# Spring for Apache Kafka

Gary Russell, Artem Bilan, Biju Kunjummen, Jay Bryant

Version 2.3.1.RELEASE

# **Table of Contents**

1.	Preface	2	)
2.	What's new?	3	3
	2.1. What's New in 2.3 Since 2.2	3	3
	2.1.1. Tips, Tricks and Examples.	3	3
	2.1.2. Kafka Client Version	3	3
	2.1.3. Class/Package Changes	3	3
	2.1.4. Producer and Consumer Factory Changes	3	3
	2.1.5. Listener Container Changes	3	3
	2.1.6. ErrorHandler Changes	4	ŀ
	2.1.7. TopicBuilder	4	ŀ
	2.1.8. Kafka Streams Changes	4	Į
	2.1.9. JSON Component Changes	5	5
	2.1.10. ReplyingKafkaTemplate	5	5
	2.1.11. AggregatingReplyingKafkaTemplate	5	5
	2.1.12. Transaction Changes	5	5
	2.1.13. New Delegating Serializer/Deserializer	6	3
	2.1.14. New Retrying Deserializer	6	3
	2.1.15. New function for recovering from deserializing errors	6	3
	2.1.16. EmbeddedKafkaBroker Changes	6	;
	2.1.17. ReplyingKafkaTemplate Changes	6	;
	2.1.18. Header Mapper Changes	6	)
3.	Introduction	7	7
	3.1. Quick Tour for the Impatient	7	7
	3.1.1. Compatibility	7	7
	3.1.2. A Very, Very Quick Example	7	7
	3.1.3. With Java Configuration	. 10	)
	3.1.4. Even Quicker, with Spring Boot	. 12	)
4.	Reference	. 14	Į
	4.1. Using Spring for Apache Kafka	. 14	Į
	4.1.1. Configuring Topics	. 14	Į
	4.1.2. Sending Messages	. 16	)
	Using KafkaTemplate	. 16	)
	Using DefaultKafkaProducerFactory	. 20	)
	Using ReplyingKafkaTemplate	. 21	L
	Aggregating Multiple Replies	. 26	)
	4.1.3. Receiving Messages	28	3
	Message Listeners	28	3
	Message Listener Containers	30	)

	@KatkaListener Annotation	. 35
	Obtaining the Consumer group.id.	43
	Container Thread Naming	43
	@KafkaListener as a Meta Annotation.	44
	@KafkaListener on a Class	45
	@KafkaListener Lifecycle Management	45
	@KafkaListener @Payload Validation	46
	Rebalancing Listeners	48
	Forwarding Listener Results using @SendTo	50
	Filtering Messages	. 54
	Retrying Deliveries	. 55
	Stateful Retry	. 55
	Listener Consumer Lifecycle Events	56
	Detecting Idle and Non-Responsive Consumers	. 57
	Topic/Partition Initial Offset	. 59
	Seeking to a Specific Offset	60
	Container factory	64
	Thread Safety	65
	Monitoring Listener Performance	65
4.	1.4. Transactions	66
	Overview	66
	Using KafkaTransactionManager	66
	Transactional Listener Container and Exactly Once Processing	67
	Transaction Synchronization	
	Using ChainedKafkaTransactionManager	68
	KafkaTemplate Local Transactions	68
	transactionIdPrefix	69
4.	1.5. Wiring Spring Beans into Producer/Consumer Interceptors	69
4.	1.6. Pausing and Resuming Listener Containers.	. 73
4.	1.7. Events	. 76
4.	1.8. Serialization, Deserialization, and Message Conversion	. 77
	Overview	. 77
	JSON	. 78
	Mapping Types.	
	Delegating Serializer and Deserializer	82
	Retrying Deserializer	
	Spring Messaging Message Conversion	
	Using ErrorHandlingDeserializer	
	Payload Conversion with Batch Listeners	
	ConversionService Customization	
4.	1.9. Message Headers	. 88

4.1.10. Null Payloads and Log Compaction of 'Tombstone' Records	92
4.1.11. Handling Exceptions	93
Listener Error Handlers	93
Container Error Handlers	95
Consumer-Aware Container Error Handlers	96
Seek To Current Container Error Handlers	96
Container Stopping Error Handlers	99
After-rollback Processor	100
Publishing Dead-letter Records	101
4.1.12. Kerberos	103
4.2. Kafka Streams Support	103
4.2.1. Basics	104
4.2.2. Spring Management	104
4.2.3. Streams JSON Serialization and Deserialization	106
4.2.4. Using KafkaStreamsBrancher	107
4.2.5. Configuration.	107
4.2.6. Header Enricher	108
4.2.7. MessagingTransformer	108
4.2.8. Recovery from Deserialization Exceptions	109
4.2.9. Kafka Streams Example	110
4.3. Testing Applications	112
4.3.1. JUnit	112
4.3.2. Configuring Topics	114
4.3.3. Using the Same Brokers for Multiple Test Classes	115
4.3.4. @EmbeddedKafka Annotation	116
4.3.5. @EmbeddedKafka Annotation with JUnit5	119
4.3.6. Embedded Broker in @SpringBootTest Annotations	120
JUnit4 Class Rule	120
@EmbeddedKafka Annotation or EmbeddedKafkaBroker Bean	121
4.3.7. Hamcrest Matchers	122
4.3.8. AssertJ Conditions.	123
4.3.9. Example	123
5. Tips, Tricks and Examples	126
5.1. Manually Assigning All Partitions	126
5.2. Example of Transaction Synchronization	127
6. Spring Integration	131
6.1. Spring Integration for Apache Kafka	131
6.1.1. Overview.	131
6.1.2. What's new in Spring Integration for Apache Kafka (version 3.2)	131
6.1.3. Outbound Channel Adapter	131
Java Configuration	133

	Java DSL Configuration	. 133
	XML Configuration	. 134
	6.1.4. Message-driven Channel Adapter	. 135
	Java Configuration	. 136
	Java DSL Configuration	. 136
	XML Configuration	. 138
	6.1.5. Inbound Channel Adapter	. 138
	Java Configuration	. 139
	Java DSL Configuration	. 139
	XML Configuration	. 139
	6.1.6. Outbound Gateway.	. 140
	Java Configuration	. 140
	Java DSL Configuration	. 141
	XML Configuration	. 141
	6.1.7. Inbound Gateway	. 142
	XML Configuration	. 143
	6.1.8. Message Conversion	. 144
	6.1.9. Null Payloads and Log Compaction 'Tombstone' Records	. 145
	6.1.10. Calling a Spring Integration flow from a KStream	. 145
	6.1.11. What's New in Spring Integration for Apache Kafka	. 146
	3.2.x	. 146
	3.1.x	. 147
	3.0.x	. 147
	2.3.x	. 147
	2.2.x	. 147
	2.1.x	. 147
	2.0.x	. 147
7. 0	Other Resources	. 148
Ap	pendix A: Change History	. 149
A	A.1. Changes between 2.1 and 2.2	. 149
	A.1.1. Kafka Client Version	. 149
	A.1.2. Class and Package Changes	. 149
	A.1.3. After Rollback Processing.	. 149
	A.1.4. ConcurrentKafkaListenerContainerFactory Changes	. 149
	A.1.5. Listener Container Changes.	. 149
	A.1.6. @KafkaListener Changes	. 150
	A.1.7. Header Mapping Changes	. 150
	A.1.8. Embedded Kafka Changes	. 150
	A.1.9. JsonSerializer/Deserializer Enhancements	. 150
	A.1.10. Kafka Streams Changes	. 151
	A.1.11. Transactional ID	. 151

A.2. Changes between 2.0 and 2.1	
A.2.1. Kafka Client Version	
A.2.2. JSON Improvements	
A.2.3. Container Stopping Error Handlers	
A.2.4. Pausing and Resuming Containers	
A.2.5. Stateful Retry.	
A.2.6. Client ID	
A.2.7. Logging Offset Commits	
A.2.8. Default @KafkaHandler	
A.2.9. ReplyingKafkaTemplate	
A.2.10. ChainedKafkaTransactionManager	
A.2.11. Migration Guide from 2.0.	
A.3. Changes Between 1.3 and 2.0	
A.3.1. Spring Framework and Java Versions	
A.3.2. @KafkaListener Changes	
A.3.3. Message Listeners	
A.3.4. Using ConsumerAwareRebalanceListener	
A.4. Changes Between 1.2 and 1.3	
A.4.1. Support for Transactions	
A.4.2. Support for Headers	
A.4.3. Creating Topics	
A.4.4. Support for Kafka Timestamps	
A.4.5. @KafkaListener Changes	
A.4.6. @EmbeddedKafka Annotation.	
A.4.7. Kerberos Configuration	
A.5. Changes between 1.1 and 1.2	
A.6. Changes between 1.0 and 1.1	
A.6.1. Kafka Client	
A.6.2. Batch Listeners	
A.6.3. Null Payloads	
A.6.4. Initial Offset	
A.6.5. Seek	

# $\ @\ 2016$ - 2019 by Pivotal Software, Inc.

Copies of this document may be made for your own use and for distribution to others, provided that you do not charge any fee for such copies and further provided that each copy contains this Copyright Notice, whether distributed in print or electronically.

# Chapter 1. Preface

The Spring for Apache Kafka project applies core Spring concepts to the development of Kafka-based messaging solutions. We provide a "template" as a high-level abstraction for sending messages. We also provide support for Message-driven POJOs.

# Chapter 2. What's new?

### 2.1. What's New in 2.3 Since 2.2

This section covers the changes made from version 2.2 to version 2.3.

Also see What's new in Spring Integration for Apache Kafka (version 3.2).

### 2.1.1. Tips, Tricks and Examples

A new chapter Tips, Tricks and Examples has been added. Please submit GitHub issues and/or pull requests for additional entries in that chapter.

### 2.1.2. Kafka Client Version

This version requires the 2.3.0 kafka-clients or higher.

### 2.1.3. Class/Package Changes

TopicPartitionInitialOffset is deprecated in favor of TopicPartitionOffset.

### 2.1.4. Producer and Consumer Factory Changes

The DefaultKafkaProducerFactory can now be configured to create a producer per thread. You can also provide Supplier<Serializer> instances in the constructor as an alternative to either configured classes (which require no-arg constructors), or constructing with Serializer instances, which are then shared between all Producers. See Using DefaultKafkaProducerFactory for more information.

The same option is available with Supplier<Description: instances in DefaultKafkaConsumerFactory. See Using KafkaMessageListenerContainer for more information.

## 2.1.5. Listener Container Changes

Previously, error handlers received ListenerExecutionFailedException (with the actual listener exception as the cause) when the listener was invoked using a listener adapter (such as <code>@KafkaListener</code> s). Exceptions thrown by native <code>GenericMessageListener</code> s were passed to the error handler unchanged. Now a <code>ListenerExecutionFailedException</code> is always the argument (with the actual listener exception as the <code>cause</code>), which provides access to the container's <code>group.id</code> property.

Because the listener container has it's own mechanism for committing offsets, it prefers the Kafka ConsumerConfig.ENABLE\_AUTO\_COMMIT\_CONFIG to be false. It now sets it to false automatically unless specifically set in the consumer factory or the container's consumer property overrides.

The ackOnError property is now false by default. See Seek To Current Container Error Handlers for more information.

It is now possible to obtain the consumer's group.id property in the listener method. See Obtaining

the Consumer group.id for more information.

The container has a new property recordInterceptor allowing records to be inspected or modified before invoking the listener. A CompositeRecordInterceptor is also provided in case you need to invoke multiple interceptors. See Message Listener Containers for more information.

The ConsumerSeekAware has new methods allowing you to perform seeks relative to the beginning, end, or current position and to seek to the first offset greater than or equal to a time stamp. See Seeking to a Specific Offset for more information.

A convenience class AbstractConsumerSeekAware is now provided to simplify seeking. See Seeking to a Specific Offset for more information.

The ContainerProperties provides an idleBetweenPolls option to let the main loop in the listener container to sleep between KafkaConsumer.poll() calls. See its JavaDocs and Using KafkaMessageListenerContainer for more information.

When using AckMode.MANUAL (or MANUAL\_IMMEDIATE) you can now cause a redelivery by calling nack on the Acknowledgment. See Committing Offsets for more information.

Listener performance can now be monitored using Micrometer Timer s. See Monitoring Listener Performance for more information.

The containers now publish additional consumer lifecyle events relating to startup. See Events for more information.

### 2.1.6. ErrorHandler Changes

The SeekToCurrentErrorHandler now treats certain exceptions as fatal and disables retry for those, invoking the recoverer on first failure.

The SeekToCurrentErrorHandler and SeekToCurrentBatchErrorHandler can now be configured to apply a BackOff (thread sleep) between delivery attempts.

See Seek To Current Container Error Handlers for more information.

The DeadLetterPublishingRecoverer, when used in conjunction with an ErrorHandlingDeserializer2, now sets the payload of the message sent to the dead-letter topic, to the original value that could not be deserialized. Previously, it was null and user code needed to extract the DeserializationException from the message headers. See Publishing Dead-letter Records for more information.

## 2.1.7. TopicBuilder

A new class TopicBuilder is provided for more convenient creation of NewTopic @Bean s for automatic topic provisioning. See Configuring Topics for more information.

# 2.1.8. Kafka Streams Changes

You can now perform additional configuration of the StreamsBuilderFactoryBean created by

@EnableKafkaStreams. See Streams Configuration for more information.

A RecoveringDeserializationExceptionHandler is now provided which allows records with deserialization errors to be recovered. It can be used in conjunction with a DeadLetterPublishingRecoverer to send these records to a dead-letter topic. See Recovery from Deserialization Exceptions for more information.

The HeaderEnricher transformer has been provided, using SpEL to generate the header values. See Header Enricher for more information.

The MessagingTransformer has been provided. This allows a Kafka streams topology to interact with a spring-messaging component, such as a Spring Integration flow. See MessagingTransformer and Calling a Spring Integration flow from a KStream for more information.

### 2.1.9. JSON Component Changes

Now all the JSON-aware components are configured by default with a Jackson ObjectMapper produced by the JacksonUtils.enhancedObjectMapper(). The JsonDeserializer now provides TypeReference-based constructors for better handling of target generic container types. Also a JacksonMimeTypeModule has been introduced for serialization of org.springframework.util.MimeType to plain string. See its JavaDocs and Serialization, Deserialization, and Message Conversion for more information.

A ByteArrayJsonMessageConverter has been provided as well as a new super class for all Json converters, JsonMessageConverter. Also, a StringOrBytesSerializer is now available; it can serialize byte[], Bytes and String values in ProducerRecord s. See Spring Messaging Message Conversion for more information.

The JsonSerializer, JsonDeserializer and JsonSerde now have fluent APIs to make programmatic configuration simpler. See the javadocs, Serialization, Deserialization, and Message Conversion, and Streams JSON Serialization and Deserialization for more information.

# 2.1.10. ReplyingKafkaTemplate

When a reply times out, the future is completed exceptionally with a KafkaReplyTimeoutException instead of a KafkaException.

Also, an overloaded sendAndReceive method is now provided that allows specifying the reply timeout on a per message basis.

# ${\bf 2.1.11.\ Aggregating Replying Kafka Template}$

Extends the ReplyingKafkaTemplate by aggregating replies from multiple receivers. See Aggregating Multiple Replies for more information.

# 2.1.12. Transaction Changes

You can now override the producer factory's transactionIdPrefix on the KafkaTemplate and KafkaTransactionManager. See transactionIdPrefix for more information.

### 2.1.13. New Delegating Serializer/Deserializer

The framework now provides a delegating Serializer and Deserializer, utilizing a header to enable producing and consuming records with multiple key/value types. See Delegating Serializer and Deserializer for more information.

### 2.1.14. New Retrying Deserializer

The framework now provides a delegating RetryingDeserializer, to retry serialization when transient errors such as network problems might occur. See Retrying Deserializer for more information.

### 2.1.15. New function for recovering from deserializing errors

ErrorHandlingDeserializer2 now uses a POJO (FailedDeserializationInfo) for passing all the contextual information around a deserialization error. This enables the code to access to extra information that was missing in the old BiFunction<br/>
byte[], Headers, T> failedDeserializationFunction.

### 2.1.16. EmbeddedKafkaBroker Changes

You can now override the default broker list property name in the annotation. See @EmbeddedKafka Annotation or EmbeddedKafkaBroker Bean for more information.

### 2.1.17. ReplyingKafkaTemplate Changes

You can now customize the header names for correlation, reply topic and reply partition. See <u>Using ReplyingKafkaTemplate</u> for more information.

# 2.1.18. Header Mapper Changes

The DefaultKafkaHeaderMapper no longer encodes simple String-valued headers as JSON. See [headermapping] for more information.

# Chapter 3. Introduction

This first part of the reference documentation is a high-level overview of Spring for Apache Kafka and the underlying concepts and some code snippets that can help you get up and running as quickly as possible.

# 3.1. Quick Tour for the Impatient

This is the five-minute tour to get started with Spring Kafka.

Prerequisites: You must install and run Apache Kafka. Then you must grab the spring-kafka JAR and all of its dependencies. The easiest way to do that is to declare a dependency in your build tool. The following example shows how to do so with Maven:

```
<dependency>
  <groupId>org.springframework.kafka</groupId>
  <artifactId>spring-kafka</artifactId>
  <version>2.3.1.RELEASE</version>
  </dependency>
```

The following example shows how to do so with Gradle:

```
compile 'org.springframework.kafka:spring-kafka:2.3.1.RELEASE'
```

# 3.1.1. Compatibility

This quick tour works with the following versions:

- Apache Kafka Clients 2.2.0
- Spring Framework 5.2.x
- Minimum Java version: 8

# 3.1.2. A Very, Very Quick Example

As the following example shows, you can use plain Java to send and receive a message:

```
@Test
public void testAutoCommit() throws Exception {
    logger.info("Start auto");
    ContainerProperties containerProps = new ContainerProperties("topic1",
"topic2");
    final CountDownLatch latch = new CountDownLatch(4);
    containerProps.setMessageListener(new MessageListener<Integer, String>() {
        @Override
        public void onMessage(ConsumerRecord<Integer, String> message) {
            logger.info("received: " + message);
            latch.countDown();
        }
    });
    KafkaMessageListenerContainer<Integer, String> container =
createContainer(containerProps);
    container.setBeanName("testAuto");
    container.start();
    Thread.sleep(1000); // wait a bit for the container to start
    KafkaTemplate<Integer, String> template = createTemplate();
    template.setDefaultTopic(topic1);
    template.sendDefault(0, "foo");
    template.sendDefault(2, "bar");
    template.sendDefault(0, "baz");
    template.sendDefault(2, "qux");
    template.flush();
    assertTrue(latch.await(60, TimeUnit.SECONDS));
    container.stop();
    logger.info("Stop auto");
}
```

8

```
private KafkaMessageListenerContainer<Integer, String> createContainer(
                        ContainerProperties containerProps) {
    Map<String, Object> props = consumerProps();
    DefaultKafkaConsumerFactory<Integer, String> cf =
                            new DefaultKafkaConsumerFactory<Integer,</pre>
String>(props);
    KafkaMessageListenerContainer<Integer, String> container =
                            new KafkaMessageListenerContainer<>(cf,
containerProps);
    return container;
}
private KafkaTemplate<Integer, String> createTemplate() {
    Map<String, Object> senderProps = senderProps();
    ProducerFactory<Integer, String> pf =
              new DefaultKafkaProducerFactory<Integer, String>(senderProps);
    KafkaTemplate<Integer, String> template = new KafkaTemplate<>(pf);
    return template;
}
private Map<String, Object> consumerProps() {
    Map<String, Object> props = new HashMap<>();
    props.put(ConsumerConfig.BOOTSTRAP SERVERS CONFIG, "localhost:9092");
    props.put(ConsumerConfig.GROUP_ID_CONFIG, group);
    props.put(ConsumerConfig.ENABLE_AUTO_COMMIT_CONFIG, true);
    props.put(ConsumerConfig.AUTO COMMIT INTERVAL MS CONFIG, "100");
    props.put(ConsumerConfig.SESSION_TIMEOUT_MS_CONFIG, "15000");
    props.put(ConsumerConfig.KEY_DESERIALIZER_CLASS_CONFIG,
IntegerDeserializer.class);
    props.put(ConsumerConfig.VALUE_DESERIALIZER_CLASS_CONFIG,
StringDeserializer.class);
    return props;
}
private Map<String, Object> senderProps() {
    Map<String, Object> props = new HashMap<>();
    props.put(ProducerConfig.BOOTSTRAP SERVERS CONFIG, "localhost:9092");
    props.put(ProducerConfig.RETRIES CONFIG, 0);
    props.put(ProducerConfig.BATCH_SIZE_CONFIG, 16384);
    props.put(ProducerConfig.LINGER MS CONFIG, 1);
    props.put(ProducerConfig.BUFFER MEMORY CONFIG, 33554432);
    props.put(ProducerConfig.KEY_SERIALIZER_CLASS_CONFIG,
IntegerSerializer.class);
    props.put(ProducerConfig.VALUE_SERIALIZER_CLASS_CONFIG,
StringSerializer.class);
    return props;
}
```

# 3.1.3. With Java Configuration

5.1.5. With Java Configuration
You can do the same work as appears in the previous example with Spring configuration in Java. The following example shows how to do so:

```
@Autowired
private Listener listener;
@Autowired
private KafkaTemplate<Integer, String> template;
public void testSimple() throws Exception {
    template.send("annotated1", 0, "foo");
    template.flush();
    assertTrue(this.listener.latch1.await(10, TimeUnit.SECONDS));
}
@Configuration
@EnableKafka
public class Config {
    @Bean
    ConcurrentKafkaListenerContainerFactory<Integer, String>
                        kafkaListenerContainerFactory() {
        ConcurrentKafkaListenerContainerFactory<Integer, String> factory =
                                new ConcurrentKafkaListenerContainerFactory<>();
        factory.setConsumerFactory(consumerFactory());
        return factory;
    }
    @Bean
    public ConsumerFactory<Integer, String> consumerFactory() {
        return new DefaultKafkaConsumerFactory<>(consumerConfigs());
    }
    @Bean
    public Map<String, Object> consumerConfigs() {
        Map<String, Object> props = new HashMap<>();
        props.put(ConsumerConfig.BOOTSTRAP_SERVERS_CONFIG,
embeddedKafka.getBrokersAsString());
        return props;
    }
    @Bean
    public Listener listener() {
        return new Listener();
    }
    @Bean
    public ProducerFactory<Integer, String> producerFactory() {
        return new DefaultKafkaProducerFactory<>(producerConfigs());
```

```
@Bean
    public Map<String, Object> producerConfigs() {
        Map<String, Object> props = new HashMap<>();
        props.put(ProducerConfig.BOOTSTRAP_SERVERS_CONFIG,
embeddedKafka.getBrokersAsString());
        return props;
    }
    @Bean
    public KafkaTemplate<Integer, String> kafkaTemplate() {
        return new KafkaTemplate<Integer, String>(producerFactory());
    }
}
public class Listener {
    private final CountDownLatch latch1 = new CountDownLatch(1);
    @KafkaListener(id = "foo", topics = "annotated1")
    public void listen1(String foo) {
        this.latch1.countDown();
    }
```

# 3.1.4. Even Quicker, with Spring Boot

Spring Boot can make things even simpler. The following Spring Boot application sends three messages to a topic, receives them, and stops:

}

```
@SpringBootApplication
public class Application implements CommandLineRunner {
    public static Logger logger = LoggerFactory.getLogger(Application.class);
    public static void main(String[] args) {
        SpringApplication.run(Application.class, args).close();
    @Autowired
    private KafkaTemplate<String, String> template;
    private final CountDownLatch latch = new CountDownLatch(3);
    @Override
    public void run(String... args) throws Exception {
        this.template.send("myTopic", "foo1");
        this.template.send("myTopic", "foo2");
        this.template.send("myTopic", "foo3");
        latch.await(60, TimeUnit.SECONDS);
        logger.info("All received");
    }
    @KafkaListener(topics = "myTopic")
    public void listen(ConsumerRecord<?, ?> cr) throws Exception {
        logger.info(cr.toString());
        latch.countDown();
    }
}
```

Boot takes care of most of the configuration. When we use a local broker, the only properties we need are the following:

### Example 1. application.properties

```
spring.kafka.consumer.group-id=foo
spring.kafka.consumer.auto-offset-reset=earliest
```

We need the first property because we are using group management to assign topic partitions to consumers, so we need a group. The second property ensures the new consumer group gets the messages we sent, because the container might start after the sends have completed.

# Chapter 4. Reference

This part of the reference documentation details the various components that comprise Spring for Apache Kafka. The main chapter covers the core classes to develop a Kafka application with Spring.

# 4.1. Using Spring for Apache Kafka

This section offers detailed explanations of the various concerns that impact using Spring for Apache Kafka. For a quick but less detailed introduction, see Quick Tour for the Impatient.

### 4.1.1. Configuring Topics

If you define a KafkaAdmin bean in your application context, it can automatically add topics to the broker. To do so, you can add a NewTopic @Bean for each topic to the application context. Version 2.3 introduced a new class TopicBuilder to make creation of such beans more convenient. The following example shows how to do so:

```
@Bean
public KafkaAdmin admin() {
    Map<String, Object> configs = new HashMap<>();
    configs.put(AdminClientConfig.BOOTSTRAP_SERVERS_CONFIG, ...);
    return new KafkaAdmin(configs);
}
@Bean
public NewTopic topic1() {
    return TopicBuilder.name("thing1")
            .partitions(10)
            .replicas(3)
            .compact()
            .build();
}
@Bean
public NewTopic topic2() {
    return TopicBuilder.name("thing2")
            .partitions(10)
            .replicas(3)
            .config(TopicConfig.COMPRESSION_TYPE_CONFIG, "zstd")
            .build();
}
@Bean
public NewTopic topic3() {
    return TopicBuilder.name("thing3")
            .assignReplicas(0, Arrays.asList(0, 1))
            .assignReplicas(1, Arrays.asList(1, 2))
            .assignReplicas(2, Arrays.asList(2, 0))
            .config(TopicConfig.COMPRESSION_TYPE_CONFIG, "zstd")
            .build();
}
```



When using Spring Boot, a KafkaAdmin bean is automatically registered so you only need the NewTopic @Bean s.

By default, if the broker is not available, a message is logged, but the context continues to load. You can programmatically invoke the admin's <a href="mailto:initialize">initialize</a>() method to try again later. If you wish this condition to be considered fatal, set the admin's <a href="fatalIfBrokerNotAvailable">fatalIfBrokerNotAvailable</a> property to <a href="mailto:true">true</a>. The context then fails to initialize.



