

OS

↳ Introduction :

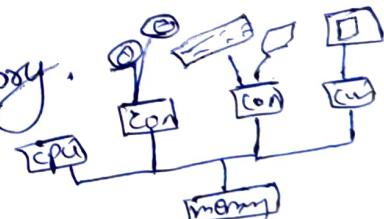
- ↳ OS manages the Computer hardware.
Resources like 'cpu, memory
I/O devices.'
- ↳ acts as intermediary between computer hardware
and user interface.
- ↳ It allocates the Resources efficiently.

goals:-

- ↳ It is very convenient to the user
- ↳ It provides an efficient usage of a system.
- ↳ It provides maximum throughput.

↳ Common bus :

- ↳ A Computer system consists of one or more cpus and many device controllers which are connected to a common line or bus. that can give an access to share information between them and memory.



↳ device controllers :

- ↳ Controllers consist of many I/O devices connected to it, ~~not~~ works individually..

↳ memory is shared concurrently to avoid log.

↳ memory controller:

↳ It synchronise memory in a smoother way to all the controllers.

↳ Bootstrap programs:

↳ program that runs when we reboot or powerup the computer.

↳ It will load the operating system.

↳ It is stored in the ~~memory~~, ROM.

↳ It will load OS kernel into main memory. (↓)
is It will load OS kernel into main
memory. (↓)
(kernel)

↳ Interrupt:

↳ Hardware will interrupt the CPU.
by sending the signal through system bus.
and CPU will be forced to execute the task
given presently.

↳ system call:

↳ software will interrupt the CPU
by sending the signal through system bus.

→ Interrupt ~~contains~~ a service Routine ~~but~~
It contains starting address and work that
has to do. and then after completing the
work it will resume with first one.

Computer storage structure consists of
main memory and secondary memory.
In the main memory programs \oplus software
are loaded.
In the secondary memory programs \oplus software
are stored.
If the computer has more ram then it will
be faster \oplus it loads very fast.
If the power of computer is on. then main
memory and secondary memory will be active.
If the power of computer is off. then main
memory will not be active. only secondary memory
will be active.

Device controllers maintains local Buffer storage
and set of registers.
Device controllers have device drivers that will help
to understand the nature of device and provides
uniform interface.

- ↳ Working of I/O operation.
 - ↳ Device controllers have device drivers that will help to load the registers.
 - ↳ Those registers contains the action that has to be done. Once the device controller starts buffering the data to the local buffer.
 - ↳ After completing the buffer the device controller informs the device driver via an interrupt that it has completed its work.
 - ↳ Then device driver returns the control to OS.
- ↳ This is only applicable for small amount of data limitation.
- ↳ To solve this problem DMA (Direct Memory Access) is used which is directly transfers the block of data from our buffer to memory.
- ↳ It Interrupts only once when its work is completed and also at that time CPU is not have any work so it can be used for other I/O works.
- ↳ we can solve this issue by DMA

↳ Computer system consists of single processor system and multi processor system.

↳ Single processor system has only one main CPU. It has to execute all the instructions that has given.

↳ Multiprocessor system has more than one CPU.

↳ All CPUs are closely communicated and they share common system bus and memory, which will help the work easier and if one CPU ~~goes~~ not working then other can take over.

↳ Performance increases
↳ Convenience.

↳ Types of multiprocessors.

↳ Symmetric processors → Here all the CPUs are involved work, that is equally shared.
↳ Asymmetric processors → Here every single processor has its own work, job that will assigned by a master CPU.

↳ clustered system → Here they are composed of two or more individual systems coupled together.

↳ OS structure:

↳ OS is capable of doing multiprogramming and Time sharing (multitasking)

multiprogramming

↳ It increases the CPU utilization.

· very efficiently, it assigns the CPU for all the work and it keeps it busy.

↳ Work like memory & peripheral devices but there is no user interaction with system.

multitasking:

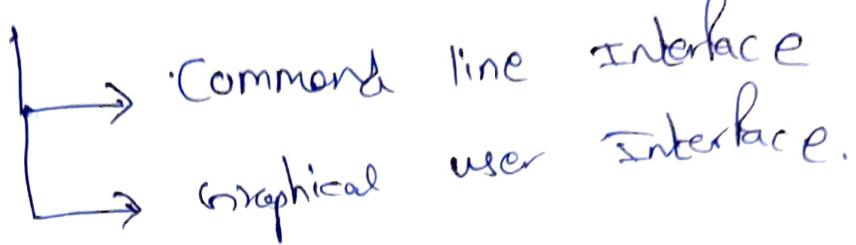
↳ It provides a direct communication b/w the user and the system, and CPU ^{can} breaks multiple jobs by switching among them very frequently. (User does not feel any delay while using).

process:

→ A program loaded into memory and executing is called process.

OS Services :-

→ User Interface



→ program execution.

↳ Execute @ run the software, programs.

→ I/O operation.

↳ OS is present in b/w user and CPU.
which will controls the usage of I/O devices. Ex: keyboard, mouse--

→ File system manipulation.

↳ Create, edit, delete - controlled by OS.

→ Communication

↳ communication b/w the processes are controlled by OS. where those process are present in some computer @ different computer with is connect by a network.

→ Error detection

↳ OS detects the error in the computer and saves the computer from breakdown

→ Resource Allocation

↳ It allocates the resource to all the processes simultaneously.

→ Accounting
↳ It will keep record of every user usages in the system and what they used.

→ protection and security
↳ Access to the system resources are controlled.
↳ Access to the system resources are not allowed by outside users.

↳ OS Interface
↳ OS provides CLI which allows the user to enter some commands that are to be performed by OS.
↳ OS also provides GUI which allow the user to directly communicate by using keyboard, mouse, etc.
↳ multiple command interpreters are called shells.

↳ System calls:

↳ system call provide an interface ^{to} for the services that are made available by an OS.

↳ we have two modes one is user mode and kernel mode. In user mode ^{when} the user wants to do some task then there will be no access to the memory or any other resources.

But in kernel mode we can access all the resources.

↳ system call is nothing but when user is doing some task in the user mode and if he wants to use kernel mode then a call is requested for the service provided by OS in the kernel mode the call is known as system call.

↳ Types of system calls:

↳ process control.

↳ if the processes wants to end, abort, load, execute, create process, terminate process, wait for time, allocate memory, free the memory. we need system calls.

↳ file manipulation.

↳ To create file, To delete file, To open, To close, To read or write or reposition we need system call.

- ↳ Device manipulation.
 - ↳ To logically attach & detach the devices and read, write and reposition and setting attributes we need system calls.
- ↳ Information maintenance.
 - ↳ setting and getting the time & date
 - ↳ To ~~maintain~~ maintain the system upto date we need system calls.
- ↳ Communications.
 - ↳ For the communication between the process we need system calls to send & receive messages and share status information we need system calls.
- ↳ System programs:
 - ↳ System programs helps the user with the OS by providing ; programs that helps in managing files . by file management , programs that helps in showing the status information in the system , programs that helps in file modifications such modification that done inside the file , program that helps in providing programming - language support like compilers , Assemblers = etc , and also Absolute links , Relocatable , bodies -- etc

↳ system provides a virtual connection among processes, users with computer systems over webpages, mail --- etc.

↳ To Design an OS

↳ we need:

↳ we have to choose nice Hardware.

↳ Type of the system.

↳ Requirements:

↳ User goals

↳ System goals.

↳ Implementation of OS

↳ OS have been written in assembly language

↳ But now we have C, C++, Java --- etc.

↳ process management.

process: when a program begins its execution at that time is known as process.

Threads:

A thread is the unit of execution within the process. A process can have one to many threads.

process state :

A process can change its state according to their environment.

- ↳ New state → A process is being created.
- ↳ Running state → A process is executing.
- ↳ waiting state → A process is waiting for some event to occur.
- ↳ ready state → A process is being created or it is ready to execute.
- ↳ termination state → A process is terminating from the execution.

process control block:

- ↳ process is represented in OS as process control block.
- ↳ process control block contains the related information of the process such as process state, process number etc.
- ↳ process number → It contains unique ID of process
- ↳ process state → It tells in which state the process is present.
- ↳ process Counter → It contains the address of the next instruction.
- ↳ CPU Registers → Registers that are being used by the process.

↳ CPU scheduling - Information:

↳ It contains the order in which the process has to be executed.

↳ memory management information

↳ It contains the memory related information of the process. such as memory used by the process

--- etc

↳ Accounting information:

↳ In this block it keeps the records of certain things such as resource used, status information --- etc.

↳ I/O states information:

↳ Information that are related to the

I/O devices for the process.

↳ process scheduling:

process from.

↳ process scheduler selects the available process from the system and gives the job and assigns them in a ready.

↳ Job queue → when a process wants the job and wants to run any program then they are put into a job queue.

↳ Ready queue \rightarrow Here when the process got the job and works to execute they put it in a ready queue and keep them waiting for CPU to be free for execution.

Context switch

↳ First one process is executing and it is using CPU and suddenly an interrupt occurred and it wants the CPU. \rightarrow Here we have two cases, one is if the process is less important than the current process. Then it will be waiting in the ready queue and the second is if the process is highly important then the currently running process has to save the context and it has to swap with new process that is important and after processing is done then the old process that has stopped has to resume. It will restore.

↳ This task is known as Context switching,
↓
save & restore.

↳ While switching, the CPU ~~will do~~ ^{won't do} any work.

↳ It ~~speed~~ speed of switching varies from machine to machine.

↳ How process is created?

↳ A processes are created by create-process system call during the execution time.

↳ Here we have two types of process.

↳ parent process

↳ A process that creates another process is called parent process

↳ child process

↳ A process that is created by a parent process is called child process.

↳ This can grow like a tree. Any process can create another child process.

↳ When a process creates a new process, then there exists two possibilities.

① execution time :-

↳ The parent continues to execute simultaneously with the child process.

↳ The parent continues its execution after child process completes.

② address space of process :-

↳ The child process is duplicate to parent process.

↳ The child process has new program.

- ↳ How processes are terminated.
 - ↳ The process terminates by calling exit() system call after completing the execution.
 - ↳ After terminating the process will return to its parent by a wait() system call.
 - ↳ After terminating the process deallocated the resources which were allocated during the execution.
 - ↳ And ~~the~~ parent process can terminate the child process by a system call.
 - (That can be done by only parent process.)
 - ↳ Reasons for terminating the child process is
 - ① If excessively using the resources.
 - ② If task assigned to it completed.
 - ③ If no longer required.
 - ④ If the parent is terminated then child should terminate.

- ↳ Interprocess Communication (IPC)
 - ↳ The way of communication between the processes is known as IPC

- ↳ In OS if two processes are executing simultaneously then those processes can be
 - ↳ independent processes.
 - ↳ Cannot effect or effected by other process.
- ↳ Cooperating processes
 - ↳ can effect or effected by other process.
 - ↳ If the two processes share same data then they will effect each other.

- ↳ why processes has to cooperate?
 - ↳ Information sharing (user wants common work)
 - ↳ Computation speed up (divide & conquer)
 - ↳ modularity (dividing modules for solving. and finally we will combine them)
 - ↳ For combining we use common processes
 - ↳ Convenience (easy for user).

- ↳ For Inter process communication we have two fundamental communications.
 - ① shared memory → A common region of memory is shared b/w processes.
 - ② message passing model → By sending/receiving messages b/w processes.

