Introduction to Categories and Categorical Logic

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CHAPTER 1

Introduction to Categories and Categorical Logic

1. Introduction

We say that a function $f: X \to Y$ is:

injective if
$$\forall x, x' \in X. f(x) = f(x') \implies x = x',$$

surjective if $\forall y \in Y. \exists x \in X. f(x) = y,$

$$\begin{array}{ll} \textit{monic} & \text{if } \forall g, h.f \circ g = f \circ h \implies g = h & (f \text{ is left cancellative}), \\ \textit{epic} & \text{if } \forall g, h.g \circ f = h \circ f \implies g = h & (f \text{ is right cancellative}). \end{array}$$

Proposition 1. Let $f: X \to Y$. Then,

- (1) f is injective \iff f is monic.
- (2) f is surjective \iff f is epic.

PROOF. We first show (1).

(\iff) Suppose f is monic. Fix a one-element set $\mathbf{1} = \{\bullet\}$. Then, note that elements $x \in X$ are in 1-1 correspondence with functions $\bar{x} : \mathbf{1} \to X$, defined by $\bar{x}(\bullet) := x$. Then, for all $x, x' \in X$, we have

$$f(x) = f(x')$$

$$\implies f(\bar{x}(\bullet)) = f(\bar{x'}(\bullet))$$

$$\implies (f \circ \bar{x})(\bullet) = (f \circ \bar{x'})(\bullet)$$

$$\implies f \circ \bar{x} = f \circ \bar{x'}$$

$$\implies \bar{x} = \bar{x'} \quad (\text{since } f \text{ is monic})$$

$$\implies \bar{x}(\bullet) = \bar{x'}(\bullet)$$

$$\implies x = x'$$

This shows that f is injective.

(\Longrightarrow) Suppose f is injective. Let $f\circ g=f\circ h$ for all $g,h:A\to X.$ Then, for all $a\in A,$

$$(f \circ g)(a) = (f \circ h)(a)$$

$$\implies f(g(a)) = f(h(a))$$

$$\implies g(a) = h(a) \text{ (since } f \text{ is injective)}$$

$$\implies g = h$$

This establishes that f is monic. And, we are done.

Exercise 2

Show that $f: X \to Y$ is surjective iff it is epic.

SOLUTION. (\Longrightarrow) Suppose $f: X \to Y$ is epic. And, assume, for the sake of contradiction, f is not surjective. Then, there exists some $y_0 \in Y$, such that, for all $x \in X$, $f(x) \neq y_0$. Define mappings $g, h: Y \to Y \cup \{Y\}$ by:

$$g(y) := y$$

$$h(y) := \begin{cases} y & \text{if } y \neq y_0 \\ Y & \text{if } y = y_0 \end{cases}$$

Note that $g \neq h$.

Then, for all $x \in X$, $(g \circ f)(x) = g(f(x)) = h(f(x)) = (h \circ f)(x)$. This implies $g \circ f = h \circ f$, which implies g = h, since f is epic. The last conclusion contradicts the fact that g = h. Thus, we conclude f is surjective.

(\Leftarrow) Suppose $f: X \to Y$ is surjective. Then, for any $y \in Y$, there exists an $x \in X$, such that f(x) = y. Now, assume, for all $g, h: Y \to Z$, $g \circ f = h \circ f$. Then, for all $y \in Y$, $g(y) = g(f(x)) = (g \circ f)(x) = (h \circ f)(x) = h(f(x)) = h(y)$, which implies g = h, showing that f is epic. And, this completes our proof.

Exercise 5

Suppose G and H are groups (and hence monoids), and that $h:G\to H$ is a monoid homomorphism. Prove that h is a group homomorphism.

SOLUTION. We need only show that h preserves inverses. To that end, suppose g^{-1} is the inverse of $g \in G$. Then, $h(g)h(g^{-1}) = h(gg^{-1}) = h(1_G) = 1_H = h(1_G) = h(g^{-1}g) = h(g^{-1})h(g)$. This establishes h preserves inverses, and we are done. \square

Exercise 6

Check that $Mon, Vect_k, Pos$, and Top are indeed categories.