If the broker supports it (1.0.0 or higher), the admin increases the number of partitions if it is found that an existing topic has fewer partitions than the NewTopic.numPartitions.

For more advanced features, you can use the AdminClient directly. The following example shows how to do so:

```
@Autowired
private KafkaAdmin admin;
...

AdminClient client = AdminClient.create(admin.getConfig());
...
client.close();
```

### 4.1.2. Sending Messages

This section covers how to send messages.

### Using KafkaTemplate

This section covers how to use KafkaTemplate to send messages.

#### Overview

The KafkaTemplate wraps a producer and provides convenience methods to send data to Kafka topics. The following listing shows the relevant methods from KafkaTemplate:

```
ListenableFuture<SendResult<K, V>> sendDefault(V data);
ListenableFuture<SendResult<K, V>> sendDefault(K key, V data);
ListenableFuture<SendResult<K, V>> sendDefault(Integer partition, K key, V data);
ListenableFuture<SendResult<K, V>> sendDefault(Integer partition, Long timestamp,
K key, V data);
ListenableFuture<SendResult<K, V>> send(String topic, V data);
ListenableFuture<SendResult<K, V>> send(String topic, K key, V data);
ListenableFuture<SendResult<K, V>> send(String topic, Integer partition, K key, V
data);
ListenableFuture<SendResult<K, V>> send(String topic, Integer partition, Long
timestamp, K key, V data);
ListenableFuture<SendResult<K, V>> send(ProducerRecord<K, V> record);
ListenableFuture<SendResult<K, V>> send(Message<?> message);
Map<MetricName, ? extends Metric> metrics();
List<PartitionInfo> partitionsFor(String topic);
<T> T execute(ProducerCallback<K, V, T> callback);
// Flush the producer.
void flush();
interface ProducerCallback<K, V, T> {
    T doInKafka(Producer<K, V> producer);
}
```

See the Javadoc for more detail.

The sendDefault API requires that a default topic has been provided to the template.

The API takes in a timestamp as a parameter and stores this timestamp in the record. How the user-provided timestamp is stored depends on the timestamp type configured on the Kafka topic. If the topic is configured to use CREATE\_TIME, the user specified timestamp is recorded (or generated if not specified). If the topic is configured to use LOG\_APPEND\_TIME, the user-specified timestamp is ignored and the broker adds in the local broker time.

The metrics and partitionsFor methods delegate to the same methods on the underlying Producer. The execute method provides direct access to the underlying Producer.

To use the template, you can configure a producer factory and provide it in the template's constructor. The following example shows how to do so:

```
@Bean
public ProducerFactory<Integer, String> producerFactory() {
    return new DefaultKafkaProducerFactory<>(producerConfigs());
}
@Bean
public Map<String, Object> producerConfigs() {
    Map<String, Object> props = new HashMap<>();
    props.put(ProducerConfig.BOOTSTRAP SERVERS CONFIG, "localhost:9092");
    props.put(ProducerConfig.KEY_SERIALIZER_CLASS_CONFIG, StringSerializer.class);
    props.put(ProducerConfig.VALUE_SERIALIZER_CLASS_CONFIG,
StringSerializer.class);
    // See https://kafka.apache.org/documentation/#producerconfigs for more
properties
    return props;
}
@Bean
public KafkaTemplate<Integer, String> kafkaTemplate() {
    return new KafkaTemplate<Integer, String>(producerFactory());
}
```

You can also configure the template by using standard <bean/> definitions.

Then, to use the template, you can invoke one of its methods.

When you use the methods with a Message<?> parameter, the topic, partition, and key information is provided in a message header that includes the following items:

```
KafkaHeaders.TOPICKafkaHeaders.PARTITION_IDKafkaHeaders.MESSAGE_KEY
```

• KafkaHeaders.TIMESTAMP

The message payload is the data.

Optionally, you can configure the KafkaTemplate with a ProducerListener to get an asynchronous callback with the results of the send (success or failure) instead of waiting for the Future to complete. The following listing shows the definition of the ProducerListener interface:

```
public interface ProducerListener<K, V> {
    void onSuccess(String topic, Integer partition, K key, V value, RecordMetadata recordMetadata);
    void onError(String topic, Integer partition, K key, V value, Exception exception);
    boolean isInterestedInSuccess();
}
```

By default, the template is configured with a LoggingProducerListener, which logs errors and does nothing when the send is successful.

onSuccess is called only if isInterestedInSuccess returns true.

For convenience, the abstract ProducerListenerAdapter is provided in case you want to implement only one of the methods. It returns false for isInterestedInSuccess.

Notice that the send methods return a ListenableFuture<SendResult>. You can register a callback with the listener to receive the result of the send asynchronously. The following example shows how to do so:

```
ListenableFuture<SendResult<Integer, String>> future = template.send("something");
future.addCallback(new ListenableFutureCallback<SendResult<Integer, String>>() {
    @Override
    public void onSuccess(SendResult<Integer, String> result) {
        ...
    }
    @Override
    public void onFailure(Throwable ex) {
        ...
    }
});
```

SendResult has two properties, a ProducerRecord and RecordMetadata. See the Kafka API documentation for information about those objects.

If you wish to block the sending thread to await the result, you can invoke the future's get() method. You may wish to invoke flush() before waiting or, for convenience, the template has a constructor with an autoFlush parameter that causes the template to flush() on each send. Note,

however, that flushing likely significantly reduces performance.

### **Examples**

This section shows examples of sending messages to Kafka:

Example 2. Non Blocking (Async)

```
public void sendToKafka(final MyOutputData data) {
    final ProducerRecord<String, String> record = createRecord(data);

ListenableFuture<SendResult<Integer, String>> future = template.send(record);
    future.addCallback(new ListenableFutureCallback<SendResult<Integer, String>>()

{
        @Override
        public void onSuccess(SendResult<Integer, String> result) {
            handleSuccess(data);
        }

        @Override
        public void onFailure(Throwable ex) {
            handleFailure(data, record, ex);
        }

    });
}
```

### Blocking (Sync)

```
public void sendToKafka(final MyOutputData data) {
    final ProducerRecord<String, String> record = createRecord(data);

try {
        template.send(record).get(10, TimeUnit.SECONDS);
        handleSuccess(data);
}
    catch (ExecutionException e) {
        handleFailure(data, record, e.getCause());
}
    catch (TimeoutException | InterruptedException e) {
        handleFailure(data, record, e);
}
```

### Using DefaultKafkaProducerFactory

As seen in Using KafkaTemplate, a ProducerFactory is used to create the producer.

When not using Transactions, by default, the DefaultKafkaProducerFactory creates a singleton producer used by all clients, as recommended in the KafkaProducer javadocs. However, if you call flush() on the template, this can cause delays for other threads using the same producer. Starting with version 2.3, the DefaultKafkaProducerFactory has a new property producerPerThread. When set to true, the factory will create (and cache) a separate producer for each thread, to avoid this issue.



When producerPerThread is true, user code **must** call closeThreadBoundProducer() on the factory when the producer is no longer needed. This will physically close the producer and remove it from the ThreadLocal. Calling reset() or destroy() will not clean up these producers.

When creating a DefaultKafkaProducerFactory, key and/or value Serializer classes can be picked up from configuration by calling the constructor that only takes in a Map of properties (see example in Using KafkaTemplate), or Serializer instances may be passed to the DefaultKafkaProducerFactory constructor (in which case all Producer s share the same instances). Alternatively you can provide Supplier<Serializer> s (starting with version 2.3) that will be used to obtain separate Serializer instances for each Producer:

```
@Bean
public ProducerFactory<Integer, CustomValue> producerFactory() {
    return new DefaultKafkaProducerFactory<>(producerConfigs(), null, () -> new
CustomValueSerializer());
}

@Bean
public KafkaTemplate<Integer, CustomValue> kafkaTemplate() {
    return new KafkaTemplate<Integer, CustomValue>(producerFactory());
}
```

#### Using ReplyingKafkaTemplate

Version 2.1.3 introduced a subclass of KafkaTemplate to provide request/reply semantics. The class is named ReplyingKafkaTemplate and has one method (in addition to those in the superclass). The following listing shows the method signatures:

The result is a ListenableFuture that is asynchronously populated with the result (or an exception, for a timeout). The result also has a sendFuture property, which is the result of calling KafkaTemplate.send(). You can use this future to determine the result of the send operation.

If the first method is used, or the replyTimeout argument is null, the template's defaultReplyTimeout property is used (5 seconds by default). The following Spring Boot application shows an example of how to use the feature:

```
@SpringBootApplication
public class KRequestingApplication {
    public static void main(String[] args) {
        SpringApplication.run(KRequestingApplication.class, args).close();
    }
    @Bean
    public ApplicationRunner runner(ReplyingKafkaTemplate<String, String>
template) {
        return args -> {
            ProducerRecord<String, String> record = new
ProducerRecord<>("kRequests", "foo");
            RequestReplyFuture<String, String, String> replyFuture =
template.sendAndReceive(record);
            SendResult<String, String> sendResult =
replyFuture.getSendFuture().get(10, TimeUnit.SECONDS);
            System.out.println("Sent ok: " + sendResult.getRecordMetadata());
            ConsumerRecord<String, String> consumerRecord = replyFuture.get(10,
TimeUnit.SECONDS);
            System.out.println("Return value: " + consumerRecord.value());
       };
   }
    @Bean
    public ReplyingKafkaTemplate<String, String, String> replyingTemplate(
            ProducerFactory<String, String> pf,
            ConcurrentMessageListenerContainer<Long, String> repliesContainer) {
        return new ReplyingKafkaTemplate<>(pf, repliesContainer);
    }
    @Bean
    public ConcurrentMessageListenerContainer<String, String> repliesContainer(
            ConcurrentKafkaListenerContainerFactory<String, String>
containerFactory) {
        ConcurrentMessageListenerContainer<String, String> repliesContainer =
                containerFactory.createContainer("replies");
        repliesContainer.getContainerProperties().setGroupId("repliesGroup");
        repliesContainer.setAutoStartup(false);
        return repliesContainer;
    }
    @Bean
    public NewTopic kRequests() {
        return TopicBuilder.name("kRequests")
            .partitions(10)
            .replicas(2)
```

Note that we can use Boot's auto-configured container factory to create the reply container.

The template sets a header (named KafkaHeaders.CORRELATION\_ID by default), which must be echoed back by the server side.

In this case, the following <code>@KafkaListener</code> application responds:

```
@SpringBootApplication
public class KReplyingApplication {
    public static void main(String[] args) {
        SpringApplication.run(KReplyingApplication.class, args);
    }
    @KafkaListener(id="server", topics = "kRequests")
    @SendTo // use default replyTo expression
    public String listen(String in) {
        System.out.println("Server received: " + in);
        return in.toUpperCase();
    }
    @Bean
    public NewTopic kRequests() {
        return TopicBuilder.name("kRequests")
            .partitions(10)
            .replicas(2)
            .build();
    }
    @Bean // not required if Jackson is on the classpath
    public MessagingMessageConverter simpleMapperConverter() {
        MessagingMessageConverter messagingMessageConverter = new
MessagingMessageConverter();
        messagingMessageConverter.setHeaderMapper(new SimpleKafkaHeaderMapper());
        return messagingMessageConverter;
    }
}
```

The <code>@KafkaListener</code> infrastructure echoes the correlation ID and determines the reply topic.

See Forwarding Listener Results using @SendTo for more information about sending replies. The template uses the default header KafKaHeaders.REPLY\_TOPIC to indicate the topic to which the reply goes.

Starting with version 2.2, the template tries to detect the reply topic or partition from the configured reply container. If the container is configured to listen to a single topic or a single TopicPartitionOffset, it is used to set the reply headers. If the container is configured otherwise, the user must set up the reply headers. In this case, an INFO log message is written during initialization. The following example uses KafkaHeaders.REPLY\_TOPIC:

```
record.headers().add(new RecordHeader(KafkaHeaders.REPLY_TOPIC,
"kReplies".getBytes()));
```

When you configure with a single reply <code>TopicPartitionOffset</code>, you can use the same reply topic for multiple templates, as long as each instance listens on a different partition. When configuring with a single reply topic, each instance must use a different <code>group.id</code>. In this case, all instances receive each reply, but only the instance that sent the request finds the correlation ID. This may be useful for auto-scaling, but with the overhead of additional network traffic and the small cost of discarding each unwanted reply. When you use this setting, we recommend that you set the template's <code>sharedReplyTopic</code> to <code>true</code>, which reduces the logging level of unexpected replies to <code>DEBUG</code> instead of the default <code>ERROR</code>.



If you have multiple client instances and you do not configure them as discussed in the preceding paragraph, each instance needs a dedicated reply topic. An alternative is to set the KafkaHeaders.REPLY\_PARTITION and use a dedicated partition for each instance. The Header contains a four-byte int (big-endian). The server must use this header to route the reply to the correct topic (@KafkaListener does this). In this case, though, the reply container must not use Kafka's group management feature and must be configured to listen on a fixed partition (by using a TopicPartitionOffset in its ContainerProperties constructor).



The DefaultKafkaHeaderMapper requires Jackson to be on the classpath (for the <code>@KafkaListener</code>). If it is not available, the message converter has no header mapper, so you must configure a <code>MessagingMessageConverter</code> with a <code>SimpleKafkaHeaderMapper</code>, as shown earlier.

By default, 3 headers are used:

- KafkaHeaders.CORRELATION\_ID used to correlate the reply to a request
- KafkaHeaders.REPLY\_TOPIC used to tell the server where to reply
- KafkaHeaders.REPLY\_PARTITION (optional) used to tell the server which partition to reply to

These header names are used by the <code>@KafkaListener</code> infrastructure to route the reply.

Starting with version 2.3, you can customize the header names - the template has 3 properties correlationHeaderName, replyTopicHeaderName, and replyPartitionHeaderName. This is useful if your server is not a Spring application (or does not use the @KafkaListener).

#### **Aggregating Multiple Replies**

The template in Using ReplyingKafkaTemplate is strictly for a single request/reply scenario. For cases where multiple receivers of a single message return a reply, you can use the AggregatingReplyingKafkaTemplate. This is an implementation of the client-side of the Scatter-Gather Enterprise Integration Pattern.

Like the ReplyingKafkaTemplate, the AggregatingReplyingKafkaTemplate constructor takes a producer factory and a listener container to receive the replies; it has a third parameter Predicate<Collection<ConsumerRecord<K, R>>> releaseStrategy which is consulted each time a reply is received; when the predicate returns true, the collection of ConsumerRecord s is used to complete the Future returned by the sendAndReceive method.

There is an additional property returnPartialOnTimeout (default false). When this is set to true, instead of completing the future with a KafkaReplyTimeoutException, a partial result completes the future normally (as long as at least one reply record has been received).

Notice that the return type is a ConsumerRecord with a value that is a collection of ConsumerRecord s. The "outer" ConsumerRecord is not a "real" record, it is synthesized by the template, as a holder for the actual reply records received for the request. When a normal release occurs (release strategy returns true), the topic is set to aggregatedResults; if returnPartialOnTimeout is true, and timeout occurs (and at least one reply record has been received), the topic is set to partialResultsAfterTimeout. The template provides constant static variables for these "topic" names:

```
/**
 * Pseudo topic name for the "outer" {@link ConsumerRecords} that has the
aggregated
 * results in its value after a normal release by the release strategy.
 */
public static final String AGGREGATED_RESULTS_TOPIC = "aggregatedResults";

/**
 * Pseudo topic name for the "outer" {@link ConsumerRecords} that has the
aggregated
 * results in its value after a timeout.
 */
public static final String PARTIAL_RESULTS_AFTER_TIMEOUT_TOPIC =
"partialResultsAfterTimeout";
```

The real ConsumerRecord s in the Collection contain the actual topic(s) from which the replies are

received.



The listener container for the replies MUST be configured with AckMode.MANUAL or AckMode.MANUAL\_IMMEDIATE; the consumer property enable.auto.commit must be false (the default since version 2.3). To avoid any possibility of losing messages, the template only commits offsets when there are zero requests outstanding, i.e. when the last outstanding request is released by the release strategy. After a rebalance, it is possible for duplicate reply deliveries; these will be ignored for any in-flight requests; you may see error log messages when duplicate replies are received for already released replies.

### 4.1.3. Receiving Messages

You can receive messages by configuring a MessageListenerContainer and providing a message listener or by using the <code>@KafkaListener</code> annotation.

### **Message Listeners**

When you use a message listener container, you must provide a listener to receive data. There are currently eight supported interfaces for message listeners. The following listing shows these interfaces:

```
public interface MessageListener<K, V> { ①
    void onMessage(ConsumerRecord<K, V> data);
}
public interface AcknowledgingMessageListener<K, V> { ②
    void onMessage(ConsumerRecord<K, V> data, Acknowledgment acknowledgment);
}
public interface ConsumerAwareMessageListener<K, V> extends MessageListener<K, V>
{ ③
    void onMessage(ConsumerRecord<K, V> data, Consumer<?, ?> consumer);
}
public interface AcknowledgingConsumerAwareMessageListener<K, V> extends
MessageListener<K, V> { 4
    void onMessage(ConsumerRecord<K, V> data, Acknowledgment acknowledgment,
Consumer<?, ?> consumer);
}
public interface BatchMessageListener<K, V> { ⑤
    void onMessage(List<ConsumerRecord<K, V>> data);
}
public interface BatchAcknowledgingMessageListener<K, V> { 6
    void onMessage(List<ConsumerRecord<K, V>> data, Acknowledgment
acknowledgment);
}
public interface BatchConsumerAwareMessageListener<K, V> extends
BatchMessageListener<K, V> { ⑦
    void onMessage(List<ConsumerRecord<K, V>> data, Consumer<?, ?> consumer);
}
public interface BatchAcknowledgingConsumerAwareMessageListener<K, V> extends
BatchMessageListener<K, V> { 8
```

```
void onMessage(List<ConsumerRecord<K, V>> data, Acknowledgment acknowledgment,
Consumer<?, ?> consumer);
}
```

- ① Use this interface for processing individual ConsumerRecord instances received from the Kafka consumer poll() operation when using auto-commit or one of the container-managed commit methods.
- ② Use this interface for processing individual ConsumerRecord instances received from the Kafka consumer poll() operation when using one of the manual commit methods.
- ③ Use this interface for processing individual ConsumerRecord instances received from the Kafka consumer poll() operation when using auto-commit or one of the container-managed commit methods. Access to the Consumer object is provided.
- 4 Use this interface for processing individual ConsumerRecord instances received from the Kafka consumer poll() operation when using one of the manual commit methods. Access to the Consumer object is provided.
- ⑤ Use this interface for processing all ConsumerRecord instances received from the Kafka consumer poll() operation when using auto-commit or one of the container-managed commit methods. AckMode.RECORD is not supported when you use this interface, since the listener is given the complete batch.
- © Use this interface for processing all ConsumerRecord instances received from the Kafka consumer poll() operation when using one of the manual commit methods.
- ① Use this interface for processing all ConsumerRecord instances received from the Kafka consumer poll() operation when using auto-commit or one of the container-managed commit methods. AckMode.RECORD is not supported when you use this interface, since the listener is given the complete batch. Access to the Consumer object is provided.
- (8) Use this interface for processing all ConsumerRecord instances received from the Kafka consumer poll() operation when using one of the manual commit methods. Access to the Consumer object is provided.



The Consumer object is not thread-safe. You must only invoke its methods on the thread that calls the listener.

### **Message Listener Containers**

Two MessageListenerContainer implementations are provided:

- KafkaMessageListenerContainer
- ConcurrentMessageListenerContainer

The KafkaMessageListenerContainer receives all message from all topics or partitions on a single thread. The ConcurrentMessageListenerContainer delegates to one or more KafkaMessageListenerContainer instances to provide multi-threaded consumption.

Starting with version 2.2.7, you can add a RecordInterceptor to the listener container; it will be invoked before calling the listener allowing inspection or modification of the record. If the interceptor returns null, the listener is not called. The interceptor is not invoked when the listener is a batch listener.

Starting with version 2.3, the CompositeRecordInterceptor can be used to invoke multiple interceptors.

#### Using KafkaMessageListenerContainer

The following constructors are available:

Each takes a ConsumerFactory and information about topics and partitions, as well as other configuration in a ContainerProperties object. The second constructor is used by the ConcurrentMessageListenerContainer (described later) to distribute TopicPartitionOffset across the consumer instances. ContainerProperties has the following constructors:

```
public ContainerProperties(TopicPartitionOffset... topicPartitions)
public ContainerProperties(String... topics)
public ContainerProperties(Pattern topicPattern)
```

The first constructor takes an array of <code>TopicPartitionOffset</code> arguments to explicitly instruct the container about which partitions to use (using the consumer <code>assign()</code> method) and with an optional initial offset. A positive value is an absolute offset by default. A negative value is relative to the current last offset within a partition by default. A constructor for <code>TopicPartitionOffset</code> that takes an additional <code>boolean</code> argument is provided. If this is <code>true</code>, the initial offsets (positive or negative) are relative to the current position for this consumer. The offsets are applied when the container is started. The second takes an array of topics, and <code>Kafka</code> allocates the partitions based on the <code>group.id</code> property — distributing partitions across the group. The third uses a regex <code>Pattern</code> to select the topics.

To assign a MessageListener to a container, you can use the ContainerProps.setMessageListener method when creating the Container. The following example shows how to do so:

Note that when creating a DefaultKafkaConsumerFactory, using the constructor that just takes in the properties as above means that key and value Deserializer classes are picked up from configuration. Alternatively, Deserializer instances may be passed to the DefaultKafkaConsumerFactory constructor for key and/or value, in which case all Consumers share the same instances. Another option is to provide Supplier<Deserializer> s (starting with version 2.3) that will be used to obtain separate Deserializer instances for each Consumer:

Refer to the Javadoc for ContainerProperties for more information about the various properties that you can set.

Since version 2.1.1, a new property called <a href="logContainerConfig">logContainerConfig</a> is available. When <a href="true">true</a> and <a href="INFO">INFO</a> logging is enabled each listener container writes a log message summarizing its configuration properties.

By default, logging of topic offset commits is performed at the DEBUG logging level. Starting with version 2.1.2, a property in ContainerProperties called commitLogLevel lets you specify the log level for these messages. For example, to change the log level to INFO, you can use containerProperties.setCommitLogLevel(LogIfLevelEnabled.Level.INFO);.

Starting with version 2.2, a new container property called missingTopicsFatal has been added (default: true). This prevents the container from starting if any of the configured topics are not present on the broker. It does not apply if the container is configured to listen to a topic pattern (regex). Previously, the container threads looped within the consumer.poll() method waiting for the topic to appear while logging many messages. Aside from the logs, there was no indication that there was a problem. To restore the previous behavior, you can set the property to false.

#### **Using** ConcurrentMessageListenerContainer

The single constructor is similar to the first KafkaListenerContainer constructor. The following listing shows the constructor's signature:

It also has a concurrency property. For example, container.setConcurrency(3) creates three KafkaMessageListenerContainer instances.

For the first constructor, Kafka distributes the partitions across the consumers using its group management capabilities.

When listening to multiple topics, the default partition distribution may not be what you expect. For example, if you have three topics with five partitions each and you want to use concurrency=15, you see only five active consumers, each assigned one partition from each topic, with the other 10 consumers being idle. This is because the default Kafka PartitionAssignor is the RangeAssignor (see its Javadoc). For this scenario, you may want to consider using the RoundRobinAssignor instead, which distributes the partitions across all of the consumers. Then, each consumer is assigned one topic or partition. To change the PartitionAssignor, you can set the partition.assignment.strategy consumer property (ConsumerConfigs.PARTITION\_ASSIGNMENT\_STRATEGY\_CONFIG) in the properties provided to the DefaultKafkaConsumerFactory.



When using Spring Boot, you can assign set the strategy as follows:

spring.kafka.consumer.properties.partition.assignment.strategy=\
org.apache.kafka.clients.consumer.RoundRobinAssignor

For the second constructor, the ConcurrentMessageListenerContainer distributes the TopicPartition instances across the delegate KafkaMessageListenerContainer instances.

If, say, six TopicPartition instances are provided and the concurrency is 3; each container gets two partitions. For five TopicPartition instances, two containers get two partitions, and the third gets one. If the concurrency is greater than the number of TopicPartitions, the concurrency is adjusted down such that each container gets one partition.



The client.id property (if set) is appended with -n where n is the consumer instance that corresponds to the concurrency. This is required to provide unique names for MBeans when JMX is enabled.

Starting with version 1.3, the MessageListenerContainer provides access to the metrics of the

underlying KafkaConsumer. In the case of ConcurrentMessageListenerContainer, the metrics() method returns the metrics for all the target KafkaMessageListenerContainer instances. The metrics are grouped into the Map<MetricName, ? extends Metric> by the client-id provided for the underlying KafkaConsumer.

Starting with version 2.3, the ContainerProperties provides an idleBetweenPolls option to let the main loop in the listener container to sleep between KafkaConsumer.poll() calls. An actual sleep interval is selected as the minimum from the provided option and difference between the max.poll.interval.ms consumer config and the current records batch processing time.

#### **Committing Offsets**

Several options are provided for committing offsets. If the enable.auto.commit consumer property is true, Kafka auto-commits the offsets according to its configuration. If it is false, the containers support several AckMode settings (described in the next list). The default AckMode is BATCH. Starting with version 2.3, the framework sets enable.auto.commit to false unless explicitly set in the configuration. Previously, the Kafka default (true) was used if the property was not set.

The consumer poll() method returns one or more ConsumerRecords. The MessageListener is called for each record. The following lists describes the action taken by the container for each AckMode:

- RECORD: Commit the offset when the listener returns after processing the record.
- BATCH: Commit the offset when all the records returned by the poll() have been processed.
- TIME: Commit the offset when all the records returned by the poll() have been processed, as long as the ackTime since the last commit has been exceeded.
- COUNT: Commit the offset when all the records returned by the poll() have been processed, as long as ackCount records have been received since the last commit.
- COUNT\_TIME: Similar to TIME and COUNT, but the commit is performed if either condition is true.
- MANUAL: The message listener is responsible to acknowledge() the Acknowledgment. After that, the same semantics as BATCH are applied.
- MANUAL\_IMMEDIATE: Commit the offset immediately when the Acknowledgment.acknowledge() method is called by the listener.



MANUAL, and MANUAL\_IMMEDIATE require the listener to be an AcknowledgingMessageListener or a BatchAcknowledgingMessageListener. See Message Listeners.

Depending on the syncCommits container property, the commitSync() or commitAsync() method on the consumer is used. syncCommits is true by default; also see setSyncCommitTimeout. See setCommitCallback to get the results of asynchronous commits; the default callback is the LoggingCommitCallback which logs errors (and successes at debug level).