↳ shared memory system:

- ↳ A common shared memory region is required for communication b/w the processes.
- ↳ If there exists a processes 'A' and 'B' then, if process 'A' wants to communicate it writes in the shared memory. and B will read from that shared memory.
- ↳ This shared memory will resides in the address space of the processes.
- ↳ The shared memory should create \oplus attach ~~shared by~~ is decided by the respective processes.

↳ message passing system:

- ↳ M.P.S mechanism processes must communicate and synchronize their actions by sending & receiving messages without any shared memory.
- ↳ By send message & receive message.
- ↳ message can be fixed \oplus variable size.
- ↳ message \rightarrow system level operation are implemented.
 - ↳ programming tasks are very simple.

↳ For sending and receiving message we need a logically implemented communication link.

For that we have.

↳ Direct & indirect communication

↳ synchronous & asynchronous communication.

↳ Automatic & explicit buffering.

↳ Direct communication is done by name of the processes.

↳ Indirect communication is done by the common mailboxes or ports b/w the processes.

↳ Synchronous communication

↳ The sending process will be blocked until receiver receives message.

↳ The receiver process will be blocked until message is available.

↳ Asynchronous communication:

↳ The sending process will not be blocked.

↳ The receiver process will not be blocked.

- ↳ zero capacity Buffer
 - ↳ Buffer acts as path b/w the sender & receiver.
- ↳ Bounded Capacity Buffer
 - ↳ Buffer is of finite length
 - ↳ Buffer is full sender should wait until the space is available to fill.
- ↳ unbounded Buffer
 - ↳ in unbounded Buffer capacity has infinite length.
 - ↳ The Buffer

- ↳ Sockets:
 - ↳ These are used for communication in client - server systems
 - ↳ Socket is an end point of the communication.
 - ↳ A socket is identified by an IP address and with port number
 - ↳ port no should be greater than 1024
- ↳ servers
 - ↳ http → 80
 - ↳ P2P → 21
 - ↳ telnet → 23

Threads

- ↳ A thread is a basic unit of CPU utilization.
- ↳ A executing program has multiple process and process consists of multiple threads and thread is basic unit in it.
- ↳ A thread consists of
 - Thread ID
 - program counter
 - register set
 - stack.
- If the threads consists of same process then it shares code section, data section, other OS resources such as files and signals.
- ↳ If a process has single thread then it cannot perform more than one task at a time.
- ↳ If a process has multiple threads then it can perform more than one task at a time.
- ↳ Benefits of multi-threaded programming.
 - ① multiple thread works simultaneously and multiple tasks will be done.. and user will won't wait for one task to complete..

- ② Resources sharing is happening in the multi-threading.
- ③ It is more economical to create one use.
- ④ In multiprocessor system, the multiple threads will be more helpful to complete the tasks very quickly. CPU is used efficiently.

↳ Types of threads :-

- ↳ user threads.
 - ↳ which are handled by the user.
- ↳ kernel threads.
 - ↳ which are handled directly by the OS.
- ↳ Connection b/w user thread and kernel thread is established in three different ways.

- ① many to one model.
 - ↳ many user level connects to one kernel threads.
 - ↳ It is very efficient because thread library is manages the threads in user level.

Limitations:

- ↳ The entire process will be blocked if one thread makes a blocking system call.
- ↳ Only one kernel thread can run in only processor at a time.

② One to One model:

- ↳ Each thread is connected with each kernel thread in a processor.
- ↳ If one thread is blocked then it won't effect other threads in the processor.
- ↳ Multiple threads can run in multiple processor at the same time.

Limitations:

- ↳ We have to create kernel thread for every user thread.
- ↳ The application has to restrict the no of threads that are supported because performance decreases.

- ③ many-to-many model.
- ↳ many user threads are connected to smaller @ equal to the no of kernel threads.
 - ↳ The no of kernels are specific for the application.
 - ↳ Here user threads can run parallel on multiprocessor.
 - ↳ if one thread is blocked then other can take over.

- Hyper threading
- ↳ The physical cores of the processors logically divided into multiple processors so that multiple threads can be supported at same time.

- Fork system call
- ↳ The fork system call is used to create a separate duplicate process.

exec():

- ↳ when exec system call is called then it will replace the entire process including threads with another process.

Threading Issues:

- ↳ if one thread in a program calls fork() then the new process will duplicate all threads but in some unix systems it only duplicates the invoked thread.
- ↳ If exec() is called immediately after forking then duplicating only the calling thread is appropriate.
- ↳ If exec() is not called immediately after forking then new process should duplicate all threads.
- ↳ If thread is cancelled before its task completion then it is called terminating.
- ↳ If a thread that is to be cancelled is called target thread.

↳ we have two types of cancellation.

1) Asynchronous cancellation.

↳ A thread with ~~cancel~~ ~~canceling~~ ~~terminates~~ terminates

↳ A target thread is terminated immediately by another thread.

2) Deferred cancellation.

↳ A target thread will terminate by itself in an orderly fashion.

↳ deferred cancellation is much better cancellation because it is very safe and resources will be deallocated safely.

↳ main() & runner function are used to create own control threads.

↳ CPU scheduling:

↳ Here CPU is scheduled b/w the processes by OS.

(By switching the ~~process~~ ^{CPU} among the processes)

↳ Here In single processor system, only one process can run at a time. If other process wants the CPU then it has to wait. Even if CPU is free.

↳ And In multiprocessor system if one process is running and if it wants to execute by I/O resource then it has to wait.

↳ So, At that time CPU is not doing any work.

↳ But our goal is to have some process to run all the time so that one CPU is utilized properly.

↳ Here waiting time is wasted.

↳ If important process wants CPU then that should wait until the CPU is free.

↳ So that why CPU scheduler came to use in the OS.

↳ It will keep all the ~~process~~ processes in the memory at the time.

↳ If one process is waiting then CPU scheduler allocate the CPU to other process at that time.

↳ CPU burst

↳ when a process is begin. Its execution then
it is CPU burst

↳ I/O burst

↳ when a process is waits for input or
output operation it is I/O burst.

↳ processes will alternate b/w these two states.

CPU scheduler:

↳ if the processes are in the ready queue then CPU
scheduler selects the process which are in ready queue
and assigns the CPU to them, one increases the CPU
utilization and CPU won't be idle.

Dispatcher:

: The Dispatcher is the module that gives control of
the CPU to the process selected by the CPU
Scheduler.

↳ Time taken by the dispatcher to switch the CPU from
one process to another is dispatch latency.

↳ preemptive scheduling:

↳ Here processes are ~~not disturbed until~~ if they are ~~waiting~~ switching from termination @ if they are ~~waiting~~ switching from waiting state to a

↳ what are reasons for making CPU scheduler to take decisions?

- ↳ If process switching from running state to waiting state.
- ↳ If process switches from running state to ready state.
- ↳ If process switches from ready state to ready state.
- ↳ If process terminates.

↳ preemptive scheduling take place . If the process switches from running state to ready state @ waiting state to ready state.

↳ Non preemptive scheduling take place if the process switches from running state to waiting state @ if process terminates.

↳ non preemptive scheduling is ^{more} better than preemptive scheduling.

scheduling criteria depends these terms ?

- ↳ CPU utilization.
 - ↳ we have to keep CPU ~~as~~ as busy as possible.
- ↳ Throughput:
 - ↳ Workdone by the number of process per unit time.
- ↳ Turnaround time.
 - ↳ sum of times that takes by the processes waiting to get into memory + waiting in the ready queue.
 - + executing on the CPU + doing I/O operations.
- ↳ Waiting time.
 - ↳ time spent waiting in the ready queue.
- ↳ Response time
 - ↳ time from the submission of a request until the first response is produced.

First-come, First-served scheduling algorithm (FCFS)

- ↳ The process that requests the CPU first is allocated the CPU first.
- ↳ In this algorithm the average waiting time is very long.
- ↳ Here if the processes having less burst time arrives later and if they request CPU first then the average waiting time will be less.
- ↳ Waiting times depends on burst time.
- ↳ FCFS algorithm is non-preemptive.

Limitations:

- ↳ If the process has higher burst time and it arrives first and they will take more time and other processes after that has to wait for long time.
- ↳ In time sharing system the processes has to share the CPU frequently because the user will won't face any tag. but here it is not possible.

$$\begin{aligned} \text{Turn Around Time} &= \text{Completion Time} - \text{Arrival Time.} \\ \text{Waiting Time} &= \text{TAT} - \text{Burst Time} \end{aligned}$$

Shortest Job First

- Here the processes with least burst time is assigned CPU first.
- If the CPU burst time are same then FCFS scheduling is used.
- It can be preemptive or non-preemptive.
- Non preemptive scheduling depends on the length of the next CPU burst of a process.

Limitations:

→ Knowing the length of the next CPU burst of the process.

→ There is no way to know the length of the next CPU burst.

$$\text{Waiting Time} = \text{Total waiting time} - \text{burst time that executed}$$

→ Arrival time.

$$\text{Efficiency} = \frac{\text{Useful Time}}{\text{Total Time}}$$

Priority scheduling

- ↳ A process with the highest priority get the CPU first.
- ↳ CPU burst inversely proportional to the priority.
- ↳ Priority scheduling can be preemptive or nonpreemptive.

Limitation:-

- ↳ A low priority process will never get the CPU because higher priority processes will prevent them from getting the CPU.
- ↳ To solve this problem we will gradually increase the priority of processes that wait in the system for long time, this technique is called aging.

Round Robin scheduling:-

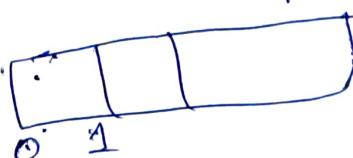
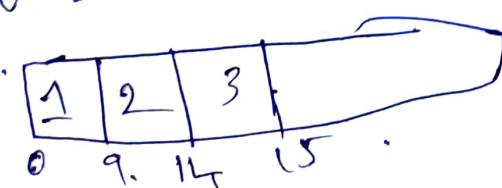
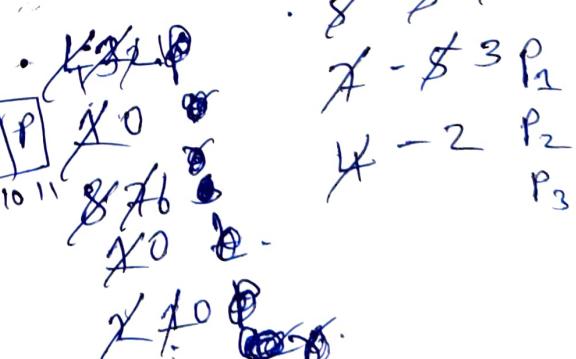
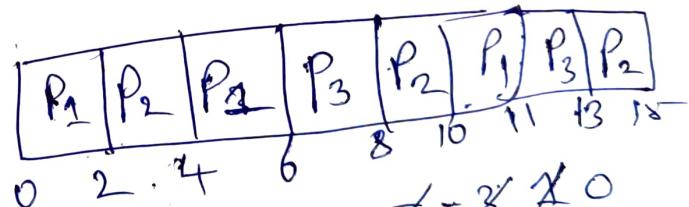
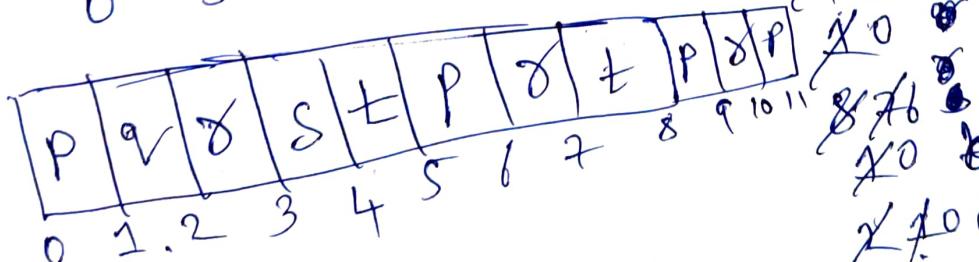
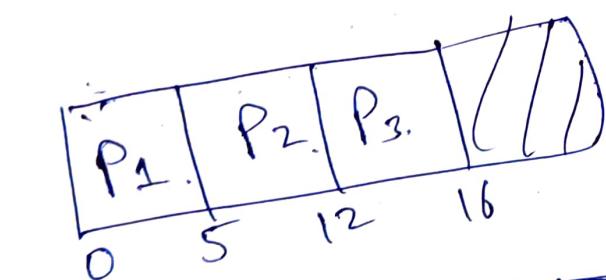
- ↳ Round Robin scheduling is designed for time sharing systems.
- ↳ It is similar to FCFS but preemptive.
- ↳ It Similar to FCFS but preemptive.
- ↳ Have time quantum is assigned to every process.
- ↳ Scheduler will allocate the CPU to each process for time quantum interval and then it interchanges gives control to next process.