SOLUTION. (**Mon**) The objects are monoids $(M,\cdot,1_M)$, and morphisms are monoid homomorphisms. Given monoid homomorphisms, $f:(M,\cdot,1_M)\to (N,\cdot,1_N)$ and $g:(N,\cdot,1_N)\to (P,\cdot,1_P)$, the function $g\circ f:(M,\cdot,1_M)\to (P,\cdot,1_P)$ is also a monoid homomorphism, because for all $m,m'\in M$, we have $(g\circ f)(mm')=g(f(mm'))=g(f(m)f(m'))=(g(f(m))(g(f(m')))=((g\circ f)(m))((g\circ f)(m'))$. Also, for each monoid, the identity morphism is the identity function. It is also easy to check that for all monoid homomorphisms f,g and h with the appropriate domains and codomains, $h\circ (g\circ f)=(h\circ g)\circ f$. This establishes that **Mon** is indeed a category.

 (\mathbf{Vect}_k) The objects are vector spaces over a field k, and morphisms are linear maps between vector spaces. Suppose $f:U\to V$ and $g:V\to W$ are linear maps. Then, for all $x,y\in U$, we have $(g\circ f)(x+y)=g(f(x+y))=g(f(x)+f(y))=g(f(x))+g(f(y))=(g\circ f)(x)+(g\circ f)(y)$. Also, for all $\alpha\in k$, we have $(g\circ f)(\alpha x)=g(f(\alpha x))=g(\alpha f(x))=\alpha g(f(x))=\alpha (g\circ f)(x)$. This establishes $g\circ f:U\to W$ is a linear map as well. The identity map 1_U for any vector space U is the identity morphism. The associativity of linear maps and the identity axiom follow from the property of functions. This shows that \mathbf{Vect}_k is also a category.

(**Pos**) The objects are partially ordered sets, and morphisms are monotone functions between these sets. Suppose $h: P \to Q$ and $g: Q \to R$ are monotone functions. Then, for all $x,y \in P$, $x \leq y \implies h(x) \leq h(y) \implies g(h(x)) \leq g(h(y)) \implies (g \circ h)(x) \leq (g \circ h)(y)$, which shows $g \circ h: P \to R$ is a monotone function. The identity map is the identity morphism, and the associativity and identity axioms are satisfied by the property of functions. This establishes **Pos** is a category.

(**Top**) The objects are topological spaces, and morphisms are continuous maps between these spaces. Given continuous maps $f:(X,T_X)\to (Y,T_Y)$ and $g:(Y,T_Y)\to (Z,T_Z)$, we can show that $g\circ f:(X,T_X)\to (Z,T_Z)$ is also a continuous map. First, note that for any $T\subset Z$, $x\in (g\circ f)^{-1}(T)$ iff $(g\circ f)(x)\in T$ iff $g(f(x))\in T$ iff $f(x)\in g^{-1}(T)$ iff $x\in f^{-1}(g^{-1}(T))$. Thus,

for all
$$T \subset Z$$
, $(g \circ f)^{-1}(T) = f^{-1}(g^{-1}(T))$.

Therefore, for any open set $T \in T_Z$, we have $g^{-1}(T) \in T_Y$, which implies $f^{-1}(g^{-1}(T)) \in T_X$, which implies $(g \circ f)^{-1}(T) \in T_X$ (by using the result above.) Hence, $g \circ f : (X, T_X) \to (Z, T_Z)$ is a continuous map. The associativity and identity axioms follow from the associativity and identity laws for functions. This establishes **Top** is a category.

Exercise 7

Check carefully that monoids correspond exactly to one-object categories. Make sure you understand the difference between such a category and **Mon**. (For example: how many objects does **Mon**) have?)

