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# UNIT 1 PROPOSITIONAL CALCULUS

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## 1.0 INTRODUCTION

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According to the theory of evolution, human beings have evolved from the lower species over many millennia. The chief asset that made humans “superior” to their ancestors was the ability to reason. How well this ability has been used for scientific and technological development is common knowledge. But no systematic study of logical reasoning seems to have been done for a long time. The first such study that has been found is by Greek philosopher Aristotle (384-322 BC). In a modified form, this type of logic seems to have been taught through the Middle Ages.

Then came a major development in the study of logic, its formalisation in terms of mathematics. It was mainly Leibniz (1646-1716) and George Boole (1815-1864) who seriously studied and developed this theory, called **symbolic logic**. It is the basics of this theory that we aim to introduce you to in this unit and the next one.

In the introduction to the block you have read about what symbolic logic is. Using it we can formalise our arguments and logical reasoning in a manner that can easily show if the reasoning is valid, or is a fallacy. How we symbolise the reasoning is what is presented in this unit.

More precisely, in Section 1.2 (i.e., Sec. 1.2, in brief) we talk about what kind of sentences are acceptable in mathematical logic. We call such sentences statements or propositions. You will also see that a statement can either be true or false. Accordingly, as you will see, we will give the statement a truth value T or F.

In Sec. 1.3 we begin our study of the logical relationship between propositions. This is called propositional calculus. In this we look at some ways of connecting simple propositions to obtain more complex ones. To do so, we use logical connectives like “and” and “or”. We also introduce you to other connectives like “not”, “implies” and “implies and is implied by”. At the same time we construct tables that allow us to find the truth values of the compound statement that we get.

In Sec. 1.4 we consider the conditions under which two statements are “the same”. In such a situation we can safely replace one by the other.

And finally, in Sec 1.5, we talk about some common terminology and notation which is useful for quantifying the objects we are dealing with in a statement.

It is important for you to study this unit carefully, because the other units in this block are based on it. Please be sure to do the exercises as you come to them. Only then will you be able to achieve the following objectives.

## 1.1 OBJECTIVES

After reading this unit, you should be able to:

- distinguish between propositions and non-propositions;
- construct the truth table of any compound proposition;
- identify and use logically equivalent statements;
- identify and use logical quantifiers.

Let us now begin our discussion on mathematical logic.

## 1.2 PROPOSITIONS

Consider the sentence ‘In 2003, the President of India was a woman’. When you read this declarative sentence, you can immediately decide whether it is true or false. And so can anyone else. Also, it wouldn’t happen that some people say that the statement is true and some others say that it is false. Everybody would have the same answer. So this sentence is either **universally true** or **universally false**.

Similarly, ‘An elephant weighs more than a human being.’ Is a declarative sentence which is either true or false, but not both. In mathematical logic we call such sentences **statements or propositions**.

On the other hand, consider the declarative sentence ‘Women are more intelligent than men’. Some people may think it is true while others may disagree. So, it is neither universally true nor universally false. Such a sentence is not acceptable as a statement or proposition in mathematical logic.

Note that a **proposition should be either uniformly true or uniformly false**. For example, ‘An egg has protein in it.’, and ‘The Prime Minister of India has to be a man.’ are both propositions, the first one true and the second one false.

Would you say that the following are propositions?

‘Watch the film.  
‘How wonderful!’  
‘What did you say?’

Actually, none of them are declarative sentences. (The first one is an order, the second an exclamation and the third is a question.) And therefore, none of them are propositions.

Now for some mathematical propositions! You must have studied and created many of them while doing mathematics. Some examples are

Two plus two equals four.  
Two plus two equals five.  
 $x + y > 0$  for  $x > 0$  and  $y > 0$ .  
A set with  $n$  elements has  $2^n$  subsets.

Of these statements, three are true and one false (which one?).

Now consider the algebraic sentence ‘ $x + y > 0$ ’. Is this a proposition? Are we in a position to determine whether it is true or false? Not unless we know the values that  $x$  and  $y$  can take. For example, it is false for  $x = 1$ ,  $y = -2$  and true if  $x = 1$ ,  $y = 0$ . Therefore, ‘ $x + y > 0$ ’ is not a proposition, while ‘ $x + y > 0$  for  $x > 0$ ,  $y > 0$ ’ is a proposition.

- E1) Which of the following sentences are statements? What are the reasons for your answer?
- The sun rises in the West.
  - How far is Delhi from here?
  - Smoking is injurious to health.
  - There is no rain without clouds.
  - What is a beautiful day!
  - She is an engineering graduates.
  - $2^n + n$  is an even number for infinitely many  $n$ .
  - $x + y = y + x$  for all  $x, y \in \mathbf{R}$ .
  - Mathematics is fun.
  - $2^n = n^2$ .

Usually, when dealing with propositions, we shall denote them by lower case letters like  $p, q$ , etc. So, for example, we may denote

'Ice is always cold.' by  $p$ , or

' $\cos^2 \theta + \sin^2 \theta = 1$  for  $\theta \in [0, 2\pi]$ ' by  $q$ .

We shall sometimes show this by saying

$p$ : Ice is always cold., or

$q$ :  $\cos^2 \theta + \sin^2 \theta = 1$  for  $\theta \in [0, 2\pi]$ .

Now, given a proposition, we know that it is either true or false, but not both. If it is **true**, we will allot it the **truth value T**. If it is **false**, its **truth value will be F**. So, for example, the truth value of

'Ice melts at  $30^\circ \text{C}$ .' is F, while that of ' $x^2 \geq 0$  for  $x \in \mathbf{R}$ ' is T.

Here are some exercises for you now.

- E2) Give the truth values of the propositions in E1.
- E3) Give two propositions each, the truth values of which are T and F, respectively. Also give two examples of sentences that are not propositions.

Let us now look at ways of connecting simple propositions to obtain compound statements.

### 1.3 LOGICAL CONNECTIVES

When you're talking to someone, do you use very simple sentences only? Don't you use more complicated ones which are joined by words like 'and', 'or', etc? In the same way, most statements in mathematical logic are combinations of simpler statements joined by words and phrases like 'and', 'or', 'if ... then', 'if and only if', etc. These words and phrases are called **logical connectives**. There are 6 such connectives, which we shall discuss one by one.

#### 1.3.1 Disjunction

Consider the sentence 'Alice or the mouse went to the market.'. This can be written as 'Alice went to the market or the mouse went to the market.' So, this statement is actually made up of two simple statements connected by 'or'. We have a term for such a compound statement.

**Definition:** The **disjunction** of two propositions  $p$  and  $q$  is the compound statement

Sometimes, as in the context of logic circuits (See unit 3), we will use 1 instead of T and 0 instead of F.

**p or q**, denoted by  $p \vee q$ .

For example, 'Zarina has written a book or Singh has written a book.' Is the disjunction of p and q, where  
 $p$  : Zarina has written a book, and  
 $q$  : Singh has written a book.

Similarly, if p denotes ' $2 > 0$ ' and q denotes ' $2 < 5$ ', then  $p \vee q$  denotes the statement '2 is greater than 0 or 2 is less than 5.'

Let us now look at how the truth value of  $p \vee q$  depends upon the truth values of p and q. For doing so, let us look at the example of Zarina and Singh, given above. If even one of them has written a book, then the compound statement  $p \vee q$  is true. Also, if both have written books, the compound statement  $p \vee q$  is again true. Thus, if the truth value of even one out of p and q is T, then that of ' $p \vee q$ ' is T. Otherwise, the truth value of  $p \vee q$  is F. This holds for any pair of propositions p and q. To see the relation between the truth values of p, q and  $p \vee q$  easily, we put this in the form of a table (Table 1), which we call a **truth table**.

Table 1: Truth table for disjunction

p	q	$p \vee q$
T	T	T
T	F	T
F	T	T
F	F	F

How do we form this table? We consider the truth values that p can take – T or F. Now, when p is true, q can be true or false. Similarly, when p is false q can be true or false. In this way there are 4 possibilities for the compound proposition  $p \vee q$ . Given any of these possibilities, we can find the truth value of  $p \vee q$ . For instance, consider the third possibility, i.e., p is false and q is true. Then, by definition,  $p \vee q$  is true. In the same way, you can check that the other rows are consistent.

Let us consider an example.

**Example 1:** Obtain the truth value of the disjunction of 'The earth is flat'. and ' $3 + 5 = 2$ '.

**Solution:** Let p denote 'The earth is flat,' and q denote ' $3 + 5 = 2$ '. Then we know that the truth values of both p and q are F. Therefore, the truth value of  $p \vee q$  is F.

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Try an exercise now.

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E4) Write down the disjunction of the following propositions, and give its truth value.

- i)  $2 + 3 = 7$ ,
  - ii) Radha is an engineer.
- 

We also use the term 'inclusive or' for the connective we have just discussed. This is because  $p \vee q$  is true even when both p and q are true. But, what happens when we want to ensure that only one of them should be true? Then we have the following connective.

**Definition:** The **exclusive disjunction** of two propositions p and q is the statement '**Either p is true or q is true, but both are not true.**'. Either p is true or q is true, but both are not true.'. We denote this by  $p \oplus q$ .

So, for example, if  $p$  is ' $2 + 3 = 5$ ' and  $q$  the statement given in E4(ii), then  $p \oplus q$  is the statement 'Either  $2 + 3 = 5$  or Radha is an engineer'. This will be true only if Radha is not an engineer.

In general, how is the truth value of  $p \oplus q$  related to the truth values of  $p$  and  $q$ ? This is what the following exercise is about.

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E5) Write down the truth table for  $\oplus$ . Remember that  $p \oplus q$  is not true if both  $p$  and  $q$  are true.

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Now let us look at the logical analogue of the coordinating conjunction 'and'.

### 1.3.2 Conjunction

As in ordinary language, we use 'and' to combine simple propositions to make compound ones. For instance, ' $1 + 4 \neq 5$  and Prof. Rao teaches Chemistry.' is formed by joining ' $1 + 4 \neq 5$ ' and 'Prof. Rao teaches Chemistry' by 'and'. Let us define the formal terminology for such a compound statement.

**Definition:** We call the compound statement ' **$p$  and  $q$** ' the **conjunction** of the statements  $p$  and  $q$ . We denote this by  **$p \wedge q$** .

For instance, ' $3 + 1 \neq 7 \wedge 2 > 0$ ' is the conjunction of ' $3 + 1 \neq 7$ ' and ' $2 > 0$ '. Similarly, ' $2 + 1 = 3 \wedge 3 = 5$ ' is the conjunction of ' $2 + 1 = 3$ ' and ' $3 = 5$ '.

Now, when would  $p \wedge q$  be true? Do you agree that this could happen only when both  $p$  and  $q$  are true, and not otherwise? For instance, ' $2 + 1 = 3 \wedge 3 = 5$ ' is not true because ' $3 = 5$ ' is false.

So, the truth table for conjunction would be as in Table 2.

**Table 2: Truth table for conjunction**

$P$	$q$	$p \wedge q$
T	T	T
T	F	F
F	T	F
F	F	F

To see how we can use the truth table above, consider an example.

**Example 2:** Obtain the truth value of the conjunction of ' $2 \div 5 = 1$ ' and 'Padma is in Bangalore.'

**Solution:** Let  $p : 2 \div 5 = 1$ , and  
 $q$ : Padma is in Bangalore.

Then the truth value of  $p$  is F. Therefore, from Table 3 you will find that the truth value of  $p \wedge q$  is F.

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Why don't you try an exercise now?

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E6) Give the set of those real numbers  $x$  for which the truth value of  $p \wedge q$  is T, where  $p : x > -2$ , and  $q : x + 3 \neq 7$

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If you look at Tables 1 and 2, do you see a relationship between the truth values in their last columns? You would be able to formalize this relationship after studying the next connective.

### 1.3.3 Negation

You must have come across young children who, when asked to do something, go ahead and do exactly the opposite. Or, when asked if they would like to eat, say rice and curry, will say 'No', the 'negation' of yes! Now, if  $p$  denotes the statement 'I will eat rice.', how can we denote 'I will not eat rice.'? Let us define the connective that will help us do so.

**Definition:** The **negation** of a proposition  $p$  is '**not  $p$** ', denoted by  $\sim p$ .

For example, if  $p$  is 'Dolly is at the study center.', then  $\sim p$  is 'Dolly is not at the study center'. Similarly, if  $p$  is 'No person can live without oxygen.',  $\sim p$  is 'At least one person can live without oxygen.'.

Now, regarding the truth value of  $\sim p$ , you would agree that it would be T if that of  $p$  is F, and vice versa. Keeping this in mind you can try the following exercises.

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- E7) Write down  $\sim p$ , where  $p$  is
- $0 - 5 \neq 5$
  - $n > 2$  for every  $n \in \mathbb{N}$ .
  - Most Indian children study till class 5.

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- E8) Write down the truth table of negation.
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Let us now discuss the conditional connectives, representing 'If ..., then ...' and 'if and only if'.

### 1.3.4 Conditional Connectives

Consider the proposition 'If Ayesha gets 75% or more in the examination, then she will get an A grade for the course.'. We can write this statement as 'If  $p$ , and  $q$ ', where

- $p$ : Ayesha gets 75% or more in the examination, and  
 $q$ : Ayesha will get an A grade for the course.

This compound statement is an example of the implication of  $q$  by  $p$ .

**Definition:** Given any two propositions  $p$  and  $q$ , we denote the statement '**If  $p$ , then  $q$** ' by  $p \rightarrow q$ . We also read this as ' $p$  **implies**  $q$ '. or ' $p$  is sufficient for  $q$ ', or ' $p$  **only if**  $q$ '. We also call  $p$  the **hypothesis** and  $q$  the conclusion. Further, a statement of the form  $p \rightarrow q$  is called a **conditional statement** or a **conditional proposition**.

So, for example, in the conditional proposition 'If  $m$  is in  $\mathbb{Z}$ , then  $m$  belongs to  $\mathbb{Q}$ .' the hypothesis is ' $m \in \mathbb{Z}$ ' and the conclusion is ' $m \in \mathbb{Q}$ '.

Mathematically, we can write this statement as

$$m \in \mathbb{Z} \rightarrow m \in \mathbb{Q}.$$

Let us analyse the statement  $p \rightarrow q$  for its truth value. Do you agree with the truth table we've given below (Table 3)? You may like to check it out while keeping an example from your surroundings in mind.

Table 3: Truth table for implication

$p$	$q$	$p \rightarrow q$
T	T	T
T	F	F
F	T	T
F	F	T

You may wonder about the third row in Table 3. But, consider the example ' $3 < 0 \rightarrow 5 > 0$ '. Here the conclusion is true regardless of what the hypothesis is. And therefore, the conditional statement remains true. In such a situation we say that the **conclusion is vacuously true**.

Why don't you try this exercise now?

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- E9) Write down the proposition corresponding to  $p \rightarrow q$ , and determine the values of  $x$  for which it is false, where  
 $p : x + y = xy$  where  $x, y \in \mathbf{R}$   
 $q : x \neq 0$  for every  $x \in \mathbf{Z}$ .
- 

Now, consider the implication 'If Jahanara goes to Baroda, then she doesn't participate in the conference at Delhi.' What would its converse be? To find it, the following definition may be useful.

**Definition:** The **converse** of  $p \rightarrow q$  is  $q \rightarrow p$ . In this case we also say 'p is necessary for q', or 'p **if** q'.

So, in the example above, the converse of the statement would be 'If Jahanara doesn't participate in the conference at Delhi, then she goes to Baroda.' This means that Jahanara's non-participation in the conference at Delhi is necessary for her going to Baroda.

Now, what happens when we combine an implication and its converse?

To show ' $p \rightarrow q$  and  $q \rightarrow p$ ', we introduce a shorter notation.

**Definition:** Let  $p$  and  $q$  be two propositions. The compound statement  $(p \rightarrow q) \wedge (q \rightarrow p)$  is the **biconditional** of  $p$  and  $q$ . We denote it by  $p \leftrightarrow q$ , and read it as 'p **if and only** q'.

We usually shorten 'if and only if' to **iff**.

The two connectives  $\rightarrow$  and  $\leftrightarrow$  are called **conditional connectives**.

We also say that 'p **implies and is implied** by q'. or 'p is **necessary and sufficient** for q'.

For example, 'Sudha will gain weight if and only if she eats regularly.' Means that 'Sudha will gain weight if she eats regularly **and** Sudha will eat regularly if she gains weight.'

One point that may come to your mind here is whether there's any difference in the two statements  $p \leftrightarrow q$  and  $q \leftrightarrow p$ . When you study Sec. 1.4 you will realize why they are inter-changeable.

Let us now consider the truth table of the biconditional, i.e., of the **two-way** implication.

To obtain its truth values, we need to use Tables 2 and 3, as you will see in Table 4. This is because, to find the value of  $(p \rightarrow q) \wedge (q \rightarrow p)$  we need to know the values of each of the simpler statements involved.

**Table 4: Truth table for two-way implication.**

p	q	$p \rightarrow q$	$q \rightarrow p$	$p \leftrightarrow q$
T	T	T	T	T
T	F	F	T	F
F	T	T	F	F
F	F	T	T	T

As you can see from the last column of the table (and from your own experience),  $p \leftrightarrow q$  is true only when both  $p$  and  $q$  are true or both  $p$  and  $q$  are false. In other words,  $p \leftrightarrow q$  is true only when  $p$  and  $q$  have the same truth values. Thus, for example, 'Parimala is in America iff  $2 + 3 = 5$ ' is true only if 'Parimala is in America,' is true.

Here are some related exercises.

E10) For each of the following compound statements, first identify the simple propositions  $p$ ,  $q$ ,  $r$ , etc., that are combined to make it. Then write it in symbols, using the connectives, and give its truth value.

- i) If triangle ABC is equilateral, then it is isosceles.
- ii)  $a$  and  $b$  are integers if and only if  $ab$  is a rational number.
- iii) If Raza has five glasses of water and Sudha has four cups of tea, then Shyam will not pass the math examination.
- iv) Mariam is in Class 1 or in Class 2.

E11) Write down two propositions  $p$  and  $q$  for which  $q \rightarrow p$  is true but  $p \leftrightarrow q$  is false.

Now, how would you determine the truth value of a proposition which has more than one connective in it? For instance, does  $\sim p \vee q$  mean  $(\sim p) \vee q$  or  $\sim (p \vee q)$ ? We discuss some rules for this below.

### 1.3.5 Precedence Rule

While dealing with operations on numbers, you would have realized the need for applying the BODMAS rule. According to this rule, when calculating the value of an arithmetic expression, we first calculate the value of the Bracketed portion, then apply **Of, Division, Multiplication, Addition and Subtraction, in this order**. While calculating the truth value of compound propositions involving more than one connective, we have a similar **convention** which tells us which connective to apply first.

Why do we need such a convention? Suppose we didn't have an order of preference, and want to find the truth of, say  $\sim p \vee q$ . Some of us may consider **the value of  $(\sim p) \vee q$ , and some may consider  $\sim (p \vee q)$ . The truth values can be different in these cases. For instance, if  $p$  and  $q$  are both true, then  $(\sim p) \vee q$  is true, but  $\sim (p \vee q)$  is false. So, for the purpose of unambiguity, we agree to such an order or rule. Let us see what it is.**

**The rule of precedence:** The order of preference in which the connectives are applied in a formula of propositions that has no brackets is

- i)  $\sim$
- ii)  $\wedge$
- iii)  $\vee$  and  $\oplus$
- iv)  $\rightarrow$  and  $\leftrightarrow$

Note that the 'inclusive or' and 'exclusive or' are both third in the order of preference. However, if both these appear in a statement, we first apply the left most one. So, for instance, in  $p \vee q \oplus \sim p$ , we first apply  $\vee$  and then  $\oplus$ . The same applies to the 'implication' and the 'biconditional', which are both fourth in the order of preference.

To clearly understand how this rule works, let us consider an example.

**Example 3:** Write down the truth table of  $p \rightarrow q \wedge \sim r \leftrightarrow r \oplus q$



**Solution:** We want to find the required truth value when we are given the truth values of  $p$ ,  $q$  and  $r$ . According to the rule of precedence given above, we need to first find the truth value of  $\sim r$ , then that of  $(q \wedge \sim r)$ , then that of  $(r \oplus q)$ , and then that of  $p \rightarrow (q \wedge \sim r)$ , and finally the truth value of  $[p \rightarrow (q \wedge \sim r)] \leftrightarrow r \oplus q$ .

So, for instance, suppose  $p$  and  $q$  are true, and  $r$  is false. Then  $\sim r$  will have value T,  $q \wedge \sim r$  will be T,  $r \oplus q$  will be T,  $p \rightarrow (q \wedge \sim r)$  will be T, and hence,  $p \rightarrow q \wedge \sim r \leftrightarrow r \oplus q$  will be T.

You can check that the rest of the values are as given in Table 5. Note that we have 8 possibilities ( $=2^3$ ) because there are 3 simple propositions involved here.

**Table 5: Truth table for  $p \rightarrow q \wedge \sim r \leftrightarrow r \oplus q$**

$p$	$q$	$r$	$\sim r$	$q \wedge \sim r$	$r \oplus q$	$p \rightarrow q \wedge \sim r$	$p \rightarrow q \wedge \sim r \leftrightarrow r \oplus q$
T	T	T	F	F	F	F	T
T	T	F	T	T	T	T	T
T	F	T	F	F	T	F	F
T	F	F	T	F	F	F	T
F	T	T	F	F	F	T	F
F	T	F	T	T	T	T	T
F	F	T	F	F	T	T	T
F	F	F	T	F	F	T	F

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You may now like to try some exercises on the same lines.

E12) In Example 3, how will the truth values of the compound statement change if you first apply  $\leftrightarrow$  and then  $\rightarrow$ ?

E13) In Example 3, if we replace  $\oplus$  by  $\wedge$ , what is the new truth table?

E14) From the truth table of  $p \wedge q \vee \sim r$  and  $(p \wedge q) \vee (\sim r)$  and see where they differ.

E15) How would you bracket the following formulae to correctly interpret them?  
[For instance,  $p \vee \sim q \wedge r$  would be bracketed as  $p \vee ((\sim q) \wedge r)$ .]

i)  $p \vee q$ ,

ii)  $\sim q \rightarrow \sim p$ ,

iii)  $p \rightarrow q \leftrightarrow \sim p \vee q$ ,

iv)  $p \oplus q \wedge r \rightarrow \sim p \vee q \leftrightarrow p \wedge r$ .

So far we have considered different ways of making new statements from old ones. But, are all these new ones distinct? Or are some of them the same? And “same” in what way? This is what we shall now consider.

## 1.4 LOGICAL EQUIVALENCE

‘Then you should say what you mean’, the March Hare went on. ‘I do,’ Alice hastily replied, ‘at least ... at least I mean what I say – that’s the same thing you know.’ ‘Not the same thing a bit!’ said the Hatter. ‘Why you might just as well say that “I see what I eat” is the same thing as “I eat what I see”!’