- ↳ we have two scenarios :-
 - ↳ If CPU burst time is less than the time quantum then it will be execute till burst time completes and voluntarily terminates.
 - ↳ If CPU burst time is greater than the time quantum then scheduled interrupt the OS to wait the process and put that process at the end of the tail of queue. and CPU will move to next.

multilevel queue scheduling Algorithm :-

- ↳ Two types of processes
 - ↳ foreground process (User interactive)
 - ↳ background process (Batch.)
- ↳ foreground process > background process.
- ↳ This algorithm will divide the foreground side and background process to one side to other side.
- ↳ Depending on the process type the process will be assigned to different queues by memory size or process priority.

- ↳ multilevel feedback - queue scheduling algorithm
- ↳ This algorithm allows a process to move b/w queues.
- ↳ Here if process uses too much of ~~cpu time~~ CPU time then it can be moved to a lower priority queue.
- ↳ If the process waits too long in a lower priority queue may be moved to higher priority queue.
- ↳ The processes belonging to the queues of lower priority will be executed only when the higher priority queue is empty.



~~P₁~~ ~~P₂~~ ~~P₃~~

process synchronization

From IPC we know that when two processes execute simultaneously then those process are ~~may~~ be independent process or ~~cooperating~~ cooperating process.

process can affect ~~can be affected by other processes~~ can be affected by other processes

These can directly share a logical address space. (both code & data)

allowed to share data only through files or messages

If two ~~or~~ process concurrently access to shared data at time then that may lead to data inconsistency.

For this problem we need synchronization.

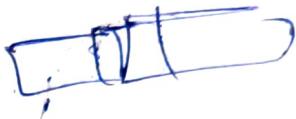
Here cooperating processes execute orderly to maintain consistency.

To run concurrently we must have available a buffer of items and this buffer will reside in a region of memory. (The producer and consumer must be synchronized.)

↳ Race condition :-

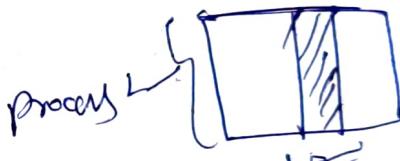
↳ If several processes access and manipulate the same data concurrently in the outcome of execution depends on the particular order in which the access takes place, is called.

Race condition.



↳ Critical section problem:

↳ It is a segment of code of a process in which it is responsible for changing the data in a shared region memory that is shared b/w the different processes.



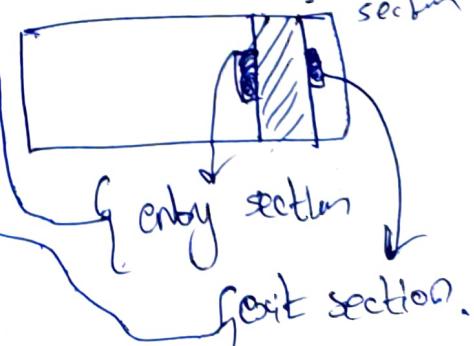
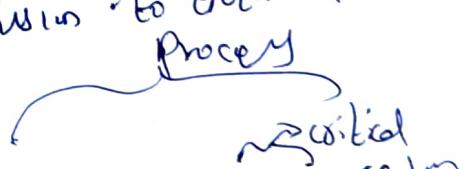
↳ Here if one process is executing in its critical section, no other process is to be allowed to execute in its critical section.

↳ Each process must request permission to enter its critical section.

↳ Section of code giving the permission to enter.

↳ Section of code giving the permission to exit.

↳ The remaining code is remainder section.



- ↳ To know the solution for critical section problem.
- ↳ mutual exclusion.
 - ↳ If process P_i is executing in its critical section then no other process can be execute in their critical section.
- ↳ progress:
 - ↳ If no process is executing in critical section ~~then~~ and not executing in their remainder section can be take the decision on which will enter its own critical section next.
- ↳ Bounded waiting:
 - ↳ If one processes has requested first to access the critical section but this process not accepting the request and if other process come and have permission to access, then the initial process will not get the chance to access so this leads to the starvation. So that there should be a bound limit to enter there. critical section so that all can access.
- ↳ These three requirements met the solution.

Peterson's solution & (Turn and Flag) (Bumble)

- ↳ Peterson's solution is software-based solution to the critical section problem which provides the requirements of mutual exclusion, progress and bounded waiting.
- ↳ Consider a two process P_1 & P_2 only we have two data items to be shared to get the solution.
- ↳ It has two data items to be shared b/w the two processes.
- ↳ Turn : If turn \neq i & turn \neq j then the P_i should be ready to enter.
- ↳ turn := i if turn $\neq i$ then its P_i turn to enter its critical section.
- ↳ flag : if boolean flag [i] = True then P_i should be ready to enter its critical section. P_j

P_i

```
do { · flag[i] = false  
turn = i  
while (flag[i] || turn == [i]);  
critical section.  
flag[i] = false  
remainder section.  
} while (true)
```

```
do { flag[i] := true  
turn = i  
while (flag[i] || turn == [i]);  
critical section.  
flag[i] = false  
remainder section  
} while (true).
```

- Test and set lock. (wash room)
 - ↳ It is hardware solution to the synchronization problem.
 - ↳ There exists a shared lock variable between the processes which can be either 0 or 1.
 - 0 → unlock . 1 → lock.
 - ↳ First every process has to inquire about the lock.
 - ↳ If lock is 1 then the process has to wait until it becomes 0 to enter critical section.
 - ↳ If lock is not locked then it will take the lock and assign the lock to 1 and executes in the critical section.
 - ↳ When the lock value is '0' then the process is allowed to enter its critical section. and do its execution and while execution the lock value is set to one hence it is not allowing any other process to critical section until execution completes.

function

```
boolean testAndSet(boolean *target){
    boolean rv = *target;
    *target = TRUE;
    return rv;
}
```

Algorithm

```
do { while (testAndSet (&lock));
    // Critical section.
    lock := FALSE;
    // remainder section.
    }while TRUE;
```

Semaphores (Wait & signal) (positive & others)

- ↳ no. finds a hardware solution for critical section.
but It is difficult for application programmes to implement to avoid this problem we have software based solution to the synchronization.
- ↳ It is a technique to manage concurrent process by using a simple Integer value. and It is introduced by Dijkstra.
- ↳ semaphore is simply a variable which is non-negative and shared b/w threads. A semaphore 's' is a integer variable that is accessed only by wait() and signal().
 - ↳ wait() \Rightarrow P \Rightarrow To test the condition and enter critical section
 - ↳ signal() \Rightarrow V \Rightarrow To increment and signal other processes about that critical section is free.
- ↳ when one process modifies the semaphore value then no other process can simultaneously modify that same semaphore.

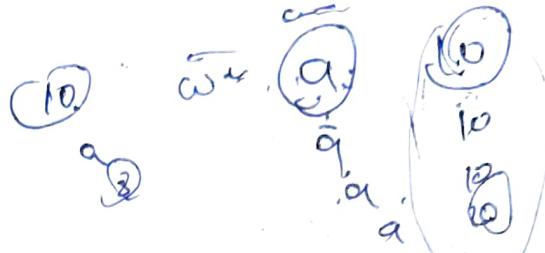
wait() value:

```
p(semaphore s){  
    while (sc=0);  
    sc--; enter critical section  
}
```

signal()

```
V(semaphore s){  
    sc++;  
}
```

↳ Types of semaphores :-



↳ Binary semaphore :-

↳ The value of binary semaphore can range b/w 0 & 1.

↳ Some systems binary semaphores are known as mutex locks. locks that provide mutual exclusion.

↳ Counting semaphores:-

↳ It is used for multiple processes. Its value can range over an unrestricted domain.

↳ DisAdvantages of semaphores:-

↳ processes will wait for so long. (stuck in while loop)

↳ Busy waiting wastes CPU cycles, that some other process might be able to use productively.

↳ This type of semaphore is also called spinlock
... (spins in while loop waiting for the lock)

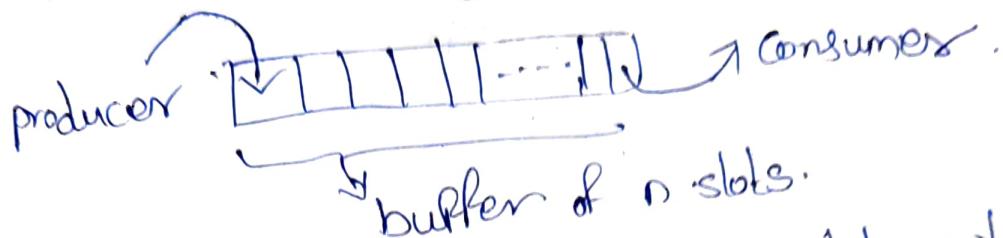
↳ To overcome busy waiting, the process can block itself and moves to waiting queue. and state is changed to waiting state.

↳ Then dispatcher will select the process in waiting queue and again assigns CPU.

↳ This whole implementation of semaphore with waiting queue ~~very~~ results Deadlock & starvation.

The Bounded Buffer problem (producer consumer problem)

- There is a buffer of n slots and each slot is capable of storing one unit of data.



- The producer must not insert data when the buffer is full.

- The consumer must not remove data when the buffer is empty.

- producer & consumer ~~need~~ should not insert & remove data simultaneously.

- For this problem we use three semaphores.

- $m(\text{mutex})$:- binary semaphore which is used to acquire and release the lock.

- empty :- A counting semaphore whose value is ~~not~~ the number of slots in buffer.

- full :- A counting semaphore whose initial value is '0'.

producer

```
do { wait (empty);  
    wait (mutex);  
    // add data  
    signal (mutex);  
    signal (full);  
} while True
```

consumer

```
do { wait (full);  
    wait (mutex);  
    // remove data  
    signal (mutex);  
    signal (empty);  
} while True.
```

The Readers writers problem:

↳ A data is shared among several concurrent processes.

↳ Here some process will read & some process will read & write.

↳ If processes will only read then they are readers.

↳ If processes will both read & write then they are workers.