Solution. (Monoid as a one-object category) Given a monoid $(M,\cdot,1)$, we can construct its corresponding category as follows. We write $\mathbf{B}M$ for the corresponding category with a single object \bullet , where $\mathbf{Hom}_{\mathbf{B}M}(\bullet,\bullet):=M$. We note then that the composition map in $\mathbf{B}M$ is reflected in the binary operation $\cdot\cdot\cdot:M\times M\to M$, where $\mathbf{id}_{\bullet}:=1$. Then, the associative and identity laws for the category $\mathbf{B}M$ follow directly from the associative and identity laws, respectively, satisfied by the monoid $(M,\cdot,1)$. This shows any monoid can be seen or interpreted as a one-object category.

Exercise 8

Check carefully that preorders correspond exactly to categories in which each homset has at most one element. Make sure you understand the difference between such a category and **Pos**. (For example: how big can homsets in **Pos** be?)

SOLUTION. Let (P, \leq) be a preorder. Then, we define the corresponding category \mathbf{C} as follows. The objects of \mathbf{C} are the elements of the set P, and for all $x, y \in P$, we define a morphism $x \to y$ iff $x \leq y$. Then, for every object $x \in \mathbf{C}$, the identity morphism $1_x : x \to x$ corresponds exactly to the reflexive property $x \leq x$ for all $x \in P$. Note that each homset in \mathbf{C} has at most one element. Also, for every $x \to y$ and $y \to z$ in \mathbf{C} , $x \to z$ follows from the fact that $x \leq y$ and $y \leq z$ and the transitivity of the \leq relation on P. This defines a composition map for morphisms in \mathbf{C} . In addition, for all morphisms $x \to y$, $y \to z$, and $z \to w$, their associativity follows immediately from the transitivity of \leq . Lastly, the unit laws

also follow from the same transitivity relation. Therefore, we conclude that every preorder corresponds precisely to a category in which each homset has at most one element. \Box

Exercise 10

Show that the inverse, if it exists, is unique.

SOLUTION. Suppose $i: A \to B$ is an isomorphism, with inverse $j: B \to A$, in a category **C**. Suppose $j': B \to A$ is also an inverse of i. Then, $j = 1_A \circ j = (j' \circ i) \circ j = j' \circ (i \circ j) = j' \circ 1_B = j'$, and we are done.

Exercise 11

Show that \cong is an equivalence relation on the objects of a category.

SOLUTION. Let C be some category.

(Reflexivity) For any object $X \in \mathbb{C}$, $X \cong X$ follows from the fact that the identity morphism $1_X : X \to X$ is an isomorphism.

(Symmetry) If $X \cong Y$, then there exists an isomorphism $i: X \to Y$. But, the inverse, $i^{-1}: Y \to X$, of i is also an isomorphism. Hence, $Y \cong X$.

(Transitivity) Suppose $X \cong Y$ and $Y \cong Z$. Then, there exist isomorphisms $i: X \to Y$ and $j: Y \to Z$. Then, we claim that $j \circ i: X \to Z$ is also an isomorphism. Indeed, its trivial to show that its inverse is the morphism $i^{-1} \circ j^{-1}: Z \to X$. This implies $X \cong Z$.

We thus conclude that \cong is an equivalence relation on the objects of a category. \square

Exercise 12

Verify the claims that isomorphisms in **Set** correspond exactly to bijections, in **Grp** to group isomorphisms, in **Top** to homeomorphisms, and in **Pos** to isomorphisms.

SOLUTION. (Set) We claim the following:

- (1) $f: X \to Y$ is injective iff f has a left inverse.
- (2) $f: X \to Y$ is surjective iff f has a right inverse.

We first show (1).

(\Longrightarrow) Suppose $f: X \to Y$ has a left inverse, $g: Y \to X$, say. Then, $g \circ f = 1_X$. Assume for any $x, x' \in X$, f(x) = f(x'). Then, $x = 1_X(x) = (g \circ f)(x) = g(f(x)) = g(f(x')) = (g \circ f)(x') = 1_X(x') = x'$, which implies f is injective.