-from ‘Alice in Wonderland’  
by Lewis Carroll

In Mathematics, as in ordinary language, there can be several ways of saying the same thing. In this section we shall discuss what this means in the context of logical statements.

Consider the statements 'If Lala is rich, then he must own a car.' and 'if Lala doesn't own a car, then he is not rich.'. Do these statements mean the same thing? If we write the first one as  $p \rightarrow q$ , then the second one will be  $(\sim q) \rightarrow (\sim p)$ . How do the truth values of both these statements compare?

We find out in the following table.

Table 6

p	q	$\sim p$	$\sim q$	$p \rightarrow q$	$\sim q \rightarrow \sim p$
T	T	F	F	T	T
T	F	F	T	F	F
F	T	T	F	T	T
F	F	T	T	T	T

Consider the last two columns of Table 6. You will find that ' $p \rightarrow q$ ' and ' $\sim q \rightarrow \sim p$ ' have the same truth value for every choice of truth values of p and q. When this happens, we call them equivalent statements.

**Definition:** We call two propositions r and s **logically equivalent** provided they have the same truth value for every choice of truth values of simple propositions involved in them. We denote this fact by  $r \equiv s$ .

So, from Table 6 we find that  $(p \rightarrow q) \equiv (\sim q \rightarrow \sim p)$ .

You can also check that  $(p \leftrightarrow q) \equiv (q \leftrightarrow p)$  for any pair of propositions p and q.

As another example, consider the following equivalence that is often used in mathematics. You could also apply it to obtain statements equivalent to 'Neither a borrower, nor a lender be.'!

**Example 4:** For any two propositions p and q, show that  $\sim (p \vee q) \equiv \sim p \wedge \sim q$ .

**Solution:** Consider the following truth table.

Table 7

p	q	$\sim p$	$\sim q$	$p \vee q$	$\sim (p \vee q)$	$\sim p \wedge \sim q$
T	T	F	F	T	F	F
T	F	F	T	T	F	F
F	T	T	F	T	F	F
F	F	T	T	F	T	T

You can see that the last two columns of Table 7 are identical. Thus, the truth values of  $\sim (p \vee q)$  and  $\sim p \wedge \sim q$  agree for every choice of truth values of p and q. Therefore,  $\sim (p \vee q) \equiv \sim p \wedge \sim q$ .

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The equivalence you have just seen is one of **De Morgan's laws**. You might have already come across these laws in your previous studies of basic Mathematics.

The other law due to De Morgan is similar :  $\sim (p \wedge q) \equiv \sim p \vee \sim q$ .

In fact, there are several such laws about equivalent propositions. Some of them are the following, where, as usual, p, q and r denote propositions.



Fig. 1: Augustus De Morgan (1806-1871) was born in Madurai

- a) **Double negation law** :  $\sim(\sim p) \equiv p$   
b) **Idempotent laws**:  $p \wedge p \equiv p$ ,  
 $p \vee p \equiv p$   
c) **Commutativity**:  $p \vee q \equiv q \vee p$   
 $p \wedge q \equiv q \wedge p$   
d) **Associativity**:  $(p \vee q) \vee r \equiv p \vee (q \vee r)$   
 $(p \wedge q) \wedge r \equiv p \wedge (q \wedge r)$   
e) **Distributivity**:  $\vee(q \wedge r) \equiv (p \vee q) \wedge (p \vee r)$   
 $p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$

We ask you to prove these laws now.

- E16) Show that the laws given in (a)-(e) above hold true.  
E17) Prove that the relation of 'logical equivalence' is an equivalence relation.  
E18) Check whether  $(\sim p \vee q)$  and  $(p \rightarrow q)$  are logically equivalent.

The laws given above and the equivalence you have checked in E18 are commonly used, and therefore, **useful to remember**. You will also be applying them in Unit 3 of this Block in the context of switching circuits.

Let us now consider some propositional formulae which are always true or always false. Take, for instance, the statement 'If Bano is sleeping and Pappu likes ice-cream, then Beno is sleeping'. You can draw up the truth table of this compound proposition and see that it is always true. This leads us to the following definition.

**Definition:** A compound proposition that is true for all possible truth values of the simple propositions involved in it is called a **tautology**. Similarly, a proposition that is false for all possible truth values of the simple propositions that constitute it is called a **contradiction**.

Let us look at some example of such propositions.

**Example 5:** Verify that  $p \wedge q \wedge \sim p$  is a contradiction and  $p \rightarrow q \leftrightarrow \sim p \vee q$  is a tautology.

**Solution:** Let us simultaneously draw up the truth tables of these two propositions below.

Table 8

p	q	$\sim p$	$p \wedge q$	$p \wedge q \wedge \sim p$	$p \rightarrow q$	$\sim p \vee q$	$p \rightarrow q \leftrightarrow \sim p \vee q$
T	T	F	T	F	T	T	T
T	F	F	F	F	F	F	T
F	T	T	F	F	T	T	T
F	F	T	F	F	T	T	T

Looking at the fifth column of the table, you can see that  $p \wedge q \wedge \sim p$  is a contradiction. This should not be surprising since  $p \wedge q \wedge \sim p \equiv (p \wedge \sim p) \wedge q$  (check this by using the various laws given above).

And what does the last column of the table show? Precisely that  $p \rightarrow q \leftrightarrow \sim p \vee q$  is a tautology.

\*\*\*

Why don't you try an exercise now?

- E19) Let  $T$  denote a tautology (i.e., a statement whose truth value is always T) and  $F$  a contradiction. Then, for any statement  $p$ , show that

- i)  $p \vee T \equiv T$
- ii)  $p \wedge T \equiv p$
- iii)  $p \vee F \equiv p$
- iv)  $p \wedge F \equiv F$

Another way of proving that a proposition is a tautology is to use the properties of logical equivalence. Let us look at the following example.

**Example 6:** Show that  $[(p \rightarrow q) \wedge \sim q] \rightarrow \sim p$  is a tautology.

**Solution:**  $[(p \rightarrow q) \wedge \sim q] \rightarrow \sim p$   
 $\equiv [(\sim p \vee q) \wedge \sim q] \rightarrow \sim p$ , using E18, and symmetricity of  $\equiv$ .  
 $\equiv [(\sim p \wedge \sim q) \vee (q \wedge \sim q)] \rightarrow \sim p$ , by De Morgan's laws.  
 $\equiv [(\sim p \wedge \sim q) \vee F] \rightarrow \sim p$ , since  $q \wedge \sim q$  is always false.  
 $\equiv (\sim p \wedge \sim q) \rightarrow \sim p$ , using E18.

**Complementation law:**  
 $q \wedge \sim q$  is a contradiction.

Which is tautology.

And therefore the proposition we started with is a tautology.

\*\*\*

The laws of logical equivalence can also be used to prove some other logical equivalences, without using truth tables. Let us consider an example.

**Example 7:** Show that  $(p \rightarrow \sim q) \wedge (p \rightarrow \sim r) \equiv \sim [p \wedge (q \vee r)]$ .

**Solution:** We shall start with the statement on the left hand side of the equivalence that we have to prove. Then, we shall apply the laws we have listed above, or the equivalence in E 18, to obtain logically equivalent statements. We shall continue this process till we obtain the statement on the right hand side of the equivalence given above. Now

$$\begin{aligned}
 & (p \rightarrow \sim q) \wedge (p \rightarrow \sim r) \\
 & \equiv (\sim p \vee q) \wedge (\sim p \vee \sim r), \text{ by E18} \\
 & \equiv \sim p \vee (\sim q \wedge \sim r), \text{ by distributivity} \\
 & \equiv \sim p \vee [\sim (q \vee r)], \text{ by De Morgan's laws} \\
 & \equiv \sim [p \wedge (q \vee r)], \text{ by De Morgan's laws}
 \end{aligned}$$

So we have proved the equivalence that we wanted to.

\*\*\*

You may now like to try the following exercises on the same lines.

E20) Use the laws given in this section to show that  
 $\sim (\sim p \wedge q) \wedge (p \vee q) \equiv p$ .

E21) Write down the statement 'If it is raining and if rain implies that no one can go to see a film, then no one can go to see a film.' As a compound proposition. Show that this proposition is a tautology, by using the properties of logical equivalence.

E22) Give an example, with justification, of a compound proposition that is neither a tautology nor a contradiction.

Let us now consider proposition-valued functions.

## 1.5 LOGICAL QUANTIFIERS

In Sec. 1.2, you read that a sentence like ‘She has gone to Patna.’ Is not a proposition, unless who ‘she’ is clearly specified.

Similarly, ‘ $x > 5$ ’ is not a proposition unless we know the values of  $x$  that we are considering. Such sentences are examples of ‘propositional functions’.

**Definition: A propositional function**, or a **predicate**, in a variable  $x$  is a sentence  $p(x)$  involving  $x$  that becomes a proposition when we give  $x$  a definite value from the set of values it can take. We usually denote such functions by  $p(x)$ ,  $q(x)$ , etc. The set of values  $x$  can take is called the universe of discourse.

So, if  $p(x)$  is ‘ $x > 5$ ’, then  $p(x)$  is not a proposition. But when we give  $x$  particular values, say  $x = 6$  or  $x = 0$ , then we get propositions. Here,  $p(6)$  is a true proposition and  $p(0)$  is a false proposition.

Similarly, if  $q(x)$  is ‘ $x$  has gone to Patna.’, then replacing  $x$  by ‘Taj Mahal’ gives us a false proposition.

**Note** that a predicate is usually not a proposition. But, of course, every proposition is a propositional function in the same way that every real number is a real-valued function, namely, the constant function.

Now, can all sentences be written in symbolic form by using only the logical connectives? What about sentences like ‘ $x$  is prime and  $x + 1$  is prime for some  $x$ .’? How would you symbolize the phrase ‘for some  $x$ ’, which we can rephrase as ‘there exists an  $x$ ’? You must have come across this term often while studying mathematics.

**We use the symbol ‘ $\exists$ ’ to denote this quantifier, ‘there exists’.** The way we use it is, for instance, to rewrite ‘There is at least one child in the class.’ as ‘ $(\exists x \text{ in } U)p(x)$ ’, where  $p(x)$  is the sentence ‘ $x$  is in the class.’ and  $U$  is the set of all children.

$\exists$  is called the **existential quantifier**.

Now suppose we take the negative of the proposition we have just stated. Wouldn’t it be ‘There is no child in the class.’? We could symbolize this as ‘for all  $x$  in  $U$ ,  $q(x)$ ’ where  $x$  ranges over all children and  $q(x)$  denotes the sentence ‘ $x$  is not in the class.’, i.e.,  $q(x) \equiv \sim p(x)$ .

We have a **mathematical symbol for the quantifier ‘for all’, which is ‘ $\forall$ ’.** So the proposition above can be written as

$\forall$  is called the **universal quantifier**.

‘ $(\forall x \in U)q(x)$ ’, or ‘ $q(x), \forall x \in U$ ’.

An example of the use of the existential quantifier is the true statement.

$(\exists x \in \mathbf{R})(x + 1 > 0)$ , which is read as ‘There exists an  $x$  in  $\mathbf{R}$  for which  $x + 1 > 0$ .’

Another example is the false statement

$(\exists x \in \mathbf{N})(x - \frac{1}{2} = 0)$ , which is read as ‘There exists an  $x$  in  $\mathbf{N}$  for which  $x - \frac{1}{2} = 0$ .’

An example of the use of the universal quantifier is  $(\forall x \notin \mathbf{N})(x^2 > x)$ , which is read as ‘for every  $x$  not in  $\mathbf{N}$ ,  $x^2 > x$ .’ Of course, this is a false statement, because there is at least one  $x \notin \mathbf{N}$ ,  $x \in \mathbf{R}$ , for which it is false.

We often use both quantifiers together, as in the statement called **Bertrand’s postulate**:

$(\forall n \in \mathbf{N} \setminus \{1\})(\exists x \in \mathbf{N})(x \text{ is a prime number and } n < x < 2n)$ .

In words, this is ‘for every integer  $n > 1$  there is a prime number lying strictly between  $n$  and  $2n$ .’

As you have already read in the example of a child in the class,  $(\forall x \in U)p(x)$  is logically equivalent to  $\sim (\exists x \in U) (\sim p(x))$ . Therefore,  $\sim (\forall x \in U)p(x) \equiv \sim \sim (\exists x \in U) (\sim p(x)) \equiv (\exists x \in U) (\sim p(x))$ .

This is one of the rules for negation that relate  $\forall$  and  $\exists$ . The two rules are

$$\sim (\forall x \in U)p(x) \equiv (\exists x \in U) (\sim p(x)), \text{ and}$$

$$\sim (\exists x \in U)p(x) \equiv (\forall x \in U) (\sim p(x))$$

Where  $U$  is the set of values that  $x$  can take.

Now, consider the proposition

‘There is a criminal who has committed every crime.’

We could write this in symbols as

$$(\exists c \in A) (\forall x \in B) (c \text{ has committed } x)$$

Where, of course,  $A$  is the set of criminals and  $B$  is the set of crimes (determined by law).

What would its negation be? It would be

$$\sim (\exists c \in A) (\forall x \in B) (c \text{ has committed } x)$$

Where, of course,  $A$  is the set of criminals and  $B$  is the set of crimes (determined by law).

What would its negation be? It would be

$$\sim (\exists c \in A) (\forall x \in B) (c \text{ has committed } x)$$

$$\equiv (\forall c \in A) [\sim (\forall x \in B) (c \text{ has committed } x)]$$

$$\equiv (\forall c \in A) (\exists x \in B) (c \text{ has not committed } x).$$

We can interpret this as ‘For every criminal, there is a crime that this person has not committed.’

These are only some examples in which the quantifiers occur singly, or together.

Sometimes you may come across situations (as in E23) where you would use  $\exists$  or  $\forall$  twice or more in a statement. It is in situations like this or worse [say,  $(\forall x_1 \in U_1) (\exists x_2 \in U_2) (\exists x_3 \in U_2) (\exists x_3 \in U_3) (\forall x_4 \in U_4) \dots (\exists x_n \in U_n)p$ ]

where our rule for negation comes in useful. In fact, applying it, in a trice we can say that the negation of this seemingly complicated example is

$$(\exists x_1 \in U_1) (\forall x_2 \in U_2) (\forall x_3 \in U_3) (\exists x_4 \in U_4) \dots (\forall x_n \in U_n) (\sim p).$$

Why don't you try some exercise now?

E23) How would you present the following propositions and their negations using logical quantifiers? Also interpret the negations in words.

- i) The politician can fool all the people all the time.
- ii) Every real number is the square of some real number.
- iii) There is lawyer who never tell lies.

E24) Write down suitable mathematical statements that can be represented by the following symbolic propositions. Also write down their negations. What is the truth value of your propositions?

$$\text{i) } (\forall x) (\exists y)p$$

$$\text{ii) } (\exists x) (\exists y) (\forall z)p.$$

A predicate can be a function in two **or more** variables.

And finally, let us look at a very useful quantifier, which is very closely linked to  $\exists$ . You would need it for writing, for example, 'There is one and only one key that fits the desk's lock.' In symbols. The symbol is  $\exists!$  X which stands for '**there is one and only one x**' (which is the same as '**there is a unique x**' or '**there is exactly one x**').

So, the statement above would be  $(\exists! X \in A) (x \text{ fits the desk's lock})$ , where A is the set of keys.

For other examples, try and recall the statements of uniqueness in the mathematics that you've studied so far. What about 'There is a unique circle that passes through three non-collinear points in a plane.'? How would you represent this in symbols? If x denotes a circle, and y denotes a set of 3 non-collinear points in a plane, then the proposition is

$$(\forall y \in P) (\exists! X \in C) (x \text{ passes through } y).$$

Here C denotes the set of circles, and P the set of sets of 3 non-collinear points.

And now, some short exercises for you!

E25) Which of the following propositions are true (where x, y are in R)?

i)  $(x \geq 0) \rightarrow (\exists y) (y^2 = x)$

ii)  $(\forall x) (\exists! y) (y^2 = x^3)$

iii)  $(\exists x) (\exists! y) (xy = 0)$

Before ending the unit, let us take quick look at what we have covered in it.

## 1.6 SUMMARY

In this unit, we have considered the following points.

1. What a mathematically acceptable statement (or proposition) is.
2. The definition and use of logical connectives:
 

Give propositions p and q,

  - i) their disjunction is 'p and q', denoted by  $p \vee q$ ;
  - ii) their exclusive disjunction is 'either p or q', denoted by  $p \oplus q$ ;
  - iii) their conjunction is 'p and q', denoted by  $p \wedge q$ ;
  - iv) the negation of p is 'not p', denoted by  $\sim p$ ;
  - v) 'if p, then q' is denoted by  $p \rightarrow q$ ;
  - vi) 'p if and only if q' is denoted by  $p \leftrightarrow q$ ;
3. The truth tables corresponding to the 6 logical connectives.
4. Rule of precedence : In any compound statement involving more than one connective, we first apply ' $\sim$ ', then ' $\wedge$ ', then ' $\vee$ ' and ' $\oplus$ ', and last of all ' $\rightarrow$ ' and ' $\leftrightarrow$ '.
5. The meaning and use of logical equivalence, denoted by ' $\equiv$ '.
6. The following laws about equivalent propositions:
  - i) **De Morgan's laws:**  $\sim (p \wedge q) \equiv \sim p \vee \sim q$   
 $\sim (p \vee q) \equiv \sim p \wedge \sim q$
  - ii) **Double negation law:**  $\sim (\sim p) \equiv p$
  - iii) **Idempotent laws:**  $p \wedge p \equiv p$ ,  
 $p \vee p \equiv p$
  - iv) **Commutativity:**  $p \vee q \equiv q \vee p$   
 $p \wedge q \equiv q \wedge p$
  - v) **Associativity:**  $(p \vee q) \vee r \equiv p \vee (q \vee r)$   
 $(p \wedge q) \wedge r \equiv p \wedge (q \wedge r)$
  - vi) **Distributivity:**  $p \vee (q \wedge r) \equiv (p \vee q) \wedge (p \vee r)$   
 $p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$

vii)  $(\sim p \vee q) \equiv p \rightarrow q$  (ref. E18).

7. Logical quantifiers: 'For every' denoted by ' $\forall$ ', 'there exist' denoted by ' $\exists$ ', and 'there is one and only one' denoted by ' $\exists!$ '.

8. The rule of negation related to the quantifiers:

$$\sim (\forall x \in U)p(x) \equiv (\exists x \in U) (\sim p(x))$$

$$\sim (\exists x \in U) p(x) \equiv (\forall x \in U) (\sim p(x))$$

Now we have come to the end of this unit. You should have tried all the exercises as you came to them. You may like to check your solutions with the ones we have given below.

## 1.7 SOLUTIONS/ ANSWERS

E1) (i), (iii), (iv), (vii), (viii) are statements because each of them is universally true or universally false.

(ii) is a question.

(v) is an exclamation.

The truth or falsity of (vi) depends upon who 'she' is.

(ix) is a subjective sentence.

(x) will only be a statement if the value(s)  $n$  takes is/are given.

Therefore, (ii), (v), (vi), (ix) and (x) are not statements.

E2) The truth value of (i) is F, and of all the others is T.

E3) The disjunction is

' $2+3 = 7$  or Radha is an engineer.'

Since ' $2+3 = 7$ ' is always false, the truth value of this disjunction depends on the truth value of 'Radha is an engineer.' If this is T, then we use the third row of Table 1 to get the required truth value as T. If Radha is not an engineer, then we get the required truth value as F.

Table 9: Truth table for 'exclusive or'

p	q	$p \oplus q$
T	T	F
T	F	T
F	T	T
F	F	F

E4)  $p$  will be a true proposition for  $x \in ]-2, \infty[$  and  $x \neq 4$ , i.e., for  $x \in ]-2, 4[ \cup ]4, \infty[$ .

E5) i)  $0 - 5 = 5$

ii) 'n is not greater than 2 for every  $n \in \mathbf{N}$ ,' or 'There is at least one  $n \in \mathbf{N}$  for which  $n \leq 2$ .'

iii) There are some Indian children who do not study till Class 5.

E6)

Table 10: Truth table for negation

p	$\sim p$
T	F
F	T

E7)  $p \rightarrow q$  is the statement 'If  $x + y = xy$  for  $x, y \in \mathbf{R}$ , then  $x \neq 0$  for every  $x \in \mathbf{Z}$ '.

In this case,  $q$  is false. Therefore, the conditional statement will be true if  $p$  is false also, and it will be false for those values of  $x$  and  $y$  that make  $p$  true.



So,  $p \rightarrow q$  is false for all those real numbers  $x$  of the form  $\frac{y}{y-1}$ , where  $y \in \mathbf{R} \setminus \{1\}$ . This is because if  $x = \frac{y}{y-1}$  for some  $y \in \mathbf{R} \setminus \{1\}$ , then  $x + y = xy$ , i.e.,  $p$  will be true.

E8) i)  $p \rightarrow q$ , where  $p : \Delta ABC$  is isosceles. If  $q$  is true, then  $p \rightarrow q$  is true. If  $q$  is false, then  $p \rightarrow q$  is true only when  $p$  is false. So, if  $\Delta ABC$  is an isosceles triangle, the given statement is always true. Also, if  $\Delta ABC$  is not isosceles, then it can't be equilateral either. So the given statement is again true.

ii)  $p : a$  is an integer.  
 $q : b$  is an integer.  
 $r : ab$  is a rational number  
 The given statement is  $(p \wedge q) \leftrightarrow r$ .  
 Now, if  $p$  is true and  $q$  is true, then  $r$  is still true.

So,  $(p \wedge q) \leftrightarrow r$  will be true if  $p \wedge q$  is true, or when  $p \wedge q$  is false and  $r$  is false.

In all the other cases  $(p \wedge q) \leftrightarrow r$  will be false.

iii)  $p : \text{Raza has 5 glasses of water.}$   
 $q : \text{Sudha has 4 cups of tea.}$   
 $r : \text{Shyam will pass the math exam.}$

The given statement is  $(p \wedge q) \rightarrow \sim r$ .

This is true when  $\sim r$  is true, or when  $r$  is true and  $p \wedge q$  is false.

In all the other cases it is false.

iv)  $p : \text{Mariam is in Class 1.}$   
 $q : \text{Mariam is in Class 2.}$

The given statement is  $p \oplus q$ .

This is true only when  $p$  is true or when  $q$  is true.

E9) There are infinitely many such examples. You need to give one in which  $p$  is true but  $q$  is false.

E10) Obtain the truth table. The last column will now have entries TTFTTTT.