↳ If two process read data at same time then their will be no effect in data.

↳ If two process writes data @ one process reads & one process writes also effects the data.
and readers can not read.

↳ So this type of problem we handle this algorithm.

↳ for this problem we use three semaphores

↳ mutex :- A semaphore which is used to update read count.

↳ wrk :- A semaphore common to both reader & writer processes.

↳ readcnt :- An integer variable that keeps track of how many processes are currently reading.

writer process :-

```
do { wait (wrk);  
    // If writer  
    signal (wrk);  
} while (true)
```

reader process :-

```
do { wait (mutex);  
    readcnt++;  
    if (readcnt == 1)  
        wait (wrk); // no writer should enter  
    signal (mutex); // other reader can enter  
    // current reader performs reading.  
    wait (mutex);  
    readcnt--;  
    if (readcnt == 0)  
        signal (wrk); // writer can enter  
        signal (mutex); // reader leaves  
    } while (true).
```

↳ This ensures mutual exclusion is satisfied in this problem.

↳ The dining philosophers problem:

↳ IN This problem we have philosopher and forks and they can be in two states one is thinking and eating state. while eating they have to make use forks (2) so that they can eat properly.



↳ And if philosopher have limited forks so and when he hungry they have to pick up only one fork at a time. one other should take fork from the other's hand.

↳ so here forks are the resources and philosopher are processes . we have limited resources so they have to share ~~the~~ the resources b/w processes in a synchronize manner.

↳ only one processor has access to have same resources.

↳ philosopher grab a fork by executing wait() operation

↳ if philosopher releases his fork then it can be done by executing signal() operation.

↳ The shared data are semaphore chopstick [5]

```
do{  
    wait (chopstick [i,j]);  
    wait (chopstick [(i+1)%5]);
```

// eat.

```
signal (chopstick [i,j]);  
signal (chopstick [(i+1)%5]);
```

// think

```
} while (true);
```

↳ we can solve this problem by not allowing

- the processes (philosopher) adjacent to them to
grab the fork at same time or not have
the fork.

↳ If all the processes will grab the fork
simultaneously then it could lead to a deadlock.
(no one can eat and they will wait & leads to
starvation).

↳ Allow at most 4 processes to grab the fork.

↳ Allow processes to pick if and only if the both
forks are available.

↳ Add processes have to pick up left fork and each
processes has to pick up right fork so that we can
prevent deadlock.

monitors:

- ↳ It provides high level abstraction of convenient & effective mechanism.
- ↳ It present a set of programmer-defined operations that provide mutual exclusion.
- ↳ It contains declaration of variables and functions that operate on these variables.
- ↳ monitors can have access over the locally declared variables.
- ↳ local variables can be accessed only by local procedures.

↳ monitor versus that one process at a time is active.

↳ ~~It's~~ ~~can~~ wait() & signal() ~~operations~~ operations.

Condition construct: (Condition x, y);

↳ wait() & signal() operations can only be used on condition variables.

↳ x.wait() operation means the process invoking this operation until it should be waiting another process invokes x.signal().

↳ x.signal() operation resumes one waiting process.

↳ Dining philosophers solution using monitors is monitor dp {

enum { Thinking, Hungry, Eating } state [5];

Condition self [5] ;

void pickup (int i) {

state [i] = Hungry;

test [i];

if (state [i] != Eatly)

self [i].wait;

void put down (int i) {

state [i] = Thinking;

test ((i+1) % 5);

test ((i+2) % 5); }

void test (int i) {

if (state [(i+4) % 5] != Eatly) {

state [i] = Hungry;

(state [(i+1) % 5] != Eatly)) {

state [i] = Eatly;

self [i].signal(); }

initialization code () {
for (int i = 0; i < 5; i++)
state [i] = Thinking; } }

Deadlocks

- ↳ In multiprogramming environment, several processes have to compete for a limited number of resources.
- ↳ If a process ~~requests~~ & finds R resource are not available at that time the process enters waiting state.
- ↳ This waiting process never gets ~~a~~ resources and it will be waiting for ever because those resources are held by other waiting processes which are waiting for other resources; so here Each other waiting for each other resources and this may lead deadlock.
- ↳ System model :-
 - ↳ A system consists of finite number of resources to be distributed among a number of competing processes.
 - ↳ These resources are partitioned into several types; these resources consisting of some number of identical instances.
 - ↳ Here each instance can be used by each processes.

- ↳ process has to utilize the resources in only the sequence.
- 2) request \Rightarrow process has to request for CPU.
If the request is cannot be granted immediately then it has to wait until it gets permission to access.
- 2) use \Rightarrow The process can operate on the resource.
- 3) release \Rightarrow The process releases the resource.

- ↳ Deadlock characterization :-
↳ Deadlocks can arise by the following four conditions -
↳ hold simultaneously :-
 - 1) mutual exclusion,
 \hookrightarrow only one process at a time can use the resource, so deadlock occurs.
 - 2) If other process requests resource then requesting process must be wait until the resource is free.
- 2) Hold and wait :-
 \hookrightarrow process must be holding at least one resource and waiting to acquire additional resource that are held by other processes currently running.

3) no preemption.

↳ If the resource released only voluntarily by the holding process - then deadlock occurs.

4) circular wait:

↳ A circular waiting may lead to deadlock.
Ex:- RR.

↳ Resource Allocation graph.

=
↳ The graph consists of a set of vertices V and edges E.

↳ Set of vertices V.

↳ $P = \{P_1, P_2, \dots, P_n\}$ → active processes.

↳ $R = \{R_1, R_2, \dots, R_m\}$ → all resources types.

↳ Set of edges E

↳ Request Edge.

↳ A directed edge from process P_i to

resource type R_j . $P_i \rightarrow R_j$ (instance of resource R_j) and is waiting for the resource.

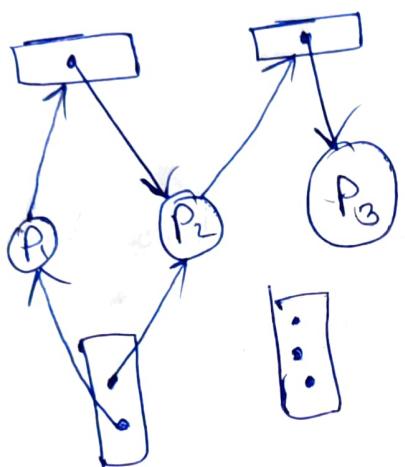
↳ Assignment Edge.

↳ A directed edge from instance of resource R_j to P_i which has been allocated to process P_i . $R_j \rightarrow P_i$

↳ processes are represented in circles O

↳ Resource are denoted using rectangles []
and dots are instances.

graph



$$\rightarrow P = \{P_1, P_2, P_3\}$$

$$\rightarrow R = \{R_1, R_2, R_3, R_4\}$$

$$\rightarrow E = \{R_1 \rightarrow P_1, R_2 \rightarrow P_3, R_1 \rightarrow P_2, R_2 \rightarrow P_2, R_2 \rightarrow P_1, R_3 \rightarrow P_3\}$$

↳ If cycle exists in the graph
then there is chance it occur deadlock.

↳ But it is not conform that deadlock
it occurs only cycle exists, sometimes
cycle can be broken.

↳ methods for handling deadlocks.

↳ we have three ways to deal with deadlock.

① we can use some protocols and prevent or avoid
deadlocks at first place. like.

② we can allow the system to enter a deadlock and
detect it and recover.

③ we can ignore the problem altogether and pretend
that deadlocks never occurs.

method ①

↳ To ensure deadlock never occurs; the system can use either a deadlock prevention or a deadlock avoidance.

↳ deadlock prevention ensures at least one of the ~~necessary conditions~~: cannot hold.

- ① mutual exclusion
- ② no preemption

③ Hold & wait

④ circular wait

↳ deadlock avoidance:

↳ knowing additional information about processes that require ~~process~~ resources during its lifetime that they can wait at first place.

method ②

↳ If a system does not employ deadlock prevention or deadlock avoidance, then the system has to provide a detector and cure (recover) for deadlock occurrence.

method ③

↳ If a system neither ensures that a deadlock will never occur nor provides a mechanism of deadlock detection & cure, then the system may still be in deadlock. so system performance may ↓, so we have to ignore and restart the system.

↳ deadlock prevention:

↳ we ensure that at least one condition cannot hold from ① mutual exclusion?

- ② Hold & wait
- ③ No preemption?
- ④ Circular wait

↳ mutual exclusion:

↳ shareable resources do not require mutually exclusive.

↳ non shareable resource require mutually exclusive because they cannot be shared simultaneously.

↳ Hold & wait:

↳ if the process requests a resource then it should not hold any other resources.

↳ protocol ①
↳ all resources has to allocate before its execution begins.

↳ protocol ②
↳ if process ~~has~~ request a resource then it must deallocate only then it should request.

↳ But these two protocols leads to.

- (1) Starvation of process
- (2) Resources will be not utilized efficiently.

↳ No preemption:

↳ If a process is holding some resources and requests another resource, and if these are held by another process then those resource should be preempted and allocate to the requesting process.

↳ Here if we preempt then we can only save the state of CPU registers & memory space but we cannot save & recover some other resources such as pointer, tape drives.

↳ circular wait:

↳ Here we can ensure this condition by changing the order of all resource types.

↳ Each process requests resources in an increasing order of enumeration.

↳ Here $R_1 \rightarrow P_1$ and if $P_1 \rightarrow R_4 \& R_3$

↳ here $R_4 \& R_3 < R_5$ so only if $R_5 > R_4 \& R_3$

↳ Application developers should develop only gxted.

→ Deadlock Avoidance

↳ Here we have to know the additional information about the processes and how processes will request the resources. To avoid deadlock.

↳ System should know in advance about what processes will request what resources and when they require these processes in the future.

↳ Safe state:

↳ If a system allocates resources to each processes in some order and avoid deadlock then it is safe-state.

↳ Safe sequence:

↳ If safe state is satisfied ~~if~~ if only processes ~~are~~ sequence that are in that sequence then that sequence is known as safe sequence.

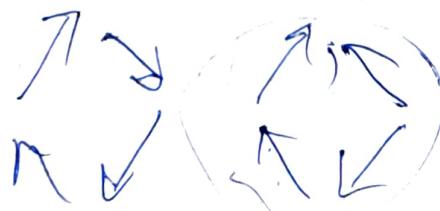
~~↳~~ A safe state is not a deadlocked state.

↳ A safe state is an unsafe state.

↳ A deadlocked state is an unsafe state.

↳ Not all unsafe states are deadlocks.

↳ The behavior of the processes controls unsafe states.



↳ Resource Allocation graph Algorithm.

- ↳ In this algorithm we have new type of edge called claim edge.
- ↳ A ^{claim} edge $P_i \rightarrow R_j$ indicates that process P_i , may request resource R_j , at some time in the future.
- ↳ when process P_i requests resource R_j ; the claim edge $P_i \rightarrow R_j$ is converted to a request edge.
- ↳ claim edge \rightarrow request edge \rightarrow assignment edge.
- ↳ This will be done if they do not form cycle.
in the resource allocation graph.
- ↳ If no cycle exists then system will be in safe state.
- ↳ If cycle exists then system will be in an unsafe state.
- ↳ Resource Allocation graph Algorithm works only in single instance of Resource.
- ↳ Banker's algorithm:
↳ This algorithm works on multiple instances of Resource.