(\Leftarrow) Suppose $f: X \to Y$ is injective. If X is empty, then f is an empty function corresponding to each Y. In this case, 1_X is also an empty function, and we thus have $g \circ f = 1_X$ for any $g: Y \to X$. That is, f has a left inverse. On the other hand, if X is nonempty, choose some $x_0 \in X$. Define $g: Y \to X$ by

$$g(y) := \begin{cases} x_0 & \text{if } y \in Y \setminus \mathbf{Im}(f) \\ f^{-1}(y) & \text{if } y \in \mathbf{Im}(f) \end{cases}$$

Then, for all $x \in X$, $(g \circ f)(x) = g(f(x)) = x = 1_X(x)$, which implies $g \circ f = 1_X$, thus showing that g is a left inverse of f.

We now show (2).

(\Longrightarrow) Suppose $f: X \to Y$ has a right inverse, $g: Y \to X$, say. Then, $f \circ g = 1_Y$. Therefore, for all $y \in Y$, $y = 1_Y(y) = (f \circ g)(y) = f(g(y)) = f(x)$, where x = g(y). This shows f is surjective.

(\Leftarrow) Suppose $f: X \to Y$ is surjective. Now, consider an indexed family of nonempty sets $\{f^{-1}(y)\}_{y \in Y}$. Then, using the axiom of choice, we conclude there exists a function $g: Y \to X$, such that $g(y) \in f^{-1}(y)$ for all $y \in Y$. Then, for all $y \in Y$, $(f \circ g)(y) = f(g(y)) = y = 1_Y(y)$, which implies $f \circ g = 1_Y$, thus proving f has a right inverse.

Since in **Set** a bijection is a function which is both injective and surjective, using (1) and (2), we immediately conclude that bijections in **Set** correspond exactly to isomorphisms, and we are done.

In addition, in any category \mathbb{C} , if $f: X \to Y$ has both a left inverse, $g: Y \to X$, say, and a right inverse, $h: Y \to X$, say, then g = h. Indeed, $g = g \circ 1_Y = g \circ (f \circ h) = (g \circ f) \circ h = 1_X \circ h = h$, and we are done.

$$\begin{array}{c} (\mathbf{Grp}) \\ (\mathbf{Top}) \\ (\mathbf{Pos}) \end{array} \qquad \Box$$

Opposite Categories and Duality. Given a category C, the opposite category C^{op} is given by taking the same objects as C, and

$$\mathbf{C^{op}}(A,B) = \mathbf{C}(B,A).$$

Composition and identities are inherited from ${\bf C}$. If we have

$$A \xrightarrow{f} B \xrightarrow{g} C$$

in C^{op} , this means

$$A \stackrel{f}{\leftarrow} B \stackrel{g}{\leftarrow} C$$

in **C**. Therefore, composition $g \circ f$ is $\mathbf{C^{op}}$ is defined as $f \circ g$ in **C**. This leads to the **principle of duality**: a statement S is true about a category **C** iff its dual (i.e. the one obtained from S by reversing all the arrows) is true about $\mathbf{C^{op}}$. For example, a morphism f is monic in $\mathbf{C^{op}}$ iff it is epic in **C**. We say monic and epic are dual notions.

Exercise 14

If P is a preorder, for example (\mathbb{R}, \leq) , describe P^{op} explicitly.

SOLUTION. An arrow $a \leq_{P^{\mathbf{op}}} b$ in $P^{\mathbf{op}}$ is precisely the arrow $b \leq_P a$ in P. When $P = (\mathbb{R}, \leq)$, $P^{\mathbf{op}}$ describes the "greater than or equal" preorder relation on \mathbb{R} .

Subcategories. Let C be a category. Suppose we are given the collections

$$\mathbf{Ob}(\mathbf{D}) \subseteq \mathbf{Ob}(\mathbf{C}),$$

$$\forall A, B \in \mathbf{Ob}(\mathbf{D}).\mathbf{D}(A, B) \subseteq \mathbf{C}(A, B).$$

We say D is a *subcategory* of C if it is itself a category. In particular, D is:

- A full subcategory of C if for any $A, B \in \mathbf{Ob}(\mathbf{D}), \mathbf{D}(A, B) = \mathbf{C}(A, B)$.
- A *lluf* subcategory of C if Ob(D) = Ob(C).

