  $(X, T_X)$ , the unique function  $(X, T_X) \to (\{\bullet\}, \{\varnothing, \{\bullet\}\})$ , defined by  $x \mapsto \bullet$  for all  $x \in X$ , is continuous, since the preimage of  $\varnothing$  under the aforesaid function is  $\varnothing$ , which is open, and the preimage of  $\{\bullet\}$  is X, which is also open. Hence,  $(\{\bullet\}, \{\varnothing, \{\bullet\}\})$  is terminal in **Top**.

(4) Assuming the ground field is k, for any vector space V, the unique linear map  $\{0\} \to V$ , defined by  $0 \mapsto 0_V$  is a unique arrow from  $\{0\}$  to V in  $\mathbf{Vect}_k$ . Also, the unique linear map  $V \to \{0\}$ , defined by  $v \mapsto 0$  for all  $v \in V$ , is a unique arrow from V to  $\{0\}$  in  $\mathbf{Vect}_k$ . This shows that  $\{0\}$  is both initial and terminal in  $\mathbf{Vect}_k$ .