E11) According to the rule of precedence, given the truth values of  $p, q, r$  you should first find those of  $\sim r$ , then of  $q \wedge \sim r$ , and  $r \wedge q$ , and  $p \rightarrow q \wedge \sim r$ , and finally of  $(p \rightarrow q \wedge \sim r) \leftrightarrow r \wedge q$ .

Referring to Table 5, the values in the sixth and eighth columns will be replaced by

$r \wedge q$	$p \rightarrow q \wedge \sim r \leftrightarrow r \wedge q$
T	F
F	F
F	T
F	T
T	T
F	F
F	F
F	F

E12) They should both be the same, viz.,

p	q	r	$\sim r$	$p \wedge q$	$(p \wedge q) \vee (\sim r)$
T	T	T	F	T	T
T	T	F	T	T	T
T	F	T	F	F	F
T	F	F	T	F	T
F	T	T	F	F	F
F	T	F	T	F	T
F	F	T	F	F	F
F	F	F	T	F	T

E13) i)  $(\sim p) \vee q$ ii)  $(\sim q) \rightarrow (\sim p)$ iii)  $(p \rightarrow q) \leftrightarrow [(\sim p) \vee q]$ iv)  $[(p \oplus (q \wedge r)) \rightarrow [(\sim p) \vee q]] \leftrightarrow (p \wedge r)$ 

E14) a)

p	$\sim p$	$\sim(\sim p)$
T	F	T
F	T	F

The first and third columns prove the double negation law.

b)

p	q	$p \vee q$	$q \vee p$
T	T	T	T
T	F	T	T
F	T	T	T
F	F	F	F

The third and fourth columns prove the commutativity of  $\vee$ .

E15) For any three propositions p, q, r:

i)  $p \equiv p$  is trivially true.ii) if  $p \equiv q$ , then  $q \equiv p$  (if p has the same truth value as q for all choices of truth values of p and q, then clearly q has the same truth values as p in all the cases).iii) if  $p \equiv q$  and  $q \equiv r$ , then  $p \equiv r$  (reason as in (ii) above).Thus,  $\equiv$  is reflexive, symmetric and transitive.

E16)

p	q	$\sim p$	$\sim p \vee q$	$p \rightarrow q$
T	T	F	T	T
T	F	F	F	F
F	T	T	T	T
F	F	T	T	T

The last two columns show that  $[(\sim p) \vee q] \equiv (p \rightarrow q)$ .

E17) i)

p	$\top$	$p \vee \top$
T	T	T
F	T	T

The second and third columns of this table show that  $p \vee \top = \top$ .

ii)

p	$\mathcal{F}$	$p \wedge \mathcal{F}$
T	F	F
F	F	F

The second and third columns of this table show that  $p \wedge \mathcal{F} = F$ .  
You can similarly check (ii) and (iii).

E18)  $\sim (\sim p \wedge q) \wedge (p \vee q)$

$\equiv (\sim(\sim p) \vee \sim q) \wedge (p \wedge q)$ , by De Morgan's laws.

$\equiv (p \vee \sim q) \wedge (p \vee q)$ , by the double negation law.

$\equiv p \vee (\sim q \wedge q)$ , by distributivity

$\equiv p \vee \mathcal{F}$ , where  $\mathcal{F}$  denotes a contradiction

$\equiv p$ , using E 19.

E19) p: It is raining.

q: Nobody can go to see a film.

Then the given proposition is

$[p \wedge (p \rightarrow q)] \rightarrow q$

$\equiv p \wedge (\sim p \vee q) \rightarrow q$ , since  $(p \rightarrow q) \equiv (\sim p \vee q)$

$\equiv (p \wedge \sim p) \vee (p \wedge q) \rightarrow q$ , by De Morgan's law

$\equiv \mathcal{F} \vee (p \wedge q) \rightarrow q$ , since  $p \wedge \sim p$  is a contradiction

$\equiv (\mathcal{F} \vee p) \wedge (p \vee q) \rightarrow q$ , by De Morgan's law

$\equiv p \wedge q \rightarrow q$ , since  $\mathcal{F} \vee p \equiv p$ .

which is a tautology.

E20) There are infinitely many examples. One such is:

'If Venkat is on leave, then Shabnam will work on the computer'. This is of the form  $p \rightarrow q$ . Its truth values will be T or F, depending on those of p and q.

E21) i)  $(\forall t \in [0, \infty]) (\forall x \in H)p(x, t)$  is the given statement where  $p(x, t)$  is the predicate 'The politician fool x at time t second.', and H is the set of human beings.

Its negation is  $(\exists t \in [0, \infty]) (\exists x \in H) (\sim p(x, t))$ , i.e., there is somebody who is not fooled by the politician at least for one moment.

ii) The given statement is

$(\forall x \in \mathbf{R}) (\exists y \in \mathbf{R}) (x = y^2)$ . Its negation is

$(\exists x \in \mathbf{R}) (\forall y \in \mathbf{R}) (x \neq y^2)$ , i.e.,

there is a real number which is not the square of any real number.

iii) The given statement is

$(\exists x \in L) (\forall t \in [0, \infty]) p(x, t)$ , where L is the set of lawyers and  $p(x, t)$  : x does not lie at time t. The negation is

$(\forall x \in L) (\exists t \in [0, \infty]) (\sim p)$ , i.e., every lawyer tells a lie at some time.

E22) i) For example,

$(\forall x \in \mathbf{N}) (\exists y \in \mathbf{Z}) (\frac{x}{y} \in \mathbf{Q})$  is a true statement. Its negation is

$\exists x \in \mathbf{N} (\forall y \in \mathbf{Z}) (\frac{x}{y} \notin \mathbf{Q})$

You can try (ii) similarly.

E23) (i), (iii) are true.

(ii) is false (e.g., for  $x = -1$  there is no y such that  $y^2 = x^3$ ).

(iv) is equivalent to  $(\forall x \in \mathbf{R}) [\sim (\exists! y \in \mathbf{R}) (x + y = 0)]$ , i.e., for every  $x$  there is no unique  $y$  such that  $x + y = 0$ . This is clearly false, because for every  $x$  **there is** a unique  $y (= -x)$  such that  $x + y = 0$ .

## UNIT 2 DYNAMIC PROGRAMMING

- 2.0 Introduction
- 2.1 Principal Of Optimality
- 2.2 Matrix Multiplication
- 2.3 Matrix Chain Multiplication
- 2.4 Optimal Binary Search Tree
- 2.5 Binomial Coefficient Computation
- 2.6 All Pair shortest Path
  - 2.6.1 Floyd Warshall Algorithm
  - 2.6.2 Working Strategy for FWA
  - 2.6.3 Pseudo-code for FWA
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### 2.0 INTRODUCTION

Dynamic programming is an optimization technique. Optimization problems are those which required either minimum result or maximum result. Means finding the optimal solution for any given problem. Optimal means either finding the maximum answer or minimum answer. For example if we want to find the profit, will always find out the maximum profit and if we want to find out the cost will always find out the minimum cost. Although both greedy and dynamic are used to solve the optimization problem but there approach to finding the optimal solution is totally different. In greedy method we try to follow defined procedure to get the optimal result. Like kruskal's for finding minimum cost spanning tree. Always select edge with minimum weight and that gives us best result or Dijakstra's for shortest path, always select the shortest path vertex and continue relaxing the vertices, so you get a shortest path. For any problem there may be a many solutions which are feasible, we try to find out the all feasible solutions and then pick up the best solution. Dynamic programming approach is different and time consuming compare to greedy method. Dynamic programming granted to find the optimal solution of any problem if their solution exist. Mostly dynamic programming problems are solved by using recursive formulas, though we will not use recursion of programming but formulas are recursive. It works on the concept of recursion i.e. dividing a bigger problem into similar type of sub problems and solving them recursively. The only difference is- DP does save the outputs of the smaller sub problem into a table and avoids the task of repetition in calculating the same sub problem. First it searches for the output of the sub problem in the table, if the output is not available then only it calculates the sub problem. This reduces the time complexity to a great extent. Due to its nature, DP is useful in solving the problems which can be divided into similar sub problems. If each sub problem is different in nature, DP does not help in reducing the time complexity.

So how the dynamic programming approach works.

- Breaks down the complex problem into simple sub problem.
- Find optimal solution to these sub problems.
- Store the results of sub problems.
- Re-use that result of sub problems so that same sub problem comes you don't need to calculate again.
- Finally calculates the results of complex problem.
- Storing the results of sub problems is called memorization.

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## 2.1 PRINCIPAL OF OPTIMALITY

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Dynamic programming follows the principal of optimality. If a problem have an optimal structure, then definitely it has principal of optimality. A problem has optimal sub structure if an optimal solution can be constructed efficiently from optimal solution of its sub-problems. Principal of optimality shows that a problem can be solved by taking a sequence of decision to solve the optimization problem. In dynamic programming in every stage we takes a decision. The Principle of Optimality states that components of a globally optimum solution must themselves be optimal.

A problem is said to satisfy the Principle of Optimality if the sub solutions of an optimal solution of the problem are themselves optimal solutions for their sub problems.

Examples:

- The shortest path problem satisfies the Principle of Optimality.
- This is because if  $a, x_1, x_2, \dots, x_n$  is a shortest path from node  $a$  to node  $b$  in a graph, then the portion of  $x_i$  to  $x_j$  on that path is a shortest path from  $x_i$  to  $x_j$ .
- The longest path problem, on the other hand, does not satisfy the Principle of Optimality. Forexample the undirected graph of nodes  $a, b, c, d$ , and  $e$  and edges  $(a, b), (b, c), (c, d), (d, e)$  and  $(e, a)$ . That is,  $G$  is a ring. The longest (noncyclic) path from  $a$  to  $d$  is  $a, b, c, d$ . The sub-path from  $b$  to  $c$  on that path is simply the edge  $b, c$ . But that is not the longest path from  $b$  to  $c$ . Rather  $b, a, e, d, c$  is the longest path. Thus, the sub path on a longest path is not necessarily a longest path.

### Steps of Dynamic Programming:

Dynamic programming has involve four major steps:

1. Develop a mathematical notation that can express any solution and sub solution for the problem at hand.
  2. Prove that the Principle of Optimality holds.
  3. Develop a recurrence relation that relates a solution to its sub solutions, using the math notation of step 1. Indicate what the initial values are for that recurrence relation, and which term signifies the final solution.
  4. Write an algorithm to compute the recurrence relation.
    - Steps 1 and 2 need not be in that order. Do what makes sense in each problem.
    - Step 3 is the heart of the design process. In high level algorithmic design situations, one can stop at step 3.
    - Without the Principle of Optimality, it won't be possible to derive a sensible recurrence relation in step 3.
    - When the Principle of Optimality holds, the 4 steps of DP are guaranteed to yield an optimal solution. No proof of optimality is needed.
- 

## 2.2 MATRIX MULTIPLICATION

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- Matrix multiplication is a binary operation of multiplying two or more matrices one by one that are conformable for multiplication. For example two matrices  $A, B$  having the dimensions of  $p \times q$  and  $s \times t$  respectively; would be conformable for  $A \times B$  multiplication only if  $q == s$  and for  $B \times A$  multiplication only if  $t == p$ .

- Matrix multiplication is associative in the sense that if  $A$ ,  $B$ , and  $C$  are three matrices of order  $m \times n$ ,  $n \times p$  and  $p \times q$  then the matrices  $(AB)C$  and  $A(BC)$  are defined as  $(AB)C = A(BC)$  and the product is an  $m \times q$  matrix.
- Matrix multiplication is not commutative. For example two matrices  $A$  and  $B$  having dimensions  $n \times n$  and  $n \times n$  then the matrix  $AB = BA$  can't be defined. Because  $BA$  are not conformable for multiplication even if  $AB$  are conformable for matrix multiplication.
- For 3 or more matrices, matrix multiplication is associative, yet the number of scalar multiplications may vary significantly depending upon how we pair the matrices and their product matrices to get the final product.

Example: Suppose there are three matrices  $A$  is  $100 \times 10$ ,  $B$  is  $10 \times 50$  and  $C$  is  $50 \times 5$ , then number of multiplication required for  $(AB)C$  is  $AB = 100 \times 10 \times 5 = 50000$ ,  $(AB)C = 100 \times 50 \times 5 = 25000$ . Total multiplication for  $(AB)C = 75000$  multiplication. Similarly number of multiplication required for  $A(BC)$  is  $BC = 10 \times 50 \times 5 = 2500$  and  $A(BC) = 100 \times 10 \times 5 = 5000$ . Total multiplication for  $A(BC) = 7500$ .

In short the product of matrices  $(AB)C$  takes 75000 multiplication when first the product of  $A$  and  $B$  is computed and then product  $AB$  is multiplied with  $C$ . On the other hand, if the product  $BC$  is calculated first and then product of  $A$  with matrix  $BC$  is taken then 7500 multiplications are required. In case when large number of matrices are to be multiplied for which the product is defined, proper parenthesizing through pairing of matrices, may cause dramatic saving in number of scalar operations.

This raises the question of how to parenthesize the pairs of matrices within the expression  $A_1 A_2 \dots A_n$ , a product of  $n$  matrices which is defined; so as to optimize the computation of the product  $A_1 A_2 \dots A_n$ . The product is known as Chained Matrix Multiplication.

## 2.3 MATRIX CHAIN MULTIPLICATION

Given a sequence of matrices that are conformable for multiplication in that sequence, the problem of matrix-chain-multiplication is the process of selecting the optimal pair of matrices in every step in such a way that the overall cost of multiplication would be minimal. If there are total  $N$  matrices in the sequence then the total number of different ways of selecting matrix-pairs for multiplication will be  $2^n C_n / (n+1)$ . We need to find out the optimal one. In directly we can say that total number of different ways to perform the matrix chain multiplication will be equal to the total number of different binary trees that can be generated using  $N$  nodes i.e.  $2^n C_n / (n+1)$ . The problem is not actually to perform the multiplications, but merely to decide in which order to perform the multiplications. Since matrix multiplication follows associativity property, rearranging the parentheses also yields the same result of multiplication. That means any pair in the sequence can be multiplied and that will not affect the end result: But the total number of multiplication operations will change accordingly. A product of matrices is fully satisfied if it is either a single matrix or the product of two fully parenthesized matrix products, surrounded by parentheses. For example, if the chain of matrices is  $\langle A_1, A_2, A_3, A_4 \rangle$  then we can fully parenthesize the product  $A_1 A_2 A_3 A_4$  in five distinct ways:

$$\begin{aligned}
 &(A_1(A_2(A_3A_4))), \\
 &(A_1((A_2A_3)A_4)), \\
 &((A_1A_2)(A_3A_4)), \\
 &(A_1(A_2A_3))A_4, \\
 &(((A_1A_2)A_3)A_4).
 \end{aligned}$$

How we parenthesize a chain of matrices can have a dramatic impact on the cost of evaluating the product. We shall use the dynamic-programming method to determine how to optimally parenthesize a matrix chain.

1. Characterize the structure of an optimal solution.
2. Recursively define the value of an optimal solution.
3. Compute the value of an optimal solution.
4. Construct an optimal solution from computed information.

### Step 1: The structure of an optimal parenthesization:

- An optimal parenthesization of  $A_1 \dots A_n$  must break the product into two expressions, each of which is parenthesized or is a single matrix
- Assume the break occurs at position  $k$ .
- In the optimal solution, the solution to the product  $A_1 \dots A_k$  must be optimal
  - Otherwise, we could improve  $A_1 \dots A_n$  by improving  $A_1 \dots A_k$ .
  - But the solution to  $A_1 \dots A_n$  is known to be optimal
  - This is a contradiction
  - Thus the solution to  $A_1 \dots A_n$  is known to be optimal
- This problem exhibits the Principle of Optimality:
  - The optimal solution to product  $A_1 \dots A_n$  contains the optimal solution to two subproducts
- Thus we can use Dynamic Programming
  - Consider a recursive solution
  - Then improve its performance with memorization or by rewriting bottom up

### Step 2: A recursive solution

For the matrix-chain multiplication problem, we pick as our sub problems the problems of determining the minimum cost of parenthesizing  $A_i A_{i+1} \dots A_j$  for  $1 \leq i \leq j \leq n$ .

- Let  $m[i, j]$  be the minimum number of scalar multiplication needed to compute the matrix  $A_{i \dots j}$ ; for the full problem, the lowest cost way to compute  $A_{1 \dots n}$  would thus be  $m[1, n]$ .
- $m[i, i] = 0$ , when  $i=j$ , problem is trivial. Chain consist of just one matrix  $A_{i \dots i} = A_i$ , so that no scalar multiplications are necessary to compute the product.
- The optimal solution of  $A_i \times A_j$  must break at some point,  $k$ , with  $i \leq k < j$ . Each matrix  $A_i$  is  $p_{i-1} \times p_i$  and computing the matrix multiplication  $A_{i \dots k} A_{k+1 \dots j}$  takes  $p_{i-1} p_k p_j$  scalar multiplication.

$$\text{Thus, } m[i, j] = m[i, k] + m[k + 1, j] + p_{i-1} p_k p_j$$

Equation 1

### 3. Computing the optimal costs

It is a simple matter to write a recursive algorithm based on above recurrence to compute the minimum cost  $m[1, n]$  for multiplying  $A_1 A_2 \dots A_n$ .



## MATRIX-CHAIN-ORDER (P)

```

1.  $n \leftarrow \text{length}[p] - 1$ 
2. let  $m[1..n, 1..n]$  and  $s[1..n-1, 2..n]$  be new tables
3. for  $i \leftarrow 1$  to  $n$ 
4.   do  $m[i, i] \leftarrow 0$ 
5. For  $l \leftarrow 2$  to  $n$ 
6.   do for  $i \leftarrow 1$  to  $n-l+1$ 
7.     do  $j \leftarrow i+l-1$ 
8.      $m[i, j] \leftarrow \infty$ 
9.     for  $k \leftarrow i$  to  $j-1$ 
10.      do  $q \leftarrow m[i, k] + m[k+1, j] + p_{i-1}p_kp_j$ 
11.      if  $q < m[i, j]$ 
12.        then  $m[i, j] \leftarrow q$ 
13.  $s[i, j] \leftarrow k$ 
14. return  $m$  and  $s$ 

```

The following pseudocode assumes that matrix  $A_i$  has dimensions  $p_{i-1} \times p_i$  for  $i=1,2,\dots,n$ . The input is a sequence  $p = p_0, p_1, \dots, p_n$ , where  $\text{length}[p]=n+1$ . The procedure uses an auxiliary table  $m[1 \dots n, 1 \dots n]$  for storing the  $m[i, j]$  costs and the auxiliary table  $s[1 \dots n, 1 \dots n]$  that records which index of  $k$  achieved the optimal cost in computing  $m[i, j]$ . We will use the table  $s$  to construct an optimal solution.

- In line 3-4 algorithm first computes  $m[i, i] = 0$  for  $i = 1, 2, \dots, n$  (the minimum costs for chains of length 1).
- During the first execution of for loop in line 5-13, it uses Equation (1) to computes  $m[i, i+1]$  for  $i = 1, 2, \dots, n-1$  (the minimum costs for chains of length 2).
- The second time through the loop, it computes  $m[i, i+2]$  for  $i = 1, 2, \dots, n-2$  (the minimum costs for chains of length 3, and so forth).
- At each step, the  $m[i, j]$  cost computed in lines 10-13 depends only on table entries  $m[i, k]$  and  $m[k+1, j]$  already computed.

#### 4. Constructing an Optimal Solution:

The MATRIX-CHAIN-ORDER determines the optimal number of scalar multiplication needed to compute a matrix-chain product. To obtain the optimal solution of the matrix multiplication, we call **PRINT\_OPTIMAL\_PARES(s,1,n)** to print an optimal parenthesization of  $A_1A_2, \dots, A_n$ . Each entry  $s[i, j]$  records a value of  $k$  such that an optimal parenthesization of  $A_1A_2, \dots, A_j$  splits the product between  $A_k$  and  $A_{k+1}$ .