Because the listener container has it's own mechanism for committing offsets, it prefers the Kafka ConsumerConfig.ENABLE\_AUTO\_COMMIT\_CONFIG to be false. Starting with version 2.3, it unconditionally sets it to false unless specifically set in the consumer factory or the container's consumer property overrides.

The Acknowledgment has the following method:

```
public interface Acknowledgment {
    void acknowledge();
}
```

This method gives the listener control over when offsets are committed.

Starting with version 2.3, the Acknowledgment interface has two additional methods nack(long sleep) and nack(int index, long sleep). The first one is used with a record listener, the second with a batch listener. Calling the wrong method for your listener type will throw an IllegalStateException.



nack() can only be called on the consumer thread that invokes your listener.

With a record listener, when <code>nack()</code> is called, any pending offsets are committed, the remaing records from the last poll are discarded, and seeks are performed on their partitions so that the failed record and unprocessed records are redelivered on the next <code>poll()</code>. The consumer thread can be paused before redelivery, by setting the <code>sleep</code> argument. This is similar functionality to throwing an exception when the container is configured with a <code>SeekToCurrentErrorHandler</code>.

When using a batch listener, you can specify the index within the batch where the failure occurred. When <code>nack()</code> is called, offsets will be committed for records before the index and seeks are performed on the partitions for the failed and discarded records so that they will be redelivered on the <code>next poll()</code>. This is an improvement over the <code>SeekToCurrentBatchErrorHandler</code>, which can only seek the entire batch for redelivery.

See Seek To Current Container Error Handlers for more information.



When using partition assignment via group management, it is important to ensure the sleep argument (plus the time spent processing records from the previous poll) is less than the consumer max.poll.interval.ms property.

#### **Listener Container Auto Startup**

The listener containers implement SmartLifecycle, and autoStartup is true by default. The containers are started in a late phase (Integer.MAX-VALUE - 100). Other components that implement SmartLifecycle, to handle data from listeners, should be started in an earlier phase. The - 100 leaves room for later phases to enable components to be auto-started after the containers.

#### **@KafkaListener Annotation**

The <code>@KafkaListener</code> annotation is used to designate a bean method as a listener for a listener container. The bean is wrapped in a <code>MessagingMessageListenerAdapter</code> configured with various features, such as converters to convert the data, if necessary, to match the method parameters.

You can configure most attributes on the annotation with SpEL by using  $\#\{0\}$  or property placeholders ( $\{0\}$ ). See the Javadoc for more information.

#### **Record Listeners**

The <code>@KafkaListener</code> annotation provides a mechanism for simple POJO listeners. The following example shows how to use it:

```
public class Listener {
    @KafkaListener(id = "foo", topics = "myTopic", clientIdPrefix = "myClientId")
    public void listen(String data) {
        ...
    }
}
```

This mechanism requires an <code>@EnableKafka</code> annotation on one of your <code>@Configuration</code> classes and a listener container factory, which is used to configure the underlying <code>ConcurrentMessageListenerContainer</code>. By default, a bean with name <code>kafkaListenerContainerFactory</code> is expected. The following example shows how to use <code>ConcurrentMessageListenerContainer</code>:

```
@Configuration
@EnableKafka
public class KafkaConfig {
    @Bean
    KafkaListenerContainerFactory<ConcurrentMessageListenerContainer<Integer,
String>>
                        kafkaListenerContainerFactory() {
        ConcurrentKafkaListenerContainerFactory<Integer, String> factory =
                                new ConcurrentKafkaListenerContainerFactory<>();
        factory.setConsumerFactory(consumerFactory());
        factory.setConcurrency(3);
        factory.getContainerProperties().setPollTimeout(3000);
        return factory;
    }
    @Bean
    public ConsumerFactory<Integer, String> consumerFactory() {
        return new DefaultKafkaConsumerFactory<>(consumerConfigs());
    }
    @Bean
    public Map<String, Object> consumerConfigs() {
        Map<String, Object> props = new HashMap<>();
        props.put(ProducerConfig.BOOTSTRAP_SERVERS_CONFIG,
embeddedKafka.getBrokersAsString());
        return props;
   }
}
```

Notice that, to set container properties, you must use the <code>getContainerProperties()</code> method on the factory. It is used as a template for the actual properties injected into the container.

Starting with version 2.1.1, you can now set the client.id property for consumers created by the annotation. The clientIdPrefix is suffixed with -n, where n is an integer representing the container number when using concurrency.

Starting with version 2.2, you can now override the container factory's concurrency and autoStartup properties by using properties on the annotation itself. The properties can be simple values, property placeholders, or SpEL expressions. The following example shows how to do so:

You can also configure POJO listeners with explicit topics and partitions (and, optionally, their initial offsets). The following example shows how to do so:

You can specify each partition in the partitions or partitionOffsets attribute but not both.

As with most annotation properties, you can use SpEL expressions; for an example of how to generate a large list of partitions, see Manually Assigning All Partitions.

When using manual AckMode, you can also provide the listener with the Acknowledgment. The following example also shows how to use a different container factory.

Finally, metadata about the message is available from message headers. You can use the following header names to retrieve the headers of the message:

- KafkaHeaders.OFFSET
- KafkaHeaders.RECEIVED\_MESSAGE\_KEY
- KafkaHeaders.RECEIVED\_TOPIC

- KafkaHeaders.RECEIVED\_PARTITION\_ID
- KafkaHeaders.RECEIVED\_TIMESTAMP
- KafkaHeaders.TIMESTAMP\_TYPE

The following example shows how to use the headers:

#### **Batch listeners**

Starting with version 1.1, you can configure <code>@KafkaListener</code> methods to receive the entire batch of consumer records received from the consumer poll. To configure the listener container factory to create batch listeners, you can set the <code>batchListener</code> property. The following example shows how to do so:

The following example shows how to receive a list of payloads:

```
@KafkaListener(id = "list", topics = "myTopic", containerFactory = "batchFactory")
public void listen(List<String> list) {
    ...
}
```

The topic, partition, offset, and so on are available in headers that parallel the payloads. The following example shows how to use the headers:

Alternatively, you can receive a List of Message<?> objects with each offset and other details in each message, but it must be the only parameter (aside from optional Acknowledgment, when using manual commits, and/or Consumer<?, ?> parameters) defined on the method. The following example shows how to do so:

```
@KafkaListener(id = "listMsg", topics = "myTopic", containerFactory =
   "batchFactory")
public void listen14(List<Message<?>> list) {
        ...
}

@KafkaListener(id = "listMsgAck", topics = "myTopic", containerFactory =
   "batchFactory")
public void listen15(List<Message<?>> list, Acknowledgment ack) {
        ...
}

@KafkaListener(id = "listMsgAckConsumer", topics = "myTopic", containerFactory =
   "batchFactory")
public void listen16(List<Message<?>> list, Acknowledgment ack, Consumer<?, ?>
consumer) {
        ...
}
```

No conversion is performed on the payloads in this case.

If the BatchMessagingMessageConverter is configured with a RecordMessageConverter, you can also add a generic type to the Message parameter and the payloads are converted. See Payload Conversion with Batch Listeners for more information.

You can also receive a list of ConsumerRecord<?, ?> objects, but it must be the only parameter (aside from optional Acknowledgment, when using manual commits and Consumer<?, ?> parameters) defined on the method. The following example shows how to do so:

Starting with version 2.2, the listener can receive the complete ConsumerRecords<?, ?> object returned by the poll() method, letting the listener access additional methods, such as partitions() (which returns the TopicPartition instances in the list) and records(TopicPartition) (which gets selective records). Again, this must be the only parameter (aside from optional Acknowledgment, when using manual commits or Consumer<?, ?> parameters) on the method. The following example shows how to do so:

```
@KafkaListener(id = "pollResults", topics = "myTopic", containerFactory =
  "batchFactory")
public void pollResults(ConsumerRecords<?, ?> records) {
    ...
}
```



If the container factory has a RecordFilterStrategy configured, it is ignored for ConsumerRecords<?, ?> listeners, with a WARN log message emitted. Records can only be filtered with a batch listener if the <List<?>> form of listener is used.

## **Annotation Properties**

Starting with version 2.0, the id property (if present) is used as the Kafka consumer group.id property, overriding the configured property in the consumer factory, if present. You can also set groupId explicitly or set idIsGroup to false to restore the previous behavior of using the consumer factory group.id.

You can use property placeholders or SpEL expressions within most annotation properties, as the following example shows:

```
@KafkaListener(topics = "${some.property}")
@KafkaListener(topics = "#{someBean.someProperty}",
    groupId = "#{someBean.someProperty}.group")
```

Starting with version 2.1.2, the SpEL expressions support a special token: \_\_listener. It is a pseudo bean name that represents the current bean instance within which this annotation exists.

Consider the following example:

```
@Bean
public Listener listener1() {
    return new Listener("topic1");
}

@Bean
public Listener listener2() {
    return new Listener("topic2");
}
```

Given the beans in the previous example, we can then use the following:

```
public class Listener {
    private final String topic;

public Listener(String topic) {
        this.topic = topic;
    }

    @KafkaListener(topics = "#{__listener.topic}",
        groupId = "#{__listener.topic}.group")
    public void listen(...) {
        ...
    }

    public String getTopic() {
        return this.topic;
    }
}
```

If, in the unlikely event that you have an actual bean called <u>\_\_listener</u>, you can change the expression token byusing the beanRef attribute. The following example shows how to do so:

```
@KafkaListener(beanRef = "__x", topics = "#{__x.topic}",
    groupId = "#{__x.topic}.group")
```

Starting with version 2.2.4, you can specify Kafka consumer properties directly on the annotation, these will override any properties with the same name configured in the consumer factory. You cannot specify the group.id and client.id properties this way; they will be ignored; use the groupId and clientIdPrefix annotation properties for those.

The properties are specified as individual strings with the normal Java Properties file format: foo:bar, foo=bar, or foo bar.

```
@KafkaListener(topics = "myTopic", groupId="group", properties= {
    "max.poll.interval.ms:60000",
    ConsumerConfig.MAX_POLL_RECORDS_CONFIG + "=100"
})
```

# Obtaining the Consumer group.id

When running the same listener code in multiple containers, it may be useful to be able to determine which container (identified by its group.id consumer property) that a record came from.

You can call KafkaUtils.getConsumerGroupId() on the listener thread to do this. Alternatively, you can access the group id in a method parameter.



This is available in record listeners and batch listeners that receive a List<?> of records. It is **not** available in a batch listener that receives a ConsumerRecords<?, ?> argument. Use the KafkaUtils mechanism in that case.

## **Container Thread Naming**

Listener containers currently use two task executors, one to invoke the consumer and another that is used to invoke the listener when the kafka consumer property enable.auto.commit is false. You

can provide custom executors by setting the consumerExecutor and listenerExecutor properties of the container's ContainerProperties. When using pooled executors, be sure that enough threads are available to handle the concurrency across all the containers in which they are used. When using the ConcurrentMessageListenerContainer, a thread from each is used for each consumer (concurrency).

If you do not provide a consumer executor, a SimpleAsyncTaskExecutor is used. This executor creates threads with names similar to <beanName>-C-1 (consumer thread). For the ConcurrentMessageListenerContainer, the <beanName> part of the thread name becomes <beanName>-m, where m represents the consumer instance. n increments each time the container is started. So, with a bean name of container, threads in this container will be named container-0-C-1, container-1-C-1 etc., after the container is started the first time; container-0-C-2, container-1-C-2 etc., after a stop and subsequent start.

#### @KafkaListener as a Meta Annotation

Starting with version 2.2, you can now use <code>@KafkaListener</code> as a meta annotation. The following example shows how to do so:

```
@Target(ElementType.METHOD)
@Retention(RetentionPolicy.RUNTIME)
@KafkaListener
public @interface MyThreeConsumersListener {

    @AliasFor(annotation = KafkaListener.class, attribute = "id")
    String id();

@AliasFor(annotation = KafkaListener.class, attribute = "topics")
    String[] topics();

@AliasFor(annotation = KafkaListener.class, attribute = "concurrency")
    String concurrency() default "3";
}
```

You must alias at least one of topics, topicPattern, or topicPartitions (and, usually, id or groupId unless you have specified a group.id in the consumer factory configuration). The following example shows how to do so:

```
@MyThreeConsumersListener(id = "my.group", topics = "my.topic")
public void listen1(String in) {
    ...
}
```

#### **@KafkaListener** on a Class

When you use <code>@KafkaListener</code> at the class-level, you must specify <code>@KafkaHandler</code> at the method level. When messages are delivered, the converted message payload type is used to determine which method to call. The following example shows how to do so:

Starting with version 2.1.3, you can designate a <code>@KafkaHandler</code> method as the default method that is invoked if there is no match on other methods. At most, one method can be so designated. When using <code>@KafkaHandler</code> methods, the payload must have already been converted to the domain object (so the match can be performed). Use a custom deserializer, the <code>JsonDeserializer</code>, or the <code>JsonMessageConverter</code> with its <code>TypePrecedence</code> set to <code>TYPE\_ID</code>. See <code>Serialization</code>, <code>Deserialization</code>, and <code>MessageConversion</code> for more information.

## **@KafkaListener Lifecycle Management**

The listener containers created for @KafkaListener annotations are not beans in the application thev are registered with infrastructure an bean KafkaListenerEndpointRegistry. This bean is automatically declared by the framework and manages the containers' lifecycles; it will auto-start any containers that have autoStartup set to true. All containers created by all container factories must be in the same phase. See Listener Container Auto Startup for more information. You can manage the lifecycle programmatically by using the registry. Starting or stopping the registry will start or stop all the registered containers. Alternatively, you can get a reference to an individual container by using its id attribute. You can set autoStartup on the annotation, which overrides the default setting configured into the container factory. You can get a reference to the bean from the application context, such as auto-wiring, to manage its registered containers. The following examples show how to do so:

```
@KafkaListener(id = "myContainer", topics = "myTopic", autoStartup = "false")
public void listen(...) { ... }

@Autowired
private KafkaListenerEndpointRegistry registry;
...
this.registry.getListenerContainer("myContainer").start();
...
```

The registry only maintains the life cycle of containers it manages; containers declared as beans are not managed by the registry and can be obtained from the application context. A collection of managed containers can be obtained by calling the registry's <code>getListenerContainers()</code> method. Version 2.2.5 added a convenience method <code>getAllListenerContainers()</code>, which returns a collection of all containers, including those managed by the registry and those declared as beans. The collection returned will include any prototype beans that have been initialized, but it will not initialize any lazy bean declarations.

# @KafkaListener @Payload Validation

Starting with version 2.2, it is now easier to add a Validator to validate <code>@KafkaListener @Payload</code> arguments. Previously, you had to configure a custom <code>DefaultMessageHandlerMethodFactory</code> and add it to the registrar. Now, you can add the validator to the registrar itself. The following code shows how to do so:

```
@Configuration
@EnableKafka
public class Config implements KafkaListenerConfigurer {
    ...
    @Override
    public void configureKafkaListeners(KafkaListenerEndpointRegistrar registrar)
{
        registrar.setValidator(new MyValidator());
    }
}
```



When you use Spring Boot with the validation starter, a LocalValidatorFactoryBean is auto-configured, as the following example shows:

```
@Configuration
@EnableKafka
public class Config implements KafkaListenerConfigurer {

    @Autowired
    private LocalValidatorFactoryBean validator;
    ...

    @Override
    public void configureKafkaListeners(KafkaListenerEndpointRegistrar registrar)
{
        registrar.setValidator(this.validator);
    }
}
```

The following examples show how to validate:

```
public static class ValidatedClass {
    @Max(10)
    private int bar;

public int getBar() {
    return this.bar;
    }

public void setBar(int bar) {
    this.bar = bar;
    }
}
```

#### **Rebalancing Listeners**

ContainerProperties has a property called consumerRebalanceListener, which takes an implementation of the Kafka client's ConsumerRebalanceListener interface. If this property is not provided, the container configures a logging listener that logs rebalance events at the INFO level. The framework also adds a sub-interface ConsumerAwareRebalanceListener. The following listing shows the ConsumerAwareRebalanceListener interface definition:

```
public interface ConsumerAwareRebalanceListener extends ConsumerRebalanceListener
{
    void onPartitionsRevokedBeforeCommit(Consumer<?, ?> consumer,
    Collection<TopicPartition> partitions);

    void onPartitionsRevokedAfterCommit(Consumer<?, ?> consumer,
    Collection<TopicPartition> partitions);

    void onPartitionsAssigned(Consumer<?, ?> consumer, Collection<TopicPartition>
    partitions);
}
```

Notice that there are two callbacks when partitions are revoked. The first is called immediately. The second is called after any pending offsets are committed. This is useful if you wish to maintain offsets in some external repository, as the following example shows:

```
containerProperties.setConsumerRebalanceListener(new
ConsumerAwareRebalanceListener() {
    @Override
    public void onPartitionsRevokedBeforeCommit(Consumer<?, ?> consumer,
Collection<TopicPartition> partitions) {
        // acknowledge any pending Acknowledgments (if using manual acks)
    }
    @Override
    public void onPartitionsRevokedAfterCommit(Consumer<?, ?> consumer,
Collection<TopicPartition> partitions) {
        // ...
            store(consumer.position(partition));
        // ...
    }
    @Override
    public void onPartitionsAssigned(Collection<TopicPartition> partitions) {
            consumer.seek(partition, offsetTracker.getOffset() + 1);
        // ...
    }
});
```

## Forwarding Listener Results using @SendTo

Starting with version 2.0, if you also annotate a <code>@KafkaListener</code> with a <code>@SendTo</code> annotation and the method invocation returns a result, the result is forwarded to the topic specified by the <code>@SendTo</code>.

The @SendTo value can have several forms:

- @SendTo("someTopic") routes to the literal topic
- @SendTo("#{someExpression}") routes to the topic determined by evaluating the expression once during application context initialization.
- @SendTo("!{someExpression}") routes to the topic determined by evaluating the expression at runtime. The #root object for the evaluation has three properties:
  - request: The inbound ConsumerRecord (or ConsumerRecords object for a batch listener))
  - source: The org.springframework.messaging.Message<?> converted from the request.
  - result: The method return result.
- @SendTo (no properties): This is treated as !{source.headers['kafka\_replyTopic']} (since version 2.1.3).

Starting with versions 2.1.11 and 2.2.1, property placeholders are resolved within @SendTo values.

The result of the expression evaluation must be a String that represents the topic name. The following examples show the various ways to use @SendTo:

```
@KafkaListener(topics = "annotated21")
@SendTo("!{request.value()}") // runtime SpEL
public String replyingListener(String in) {
}
@KafkaListener(topics = "${some.property:annotated22}")
@SendTo("#{myBean.replyTopic}") // config time SpEL
public Collection<String> replyingBatchListener(List<String> in) {
}
@KafkaListener(topics = "annotated23", errorHandler = "replyErrorHandler")
@SendTo("annotated23reply") // static reply topic definition
public String replyingListenerWithErrorHandler(String in) {
}
@KafkaListener(topics = "annotated25")
@SendTo("annotated25reply1")
public class MultiListenerSendTo {
    @KafkaHandler
    public String foo(String in) {
    }
    @KafkaHandler
    @SendTo("!{'annotated25reply2'}")
    public String bar(@Payload(required = false) KafkaNull nul,
            @Header(KafkaHeaders.RECEIVED_MESSAGE_KEY) int key) {
    }
}
```

Starting with version 2.2, you can add a ReplyHeadersConfigurer to the listener container factory. This is consulted to determine which headers you want to set in the reply message. The following example shows how to add a ReplyHeadersConfigurer:

```
@Bean
public ConcurrentKafkaListenerContainerFactory<Integer, String>
kafkaListenerContainerFactory() {
    ConcurrentKafkaListenerContainerFactory<Integer, String> factory =
        new ConcurrentKafkaListenerContainerFactory<>();
    factory.setConsumerFactory(cf());
    factory.setReplyTemplate(template());
    factory.setReplyHeadersConfigurer((k, v) -> k.equals("cat"));
    return factory;
}
```

You can also add more headers if you wish. The following example shows how to do so:

```
@Bean
public ConcurrentKafkaListenerContainerFactory<Integer, String>
kafkaListenerContainerFactory() {
    ConcurrentKafkaListenerContainerFactory<Integer, String> factory =
        new ConcurrentKafkaListenerContainerFactory<>();
    factory.setConsumerFactory(cf());
    factory.setReplyTemplate(template());
    factory.setReplyHeadersConfigurer(new ReplyHeadersConfigurer() {
      @Override
      public boolean shouldCopy(String headerName, Object headerValue) {
        return false;
      }
     @Override
      public Map<String, Object> additionalHeaders() {
        return Collections.singletonMap("qux", "fiz");
     }
    });
    return factory;
}
```

When you use @SendTo, you must configure the ConcurrentKafkaListenerContainerFactory with a KafkaTemplate in its replyTemplate property to perform the send.



Unless you use request/reply semantics only the simple send(topic, value) method is used, so you may wish to create a subclass to generate the partition or key. The following example shows how to do so:

If the listener method returns Message<?> or Collection<Message<?>>, the listener method is responsible for setting up the message headers for the reply. For example, when handling a request from a ReplyingKafkaTemplate, you might do the following:

When using request/reply semantics, the target partition can be requested by the sender.

You can annotate a <code>@KafkaListener</code> method with <code>@SendTo</code> even if no result is returned. This is to allow the configuration of an <code>errorHandler</code> that can forward information about a failed message delivery to some topic. The following example shows how to do so:

See Handling Exceptions for more information.

## **Filtering Messages**

In certain scenarios, such as rebalancing, a message that has already been processed may be redelivered. The framework cannot know whether such a message has been processed or not. That is an application-level function. This is known as the <u>Idempotent Receiver</u> pattern and Spring Integration provides an <u>implementation of it</u>.

The Spring for Apache Kafka project also provides some assistance by means of the FilteringMessageListenerAdapter class, which can wrap your MessageListener. This class takes an implementation of RecordFilterStrategy in which you implement the filter method to signal that a message is a duplicate and should be discarded. This has an additional property called ackDiscarded, which indicates whether the adapter should acknowledge the discarded record. It is false by default.

When you use <code>@KafkaListener</code>, set the <code>RecordFilterStrategy</code> (and optionally ackDiscarded) on the container factory so that the listener is wrapped in the appropriate filtering adapter.

In addition, a FilteringBatchMessageListenerAdapter is provided, for when you use a batch message listener.



The FilteringBatchMessageListenerAdapter is ignored if your @KafkaListener receives a ConsumerRecords<?, ?> instead of List<ConsumerRecord<?, ?>>, because ConsumerRecords is immutable.

## **Retrying Deliveries**

If your listener throws an exception, the default behavior is to invoke the ErrorHandler, if configured, or logged otherwise.



Two error handler interfaces (ErrorHandler and BatchErrorHandler) are provided. You must configure the appropriate type to match the message listener.

To retry deliveries, a convenient listener adapter RetryingMessageListenerAdapter is provided.

You can configure it with a RetryTemplate and RecoveryCallback<Void> - see the spring-retry project for information about these components. If a recovery callback is not provided, the exception is thrown to the container after retries are exhausted. In that case, the ErrorHandler is invoked, if configured, or logged otherwise.

When you use <code>@KafkaListener</code>, you can set the <code>RetryTemplate</code> (and optionally <code>recoveryCallback</code>) on the container factory. When you do so, the listener is wrapped in the appropriate retrying adapter.

The contents of the RetryContext passed into the RecoveryCallback depend on the type of listener. The context always has a record attribute, which is the record for which the failure occurred. If your listener is acknowledging or consumer aware, additional acknowledgment or consumer attributes are available. For convenience, the RetryingMessageListenerAdapter provides static constants for these keys. See its Javadoc for more information.

A retry adapter is not provided for any of the batch message listeners, because the framework has no knowledge of where in a batch the failure occurred. If you need retry capabilities when you use a batch listener, we recommend that you use a RetryTemplate within the listener itself.

# **Stateful Retry**



Now that the SeekToCurrentErrorHandler can be configured with a BackOff and has the ability to retry only certain exceptions (since version 2.3), the use of stateful retry, via the listener adapter retry configuration, is no longer necessary. You can provide the same functionality with appropriate configuration of the error handler and remove all retry configuration from the listener adapter. See Seek To Current Container Error Handlers for more information.

You should understand that the retry discussed in the preceding section suspends the consumer thread (if a BackOffPolicy is used). There are no calls to Consumer.poll() during the retries. Kafka has two properties to determine consumer health. The session.timeout.ms is used to determine if the consumer is active. Since kafka-clients version 0.10.1.0, heartbeats are sent on a background thread, so a slow consumer no longer affects that. max.poll.interval.ms (default: five minutes) is used to determine if a consumer appears to be hung (taking too long to process records from the last poll). If the time between poll() calls exceeds this, the broker revokes the assigned partitions and performs a rebalance. For lengthy retry sequences, with back off, this can easily happen.

Since version 2.1.3, you can avoid this problem by using stateful retry in conjunction with a SeekToCurrentErrorHandler. In this case, each delivery attempt throws the exception back to the container, the error handler re-seeks the unprocessed offsets, and the same message is redelivered

by the next poll(). This avoids the problem of exceeding the max.poll.interval.ms property (as long as an individual delay between attempts does not exceed it). So, when you use an ExponentialBackOffPolicy, you must ensure that the maxInterval is less than the max.poll.interval.ms property. To enable stateful retry, can use the you RetryingMessageListenerAdapter constructor that takes a stateful boolean argument (set it to true). When you configure the listener container factory (for @KafkaListener), set the factory's statefulRetry property to true.



Version 2.2 added recovery to the SeekToCurrentErrorHandler, such as sending a failed record to a dead-letter topic. When using stateful retry, you must perform the recovery in the retry RecoveryCallback and NOT in the error handler. Otherwise, if the recovery is done in the error handler, the retry template's state will never be cleared. Also, you must ensure that the maxFailures in the SeekToCurrentErrorHandler must be at least as many as configured in the retry policy, again to ensure that the retries are exhausted and the state cleared. Here is an example for retry configuration when used with a SeekToCurrentErrorHandler where factory is the ConcurrentKafkaListenerContainerFactory.

However, see the note at the beginning of this section; you can avoid using the RetryTemplate altogether.



If the recoverer fails (throws an exception), the record will be included in the seeks and recovery will be attempted again during the next delivery.