For example, **Grp** is a full subcategory of **Mon**, and **Set** is a lluf subcategory of **Rel**.

Exercise 16

How many categories \mathbf{C} with $\mathbf{Ob}(\mathbf{C}) = \{\bullet\}$ are there? (Hint: what do such categories correspond to?)

Solution. Each such category corresponds to a monoid. So, there are as many such categories as there are monoids. $\hfill\Box$

Exercises.

- (1) Consider the following properties of an arrow f in a category \mathbf{C} .
 - f is *split monic* if for some g, $g \circ f$ is an identity arrow.
 - f is *split epic* if for some g, $f \circ g$ is an identity arrow.
 - a. Prove that if f and g are arrows such that $g \circ f$ is monic, then f is monic.
 - b. Prove that if f is split epic then it is epic.
 - c. Prove that if f and $g \circ f$ are iso then g is iso.
 - d. Prove that if f is monic and split epic then it is iso.
 - e. In the category **Mon** of monoids and monoid homomorphisms, consider the inclusion map

$$i: (\mathbb{N}, +, 0) \to (\mathbb{Z}, +, 0)$$

of natural numbers into the integers. Show that this arrow is both monic and epic. Is it an iso?

The **Axiom of Choice** in Set Theory states that if $\{X_i\}_{i\in I}$ is a family of nonempty sets, we can form a set $X = \{x_i \mid i \in I\}$, where $x_i \in X_i$ for all $i \in I$.

- f. Show that in **Set** an arrow which is epic is split epic. Explain why this needs the Axiom of Choice.
- g. Is is always the case that an arrow which is epic is split epic? Either prove that it is, or give a counterexample.
- (2) Give a description of partial orders as categories of a special kind.

SOLUTION.

(1)

- a. Suppose $f:A\to B$ and $g:B\to C$ such that $g\circ f$ is monic. Assume, for all $i,j:Z\to A,\ f\circ i=f\circ j.$ Then, $(g\circ f)\circ i=g\circ (f\circ i)=g\circ (f\circ j)=(g\circ f)\circ j,$ which implies i=j, since $g\circ f$ is monic. This implies f is monic, and we are done.
- b. Suppose $f:A\to B$ is split epic. Then, there exists a $g:B\to A$ such that $f\circ g=1_B$. Assume, for all $i,j:B\to C,\ i\circ f=j\circ f$. Then, $i=i\circ 1_B=i\circ (f\circ g)=(i\circ f)\circ g=(j\circ f)\circ g=j\circ (f\circ g)=j\circ 1_B=j,$ which shows f is epic.
- c. Suppose $f:A\to B$ and $g:B\to C$ such that f and $g\circ f$ are iso. We claim that the inverse of g is $f\circ (g\circ f)^{-1}:C\to B$. Indeed, $g\circ (f\circ (g\circ f)^{-1})=(g\circ f)\circ (g\circ f)^{-1}=1_C$, and $(f\circ (g\circ f)^{-1})\circ g=f\circ (g\circ f)^{-1}\circ (g\circ f)\circ f^{-1}=f\circ f^{-1}=1_B$, which establishes g is also an iso.
- d. Suppose $f:A\to B$ is monic and split epic. The latter implies f has a right inverse, $g:B\to A$, say, where $f\circ g=1_B$. Note that $g\circ f:A\to A$ and $1_A:A\to A$. Now, $f\circ (g\circ f)=(f\circ g)\circ f=$

 $1_B \circ f = f = f \circ 1_A$, which implies $g \circ f = 1_A$, since f is monic (left cancellative). Thus, g is also a left inverse of f, and hence, f is iso.

e. It is easy to prove the inclusion map $\mathbb{N} \hookrightarrow \mathbb{Z}$ is really a monoid homomorphism. Indeed, i(0) = 0, and, for all $n_1, n_2 \in \mathbb{N}$, $i(n_1 + n_2) = n_1 + n_2 = i(n_1) + i(n_2)$.