## PRINT\_OPTIMAL\_PARENS(s,i,j)

```

1. If  $i=j$ 
2. Then print "A"
3. else print "("
4. PRINT_OPTIMAL_PARENS(s, i, s[i,j])
5. PRINT_OPTIMAL_PARENS(s, s[i,j]+1, j)
6. print ")"

```

**Example:** Find an optimal parenthesization of a matrix-chain product whose sequence of dimensions is as follows:

Matrix	Dimension
A1	$30 \times 35$
A2	$35 \times 15$
A3	$15 \times 5$
A4	$5 \times 10$
A5	$10 \times 20$

**Solution:**

**Table m**

j →	1	2	3	4	5	i ↓
0		15750	7875	9375	11875	1
		0	2625	4375	7125	2
			0	750	2500	3
				0	1000	4
					0	5

**Table s**

	2	3	4	5	i ↓	j →
1		1	3	3	1	
		2	3	3	2	
			3	3	3	
				4	4	

**Step 1:  $l=2$**

$$m[1\ 2] = m[1\ 1] + m[2\ 2] + p_0 p_1 p_2 = 0 + 0 + 30 \times 35 \times 15 = 15750 \text{ and } k = 1 \text{ } s[1\ 2] = 1$$

$$m[2\ 3] = m[2\ 2] + m[3\ 3] + p_1 p_2 p_3 = 0 + 0 + 35 \times 15 \times 5 = 2625 \text{ and } k = 2 \text{ } s[2\ 3] = 2$$

$$m[3\ 4] = m[3\ 3] + m[4\ 4] + p_1 p_3 p_4 = 0 + 0 + 15 \times 5 \times 10 = 750 \text{ and } k = 3 \text{ } s[3\ 4] = 3$$

$$m[4\ 5] = m[4\ 4] + m[5\ 5] + p_3 p_4 p_5 = 0 + 0 + 5 \times 10 \times 20 = 1000 \text{ and } k = 4 \text{ } s[4\ 5] = 4$$

**Step 2:  $l=3$**

$$m[1\ 3] = \min ( m[1\ 1] + m[2\ 3] + p_0 p_1 p_3, m[1\ 2] + m[3\ 3] + p_0 p_2 p_3 )$$

$$= \min ( 0 + 2625 + 30 \times 35 \times 5, 15750 + 0 + 30 \times 15 \times 5 )$$

$$= \min(7875, 18000) = 7875 \text{ and } k = 1 \text{ gives minimum } s[1\ 3] = 1$$

$$m[2\ 4] = \min ( m[2\ 2] + m[3\ 4] + p_1 p_2 p_4, m[2\ 3] + m[4\ 4] + p_1 p_3 p_4 )$$

$$= \min ( 0 + 750 + 3 \times 15 \times 10, 2625 + 0 + 35 \times 5 \times 10 )$$

$$= \min(6000, 4375) = 4375 \text{ and } k = 3 \text{ gives minimum } s[2\ 4] = 3$$

$$m[3\ 5] = \min ( m[3\ 3] + m[4\ 5] + p_2 p_3 p_5, m[3\ 4] + m[5\ 5] + p_2 p_4 p_5 )$$

$$= \min ( 0 + 1000 + 15 \times 5 \times 20, 750 + 0 + 15 \times 10 \times 20 )$$

$$= \min(2500, 3750) = 2500 \text{ and } k = 3 \text{ gives minimum } s[3\ 5] = 3$$

**Step 3: l=4**

$$m[1\ 4] = \min ( m[1\ 1] + m[2\ 4] + p_0p_1p_4, m[1\ 2] + m[3\ 4] + p_0p_2p_4, m[1\ 3] + m[4\ 4] + p_0p_3p_4 )$$

$$= \min(0 + 4375 + 30 \times 35 \times 10, 15750 + 750 + 30 \times 15 \times 10, 7875 + 0 + 30 \times 5 \times 10)$$

$$= \min(14875, 21900, 9375) = 9375 \text{ and } k = 3 \text{ gives minimum } s[1\ 4] = 3$$

$$m[1\ 4] = \min ( m[1\ 1] + m[2\ 4] + p_0p_1p_4, m[1\ 2] + m[3\ 4] + p_0p_2p_4, m[1\ 3] + m[4\ 4] + p_0p_3p_4 )$$

$$= \min(0 + 4375 + 30 \times 35 \times 10, 15750 + 750 + 30 \times 15 \times 10, 7875 + 0 + 30 \times 5 \times 10)$$

$$= \min(14875, 21900, 9375) = 9375 \text{ and } k = 3 \text{ gives minimum } s[1\ 4] = 3$$

$$m[2\ 5] = \min ( m[2\ 2] + m[3\ 5] + p_1p_2p_5, m[2\ 3] + m[4\ 5] + p_1p_3p_5, m[2\ 4] + m[5\ 5] + p_1p_4p_5 )$$

$$= \min(0 + 2500 + 35 \times 15 \times 20, 2625 + 1000 + 35 \times 5 \times 20, 4375 + 0 + 35 \times 10 \times 20)$$

$$= \min (13000, 7125, 11375) = 7125 \text{ and } k = 3 \text{ gives minimum } s[2\ 5] = 3$$

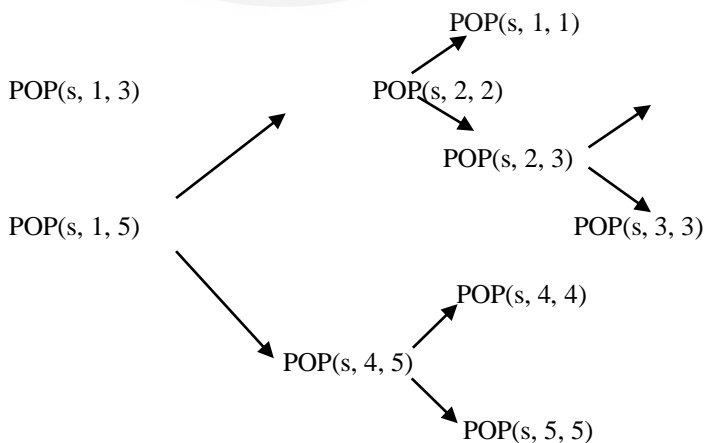
**Step 4: l=5**

$$m[1\ 5] = \min ( m[1\ 1] + m[2\ 5] + p_0p_1p_5, m[1\ 2] + m[3\ 5] + p_0p_2p_5, m[1\ 3] + m[4\ 5] + p_0p_3p_5, m[1\ 4] + m[5\ 5] + p_0p_4p_5 )$$

$$= \min(0 + 7125 + 30 \times 35 \times 20, 15750 + 2500 + 30 \times 15 \times 20, 7875 + 1000 + 30 \times 5 \times 20, 9375 + 0 + 30 \times 10 \times 20)$$

$$= \min (28125, 27250, 11875, 15375) = 11875 \text{ and } k = 3 \text{ gives minimum } s[1\ 5] = 3$$

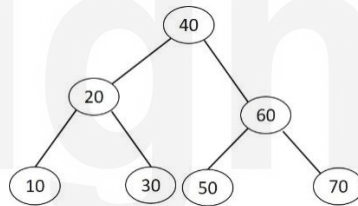
Now, print optimal parenthesis (POP) algorithm is runs:



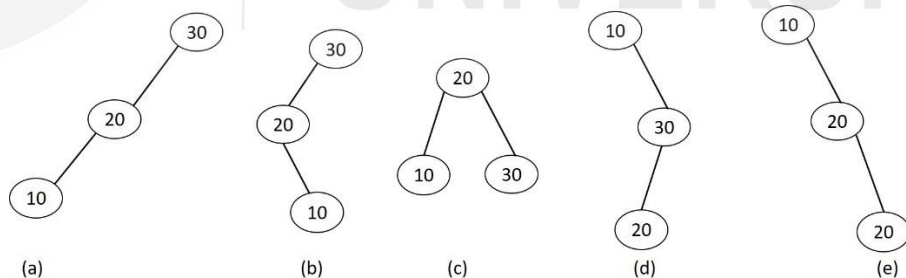
Hence, the final solution is  $(A_1(A_2A_3))(A_4A_5)$ .

## 2.4 OPTIMAL BINARY SEARCH TREE

**Binary Search Tree:** A binary search tree is a binary tree such that all the key smaller than root are on the left side and all the keys greater than root are on the right side. Figure shown below is a binary search tree in which 40 is root node all the node in the left sub tree of 40 is smaller and all the node in the right sub tree of 40 is greater. Now question is why keys are arrange in order?. Because it gives an advantage in searching. Suppose I want to search 30, we will start searching from the root node. Check whether root is a 30, no, then 30 is smaller than 40, definitely 30 will be in left sub tree of 40. Go in the left sub tree, check left sub tree root is 30, no, 20 is smaller than 30, definitely 30 will be in the right hand side. Yes now 30 is found. So to search the 30 how many comparison are needed. Out of 7 elements to search 30 it, takes 3 comparison. Number of comparison required to search any element in a binary search tree is depend upon the number of levels in a binary search tree. We are searching for the key that are already present in the binary search tree, it is a successful search. Now let's assume that key is 9 and search is an unsuccessful search because 9 is not found in the binary search tree. Total number of comparison it takes is also 3. There are a two possibility to search any element successful search and unsuccessful search. In both the cases we need to know the amount of comparison we are doing. That amount of comparison is nothing but the cost of searching in a binary search tree.



Let's take an example: Keys: 10, 20, 30 How many binary search tree possible.  $T(n) = \frac{2n!}{n+1}$  So there are 3 keys number of binary search tree possible = 5. Let's draw five possible binary search tree shown in below figure (a)-(e).



Now the cost of searching an element in the above binary tree is the maximum number of comparison. In tree (a), (b), (d), and (e) takes maximum three comparison whereas in tree (c) it takes only two comparison. It means that if you have set of  $n$  keys, there is a possibility that any tree gives you less number of comparison compare to other trees in all possible binary search tree of  $n$  keys. It means that if a height of binary search tree is less, number of comparison will be less. Height play an important role in searching any key in a binary search tree. So we want a binary search tree that requires less number of comparison for searching any key elements. This is called an optimal binary search tree.

The problem of optimal binary search tree is, given a keys and their probabilities, we have to generate a binary search tree such that the searching cost should be minimum. Formally we are given a sequence  $K = \langle k_1, k_2, \dots, k_n \rangle$  of  $n$  distinct keys in sorted order, and we wish to build a binary search tree from these keys. For each key  $k_i$ , we have a probability  $p_i$  that a search will be for  $k_i$ . Some searches may be for the values that is not in  $K$ , so we have also  $n+1$  dummy keys  $d_0, d_1, d_2, \dots, d_n$  representing values not in  $K$ . In particular,  $d_0$  represents all values less than  $k_1$ ,  $d_n$  represents all values greater than  $k_n$ , and for  $i = 1, 2, 3, \dots, n-1$ , the dummy key  $d_i$  represents all values between  $k_i$  and  $k_{i+1}$ . For each dummy key  $d_i$ , we have a probability  $q_i$  that a search corresponds to  $d_i$ . Below Figure 2 shows the binary search tree for set of 5 keys. Each  $k_i$  is a internal node and each  $d_i$  is leaf node.

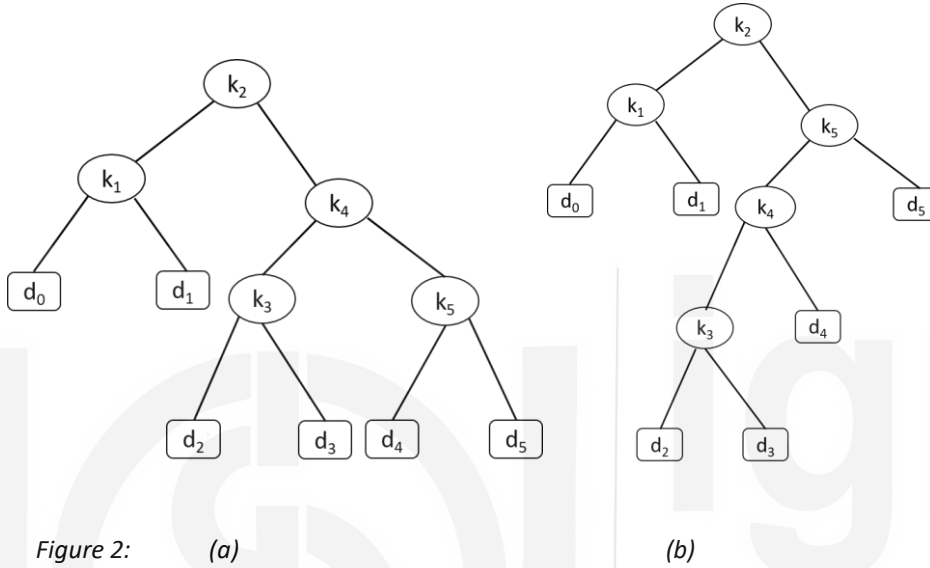


Figure 2: (a) Two Binary search tree with dummy keys with the following probabilities:

i	0	1	2	3	4	5
$p_i$		0.15	0.10	0.05	0.10	0.20
$q_i$	0.05	0.10	0.05	0.05	0.05	0.10

(a) A binary search tree with expected search cost 2.80. (b) A binary search tree with expected search cost 2.75. This tree is optimal

Every search is either successful or unsuccessful, and so we have

$$\sum_{i=1}^n p_i + \sum_{i=0}^n q_i = 1$$

Because we have probabilities of searches for each key and each key and each dummy key, we can determine the expected cost of a search is a given binary search tree  $T$ . Let we assume that actual cost of search equals the number of nodes examined, i.e., depth of the node found by the search in  $T$ , plus 1. Then the expected cost of a search in  $T$  is

$$E(\text{search cost in } T) = \sum_{i=1}^n (\text{depth}_T(k_i) + 1) \cdot p_i + \sum_{i=0}^n (\text{depth}_T(d_i) + 1) \cdot q_i$$

$$= 1 + \sum_{i=1}^n \text{depth}_T(k_i) \cdot p_i + \sum_{i=0}^n \text{depth}_T(d_i) \cdot q_i,$$

Where  $depth_T$  denotes a node depth in the tree T. Expected search cost node by node given in following table:

node	depth	probability	contribution
$k_1$	1	0.15	0.30
$k_2$	0	0.10	0.10
$k_3$	2	0.05	0.15
$k_4$	1	0.10	0.20
$k_5$	2	0.20	0.60
$d_0$	2	0.05	0.15
$d_1$	2	0.10	0.30
$d_2$	3	0.05	0.20
$d_3$	3	0.05	0.20
$d_4$	3	0.05	0.20
$d_5$	3	0.20	0.80
Total			2.80

For a given set of probabilities, we wish to construct a binary search tree whose expected search cost is smallest. We call such tree an optimal binary search tree. Figure 2(b) shows an optimal binary search tree for the probabilities given in figure caption; its expected cost is 2.75. This example shows that an optimal binary search tree is not necessarily a tree whose overall height is smallest. Now question is we have n number of keys finding minimum cost means draw all possible trees and picking the tree having minimum cost is an optimal binary search tree. But this approach is not an efficient approach. Using dynamic programming we can find the optimal binary search tree without drawing each tree and calculating the cost of each tree. Thus, dynamic programming gives better, easiest and fastest method for trying out all possible binary search tree and picking up best one without drawing each sub tree.

### Dynamic Programming Approach:

- Optimal Substructure: if an optimal binary search tree T has a subtree T' containing keys  $k_i, \dots, k_j$ , then this sub tree T' must be optimal as well for the sub problem with keys  $k_i, \dots, k_j$  and dummy keys  $d_{i-1}, \dots, d_j$ .
- Algorithm for finding optimal tree for sorted, distinct keys  $k_i, \dots, k_j$ :
  - For each possible root  $k_r$  for  $i \leq r \leq j$
  - Make optimal subtree for  $k_i, \dots, k_{r-1}$ .
  - Make optimal subtree for  $k_{r+1}, \dots, k_j$ .
  - Select root that gives best total tree
- Recursive solution: We pick our sub problem domain as finding an optimal binary search tree containing the keys  $k_i, \dots, k_j$ , where  $i \geq 1, j \leq n$ , and  $j \geq i - 1$ . Let us define  $e[i, j]$  as the expected cost of searching an optimal binary search tree containing the keys  $k_i, \dots, k_j$ . Ultimately, we wish to compute  $e[1, n]$ .

$$e[i, j] = \begin{cases} q_{i-1} & \text{if } j = i - 1 \\ \min_{i \leq r \leq j} \{e[i, r-1] + e[r+1, j] + w(i, j)\} & \text{if } i \leq j \end{cases} \quad \text{Equation 2}$$

where,  $w(i, j) = \sum_{k=i}^j p_k$  is the increase in cost if  $k_i, \dots, k_j$  is a subtree of a node. When  $j = i - 1$ , there are no actual keys; we have just the dummy key  $d_{i-1}$ .

### Computing the Optimal Cost:

We store the  $e[i, j]$  values in a table  $e[1..n+1, 0..n]$ . The first index needs to run to  $n+1$  rather than  $n$  because in order to have a sub tree containing only the dummy key

$d_n$ , we need to compute and store  $e[n+1, n]$ . Second index needs to start from 0 because in order to have a sub tree containing only the dummy key  $d_0$ , we need to compute and store  $e[1, 0]$ . We use only the entries  $e[i, j]$  for which  $j \geq i - 1$ . We also use a table  $root[i, j]$ , for recording the root of the sub tree containing keys  $k_i, \dots, k_j$ . This table uses only entries for which  $1 \leq i \leq j \leq n$ . We will need one other table for efficiency. Rather than compute the value of  $w(i, j)$  from scratch every time we are computing  $e[i, j]$  which would take  $\theta(j - 1)$  additions- we store these values in a table  $w[1..n+1, 0..n]$ . For the base case, we compute  $w[i, i - 1] = q_{i-1}$  for  $1 \leq i \leq n + 1$ . For  $j \geq i$ , we compute

$$w[i, j] = w[i, j - 1] + p_j + q_j \text{ Equation 3}$$

The pseudocode that follows takes as inputs the probabilities  $p_1, \dots, p_n$  and  $q_0, \dots, q_n$  and the size  $n$ , and returns the table  $e$  and  $root$ .

OPTIMAL\_BST( $p, q, n$ )

```

1. let  $e[1..n+1, 0..n], w[1..n+1, 0..n]$  and  $root[1..n, 1..n]$  be new tables.
2. for  $i=1$  to  $n+1$ 
3.    $e[i, i-1] = q_{i-1}$ 
4.    $w[i, i-1] = q_{i-1}$ 
5.   for  $l=1$  to  $n$ 
6.     for  $i=1$  to  $n-l+1$ 
7.        $j=i+l-1$ 
8.        $e[i, j] = \infty$ 
9.        $w[i, j] = w[i, j-1] + p_j + q_j$ 
10.      for  $r=i$  to  $j$ 
11.         $t = e[i, r-1] + e[r+1, j] + w[i, j]$ 
12.        if  $t < e[i, j]$ 
13.           $e[i, j] = t$ 
14.           $root[i, j] = r$ 
15. return  $e$  and  $root$ .
```

In the above algorithm:

- The for loop of lines 2-4 initializes the values of  $e[i, i-1]$  and  $w[i, i-1]$ .
- The for loop of lines 5-14 then uses the recurrence in equation 2 to compute  $e[i, j]$  and  $w[i, j]$  for all  $1 \leq i \leq j \leq n$ .
- In the first iteration, when  $l=1$ , the loop computes  $e[i, i]$  and  $w[i, i]$  for  $i = 1, 2, \dots, n$ . The second iteration, when  $l=2$ , the loop computes  $e[i, i+1]$  and  $w[i, i+1]$  for  $i = 1, 2, \dots, n-1$  and so forth.
- The innermost for loop, in lines 10-14, tries each candidates index  $r$  to determine which key  $k_r$  to use as the root of an optimal binary search tree containing keys  $k_i, \dots, k_j$ . This for loops saves the current value of the index  $r$  in  $root[i, j]$  whenever it finds a better key to use as the root.

### Example of running the Algorithm:

Find the optimal binary search tree for  $N=4$ , having successful search and unsuccessful given in  $p_i$  and  $q_i$  rows.

Keys		10	20	30	40
$p_i$		3	3	1	1
$q_i$	2	3	1	1	1

### Row 1

Let's fill up the values of  $w$ .

$$w[0\ 0]=q_0 \quad w[1\ 1]=q_1 \quad w[2\ 2]=q_2 \quad w[3\ 3]=q_3 \quad w[4\ 4]=q_4$$

Let's fill the cost  $e$

Initial cost  $e_{00}, e_{11}, e_{22}, e_{33}, e_{44}$  will be 0. Similarly root  $r$  will be 0.

### Row 2

Using equation 3  $w$  can be filled as:

$$w[0\ 1]=w[0\ 0]+p_1+q_1 = 2+3+3 = 8$$

$$w[1\ 2]=w[1\ 1]+p_2+q_2 = 3+3+1 = 7$$

$$w[2\ 3]=w[2\ 2]+p_3+q_3 = 1+1+1=3$$

$$w[3\ 4]=w[3\ 3]+p_4+q_4 = 1+1+1=3$$

$$e[0\ 1]=\min(e[0\ 0]+e[1\ 1])+w[0\ 1] = \min(0+0)+8=8$$

$$e[1\ 2]=\min(e[1\ 1]+e[2\ 2])+w[1\ 2] = \min(0+0)+7=7$$

$$e[2\ 3]=\min(e[2\ 2]+e[3\ 3])+w[2\ 3] = \min(0+0)+3=3$$

$$e[3\ 4]=\min(e[3\ 3]+e[4\ 4])+w[3\ 4] = \min(0+0)+3=3$$

$$r[0\ 1]=1, \quad r[1\ 2]=2, \quad r[2\ 3]=3, \quad r[3\ 4]=4$$

j	0	1	2	3	4
j-i=0	$w_{00}=2$ $e_{00}=0$ $r_{00}=0$	$w_{11}=3$ $e_{11}=0$ $r_{11}=0$	$w_{22}=1$ $e_{22}=0$ $r_{22}=0$	$w_{33}=1$ $e_{33}=0$ $r_{33}=0$	$w_{44}=1$ $e_{44}=0$ $r_{44}=0$
j-i=1	$w_{01}=8$ $e_{01}=8$ $r_{01}=1$	$w_{12}=7$ $e_{12}=3$ $r_{12}=2$	$w_{23}=3$ $e_{23}=3$ $r_{23}=3$	$w_{24}=3$ $e_{34}=3$ $r_{34}=4$	
j-i=2	$w_{02}=12$ $e_{02}=19$ $r_{02}=1$	$w_{13}=9$ $e_{13}=12$ $r_{13}=2$	$w_{24}=5$ $e_{24}=8$ $r_{24}=3$		
j-i=3	$w_{03}=14$	$w_{14}=11$			



	$e_{03}=25$ $r_{03}=2$	$e_{14}=19$ $r_{14}=2$
<b>j-i=4</b>	$w_{04}=16$ $e_{04}=32$ $r_{04}=2$	

**Table 1** shows the calculation of cost of the optimal binary search tree

### Row 3

$$w[0\ 2] = w[0\ 1] + p_2 + q_2 = 8 + 3 + 1 = 12$$

$$w[1\ 3] = w[1\ 2] + p_3 + q_3 = 7 + 1 + 1 = 9$$

$$w[2\ 4] = w[2\ 3] + p_4 + q_4 = 3 + 1 + 1 = 5$$

$$e[0\ 2] = k = 1, 2 = \min(e[0\ 0] + e[1\ 2], e[0\ 1] + e[2\ 2]) + w[0\ 2] = \min(0+7, 8+0) + 12 = 7+12=19 \text{ here } k=1 \text{ has given minimum value thus, } r[0\ 2]=1$$

$$e[1\ 3] = k = 2, 3 = \min(e[1\ 1] + e[2\ 3], e[1\ 2] + e[3\ 3]) + w[1\ 3] = \min(0+3, 7+0) + 9 = 3+9=12, \text{ here } k=2 \text{ has given minimum value thus, } r[1\ 3]=2$$

$$e[2\ 4] = k = 3, 4 = \min(e[2\ 2] + e[3\ 4], e[2\ 3] + e[4\ 4]) + w[2\ 4] = \min(0+3, 3+3) + 5 = 8, \text{ here } k=3 \text{ has given minimum value thus, } r[2\ 4]=3$$

### Row 4

$$w[0\ 3] = w[0\ 2] + p_3 + q_3 = 12 + 1 + 1 = 14$$

$$w[1\ 4] = w[1\ 3] + p_4 + q_4 = 9 + 1 + 1 = 11$$

$$e[0\ 3] = k = 1, 2, 3 = \min(e[0\ 0] + e[1\ 3], e[0\ 1] + e[2\ 3], e[0\ 2] + e[3\ 3]) + w[0\ 3] = \min(0+12, 8+3, 19+0) + 14 = 11+14=25 \text{ here } k=2 \text{ has given minimum value thus, } r[0\ 3]=2$$

$$e[1\ 4] = k = 2, 3, 4 = \min(e[1\ 1] + e[2\ 4], e[1\ 2] + e[3\ 4], e[1\ 3] + e[4\ 4]) + w[1\ 4] = \min(0+8, 7+3, 12+0) + 11 = 19, \text{ here } k=2 \text{ has given minimum value thus, } r[1\ 4]=2$$

### Row 5

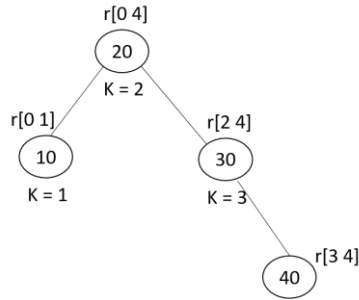
$$w[0\ 4] = w[0\ 3] + p_4 + q_4 = 14 + 1 + 1 = 16$$

$$e[0\ 4] = k = 1, 2, 3, 4 = \min(e[0\ 0] + e[1\ 4], e[0\ 1] + e[2\ 4], e[0\ 2] + e[3\ 4], e[0\ 3] + e[4\ 4]) + w[0\ 4] =$$

$$\min(0+19, 8+8, 19+3, 25+0) + 16 = 16+16=32 \text{ here } k=2 \text{ has given minimum value thus, } r[0\ 4]=2$$

Table 1 shows the calculation of cost matrix, weight matrix and root. While calculating the matrix, we have tried all possible binary search tree and select the minimum cost each time, thus it gives the minimum cost of the optimal binary search

tree. Now we can draw the optimal binary search tree from the table 1. Below is a optimal binary search tree whose cost is minimum that is  $32/16 = 2$ .