## **Listener Consumer Lifecycle Events**

The following events are published when containers are started and stopped:

- ConsumerStartingEvent published when a consumer thread is first started, before it starts polling.
- ConsumerStartedEvent published when a consumer is about to start polling.
- ConsumerFailedToStartEvent published if no ConsumerStartingEvent is published within the consumerStartTimeout container property. This event might signal that the configured task executor has insufficient threads to support the containers it is used in and their concurrency. An error message is also logged when this condition occurs.
- IdleContainerEvent discussed in Detecting Idle and Non-Responsive Consumers.
- NonResponsiveConsumerEvent discussed in Detecting Idle and Non-Responsive Consumers.
- ConsumerPausedEvent discussed in Pausing and Resuming Listener Containers.
- ConsumerResumedEvent discussed in Pausing and Resuming Listener Containers.
- ConsumerStoppingEvent published when a consumer begins to stop.
- ConsumerStartedEvent published when a consumer is stopped.

# **Detecting Idle and Non-Responsive Consumers**

While efficient, one problem with asynchronous consumers is detecting when they are idle. You might want to take some action if no messages arrive for some period of time.

You can configure the listener container to publish a ListenerContainerIdleEvent when some time passes with no message delivery. While the container is idle, an event is published every idleEventInterval milliseconds.

To configure this feature, set the idleEventInterval on the container. The following example shows how to do so:

```
@Bean
public KafkaMessageListenerContainer(ConsumerFactory<String, String>
consumerFactory) {
    ContainerProperties containerProps = new ContainerProperties("topic1",
    "topic2");
    ...
    containerProps.setIdleEventInterval(60000L);
    ...
    KafkaMessageListenerContainer<String, String> container = new
KafKaMessageListenerContainer<>(...);
    return container;
}
```

The following example shows how to set the idleEventInterval for a @KafkaListener:

In each of these cases, an event is published once per minute while the container is idle.

In addition, if the broker is unreachable, the consumer poll() method does not exit, so no messages are received and idle events cannot be generated. To solve this issue, the container publishes a NonResponsiveConsumerEvent if a poll does not return within 3x the pollInterval property. By default, this check is performed once every 30 seconds in each container. You can modify this behavior by setting the monitorInterval and noPollThreshold properties in the ContainerProperties when configuring the listener container. Receiving such an event lets you stop the containers, thus waking the consumer so that it can terminate.

## **Event Consumption**

You can capture these events by implementing ApplicationListener — either a general listener or one narrowed to only receive this specific event. You can also use @EventListener, introduced in Spring Framework 4.2.

The next example combines <code>@KafkaListener</code> and <code>@EventListener</code> into a single class. You should understand that the application listener gets events for all containers, so you may need to check the listener ID if you want to take specific action based on which container is idle. You can also use the <code>@EventListener</code> condition for this purpose.

See Events for information about event properties.

The event is normally published on the consumer thread, so it is safe to interact with the Consumer object.

The following example uses both <code>@KafkaListener</code> and <code>@EventListener</code>:



Event listeners see events for all containers. Consequently, in the preceding example, we narrow the events received based on the listener ID. Since containers created for the <code>@KafkaListener</code> support concurrency, the actual containers are named <code>id-n</code> where the <code>n</code> is a unique value for each instance to support the concurrency. That is why we use <code>startsWith</code> in the condition.



If you wish to use the idle event to stop the lister container, you should not call <code>container.stop()</code> on the thread that calls the listener. Doing so causes delays and unnecessary log messages. Instead, you should hand off the event to a different thread that can then stop the container. Also, you should not <code>stop()</code> the container instance if it is a child container. You should stop the concurrent container instead.

#### **Current Positions when Idle**

Note that you can obtain the current positions when idle is detected by implementing ConsumerSeekAware in your listener. See onIdleContainer() in `Seeking to a Specific Offset.

#### **Topic/Partition Initial Offset**

There are several ways to set the initial offset for a partition.

When manually assigning partitions, you can set the initial offset (if desired) in the configured TopicPartitionOffset arguments (see Message Listener Containers). You can also seek to a specific offset at any time.

When you use group management where the broker assigns partitions:

- For a new group.id, the initial offset is determined by the auto.offset.reset consumer property (earliest or latest).
- For an existing group ID, the initial offset is the current offset for that group ID. You can, however, seek to a specific offset during initialization (or at any time thereafter).

# Seeking to a Specific Offset

In order to seek, your listener must implement ConsumerSeekAware, which has the following methods:

```
void registerSeekCallback(ConsumerSeekCallback callback);

void onPartitionsAssigned(Map<TopicPartition, Long> assignments,
ConsumerSeekCallback callback);

void onPartitionsRevoked(Collection<TopicPartition> partitions)

void onIdleContainer(Map<TopicPartition, Long> assignments, ConsumerSeekCallback
callback);
```

The registerSeekCallback is called when the container is started and whenever partitions are assigned. You should use this callback when seeking at some arbitrary time after initialization. You should save a reference to the callback. If you use the same listener in multiple containers (or in a ConcurrentMessageListenerContainer), you should store the callback in a ThreadLocal or some other structure keyed by the listener Thread.

When using group management, onPartitionsAssigned is called when partitions are assigned. You can use this method, for example, for setting initial offsets for the partitions, by calling the callback. You can also use this method to associate this thread's callback with the assigned partitions (see the example below). You must use the callback argument, not the one passed into registerSeekCallback. This method is never called if you explicitly assign partitions yourself. Use the TopicPartitionOffset in that case.

onPartitionsRevoked is called when the container is stopped or Kafka revokes assignments. You should discard this thread's callback and remove any associations to the revoked partitions.

The callback has the following methods:

```
void seek(String topic, int partition, long offset);
void seekToBeginning(String topic, int partition);
void seekToEnd(String topic, int partition);
void seekRelative(String topic, int partition, long offset, boolean toCurrent);
void seekToTimestamp(String topic, int partition, long timestamp);
void seekToTimestamp(Collection<TopicPartition> topicPartitions, long timestamp);
```

seekRelative was added in version 2.3, to perform relative seeks.

- offset negative and toCurrent false seek relative to the end of the partition.
- offset positive and toCurrent false seek relative to the beginning of the partition.
- offset negative and toCurrent true seek relative to the current position (rewind).
- offset positive and toCurrent true seek relative to the current position (fast forward).

The seekToTimestamp methods were also added in version 2.3.



When seeking to the same timestamp for multiple partitions in the onIdleContainer or onPartitionsAssigned methods, the second method is preferred because it is more efficient to find the offsets for the timestamps in a single call to the consumer's offsetsForTimes method. When called from other locations, the container will gather all timestamp seek requests and make one call to offsetsForTimes.

You can also perform seek operations from onIdleContainer() when an idle container is detected. See Detecting Idle and Non-Responsive Consumers for how to enable idle container detection.

To arbitrarily seek at runtime, use the callback reference from the registerSeekCallback for the appropriate thread.

Here is a trivial Spring Boot application that demonstrates how to use the callback; it sends 10 records to the topic; hitting <Enter> in the console causes all partitions to seek to the beginning.

```
@SpringBootApplication
public class SeekExampleApplication {
    public static void main(String[] args) {
        SpringApplication.run(SeekExampleApplication.class, args);
    }
    @Bean
    public ApplicationRunner runner(Listener listener, KafkaTemplate<String,</pre>
String> template) {
        return args -> {
            IntStream.range(0, 10).forEach(i -> template.send(
                new ProducerRecord<>("seekExample", i % 3, "foo", "bar")));
            while (true) {
                System.in.read();
                listener.seekToStart();
            }
        };
    }
    @Bean
    public NewTopic topic() {
        return new NewTopic("seekExample", 3, (short) 1);
    }
}
@Component
class Listener implements ConsumerSeekAware {
    private static final Logger logger = LoggerFactory.getLogger(Listener.class);
    private final ThreadLocal<ConsumerSeekCallback> callbackForThread = new
ThreadLocal<>();
    private final Map<TopicPartition, ConsumerSeekCallback> callbacks = new
ConcurrentHashMap<>();
    @Override
    public void registerSeekCallback(ConsumerSeekCallback callback) {
        this.callbackForThread.set(callback);
    }
    @Override
    public void onPartitionsAssigned(Map<TopicPartition, Long> assignments,
ConsumerSeekCallback callback) {
        assignments.keySet().forEach(tp -> this.callbacks.put(tp,
this.callbackForThread.get()));
```

```
@Override
    public void onPartitionsRevoked(Collection<TopicPartition> partitions) {
        partitions.forEach(tp -> this.callbacks.remove(tp));
        this.callbackForThread.remove();
    }
    @Override
    public void onIdleContainer(Map<TopicPartition, Long> assignments,
ConsumerSeekCallback callback) {
    }
    @KafkaListener(id = "seekExample", topics = "seekExample", concurrency = "3")
    public void listen(ConsumerRecord<String, String> in) {
        logger.info(in.toString());
    }
    public void seekToStart() {
        this.callbacks.forEach((tp, callback) ->
callback.seekToBeginning(tp.topic(), tp.partition()));
}
```

To make things simpler, version 2.3 added the AbstractConsumerSeekAware class, which keeps track of which callback is to be used for a topic/partition. The following example shows how to seek to the last record processed, in each partition, each time the container goes idle. It also has methods that allow arbitrary external calls to rewind partitions by one record.

```
public class SeekToLastOnIdleListener extends AbstractConsumerSeekAware {
    @KafkaListener(id = "seekOnIdle", topics = "seekOnIdle")
    public void listen(String in) {
        . . .
    }
    @Override
    public void onIdleContainer(Map<org.apache.kafka.common.TopicPartition, Long>
assignments,
            ConsumerSeekCallback callback) {
            assignments.keySet().forEach(tp -> callback.seekRelative(tp.topic(),
tp.partition(), -1, true));
    }
    /**
    * Rewind all partitions one record.
    public void rewindAllOneRecord() {
        getSeekCallbacks()
            .forEach((tp, callback) ->
                callback.seekRelative(tp.topic(), tp.partition(), -1, true));
    }
    /**
    * Rewind one partition one record.
    public void rewindOnePartitionOneRecord(String topic, int partition) {
        getSeekCallbackFor(new org.apache.kafka.common.TopicPartition(topic,
partition))
            .seekRelative(topic, partition, -1, true);
    }
}
```

#### **Container factory**

As discussed in <code>@KafkaListener</code> Annotation, a <code>ConcurrentKafkaListenerContainerFactory</code> is used to create containers for annotated methods.

with 2.2, Starting version the same factory you can use to create ConcurrentMessageListenerContainer. This might be useful if you want to create several containers with similar properties or you wish to use some externally configured factory, such as the one provided by Spring Boot auto-configuration. Once the container is created, you can further modify its properties, many of which are set by using container.getContainerProperties(). The following example configures a ConcurrentMessageListenerContainer:



Containers created this way are not added to the endpoint registry. They should be created as @Bean definitions so that they are registered with the application context.

# **Thread Safety**

When using a concurrent message listener container, a single listener instance is invoked on all consumer threads. Listeners, therefore, need to be thread-safe, and it is preferable to use stateless listeners. If it is not possible to make your listener thread-safe or adding synchronization would significantly reduce the benefit of adding concurrency, you can use one of a few techniques:

- Use n containers with concurrency=1 with a prototype scoped MessageListener bean so that each container gets its own instance (this is not possible when using @KafkaListener).
- Keep the state in ThreadLocal<?> instances.
- Have the singleton listener delegate to a bean that is declared in SimpleThreadScope (or a similar scope).

To facilitate cleaning up thread state (for the second and third items in the preceding list), starting with version 2.2, the listener container publishes a ConsumerStoppedEvent when each thread exits. You can consume these events with an ApplicationListener or @EventListener method to remove ThreadLocal<?> instances or remove() thread-scoped beans from the scope. Note that SimpleThreadScope does not destroy beans that have a destruction interface (such as DisposableBean), so you should destroy() the instance yourself.



By default, the application context's event multicaster invokes event listeners on the calling thread. If you change the multicaster to use an async executor, thread cleanup is not effective.

## **Monitoring Listener Performance**

Starting with version 2.3, the listener container will automatically create and update Micrometer Timer's for the listener, if Micrometer is detected on the class path, and a MeterRegistry is present in the application context. The timers can be disabled by setting the ContainerProperty micrometerEnabled to false.

Two timers are maintained - one for successful calls to the listener and one for failures.

The timers are named spring.kafka.listener and have the following tags:

• name: (container bean name)

• result: success or failure

• exception: none or ListenerExecutionFailedException

You can add additional tags using the Container Properties micrometer Tags property.



With the concurrent container, timers are created for each thread and the name tag is suffixed with -n where n is 0 to concurrency-1.

## 4.1.4. Transactions

This section describes how Spring for Apache Kafka supports transactions.

#### Overview

The 0.11.0.0 client library added support for transactions. Spring for Apache Kafka adds support in the following ways:

- KafkaTransactionManager: Used with normal Spring transaction support (@Transactional, TransactionTemplate etc).
- Transactional KafkaMessageListenerContainer
- Local transactions with KafkaTemplate

Transactions are enabled by providing the DefaultKafkaProducerFactory with a transactionIdPrefix. In that case, instead of managing a single shared Producer, the factory maintains a cache of transactional producers. When the user calls close() on a producer, it is returned to the cache for reuse instead of actually being closed. The transactional.id property of each producer is transactionIdPrefix + n, where n starts with 0 and is incremented for each new producer, unless the transaction is started by a listener container with a record-based listener. In that case, the transactional.id is <transactionIdPrefix>.<group.id>.<topic>.<partition>. This is to properly support fencing zombies, as described here. This new behavior was added in versions 1.3.7, 2.0.6, 2.1.10, and 2.2.0. If you wish to revert to the previous behavior, you can set the producerPerConsumerPartition property on the DefaultKafkaProducerFactory to false.



While transactions are supported with batch listeners, zombie fencing cannot be supported because a batch may contain records from multiple topics or partitions.

Also see transactionIdPrefix.

## Using KafkaTransactionManager

The KafkaTransactionManager is an implementation of Spring Framework's PlatformTransactionManager. It is provided with a reference to the producer factory in its constructor. If you provide a custom producer factory, it must support transactions. See

#### ProducerFactory.transactionCapable().

You can use the KafkaTransactionManager with normal Spring transaction support (@Transactional, TransactionTemplate, and others). If a transaction is active, any KafkaTemplate operations performed within the scope of the transaction use the transaction's Producer. The manager commits or rolls back the transaction, depending on success or failure. You must configure the KafkaTemplate to use the same ProducerFactory as the transaction manager.

### **Transactional Listener Container and Exactly Once Processing**

You can provide a listener container with a KafkaAwareTransactionManager instance. When so configured, the container starts a transaction before invoking the listener. Any KafkaTemplate operations performed by the listener participate in the transaction. If the listener successfully processes the record (or multiple records, when using a BatchMessageListener), the container sends the offsets to the transaction by using producer.sendOffsetsToTransaction()), before the transaction manager commits the transaction. If the listener throws an exception, the transaction is rolled back and the consumer is repositioned so that the rolled-back record(s) can be retrieved on the next poll. See After-rollback Processor for more information and for handling records that repeatedly fail.

## **Transaction Synchronization**

If you need to synchronize a Kafka transaction with some other transaction, configure the listener container with the appropriate transaction manager (one that supports synchronization, such as the <code>DataSourceTransactionManager</code>). Any operations performed on a transactional <code>KafkaTemplate</code> from the listener participate in a single transaction. The Kafka transaction is committed (or rolled back) immediately after the controlling transaction. Before exiting the listener, you should invoke one of the template's <code>sendOffsetsToTransaction</code> methods (unless you use a <code>ChainedKafkaTransactionManager</code>). For convenience, the listener container binds its consumer group ID to the thread, so, generally, you can use the first method. The following listing shows the two method signatures:

```
void sendOffsetsToTransaction(Map<TopicPartition, OffsetAndMetadata> offsets);
void sendOffsetsToTransaction(Map<TopicPartition, OffsetAndMetadata> offsets,
String consumerGroupId);
```

The following example shows how to use the first signature of the sendOffsetsToTransaction method:



The offset to be committed is one greater than the offset of the records processed by the listener.



You should call this only when you use transaction synchronization. When a listener container is configured to use a KafkaTransactionManager or ChainedKafkaTransactionManager, it takes care of sending the offsets to the transaction.

See Example of Transaction Synchronization for an example application that synchronizes JDBC and Kafka transactions.

#### Using ChainedKafkaTransactionManager

The ChainedKafkaTransactionManager was introduced in version 2.1.3. This is a subclass of ChainedTransactionManager that can have exactly one KafkaTransactionManager. Since it is a KafkaAwareTransactionManager, the container can send the offsets to the transaction in the same way as when the container is configured with a simple KafkaTransactionManager. This provides another mechanism for synchronizing transactions without having to send the offsets to the transaction in the listener code. You should chain your transaction managers in the desired order and provide the ChainedTransactionManager in the ContainerProperties.

See Example of Transaction Synchronization for an example application that synchronizes JDBC and Kafka transactions.

### **KafkaTemplate Local Transactions**

You can use the KafkaTemplate to execute a series of operations within a local transaction. The following example shows how to do so:

```
boolean result = template.executeInTransaction(t -> {
    t.sendDefault("thing1", "thing2");
    t.sendDefault("cat", "hat");
    return true;
});
```

The argument in the callback is the template itself (this). If the callback exits normally, the transaction is committed. If an exception is thrown, the transaction is rolled back.



If there is a KafkaTransactionManager (or synchronized) transaction in process, it is not used. Instead, a new "nested" transaction is used.

#### transactionIdPrefix

As mentioned in the overview, the producer factory is configured with this property to build the producer transactional.id property. There is rather a dichotomy when specifying this property in that, when running multiple instances of the application, it must be the same on all instances to satisfy fencing zombies (also mentioned in the overview) when producing records on a listener container thread. However, when producing records using transactions that are **not** started by a listener container, the prefix has to be different on each instance. Version 2.3, makes this simpler to configure, especially in a Spring Boot application. In previous versions, you had to create two producer factories and KafkaTemplate s - one for producing records on a listener container thread and one for stand-alone transactions started by kafkaTemplate.executeInTransaction() or by a transaction interceptor on a @Transactional method.

Now, you can override the factory's transactionalIdPrefix on the KafkaTemplate and the KafkaTransactionManager.

When using a transaction manager and template for a listener container, you would normally leave this to default to the producer factory's property. This value should be the same for all application instances. For transactions started by the template (or the transaction manager for @Transaction) you should set the property on the template and transaction manager respectively. This property must have a different value on each application instance.

# 4.1.5. Wiring Spring Beans into Producer/Consumer Interceptors

Apache Kafka provides a mechanism to add interceptors to producers and consumers. These objects are managed by Kafka, not Spring, and so normal Spring dependency injection won't work for wiring in dependent Spring Beans. However, you can manually wire in those dependencies using the interceptor <code>config()</code> method. The following Spring Boot application shows how to do this by overriding boot's default factories to add some dependent bean into the configuration properties.

```
@SpringBootApplication
public class Application {
    public static void main(String[] args) {
        SpringApplication.run(Application.class, args);
    @Bean
    public ConsumerFactory<?, ?> kafkaConsumerFactory(KafkaProperties properties,
SomeBean someBean) {
        Map<String, Object> consumerProperties =
properties.buildConsumerProperties();
        consumerProperties.put(ConsumerConfig.INTERCEPTOR_CLASSES_CONFIG,
MyConsumerInterceptor.class.getName());
        consumerProperties.put("some.bean", someBean);
        return new DefaultKafkaConsumerFactory<>(consumerProperties);
    }
    @Bean
    public ProducerFactory<?, ?> kafkaProducerFactory(KafkaProperties properties,
SomeBean someBean) {
        Map<String, Object> producerProperties =
properties.buildProducerProperties();
        producerProperties.put(ProducerConfig.INTERCEPTOR_CLASSES_CONFIG,
MyProducerInterceptor.class.getName());
        producerProperties.put("some.bean", someBean);
        DefaultKafkaProducerFactory<?, ?> factory = new
DefaultKafkaProducerFactory<>(producerProperties);
        String transactionIdPrefix = properties.getProducer()
                .getTransactionIdPrefix();
        if (transactionIdPrefix != null) {
            factory.setTransactionIdPrefix(transactionIdPrefix);
        return factory;
    }
    public SomeBean someBean() {
        return new SomeBean();
    }
    @KafkaListener(id = "kgk897", topics = "kgh897")
    public void listen(String in) {
        System.out.println("Received " + in);
    }
    @Bean
    public ApplicationRunner runner(KafkaTemplate<String, String> template) {
        return args -> template.send("kgh897", "test");
```

```
public class SomeBean {
    public void someMethod(String what) {
        System.out.println(what + " in my foo bean");
    }
}
```

```
public class MyProducerInterceptor implements ProducerInterceptor<String, String>
    private SomeBean bean;
    @Override
    public void configure(Map<String, ?> configs) {
        this.bean = (SomeBean) configs.get("some.bean");
    }
    @Override
    public ProducerRecord<String, String> onSend(ProducerRecord<String, String>
record) {
        this.bean.someMethod("producer interceptor");
        return record;
    }
    @Override
    public void onAcknowledgement(RecordMetadata metadata, Exception exception) {
    @Override
    public void close() {
    }
}
```

```
public class MyConsumerInterceptor implements ConsumerInterceptor<String, String>
    private SomeBean bean;
    @Override
    public void configure(Map<String, ?> configs) {
        this.bean = (SomeBean) configs.get("some.bean");
    }
    @Override
    public ConsumerRecords<String, String> onConsume(ConsumerRecords<String,</pre>
        this.bean.someMethod("consumer interceptor");
        return records;
    }
    @Override
    public void onCommit(Map<TopicPartition, OffsetAndMetadata> offsets) {
    @Override
    public void close() {
}
```

### Result:

```
producer interceptor in my foo bean consumer interceptor in my foo bean Received test
```

# 4.1.6. Pausing and Resuming Listener Containers

Version 2.1.3 added pause() and resume() methods to listener containers. Previously, you could pause a consumer within a ConsumerAwareMessageListener and resume it by listening for a ListenerContainerIdleEvent, which provides access to the Consumer object. While you could pause a consumer in an idle container byi using an event listener, in some cases, this was not thread-safe, since there is no guarantee that the event listener is invoked on the consumer thread. To safely pause and resume consumers, you should use the pause and resume methods on the listener containers. A pause() takes effect just before the next poll(); a resume() takes effect just after the current poll() returns. When a container is paused, it continues to poll() the consumer, avoiding a rebalance if group management is being used, but it does not retrieve any records. See the Kafka

documentation for more information.

Starting with version 2.1.5, you can call <code>isPauseRequested()</code> to see if <code>pause()</code> has been called. However, the consumers might not have actually paused yet. <code>isConsumerPaused()</code> returns true if all <code>Consumer</code> instances have actually paused.

In addition (also since 2.1.5), ConsumerPausedEvent and ConsumerResumedEvent instances are published with the container as the source property and the TopicPartition instances involved in the partitions property.

The following simple Spring Boot application demonstrates by using the container registry to get a reference to a <code>@KafkaListener</code> method's container and pausing or resuming its consumers as well as receiving the corresponding events:

```
@SpringBootApplication
public class Application implements ApplicationListener<KafkaEvent> {
    public static void main(String[] args) {
        SpringApplication.run(Application.class, args).close();
    }
    @Override
    public void onApplicationEvent(KafkaEvent event) {
        System.out.println(event);
    }
    @Bean
    public ApplicationRunner runner(KafkaListenerEndpointRegistry registry,
            KafkaTemplate<String, String> template) {
        return args -> {
            template.send("pause.resume.topic", "thing1");
            Thread.sleep(10_000);
            System.out.println("pausing");
            registry.getListenerContainer("pause.resume").pause();
            Thread.sleep(10_000);
            template.send("pause.resume.topic", "thing2");
            Thread.sleep(10_000);
            System.out.println("resuming");
            registry.getListenerContainer("pause.resume").resume();
            Thread.sleep(10_000);
        };
    }
    @KafkaListener(id = "pause.resume", topics = "pause.resume.topic")
    public void listen(String in) {
        System.out.println(in);
    }
    @Bean
    public NewTopic topic() {
        return TopicBuilder.name("pause.resume.topic")
            .partitions(2)
            .replicas(1)
            .build();
    }
}
```

The following listing shows the results of the preceding example:

```
partitions assigned: [pause.resume.topic-1, pause.resume.topic-0]
thing1
pausing
ConsumerPausedEvent [partitions=[pause.resume.topic-1, pause.resume.topic-0]]
resuming
ConsumerResumedEvent [partitions=[pause.resume.topic-1, pause.resume.topic-0]]
thing2
```

#### 4.1.7. Events

The following events are published by listener containers and their consumers:

- ListenerContainerIdleEvent: Issued when no messages have been received in idleInterval (if configured).
- NonResponsiveConsumerEvent: Issued when the consumer appears to be blocked in the poll method.
- Consumer PausedEvent: Issued by each consumer when the container is paused.
- ConsumerResumedEvent: Issued by each consumer when the container is resumed.
- Consumer Stopping Event: Issued by each consumer just before stopping.
- Consumer StoppedEvent: Issued after the consumer is closed. See Thread Safety.
- ContainerStoppedEvent: Issued when all consumers have terminated.



By default, the application context's event multicaster invokes event listeners on the calling thread. If you change the multicaster to use an async executor, you must not invoke any Consumer methods when the event contains a reference to the consumer.

The Container Idle Event has the following properties:

- source: The listener container instance that published the event.
- container: The listener container or the parent listener container, if the source container is a child.
- id: The listener ID (or container bean name).
- idleTime: The time the container had been idle when the event was published.
- topicPartitions: The topics and partitions that the container was assigned at the time the event was generated.
- consumer: A reference to the Kafka Consumer object. For example, if the consumer's pause() method was previously called, it can resume() when the event is received.
- paused: Whether the container is currently paused. See Pausing and Resuming Listener Containers for more information.

The NonResponsiveConsumerEvent has the following properties:

- source: The listener container instance that published the event.
- container: The listener container or the parent listener container, if the source container is a child.
- id: The listener ID (or container bean name).
- timeSinceLastPoll: The time just before the container last called poll().
- topicPartitions: The topics and partitions that the container was assigned at the time the event was generated.
- consumer: A reference to the Kafka Consumer object. For example, if the consumer's pause() method was previously called, it can resume() when the event is received.
- paused: Whether the container is currently paused. See Pausing and Resuming Listener Containers for more information.

The ConsumerPausedEvent, ConsumerResumedEvent, and ConsumerStopping events have the following properties:

- source: The listener container instance that published the event.
- container: The listener container or the parent listener container, if the source container is a child.
- partitions: The TopicPartition instances involved.

The ConsumerStoppedEvent and ContainerStoppedEvent events have the following properties:

- source: The listener container instance that published the event.
- container: The listener container or the parent listener container, if the source container is a child.