Next, we show that i is monic. Assume, for all monoid homomorphisms $g, h : X \to \mathbb{N}$, $i \circ g = i \circ h$. Then, for all $x \in X$, $(i \circ g)(x) = (i \circ h)(x)$, which implies i(g(x)) = i(h(x)), which implies g(x) = h(x), which implies g(x) = h(x). This shows the inclusion map is monic.

We now show the inclusion map is epic. First, assume, for all monoid homomorphisms $g,h:(\mathbb{Z},+,0)\to (X,\star,1_X),\ g\circ i=h\circ i.$ Then, for all $n\in\mathbb{N},\ (g\circ i)(n)=(h\circ i)(n),$ which implies g(i(n))=h(i(n)), which implies g(n)=h(n). We now claim that for all $n\geq 1,\ g(-n)=h(-n).$ To that end, we use induction on n. Note that $g(-1)=g(-1)\star 1_X=g(-1)\star h(0)=g(-1)\star h(1+(-1))=g(-1)\star h(1)\star h(-1)=g(-1)\star g(1)\star h(-1)=g(-1+1)\star h(-1)=g(0)\star h(-1)=1_X\star h(-1)=h(-1).$ Now, assume the proposition holds for some $n\geq 1.$ Then, $g(-(n+1))=g(-n+(-1))=g(-n)\star g(-1)=h(-n)\star h(-1)=h(-n+(-1))=h(-(n+1)).$ Hence, by induction, g(-n)=h(-n) for all $n\geq 1.$ Combining the results from above, we thus conclude g(z)=h(z) for all $z\in\mathbb{Z}.$ In other words, g=h, which implies i is epic.

Clearly, the inclusion map $\mathbb{N} \hookrightarrow \mathbb{Z}$ is not iso.

- f. Suppose $f: X \to Y$ is epic in **Set**. Then, from an earlier result about **Set**, we conclude f is surjective. Now, consider the family of nonempty sets $\{f^{-1}(b)\}_{b\in B}$. Each of the sets in the family is nonempty, because f is surjective. Therefore, using the Axiom of Choice, we can choose some element from each nonempty set in the family to construct a function $g: Y \to X$, given by g(y) := x if $x \in f^{-1}(b)$. In addition, for all $y \in Y$, $(f \circ g)(y) = f(g(y)) = y = 1_Y(y)$, which implies $f \circ g = 1_Y$. This shows f has a right inverse, thus proving f is split epic.
- g. It isn't always the case that an arrow which is epic is split epic. For example, in the category **Mon**, the inclusion map $\mathbb{N} \hookrightarrow \mathbb{Z}$ is epic (as shown in (e) above.) Now, if we assume that it is also split epic, then there exists a monoid homomorphism $g: \mathbb{Z} \to \mathbb{N}$, such that $i \circ g = 1_{\mathbb{Z}}$. This implies $(i \circ g)(-1) = 1_{\mathbb{Z}}(-1)$, which implies i(g(-1)) = -1, which implies g(-1) = -1, which implies g(-1) = -1, which is absurd. We thus conclude the aforesaid inclusion map is *not* split epic, even though it is epic. And this proves our original claim.

2. Some Basic Constructions

An object I in a category \mathbf{C} is *initial* if, for every object A, there exists a unique arrow $I \to A$, which we write $\iota_A : I \to A$.

An object T in a category \mathbf{C} is **terminal** if, for every object A, there exists a unique arrow $A \to T$, which we write $\tau_A : A \to T$.

Note that initial and terminal objects are dual notions: T is terminal in \mathbf{C} iff it is initial in \mathbf{C}^{op} . We sometimes write $\mathbf{1}$ for the terminal object and $\mathbf{0}$ for the initial object.