Available :

- ↳ available Instances of each resource ~~process~~ ~~present~~ currently available in system.
- ↳ ~~available~~ Available $[i]$ = k (Instances)

Max :

- ↳ Instances of each resource each process can max request.
- ↳ Max $[i,j] = k$.

Allocation :

- ↳ Instances of each resource each process currently hold.
- ↳ Allocation $[i,j] = k$.

Need :

- ↳ Instances of each resource need to each processes.
- ↳ Need $[i,j] = \text{Max}[i,j] - \text{Allocation}[i,j]$

↳ Resource Request Algorithm:-

- ↳ Here also we have to apply Banker's algorithm.
- ↳ suppose now that Process P_1 requests one additional instance of resource type A and two instances type C, so $\text{Request}_1 = (1, 0, 2)$.
- ↳ Is it granted or not?

↳ Here :-

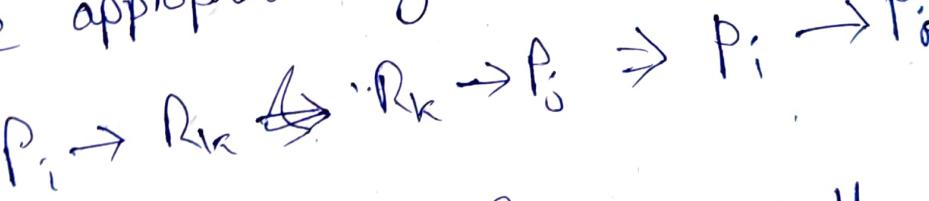
$$\begin{aligned} \text{Available} &= \text{Available - Request} \\ \text{Allocation} &= \text{Allocation} + \text{Request} \\ \text{Need} &= \text{Need} - \text{Request} \end{aligned}$$

- ↳ After applying the Banker's algorithm on the new table, we have to check whether the new system state is safe or unsafe.

↳ We find that granting the request of process P_1 still keeps the system in a safe state and hence will not lead to a deadlock.

Deadlock detection :

- ↳ In some cases we cannot prevent deadlock so we have to do something.
- ↳ for that we have to detect and remove the deadlock.
- ↳ deadlock detection in single instance
- ↳ we use wait-for graph which is an algorithm for deadlock detection. Here we obtain this graph from the resources allocation graph by removing the resource nodes and collapsing the appropriate edges.



- ↳ wait-for graph used for detecting the deadlock, which should be formed after regular interval of time. and it should check every time if there exists a cycle or not.

↳ Deadlock detection for multiple instance.
↳ This algorithm employs several structures that are similar to those used in Banker's algorithm.

↳ Available:-
↳ A vector length \rightarrow the no of available resources

↳ Allocation:-
↳ The current request of each process.

↳ Request:-
↳ the current request of each process

↳ If the resources are not sufficient then
the system go to unsafe state and deadlocked.

↳ Deadlock Recovery :-

↳ After detecting the deadlock we have to inform the operator that deadlock has occurred and let operator deal with it.

↳ Let the system recover from the deadlock manually.

↳ How to break from the deadlock

- ① Abort one or more processes;
- ② ~~Preempt some resource from one or more~~
of deadlocked processes.

~~↓~~
~~↓~~

↳ process termination:

↳ Abort all deadlocked processes.

↳ It may cost great expense.

↳ process may execute for long time.

↳ process may execute must discard & reexecute from previous state.

↳ Abort one process at a time until the deadlock is eliminated.

↳ many times the deadlock detection algorithm has to perform → leads low performance.

↳ If the partial termination method is used.

↳ we have to choose the deadlock.

↳ we have to choose the process which may cause minimum cost.

- ↳ How to choose process? (factor)
 - ↳ know the priority of process?
 - ↳ know the completion time and know how long it will take to complete?
 - ↳ know the resource that process has used?
 - ↳ How many more resources the process needs in order to complete?
 - ↳ How many processes will need to be terminated?
 - ↳ check whether the processes are user level or batch level.

↳ Resource preemption:

- ↳ Here we preempt some resources from process and give these resources to other processes until deadlock cycle is broken.

- ↳ For that we need to select victim and Rollback, if the process Rollbacks then sometimes leads to starvation.

- ① Selecting a victim
- ② Rollback
- ③ Starvation

↳ selecting a victim

↳ which resources and which processes are to be preempted?

↳ determine the order of preemption to minimize cost.

→ no of Resources a deadlocked process is holding.
→ amount of time it consumed.

↳ Roll back.

↳ what should be done with a process if we preempt its resources

Ans) we must rollback the process to some safe state and restart it from that state.

↳ If the safe state is not known then we have to total rollback the process

↳ Abort the process and then restart it.

↳ If we preempt the resources then it cannot continue its normal execution because its

resources are missing. so it has to rollback.

↳ starvation.

↳ If we repeat the same process again and again, then that leads to starvation.

↳ How do we ensure that starvation will not occur?

↳ We must ensure that process can be picked as a victim only a finite number of times.
(Fix the no of rollbacks).

	*	*	
	3.0.		
	Alloc	Max	
P ₀	A 0 1	A B C	main
	.	3 1	A B C
P ₁	2 2 2	2 1 4	3 3 0
	.	.	4 3 1
P ₂	0 3 1	3 3 3	5 3 4
	.	.	7 3 4
P ₃	0 0 5	4 1	8 4 6
			3 6 0
	5 1 6.	3 3 0.	3. 3. 0.
		8 4 6.	1. 0. 2
T =			0 3 0.
			3 6 0

problems

needs

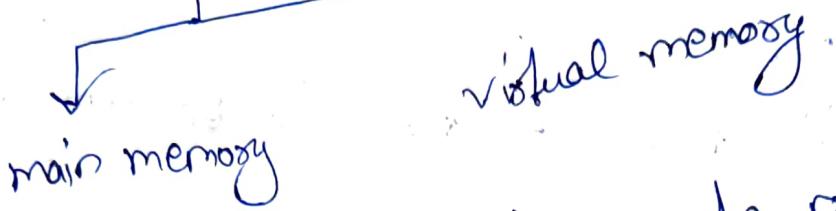
$P_0 \rightarrow P_2 \rightarrow P_4 \rightarrow P_2$

$$R = 100$$

$$100 \rightarrow \text{deadlock}$$

OS

↳ Memory management



- ↳ Computer system has to execute programs.
- ↳ These programs has to load in to the main memory (Ram) with the data they access.
- ↳ Ram is active when power is on.
- ↳ All the data will be stored in the secondary memory. when they are executed then they will be loaded into main memory.
- ↳ To use Cpu efficiently then we use CPU scheduling so that time many processes will be executed and loaded into the main memory.
- ↳ So, we must share memory.
- ↳ If main memory size is more than CPU will be more fast.
- ↳ Here ^{of books} self acts as secondary memory & books as data.
- ↳ Table → acts as main memory & Here when user wants to read, they will load and execute.

↳ Basic hardware of main memory.

- ↳ memory consists of large array of words @ bytes, each with its own address.
- ↳ cpu fetches instruction from memory according to the value of program counter.
- ↳ A typical instruction cycle:
 - ↳ first fetches an instruction from memory.
 - ↳ second instruction will be decoded.
 - ↳ Third instruction will be executed on the operators.
 - ↳ fourth result will be stored back in memory.
- ↳ cpu can directly access only on registers built into the processor or main memory.
- ↳ Instructions must take memory addresses as arguments.
- ↳ executions of instructions must be in one of these direct-access storage devices.
- ↳ If the executions are not done in main memory then they must be moved there before cpu can operate.

- ↳ CPU cycle time for accessing memories.
- ↳ Registers
 - ↳ Access may take place one cycle of CPU clock to complete.
- ↳ main memory
 - ↳ Access may take place many cycles of the CPU clock to complete.
 - ↳ In this case processor has to wait as frequently accesses the memory.
 - ↳ For this we have Cache memory which acts as memory buffer present in the CPU and main memory which is fast to access very.
- ↳ protection of OS from unauthorized access.
- ↳ OS has to be protected from access by multiple user processes.
- ↳ User processes must be protected from one another.
- ↳ This will be done by hardware, by restricting the range of legal addresses of every process to access data, and can access only that legal address called memory space.

- ↳ For this we use base & limit.
- ↳ logical address space have base & a limit register.
- ↳ Base register holds the smallest legal memory address.
- ↳ limit register specifies the size of the range of legal address range of individual process.
- ↳ Base & limit registers can only be loaded by OS & these will use kernel instructions can be executed in kernel mode.
- ↳ Here OS does not allow other user programs from changing the register contents.

Address Binding

- ↳ Every program resides on a disk as a binary executable file.
- ↳ so, To execute we must bring that executable file to main memory that places within a process.
- ↳ To manage the memory b/w process, the process has to move b/w disk & main memory.

- ↳ The processes that are waiting to enter into main memory for execution, will be in 'input queue'.
- ↳ Input queue → loads into memory → execute → terminates
- ↳ user program has to compile → load → execute.
- ↳ Compile time (causes interaction b/w os memory)
- ↳ Load time
- ↳ Execution time (done by processor)
- ↳ ~~when Addresses are symbolic in source programs~~
- ↳ while compiling, the symbolic addresses will be relocatable addresses.
- ↳ while loading, the relocatable addresses will be absolute addresses.
- ↳ These address binding are the mapping from one address space to another.

- ↳ Compile-time → If compiler is responsible for performing address binding then it is called 'compile time'.
- ↳ Load time → It will be done after loading the program.
- ↳ Execute time → The program will be kept on changing the locations in memory until time of program execution.

↳ Logical Address + An address generated by CPU to process.

↳ physical Address + Address loaded into the memory address register of the ^{main} memory.

↳ At - compile time & - link time addresses Binding method generate identical logical & physical addresses.

↳ At execution time address Binding generate v.

↳ identical logical & physical addresses.

↳ At this time we call logical address as virtual address.

↳ logical address space :-
↳ In this space the set of all logical address generated by a program.

↳ physical address space :-
↳ In this space the set of all physical address corresponding to those logical address.

↳ So At execution time logical & physical address space are different.

↳ The run time mapping from virtual to physical.

↳ This mapping is done by a hardware device called memory management unit (MMU).

Dynamic loading:

- ↳ we know that every process must be in physical memory for the process to execute.
- ↳ The size of physical memory is limited, so, we cannot execute the processes of larger size.
↳ dynamic loading will help in obtaining better memory space utilization.
- ↳ How dynamic loading works?
 - ↳ A routine is called to physical memory.
 - ↳ chunks of the program.
 - ↳ All routines are kept on disk in a relocatable load format. Here one routine will execute in a check whether the other routine has been loaded or not, if not then calls with the help of relocatable linking loader (that passes control to newly loaded routine).
- ↳ Advantage of dynamic loading.
 - ↳ unused routine is never loaded
 - ↳ larger programs are handled by dynamic loading.
 - ↳ This is an advantage to the users who wants to use dynamic loading.

↳ Dynamic linking & Shared libraries.

↳ when program runs, it has its own modules to run, and sometimes it also needs system libraries.

↳ static linking.

↳ system libraries are treated as some other modules and they are combined with own module in the program.

↳ this requirement wants both disk & main memory space.

↳ dynamic linking.

↳ system libraries are loaded into main memory when routines are loaded.

↳ dynamic linking libraries.

↳ dynamic linking contains a stub. That helps in locating library routine and helps in loading it into main memory.

↳ stub contains the address of the system library routine. And stub replaces itself with the address of the routine.