## 2.5 BINOMIAL COEFFICIENT COMPUTATION

Computing binomial coefficients is non optimization problem but can be solved using dynamic programming. **Binomial Coefficient** is the coefficient in the Binomial Theorem which is an arithmetic expansion. It is denoted as  $c(n, k)$  which is equal to  $\frac{n!}{k! \times (n-k)!}$  where ! denotes factorial. This follows a recursive relation using which we will calculate the  $n$  binomial coefficient in linear time  $O(n \times k)$  using Dynamic Programming.

### What is Binomial Theorem?

Binomial Theorem is also called as Binomial Expansion describe the powers in algebraic equations. Binomial Theorem helps us to find the expanded polynomial without multiplying the bunch of binomials at a time. The expanded polynomial will always contain one term more than the power you are expanding. Following formula shows the General formula to expand the algebraic equations by using Binomial Theorem:

$$(x + a)^n = \sum_{k=0}^n \binom{n}{k} x^k a^{n-k}$$

Where,  $n$  = positive integer power of algebraic equation and  $\binom{n}{k}$  = read as “ $n$  choose  $k$ ”.

According to theorem, expansion goes as following for any of the algebraic equation containing any positive power,

$$(a + b)^n = \binom{n}{0} a^n b^0 + \binom{n}{1} a^{n-1} b^1 + \binom{n}{2} a^{n-2} b^2 + \dots + \binom{n}{n-1} a^1 b^{n-1} + \binom{n}{n} a^0 b^n$$

Where,  $\binom{n}{k}$  are binomial coefficients and  $\binom{n}{k} = n_{c_k}$  gives combinations to choose  $k$  elements from  $n$ -element set. The expansion of binomial coefficient can be calculated as:  $\frac{n!}{k! \times (n-k)!}$ .

We can compute  $n_{c_k}$  for any  $n$  and  $k$  using the recurrence relation as follows:

$$n_{c_k} = \begin{cases} 1, & \text{if } k=0 \text{ or } n=k \\ n-1_{c_{k-1}} + n-1_{c_k}, & \text{for } n > k > 0 \end{cases}$$

**Computing C(n, k): Pseudocode:**

```

BINOMIAL(n, k)
Input: A pair of nonnegative integers  $n \geq k \geq 0$ 
Output: The value of  $c(n, k)$ 

1. for i ← 0 to n do
2.   for j ← 0 to min(i, k) do
3.     if j = 0 or j = i
4.       c[i, j] ← 1
5.     else
6.       c[i, j] ← c[i-1, j-1] + c[i-1, j]
7. return c[n, k]

```

Let's consider an example for calculating binomial coefficient:

Compute binomial coefficient for  $n=5$  and  $k=5$

n/k	0	1	2	3	4	5
0	1					
1	1	1				
2	1	2	1			
3	1	3	3	1		
4	1	4	6	4	1	
5	1	5	10	10	5	1

Table 3: gives the binomial coefficient

According to the formula when  $k=0$  corresponding value in the table will be 1. All the values in column 1 will be 1 when  $k=0$ . Similarly when  $n=k$ , value in the diagonal index will be 1.

$$c[2, 1] = c[1, 0] + c[1, 1] = 1 + 1 = 2$$

$$c[3, 1] = c[2, 0] + c[2, 1] = 1 + 2 = 3$$

$$c[4, 1] = c[3, 0] + c[3, 1] = 1 + 3 = 4$$

$$c[5, 1] = c[4, 0] + c[4, 1] = 1 + 4 = 5$$

$$c[3, 2] = c[2, 1] + c[2, 2] = 2 + 1 = 3$$

$$c[4, 2] = c[3, 1] + c[3, 2] = 3 + 3 = 6$$

$$c[5, 2] = c[4, 1] + c[4, 2] = 4 + 6 = 10$$

$$c[4, 3] = c[3, 2] + c[3, 3] = 3 + 1 = 4$$

$$c[5, 3] = c[4, 2] + c[4, 3] = 6 + 4 = 10$$

$$c[5, 4] = c[4, 3] + c[4, 4] = 4 + 1 = 5$$

Table 3 represent the binomial coefficient of each term.

## 2.6 ALL PAIRSHORTEST PATH

Till now we have seen single source shortest path algorithms to find the shortest path from a given source  $S$  to all other vertices of graph  $G$ . But what if we want to know the shortest distance among all pair of vertices? Suppose we require to design a railway network where any two stations are connected with an optimum route. The simple answer to this problem would be to apply single source shortest path algorithms i.e. Dijkstra's or Bellman Ford algorithm for each vertex of the graph. The other approach may be to apply all pair shortest path algorithm which gives the shortest path between any two vertices of a graph at one go. We would see which approach will give the best result for different types of graph later on. But first we will discuss one such All Pair Shortest Path Algorithm i.e. Floyd Warshall Algorithm.

### 2.6.1 Floyd Warshall Algorithm

Floyd Warshall Algorithm uses the Dynamic Programming (DP) methodology. Unlike Greedy algorithms which always looks for local optimization, DP strives for global optimization that means DP does not relies on immediate best result. It works on the concept of recursion i.e. dividing a bigger problem into similar type of sub problems and solving them recursively. The only difference is- DP does save the outputs of the smaller subproblem and avoids the task of repetition in calculating the same subproblem. First it searches for the output of the sub problem in the table, if the output is not available then only it calculates the sub problem. This reduces the time complexity to a great extent. Due to its nature, DP is useful in solving the problems which can be divided into similar subproblems If each subproblem is different in nature, DP does not help in reducing the time complexity.

Now when we have the basic understanding of how the Dynamic Programming works and we have found out that the main crux of using DP in any problem is to identify the recursive function which breaks the problem into smaller subproblems. Let us see how can we create the recursive function for Floyd WarshallAlgorithm (thereafter refers as FWA) which manages to find all pair shortest path in a graph.

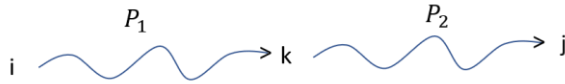
*Note- Floyd Warshall Algorithm works on directed weighted graph including negative edge weight. Although it does not work on graph having negative edge weight cycle.*

FWA uses the concept of intermediate vertex in the shortest path. Intermediate vertex in any simple path  $P = \{v_1, v_2 \dots v_l\}$  is any vertex in  $P$  except source vertex  $v_1$  and destination vertex  $v_l$ . Let us take a graph  $G(V, E)$  having vertex set  $V = \{1, 2, 3, \dots, n\}$ . Take a subset of  $V$  as  $V_{s1} = \{1, 2, \dots, k\}$  for some value of  $k$ . For any pair of vertices  $i, j \in V$  lists all paths from  $i$  to  $j$  such that any intermediate vertex in those paths belongs to  $V_{s1}$ . Find the shortest path  $P_s$  among them. Now there is one possibility between the two cases listed below-

1. The vertex  $k$  does not belong to the shortest path  $P_s$ .
2. The vertex  $k$  belongs to the shortest path  $P_s$ .

Case 1-  $P_s$  will also be the shortest path from  $i$  to  $j$  for another subset of  $V$  i.e.  $V_{s2} = \{1, 2, \dots, k-1\}$

Case 2- We can divide path  $P_s$  into two paths  $P_1$  and  $P_2$  as below-



Where  $P_1$  is the shortest path between vertices  $i$  and  $k$  and  $P_2$  is the shortest path between vertices  $k$  and  $j$ .  $P_1$  and  $P_2$  both have the intermediate vertices from the set  $V_{s2} = \{1, 2, \dots, k-1\}$ .

Based on the above understanding the recursive function for FWA can be derived as below-

$$d_{ij}^{(k)} = \begin{cases} w(i, j) & \text{if } k = 0 \\ \min \{d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)}\} & \text{if } k > 0 \end{cases}$$

Where,

$d_{ij}^{(k)}$  - Weight of the shortest path from  $i$  to  $j$  for which all intermediate vertices  $\in \{1, 2, \dots, k\}$

$w(i, j)$  - Edge weight from vertex  $i$  to  $j$

The recursive function implies that if there is no intermediate vertex from  $i$  to  $j$  then the shortest path shall be the weight of the direct edge. Whereas, if there are multiple intermediate vertices involved, the shortest path shall be the minimum of the two paths, one including a vertex  $k$  and another without including the vertex  $k$ .

### 2.6.2 Working Strategy for FWA

- Initial Distance matrix  $D^0$  of order  $n \times n$  consisting direct edge weight is taken as the base distance matrix.
- Another distance matrix  $D^1$  of shortest path  $d_{ij}^{(1)}$  including one (1) intermediate vertex is calculated where  $i, j \in V$ .
- The process of calculating distance matrices  $D^2, D^3, \dots, D^n$  by including other intermediate vertices continues until all vertices of the graph is taken.
- The last distance matrix  $D^n$  which includes all vertices of a matrix as intermediate vertices gives the final result.

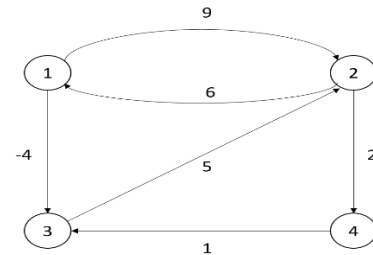
### 2.6.3 Pseudo-code for FWA

- $n$  is the number of vertices in graph  $G(V, E)$ .
- $D^k$  is the distance matrix of order  $n \times n$  consisting matrix element  $d_{ij}^{(k)}$  as the weight of shortest path having intermediate vertices from  $1, 2, \dots, k \in V$
- $M$  is the initial matrix of direct edge weight. If there is no direct edge between two vertices, it will be considered as  $\infty$ .

```
FWA(M)
1.  $D^0 = M$ 
2. For ( $k=1$  to  $n$ )
3. For ( $i=1$  to  $n$ )
4.   For ( $j=1$  to  $n$ )
5.      $d_{ij}^{(k)} = \min \{d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)}\}$ 
6. Return  $D^n$ 
```

Since there are three nested for loops and inside which there is one statement which takes constant time for comparison and addition, the time complexity of FWA turns out to be  $O(n^3)$ .

**Example-** Apply Floyd-Warshall Algorithm on the following graph-



We will create the initial matrix  $D^0$  from the given edge weights of the graph-

$$D^0 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 9 & -4 & \infty \\ 6 & 0 & \infty & 2 \\ \infty & 5 & 0 & \infty \\ \infty & \infty & 1 & 0 \end{bmatrix} \end{matrix}$$

For creating distance matrices  $D^1, D^2$  etc. We use two simple tricks for avoiding the calculations involved in each step-

1. Weight of shortest path from one vertex to itself will always be zero since we are dealing with no negative weight cycle. So we will put diagonal elements as zero in every iteration.
2. For the intermediate vertex  $j$ , we will put row and column elements of  $D^j$  as it is for  $D^{j-1}$ .

If we don't use the above tricks, still the result will be same.

Now for creating  $D^1$ , we have to find distance between every two vertices via vertex 1. We will consider  $D^0$  as base matrix as below-

$$d^1[2,3] = \min \{d^0[2,3], d^0[2,1] + d^0[1,3]\} = \min \{\infty, 6+(-4)\} = \min \{\infty, 2\} = 2$$

**Note** - We have used  $d^k[i,j]$  instead of  $d_{ij}^{(k)}$  for simplicity here.

$$d^1[2,4] = \min \{d^0[2,4], d^0[2,1] + d^0[1,4]\} = \min \{2, 6+\infty\} = \min \{2, \infty\} = 2$$

$$d^1[3,2] = \min \{d^0[3,2], d^0[3,1] + d^0[1,2]\} = \min \{5, \infty+9\} = \min \{5, \infty\} = 5$$

Similarly, we will calculate for other vertices.

$$D^1 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 9 & -4 & \infty \\ 6 & 0 & 2 & 2 \\ \infty & 5 & 0 & \infty \\ \infty & \infty & 1 & 0 \end{bmatrix} \end{matrix}$$

For creating  $D^1$ , we have to find distance between every two vertices via vertex 2. We will consider  $D^1$  as base matrix as below-

$$d^2[1,3] = \min \{d^1[1,3], d^1[1,2] + d^1[2,3]\} = \min \{-4, 9+2\} = \min \{-4, 11\} = -4$$

$$d^2[1,4] = \min \{d^1[1,4], d^1[1,2] + d^1[2,4]\} = \min \{\infty, 9+2\} = \min \{\infty, 11\} = 11$$

$$d^2[3,1] = \min \{d^1[3,1], d^1[3,2] + d^1[2,1]\} = \min \{\infty, 5+6\} = \min \{\infty, 11\} = 11$$

Similarly, we will calculate for other vertices.

$$D^2 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 9 & -4 & 11 \\ 6 & 0 & 2 & 2 \\ 11 & 5 & 0 & 7 \\ \infty & \infty & 1 & 0 \end{bmatrix} \end{matrix}$$

Create distance matrix  $D^3$  by taking vertex 3 as intermediate vertex and  $D^2$  as base matrix as below-

$$d^3[1,2] = \min\{d^2[1,2], d^2[1,3] + d^2[3,2]\} = \min\{9, -4 + 5\} = \min\{9, 1\} = 1$$

$$d^3[1,4] = \min\{d^2[1,4], d^2[1,3] + d^2[3,4]\} = \min\{11, -4 + 7\} = \min\{11, 3\} = 3$$

Similarly calculate for other pairs.

$$D^3 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 1 & -4 & 3 \\ 6 & 0 & 2 & 2 \\ 11 & 5 & 0 & 7 \\ 12 & 6 & 1 & 0 \end{bmatrix} \end{matrix}$$

Create distance matrix  $D^4$  by taking vertex 4 as intermediate vertex and  $D^3$  as base matrix as below-

$$d^4[1,2] = \min\{d^3[1,2], d^3[1,4] + d^3[4,2]\} = \min\{1, 3 + 6\} = \min\{1, 9\} = 1$$

Similarly calculate for other pairs-

$$D^4 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 1 & -4 & 3 \\ 6 & 0 & 2 & 2 \\ 11 & 5 & 0 & 7 \\ 12 & 6 & 1 & 0 \end{bmatrix} \end{matrix}$$

$D^4$  gives the shortest path between any two vertices of given graph. e.g. weight of shortest path from vertex 1 to vertex 2 is 1.

#### 2.6.4 When FWA gives the best result

Do you remember the starting point of this topic where we stated that one can use Floyd Warshall Algorithm for finding all pair shortest path at one go or one can also use Dijkstra's or Bellman Ford algorithm for each vertex? We have analyzed the time complexity of FWA. Now we will find out the time complexities of running Dijkstra's

and Bellman Ford algorithm for finding all pair shortest path to see which gives the best result-

Using Dijkstra's Algorithm - The time complexity of running Dijkstra's Algorithm for single source shortest path problem on graph  $G(V,E)$  is  $O(E+V\log V)$  using Fibonacci heap. For  $G$  being complete graph, running Dijkstra's Algorithm on each vertex will result in time complexity of  $O(V^3)$ . For graph other than complete, it shall be  $O(n \cdot n \log n)$ , less than FWA but since Dijkstra's Algorithm does not work with negative edge weight for single source shortest path problem it will also not work for all pair shortest path problem given negative edge weight.

Using Bellman Ford Algorithm – The time complexity of running Bellman Ford algorithm for single source shortest path problem on Graph  $G(V,E)$  is  $O(VE)$ . If  $G$  is complete graph then this complexity turns out to be  $O(V \cdot V^2)$  i.e.  $O(V^3)$ . Therefore, the time complexity of running Bellman Ford Algorithm on each vertex of graph  $G$  shall be  $O(V^4)$ .

From the above two points it can be concluded that FWA is the best choice for all pair shortest path problem when the graph is dense whereas Dijkstra's Algorithm is suitable when the graph is sparse and no negative edge weight exist. For graph having negative edge weight cycle the only choice among the three is Bellman Ford Algorithm.

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## 2.7 SUMMARY

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- The Dynamic Programming is a technique for solving optimization Problems, using bottom-up approach. The underlying idea of dynamic programming is to avoid calculating the same thing twice, usually by keeping a table of known results that fills up as substances of the problem under consideration are solved.
  - In order that Dynamic Programming technique is applicable in solving an optimization problem, it is necessary that the principle of optimality is applicable to the problem domain.
  - The principle of optimality states that for an optimal sequence of decisions or choices, each subsequence of decisions/choices must also be optimal.
  - **The Chain Matrix Multiplication Problem:** The problem of how to parenthesize the pairs of matrices within the expression  $A_1 A_2 \dots A_n$ , a product of  $n$  matrices which is defined; so as to minimize the number of scalar multiplications in the computation of the product  $A_1 A_2 \dots A_n$ . The product is known as Chained Matrix Multiplication.
- 

## 2.8 SOLUTIONS/ANSWERS

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### ♣Check Your Progress:

Q1. Find an optimal parenthesization of a matrix-chain product whose sequence of dimensions is  $\langle 5, 10, 3, 12, 5, 50, 6 \rangle$ .

Q2. Show that a full parenthesization of an  $n$ -element has exactly  $n-1$  pairs of parenthesis.

Q3. Determine the cost and structure of an optimal binary search tree for a set of  $n=7$  keys with the following properties:

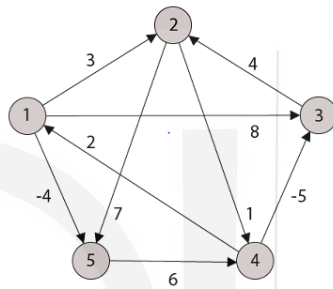


i	0	1	2	3	4	5	6	7
$p_i$		0.04	0.06	0.08	0.02	0.10	0.12	0.14
$q_i$	0.06	0.06	0.06	0.06	0.05	0.05	0.05	0.05

Q4. Determine the cost and structure of an optimal binary search tree for a set of  $n=7$  keys with the following properties:

i	0	1	2	3	4	5
$p_i$		0.15	0.10	0.05	0.10	0.20
$q_i$	0.05	0.10	0.05	0.05	0.05	0.10

Q5. Apply Floyd-Warshall algorithm on the following graph. Show the matrix  $D^5$  of the graph.



Q6. When graph is dense and have negative edge weight cycle. Which of the following is a best choice to find the shortest path

- Bellman-ford algorithm
- Dijkstra's algorithm
- Floyd-Warshall algorithm
- Kruskal's Algorithm

Q7. What procedure is being followed in Floyd Warshall Algorithm?

- Top down
- Bottom up
- Big bang
- Sandwich

Q8. What happens when the value of  $k$  is 0 in the Floyd Warshall Algorithm?

- 1 intermediate vertex
- 0 intermediate vertex
- $N$  intermediate vertices
- $N-1$  intermediate vertices

### ♣ Check Your Progress Answer:

Q1. Multiplication sequence is  $(A_1A_2)(A_3A_4)(A_5A_6)$

m	1	2	3	4	5	6
1	0	150	330	405	1655	2010
2		0	360	330	2430	1950
3			0	180	930	1770
4				0	3000	1860
5					0	1500
6						0

s	1	2	3	4	5	6
1	0	1	2	2	4	2
2		0	2	2	2	2
3			0	3	4	4
4				0	4	4
5					0	5
6						0

0	3	8	3	-4
3	0	11	1	-1
-3	0	0	-5	-7
2	5	10	0	-2
8	11	16	6	0

Q5.

Q6. Floyd-warshall algorithm

Q7. Bottom up

Q8. When  $k=0$ , a path from vertex  $i$  to vertex  $j$  has no intermediate vertices at all. Such a path has at most one edge and hence  $d_{ij}^0 = w_{ij}$

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## UNIT 3    BOOLEAN ALGEBRA AND CIRCUITS

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### Structure

- 3.0 Introduction
- 3.1 Objectives
- 3.2 Boolean Algebras
- 3.3 Logic Circuits
- 3.4 Boolean Functions
- 3.5 Summary
- 3.6 Solutions/ Answers

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### 3.0 INTRODUCTION

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This unit is very closely linked with Unit 1. It was C.E.Shannon, the founder of information theory, who observed an analogy between the functioning of switching circuits and certain operations of logical connectives. In 1938 he gave a technique based on this analogy to **express and manipulate** simple switching circuits algebraically. Later, the discovery of some new solid state devices (called **electronic switches** or **logic gates**) helped to modify these algebraic techniques and, thereby, paved a way to solve numerous problems related to digital systems algebraically.



Fig. 1: Claude Shannon

In this unit, we shall discuss the symbolic logic techniques which are required for the algebraic understanding of circuits and computer logic. In Sec. 3.2, we shall introduce you to **Boolean algebras** with the help of certain examples based on objects you are already familiar with. You will see that such algebras are apt for describing operations of logical circuits used in computers.

In Sec. 3.3, we have discussed the linkages between **Boolean expressions** and logic circuits.

In Sec. 3.4, you will read about how to express the overall functioning of a circuit mathematically in terms of certain suitably defined functions called **Boolean functions**. In this section we shall also consider a simple **circuit design problem** to illustrate the applications of the relationship between Boolean functions and circuits.

Let us now consider the objectives of this unit.

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### 3.1 OBJECTIVES

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After reading this unit, you should be able to:

- define and give examples of Boolean algebras, expressions and functions;
- give algebraic representations of the functioning of logic gates;
- obtain and simplify the Boolean expression representing a circuit;
- construct a circuit for a Boolean expression;
- design and simplify some simple circuits using Boolean algebra techniques.

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### 3.2 BOOLEAN ALGEBRAS

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Let us start with some questions: Is it possible to design an electric/electronic circuit without actually using switches(or logic gates) and wires? Can a circuit be redesigned, to get a simpler circuit with the help of pen and paper only?

The answer to both these questions is 'Yes'. What allows us to give this reply is the concept of **Boolean algebras**. Before we start a formal discussion on these types of algebras, let us take another look at the objects treated in Unit 1.