All containers (whether a child or a parent) publish ContainerStoppedEvent. For a parent container, the source and container properties are identical.

# 4.1.8. Serialization, Deserialization, and Message Conversion

### **Overview**

Apache Kafka provides a high-level API for serializing and deserializing record values as well as their keys. It is present with the org.apache.kafka.common.serialization.Serializer<T> and org.apache.kafka.common.serialization.Deserializer<T> abstractions with some built-in implementations. Meanwhile, we can specify serializer and deserializer classes by using Producer or Consumer configuration properties. The following example shows how to do so:

```
props.put(ConsumerConfig.KEY_DESERIALIZER_CLASS_CONFIG,
  IntegerDeserializer.class);
props.put(ConsumerConfig.VALUE_DESERIALIZER_CLASS_CONFIG,
  StringDeserializer.class);
...
props.put(ProducerConfig.KEY_SERIALIZER_CLASS_CONFIG, IntegerSerializer.class);
props.put(ProducerConfig.VALUE_SERIALIZER_CLASS_CONFIG, StringSerializer.class);
```

For more complex or particular cases, the KafkaConsumer (and, therefore, KafkaProducer) provides overloaded constructors to accept Serializer and Deserializer instances for keys and values, respectively.

When you use this API, the DefaultKafkaProducerFactory and DefaultKafkaConsumerFactory also provide properties (through constructors or setter methods) to inject custom Serializer and Deserializer instances into the target Producer or Consumer. Also, you can pass in Supplier<Serializer> or Supplier<Deserializer> instances through constructors - these Supplier s are called on creation of each Producer or Consumer.

#### **JSON**

Spring for Apache Kafka also provides <code>JsonSerializer</code> and <code>JsonDeserializer</code> implementations that are based on the <code>Jackson JSON</code> object mapper. The <code>JsonSerializer</code> allows writing any <code>Java</code> object as a <code>JSON byte[]</code>. The <code>JsonDeserializer</code> requires an additional <code>Class<?> targetType</code> argument to allow the deserialization of a consumed <code>byte[]</code> to the proper target object. The following example shows how to create a <code>JsonDeserializer</code>:

```
JsonDeserializer<Thing> thingDeserializer = new JsonDeserializer<>(Thing.class);
```

You can customize both JsonSerializer and JsonDeserializer with an ObjectMapper. You can also extend them to implement some particular configuration logic in the configure(Map<String, ?> configs, boolean isKey) method.

Starting with version 2.3, all the ISON-aware components are configured by default with a JacksonUtils.enhancedObjectMapper() instance, which comes with MapperFeature.DEFAULT VIEW INCLUSION and DeserializationFeature.FAIL ON UNKNOWN PROPERTIES features disabled. Also such an instance is supplied with well-known modules for custom data types, such a Java time and Kotlin support. See JacksonUtils.enhancedObjectMapper() JavaDocs for information. This method org.springframework.kafka.support.JacksonMimeTypeModule for org.springframework.util.MimeType objects serialization into the plain string for inter-platform compatibility over the network. A JacksonMimeTypeModule can be registered as a bean in the application context and it will be autoconfigured into Spring Boot ObjectMapper instance.

Also starting with version 2.3, the JsonDeserializer provides TypeReference-based constructors for

better handling of target generic container types.

Starting with version 2.1, you can convey type information in record Headers, allowing the handling of multiple types. In addition, you can configure the serializer and deserializer by using the following Kafka properties:

- JsonSerializer.ADD\_TYPE\_INFO\_HEADERS (default true): You can set it to false to disable this feature on the JsonSerializer (sets the addTypeInfo property).
- JsonSerializer.TYPE\_MAPPINGS (default empty): See Mapping Types.
- JsonDeserializer.USE\_TYPE\_INFO\_HEADERS (default true): You can set it to false to ignore headers set by the serializer.
- JsonDeserializer.REMOVE\_TYPE\_INFO\_HEADERS (default true): You can set it to false to retain headers set by the serializer.
- JsonDeserializer.KEY\_DEFAULT\_TYPE: Fallback type for deserialization of keys if no header information is present.
- JsonDeserializer.VALUE\_DEFAULT\_TYPE: Fallback type for deserialization of values if no header information is present.
- JsonDeserializer.TRUSTED\_PACKAGES (default java.util, java.lang): Comma-delimited list of package patterns allowed for deserialization. \* means deserialize all.
- JsonDeserializer.TYPE\_MAPPINGS (default empty): See Mapping Types.

Starting with version 2.2, the type information headers (if added by the serializer) are removed by the deserializer. You can revert to the previous behavior by setting the removeTypeHeaders property to false, either directly on the deserializer or with the configuration property described earlier.

When constructing the serializer/deserializer programmatically for use in the producer/consumer factory, since version 2.3, you can use the fluent API, which simplifies configuration.

The following example assumes you are using Spring Boot:

```
@Bean
public DefaultKafkaProducerFactory pf(KafkaProperties properties) {
    Map<String, Object> props = properties.buildProducerProperties();
    DefaultKafkaProducerFactory pf = new DefaultKafkaProducerFactory(props,
        new JsonSerializer<>(MyKeyType.class)
            .forKeys()
            .noTypeInfo(),
        new JsonSerializer<>(MyValueType.class)
            .noTypeInfo());
}
@Bean
public DefaultKafkaConsumerFactory pf(KafkaProperties properties) {
    Map<String, Object> props = properties.buildConsumerProperties();
    DefaultKafkaConsumerFactory pf = new DefaultKafkaConsumerFactory(props,
        new JsonDeserializer<>(MyKeyType.class)
            .forKeys()
            .ignoreTypeHeaders(),
        new JsonSerializer<>(MyValueType.class)
            .ignoreTypeHeaders());
}
```

### **Mapping Types**

Starting with version 2.2, when using JSON, you can now provide type mappings by using the properties in the preceding list. Previously, you had to customize the type mapper within the serializer and deserializer. Mappings consist of a comma-delimited list of token: className pairs. On outbound, the payload's class name is mapped to the corresponding token. On inbound, the token in the type header is mapped to the corresponding class name.

The following example creates a set of mappings:

```
senderProps.put(ProducerConfig.VALUE_SERIALIZER_CLASS_CONFIG,
   JsonSerializer.class);
senderProps.put(JsonSerializer.TYPE_MAPPINGS, "cat:com.mycat.Cat,
   hat:com.myhat.hat");
...
consumerProps.put(ConsumerConfig.VALUE_DESERIALIZER_CLASS_CONFIG,
   JsonDeserializer.class);
consumerProps.put(JsonDeSerializer.TYPE_MAPPINGS, "cat:com.yourcat.Cat,
   hat:com.yourhat.hat");
```

0

The corresponding objects must be compatible.

If you use Spring Boot, you can provide these properties in the application.properties (or yaml)

```
spring.kafka.producer.value-
serializer=org.springframework.kafka.support.serializer.JsonSerializer
spring.kafka.producer.properties.spring.json.type.mapping=cat:com.mycat.Cat,hat:co
m.myhat.Hat
```

You can perform only simple configuration with properties. For more advanced configuration (such as using a custom <code>ObjectMapper</code> in the serializer and deserializer), you should use the producer and consumer factory constructors that accept a pre-built serializer and deserializer. The following Spring Boot example overrides the default factories:

```
@Bean
public ConsumerFactory<Foo, Bar>
kafkaConsumerFactory(KafkaProperties properties,
    JsonDeserializer customDeserializer) {
    return new
DefaultKafkaConsumerFactory<>(properties.buildConsumerProperties(),
        customDeserializer, customDeserializer);
}
@Bean
public ProducerFactory<Foo, Bar>
kafkaProducerFactory(KafkaProperties properties,
    JsonSerializer customSerializer) {
    return new
DefaultKafkaProducerFactory<>(properties.buildProducerProperties(),
        customSerializer, customSerializer);
}
```

Setters are also provided, as an alternative to using these constructors.

Starting with version 2.2, you can explicitly configure the deserializer to use the supplied target type and ignore type information in headers by using one of the overloaded constructors that have a boolean useHeadersIfPresent (which is true by default). The following example shows how to do so:

#### **Delegating Serializer and Deserializer**

Version 2.3 introduced the DelegatingSerializer and DelegatingDeserializer, which allow producing and consuming records with different key and/or value types. Producers must set a header DelegatingSerializer.SERIALIZATION\_SELECTOR to a selector value that is used to select which serializer to use; if a match is not found, an IllegalStateException is thrown.

For incoming records, the descrializer uses the same header to select the descrializer to use; if a match is not found or the header is not present, the raw byte[] is returned.

You can configure the map of selector to Serializer / Deserializer via a constructor, or you can configure it via Kafka producer/consumer properties with the key DelegatingSerializer.SERIALIZATION\_SELECTOR\_CONFIG. For the serializer, the producer property can be a Map<String, Object> where the key is the selector and the value is a Serializer instance, a serializer Class or the class name. The property can also be a String of comma-delimited map entries, as shown below.

For the deserializer, the consumer property can be a Map<String, Object> where the key is the selector and the value is a Deserializer instance, a deserializer Class or the class name. The property can also be a String of comma-delimited map entries, as shown below.

To configure using properties, use the following syntax:

```
producerProps.put(DelegatingSerializer.SERIALIZATION_SELECTOR_CONFIG,
    "thing1:com.example.MyThing1Serializer,
thing2:com.example.MyThing2Serializer")

consumerProps.put(DelegatingDeserializer.SERIALIZATION_SELECTOR_CONFIG,
    "thing1:com.example.MyThing1Deserializer,
thing2:com.example.MyThing2Deserializer")
```

Producers would then set the DelegatingSerializer.SERIALIZATION\_SELECTOR header to thing1 or thing2.

#### **Retrying Deserializer**

The RetryingDeserializer uses a delegate Deserializer and RetryTemplate to retry deserialization when the delegate might have transient errors, such a network issues, during deserialization.

```
ConsumerFactory cf = new DefaultKafkaConsumerFactory(myConsumerConfigs,
    new RetryingDeserializer(myUnreliableKeyDeserializer, retryTemplate),
    new RetryingDeserializer(myUnreliableValueDeserializer, retryTemplate));
```

Refer to the spring-retry project for configuration of the RetryTemplate with a retry policy, back off policy, etc.

#### **Spring Messaging Message Conversion**

Although the Serializer and Deserializer API is quite simple and flexible from the low-level Kafka Consumer and Producer perspective, you might need more flexibility at the Spring Messaging level, when using either <code>@KafkaListener</code> or <code>Spring Integration</code>. To let you easily convert to and from <code>org.springframework.messaging.Message</code>, Spring for Apache Kafka provides a <code>MessageConverter</code> abstraction with the <code>MessagingMessageConverter</code> implementation and its <code>JsonMessageConverter</code> (and subclasses) customization. You can inject the <code>MessageConverter</code> into a <code>KafkaTemplate</code> instance directly and by using <code>AbstractKafkaListenerContainerFactory</code> bean definition for the <code>@KafkaListener.containerFactory()</code> property. The following example shows how to do so:

When you use a <code>@KafkaListener</code>, the parameter type is provided to the message converter to assist with the conversion.



This type inference can be achieved only when the <code>@KafkaListener</code> annotation is declared at the method level. With a class-level <code>@KafkaListener</code>, the payload type is used to select which <code>@KafkaHandler</code> method to invoke, so it must already have been converted before the method can be chosen.

On the consumer side, you can configure a JsonMessageConverter; it can handle ConsumerRecord values of type byte[], Bytes and String so should be used in conjunction with a ByteArrayDeserializer, BytesDeserializer or StringDeserializer. (byte[] and Bytes are more efficient because they avoid an unnecessary byte[] to String conversion). You can also configure the specific subclass of JsonMessageConverter corresponding to the deserializer, if you so wish.

On the producer side, when you use Spring Integration or the KafkaTemplate.send(Message<?> message) method (see Using KafkaTemplate), you must configure a message converter that is compatible with the configured Kafka Serializer.



- StringJsonMessageConverter with StringSerializer
- BytesJsonMessageConverter with BytesSerializer
- ByteArrayJsonMessageConverter with ByteArraySerializer

Again, using byte[] or Bytes is more efficient because they avoid a String to byte[] conversion.

For convenience, starting with version 2.3, the framework also provides a StringOrBytesSerializer which can serialize all three value types so it can be used with any of the message converters.

#### **Using Spring Data Projection Interfaces**

Starting with version 2.1.1, you can convert JSON to a Spring Data Projection interface instead of a concrete type. This allows very selective, and low-coupled bindings to data, including the lookup of values from multiple places inside the JSON document. For example the following interface can be defined as message payload type:

```
interface SomeSample {
  @JsonPath({ "$.username", "$.user.name" })
  String getUsername();
}
```

```
@KafkaListener(id="projection.listener", topics = "projection")
public void projection(SomeSample in) {
    String username = in.getUsername();
    ...
}
```

Accessor methods will be used to lookup the property name as field in the received JSON document by default. The @JsonPath expression allows customization of the value lookup, and even to define multiple JSON Path expressions, to lookup values from multiple places until an expression returns an actual value.

To enable this feature, use a ProjectingMessageConverter configured with an appropriate delegate converter (used for outbound conversion and converting non-projection interfaces). You must also add spring-data:spring-data-commons and com.jayway.jsonpath:json-path to the class path.

When used as the parameter to a <code>@KafkaListener</code> method, the interface type is automatically passed to the converter as normal.

#### Using ErrorHandlingDeserializer

When a deserializer fails to deserialize a message, Spring has no way to handle the problem, because it occurs before the poll() returns. To solve this problem, version 2.2 introduced the ErrorHandlingDeserializer2. This deserializer delegates to a real deserializer (key or value). If the delegate fails to deserialize the record content, the ErrorHandlingDeserializer2 returns a null value and a DeserializationException in a header that contains the cause and the raw bytes. When you use a record-level MessageListener, if the ConsumerRecord contains a DeserializationException header for either the key or value, the container's ErrorHandler is called with the failed ConsumerRecord. The record is not passed to the listener.

Alternatively, you can configure the ErrorHandlingDeserializer2 to create a custom value by providing a failedDeserializationFunction, which is a Function<FailedDeserializationInfo, T>. This function is invoked to create an instance of T, which is passed to the listener in the usual fashion. An object of type FailedDeserializationInfo, which contains all the contextual information is provided to the function. You can find the DeserializationException (as a serialized Java object) in headers. See the Javadoc for the ErrorHandlingDeserializer2 for more information.



When you use a BatchMessageListener, you must provide a failedDescrializationFunction. Otherwise, the batch of records are not type safe.

You can use the DefaultKafkaConsumerFactory constructor that takes key and value Deserializer objects and wire in appropriate ErrorHandlingDeserializer2 instances that you have configured with the proper delegates. Alternatively, you can use consumer configuration properties (which are used by the ErrorHandlingDeserializer) to instantiate the delegates. The property names are ErrorHandlingDeserializer2.KEY\_DESERIALIZER\_CLASS and ErrorHandlingDeserializer2.VALUE\_DESERIALIZER\_CLASS. The property value can be a class or class name. The following example shows how to set these properties:

```
... // other props
props.put(ConsumerConfig.VALUE_DESERIALIZER_CLASS_CONFIG,
ErrorHandlingDeserializer2.class);
props.put(ConsumerConfig.KEY_DESERIALIZER_CLASS_CONFIG,
ErrorHandlingDeserializer2.class);
props.put(ErrorHandlingDeserializer.KEY_DESERIALIZER_CLASS,
JsonDeserializer.class);
props.put(JsonDeserializer.KEY_DEFAULT_TYPE, "com.example.MyKey")
props.put(ErrorHandlingDeserializer.VALUE_DESERIALIZER_CLASS,
JsonDeserializer.class.getName());
props.put(JsonDeserializer.VALUE_DEFAULT_TYPE, "com.example.MyValue")
props.put(JsonDeserializer.TRUSTED_PACKAGES, "com.example")
return new DefaultKafkaConsumerFactory<>(props);
```

The following example uses a failedDeserializationFunction.

```
public class BadFoo extends Foo {
 private final FailedDeserializationInfo failedDeserializationInfo;
 public BadFoo(FailedDeserializationInfo failedDeserializationInfo) {
    this.failedDeserializationInfo = failedDeserializationInfo;
 }
 public FailedDeserializationInfo getFailedDeserializationInfo() {
    return this.failedDeserializationInfo;
 }
}
public class FailedFooProvider implements Function<FailedDeserializationInfo, Foo>
{
 @Override
 public Foo apply(FailedDeserializationInfo info) {
    return new BadFoo(info);
 }
}
```

The preceding example uses the following configuration:

```
consumerProps.put(ConsumerConfig.VALUE_DESERIALIZER_CLASS_CONFIG,
ErrorHandlingDeserializer2.class);
consumerProps.put(ErrorHandlingDeserializer2.VALUE_DESERIALIZER_CLASS,
JsonDeserializer.class);
consumerProps.put(ErrorHandlingDeserializer2.VALUE_FUNCTION,
FailedFooProvider.class);
...
```

## **Payload Conversion with Batch Listeners**

You can also use a JsonMessageConverter within a BatchMessagingMessageConverter to convert batch messages when you use a batch listener container factory. See Serialization, Deserialization, and Message Conversion and Message Conversion for more information.

By default, the type for the conversion is inferred from the listener argument. If you configure the <code>JsonMessageConverter</code> with a <code>DefaultJackson2TypeMapper</code> that has its <code>TypePrecedence</code> set to <code>TYPE\_ID</code> (instead of the default <code>INFERRED</code>), the converter uses the type information in headers (if present) instead. This allows, for example, listener methods to be declared with interfaces instead of concrete classes. Also, the type converter supports mapping, so the deserialization can be to a different type than the source (as long as the data is compatible). This is also useful when you use <code>class-level @KafkaListener instances</code> where the payload must have already been converted to determine which method to invoke. The following example creates beans that use this method:

Note that, for this to work, the method signature for the conversion target must be a container object with a single generic parameter type, such as the following:

```
@KafkaListener(topics = "blc1")
public void listen(List<Foo> foos, @Header(KafkaHeaders.OFFSET) List<Long>
    offsets) {
        ...
}
```

Note that you can still access the batch headers.

If the batch converter has a record converter that supports it, you can also receive a list of messages where the payloads are converted according to the generic type. The following example shows how to do so:

```
@KafkaListener(topics = "blc3", groupId = "blc3")
public void listen1(List<Message<Foo>>> fooMessages) {
    ...
}
```

#### ConversionService Customization

Starting with version 2.1.1, the org.springframework.core.convert.ConversionService used by the default o.s.messaging.handler.annotation.support.MessageHandlerMethodFactory to resolve parameters for the invocation of a listener method is supplied with all beans that implement any of the following interfaces:

- org.springframework.core.convert.converter.Converter
- org.springframework.core.convert.converter.GenericConverter
- org.springframework.format.Formatter

This lets you further customize listener describilization without changing the default configuration for ConsumerFactory and KafkaListenerContainerFactory.



Setting a custom MessageHandlerMethodFactory on the KafkaListenerEndpointRegistrar through a KafkaListenerConfigurer bean disables this feature.

# 4.1.9. Message Headers

The 0.11.0.0 client introduced support for headers in messages. As of version 2.0, Spring for Apache Kafka now supports mapping these headers to and from spring-messaging MessageHeaders.



Previous versions mapped ConsumerRecord and ProducerRecord to spring-messaging Message<?>, where the value property is mapped to and from the payload and other properties (topic, partition, and so on) were mapped to headers. This is still the case, but additional (arbitrary) headers can now be mapped.

Apache Kafka headers have a simple API, shown in the following interface definition:

```
public interface Header {
    String key();
    byte[] value();
}
```

The KafkaHeaderMapper strategy is provided to map header entries between Kafka Headers and MessageHeaders. Its interface definition is as follows:

```
public interface KafkaHeaderMapper {
    void fromHeaders(MessageHeaders headers, Headers target);
    void toHeaders(Headers source, Map<String, Object> target);
}
```

The DefaultKafkaHeaderMapper maps the key to the MessageHeaders header name and, in order to support rich header types for outbound messages, JSON conversion is performed. A "special" header (with a key of spring\_json\_header\_types) contains a JSON map of <key>:<type>. This header is used on the inbound side to provide appropriate conversion of each header value to the original type.

On the inbound side, all Kafka Header instances are mapped to MessageHeaders. On the outbound side, by default, all MessageHeaders are mapped, except id, timestamp, and the headers that map to ConsumerRecord properties.

You can specify which headers are to be mapped for outbound messages, by providing patterns to the mapper. The following listing shows a number of example mappings:

```
public DefaultKafkaHeaderMapper() { ①
    ...
}

public DefaultKafkaHeaderMapper(ObjectMapper objectMapper) { ②
    ...
}

public DefaultKafkaHeaderMapper(String... patterns) { ③
    ...
}

public DefaultKafkaHeaderMapper(ObjectMapper objectMapper, String... patterns) {
    ...
}
```

- ① Uses a default Jackson ObjectMapper and maps most headers, as discussed before the example.
- ② Uses the provided Jackson ObjectMapper and maps most headers, as discussed before the example.
- ③ Uses a default Jackson ObjectMapper and maps headers according to the provided patterns.
- 4 Uses the provided Jackson ObjectMapper and maps headers according to the provided patterns.

Patterns are rather simple and can contain a leading wildcard (), a trailing wildcard, or both (for example, .cat.\*). You can negate patterns with a leading!. The first pattern that matches a header name (whether positive or negative) wins.

When you provide your own patterns, we recommend including !id and !timestamp, since these headers are read-only on the inbound side.



By default, the mapper deserializes only classes in <code>java.lang</code> and <code>java.util</code>. You can trust other (or all) packages by adding trusted packages with the <code>addTrustedPackages</code> method. If you receive messages from untrusted sources, you may wish to add only those packages you trust. To trust all packages, you can use <code>mapper.addTrustedPackages("\*")</code>.



Mapping String header values in a raw form is useful when communicating with systems that are not aware of the mapper's JSON format.

Starting with version 2.2.5, you can specify that certain string-valued headers should not be mapped using JSON, but to/from a raw byte[]. The AbstractKafkaHeaderMapper has new properties; mapAllStringsOut when set to true, all string-valued headers will be converted to byte[] using the charset property (default UTF-8). In addition, there is a property rawMappedHeaders, which is a map of

header name: boolean; if the map contains a header name, and the header contains a String value, it will be mapped as a raw byte[] using the charset. This map is also used to map raw incoming byte[] headers to String using the charset if, and only if, the boolean in the map value is true. If the boolean is false, or the header name is not in the map with a true value, the incoming header is simply mapped as the raw unmapped header.

The following test case illustrates this mechanism.

```
@Test
public void testSpecificStringConvert() {
    DefaultKafkaHeaderMapper mapper = new DefaultKafkaHeaderMapper();
    Map<String, Boolean> rawMappedHeaders = new HashMap<>();
    rawMappedHeaders.put("thisOnesAString", true);
    rawMappedHeaders.put("thisOnesBytes", false);
    mapper.setRawMappedHeaders(rawMappedHeaders);
    Map<String, Object> headersMap = new HashMap<>();
    headersMap.put("thisOnesAString", "thing1");
    headersMap.put("thisOnesBytes", "thing2");
    headersMap.put("alwaysRaw", "thing3".getBytes());
    MessageHeaders headers = new MessageHeaders(headersMap);
    Headers target = new RecordHeaders();
    mapper.fromHeaders(headers, target);
    assertThat(target).containsExactlyInAnyOrder(
            new RecordHeader("thisOnesAString", "thing1".getBytes()),
            new RecordHeader("thisOnesBytes", "thing2".getBytes()),
            new RecordHeader("alwaysRaw", "thing3".getBytes()));
    headersMap.clear();
    mapper.toHeaders(target, headersMap);
    assertThat(headersMap).contains(
            entry("thisOnesAString", "thing1"),
            entry("thisOnesBytes", "thing2".getBytes()),
            entry("alwaysRaw", "thing3".getBytes()));
}
```

By default, the DefaultKafkaHeaderMapper is used in the MessagingMessageConverter and BatchMessagingMessageConverter, as long as Jackson is on the class path.

With the batch converter, the converted headers are available in the KafkaHeaders.BATCH\_CONVERTED\_HEADERS as a List<Map<String, Object>> where the map in a position of the list corresponds to the data position in the payload.

If there is no converter (either because Jackson is not present or it is explicitly set to null), the headers from the consumer record are provided unconverted in the KafkaHeaders.NATIVE\_HEADERS header. This header is a Headers object (or a List<Headers> in the case of the batch converter), where the position in the list corresponds to the data position in the payload).



Certain types are not suitable for JSON serialization, and a simple toString() serialization might be preferred for these types. The DefaultKafkaHeaderMapper has a method called addToStringClasses() that lets you supply the names of classes that should be treated this way for outbound mapping. During inbound mapping, they are mapped as String. By default, only org.springframework.util.MimeType and org.springframework.http.MediaType are mapped this way.



Starting with version 2.3, handling of String-valued headers is simplified. Such headers are no longer JSON encoded, by default (i.e. they do not have enclosing "" added). The type is still added to the JSON\_TYPES header so the receiving system can convert back to a String (from byte[]). The mapper can handle (decode) headers produced by older versions (it checks for a leading "); in this way an application using 2.3 can consume records from older versions.



To be compatible with earlier versions, set encodeStrings to true, if records produced by a version using 2.3 might be consumed by applications using earlier versions. When all applications are using 2.3 or higher, you can leave the property at its default value of false.

## 4.1.10. Null Payloads and Log Compaction of 'Tombstone' Records

When you use Log Compaction, you can send and receive messages with null payloads to identify the deletion of a key.

You can also receive null values for other reasons, such as a Deserializer that might return null when it cannot deserialize a value.

To send a null payload by using the KafkaTemplate, you can pass null into the value argument of the send() methods. One exception to this is the send(Message<?> message) variant. Since spring-messaging Message<?> cannot have a null payload, you can use a special payload type called KafkaNull, and the framework sends null. For convenience, the static KafkaNull.INSTANCE is provided.

When you use a message listener container, the received ConsumerRecord has a null value().

To configure the <code>@KafkaListener</code> to handle <code>null</code> payloads, you must use the <code>@Payload</code> annotation with <code>required = false</code>. If it is a tombstone message for a compacted log, you usually also need the key so that your application can determine which key was "deleted". The following example shows such a configuration:

```
@KafkaListener(id = "deletableListener", topics = "myTopic")
public void listen(@Payload(required = false) String value,
@Header(KafkaHeaders.RECEIVED_MESSAGE_KEY) String key) {
    // value == null represents key deletion
}
```

When you use a class-level <code>@KafkaListener</code> with multiple <code>@KafkaHandler</code> methods, some additional configuration is needed. Specifically, you need a <code>@KafkaHandler</code> method with a <code>KafkaNull</code> payload. The following example shows how to configure one:

Note that the argument is null, not KafkaNull.