- ↳ swapping:
 - ↳ A process must be in memory to be executed.
 - ↳ A process can be swapped temporarily out of main memory to a backing store and then after some time it will be brought back into memory and continue its execution.
- ↳ Ext Round Robin CPU scheduling.
- ↳ Here backing store is a fast storage location in disk.
- ↳ Normally a process that is swapped out will be swapped back into the same memory space it occupied previously.
 - ↳ Here it depends on the address binding methods.
 - ↳ If binding is done at assembly @ load time then process has same memory location.
 - ↳ If binding is done at execution time then the process may be swapped into a different memory space.
- ↳ requirement of backing store:
 - ↳ It must be large enough to accommodate.
 - ↳ It must provide direct access to memory.

- ↳ It should maintain ready queue.
- ↳ If process wants to execute in ~~main~~ main memory then dispatcher will assigns the CPU to that process that is present in the backing store.
- ↳ If process has no free memory region in main memory to execute then dispatcher will swap out the process to backing store and swap in other process to main memory.

Swap time

- ↳ Time taken to swap the process from backing store to main memory or vice versa.
- ↳ Swap time will be both swap in and swap out.

$$\text{swap time} = \frac{\text{size of process}}{\text{Transfer rate of Backing Store}} \times 2$$

- ↳ Execution time should be greater than swap time.
- ↳ Swapping time \propto size of memory.
- ↳ Factors affect swapping
 - ↳ A process must be idle in order to be swapped.

memory allocation:

- ↳ How main memory is allocated?
- ↳ Divide memory into several fixed sized partitions.
- ↳ Each partition may contain exactly one process.
- ↳ When partition is free, a "process selected from the input queue can be loaded into that free partition." (B)
- ↳ When process terminates the partition becomes free and available.

dynamic storage allocation problem:

- ↳ Dynamic storage allocation problem:
 - ↳ How to satisfy a request of size n from list of free partitions in main memory.
 - ↳ First Fit:
 - ↳ Allocate the first partition that is big enough.
 - ↳ Allocate the partitions by searching from start either at the beginning of the set of partition or where the previous first fit search ended.
 - ↳ We can stop searching as soon as we find a free partition that is large enough.

↳ Best Fit

- ↳ Here we allocate the ~~smallest~~ partition that is big enough to fit the process.
- ↳ Here we have to search the entire list and fit the process.
- ↳ Here we can see that we can minimize the main memory.

↳ Worst Fit

- ↳ Here we allocate the ~~largest~~ partition that is to process.
- ↳ Here also we has to search the entire list and fit the process into largest partition.
- ↳ From this we can see that Best Fit is the best solution for memory allocation problem.

↳ Fragmentation

- ↳ When processes are loaded onto the main memory, the free memory space is broken into little pieces which results in fragmentation.

- ↳ External Fragmentation :-
 - ↳ It exists when there is enough total memory space to satisfy a request, but the available spaces are not adjacent.
 - ↳ Th. That memory space is fragmented into large number of small fragments.
 - ↳ This External fragmentation is more in worst fit.
 - ↳ If the memory spaces are big & adjacent then they can be used by other processes.
- ↳ Internal fragmentation :-
 - ↳ It occurs when memory blocks assigned to processes are bigger than what the process actually needs. At that ~~time~~ some portion of memory is left unused as it cannot be used by another process.
 - ↳ so, that space will be fragmented.
 - ↳ solution for Internal fragmentation is to follow Best fit approach.
 - ↳ solution for External fragmentation is to follow Compaction.

- ↳ Compaction:
 - ↳ we have to shuffle the memory contents so as to place all free memory together in one large block.
 - ↳ It is not always possible.
 - ↳ It is only possible at execution time.

- ↳ paging
 - ↳ It is a solution for External fragmentation.
 - ↳ It is a memory management scheme that helps to combine the physical address space that are not adjacent also.
 - It means:
 - ↳ By dividing the physical memory into fixed-size blocks called frames.
 - ↳ By dividing the logical memory into fixed-size blocks called pages
 - ↳ Here the pages are loaded into the frames even they are of large size.
 - ↳ backing store is divided into fixed size blocks that are of same size of frames.

↳ page Table.

- ↳ How to know which pages are present in which frames?
- ↳ with help of page table we are having the record of which pages are present in which frames.
- ↳ How logical address generated by CPU is divided into two pages.
 - (1) page number (P)
 - (2) page offset (d)

page number (P) → index into a page table
page offset (d) → displacement within the page (position)
- ↳ page table contains base addresses of each page and page offset is combined with base addresses that define the physical memory address in the physical memory.
- ↳ How to translate of logical address to physical address using page table.

$$\boxed{((\text{Frame} \times \text{pagesize}) + \text{offset})}$$

↳ Hardware Implementation of page table.

case ①

↳ dedicate every page table for every ~~bus~~ register.

case ②

↳ keep the page table in main memory, so that pageable bus register ~~can~~ can points to the page table.

↳ drawbacks:

case ① → we need so many registers for large data.

case ② → The time required to access a user

memory location is increased (one for page table & one for the byte).

↳ solution for this drawbacks are to use (TLB)

Translation Lookaside Buffer.

↳ TLB is high speed memory. It consists of two parts

① A key

② A value.

↳ TLB takes input as item, if that item is matched with keys then correspondingly value is returned.

- ↳ How to use TLB with page-table?
 - ↳ The TLB contains only few of the page-table entries.
 - ↳ When logical address is generated by CPU, if ~~page table~~ page number is presented to the TLB.
 - ↳ If the page number available in TLB then its corresponding frame number is available.
This is called TLB Hit.
 - ↳ If the page number is not available in TLB then its corresponding frame number is not available.
This is called TLB Miss.
 - ↳ If page number is not available then we add that page number & corresponding frame number.
But here we said TLB contains only few page-table entries & if we want to add one and there is no more place to add.
 - ↳ At that we just replace it with the least used page ~~and~~ number and frame number of page-table.

↳ Page Table Entries:

- ↳ It contains information about pages and corresponding frame numbers.
- ↳ Information means:
 - ① Frame number.
 - ↳ frame where the page is present.
 - ② Present/Absent bit (valid @ invalid bit)
 - ↳ specifies whether the frame no is present or absent.
 - ↳ If frame is not present then it is called page fault.
 - ↳ present/absent is referred as I/O.
 - ③ Protection bit
 - ↳ which control the read & write operations.
 - ↳ bit is set to 0 means read.
 - ↳ bit is set to 1 means read/write.
 - ④ Reference bit
 - ↳ specifies whether the page is accessed in the last clock cycle.
 - ↳ accessed/not accessed means I/O.

⑤ Caching bit

- ↳ used to enabling & disabling caching.
- ↳ when we need new data then we disable it. \Leftrightarrow we enable it.

- ↳ disable/enable means I/O

⑥ Dirty bit (modified bit)

- ↳ specifies whether the page has modified or not.
- ↳ modified/not modified means I/O.

↳ shared pages

↳ Here In paging we can share common code.

↳ This is beneficial to time-sharing environment.

↳ If that shared code is read-only code.

↳ If pure code then it can be shared among processes.

↳ The data for every process will be different.

↳

very.

Hierarchical paging

like (32 bits)

→ modern computer system supports large logical address space.

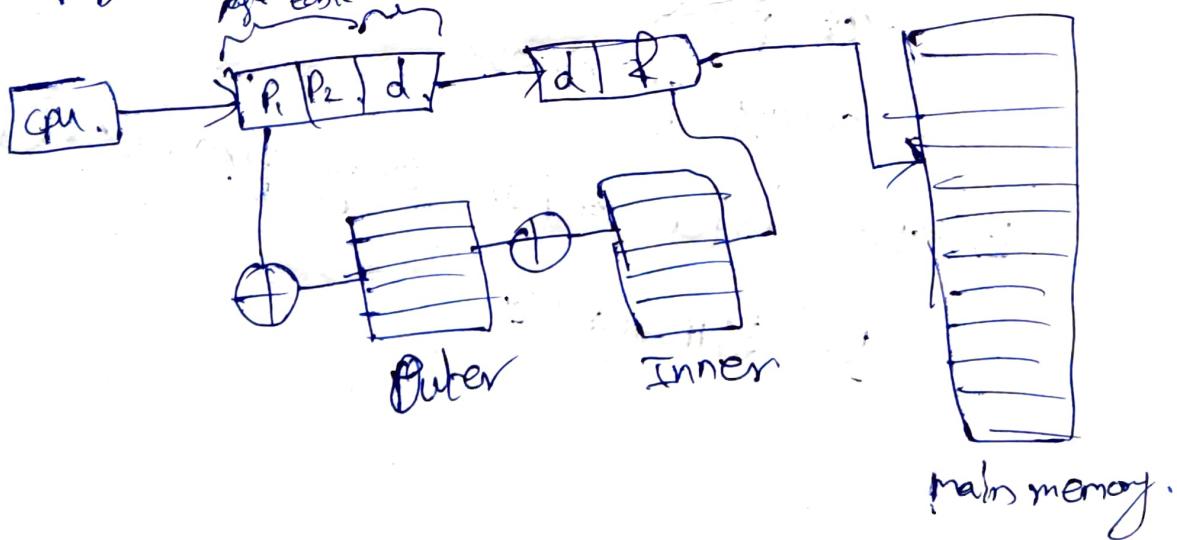
→ so, page table becomes excessively large.

→ so, for this problem we need to divide the page table into smaller sizes.

• Multilevel paging:

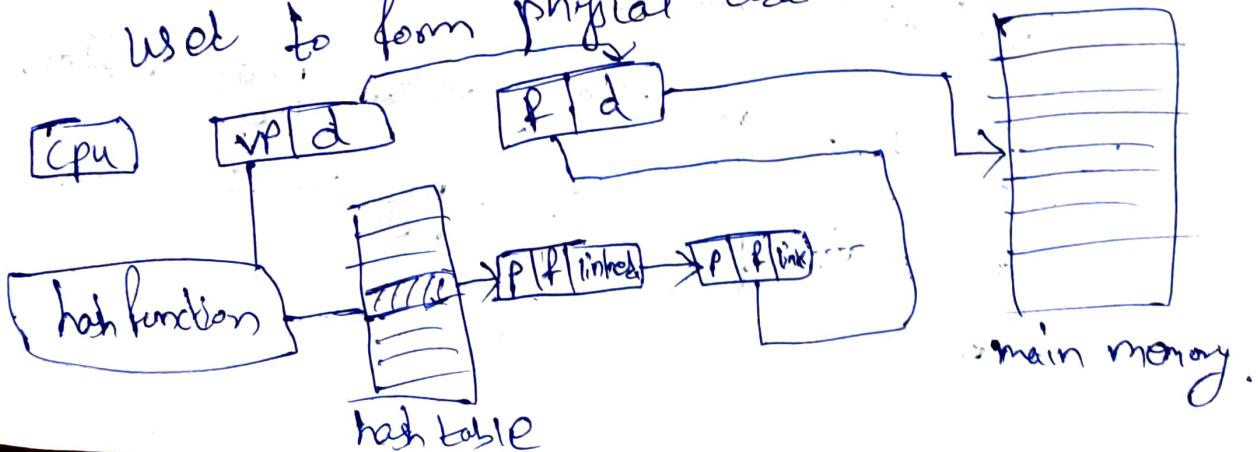
→ page table itself is also paged.