As before, let the letters  $p, q, r, \dots$  denote statements (or propositions). We write  $S$  for the set of all propositions. As you may recall, a tautology  $\mathcal{T}$  (or a contradiction  $\mathcal{F}$ ) is any proposition which is always true (or always false, respectively). By abuse of notation, we shall let  $\mathcal{T}$  denote the set of all tautologies and  $\mathcal{F}$  denote the set of all contradictions. Thus,

$$\mathcal{T} \leq S, \mathcal{F} \leq S.$$

You already know from Unit 1 that, given two propositions  $p$  and  $q$ , both  $p \wedge q$  and  $p \vee q$  are again propositions. And so, by the definition of a binary operation, you can see that both  $\wedge$  (**conjunction**) and  $\vee$  (**disjunction**) are binary operations on the set  $S$ , where we are writing  $\wedge (p, q)$  as  $p \wedge q$  and  $\vee (p, q)$  as  $p \vee q \forall p, q \in S$ .

Again, since  $\sim p$  is also a proposition, the operation  $\sim$  (**negation**) defines a unary function  $\sim: S \rightarrow S$ . Thus, the set of propositions  $S$ , with these operations, acquires an algebraic structure.

As is clear from Sec.1.3, under these three operations, the elements of  $S$  satisfy **associative laws**, **commutative laws**, **distributive laws** and **complementation laws**.

Also, by E19 of Unit 1, you know that  $p \vee \mathcal{F} = p$  and  $p \wedge \mathcal{T} = p$ , for any proposition  $p$ . These are called the **identity laws**. The set  $S$  with the three operations and properties listed above is a particular case of an algebraic structure which we shall now define.

**Definition: A Boolean algebra  $B$**  is an algebraic structure which consists of a set  $X$  ( $\neq \emptyset$ ) having two binary operations (denoted by  $\vee$  and  $\wedge$ ), one unary operation (denoted by  $'$ ) and two specially defined elements  $O$  and  $I$  (say), which satisfy the following five laws for all  $x, y, z \in X$ .

**B1. Associative Laws:**  $x \vee (y \vee z) = (x \vee y) \vee z,$   
 $x \wedge (y \wedge z) = (x \wedge y) \wedge z$

**B2. Commutative Laws:**  $x \vee y = y \vee x,$   
 $x \wedge y = y \wedge x$

**B3. Distributive Laws:**  $x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z),$   
 $x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$

**B4. Identity Laws:**  $x \vee O = x,$   
 $x \wedge I = x$

**B5. Complementation Laws:**  $x \wedge x' = O,$   
 $x \vee x' = I.$

We write this algebraic structure as  $B = (X, \vee, \wedge, ', O, I)$ , or simply  $B$ , if the context makes the meaning of the other terms clear. The two operations  $\vee$  and  $\wedge$  are called the **join operation** and **meet operation**, respectively. The unary operation  $'$  is called the **complementation**.

From our discussion preceding the definition above, you would agree that the set  $S$  of propositions is a Boolean algebra, where  $\mathcal{T}$  and  $\mathcal{F}$  will do the job of  $I$  and  $O$ , respectively. Thus,  $(S, \wedge, \vee, \sim, \mathcal{F}, \mathcal{T})$  is an example of a Boolean algebra.

We give another example of a Boolean algebra below.

**Example 1:** Let  $X$  be a non-empty set, and  $\mathcal{P}(X)$  denote its power set, i.e.,  $\mathcal{P}(X)$  is the set consisting of all the subsets of the set  $X$ . Show that  $\mathcal{P}(X)$  is a Boolean algebra.

In the NCERT textbook, '+' and '.' are used instead of ' $\vee$ ' and ' $\wedge$ ', respectively.

**Solution:** We take the usual set-theoretic operations of intersection ( $\cap$ ), union ( $\cup$ ), and complementation ( $^c$ ) in  $\mathcal{P}(X)$  as the three required operations. Let  $\emptyset$  and  $X$  play the roles of **O** and **I**, respectively. Then you can verify that all the conditions for  $(\mathcal{P}(X), \cup, \cap, ^c, \Phi, X)$  to be a Boolean algebra hold good.

For instance, the identity laws (B4) follow from two set-theoretic facts, namely, 'the intersection of any subset with the whole set is the set itself' and 'the union of any set with the empty set is the set itself'. On the other hand, the complementation laws (B5) follow from another set of facts from set theory, namely, 'the intersection of any subset with its complement is the empty set' and 'the union of any set with its complement is the whole set'.

\*\*\*

Yet another example of a Boolean algebra is based on **switching circuits**. For this, we first need to elaborate on the functioning of ordinary switches in a mathematical way. In fact, we will present the basic idea which helped the American, C.E.Shannon, to detect the connection between the functioning of switches and Boole's symbolic logic.

You may be aware of the functioning of a simple on-off switch which is commonly used as an essential component in the electric (or electronic) networking systems. A switch is a device which allows the current to flow only when it is placed in the **ON** position, i.e., when the gap is **closed** by a conducting rod. Thus, the **ON** position of a switch is one state of a switch, called a **closed state**. The other state of a switch is the open state, when it is placed in the **OFF** position. So, a switch has two stable states.

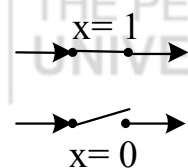


Fig. 2: OFF-ON position

There is another way to talk about the functioning of a switch. We can denote a switch by  $x$ , and use the values 0 and 1 to depict its two states, i.e., to convey that  $x$  is open we write  $x = 0$ , and to convey that  $x$  is closed we write  $x = 1$  (see Fig.2).

These values which denote the state of a switch  $x$  are called the **state-values** (s.v., in short) of that switch.

We shall also write  $x'$  for a switch which is always in a state opposite to  $x$ . So that,  
 **$x$  is open  $\rightarrow x'$  is closed and  $x$  is closed  $\rightarrow x'$  is open.**

The switch  $x'$  is called the invert of the switch  $x$ . For example, the switch  $a'$  shown in Fig.3 is an invert of the switch  $a$ .

Table 1: s.v. of  $x'$

$x$	$x'$
0	1
1	0

Table 1 alongside gives the state value of  $x'$  for a given state value of the switch  $x$ . These values are derived from the definition of  $x'$  and our preceding discussion.

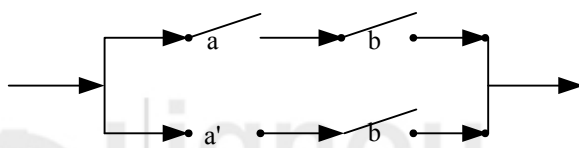


Fig. 3:  $a'$  is the invert of  $a$ .

Note that the variable  $x$  that denotes a switch can only take on 2 values, 0 and 1. Such a variable (which can only take on two values) is called a **Boolean variable**. Thus, if  $x$  is a Boolean variable, so is  $x'$ . Now, in order to design a circuit consisting of several switches, there are two ways in which two switches can be connected: **parallel connections** and **series connections** (see Fig.4).

Do you see a connection between Table 1 above and Table 10, Unit 1 ?

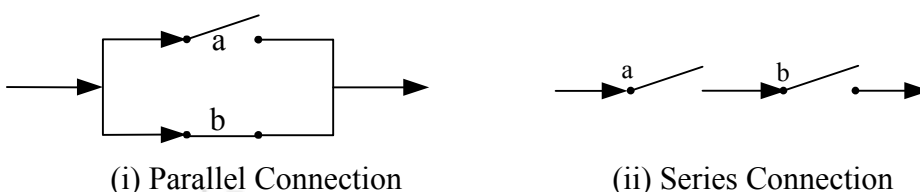


Fig. 4: Two ways of connecting switches

From Fig.4(i) above, you can see that in case of a parallel connection of switches a and b (say), current will flow from the left to the right extreme if **at** least one of the two switches is closed. Note that ‘parallel’ **does not** mean that both the switches are in the same state.

On the other hand, current can flow in a series connection of switches only when **both** the switches a and b are closed (see Fig.4 (ii) ).

Given two switches a and b, we write a **par** b and a **ser** b for these two types of connections, respectively.

In view of these definitions and the preceding discussion, you can see that the state values of the connections a **par** b and a **ser** b, for different pairs of state values of switches a and b, are as given in the tables below.

Table 2: State values of a par b and a ser b.

s.v. of a	s.v. of b	s.v. of a par b	s.v. of a	s.v. of b	s.v. of a ser b
0	0	0	0	0	0
0	1	1	0	1	0
1	0	1	1	0	0
1	1	1	1	1	1

We have now developed a sufficient background to give you the example of a Boolean algebra which is based on switching circuits.

**Example 2:** The set  $S = \{0, 1\}$  is a Boolean algebra.

**Solution:** Take **ser** and **par** in place of  $\wedge$  and  $\vee$ , respectively, and inversion(') instead of  $\sim$  as the three required operations in the definition of a Boolean algebra. Also take 0 for the element **O** and 1 for the element **I** in this definition. Now, using Tables 1 and 2, you can check that the five laws B1-B5 hold good. Thus,  $(S, \text{par}, \text{ser}, ', 0, 1)$  is a Boolean algebra.

\*\*\*

A Boolean algebra whose underlying set has only two elements is very important in the study of circuits. We call such an algebra a **two-element Boolean algebra**, and denote it by  $\mathcal{B}$ . From this Boolean algebra we can build many more, as in the following example.

**Example 3:** Let  $\mathcal{B}^n = \mathcal{B} \times \mathcal{B} \times \cdots \times \mathcal{B} = \{(e_1, e_2, \dots, e_n) \mid \text{each } e_i = 0 \text{ or } 1\}$ , for  $n \geq 1$ , be the Cartesian product of n copies of  $\mathcal{B}$ . For  $i_k, j_k \in \{0, 1\}$  ( $1 \leq k \leq n$ ), define

$$\begin{aligned} (i_1, i_2, \dots, i_n) \wedge (j_1, j_2, \dots, j_n) &= (i_1 \wedge j_1, i_2 \wedge j_2, \dots, i_n \wedge j_n), \\ (i_1, i_2, \dots, i_n) \vee (j_1, j_2, \dots, j_n) &= (i_1 \vee j_1, i_2 \vee j_2, \dots, i_n \vee j_n), \text{ and} \\ (i_1, i_2, \dots, i_n)' &= (i_1', i_2', \dots, i_n'). \end{aligned}$$

Then  $\mathcal{B}^n$  is a Boolean algebra, for all  $n \geq 1$ .

**Solution:** Firstly, observe that the case  $n = 1$  is the Boolean algebra  $\mathcal{B}$ .

Now, let us write  $0 = (0, 0, \dots, 0)$  and  $1 = (1, 1, \dots, 1)$ , for the two elements of  $\mathcal{B}^n$  consisting of n-tuples of 0's and 1's, respectively. Using the fact that  $\mathcal{B}$  is a Boolean algebra, you can check that  $\mathcal{B}^n$ , with operations as defined above, is a Boolean algebra for every  $n \geq 1$ .

\*\*\*

The Boolean algebras  $\mathcal{B}^n$ ,  $n \geq 1$ , (called **switching algebras**) are very useful for the study of the hardware and software of digital computers.

We shall now state, without proof, some other properties of Boolean algebras, which can be deduced from the five laws (B1-B5).

**Theorem 1:** Let  $\mathcal{B} = (\mathbf{S}, \vee, \wedge, ', \mathbf{O}, \mathbf{I})$  be a Boolean algebra. Then the following laws hold  $\forall x, y \in \mathbf{S}$ .

- a) **Idempotent laws** :  $x \vee x = x, x \wedge x = x$ .
- b) **Absorption laws** :  $x \vee (x \wedge y) = x, x \wedge (x \vee y) = x$ .
- c) **Involution law** :  $(x')' = x$ .
- d) **De Morgan's laws** :  $(x \vee y)' = x' \wedge y', (x \wedge y)' = x' \vee y'$ .

In fact, you have already come across some of these properties for the Boolean algebras of propositions in Unit 1. In the following exercise we ask you to verify them.

- 
- E1) a) Verify the identity laws and absorption laws for the Boolean algebra  $(\mathbf{S}, \wedge, \vee, \sim, \mathcal{T}, \mathcal{F})$  of propositions.  
 b) Verify the absorption laws for the Boolean algebra  $(\mathcal{P}(\mathbf{X}), \cup, \cap, ^c, \Phi, \mathbf{X})$ .
- 

In Theorem 1, you may have noticed that for each statement involving  $\vee$  and  $\wedge$ , there is an analogous statement with  $\wedge$  (instead of  $\vee$ ) and  $\vee$  (instead of  $\wedge$ ). This is not a coincidence, as the following definition and result shows.

**Definition :** If  $p$  is a proposition involving  $\sim, \wedge$  and  $\vee$ , the **dual** of  $p$ , denoted by  $p^d$ , is the proposition obtained by replacing each occurrence of  $\wedge$  (and/or  $\vee$ ) in  $p$  by  $\vee$  (and/or  $\wedge$ , respectively) in  $p^d$ .

For example,  $x \vee (x \wedge y) = x$  is the **dual** of  $x \wedge (x \vee y) = x$ .

Now, the following principle tells us that if a statement is proved true, then we have simultaneously proved that its dual is true.

**Theorem 2 (The principle of duality):** If  $s$  is a theorem about a Boolean algebra, then so is its dual  $s^d$ .

It is because of this principle that the statements in Theorem 1 look so similar.

Let us now see **how to apply Boolean algebra methods to circuit design.**

While expressing circuits mathematically, we identify each circuit in terms of some Boolean variables. Each of these variables represents either a simple switch or an input to some electronic switch.

**Definition:** Let  $\mathcal{B} = (\mathbf{S}, \vee, \wedge, ', \mathbf{O}, \mathbf{I})$  be a Boolean algebra. A **Boolean expression** in variables  $x_1, x_2, \dots, x_k$  (say), each taking their values in the set  $\mathbf{S}$  is defined recursively as follows:

- i) Each of the variables  $x_1, x_2, \dots, x_k$ , as well as the elements  $\mathbf{O}$  and  $\mathbf{I}$  of the Boolean algebra  $\mathcal{B}$  are Boolean expressions.
- ii) If  $\mathbf{X}_1$  and  $\mathbf{X}_2$  are previously defined Boolean expressions, then  $\mathbf{X}_1 \wedge \mathbf{X}_2, \mathbf{X}_1 \vee \mathbf{X}_2$  and  $\mathbf{X}'_1$  are also Boolean expressions.

For instance,  $x_1 \wedge x'_3$  is a Boolean expression because so are  $x_1$  and  $x'_3$ , Similarly, because  $x_1 \wedge x_2$  is a Boolean expression, so is  $(x_1 \wedge x_2) \wedge (x_1 \wedge x'_3)$ .

If  $\mathbf{X}$  is a Boolean expression in  $n$  variables  $x_1, x_2, \dots, x_n$  (say), we write this as  $\mathbf{X} = \mathbf{X}(x_1, \dots, x_n)$ .

In the context of simplifying circuits, we need to reduce Boolean expressions to

simpler ones. 'Simple' means that the expression has fewer connectives, and all the literals involved are distinct. We illustrate this technique now.

**Example 4:** Reduce the following Boolean expressions to a simpler form.

$$(a) X(x_1, x_2) = (x_1 \wedge x_2) \wedge (x_1 \wedge x'_2);$$

$$(b) X(x_1, x_2, x_3) = (x_1 \wedge x_2) \vee (x_1 \wedge x_2 \wedge x_3) \vee (x_1 \wedge x_3).$$

**Solution:** (a) Here we can write

$$\begin{aligned} (x_1 \wedge x_2) \wedge (x_1 \wedge x'_2) &= ((x_1 \wedge x_2) \wedge x_1) \wedge x'_2 && \text{(Associative law)} \\ &= (x_1 \wedge x_2) \wedge x'_2 && \text{(Absorption law)} \\ &= x_1 \wedge (x_2 \wedge x'_2) && \text{(Associative law)} \\ &= x_1 \wedge \mathbf{O} && \text{(Complementation law)} \\ &= \mathbf{O}. && \text{(Identity law)} \end{aligned}$$

Thus, in its simplified form, the expression given in (a) above is **O**, i.e., a **null expression**.

(b) We can write

$$\begin{aligned} (x_1 \wedge x_2) \vee (x_1 \wedge x'_2 \wedge x_3) \vee (x_1 \wedge x_3) &&& \\ = [x_1 \wedge \{x_2 \vee (x'_2 \wedge x_3)\}] \wedge (x_1 \wedge x_3) &&& \text{(Distributive law)} \\ = [x_1 \wedge \{(x_2 \vee x'_2) \wedge (x_2 \vee x_3)\}] \wedge (x_1 \wedge x_3) &&& \text{(Distributive law)} \\ = [x_1 \wedge \{\mathbf{I} \wedge (x_2 \vee x_3)\}] \wedge (x_1 \wedge x_3) &&& \text{(Complementation law)} \\ = [x_1 \wedge (x_2 \vee x_3)] \wedge (x_1 \wedge x_3) &&& \text{(Identity law)} \\ = [(x_1 \wedge x_2) \vee (x_1 \wedge x_3)] \wedge (x_1 \wedge x_3) &&& \text{(Distributive law)} \\ = [(x_1 \wedge x_2) \wedge (x_1 \wedge x_3)] \vee [(x_1 \wedge x_3) \wedge (x_1 \wedge x_3)] &&& \text{(Distributive law)} \\ = (x_1 \wedge x_2 \wedge x_3) \vee (x_1 \wedge x_3) &&& \text{(Idemp., & assoc. laws)} \\ = x_1 \wedge [(x_2 \wedge x_3) \vee x_3] &&& \text{(Distributive law)} \\ = x_1 \wedge x_3 &&& \text{(Absorption law)} \end{aligned}$$

Thus, the simplified form of the expression given in (b) is  $(x_1 \wedge x_3)$ .

\*\*\*

Now you should find it easy to solve the following exercise.

---

E2) Simplify the Boolean expression

$$\mathbf{X}(x_1, x_2, x_3) = (x_1 \wedge x_2) \vee ((x_1 \wedge x_2) \wedge x_3) \vee (x_2 \wedge x_3).$$


---

With this we conclude this section. In the next section we shall give an important application of the concepts discussed here.

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### 3.3 LOGIC CIRCUITS

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If you look around, you would notice many electric or electronic appliances of daily use. Some of them need a simple switching circuit to control the auto-stop (such as in a stereo system). Some would use an auto-power off system used in transformers to control voltage fluctuations. Each circuit is usually a combination of on-off switches, wired together in some specific configuration. Nowadays certain types of **electronic blocks** (i.e., solid state devices such as transistors, resistors and capacitors) are more in use. We call these electronic blocks **logic gates**, or simply, **gates**. In Fig. 5 we have shown a box which consists of some electronic switches (or logic gates), wired together in a specific manner. Each line which is entering the box from the left represents an independent power source (called **input**), where all of them need not supply voltage to the box at a given moment. A single line coming out of the box gives the **final output** of the circuit box. The output depends on the type of input.



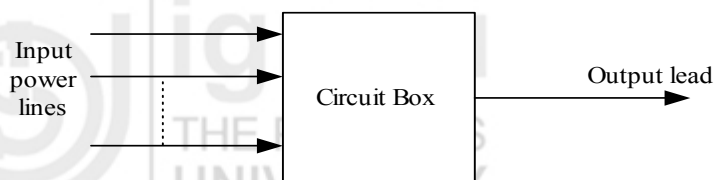


Fig. 5: A Logic circuit

This sort of arrangement of **input power lines**, a **circuit box** and **output lead** is basic to all electronic circuits. Throughout the unit, any such interconnected assemblage of logic gates is referred to as a **logic circuit**.

As you may know, computer hardware is designed to handle only two levels of voltage, both as inputs as well as outputs. These two levels, denoted by 0 and 1, are called **bits** (an acronym for **binary digits**). When the bits are applied to the logic gates by means of **one** or **two** wires (input leads), the output is again in the form of voltages 0 and 1. Roughly speaking, **you may think of a gate to be on or off according to whether the output voltage is at level 1 or 0, respectively.**

Three basic types of logic gates are an **AND-gate**, an **OR-gate** and a **NOT-gate**. We shall now define them one by one.

**Definition :** Let the Boolean variables  $x_1$  and  $x_2$  represent any two bits. An **AND-gate** receives inputs  $x_1$  and  $x_2$  and produces the output, denoted by  $x_1 \wedge x_2$ , as given in Table 3 alongside.

Table 3: Outputs of AND-gate

$x_1$	$x_2$	$x_1 \wedge x_2$
0	0	0
1	1	0
0	1	0
1	1	1

The standard pictorial representation of an **AND-gate** is shown in Fig.6 below.



Fig. 6: Diagrammatic representation of an AND -gate

From the first three rows of Table 3, you can see that whenever the voltage in any one of the input wires of the **AND-gate** is at level 0, then the output voltage of the gate is also at level 0. You have already encountered such a situation in Unit 1. In the following exercise we ask you to draw an analogy between the two situations.

E3) Compare Table 3 with Table 2 of Unit 1. How would you relate  $x_1 \wedge x_2$  with  $p \wedge q$ , where  $p$  and  $q$  denote propositions?

Let us now consider another elementary logic gate.

**Definition :** An **OR-gate** receives inputs  $x_1$  and  $x_2$  and produces the output, denoted by  $x_1 \vee x_2$ , as given in Table 4. The standard pictorial representation used for the **OR-gate** is as shown in Fig.7.

Table 4: Output of an OR-gate.

$x_1$	$x_2$	$x_1 \vee x_2$
0	0	0
0	1	1
1	0	1
1	1	1



Fig. 7: Diagrammatic representation of an OR-gate

From Table 4 you can see that the situation is the other way around from that in Table 3, i.e., the output voltage of an **OR-gate** is at level 1 whenever the level of voltage in even one of the input wires is 1. What is the analogous situation in the context of propositions? The following exercise is about this.

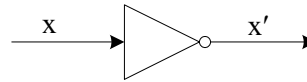
E4) Compare Table 4 with Table 1 of Unit 1. How would you relate  $x_1 \vee x_2$  with  $p \vee q$ , where  $p$  and  $q$  are propositions?

And now we will discuss an electronic realization of the invert of a simple switch about which you read in Sec. 3.2.

**Definition :** A **NOT-gate** receives bit  $x$  as input, and produces an output denoted by  $x'$ , as given in Table 5. The standard pictorial representation of a **NOT-gate** is shown in Fig. 8 below.