See Manually Assigning All Partitions.

# 4.1.11. Handling Exceptions

This section describes how to handle various exceptions that may arise when you use Spring for Apache Kafka.

#### **Listener Error Handlers**

Starting with version 2.0, the <code>@KafkaListener</code> annotation has a new attribute: <code>errorHandler</code>.

By default, this attribute is not configured.

You can use the errorHandler to provide the bean name of a KafkaListenerErrorHandler implementation. This functional interface has one method, as the following listing shows:

```
@FunctionalInterface
public interface KafkaListenerErrorHandler {
    Object handleError(Message<?> message, ListenerExecutionFailedException exception) throws Exception;
}
```

You have access to the spring-messaging Message<?> object produced by the message converter and the exception that was thrown by the listener, which is wrapped in a ListenerExecutionFailedException. The error handler can throw the original or a new exception, which is thrown to the container. Anything returned by the error handler is ignored.

It has a sub-interface (ConsumerAwareListenerErrorHandler) that has access to the consumer object, through the following method:

```
Object handleError(Message<?> message, ListenerExecutionFailedException exception, Consumer<?, ?> consumer);
```

If your error handler implements this interface, you can, for example, adjust the offsets accordingly. For example, to reset the offset to replay the failed message, you could do something like the following:

Similarly, you could do something like the following for a batch listener:

```
@Bean
public ConsumerAwareListenerErrorHandler listen10ErrorHandler() {
    return (m, e, c) -> {
        this.listen10Exception = e;
        MessageHeaders headers = m.getHeaders();
        List<String> topics = headers.get(KafkaHeaders.RECEIVED TOPIC,
List.class);
        List<Integer> partitions = headers.get(KafkaHeaders.RECEIVED PARTITION ID,
List.class):
        List<Long> offsets = headers.get(KafkaHeaders.OFFSET, List.class);
        Map<TopicPartition, Long> offsetsToReset = new HashMap<>();
        for (int i = 0; i < topics.size(); i++) {
            int index = i;
            offsetsToReset.compute(new TopicPartition(topics.get(i),
partitions.get(i)),
                    (k, v) \rightarrow v == null ? offsets.get(index) : Math.min(v, v)
offsets.get(index)));
        offsetsToReset.forEach((k, v) -> c.seek(k, v));
        return null:
   };
}
```

This resets each topic/partition in the batch to the lowest offset in the batch.



The preceding two examples are simplistic implementations, and you would probably want more checking in the error handler.

#### **Container Error Handlers**

You can specify a global error handler to be used for all listeners in the container factory. The following example shows how to do so:

Similarly, you can set a global batch error handler:

By default, if an annotated listener method throws an exception, it is thrown to the container, and the message is handled according to the container configuration.

#### **Consumer-Aware Container Error Handlers**

The container-level error handlers (ErrorHandler and BatchErrorHandler) have sub-interfaces called ConsumerAwareErrorHandler and ConsumerAwareBatchErrorHandler. The handle method of the ConsumerAwareErrorHandler has the following signature:

```
void handle(Exception thrownException, ConsumerRecord<?, ?> data, Consumer<?, ?>
consumer);
```

The handle method of the ConsumerAwareBatchErrorHandler has the following signature:

```
void handle(Exception thrownException, ConsumerRecords<?, ?> data, Consumer<?, ?>
consumer);
```

Similar to the <code>@KafkaListener</code> error handlers, you can reset the offsets as needed, based on the data that failed.



Unlike the listener-level error handlers, however, you should set the ackOnError container property to false (default) when making adjustments. Otherwise, any pending acks are applied after your repositioning.

#### **Seek To Current Container Error Handlers**

If an ErrorHandler implements RemainingRecordsErrorHandler, the error handler is provided with the failed record and any unprocessed records retrieved by the previous poll(). Those records are not

passed to the listener after the handler exits. The following listing shows the RemainingRecordsErrorHandler interface definition:

```
@FunctionalInterface
public interface RemainingRecordsErrorHandler extends ConsumerAwareErrorHandler {
    void handle(Exception thrownException, List<ConsumerRecord<?, ?>> records,
    Consumer<?, ?> consumer);
}
```

This interface lets implementations seek all unprocessed topics and partitions so that the current record (and the others remaining) are retrieved by the next poll. The SeekToCurrentErrorHandler does exactly this.



ackOnError must be false (which is the default). Otherwise, if the container is stopped after the seek, but before the record is reprocessed, the record will be skipped when the container is restarted.

The container commits any pending offset commits before calling the error handler.

To configure the listener container with this handler, add it to the container factory.

For example, with the <code>@KafkaListener</code> container factory, you can add <code>SeekToCurrentErrorHandler</code> as follows:

```
@Bean
public ConcurrentKafkaListenerContainerFactory<String, String>
kafkaListenerContainerFactory() {
    ConcurrentKafkaListenerContainerFactory<String, String> factory = new
ConcurrentKafkaListenerContainerFactory();
    factory.setConsumerFactory(consumerFactory());
    factory.getContainerProperties().setAckOnError(false);
    factory.getContainerProperties().setAckMode(AckMode.RECORD);
    factory.setErrorHandler(new SeekToCurrentErrorHandler());
    return factory;
}
```

As an example; if the poll returns six records (two from each partition 0, 1, 2) and the listener throws an exception on the fourth record, the container acknowledges the first three messages by committing their offsets. The SeekToCurrentErrorHandler seeks to offset 1 for partition 1 and offset 0 for partition 2. The next poll() returns the three unprocessed records.

If the AckMode was BATCH, the container commits the offsets for the first two partitions before calling the error handler.

Starting with version 2.2, the SeekToCurrentErrorHandler can now recover (skip) a record that keeps failing. By default, after ten failures, the failed record is logged (at the ERROR level). You can configure the handler with a custom recoverer (BiConsumer) and maximum failures. Setting the maxFailures property to a negative number causes infinite retries. The following example configures recovery after three tries:

```
SeekToCurrentErrorHandler errorHandler =
   new SeekToCurrentErrorHandler((record, exception) -> {
        // recover after 3 failures, woth no back off - e.g. send to a dead-letter
topic
   }, new FixedBackOff(OL, 2L));
```

Starting with version 2.2.4, when the container is configured with AckMode.MANUAL\_IMMEDIATE, the error handler can be configured to commit the offset of recovered records; set the commitRecovered property to true.

See also Publishing Dead-letter Records.

When using transactions, similar functionality is provided by the DefaultAfterRollbackProcessor. See After-rollback Processor.

Starting with version 2.3, the SeekToCurrentErrorHandler considers certain exceptions to be fatal, and retries are skipped for such exceptions; the recoverer is invoked on the first failure. The exceptions that are considered fatal, by default, are:

- DeserializationException
- MessageConversionException
- MethodArgumentResolutionException
- NoSuchMethodException
- ClassCastException

since these exceptions are unlikely to be resolved on a retried delivery.

You can add more exception types to the not-retryable category, or completely replace the BinaryExceptionClassifier with your own configured classifier. See the Javadocs for SeekToCurrentErrorHandler for more information, as well as those for the spring-retry BinaryExceptionClassifier.

Here is an example that adds IllegalArgumentException to the not-retryable exceptions:

```
@Bean
public SeekToCurrentErrorHandler errorHandler(BiConsumer<ConsumerRecord<?, ?>,
Exception> recoverer) {
    SeekToCurrentErrorHandler handler = new SeekToCurrentErrorHandler(recoverer);
    handler.addNotRetryableException(IllegalArgumentException.class);
    return handler;
}
```

The SeekToCurrentBatchErrorHandler seeks each partition to the first record in each partition in the batch, so the whole batch is replayed. Also see Committing Offsets for an alternative. This error handler does not support recovery, because the framework cannot know which message in the batch is failing.

After seeking, an exception that wraps the ListenerExecutionFailedException is thrown. This is to cause the transaction to roll back (if transactions are enabled).

Starting with version 2.3, a BackOff can be provided to the SeekToCurrentErrorHandler and DefaultAfterRollbackProcessor so that the consumer thread can sleep for some configurable time between delivery attempts. Spring Framework provides two out of the box BackOff s, FixedBackOff and ExponentialBackOff. The maximum back off time must not exceed the max.poll.interval.ms consumer property, to avoid a rebalance.



Previously, the configuration was "maxFailures" (which included the first delivery attempt). When using a FixedBackOff, its maxAttempts properties represents the number of delivery retries (one less than the old maxFailures property). Also, maxFailures=-1 meant retry indefinitely with the old configuration, with a BackOff you would set the maxAttempts to Long.MAX\_VALUE for a FixedBackOff and leave the maxElapsedTime to its default in an ExponentialBackOff.

The SeekToCurrentBatchErrorHandler can also be configured with a BackOff to add a delay between delivery attempts. Generally, you should configure the BackOff to never return STOP. However, since this error handler has no mechanism to "recover" after retries are exhausted, if the BackOffExecution returns STOP, the previous interval will be used for all subsequent delays. Again, the maximum delay must be less than the max.poll.interval.ms consumer property.



If the recoverer fails (throws an exception), the record will be included in the seeks and recovery will be attempted again during the next delivery.

#### **Container Stopping Error Handlers**

The ContainerStoppingErrorHandler (used with record listeners) stops the container if the listener throws an exception. When the AckMode is RECORD, offsets for already processed records are committed. When the AckMode is any manual value, offsets for already acknowledged records are committed. When the AckMode is BATCH, the entire batch is replayed when the container is restarted (unless transactions are enabled — in which case, only the unprocessed records are re-fetched).

The ContainerStoppingBatchErrorHandler (used with batch listeners) stops the container, and the entire batch is replayed when the container is restarted.

After the container stops, an exception that wraps the ListenerExecutionFailedException is thrown. This is to cause the transaction to roll back (if transactions are enabled).

#### After-rollback Processor

When using transactions, if the listener throws an exception (and an error handler, if present, throws an exception), the transaction is rolled back. By default, any unprocessed records (including the failed record) are re-fetched on the next poll. This is achieved by performing seek operations in the <code>DefaultAfterRollbackProcessor</code>. With a batch listener, the entire batch of records is reprocessed (the container has no knowledge of which record in the batch failed). To modify this behavior, you can configure the listener container with a custom <code>AfterRollbackProcessor</code>. For example, with a record-based listener, you might want to keep track of the failed record and give up after some number of attempts, perhaps by publishing it to a dead-letter topic.

Starting with version 2.2, the DefaultAfterRollbackProcessor can now recover (skip) a record that keeps failing. By default, after ten failures, the failed record is logged (at the ERROR level). You can configure the processor with a custom recoverer (BiConsumer) and maximum failures. Setting the maxFailures property to a negative number causes infinite retries. The following example configures recovery after three tries:

```
AfterRollbackProcessor<String, String> processor =
   new DefaultAfterRollbackProcessor((record, exception) -> {
        // recover after 3 failures, with no back off - e.g. send to a dead-letter
topic
   }, new FixedBackOff(OL, 2L));
```

When you do not use transactions, you can achieve similar functionality by configuring a SeekToCurrentErrorHandler. See Seek To Current Container Error Handlers.



Recovery is not possible with a batch listener, since the framework has no knowledge about which record in the batch keeps failing. In such cases, the application listener must handle a record that keeps failing.

See also Publishing Dead-letter Records.

Starting with version 2.2.5, the DefaultAfterRollbackProcessor can be invoked in a new transaction (started after the failed transaction rolls back). Then, if you are using the DeadLetterPublishingRecoverer to publish a failed record, the processor will send the recovered record's offset in the original topic/partition to the transaction. To enable this feature, set the commitRecovered and kafkaTemplate properties on the DefaultAfterRollbackProcessor.



If the recoverer fails (throws an exception), the record will be included in the seeks and recovery will be attempted again during the next delivery.

Starting with version 2.3.1, similar to the SeekToCurrentErrorHandler, the DefaultAfterRollbackProcessor considers certain exceptions to be fatal, and retries are skipped for such exceptions; the recoverer is invoked on the first failure. The exceptions that are considered fatal, by default, are:

- DeserializationException
- MessageConversionException
- MethodArgumentResolutionException
- NoSuchMethodException
- ClassCastException

since these exceptions are unlikely to be resolved on a retried delivery.

You can add more exception types to the not-retryable category, or completely replace the BinaryExceptionClassifier with your own configured classifier. See the Javadocs for DefaultAfterRollbackProcessor for more information, as well as those for the spring-retry BinaryExceptionClassifier.

Here is an example that adds IllegalArgumentException to the not-retryable exceptions:

```
@Bean
public DefaultAfterRollbackProcessor errorHandler(BiConsumer<ConsumerRecord<?, ?>,
Exception> recoverer) {
    DefaultAfterRollbackProcessor processor = new
DefaultAfterRollbackProcessor(recoverer);
    processor.addNotRetryableException(IllegalArgumentException.class);
    return processor;
}
```

### **Publishing Dead-letter Records**

SeekToCurrentErrorHandler configure As discussed earlier, the and you can DefaultAfterRollbackProcessor with a record recoverer when the maximum number of failures is reached for a record. The framework provides the DeadLetterPublishingRecoverer, which publishes the failed message to another topic. The recoverer requires a KafkaTemplate<Object, Object>, which is used to send the record. You can also, optionally, configure it with a BiFunction<ConsumerRecord<?, ?>, Exception, TopicPartition>, which is called to resolve the destination topic and partition. By default, the dead-letter record is sent to a topic named <originalTopic>.DLT (the original topic name suffixed with .DLT) and to the same partition as the original record. Therefore, when you use the default resolver, the dead-letter topic must have at least as many partitions as the original topic. If the returned TopicPartition has a negative partition, the partition is not set in the ProducerRecord, selected partition is by Kafka. Starting with version 2.2.4. ListenerExecutionFailedException (thrown, for example, when an exception is detected in a @KafkaListener method) is enhanced with the groupId property. This allows the destination resolver to use this, in addition to the information in the ConsumerRecord to select the dead letter topic.

The following example shows how to wire a custom destination resolver:

The record sent to the dead-letter topic is enhanced with the following headers:

- KafkaHeaders.DLT\_EXCEPTION\_FQCN: The Exception class name.
- KafkaHeaders.DLT\_EXCEPTION\_STACKTRACE: The Exception stack trace.
- KafkaHeaders.DLT\_EXCEPTION\_MESSAGE: The Exception message.
- KafkaHeaders.DLT\_ORIGINAL\_TOPIC: The original topic.
- KafkaHeaders.DLT\_ORIGINAL\_PARTITION: The original partition.
- KafkaHeaders.DLT\_ORIGINAL\_OFFSET: The original offset.
- KafkaHeaders.DLT\_ORIGINAL\_TIMESTAMP: The original timestamp.
- KafkaHeaders.DLT\_ORIGINAL\_TIMESTAMP\_TYPE: The original timestamp type.

Starting with version 2.3, when used in conjunction with an ErrorHandlingDeserializer2, the publisher will restore the record value(), in the dead-letter producer record, to the original value that failed to be deserialized. Previously, the value() was null and user code had to decode the DeserializationException from the message headers. In addition, you can provide multiple KafkaTemplate s to the publisher; this might be needed, for example, if you want to publish the byte[] from a DeserializationException, as well as values using a different serializer from records that were deserialized successfully. Here is an example of configuring the publisher with KafkaTemplate s that use a String and byte[] serializer:

The publisher uses the map keys to locate a template that is suitable for the value() about to be published. A LinkedHashMap is recommended so that the keys are examined in order.



If the recoverer fails (throws an exception), the record will be included in the seeks and recovery will be attempted again during the next delivery.

Starting with version 2.3, the recoverer can also be used with Kafka Streams - see Recovery from Deserialization Exceptions for more information.

#### **4.1.12. Kerberos**

Starting with version 2.0, a KafkaJaasLoginModuleInitializer class has been added to assist with Kerberos configuration. You can add this bean, with the desired configuration, to your application context. The following example configures such a bean:

```
@Bean
public KafkaJaasLoginModuleInitializer jaasConfig() throws IOException {
    KafkaJaasLoginModuleInitializer jaasConfig = new
KafkaJaasLoginModuleInitializer();
    jaasConfig.setControlFlag("REQUIRED");
    Map<String, String> options = new HashMap<>();
    options.put("useKeyTab", "true");
    options.put("storeKey", "true");
    options.put("keyTab", "/etc/security/keytabs/kafka_client.keytab");
    options.put("principal", "kafka-client-1@EXAMPLE.COM");
    jaasConfig.setOptions(options);
    return jaasConfig;
}
```

## 4.2. Kafka Streams Support

Starting with version 1.1.4, Spring for Apache Kafka provides first-class support for Kafka Streams. To use it from a Spring application, the kafka-streams jar must be present on classpath. It is an

optional dependency of the spring-kafka project and is not downloaded transitively.

#### **4.2.1. Basics**

The reference Apache Kafka Streams documentation suggests the following way of using the API:

```
// Use the builders to define the actual processing topology, e.g. to specify
// from which input topics to read, which stream operations (filter, map, etc.)
// should be called, and so on.

StreamsBuilder builder = ...; // when using the Kafka Streams DSL

// Use the configuration to tell your application where the Kafka cluster is,
// which serializers/deserializers to use by default, to specify security
settings,
// and so on.
StreamsConfig config = ...;

KafkaStreams streams = new KafkaStreams(builder, config);

// Start the Kafka Streams instance
streams.start();

// Stop the Kafka Streams instance
streams.close();
```

So, we have two main components:

- StreamsBuilder: With an API to build KStream (or KTable) instances.
- KafkaStreams: To manage the lifecycle of those instances.



All KStream instances exposed to a KafkaStreams instance by a single StreamsBuilder are started and stopped at the same time, even if they have different logic. In other words, all streams defined by a StreamsBuilder are tied with a single lifecycle control. Once a KafkaStreams instance has been closed by streams.close(), it cannot be restarted. Instead, a new KafkaStreams instance to restart stream processing must be created.

## 4.2.2. Spring Management

To simplify using Kafka Streams from the Spring application context perspective and use the lifecycle management through a container, the Spring for Apache Kafka introduces StreamsBuilderFactoryBean. This is an AbstractFactoryBean implementation to expose a StreamsBuilder singleton instance as a bean. The following example creates such a bean:

```
@Bean
public FactoryBean<StreamsBuilderFactoryBean>
myKStreamBuilder(KafkaStreamsConfiguration streamsConfig) {
    return new StreamsBuilderFactoryBean(streamsConfig);
}
```



Starting with version 2.2, the stream configuration is now provided as a KafkaStreamsConfiguration object rather than a StreamsConfig.

The StreamsBuilderFactoryBean also implements SmartLifecycle to manage the lifecycle of an internal KafkaStreams instance. Similar to the Kafka Streams API, you must define the KStream instances before you start the KafkaStreams. That also applies for the Spring API for Kafka Streams. Therefore, when you use default autoStartup = true on the StreamsBuilderFactoryBean, you must declare KStream instances on the StreamsBuilder before the application context is refreshed. For example, KStream can be a regular bean definition, while the Kafka Streams API is used without any impacts. The following example shows how to do so:

```
@Bean
public KStream<?, ?> kStream(StreamsBuilder kStreamBuilder) {
   KStream<Integer, String> stream = kStreamBuilder.stream(STREAMING_TOPIC1);
   // Fluent KStream API
   return stream;
}
```

If you would like to control the lifecycle manually (for example, stopping and starting by some condition), you can reference the StreamsBuilderFactoryBean bean directly by using the factory bean (8) prefix. Since StreamsBuilderFactoryBean use its internal KafkaStreams instance, it is safe to stop and restart it again. A new KafkaStreams is created on each start(). You might also consider using different StreamsBuilderFactoryBean instances, if you would like to control the lifecycles for KStream instances separately.

specify KafkaStreams.StateListener, Thread.UncaughtExceptionHandler, StateRestoreListener options on the StreamsBuilderFactoryBean, which are delegated to the internal KafkaStreams instance. Also, from options indirectly apart setting those on StreamsBuilderFactoryBean, starting with version 2.1.5, you can use a KafkaStreamsCustomizer callback interface to configure an inner KafkaStreams instance. Note that KafkaStreamsCustomizer overrides the options provided by StreamsBuilderFactoryBean. If you need to perform some KafkaStreams operations directly, you can access that internal KafkaStreams instance by using StreamsBuilderFactoryBean.getKafkaStreams(). You can autowire StreamsBuilderFactoryBean bean by type, but you should be sure to use the full type in the bean definition, as the following example shows:

```
@Bean
public StreamsBuilderFactoryBean myKStreamBuilder(KafkaStreamsConfiguration
streamsConfig) {
    return new StreamsBuilderFactoryBean(streamsConfig);
}
...
@Autowired
private StreamsBuilderFactoryBean myKStreamBuilderFactoryBean;
```

Alternatively, you can add <code>QQualifier</code> for injection by name if you use interface bean definition. The following example shows how to do so:

```
@Bean
public FactoryBean<StreamsBuilder> myKStreamBuilder(KafkaStreamsConfiguration
streamsConfig) {
    return new StreamsBuilderFactoryBean(streamsConfig);
}
...
@Autowired
@Qualifier("&myKStreamBuilder")
private StreamsBuilderFactoryBean myKStreamBuilderFactoryBean;
```

## 4.2.3. Streams JSON Serialization and Deserialization

For serializing and deserializing data when reading or writing to topics or state stores in JSON format, Spring Kafka provides a JsonSerde implementation that uses JSON, delegating to the JsonSerializer and JsonDeserializer described in Serialization, Deserialization, and Message Conversion. The JsonSerde implementation provides the same configuration options through its constructor (target type or ObjectMapper). In the following example, we use the JsonSerde to serialize and deserialize the Cat payload of a Kafka stream (the JsonSerde can be used in a similar fashion wherever an instance is required):

```
stream.through(Serdes.Integer(), new JsonSerde<>(Cat.class), "cats");
```

When constructing the serializer/deserializer programmatically for use in the producer/consumer factory, since version 2.3, you can use the fluent API, which simplifies configuration.

### 4.2.4. Using KafkaStreamsBrancher

The KafkaStreamBrancher class introduces a more convenient way to build conditional branches on top of KStream.

Consider the following example that does not use KafkaStreamBrancher:

The following example uses KafkaStreamBrancher:

```
new KafkaStreamsBrancher<String, String>()
   .branch((key, value) -> value.contains("A"), ks -> ks.to("A"))
   .branch((key, value) -> value.contains("B"), ks -> ks.to("B"))
   //default branch should not necessarily be defined in the end of the chain!
   .defaultBranch(ks -> ks.to("C"))
   .onTopOf(builder.stream("source"));
   //onTopOf method returns the provided stream so we can continue with method chaining
```

## 4.2.5. Configuration

To configure the Kafka Streams environment, the StreamsBuilderFactoryBean requires a KafkaStreamsConfiguration instance. See the Apache Kafka documentation for all possible options.



Starting with version 2.2, the stream configuration is now provided as a KafkaStreamsConfiguration object, rather than as a StreamsConfig.

To avoid boilerplate code for most cases, especially when you develop microservices, Spring for Apache Kafka provides the @EnableKafkaStreams annotation, which you should place on a @Configuration class. All you need is to declare a KafkaStreamsConfiguration bean named defaultKafkaStreamsConfig. A StreamsBuilderFactoryBean bean, named defaultKafkaStreamsBuilder, is automatically declared in the application context. You can declare and use any additional StreamsBuilderFactoryBean beans as well. Starting with version 2.3, you can perform additional customization of that bean, by providing a bean that implements StreamsBuilderFactoryBeanCustomizer. There must only be one such bean, or one must be marked @Primary.

By default, when the factory bean is stopped, the KafkaStreams.cleanUp() method is called. Starting with version 2.1.2, the factory bean has additional constructors, taking a CleanupConfig object that has properties to let you control whether the cleanUp() method is called during start() or stop() or neither.

#### 4.2.6. Header Enricher

Version 2.3 added the HeaderEnricher implementation of Transformer. This can be used to add headers within the stream processing; the header values are SpEL expressions; the root object of the expression evaluation has 3 properties:

- context the ProcessorContext, allowing access to the current record metadata
- key the key of the current record
- value the value of the current record

The expressions must return a byte[] or a String (which will be converted to byte[] using UTF-8).

To use the enricher within a stream:

```
.transform(() -> enricher)
```

The transformer does not change the key or value; it simply adds headers.

### **4.2.7.** MessagingTransformer

Version 2.3 added the MessagingTransformer this allows a Kafka Streams topology to interact with a Spring Messaging component, such as a Spring Integration flow. The transformer requires an implementation of MessagingFunction.

```
@FunctionalInterface
public interface MessagingFunction {
    Message<?> exchange(Message<?> message);
}
```

Spring Integration automatically provides an implementation using its GatewayProxyFactoryBean. It also requires a MessagingMessageConverter to convert the key, value and metadata (including headers) to/from a Spring Messaging Message<?>. See Calling a Spring Integration flow from a KStream for more information.

## 4.2.8. Recovery from Deserialization Exceptions

Version 2.3 introduced the RecoveringDeserializationExceptionHandler which can take some action when a deserialization exception occurs. Refer to the Kafka documentation about DeserializationExceptionHandler, of which the RecoveringDeserializationExceptionHandler is an implementation. The RecoveringDeserializationExceptionHandler is configured with a ConsumerRecordRecoverer implementation. The framework provides the DeadLetterPublishingRecoverer which sends the failed record to a dead-letter topic. See Publishing Dead-letter Records for more information about this recoverer.

To configure the recoverer, add the following properties to your streams configuration:

Of course, the recoverer() bean can be your own implementation of ConsumerRecordRecoverer.