→ Here page table divides into outer page table & inner page table which combines gives the frame number and By combining the frame number and offset we get the physical address of the main memory.



↳ Hashed Page Tables

- ↳ These are used for handling address spaces larger than 32 bits.
- ↳ The virtual page number in the virtual address is hashed into the hash table.
- ↳ Hash table contains virtual page number, corresponding frame number and a pointer to the next element in the linked list.
- ↳ The virtual page number in hash table is matched then frame number will be used to form physical address.
- ↳ If the virtual page number in hash table is not matched then that point the next element in the linked list.
- ↳ If that is matched then that will be used to form physical address.



clustered page tables :-

- ↳ This hashed page table is most favorable for 32-bit address.
- ↳ Here each entry in the hash table refers to several pages rather than a single page.
- ↳ clustered page tables are useful for sparse address spaces where memory references are scattered throughout the address space.

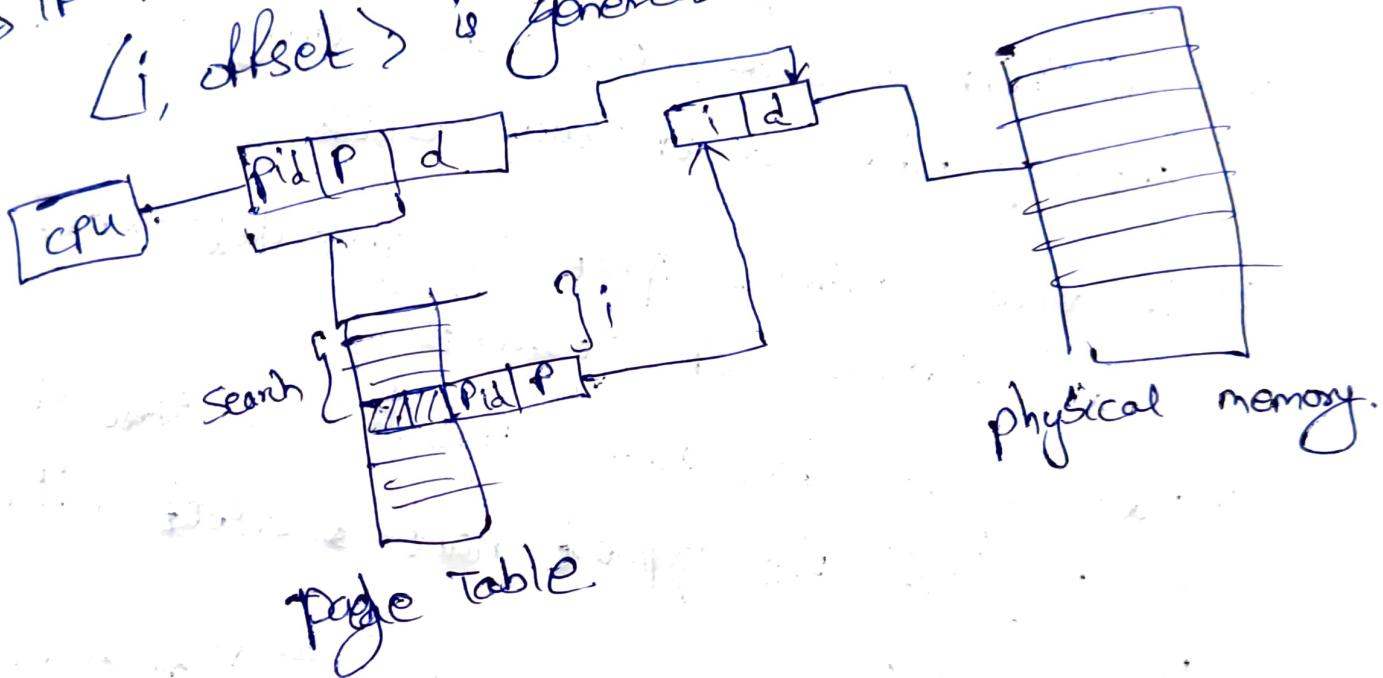
Inverted page Tables :-

- ↳ A separate page table is maintained for each process.
- ↳ so, for n no of processes we have n number of page tables.

→ for large processes there will be many pages so we need lot of memory, hence memory utilization is not efficient.

- ↳ so, for that we use inverted page tables.
- ↳ it contains process id, page number and offset.

- ~~25/5/2022~~
- 25/5/2022
- page table contains process id and page number.
here inverted page table is then searched for
a match.
- If match (i) is found then physical address
 (i, offset) is generated.



Advantages:

→ Reduces memory usage.

Disadvantages:

→ Search time increases.

→ difficulty in implementing shared memory.

- ↳ segmentation ↳ not adjacent.
- ↳ It is a non-contiguous memory allocation technique like paging.
- ↳ Here the processes are divided into several modules called segments.
- ↳ Here both secondary and main memory are divided into portions of unequal size.
- ↳ A logical address space is generated which is a collection of segments.
- ↳ Each segment has name & length. and these addresses specify both the segment name & offset within the segment.
- ↳ For simplicity segment name is referred as segment number.

segment number	offset
----------------	--------

↳ segment Table:

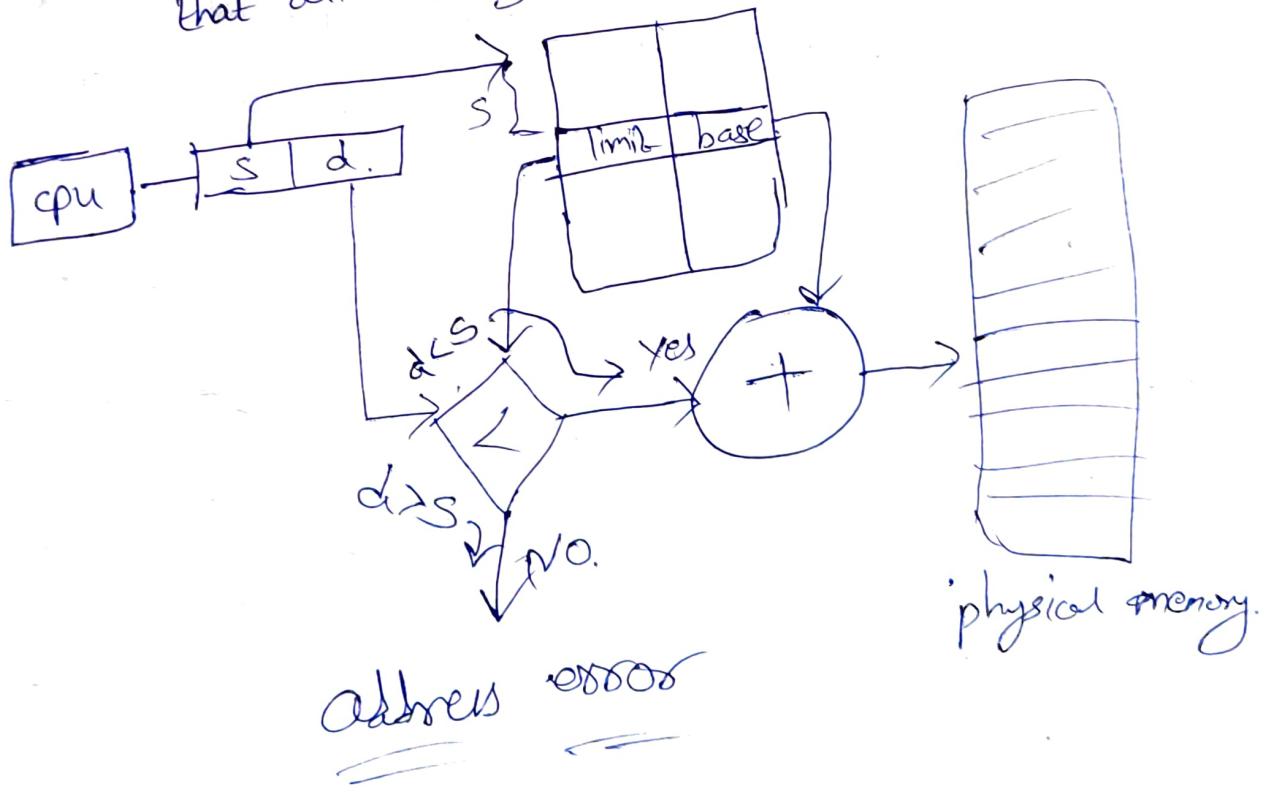
- ↳ In segment table we map the two dimensional user-defined addresses into one dimensional physical addresses.
- ↳ Each entry in segment table has segment base and segment limit.

- ↳ segment base contains the starting address of the physical address.
- ↳ segment limit contains the length of segment.

	base limit
seg0	1600
	1600
seg1	1700
	1700
seg2	1820
	1820
seg3	2000
	2000
seg4	-

Segmentation Hardware

- ↳ A logical address consists of two parts
 - ① segment number 's' → Index of segment table
 - ② offset (d) that must be less or equal to segment limit
- ↳ If the offset greater than segment limit then that will through error.



File system

- ↳ programs has to be loaded to main memory for execution.
- ↳ Here main memory is too small to accommodate data so Computer system has secondary memory, has a backup.
- ↳ we use disks as secondary storage device.
- ↳ Here file system provides - the mechanism for
 - ↳ storage of data & programs on the disk.
 - ↳ Access to data and programs on the disk.
- File :- A collection of related information that is recorded on secondary storage which is defined by creator.
- ↳ Different storage devices vary in many aspects.
 - E.g. devices transfer a character or block of characters at a time.
 - ↳ some can access sequentially & randomly.
 - ↳ some can access synchronously & asynchronously.
 - ↳ some can access read only & read - write.
 - ↳ That's why file system provides the functionality of access to data on the disk.

↳ Files:

- ↳ we have different types of storage devices.
Ex: magnetic disk, magnetic tape, optical disk etc.
- ↳ The files are mapped by the OS onto physical devices.
These physical devices are non-volatile.
- ↳ files represent both data and programs.
- ↳ file is a sequence of bits, bytes, lines & records.
- ↳ data can be numeric, alphabetic @ binary..
- ↳ Information like, some program, Text, video recording, graphic images etc. may be stored in a file.

↳ File Attributes:

- ↳ Naming a file:
 - ↳ A file is named for convenience of its human users.
 - ↳ name is string characters Eg:- myfile.c
 - ↳ when a file is named it become independent of process, users and systems.

① Name :-

↳ A name (characters) is the information kept in human readable form.

② Identifier :-

↳ It is unique tag number within the file system.
↳ It is non readable by humans.

③ Type :-

↳ Information needed for systems that support different types.

④ Location :-

↳ Information is a pointer to a device that locates the file.

⑤ size :-

↳ Current size of file (bytes)

⑥ protection :-

↳ Access-control information will be present

⑦ create date :-

↳ The date on which the file is created

⑧ last modified date :-

↳ The date on which the file was last modified.

⑨ owner :-

↳ Information contains about who is the owner of the file.

↳ These all attributes of the file are stored
in - the File - control Block (FCB)

↳ File operations:

↳ OS provides system calls to perform various
file operations.

↳ creating a file:
↳ check if space is available in file system to
create file.

↳ make entry for the new file in the directory

↳ writing a file:

↳ we make a system call specifying both the name &
information to be written in a file.

↳ The file system searches for a file in directory
↳ locates it

↳ A write pointer is maintained which points to
the location in the file, so that next write take place.

↳ After writing is done, the write pointer is
updated.