**Table 5: Output of a NOT-gate**

$x$	$x'$
0	1
1	0



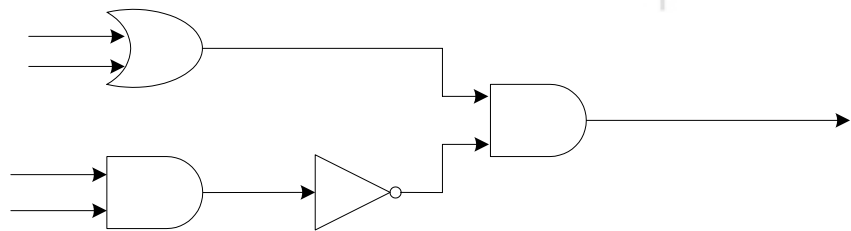
**Fig. 8: Diagrammatic representation of NOT-gate**

If you have solved E5 and E6, you would have noticed that Tables 3 and 4 are the same as the truth tables for the logic connectives  $\wedge$  (conjunction) and  $\vee$  (disjunction). Also Table 3 of Unit 1, after replacing T by 1 and F by 0, gives Table 5. This is why the output tables for the three elementary gates are called **logic tables**. You may find it useful to remember these logic tables because they are needed very often for computing the logic tables of logic circuits.

Another important fact that these logic tables will help you prove is given in the following exercise.

E5) Let  $\mathcal{B} = \{0, 1\}$  consist of the bits 0 and 1. Show that  $\mathcal{B}$  is a Boolean algebra, i.e., that the bits 0 and 1 form a two-element Boolean algebra.

As said before, a logic circuit can be designed using elementary gates, where the output from an **AND-gate**, or an **OR-gate**, or a **NOT-gate** is used as an input to other such gates in the circuitry. The different levels of voltage in these circuits, starting from the input lines, move only in the direction of the arrows as shown in all the figures given below. For instance, one combination of the three elementary gates is shown in Fig.9.



**Fig. 9: A logic circuit of elementary gates.**

Now let us try to see the connection between logic circuits and Boolean expressions. We first consider the elementary gates. For a given pair of inputs  $x_1$  and  $x_2$ , the output in the case of each of these gates is an expression of the form  $x_1 \wedge x_2$  or  $x_1 \vee x_2$  or  $x'$ .

Next, let us look at larger circuits. Is it possible to find an expression associated with a logic circuit, using the symbols  $\wedge$ ,  $\vee$  and  $'$ ? Yes, it is. We will illustrate the technique of finding a Boolean expression for a given logic circuit with the help of some examples. But first, note that the output of a gate in a circuit may serve as an input to some other gate in the circuit, as in Fig. 9. So, to get an expression for a logic circuit the process always moves in the direction of the arrows in the circuitry. With this in mind, let us consider some circuits.

**Example 5:** Find the Boolean expression for the logic circuit given in Fig.9 above.

**Solution:** In Fig.9, there are four input terminals. Let us call them  $x_1$ ,  $x_2$ ,  $x_3$  and  $x_4$ . So,  $x_1$  and  $x_2$  are inputs to an **OR**-gate, which gives  $x_1 \vee x_2$  as an output expression (see Fig. 9(a)).

Similarly, the other two inputs  $x_3$  and  $x_4$ , are inputs to an **AND**-gate. They will give  $x_3 \wedge x_4$  as an output expression. This is, in turn, an input for a **NOT**-gate in the circuit. So, this yields  $(x_3 \wedge x_4)'$  as the output expression. Now, both the expressions  $x_1 \vee x_2$  and  $(x_3 \wedge x_4)'$  are inputs to the extreme right **AND**-gate in the circuit. So, they give  $(x_1 \vee x_2) \wedge (x_3 \wedge x_4)'$  as the final output expression, which represents the logic circuit.

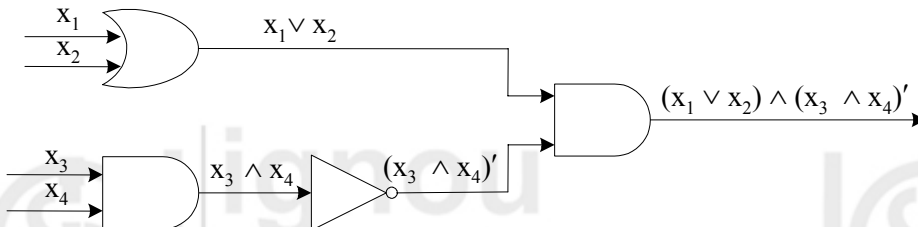


Fig. 9 (a)

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You have just seen how to find a Boolean expression for a logic circuit. For more practice, let us find it for another logic circuit.

**Example 6:** Find the Boolean expression C for the logic circuit given in Fig. 10.

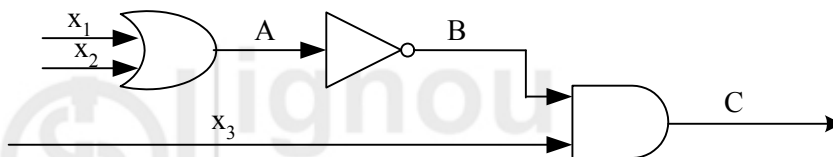


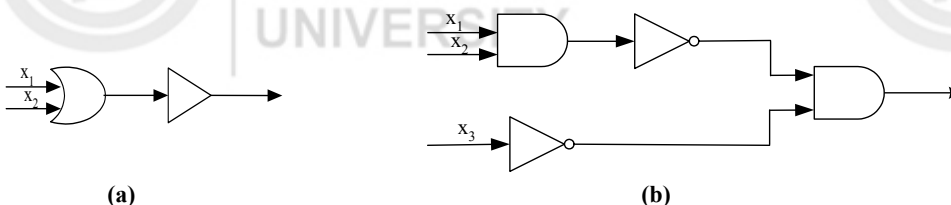
Fig. 10

**Solution:** Here the first output is from an **OR**-gate, i.e., A is  $x_1 \vee x_2$ . This, in turn, serves as the input to a **NOT**-gate attached to it from the right. The resulting bit B is  $(x_1 \vee x_2)'$ . This, and  $x_3$ , serve as inputs to the extreme right **AND**-gate in the circuit given above. This yields an output expression  $(x_1 \vee x_2)' \wedge x_3$ , which is C, the required expression for the circuit given in Fig.10.

\*\*\*

Why don't you try to find the Boolean expressions for some more logic circuits now?

E6) Find the Boolean expression for the output of the logic circuits given below.



So far, you have seen how to obtain a Boolean expression that represents a given circuit. Can you do the converse? That is, can you construct a logic circuit corresponding to a given Boolean expression? In fact, this is done when a circuit

designing problem has to be solved. The procedure is quite simple. We illustrate it with the help of some examples.

**Example 7:** Construct the logic circuit represented by the Boolean expression  $(x'_1 \wedge x_2) \vee (x_1 \vee x_3)$ , where  $x_i$  ( $1 \leq i \leq 3$ ) are assumed to be inputs to that circuitry.

**Solution:** Let us first see what the portion  $(x'_1 \wedge x_2)$  of the given expression contributes to the complete circuit. In this expression the literals  $x'_1$  and  $x_2$  are connected by the connective  $\wedge$  (AND). Thus the circuit corresponding to it is as shown in Fig.11(a) below, by the definitions of NOT-gate and AND-gate.

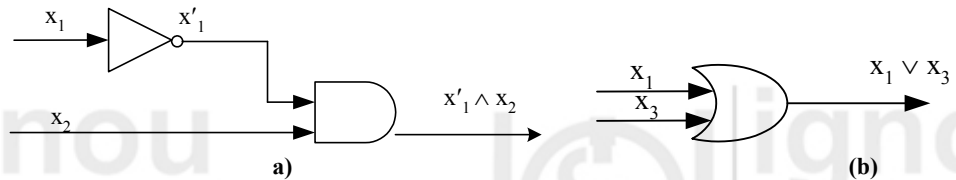


Fig. 11: Logic circuits for the expressions  $x'_1 \wedge x_2$  and  $x_1 \vee x_3$ .

Similarly, the gate corresponding to the expression  $x_1 \vee x_3$  is as shown in Fig.11(b) above. Finally, note that the given expression has two parts, namely,  $x'_1 \wedge x_2$  and  $x_1 \vee x_3$ , which are connected by the connective  $\vee$  (OR). So, the two logic circuits given in Fig.11 above, when connected by an OR-gate, will give us the circuit shown in Fig. 12 below.

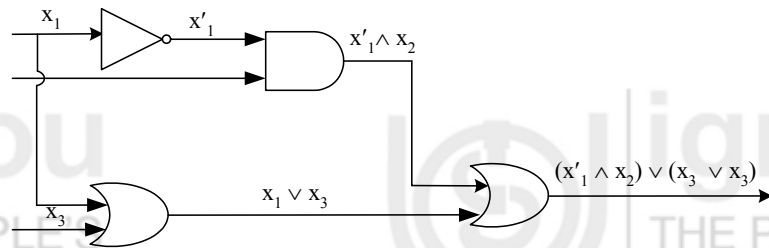


Fig.12: Circuitry for the expression  $(x'_1 \wedge x_2) \vee (x_1 \vee x_3)$

This is the required logic circuit which is represented by the given expression.

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**Example 8:** Given the expression  $(x'_1 \vee (x_2 \wedge x'_3)) \wedge (x_2 \vee x'_4)$ , find the corresponding circuit, where  $x_i$  ( $1 \leq i \leq 4$ ) are assumed to be inputs to the circuitry.

**Solution:** We first consider the circuits representing the expressions  $x_2 \wedge x'_3$  and  $x_2 \vee x'_4$ . They are as shown in Fig.13(a).

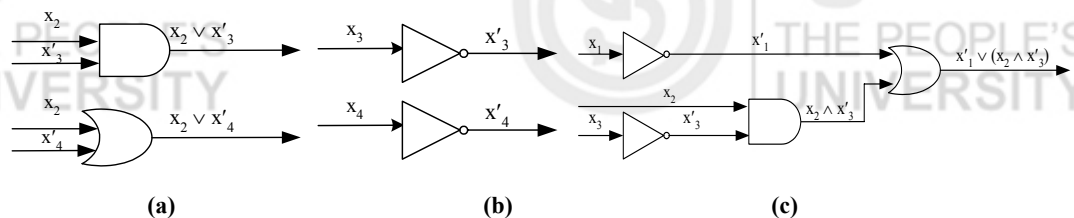


Fig. 13: Construction of a logic circuitry.

Also you know that the literals  $x'_3$  and  $x'_4$  are outputs of the NOT-gate. So, these can be represented by logic gates as shown in Fig.13(b). Then the circuit for the part  $x'_1 \vee (x_2 \wedge x'_3)$  of the given expression is as shown in Fig.13(c). You already know how to construct a logic circuit for the expression  $x_2 \vee x'_4$ .

Finally, the two expressions  $(x'_1 \vee (x_2 \wedge x'_3))$  and  $(x_2 \vee x'_4)$  being connected by the connective  $\wedge$  (AND), give the required circuit for the given expression as shown in Fig.14.

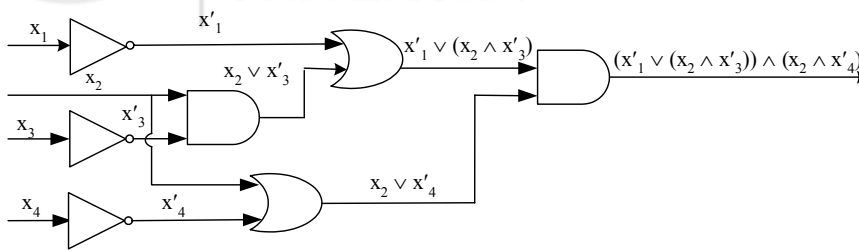


Fig. 14: Circuitry for the expression  $(x'_1 \vee (x_2 \wedge x'_3)) \wedge (x_2 \vee x'_4)$ .

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Why don't you try to solve some exercises now?

E7) Find the logic circuit corresponding to the expression  $x'_1 \wedge (x_2 \vee x'_3)$ .

E8) Construct the logic circuit and obtain the logic table for the expression  $x_1 \vee (x'_2 \wedge x_3)$ .

So far we have established a one-to-one correspondence between logic circuits and Boolean expressions. You may wonder about the utility of this. The mathematical view of a circuit can help us understand the **overall functioning** of the circuit. To understand how, consider the circuit given in Fig.10 earlier.

You may think of the inputs bits  $x_1$ ,  $x_2$ , and  $x_3$  as three variables, each one of which is known to have two values only, namely, 0 or 1, depending upon the level of voltage these inputs have at any moment of time. Then the idea is to evaluate the expression  $(x_1 \vee x_2)' \wedge x_3$ , which corresponds to this circuit, for different values of the 3-tuple  $(x_1, x_2, x_3)$ .

How does this evaluation help us to understand the functioning of the circuit? To see this, consider a situation in which the settings of  $x_1$ ,  $x_2$  and  $x_3$  at a certain stage of the process are  $x_1 = x_3 = 0$  and  $x_2 = 1$ . Then we know that  $x_1 \vee x_2 = 0 \vee 1 = 1$  (see the second row of Table 3 given earlier). Further, using the logic table of a **NOT**-gate, we get  $(x_1 \vee x_2)' = 1' = 0$ . Finally, from Table 3, we get  $(x_1 \vee x_2)' \wedge x_3 = 0 \wedge 1 = 0$ . Thus, the expression  $(x_1 \vee x_2)' \wedge x_3$  has value 0 for the set of values (0, 1, 0) of input bits  $(x_1, x_2, x_3)$ . **Thus, if x 1 and x 3 are closed, while x<sub>2</sub> is open, the circuit remains closed.**

Using similar arguments, you can very easily calculate the other values of the expression  $(x_1 \vee x_2)' \wedge x_3$  in the set

$$\{0,1\}^3 = \{(x_1, x_2, x_3) \mid x_i = 0 \text{ or } 1, 1 \leq i \leq 3\}$$

of values of input bits. We have recorded them in Table 6.

Observe that the row entries in the first three columns of Table 6 represent the different values which the input bits  $(x_1, x_2, x_3)$  may take. Each entry in the last column of the table gives the output of the circuit represented by the expression  $(x_1 \vee x_2)' \wedge x_3$  for the corresponding set of values of  $(x_1, x_2, x_3)$ . For example, if  $(x_1, x_2, x_3)$  is (0,1,0), then the level of voltage in the output lead is at a level 0 (see the third row of Table 6).

You should verify that the values in the other rows are correct.

Table 6 is the **logic table** for the circuit given in Fig. 10.

**Table 6: Logic table for the expression  $(x_1 \vee x_2)' \wedge x_3$ .**

$x_1$	$x_2$	$x_3$	$x_1 \vee x_2$	$(x_1 \vee x_2)$	$(x_1 \vee x_2)' \wedge x_3$
0	0	0	0	1	0
0	0	1	0	1	1
0	1	0	1	0	0
1	0	0	1	0	0
0	1	1	1	0	0
1	1	0	1	0	0
1	0	1	1	0	0
1	1	1	1	0	0

Why don't you try an exercise now?

---

E9) Compute the logic table for the circuit given in E6(b) above.

---

You have seen how the logic table of an expression representing a circuit provides a functional relationship between the state (or level) of voltage in the input terminals and that in the output lead of that logic circuitry. This leads us the concept of Boolean functions, which we will now discuss.

### 3.4 BOOLEAN FUNCTIONS

In the last section you studied that an output expression is not merely a device for representing an interconnection of gates. It also defines output values as a function of input bits. This provides information about the overall functioning of the corresponding logic circuit. So, this function gives us a relation between **the inputs to the circuit** and its **final output**.

This is what helps us to understand control over the functioning of logic circuits from a mathematical point of view. To explain what this means, let us reformulate the logic tables in terms of functions of the input bits.

Let us first consider the Boolean expression

$$X(x_1, x_2) = x_1 \wedge x'_2,$$

where  $x_1$  and  $x_2$  take values in  $\mathcal{B} = \{0, 1\}$ . You know that all the values of this expression, for different pairs of values of the variables  $x_1$  and  $x_2$ , can be calculated by using properties of the Boolean algebra  $\mathcal{B}$ . For example,

$$0 \wedge 1' = 0 \wedge 0 = 0 \Rightarrow X(0, 1) = 0.$$

Similarly, you can calculate the other values of  $X(x_1, x_2) = x_1 \wedge x'_2$  over  $\mathcal{B}$ .

In this way we have obtained a function  $f: \mathcal{B}_2 \rightarrow \mathcal{B}$ , defined as follows:

$$f(e_1, e_2) = X(e_1, e_2) = e_1 \wedge e'_2, \text{ where } e_1, e_2 \in \{0, 1\}.$$

So  $f$  is obtained by replacing  $x_i$  with  $e_i$  in the expression  $X(x_1, x_2)$ . For example, when  $e_1 = 1, e_2 = 0$ , we get  $f(1, 0) = 1 \wedge 0' = 1$ .

More generally, each Boolean expression  $X(x_1, x_2, \dots, x_k)$  in  $k$  variables, where

each variable can take values from the two-element Boolean algebra  $\mathcal{B}$ , defines a function  $f: \mathcal{B}^k \rightarrow \mathcal{B} : f(e_1, \dots, e_k) = X(e_1, \dots, e_k)$ .

Any such function is called a **Boolean function**.

Thus, each Boolean expression over  $\mathcal{B} = \{0, 1\}$  gives rise to a Boolean function.

In particular, corresponding to each circuit, we get a Boolean function.

Therefore, the logic table of a circuit is just another way of representing the Boolean function corresponding to it.

For example, the logic table of an **AND**-gate can be obtained using the function  $\wedge: \mathcal{B}^2 \rightarrow \mathcal{B} : \wedge(e_1, e_2) = e_1 \wedge e_2$ .

To make matters more clear, let us work out an example.

**Example 9:** Let  $f: \mathcal{B}^2 \rightarrow \mathcal{B}$  denote the function which is defined by the Boolean expression  $X(x_1, x_2) = x'_1 \wedge x'_2$ . Write the values of  $f$  in tabular form.

**Solution:**  $f$  is defined by  $f(e_1, e_2) = e'_1 \wedge e'_2$  for  $e_1, e_2 \in \{0, 1\}$ . Using Tables 3, 4 and 5, we have

$$\begin{aligned} f(0, 0) &= 0' \wedge 0' = 1 \wedge 1 = 1, & f(0, 1) &= 0' \wedge 1' = 1 \wedge 0 = 0, \\ f(1, 0) &= 1' \wedge 0' = 0 \wedge 1 = 0, & f(1, 1) &= 1' \wedge 1' = 0 \wedge 0 = 0. \end{aligned}$$

We write this information in Table 7.

**Table 7: Boolean function for the expression  $x'_1 \wedge x'_2$ .**

$e_1$	$e_2$	$e'_1$	$e'_2$	$f(e_1, e_2) = e'_1 \wedge e'_2$
0	0	1	1	$1 \wedge 1 = 1$
0	1	1	0	$1 \wedge 0 = 0$
1	0	0	1	$0 \wedge 1 = 0$
1	1	0	0	$0 \wedge 0 = 0$

\*\*\*

Why don't you try an exercise now?

E10) Find all the values of the Boolean function  $f: \mathcal{B}_2 \rightarrow \mathcal{B}$  defined by the Boolean expression  $(x_1 \wedge x_2) \vee (x_1 \wedge x'_3)$ .

Let us now consider the Boolean function  $g: \mathcal{B}_2 \rightarrow \mathcal{B}$ , defined by the expression  $X(x_1, x_2) = (x_1 \vee x_2)'$ .

Then  $g(e_1, e_2) = (e_1 \vee e_2)'$ ,  $e_1, e_2 \in \mathcal{B}$ .

So, the different values that  $g$  will take are

$$\begin{aligned} g(0, 0) &= (0 \vee 0)' = 0' = 1, & g(0, 1) &= (0 \vee 1)' = 1' = 0, \\ g(1, 0) &= (1 \vee 0)' = 1' = 0, & g(1, 1) &= (1 \vee 1)' = 1' = 0. \end{aligned}$$

In tabular form, the values of  $g$  can be presented as in Table 8.

**Table 8: Boolean function of the expression  $(x_1 \vee x_2)'$ .**

$e_1$	$e_2$	$e_1 \vee e_2$	$g(e_1, e_2) = (e_1 \vee e_2)'$
0	0	0	1
0	1	1	0
1	0	1	0
1	1	1	0

By comparing Tables 7 and 8, you can see that  $f(e_1, e_2) = g(e_1, e_2)$  for all

$(e_1, e_2) \in \mathcal{B}^2$ . So  $f$  and  $g$  are the same function.

What you have just seen is that **two (seemingly) different Boolean expressions can have the same Boolean function specifying them**. Note that if we replace the input bits by propositions in the two expressions involved, then we get logically equivalent statements. This may give you some idea of how the two Boolean expressions are related. We give a formal definition below.

**Definition :** Let  $X = X(x_1, x_2, \dots, x_k)$  and  $Y = Y(x_1, x_2, \dots, x_k)$  be two Boolean expressions in the  $k$  variables  $x_1, \dots, x_k$ . We say  **$X$  is equivalent to  $Y$**  over the Boolean algebra  $\mathcal{B}$ , and write  **$X \equiv Y$** , if both the expressions  $X$  and  $Y$  define the same Boolean function over  $\mathcal{B}$ , i.e.,

$$X(e_1, e_2, \dots, e_k) = Y(e_1, e_2, \dots, e_k), \text{ for all } e_i \in \{0, 1\}.$$

So, the expressions to which  $f$  and  $g$  (given by Tables 7 and 8) correspond are equivalent.

Why don't you try an exercise now?

E11) Show that the Boolean expressions

$$X = (x_1 \wedge x_2) \vee (x_1 \wedge x_3) \text{ and } Y = x_1 \wedge (x_2 \vee x_3)$$

are equivalent over the two-element Boolean algebra  $\mathcal{B} = \{0, 1\}$ .

So far you have seen that given a circuit, we can define a Boolean function corresponding to it. You also know that given a Boolean expression over  $\mathcal{B}$ , there is a circuit corresponding to it. Now, you may ask:

Given a Boolean function  $f: \mathcal{B}^n \rightarrow \mathcal{B}$ , is it always possible to get a Boolean expression which will specify  $f$  over  $\mathcal{B}$ ? The answer is 'yes', i.e., for every function  $f: \mathcal{B}^n \rightarrow \mathcal{B}$  ( $n \geq 2$ ) there is a Boolean expression (in  $n$  variables) whose Boolean function is  $f$  itself.

To help you understand the underlying procedure, consider the following examples.

**Example 10:** Let  $f: \mathcal{B}^2 \rightarrow \mathcal{B}$  be a function which is defined by  
 $f(0, 0) = 1, f(1, 0) = 0, f(0, 1) = 1, f(1, 1) = 1$ .

Find the Boolean expression specifying the function  $f$ .