## 4.2.9. Kafka Streams Example

The following example combines all the topics we have covered in this chapter:

```
@Configuration
@EnableKafka
@EnableKafkaStreams
public static class KafkaStreamsConfig {
    @Bean(name =
KafkaStreamsDefaultConfiguration.DEFAULT_STREAMS_CONFIG_BEAN_NAME)
    public KafkaStreamsConfiguration kStreamsConfigs() {
        Map<String, Object> props = new HashMap<>();
        props.put(StreamsConfig.APPLICATION_ID_CONFIG, "testStreams");
        props.put(StreamsConfig.KEY SERDE CLASS CONFIG,
Serdes.Integer().getClass().getName());
        props.put(StreamsConfig.VALUE_SERDE_CLASS_CONFIG,
Serdes.String().getClass().getName());
        props.put(StreamsConfig.TIMESTAMP_EXTRACTOR_CLASS_CONFIG,
WallclockTimestampExtractor.class.getName());
        return new KafkaStreamsConfiguration(props);
    }
    @Bean
    public StreamsBuilderFactoryBeanCustomizer customizer() {
        return fb -> fb.setStateListener((newState, oldState) -> {
            System.out.println("State transition from " + oldState + " to " +
newState);
        });
    }
    @Bean
    public KStream<Integer, String> kStream(StreamsBuilder kStreamBuilder) {
        KStream<Integer, String> stream =
kStreamBuilder.stream("streamingTopic1");
        stream
                .mapValues(String::toUpperCase)
                .groupByKey()
                .reduce((String value1, String value2) -> value1 + value2,
                        TimeWindows.of(1000),
                        "windowStore")
                .toStream()
                .map((windowedId, value) -> new KeyValue<>(windowedId.key(),
value))
                .filter((i, s) \rightarrow s.length() > 40)
                .to("streamingTopic2");
        stream.print();
        return stream;
    }
}
```

## 4.3. Testing Applications

The spring-kafka-test jar contains some useful utilities to assist with testing your applications.

### 4.3.1. JUnit

o.s.kafka.test.utils.KafkaTestUtils provides some static methods to set up producer and consumer properties. The following listing shows those method signatures:

A JUnit 4 @Rule wrapper for the EmbeddedKafkaBroker is provided to create an embedded Kafka and an embedded Zookeeper server. (See @EmbeddedKafka Annotation for information about using @EmbeddedKafka with JUnit 5). The following listing shows the signatures of those methods:

```
/**

* Create embedded Kafka brokers.

* @param count the number of brokers.

* @param controlledShutdown passed into TestUtils.createBrokerConfig.

* @param topics the topics to create (2 partitions per).

*/

public EmbeddedKafkaRule(int count, boolean controlledShutdown, String... topics)

{ ... }

/**

* Create embedded Kafka brokers.

* @param count the number of brokers.

* @param controlledShutdown passed into TestUtils.createBrokerConfig.

* @param partitions partitions per topic.

* @param topics the topics to create.

*/

public EmbeddedKafkaRule(int count, boolean controlledShutdown, int partitions,
String... topics) { ... }
```

The EmbeddedKafkaBroker class has a utility method that lets you consume for all the topics it created. The following example shows how to use it:

The KafkaTestUtils has some utility methods to fetch results from the consumer. The following listing shows those method signatures:

```
/**
 * Poll the consumer, expecting a single record for the specified topic.
 * @param consumer the consumer.
 * @param topic the topic.
 * @return the record.
 * @throws org.junit.ComparisonFailure if exactly one record is not received.
 */
public static <K, V> ConsumerRecord<K, V> getSingleRecord(Consumer<K, V> consumer, String topic) { ... }

/**
 * Poll the consumer for records.
 * @param consumer the consumer.
 * @return the records.
 */
public static <K, V> ConsumerRecords<K, V> getRecords(Consumer<K, V> consumer) {
 ... }
```

The following example shows how to use KafkaTestUtils:

```
template.sendDefault(0, 2, "bar");
ConsumerRecord<Integer, String> received =
KafkaTestUtils.getSingleRecord(consumer, "topic");
...
```

When the embedded Kafka and embedded Zookeeper server are started by the EmbeddedKafkaBroker, a system property named spring.embedded.kafka.brokers is set to the address of the Kafka brokers and a system property named spring.embedded.zookeeper.connect is set to the address of Zookeeper. Convenient constants (EmbeddedKafkaBroker.SPRING\_EMBEDDED\_KAFKA\_BROKERS and EmbeddedKafkaBroker.SPRING\_EMBEDDED\_ZOOKEEPER\_CONNECT) are provided for this property.

With the EmbeddedKafkaBroker.brokerProperties(Map<String, String>), you can provide additional properties for the Kafka servers. See Kafka Config for more information about possible broker properties.

## 4.3.2. Configuring Topics

The following example configuration creates topics called cat and hat with five partitions, a topic called thing1 with 10 partitions, and a topic called thing2 with 15 partitions:

## 4.3.3. Using the Same Brokers for Multiple Test Classes

There is no built-in support for doing so, but you can use the same broker for multiple test classes with something similar to the following:

```
public final class EmbeddedKafkaHolder {
    private static EmbeddedKafkaRule embeddedKafka = new EmbeddedKafkaRule(1,
false);
    private static boolean started;
    public static EmbeddedKafkaRule getEmbeddedKafka() {
        if (!started) {
            try {
                embeddedKafka.before();
            catch (Exception e) {
                throw new KafkaException(e);
            }
            started = true;
        }
        return embeddedKafka;
    }
    private EmbeddedKafkaHolder() {
        super();
    }
}
```

Then, in each test class, you can use something similar to the following:

```
static {
    EmbeddedKafkaHolder.getEmbeddedKafka().addTopics(topic1, topic2);
}
private static EmbeddedKafkaRule embeddedKafka =
EmbeddedKafkaHolder.getEmbeddedKafka();
```



The preceding example provides no mechanism for shutting down the brokers when all tests are complete. This could be a problem if, say, you run your tests in a Gradle daemon. You should not use this technique in such a situation, or you should use something to call <code>destroy()</code> on the <code>EmbeddedKafkaBroker</code> when your tests are complete.

### 4.3.4. @EmbeddedKafka Annotation

We generally recommend that you use the rule as a <code>@ClassRule</code> to avoid starting and stopping the

broker between tests (and use a different topic for each test). Starting with version 2.0, if you use Spring's test application context caching, you can also declare a EmbeddedKafkaBroker bean, so a single broker can be used across multiple test classes. For convenience, we provide a test class-level annotation called @EmbeddedKafka to register the EmbeddedKafkaBroker bean. The following example shows how to use it:

```
@RunWith(SpringRunner.class)
@DirtiesContext
@EmbeddedKafka(partitions = 1,
         topics = {
                 KafkaStreamsTests.STREAMING_TOPIC1,
                 KafkaStreamsTests.STREAMING TOPIC2 })
public class KafkaStreamsTests {
    @Autowired
    private EmbeddedKafkaBroker embeddedKafka;
    @Test
    public void someTest() {
        Map<String, Object> consumerProps =
KafkaTestUtils.consumerProps("testGroup", "true", this.embeddedKafka);
        consumerProps.put(ConsumerConfig.AUTO_OFFSET_RESET_CONFIG, "earliest");
        ConsumerFactory<Integer, String> cf = new
DefaultKafkaConsumerFactory<>(consumerProps);
        Consumer<Integer, String> consumer = cf.createConsumer();
        this.embeddedKafka.consumeFromAnEmbeddedTopic(consumer,
KafkaStreamsTests.STREAMING_TOPIC2);
        ConsumerRecords<Integer, String> replies =
KafkaTestUtils.getRecords(consumer);
        assertThat(replies.count()).isGreaterThanOrEqualTo(1);
    }
    @Configuration
    @EnableKafkaStreams
    public static class KafkaStreamsConfiguration {
        @Value("${" + EmbeddedKafkaBroker.SPRING_EMBEDDED_KAFKA_BROKERS + "}")
        private String brokerAddresses;
        @Bean(name =
KafkaStreamsDefaultConfiguration.DEFAULT_STREAMS_CONFIG_BEAN_NAME)
        public KafkaStreamsConfiguration kStreamsConfigs() {
            Map<String, Object> props = new HashMap<>();
            props.put(StreamsConfig.APPLICATION_ID_CONFIG, "testStreams");
            props.put(StreamsConfig.BOOTSTRAP_SERVERS_CONFIG,
this.brokerAddresses);
            return new KafkaStreamsConfiguration(props);
        }
    }
}
```

Starting with version 2.2.4, you can also use the @EmbeddedKafka annotation to specify the Kafka

ports property.

The following example sets the topics, brokerProperties, and brokerPropertiesLocation attributes of @EmbeddedKafka support property placeholder resolutions:

In the preceding example, the property placeholders \${kafka.topics.another-topic}, \${kafka.broker.logs-dir}, and \${kafka.broker.port} are resolved from the Spring Environment. In addition, the broker properties are loaded from the broker.properties classpath resource specified by the brokerPropertiesLocation. Property placeholders are resolved for the brokerPropertiesLocation URL and for any property placeholders found in the resource. Properties defined by brokerProperties override properties found in brokerPropertiesLocation.

You can use the @EmbeddedKafka annotation with JUnit 4 or JUnit 5.

## 4.3.5. @EmbeddedKafka Annotation with JUnit5

Starting with version 2.3, there are two ways to use the <code>@EmbeddedKafka</code> annotation with JUnit5. When used with the <code>@SpringJunitConfig</code> annotation, the embedded broker is added to the test application context. You can auto wire the broker into your test, at the class or method level, to get the broker address list.

When **not** using the spring test context, the EmbdeddedKafkaCondition creates a broker; the condition includes a parameter resolver so you can access the broker in your test method...

```
@EmbeddedKafka
public class EmbeddedKafkaConditionTests {

    @Test
    public void test(EmbeddedKafkaBroker broker) {
        String brokerList = broker.getBrokersAsString();
        ...
    }
}
```

A stand-alone (not Spring test context) broker will be created if the class annotated with

<code>@EmbeddedBroker</code> is not also annotated (or meta annotated) with <code>ExtendedWith(SpringExtension.class)</code>. <code>@SpringJunitConfig</code> and <code>@SpringBootTest</code> are so meta annotated and the context-based broker will be used when either of those annotations are also present.



When there is a Spring test application context available, the topics and broker properties can contain property placeholders, which will be resolved as long as the property is defined somewhere. If there is no Spring context available, these placeholders won't be resolved.

## 4.3.6. Embedded Broker in @SpringBootTest Annotations

Spring Initializr now automatically adds the spring-kafka-test dependency in test scope to the project configuration.

If your application uses the Kafka binder in <a href="spring-cloud-stream">spring-cloud-stream</a> and if you want to use an embedded broker for tests, you must remove the <a href="spring-cloud-stream-test-support">support</a> dependency, because it replaces the real binder with a test binder for test cases. If you wish some tests to use the test binder and some to use the embedded broker, tests that use the real binder need to disable the test binder by excluding the binder auto configuration in the test class. The following example shows how to do so:



There are several ways to use an embedded broker in a Spring Boot application test.

#### They include:

- JUnit4 Class Rule
- @EmbeddedKafka Annotation or EmbeddedKafkaBroker Bean

#### **JUnit4 Class Rule**

The following example shows how to use a JUnit4 class rule to create an embedded broker:

Notice that, since this is a Spring Boot application, we override the broker list property to set Boot's property.

#### @EmbeddedKafka Annotation or EmbeddedKafkaBroker Bean

The following example shows how to use an <code>@EmbeddedKafka</code> Annotation to create an embedded broker:

#### 4.3.7. Hamcrest Matchers

The o.s.kafka.test.hamcrest.KafkaMatchers provides the following matchers:

```
/**
* Oparam key the key
* @param <K> the type.
* @return a Matcher that matches the key in a consumer record.
public static <K> Matcher<ConsumerRecord<K, ?>> hasKey(K key) { ... }
* @param value the value.
* @param <V> the type.
* @return a Matcher that matches the value in a consumer record.
public static <V> Matcher<ConsumerRecord<?, V>> hasValue(V value) { ... }
/**
* Oparam partition the partition.
* @return a Matcher that matches the partition in a consumer record.
public static Matcher<ConsumerRecord<?, ?>> hasPartition(int partition) { ... }
/**
* Matcher testing the timestamp of a {@link ConsumerRecord} assuming the topic
has been set with
* {@link org.apache.kafka.common.record.TimestampType#CREATE_TIME CreateTime}.
* Oparam ts timestamp of the consumer record.
* @return a Matcher that matches the timestamp in a consumer record.
*/
public static Matcher<ConsumerRecord<?, ?>> hasTimestamp(long ts) {
 return hasTimestamp(TimestampType.CREATE_TIME, ts);
}
* Matcher testing the timestamp of a {@link ConsumerRecord}
* Oparam type timestamp type of the record
* Qparam ts timestamp of the consumer record.
* @return a Matcher that matches the timestamp in a consumer record.
public static Matcher<ConsumerRecord<?, ?>> hasTimestamp(TimestampType type, long
 return new ConsumerRecordTimestampMatcher(type, ts);
}
```

## 4.3.8. AssertJ Conditions

You can use the following AssertJ conditions:

```
/**
 * @param key the key
 * @param <K> the type.
* @return a Condition that matches the key in a consumer record.
public static <K> Condition<ConsumerRecord<K, ?>> key(K key) { ... }
* @param value the value.
* @param <V> the type.
* @return a Condition that matches the value in a consumer record.
public static <V> Condition<ConsumerRecord<?, V>> value(V value) { ... }
/**
 * Oparam partition the partition.
* @return a Condition that matches the partition in a consumer record.
public static Condition<ConsumerRecord<?, ?>> partition(int partition) { ... }
/**
 * Oparam value the timestamp.
* @return a Condition that matches the timestamp value in a consumer record.
public static Condition<ConsumerRecord<?, ?>> timestamp(long value) {
  return new ConsumerRecordTimestampCondition(TimestampType.CREATE_TIME, value);
}
* Oparam type the type of timestamp
* Oparam value the timestamp.
* @return a Condition that matches the timestamp value in a consumer record.
public static Condition<ConsumerRecord<?, ?>> timestamp(TimestampType type, long
value) {
  return new ConsumerRecordTimestampCondition(type, value);
}
```

## **4.3.9. Example**

The following example brings together most of the topics covered in this chapter:

```
public class KafkaTemplateTests {
    private static final String TEMPLATE TOPIC = "templateTopic";
    @ClassRule
    public static EmbeddedKafkaRule embeddedKafka = new EmbeddedKafkaRule(1, true,
TEMPLATE_TOPIC);
    @Test
    public void testTemplate() throws Exception {
        Map<String, Object> consumerProps = KafkaTestUtils.consumerProps("testT",
"false",
            embeddedKafka.getEmbeddedKafka());
        DefaultKafkaConsumerFactory<Integer, String> cf =
                            new DefaultKafkaConsumerFactory<Integer,</pre>
String>(consumerProps);
        ContainerProperties containerProperties = new
ContainerProperties(TEMPLATE_TOPIC);
        KafkaMessageListenerContainer<Integer, String> container =
                            new KafkaMessageListenerContainer<>(cf,
containerProperties);
        final BlockingQueue<ConsumerRecord<Integer, String>> records = new
LinkedBlockingQueue<>();
        container.setupMessageListener(new MessageListener<Integer, String>() {
            @Override
            public void onMessage(ConsumerRecord<Integer, String> record) {
                System.out.println(record);
                records.add(record);
            }
        });
        container.setBeanName("templateTests");
        container.start();
        ContainerTestUtils.waitForAssignment(container,
embeddedKafka.getEmbeddedKafka().getPartitionsPerTopic());
        Map<String, Object> senderProps =
KafkaTestUtils.senderProps(embeddedKafka.getEmbeddedKafka().getBrokersAsString());
        ProducerFactory<Integer, String> pf =
                            new DefaultKafkaProducerFactory<Integer,</pre>
String>(senderProps);
        KafkaTemplate<Integer, String> template = new KafkaTemplate<>(pf);
        template.setDefaultTopic(TEMPLATE_TOPIC);
        template.sendDefault("foo");
        assertThat(records.poll(10, TimeUnit.SECONDS), hasValue("foo"));
        template.sendDefault(0, 2, "bar");
        ConsumerRecord<Integer, String> received = records.poll(10,
TimeUnit.SECONDS);
```

```
assertThat(received, hasKey(2));
assertThat(received, hasPartition(0));
assertThat(received, hasValue("bar"));
template.send(TEMPLATE_TOPIC, 0, 2, "baz");
received = records.poll(10, TimeUnit.SECONDS);
assertThat(received, hasKey(2));
assertThat(received, hasPartition(0));
assertThat(received, hasValue("baz"));
}
```

The preceding example uses the Hamcrest matchers. With AssertJ, the final part looks like the following code:

```
assertThat(records.poll(10, TimeUnit.SECONDS)).has(value("foo"));
template.sendDefault(0, 2, "bar");
ConsumerRecord<Integer, String> received = records.poll(10, TimeUnit.SECONDS);
assertThat(received).has(key(2));
assertThat(received).has(partition(0));
assertThat(received).has(value("bar"));
template.send(TEMPLATE_TOPIC, 0, 2, "baz");
received = records.poll(10, TimeUnit.SECONDS);
assertThat(received).has(key(2));
assertThat(received).has(partition(0));
assertThat(received).has(value("baz"));
```

# Chapter 5. Tips, Tricks and Examples

## 5.1. Manually Assigning All Partitions

Let's say you want to always read all records from all partitions (such as when using a compacted topic to load a distributed cache), it can be useful to manually assign the partitions and not use Kafka's group management. Doing so can be unwieldy when there are many partitions, because you have to list the partitions. It's also an issue if the number of partitions changes over time, because you would have to recompile your application each time the partition count changes.

The following is an example of how to use the power of a SpEL expression to create the partition list dynamically when the application starts:

```
@KafkaListener(topicPartitions = @TopicPartition(topic = "compacted",
                                                 partitions =
"#{@finder.partitions('compacted')}"))
public void listen(@Header(KafkaHeaders.RECEIVED_MESSAGE_KEY) String key, String
payload) {
@Bean
public PartitionFinder finder(ConsumerFactory<String, String> consumerFactory) {
    return new PartitionFinder(consumerFactory);
}
public static class PartitionFinder {
    private final ConsumerFactory<String, String> consumerFactory;
    public PartitionFinder(ConsumerFactory<String, String> consumerFactory) {
        this.consumerFactory = consumerFactory;
    }
    public String[] partitions(String topic) {
        try (Consumer<String, String> consumer = consumerFactory.createConsumer())
{
            return consumer.partitionsFor(topic).stream()
                .map(pi -> "" + pi.partition())
                .toArray(String[]::new);
        }
    }
}
```

Using this in conjunction with ConsumerConfig.AUTO\_OFFSET\_RESET\_CONFIG=earliest will load all

records each time the application is started. You should also set the container's AckMode to MANUAL to prevent the container from committing offsets for a null consumer group.

# 5.2. Example of Transaction Synchronization

The following Spring Boot application is an example of synchronizing database and Kafka transactions.

```
@SpringBootApplication
public class Application {
    public static void main(String[] args) {
        SpringApplication.run(Application.class, args);
    }
    @Bean
    public ApplicationRunner runner(KafkaTemplate<String, String> template) {
        return args -> template.executeInTransaction(t -> t.send("topic1",
"test"));
    }
    @Bean
    public ChainedKafkaTransactionManager<Object, Object> chainedTm(
            KafkaTransactionManager<String, String> ktm,
            DataSourceTransactionManager dstm) {
        return new ChainedKafkaTransactionManager<>(ktm, dstm);
    }
    @Bean
    public DataSourceTransactionManager dstm(DataSource dataSource) {
        return new DataSourceTransactionManager(dataSource);
    }
    @Bean
    public ConcurrentKafkaListenerContainerFactory<?, ?>
kafkaListenerContainerFactory(
            ConcurrentKafkaListenerContainerFactoryConfigurer configurer,
            ConsumerFactory<Object, Object> kafkaConsumerFactory,
            ChainedKafkaTransactionManager<Object, Object> chainedTM) {
        ConcurrentKafkaListenerContainerFactory<Object, Object> factory =
                new ConcurrentKafkaListenerContainerFactory<>();
        configurer.configure(factory, kafkaConsumerFactory);
        factory.getContainerProperties().setTransactionManager(chainedTM);
        return factory;
    }
    @Component
    public static class Listener {
        private final JdbcTemplate jdbcTemplate;
        private final KafkaTemplate<String, String> kafkaTemplate;
        public Listener(JdbcTemplate jdbcTemplate, KafkaTemplate<String, String>
kafkaTemplate) {
```

```
this.jdbcTemplate = jdbcTemplate;
            this.kafkaTemplate = kafkaTemplate;
        }
        @KafkaListener(id = "group1", topics = "topic1")
        public void listen1(String in) {
            this.kafkaTemplate.send("topic2", in.toUpperCase());
            this.jdbcTemplate.execute("insert into mytable (data) values ('" + in
+ "')");
        @KafkaListener(id = "group2", topics = "topic2")
        public void listen2(String in) {
            System.out.println(in);
        }
    }
    @Bean
    public NewTopic topic1() {
        return TopicBuilder.name("topic1").build();
    }
    @Bean
    public NewTopic topic2() {
        return TopicBuilder.name("topic2").build();
    }
}
```

```
spring.datasource.url=jdbc:mysql://localhost/integration?serverTimezone=UTC
spring.datasource.username=root
spring.datasource.driver-class-name=com.mysql.cj.jdbc.Driver

spring.kafka.consumer.auto-offset-reset=earliest
spring.kafka.consumer.enable-auto-commit=false
spring.kafka.consumer.properties.isolation.level=read_committed

spring.kafka.producer.transaction-id-prefix=tx-
#logging.level.org.springframework.transaction=trace
#logging.level.org.springframework.kafka.transaction=debug
#logging.level.org.springframework.jdbc=debug
```

create table mytable (data varchar(20));

# **Chapter 6. Spring Integration**

This part of the reference guide shows how to use the spring-integration-kafka module of Spring Integration.

## 6.1. Spring Integration for Apache Kafka

#### 6.1.1. Overview

This documentation pertains to versions 2.0.0 and above. For documentation for earlier releases, see the 1.3.x README.

Spring Integration Kafka is an extension module to the Spring Integration Project.

Spring Integration Kafka is now based on the Spring for Apache Kafka project. It provides the following components:

- Outbound Channel Adapter
- Message-driven Channel Adapter
- Inbound Channel Adapter
- Outbound Gateway
- Inbound Gateway

## 6.1.2. What's new in Spring Integration for Apache Kafka (version 3.2)

- The pollable KafkaMessageSource now implements Pausable so the consumer can be paused and resumed. You must continue to poll the adapter while paused, to avoid a topic/partition rebalance. See the discussion about max.poll.records for more information.
- XML configuration is now supported for the gateways and polled inbound channel adapter (in addition to the existing XML support for the other adapters).
- The pollable message source can now be configured to fetch multiple records at-a-time.

## 6.1.3. Outbound Channel Adapter

The Outbound channel adapter is used to publish messages from a Spring Integration channel to Kafka topics. The channel is defined in the application context and then wired into the application that sends messages to Kafka. Sender applications can publish to Kafka by using Spring Integration messages, which are internally converted to Kafka messages by the outbound channel adapter, as follows:

- The payload of the Spring Integration message is used to populate the payload of the Kafka message.
- By default, the kafka\_messageKey header of the Spring Integration message is used to populate the key of the Kafka message.

You can customize the target topic and partition for publishing the message through the kafka\_topic and kafka\_partitionId headers, respectively.

In addition, the <int-kafka:outbound-channel-adapter> provides the ability to extract the key, target topic, and target partition by applying SpEL expressions on the outbound message. To that end, it supports three mutually exclusive pairs of attributes:

- topic and topic-expression
- message-key and message-key-expression
- partition-id and partition-id-expression

These let you specify topic, message-key, and partition-id, respectively, as static values on the adapter or to dynamically evaluate their values at runtime against the request message.



The KafkaHeaders interface (provided by spring-kafka) contains constants used for interacting with headers. The messageKey and topic default headers now require a kafka\_ prefix. When migrating from an earlier version that used the old headers, you need to specify message-key-expression="headers['messageKey']" and topic-expression="headers['topic']" on the <int-kafka:outbound-channel-adapter>. Alternatively, you can change the headers upstream to the new headers from KafkaHeaders by using a <header-enricher> or a MessageBuilder. If you use constant values, you can also configure them on the adapter by using topic and message-key.

NOTE: If the adapter is configured with a topic or message key (either with a constant or expression), those are used and the corresponding header is ignored. If you wish the header to override the configuration, you need to configure it in an expression, such as the following:

```
topic-expression="headers['topic'] != null ? headers['topic'] : 'myTopic'"
```

The adapter requires a KafkaTemplate, which, in turn, requires a suitably configured KafkaProducerFactory.

If a send-failure-channel (sendFailureChannel) is provided and a send failure (sync or async) is received, an ErrorMessage is sent to the channel. The payload is a KafkaSendFailureException with failedMessage, record (the ProducerRecord) and cause properties. You can override the DefaultErrorMessageStrategy by setting the error-message-strategy property.

If a send-success-channel (sendSuccessChannel) is provided, a message with a payload of type org.apache.kafka.clients.producer.RecordMetadata is sent after a successful send.



If your application uses transactions and the same channel adapter is used to publish messages where the transaction is started by a listener container, as well as publishing where there is no existing transaction, you must configure a transactionIdPrefix on the KafkaTemplate to override the prefix used by the container or transaction manager. The prefix used by container-initiated transactions (the producer factory or transaction manager property) must be the same on all application instances. The prefix used for producer-only transactions must be unique on all application instances.