**Solution:**  $f$  can be represented by the following table.

Input		Output
$x_1$	$x_2$	$f(x_1, x_2)$
0	0	1
1	0	0
0	1	1
1	1	1

We find the Boolean expression according to the following algorithm:

**Step 1:** Identify all rows of the table where the output is 1: these are the 1st, 3rd and 4th rows.

**Step 2:** Combine the variables in each of the rows identified in Step 1 with 'and'. Simultaneously, apply 'not' to the variables with value zero in these rows. So, for the  
 1st row:  $x_1' \wedge x_2'$ ,

In Boolean algebra terminology this is known as the 'disjunctive normal form' (DNF) of the expression.



3rd row:  $x'_1 \wedge x_2$ ,

4th row:  $x_1 \wedge x'_2$ .

**Step 3:** Combine the Boolean expressions obtained in Step 2 with 'or' to get the compound expression representing  $f$ :

So,  $f(x_1, x_2) = (x'_1 \wedge x'_2) \vee (x'_1 \wedge x_2) \vee (x_1 \wedge x_2)$ .

\*\*\*

You can complete Example 10, by doing the following exercise.

E12) In the previous example, show that  $X(e_1, e_2) = f(e_1, e_2) \forall e_1, e_2 \in \mathcal{B}$ .

E13) By Theorem 2, we could also have obtained the expression of  $f$  in Example 10 in 'conjunctive normal form' (CNF). Please do so.

**An important remark:** To get a Boolean expression for a Boolean function  $h$  (say), we should first see how many points  $v_i$  there are at which  $h(v_i) = 0$ , and how many points  $v_i$  there are at which  $h(v_i) = 1$ . **If the number of values for which the function  $h$  is 0 is less than the number of values at which  $h$  is 1, then we shall choose to obtain the expression in CNF, and not in DNF.** This will give us a shorter Boolean expression, and hence, a simpler circuit. For similar reasons, we will prefer DNF if the number of values at which  $h$  is 0 is more.

Why don't you apply this remark now?

E14) Find the Boolean expressions, in DNF or in CNF (keeping in mind the remark made above), for the functions defined in tabular form below.

$x_1$	$x_2$	$x_3$	$f(x_1, x_2, x_3)$
1	1	1	1
1	1	0	0
1	0	1	0
1	0	0	1
0	1	1	0
0	1	0	0
0	0	1	0
0	0	0	1

(a)

$x_1$	$x_2$	$x_3$	$g(x_1, x_2, x_3)$
1	1	1	1
1	1	0	1
1	0	1	0
1	0	0	1
0	1	1	0
0	1	0	0
0	0	1	1
0	0	0	1

(b)

Boolean functions tell us about the functioning of the corresponding circuit.

Therefore, circuits represented by two equivalent expressions should essentially do the same job. We use this fact while redesigning a circuit to create a simpler one. In fact, in such a simplification process of a circuit, we write an expression for the circuit and then evaluate the same (over two-element Boolean algebra  $\mathcal{B}$ ) to get the Boolean function. Next, we proceed to get an equivalent, simpler expression. Finally, the process terminates with the construction of the circuit for this simpler expression.

Note that, **as the two expressions are equivalent, the circuit represented by the simpler expression will do exactly the same job as the circuit represented by the original expression.**

Let us illustrate this process by an example in some detail.

**Example 11:** Design a logic circuit capable of operating a central light bulb in a hall by three switches  $x_1, x_2, x_3$  (say) placed at the three entrances to that hall.

**Solution:** Let us consider the procedure stepwise.

**Step 1:** To obtain the function corresponding to the unspecified circuit.

To start with, we may assume that the bulb is off when all the switches are off. Mathematically, this demands a situation where  $x_1 = x_2 = x_3 = 0$  implies  $f(0, 0, 0) = 0$ , where  $f$  is the function which depicts the functional utility of the circuit to be designed.

Let us now see how to obtain the other values of  $f$ . Note that every change in the state of a switch should alternately put the light bulb on or off. Using this fact repeatedly, we obtain the other values of the function  $f$ .

Now, if we assign the value (1,0,0) to  $(x_1, x_2, x_3)$ , it brings a single change in the state of the switch  $x_1$  only. So, the light bulb must be on. This can be written mathematically in the form  $f(1, 0, 0) = 1$ . Here the value 1 of  $f$  stands for the on state of the light bulb.

Then, we must have  $f(1, 1, 0) = 0$ , because there is yet another change, now in the state of switch  $x_2$ .

You can verify that the other values of  $f(x_1, x_2, x_3)$  are given as in Table 9.

**Table 9: Function of a circuitry for a three-point functional bulb.**

$x_1$	$x_2$	$x_3$	$f(x_1, x_2, x_3)$
0	0	0	0
1	0	0	1
1	1	0	0
1	1	1	1
0	1	0	1
0	1	1	0
0	0	1	1
1	0	1	0

**Step 2: To obtain a Boolean expression which will specify the function  $f$ .** Firstly, note that the number of 1's in the last column of Table 9 are fewer than the number of 0's. So we shall obtain the expression in DNF (instead of CNF).

By following the stepwise procedure of Example 10, you can see that the required Boolean expression is given by

$$X(x_1, x_2, x_3) = (x_1 \wedge x'_2 \wedge x_3) \vee (x'_1 \wedge x_2 \wedge x'_3) \vee (x'_1 \wedge x'_2 \wedge x_3) \vee (x_1 \wedge x_2 \wedge x_3)$$

At this stage we can directly jump into the construction of the circuit for this expression (using methods discussed in Sec.3.3). But why not try to get a simpler circuit?

**Step 3 : To simplify the expression  $X(x_1, x_2, x_3)$  given above.** Firstly, observe that

$$\begin{aligned} (x_1 \wedge x'_2 \wedge x_3) \vee (x_1 \wedge x_2 \wedge x_3) &= x_1 \wedge [(x'_2 \wedge x_3) \vee (x_2 \wedge x_3)] \\ &= x_1 \wedge [(x'_2 \vee x_2) \wedge x_3] \\ &= x_1 \wedge (1 \wedge x_3) \\ &= x_1 \wedge x_3, \end{aligned}$$

by using distributive, complementation and identity laws (in that order). Similarly, you can see that

$$(x'_1 \wedge x'_2 \wedge x_3) \vee (x_1 \wedge x_3) = (x'_2 \vee x_1) \wedge x_3.$$

We thus have obtained a simpler (and equivalent) expression, namely,

$$X(x_1, x_2, x_3) = (x'_1 \wedge x_2 \wedge x'_3) \vee [(x'_2 \vee x_1) \wedge x_3],$$

whose Boolean function is same as the function  $f$ . (Verify this!)

**Step 4: To design a circuit for the expression obtained in Step 3.**

Now, the logic circuit corresponding to the simpler (and equivalent) expression

obtained in Step 3 is as shown in Fig.15.

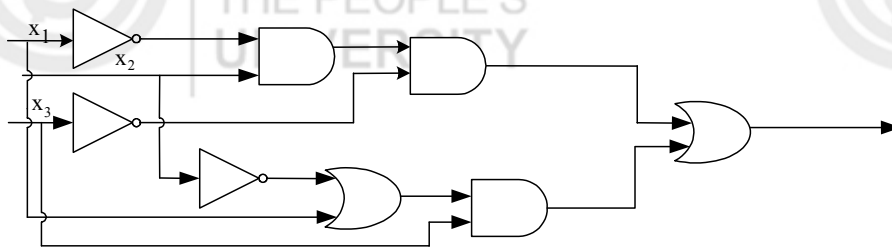


Fig. 15: A circuit for the expression  $(x_1' \wedge x_2 \wedge x_3') \vee ((x_2' \vee x_1) \wedge x_3)$

So, in 4 steps we have designed a 3-switch circuit for the hall.

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We can't claim that the circuit designed in the example above is the simplest circuit. How to get that is a different story and is beyond the scope of the present course.

Why don't you try an exercise now?

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E15) Design a logic circuit to operate a light bulb by two switches,  $x_1$  and  $x_2$  (say).

---

We have now come to the end of our discussion on applications of logic. Let us briefly recapitulate what we have discussed here.

### 3.5 SUMMARY

In this unit, we have considered the following points.

1. The definition and examples of a Boolean algebra. In particular, we have discussed the two-element Boolean algebra  $\mathcal{B} = \{0, 1\}$ , and the switching algebras  $\mathcal{B}_n$ ,  $n \geq 2$ .
2. The definition and examples of a Boolean expression.
3. The three elementary logic gates, namely, **AND**-gate, **OR**-gate and **NOT**-gate; and the analogy between their functioning and operations of logical connectives.
4. The method of construction of a logic circuit corresponding to a given Boolean expression, and vice-versa.
5. How to obtain the logic table of a Boolean expression, and its utility in the understanding of the overall functioning of a circuit.
6. The method of simplifying a Boolean expression.
7. The method of construction of a Boolean function  $f: \mathcal{B}^n \rightarrow \mathcal{B}$ , corresponding to a Boolean expression, and the concept of **equivalent** Boolean expressions.
8. Examples of the use of Boolean algebra techniques for constructing a logic circuit which can function in a specified manner.

### 3.6 SOLUTIONS/ ANSWERS

- E1) a) In E19 of Unit 1, you have already verified the Identity laws. Let us proceed to show that the propositions  $p \vee (p \wedge q)$  and  $p$  are logically equivalent. It suffices to show that the truth tables of both these propositions are the same. This follows from the first and last columns of the following table.

p	q	$p \wedge q$	$p \vee (p \wedge q)$
F	F	F	F
F	T	F	F
T	F	F	T
T	T	T	T

Similarly, you can see that the propositions  $p \wedge (p \vee q)$  and  $p$  are equivalent propositions. This establishes the absorption laws for the Boolean algebra  $(S, \wedge, \vee, ', T, F)$ .

- b) Let  $A$  and  $B$  be two subsets of the set  $X$ . Since  $A \cap B \subseteq A$ ,  $(A \cap B) \cup A = A$ . Similarly, as  $A \subseteq A \cup B$ , we have  $(A \cup B) \cap A = A$ . Thus, both the forms of the absorption laws hold good for the Boolean algebra  $(\mathcal{P}(X), \cup, \cap, ^c, X, \emptyset)$ .

- E2) We can write

$$\begin{aligned} X(x_1, x_2, x_3) &= ((x_1 \wedge x_2) \vee ((x_1 \wedge x_2) \wedge x_3)) \vee (x_2 \wedge x_3) \\ &= (x_1 \wedge x_2) \vee (x_2 \wedge x_3) && \text{(by Absorption law)} \\ &= x_2 \wedge (x_1 \vee x_3) && \text{(by Distributive law)} \end{aligned}$$

This is the simplest form of the given expression.

- E3) Take the propositions  $p$  and  $q$  in place of the bits  $x_1$  and  $x_2$ , respectively. Then, when 1 and 0 are replaced by T and F in Table 3 here, we get the truth table for the proposition  $p \wedge q$  (see Table 2 of Unit 1). This establishes the analogy between the functioning of the **AND**-gate and the conjunction operation on the set of propositions.

- E4) Take the propositions  $p$  and  $q$  in place of the bits  $x_1$  and  $x_2$ , respectively. Then, when 1 and 0 are replaced by T and F in Table 4 here, we get the truth table for the proposition  $p \vee q$  (see Table 1 of Unit 1). This establishes the analogy between the functioning of the **OR**-gate and the disjunction operation on the set of propositions.

- E5) Firstly, observe that the information about the outputs of the three elementary gates, for different values of inputs, can also be written as follows:

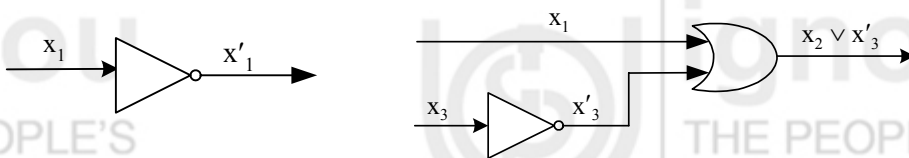
$$\begin{aligned} 0 \wedge 0 &= 0 \wedge 1 = 1 \wedge 0 = 0, 1 \wedge 1 = 1; && \text{(see Table 3)} \\ 0 \vee 0 &= 0, 0 \vee 1 = 1 \vee 0 = 1 \vee 1 = 1; && \text{and (see Table 4)} \\ 0' &= 1, 1' = 0. && \text{(see Table 5)} \end{aligned}$$

Clearly, then both the operations  $\wedge$  and  $\vee$  are the binary operations on  $\mathcal{B}$  and  $'$ :  $\mathcal{B} \rightarrow \mathcal{B}$  is a unary operation. Also, we may take 0 for **O** and 1 for **I** in the definition of a Boolean algebra.

Now, by looking at the logic tables of the three elementary gates, you can see that all the five laws B1-B5 are satisfied. Thus,  $\mathcal{B}$  is a Boolean algebra.

- E6) a) Here  $x_1$  and  $x_2$  are inputs to an **OR**-gate, and so, we take  $x_1 \vee x_2$  as input to the **NOT**-gate next in the chain which, in turn, yields  $(x_1 \vee x_2)'$  as the required output expression for the circuit given in (a).  
 b) Here  $x_1$  and  $x_2$  are the inputs to an **AND**-gate. So, the expression  $x_1 \wedge x_2$  serves as an input to the **NOT**-gate, being next in the chain. This gives the expression  $(x_1 \wedge x_2)'$  which serves as one input to the extreme right **AND**-gate. Also, since  $x_3$  is another input to this **AND**-gate (coming out of a **NOT**-gate), we get the expression  $(x_1 \wedge x_2)' \wedge x_3$  as the final output expression which represents the circuit given in (b).

- E7) You know that the circuit representing expressions  $x_1$  and  $x_2 \vee x_3$  are as shown in Fig.16 (a) and (b) below.



(a) (b)

Fig. 16

Thus, the expression  $x'_1 \vee (x_2 \vee x'_3)$ , being connected by the symbol  $\wedge$ , gives the circuit corresponding to it as given in Fig.17 below.

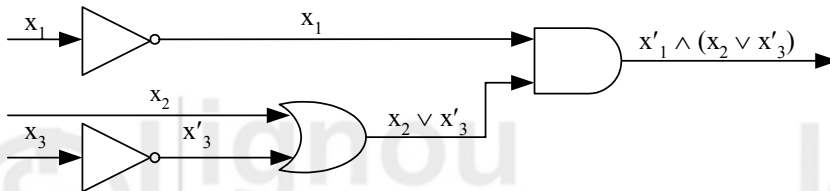


Fig. 17: A logic circuit for the expression  $x'_1 \wedge (x_2 \vee x'_3)$

E8) You can easily see, by following the arguments given in E9, that the circuit represented by the expression  $x_1 \vee (x'_2 \wedge x_3)$  is as given in Fig.18.

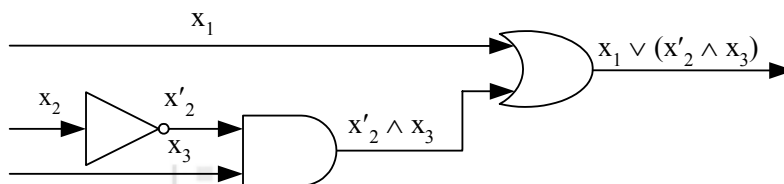


Fig. 18

The logic table of this expression is as given below.

$x_1$	$x_2$	$x_3$	$x'_2$	$x'_2 \wedge x_3$	$x_1 \vee (x'_2 \wedge x_3)$
0	0	0	1	0	0
0	0	1	1	1	1
0	1	0	0	0	0
1	0	0	1	0	1
0	1	1	0	0	0
1	1	0	0	0	1
1	0	1	1	1	1
1	1	1	0	0	1

E9) Since the output expression representing the circuit given in E8(b) is found to be  $(x_1 \wedge x_2)' \wedge x'_3$ , the logic table for this circuit is as given below.

$x_1$	$x_2$	$x_3$	$x_1 \wedge x_2$	$(x_1 \wedge x_2)'$	$x'_3$	$(x_1 \wedge x_2)' \wedge x'_3$
0	0	0	0	1	1	1
0	0	1	0	1	0	0
0	1	0	0	1	1	1
1	0	0	0	1	1	1
0	1	1	0	1	0	0
1	1	0	1	0	1	0
1	0	1	0	1	0	0
1	1	1	1	0	0	0

E10) Because the expression  $(x_1 \wedge x_2) \vee (x_1 \wedge x'_3)$  involves three variables, the

corresponding Boolean function,  $f$  (say) is a three variable function, i.e.  $f: B_3 \rightarrow B$ . It is defined by

$$f(e_1, e_2, e_3) = (e_1 \wedge e_2) \vee (e_1 \wedge e'_3), e_1, e_2 \text{ and } e_3 \in B.$$

Now, you can verify that the values of  $f$  in tabular form are as given in the following table.

$e_1$	$e_2$	$e_3$	$e_1 \wedge e_2$	$e'_3$	$e_1 \wedge e'_3$	$f(e_1, e_2, e_3) = (e_1 \wedge e_2) \vee (e_1 \wedge e'_3)$
0	0	0	0	1	0	0
0	0	1	0	0	0	0
0	1	0	0	1	0	0
1	0	0	0	1	1	1
0	1	1	0	0	0	0
1	1	0	1	1	1	1
1	0	1	0	0	0	0
1	1	1	1	0	0	1

E11)

To show that the Boolean expressions  $X$  and  $Y$  are equivalent over the two-element Boolean algebra  $B = \{0, 1\}$ , it suffices to show that the Boolean functions  $f$  and  $g$  (say) corresponding to the expressions  $X$  and  $Y$ , respectively, are the same. As you can see, the function  $f$  for the expression  $X$  is calculated in E10 above.

Similarly, you can see that the Boolean function  $g$  for the expression  $Y$  in tabular form is as given below.

$x_1$	$x_2$	$x_3$	$x'_3$	$x_2 \vee x'_3$	$G(x_1, x_2, x_3) = X_1 \wedge (x_2 \vee x'_3)$
0	0	0	1	1	0
0	0	1	0	0	0
0	1	0	1	1	0
1	0	0	1	1	1
0	1	1	0	1	0
1	1	0	1	1	1
1	0	1	0	0	0
1	1	1	0	1	1

Comparing the last columns of this table and the one given in E10 above, you can see that  $f(e_1, e_2, e_3) = g(e_1, e_2, e_3) \forall e_1, e_2, e_3 \in B = \{0, 1\}$ . Thus,  $X$  and  $Y$  are equivalent.

E12) Firstly, let us evaluate the given expression  $X(x_1, x_2)$  over the two-element Boolean algebra  $B = \{0, 1\}$  as follows:

$$\begin{aligned} X(0, 0) &= (0' \wedge 0') \vee (0' \wedge 0) \vee (0 \wedge 0) \\ &= (1 \wedge 1) \vee (1 \wedge 0) \vee (0 \wedge 0) \\ &= 1 \vee 0 \vee 0 = 1 = f(0, 0); \\ X(1, 0) &= (1' \wedge 0') \vee (1' \wedge 0) \vee (1 \wedge 0) \\ &= (0 \wedge 1) \vee (0 \wedge 0) \vee (1 \wedge 0) \\ &= 0 \vee 0 \vee 0 = 0 = f(1, 0); \end{aligned}$$

$$\begin{aligned} X(0, 1) &= (0' \wedge 1') \vee (0' \wedge 1) \vee (0 \wedge 1) \\ &= (1 \wedge 0) \vee (1 \wedge 1) \vee (0 \wedge 1) \\ &= 0 \vee 1 \vee 0 = 1 = f(0, 1); \end{aligned}$$

$$\begin{aligned} X(1, 1) &= (1' \wedge 1') \vee (1' \wedge 1) \vee (1 \wedge 1) \\ &= (0 \wedge 0) \vee (0 \wedge 1) \vee (1 \wedge 1) \end{aligned}$$

$$= 0 \vee 0 \vee 1 = 1 = f(1, 1).$$

It thus follows that  $X(e_1, e_2) = f(e_1, e_2) \forall e_1, e_2 \in B = \{0, 1\}$ .

E13) **Step 1:** Identify all rows of the table where output is 0: This is the 2nd row.

**Step 2:** Combine  $x_1$  and  $x_2$  with 'or' in these rows, simultaneously applying 'not' to  $x_1$  if its value is 0 in the row: So, for the 2nd row the expression we have is  $x_1 \vee x_2$ .

**Step 3:** Combine all the expressions obtained in Step 2 with 'and' to get the CNF form representing  $f$ . In this case there is only 1 expression. So  $f$  is represented by  $x_1 \vee x_2$  in CNF.

E14) a) Observe from the given table that, among the two values 0 and 1 of the function  $f(x_1, x_2, x_3)$ , the value 1 occurs the least number of times. Therefore, by the remark made after E 13, we would prefer to obtain the Boolean expression in DNF. To get this we will use the stepwise procedure adopted in Example 10.

Accordingly, the required Boolean expression in DNF is given by

$$X(x_1, x_2, x_3) = (x_1 \wedge x_2 \wedge x_3) \vee (x_1 \wedge x_2' \wedge x_3') \vee (x_1' \wedge x_2' \wedge x_3').$$

b) By the given table, among the two values 0 and 1 of the function the points  $v_i$  at which  $g(v_i) = 0$  are fewer than the points  $v_i$  at which  $g(v_i) = 1$ . So we would prefer to obtain the corresponding Boolean expression in CNF.

Applying the stepwise procedure in the solution to E13, the required Boolean expression (in CNF) is given by

$$X(x_1, x_2, x_3) = (x_1' \vee x_2 \vee x_3') \wedge (x_1 \vee x_2' \vee x_3') \wedge (x_1 \vee x_2' \vee x_3).$$

E15) Let  $g$  denote the function which depicts the functional utility of the circuit to be designed. We may assume that the light bulb is off when both the switches  $x_1$  and  $x_2$  are off, i.e., we write  $g(0, 0) = 0$ .

Now, by arguments used while calculating the entries of Table 9, you can easily see that all the values of the function  $g$  are as given below:

$$g(0, 0) = 0, g(0, 1) = 1, g(1, 0) = 1, g(1, 1) = 0.$$

Thus, proceeding as in the previous exercise, it can be seen that the Boolean expression (in DNF), which yields  $g$  as its Boolean function, is given by the expression

$$X(x_1, x_2) = (x_1' \wedge x_2) \vee (x_1 \wedge x_2'),$$

because  $g(0, 1) = 1$  and  $g(1, 0) = 1$ .

Finally, the logic circuit corresponding to this Boolean expression is shown in Fig. 19.

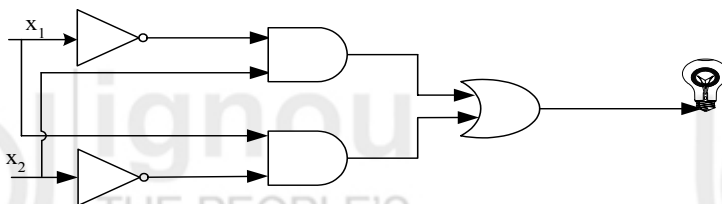


Fig. 19