#### **Java Configuration**

The following example shows how to configure the Kafka outbound channel adapter with Java:

```
@Bean
@ServiceActivator(inputChannel = "toKafka")
public MessageHandler handler() throws Exception {
    KafkaProducerMessageHandler<String, String> handler =
            new KafkaProducerMessageHandler<>(kafkaTemplate());
    handler.setTopicExpression(new LiteralExpression("someTopic"));
    handler.setMessageKeyExpression(new LiteralExpression("someKey"));
    handler.setSuccessChannel(successes());
    handler.setFailureChannel(failures());
    return handler;
}
@Bean
public KafkaTemplate<String, String> kafkaTemplate() {
    return new KafkaTemplate<>(producerFactory());
}
@Bean
public ProducerFactory<String, String> producerFactory() {
    Map<String, Object> props = new HashMap<>();
    props.put(ProducerConfig.BOOTSTRAP_SERVERS_CONFIG, this.brokerAddress);
    // set more properties
    return new DefaultKafkaProducerFactory<>(props);
}
```

#### Java DSL Configuration

The following example shows how to configure the Kafka outbound channel adapter Spring Integration Java DSL:

```
@Bean
public ProducerFactory<Integer, String> producerFactory() {
    return new
DefaultKafkaProducerFactory<>(KafkaTestUtils.producerProps(embeddedKafka));
@Bean
public IntegrationFlow sendToKafkaFlow() {
    return f -> f
            .<String>split(p -> Stream.generate(() -> p).limit(101).iterator(),
null)
            .publishSubscribeChannel(c -> c
                    .subscribe(sf -> sf.handle(
                            kafkaMessageHandler(producerFactory(), TEST TOPIC1)
.timestampExpression("T(Long).valueOf('1487694048633')"),
                            e -> e.id("kafkaProducer1")))
                    .subscribe(sf -> sf.handle(
                            kafkaMessageHandler(producerFactory(), TEST_TOPIC2)
                                    .timestamp(m -> 1487694048644L),
                            e -> e.id("kafkaProducer2")))
            );
}
public DefaultKafkaHeaderMapper mapper() {
    return new DefaultKafkaHeaderMapper();
}
private KafkaProducerMessageHandlerSpec<Integer, String, ?> kafkaMessageHandler(
        ProducerFactory<Integer, String> producerFactory, String topic) {
    return Kafka
            .outboundChannelAdapter(producerFactory)
            .messageKey(m -> m
                    .getHeaders()
                    .get(IntegrationMessageHeaderAccessor.SEQUENCE_NUMBER))
            .headerMapper(mapper())
            .partitionId(m -> 10)
            .topicExpression("headers[kafka_topic] ?: '" + topic + "'")
            .configureKafkaTemplate(t -> t.id("kafkaTemplate:" + topic));
}
```

#### **XML Configuration**

The following example shows how to configure the Kafka outbound channel adapter with XML:

```
<int-kafka:outbound-channel-adapter id="kafkaOutboundChannelAdapter"</pre>
                                    kafka-template"
                                    auto-startup="false"
                                    channel="inputToKafka"
                                    topic="foo"
                                    sync="false"
                                    message-key-expression="'bar'"
                                    send-failure-channel="failures"
                                    send-success-channel="successes"
                                    error-message-strategy="ems"
                                    partition-id-expression="2">
</int-kafka:outbound-channel-adapter>
<bean id="template" class="org.springframework.kafka.core.KafkaTemplate">
    <constructor-arg>
        <bean class="org.springframework.kafka.core.DefaultKafkaProducerFactory">
            <constructor-arg>
                <map>
                    <entry key="bootstrap.servers" value="localhost:9092" />
                    ... <!-- more producer properties -->
                </map>
            </constructor-arg>
        </bean>
    </constructor-arg>
</bean>
```

## 6.1.4. Message-driven Channel Adapter

The KafkaMessageDrivenChannelAdapter (<int-kafka:message-driven-channel-adapter>) uses a spring-kafka KafkaMessageListenerContainer or ConcurrentListenerContainer.

Starting with spring-integration-kafka version 2.1, the mode attribute is available. It can accept values of record or batch (default: record). For record mode, each message payload is converted from a single ConsumerRecord. For batch mode, the payload is a list of objects that are converted from all the ConsumerRecord instances returned by the consumer poll. As with the batched <code>@KafkaListener</code>, the <code>KafkaHeaders.RECEIVED\_MESSAGE\_KEY</code>, <code>KafkaHeaders.RECEIVED\_PARTITION\_ID</code>, <code>KafkaHeaders.RECEIVED\_TOPIC</code>, and <code>KafkaHeaders.OFFSET</code> headers are also lists, with positions corresponding to the position in the payload.

Received messages have certain headers populated. See the KafkaHeaders class for more information.



The Consumer object (in the kafka\_consumer header) is not thread-safe. You must invoke its methods only on the thread that calls the listener within the adapter. If you hand off the message to another thread, you must not call its methods.

When a retry-template is provided, delivery failures are retried according to its retry policy. An

error-channel is not allowed in this case. You can use the recovery-callback to handle the error when retries are exhausted. In most cases, this is an ErrorMessageSendingRecoverer that sends the ErrorMessage to a channel.

When building an ErrorMessage (for use in the error-channel or recovery-callback), you can customize the error message by setting the error-message-strategy property. By default, a RawRecordHeaderErrorMessageStrategy is used, to provide access to the converted message as well as the raw ConsumerRecord.

#### **Java Configuration**

The following example shows how to configure a message-driven channel adapter with Java:

```
@Bean
public KafkaMessageDrivenChannelAdapter<String, String>
            adapter(KafkaMessageListenerContainer<String, String> container) {
    KafkaMessageDrivenChannelAdapter<String, String>
kafkaMessageDrivenChannelAdapter =
            new KafkaMessageDrivenChannelAdapter<>(container,
ListenerMode.record);
    kafkaMessageDrivenChannelAdapter.setOutputChannel(received());
    return kafkaMessageDrivenChannelAdapter;
}
@Bean
public KafkaMessageListenerContainer<String, String> container() throws Exception
    ContainerProperties properties = new ContainerProperties(this.topic);
    // set more properties
    return new KafkaMessageListenerContainer<>(consumerFactory(), properties);
}
@Bean
public ConsumerFactory<String, String> consumerFactory() {
    Map<String, Object> props = new HashMap<>();
    props.put(ConsumerConfig.BOOTSTRAP_SERVERS_CONFIG, this.brokerAddress);
    // set more properties
    return new DefaultKafkaConsumerFactory<>(props);
}
```

#### Java DSL Configuration

The following example shows how to configure a message-driven channel adapter with the Spring Integration Java DSL:

```
@Bean
public IntegrationFlow topic1ListenerFromKafkaFlow() {
    return IntegrationFlows
            .from(Kafka.messageDrivenChannelAdapter(consumerFactory(),
                    KafkaMessageDrivenChannelAdapter.ListenerMode.record,
TEST TOPIC1)
                    .configureListenerContainer(c ->
c.ackMode(AbstractMessageListenerContainer.AckMode.MANUAL)
                                     .id("topic1ListenerContainer"))
                    .recoveryCallback(new
ErrorMessageSendingRecoverer(errorChannel(),
                            new RawRecordHeaderErrorMessageStrategy()))
                    .retryTemplate(new RetryTemplate())
                    .filterInRetry(true))
            .filter(Message.class, m ->
                            m.getHeaders().get(KafkaHeaders.RECEIVED MESSAGE KEY,
Integer.class) < 101,</pre>
                    f -> f.throwExceptionOnRejection(true))
            .<String, String>transform(String::toUpperCase)
            .channel(c -> c.queue("listeningFromKafkaResults1"))
            .get();
}
```

Starting with Spring for Apache Kafka version 2.2 (Spring Integration Kafka 3.1), you can also use the container factory that is used for <code>@KafkaListener</code> annotations to create <code>ConcurrentMessageListenerContainer</code> instances for other purposes. See <code>Container factory</code> for an example.

With the Java DSL, the container does not have to be configured as a @Bean, because the DSL registers the container as a bean. The following example shows how to do so:

Notice that, in this case, the adapter is given an id (topic2Adapter). The container is registered in the

application context with a name of topic2Adapter.container. If the adapter does not have an id property, the container's bean name is the container's fully qualified class name plus #n, where n is incremented for each container.

#### **XML Configuration**

The following example shows how to configure a message-driven channel adapter with XML:

```
<int-kafka:message-driven-channel-adapter</pre>
        id="kafkaListener"
        listener-container="container1"
        auto-startup="false"
        phase="100"
        send-timeout="5000"
        mode="record"
        retry-template"
        recovery-callback="callback"
        error-message-strategy="ems"
        channel="someChannel"
        error-channel="errorChannel" />
<bean id="container1"</pre>
class="org.springframework.kafka.listener.KafkaMessageListenerContainer">
    <constructor-arg>
        <bean class="org.springframework.kafka.core.DefaultKafkaConsumerFactory">
            <constructor-arg>
                <map>
                <entry key="bootstrap.servers" value="localhost:9092" />
                </map>
            </constructor-arg>
        </bean>
    </constructor-arg>
    <constructor-arg>
        <bean
class="org.springframework.kafka.listener.config.ContainerProperties">
            <constructor-arg name="topics" value="foo" />
        </bean>
    </constructor-arg>
</bean>
```

## 6.1.5. Inbound Channel Adapter

Introduced in version 3.0.1, the KafkaMessageSource provides a pollable channel adapter implementation.

#### **Java Configuration**

```
@InboundChannelAdapter(channel = "fromKafka", poller = @Poller(fixedDelay =
"5000"))
@Bean
public KafkaMessageSource<String, String> source(ConsumerFactory<String, String>
cf) {
    KafkaMessageSource<String, String> source = new KafkaMessageSource<>(cf,
"myTopic");
    source.setGroupId("myGroupId");
    source.setClientId("myClientId");
    return source;
}
```

Refer to the javadocs for available properties.

By default, max.poll.records must be either explicitly set in the consumer factory, or it will be forced to 1 if the consumer factory is a DefaultKafkaConsumerFactory. Starting with version 3.2, you can set the property allowMultiFetch to true to override this behavior.



You must poll the consumer within max.poll.interval.ms to avoid a rebalance. If you set allowMultiFetch to true you must process all the retrieved records, and poll again, within max.poll.interval.ms.

Messages emitted by this adapter contain a header kafka\_remainingRecords with a count of records remaining from the previous poll.

#### **Java DSL Configuration**

#### **XML Configuration**

```
<int-kafka:inbound-channel-adapter
    id="adapter1"
    consumer-factory="consumerFactory"
    ack-factory="ackFactory"
    topics="topic1"
    channel="inbound"
    client-id="client"
    group-id="group"
    message-converter="converter"
    payload-type="java.lang.String"
    raw-header="true"
    auto-startup="false"
    rebalance-listener="rebal">
    <int:poller fixed-delay="5000"/>
    </int-kafka:inbound-channel-adapter>
```

#### 6.1.6. Outbound Gateway

The outbound gateway is for request/reply operations. It differs from most Spring Integration gateways in that the sending thread does not block in the gateway and the reply is processed on the reply listener container thread. If your code invokes the gateway behind a synchronous Messaging Gateway, the user thread blocks there until the reply is received (or a timeout occurs).



The gateway does not accept requests until the reply container has been assigned its topics and partitions. It is suggested that you add a ConsumerRebalanceListener to the template's reply container properties and wait for the onPartitionsAssigned call before sending messages to the gateway.

#### **Java Configuration**

The following example shows how to configure a gateway with Java:

Refer to the javadocs for available properties.

Notice that the same class as the <u>outbound channel adapter</u> is used, the only difference being that the Kafka template passed into the constructor is a ReplyingKafkaTemplate. See <u>Using ReplyingKafkaTemplate</u> for more information.

The outbound topic, partition, key, and so on are determined in the same way as the outbound adapter. The reply topic is determined as follows:

- 1. A message header named KafkaHeaders.REPLY\_TOPIC (if present, it must have a String or byte[] value) is validated against the template's reply container's subscribed topics.
- 2. If the template's replyContainer is subscribed to only one topic, it is used.

You can also specify a KafkaHeaders.REPLY\_PARTITION header to determine a specific partition to be used for replies. Again, this is validated against the template's reply container's subscriptions.

#### Java DSL Configuration

The following example shows how to configure an outbound gateway with the Java DSL:

Alternatively, you can also use a configuration similar to the following bean:

#### **XML Configuration**

```
<int-kafka:outbound-gateway</pre>
    id="allProps"
    error-message-strategy="ems"
    kafka-template"
   message-key-expression="'key'"
    order="23"
    partition-id-expression="2"
    reply-channel="replies"
    reply-timeout="43"
    request-channel="requests"
    requires-reply="false"
    send-success-channel="successes"
    send-failure-channel="failures"
    send-timeout-expression="44"
    sync="true"
    timestamp-expression="T(System).currentTimeMillis()"
    topic-expression="'topic'"/>
```

#### 6.1.7. Inbound Gateway

The inbound gateway is for request/reply operations.

The following example shows how to configure an inbound gateway with Java:

Refer to the javadocs for available properties.

The following example shows how to configure a simple upper case converter with the Java DSL:

Alternatively, you could configure an upper-case converter by using code similar to the following:

Starting with Spring for Apache Kafka version 2.2 (Spring Integration Kafka 3.1), you can also use the container factory that is used for <code>@KafkaListener</code> annotations to create <code>ConcurrentMessageListenerContainer</code> instances for other purposes. See <code>Container</code> factory and <code>Message-driven Channel Adapter</code> for examples.

#### **XML Configuration**

```
<int-kafka:inbound-gateway
    id="gateway1"
    listener-container="container1"
    kafka-template="template"
    auto-startup="false"
    phase="100"
    request-timeout="5000"
    request-channel="nullChannel"
    reply-channel="errorChannel"
    reply-timeout="43"
    message-converter="messageConverter"
    payload-type="java.lang.String"
    error-message-strategy="ems"
    retry-template="retryTemplate"
    recovery-callback="recoveryCallback"/>
```

See the XML schema for a description of each property.

## 6.1.8. Message Conversion

A StringJsonMessageConverter is provided. See Serialization, Deserialization, and Message Conversion for more information.

When using this converter with a message-driven channel adapter, you can specify the type to which you want the incoming payload to be converted. This is achieved by setting the payload-type attribute (payloadType property) on the adapter. The following example shows how to do so in XML configuration:

The following example shows how to set the payload-type attribute (payloadType property) on the adapter in Java configuration:

### 6.1.9. Null Payloads and Log Compaction 'Tombstone' Records

Spring Messaging Message<?> objects cannot have null payloads. When you use the Kafka endpoints, null payloads (also known as tombstone records) are represented by a payload of type KafkaNull. See Null Payloads and Log Compaction of 'Tombstone' Records for more information.

Starting with version 3.1 of Spring Integration Kafka, such records can now be received by Spring Integration POJO methods with a true null value instead. To do so, mark the parameter with <code>@Payload(required = false)</code>. The following example shows how to do so:

## 6.1.10. Calling a Spring Integration flow from a KStream

You can use a MessagingTransformer to invoke an integration flow from a KStream:

```
@Bean
public KStream<byte[], byte[]> kStream(StreamsBuilder kStreamBuilder,
        MessagingTransformer<byte[], byte[], byte[]> transformer) transformer) {
    KStream<byte[], byte[]> stream = kStreamBuilder.stream(STREAMING_TOPIC1);
    stream.mapValues((ValueMapper<byte[], byte[]>) String::toUpperCase)
            .transform(() -> transformer)
            .to(streamingTopic2);
    stream.print(Printed.toSysOut());
    return stream;
}
@Bean
@DependsOn("flow")
public MessagingTransformer<byte[], byte[], String> transformer(
        MessagingFunction function) {
    MessagingMessageConverter converter = new MessagingMessageConverter();
    converter.setHeaderMapper(new SimpleKafkaHeaderMapper("*"));
    return new MessagingTransformer<>(function, converter);
}
@Bean
public IntegrationFlow flow() {
    return IntegrationFlows.from(MessagingFunction.class)
        .get();
}
```

When an integration flow starts with an interface, the proxy that is created has the name of the flow bean, appended with ".gateway" so this bean name can be used a a <code>@Qualifier</code> if needed.

## 6.1.11. What's New in Spring Integration for Apache Kafka

See the Spring for Apache Kafka Project Page for a matrix of compatible spring-kafka and kafkaclients versions.

#### 3.2.x

- The KafkaMessageSource 's Consumer can now be paused and resumed.
- XML configuration for gateways and the pollable source.
- The KafkaMessageSource can now be configured to fetch multiple records on each poll().
- The MessagingTransformer allows you to invoke a Spring Integration flow from a Kafka streams topology.

#### 3.1.x

- Update to spring-kafka 2.2.x and kafka-clients 2.0.0
- Support tombstones in EIP POJO Methods

#### 3.0.x

- Update to spring-kafka 2.1.x and kafka-clients 1.0.0
- Support Consumer Aware Message Listener (Consumer is available in a message header)
- Update to Spring Integration 5.0 and Java 8
- Moved Java DSL to the main project
- Added inbound and outbound gateways (3.0.2)

#### 2.3.x

The 2.3.x branch introduced the following changes:

- Update to spring-kafka 1.3.x, including support for transactions and header mapping provided by kafka-clients 0.11.0.0
- Support for record timestamps

#### 2.2.x

The 2.2.x branch introduced the following changes:

• Update to spring-kafka 1.2.x

#### 2.1.x

The 2.1.x branch introduced the following changes:

- Update to spring-kafka 1.1.x, including support of batch payloads
- Support sync outbound requests in XML configuration
- Support payload-type for inbound channel adapters
- Support for enhanced error handling for the inbound channel adapter (2.1.1)
- Support for send success and failure messages (2.1.2)

#### 2.0.x

The 2.0.x version was the first version to be based on Spring for Apache Kafka and the Java clients. Earlier versions used the scala clients directly.

# Chapter 7. Other Resources

In addition to this reference documentation, we recommend a number of other resources that may help you learn about Spring and Apache Kafka.

- Apache Kafka Project Home Page
- Spring for Apache Kafka Home Page
- Spring for Apache Kafka GitHub Repository
- Spring Integration Kafka Extension GitHub Repository

# **Appendix A: Change History**

# A.1. Changes between 2.1 and 2.2

#### A.1.1. Kafka Client Version

This version requires the 2.0.0 kafka-clients or higher.

## A.1.2. Class and Package Changes

The ContainerProperties class has been moved from org.springframework.kafka.listener.config to org.springframework.kafka.listener.

The AckMode enum has been moved from AbstractMessageListenerContainer to ContainerProperties.

The setBatchErrorHandler() and setErrorHandler() methods have been moved from ContainerProperties to both AbstractMessageListenerContainer and AbstractKafkaListenerContainerFactory.

#### A.1.3. After Rollback Processing

A new AfterRollbackProcessor strategy is provided. See After-rollback Processor for more information.

## A.1.4. ConcurrentKafkaListenerContainerFactory Changes

You can now use the ConcurrentKafkaListenerContainerFactory to create and configure any ConcurrentMessageListenerContainer, not only those for @KafkaListener annotations. See Container factory for more information.

## A.1.5. Listener Container Changes

A new container property (missingTopicsFatal) has been added. See Using KafkaMessageListenerContainer for more information.

A Consumer StoppedEvent is now emitted when a consumer terminates. See Thread Safety for more information.

Batch listeners can optionally receive the complete ConsumerRecords<?, ?> object instead of a List<ConsumerRecord<?, ?>. See Batch listeners for more information.

The DefaultAfterRollbackProcessor and SeekToCurrentErrorHandler can now recover (skip) records that keep failing, and, by default, does so after 10 failures. They can be configured to publish failed records to a dead-letter topic.

Starting with version 2.2.4, the consumer's group ID can be used while selecting the dead letter topic name.

See After-rollback Processor, Seek To Current Container Error Handlers, and Publishing Dead-letter

Records for more information.

The ConsumerStoppingEvent has been added. See Events for more information.

The SeekToCurrentErrorHandler can now be configured to commit the offset of a recovered record when the container is configured with AckMode.MANUAL\_IMMEDIATE (since 2.2.4). See Seek To Current Container Error Handlers for more information.

## A.1.6. @KafkaListener Changes

You can now override the concurrency and autoStartup properties of the listener container factory by setting properties on the annotation. You can now add configuration to determine which headers (if any) are copied to a reply message. See @KafkaListener Annotation for more information.

You can now use <code>@KafkaListener</code> as a meta-annotation on your own annotations. See <code>@KafkaListener</code> as a Meta Annotation for more information.

It is now easier to configure a Validator for @Payload validation. See @KafkaListener @Payload Validation for more information.

You can now specify kafka consumer properties directly on the annotation; these will override any properties with the same name defined in the consumer factory (since version 2.2.4). See Annotation Properties for more information.

## A.1.7. Header Mapping Changes

Headers of type MimeType and MediaType are now mapped as simple strings in the RecordHeader value. Previously, they were mapped as JSON and only MimeType was decoded. MediaType could not be decoded. They are now simple strings for interoperability.

Also, the DefaultKafkaHeaderMapper has a new addToStringClasses method, allowing the specification of types that should be mapped by using toString() instead of JSON. See Message Headers for more information.

## A.1.8. Embedded Kafka Changes

The KafkaEmbedded class and its KafkaRule interface have been deprecated in favor of the EmbeddedKafkaBroker and its JUnit 4 EmbeddedKafkaRule wrapper. The @EmbeddedKafka annotation now populates an EmbeddedKafkaBroker bean instead of the deprecated KafkaEmbedded. This change allows the use of @EmbeddedKafka in JUnit 5 tests. The @EmbeddedKafka annotation now has the attribute ports to specify the port that populates the EmbeddedKafkaBroker. See Testing Applications for more information.

## A.1.9. JsonSerializer/Deserializer Enhancements

You can now provide type mapping information by using producer and consumer properties.

New constructors are available on the descrializer to allow overriding the type header information with the supplied target type.

The JsonDeserializer now removes any type information headers by default.

You can now configure the JsonDeserializer to ignore type information headers by using a Kafka property (since 2.2.3).

See Serialization, Deserialization, and Message Conversion for more information.

#### A.1.10. Kafka Streams Changes

The streams configuration bean must now be a KafkaStreamsConfiguration object instead of a StreamsConfig object.

The StreamsBuilderFactoryBean has been moved from package ©core to ©config.

The KafkaStreamBrancher has been introduced for better end-user experience when conditional branches are built on top of KStream instance.

See Kafka Streams Support and Configuration for more information.

#### A.1.11. Transactional ID

When a transaction is started by the listener container, the transactional.id is now the transactionIdPrefix appended with <group.id>.<topic>.<partition>. This change allows proper fencing of zombies, as described here.

# A.2. Changes between 2.0 and 2.1

#### A.2.1. Kafka Client Version

This version requires the 1.0.0 kafka-clients or higher.



The 1.1.x client is supported with version 2.1.5, but you need to override dependencies as described in [deps-for-11x].

The 1.1.x client is supported natively in version 2.2.

## A.2.2. JSON Improvements

The StringJsonMessageConverter and JsonSerializer now add type information in Headers, letting the converter and JsonDeserializer create specific types on reception, based on the message itself rather than a fixed configured type. See Serialization, Deserialization, and Message Conversion for more information.

## A.2.3. Container Stopping Error Handlers

Container error handlers are now provided for both record and batch listeners that treat any exceptions thrown by the listener as fatal/ They stop the container. See Handling Exceptions for more information.

#### A.2.4. Pausing and Resuming Containers

The listener containers now have pause() and resume() methods (since version 2.1.3). See Pausing and Resuming Listener Containers for more information.

## A.2.5. Stateful Retry

Starting with version 2.1.3, you can configure stateful retry. See Stateful Retry for more information.

#### A.2.6. Client ID

Starting with version 2.1.1, you can now set the client.id prefix on <code>@KafkaListener</code>. Previously, to customize the client ID, you needed a separate consumer factory (and container factory) per listener. The prefix is suffixed with -n to provide unique client IDs when you use concurrency.

#### A.2.7. Logging Offset Commits

By default, logging of topic offset commits is performed with the DEBUG logging level. Starting with version 2.1.2, a new property in ContainerProperties called commitLogLevel lets you specify the log level for these messages. See Using KafkaMessageListenerContainer for more information.

#### A.2.8. Default @KafkaHandler

Starting with version 2.1.3, you can designate one of the <code>@KafkaHandler</code> annotations on a class-level <code>@KafkaListener</code> as the default. See <code>@KafkaListener</code> on a Class for more information.

## A.2.9. ReplyingKafkaTemplate

Starting with version 2.1.3, a subclass of KafkaTemplate is provided to support request/reply semantics. See Using ReplyingKafkaTemplate for more information.

## A.2.10. ChainedKafkaTransactionManager

Version 2.1.3 introduced the ChainedKafkaTransactionManager. See Using ChainedKafkaTransactionManager for more information.

## A.2.11. Migration Guide from 2.0

See the 2.0 to 2.1 Migration guide.

# A.3. Changes Between 1.3 and 2.0

## A.3.1. Spring Framework and Java Versions

The Spring for Apache Kafka project now requires Spring Framework 5.0 and Java 8.

#### A.3.2. @KafkaListener Changes

You can now annotate <code>@KafkaListener</code> methods (and classes and <code>@KafkaHandler</code> methods) with <code>@SendTo.</code> If the method returns a result, it is forwarded to the specified topic. See Forwarding <code>Listener</code> Results using <code>@SendTo</code> for more information.

#### A.3.3. Message Listeners

Message listeners can now be aware of the Consumer object. See Message Listeners for more information.

#### A.3.4. Using ConsumerAwareRebalanceListener

Rebalance listeners can now access the Consumer object during rebalance notifications. See Rebalancing Listeners for more information.

# A.4. Changes Between 1.2 and 1.3

## A.4.1. Support for Transactions

The 0.11.0.0 client library added support for transactions. The KafkaTransactionManager and other support for transactions have been added. See Transactions for more information.

## A.4.2. Support for Headers

The 0.11.0.0 client library added support for message headers. These can now be mapped to and from spring-messaging MessageHeaders. See Message Headers for more information.

## A.4.3. Creating Topics

The 0.11.0.0 client library provides an AdminClient, which you can use to create topics. The KafkaAdmin uses this client to automatically add topics defined as @Bean instances.

## A.4.4. Support for Kafka Timestamps

KafkaTemplate now supports an API to add records with timestamps. New KafkaHeaders have been introduced regarding timestamp support. Also, new KafkaConditions.timestamp() and KafkaMatchers.hasTimestamp() testing utilities have been added. See Using KafkaTemplate, @KafkaListener Annotation, and Testing Applications for more details.

## A.4.5. @KafkaListener Changes

You can now configure a KafkaListenerErrorHandler to handle exceptions. See Handling Exceptions for more information.

By default, the <code>@KafkaListener</code> id property is now used as the <code>group.id</code> property, overriding the property configured in the consumer factory (if present). Further, you can explicitly configure the <code>groupId</code> on the annotation. Previously, you would have needed a separate container factory (and

consumer factory) to use different group.id values for listeners. To restore the previous behavior of using the factory configured group.id, set the idIsGroup property on the annotation to false.

#### A.4.6. @EmbeddedKafka Annotation

For convenience, a test class-level <code>@EmbeddedKafka</code> annotation is provided, to register <code>KafkaEmbedded</code> as a bean. See <code>Testing Applications</code> for more information.

## A.4.7. Kerberos Configuration

Support for configuring Kerberos is now provided. See Kerberos for more information.

# A.5. Changes between 1.1 and 1.2

This version uses the 0.10.2.x client.

# A.6. Changes between 1.0 and 1.1

#### A.6.1. Kafka Client

This version uses the Apache Kafka 0.10.x.x client.

#### A.6.2. Batch Listeners

Listeners can be configured to receive the entire batch of messages returned by the consumer.poll() operation, rather than one at a time.

## A.6.3. Null Payloads

Null payloads are used to "delete" keys when you use log compaction.

#### A.6.4. Initial Offset

When explicitly assigning partitions, you can now configure the initial offset relative to the current position for the consumer group, rather than absolute or relative to the current end.

#### **A.6.5. Seek**

You can now seek the position of each topic or partition. You can use this to set the initial position during initialization when group management is in use and Kafka assigns the partitions. You can also seek when an idle container is detected or at any arbitrary point in your application's execution. See Seeking to a Specific Offset for more information.