- ↳ Reading a file.
 - ↳ we make system call specifying both name and where the next block of the file should be read.
 - ↳ file system searches for file in a directory.
 - ↳ file system maintains which locates the.
 - ↳ A seek pointer is maintained which points to the file from where the next seek must take place.
 - ↳ After reading is done then seek pointer is updated.
- ↳ Repositioning within a file (file seek)
 - ↳ Repositioning is searched for the appropriate file.
 - ↳ The current file-position pointer is repositioned to a given value.
 - ↳ for that we do not need any actual I/O
- ↳ Deleting a file.
 - ↳ The directory is searched for the named file.
 - ↳ After directory is found then file space occupied by file is released & deleted.

- ↳ Truncating a file.
 - ↳ Here if the user wants to erase the contents of file but keeps its attributes.
 - ↳ Here we keep all the attributes of the file.
 - ↳ we reset the size of file.
 - ↳ Release the file space.
- ↳ To avoid this constant searching, many file systems require an open() system call be made if the file is in first used actively.
- ↳ Here OS keep a ~~small~~ small table called open-file table. Contains information about all open files.
- ↳ File types:
 - ↳ file systems must be able to recognize the file types.
 - ↳ file extension of file specifies the file type.
 - ↳ Here extension of file helps OS to recognize. But ~~file.txt~~ while helps OS to recognize many types of file types.
 - ↳ In windows we have many types of file types. Ex: pdf, doc, zip, lib, a, exe --- etc.
 - ↳ In mac os :
 - ↳ Here file has a type specified.
 - Ex: For text → TEXT --- etc
 - ↳ In UNIX systems:
 - ↳ magic number at beginning of files.

↳ Access methods:

↳ Here we know that the information in files can be accessed in several ways.

① sequential Access

↳ Information in the file is processed in order one record after the other. Ex:- compilers, editors etc.



↳ read :- (read next)

↳ reads the next portion of the file. file pointer is advanced automatically.

↳ write :- (write next)

↳ appends to the end of the file & advance to the end of newly written material.

② Direct Access

↳ A file is made up of fixed length logical records.

↳ that allows programs to read & write in no particular order.

↳ Direct Access file is viewed as a numbered sequence.

↳ Direct Access files are great use for ~~data~~.

↳ Immediate access to large amounts of information.
Ex:- databases.

- ↳ Read (Read n)
 - ↳ Reads from block number n from the file.
- ↳ write (write n)
 - ↳ writes from block number n from the file.
- ↳ Here user has to provide a relative block number n .
 - ↳ Corresponding physical address is found in the file.
- ↳ Directory structure
 - ↳ Directories are used for organizing the file data.
- ↳ Storage structure
 - ↳ A disk is a large storage device and these disk is divided into parts, so we can have multiple file system on a disk.
 - ↳ These parts are called.
 - ① partitions.
 - ② slices.
 - ③ Minidisk.
 - ↳ Here a file system can be created on each of these parts of the disk.
 - ↳ Combination of these parts form volumes and file system can be created in these also.

- ↳ volume can be thought as virtual disk.
- ↳ Each volume can store multiple operating systems.
- ↳ Each volume contains a device directory and file systems are present in device directory.
- ↳ directory records information such as name, location, size and type for all files on that volume.
- ↳ operation performed on a directory.
 - ↳ search a file in a directory.
 - ↳ create a file in a directory
 - ↳ delete a file in a directory
 - ↳ list all files in a directory
 - ↳ Rename a file in a directory
 - ↳ we can move one file to other in directory.

- ↳ single - Level Directory:
 - ↳ Here all the files are contained in the same directory.

- ↳ Advantages:
 - ↳ Implementation will be easy.
 - ↳ File operations are done very easy.
 - ↳ Searching for files will be very fast.

Limitations

↳ If files are more @ the system has more than one user.

↳ User cannot remember all the names of files.

↳ All files must have unique names for files in directory.

↳ Searching will difficult if no of files increases.

Two-level directory:

↳ Here each user has his/her own directory called user file directory (UFD).

↳ Information about (UFD) is stored in a system directory called master file directory (MFD).

↳ This MFD is indexed by user name and each entry points to the UFD for that user.

↳ Here if user wants a particular file then he has to search his own UFD.

Problems:

↳ Here users are isolated from each other; But if

two users wants to access the same file, then it becomes difficult because system does not permit this.

↳ If other users wants to access the files then, the files must be named with their complete paths.

Ex:- /user/test @ c:\user1\test - etc

↳ Here if the user wants to access a system file,

then he should be first check in UFD and if it is not present, then those will be present in special user directory present in MFD as (user 0).

↳ Tree level directories:

↳ Here users will create their own sub directories to organize their files accordingly.

↳ The tree has a root directory and every file in system has a unique path name.

↳ Here we have to specify a path name to find a file. and if you are in current directory then directly we can search there.

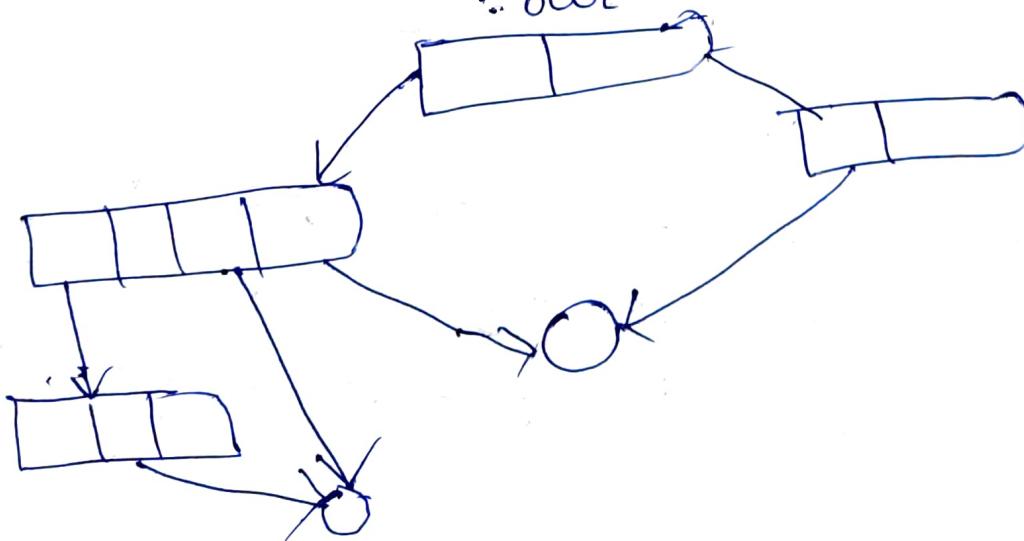
↳ path names:

① Absolute path name:

↳ Here we have to start the path from root.

Ex:- c:/root/spec/mail/emp/file

- ② Relative path name:
- ↳ Starts the path from current directory.
 - Ex:- ..pt/ first..
- ↳ How to delete a directory in tree level directory?
- ↳ If the directory is empty it can be simply deleted.
 - ↳ If the directory is not empty then we have to check if any sub directory is present or not and first we have to delete all sub directories and then we have to delete the root directory.
- ↳ Acyclic - graph directories:
- ↳ If the multiple users works to share a file @ directory then it is not possible in any of level directories.
 - ↳ So, the Acyclic graph that allow directories to share sub-directories and files with no cycles.



- ↳ Implementation of shared files & subdirectories
- ↳ links:
 - ↳ A link is a pointer to another file @ subdirectory that tells that it is sharing.
- ↳ duplicating:
 - ↳ Duplicate all information about shared files.
 - ↳ in both sharing directories
- ↳ problems in Acyclic graph:
 - ↳ same file may have many path names.
 - ↳ If the file is deleted by one user, it will lead to dangling pointers.
 - ↳ so here we have to ~~make~~ preserve the file until all references are deleted.
- ↳ General graph directory:
 - ↳ we know that in Acyclic-graph directory there should be no cycles.
 - ↳ sometimes it may contain cycles, so for avoiding the cycles we just add ~~new~~ links to an existing tree structured directory that results simple graph structure.

- so how we can traverse the graph.
- travel accross
- problems:
- ↳ Here if the cycles are allowed then the searching in ~~cycles~~ cycles will decrease the performance.
 - ↳ If a poorly designed algorithm result on infinite loop.
 - ↳ If we can not delete the cyclic graph file.
 - ↳ Here we need Garbage collection.
 - ↳ For that we need last reference
 - ↳ It is a scheme that determines the last reference has been deleted or not.

- steps:
- ↳ Travel accross the entire file system and mark accessed one.
 - ↳ Travel accross the entire file system and mark the one that is not accessed, and then we will delete.
 - ↳ Garbage Collection is very time consuming scheme and it is rarely attempted in system.

↳ File system mounting:

- ↳ A file must be opened before it is used. But to open, file must be available.
- ↳ So, Mounting is a process by which OS makes the files and directories on a storage device available for user to access in file system.

↳ Mounting procedure:

- ↳ mount point → OS provides name of device & mount point
- ↳ A mount point is a directory @ File at which a new file @ directory @ a file is made accessible.

↳ File sharing:

- ↳ It is very important for users who want to collaborate & work together to reduce the effort.
- ↳ So, there should be a user-oriented OS which must be accommodate to share files.

- ↳ File sharing will be done ^{to} multiple users.
 - ↳ If it is done then some issues will be arises like file sharing, file naming, file protection.

- ↳ So, To allow file sharing then these issues should be solved.
 - ↳ we have to allow multiple users to access files
 - ↳ if one user wants to access other users files then that user should give permission to access.
- ↳ how to implement file sharing?
 - ↳ we know that we have file and directory attributes.
 - ↳ likewise file system follows a concept of owner and group.
 - ↳ owner - who can change attributes and gives permission to other users.
 - ↳ group - the users that are subset of owner. who gets permission to access.
 - ↳ so, we have other attributes like owner id and group id for both file & directory.
- ↳ Remote file systems:
 - ↳ networking allows the sharing of resources spread across the world.
 - ↳ we can share data in the form of files.

↳ methods of remote file sharing:

- ↳ we can transfer files by manually transferring files like (RTP) file transfer protocol.
- ↳ we can transfer files by using distributed.
- ↳ we can transfer files by using file system. Here data is stored in the server and with help of network we can access data from server to a local machine.

↳ world wide web:-

- ↳ By using Internet we can gain access to the remote file --etc.

↳ The client server model:

- ↳ Remote file system allows a computer to mount one or more file system from one or more remote machines.

- ↳ Here machine containing the file is server machine ~~containing~~ seeking access to file is client.

- ↳ The server tells that resource is available to clients.
- ↳ specifies which is the resource & which clients they are available.

- ↳ Server can serve multiple clients & clients can access multiple servers.

client Identification:

- ↳ client can be specified by a network name (①)
other Identifier like IP address.
- ↳ Here the unauthorized client can have access to server, for that we can use encryption, but both client & server should have same encryption.
It is very difficult.
- ↳ Once authorization is done, the user sends a request to mount the file system via DFS.
Then server checks if user has the access (②)
not, if it has then server sends files over websites.

Failure modes :-

↳ Here we have two types of file systems.

① In local file system:-

- ↳ Failure of disk.
- ↳ Corruption of the directory structure.
- ↳ Disk controller failure.
- ↳ Cable failure.
- ↳ Host adaptor failure.
- ↳ These failures need to be repaired by a human intervention.

② In remote file system :-

- ↳ Hardware failure.
- ↳ poor hardware configuration.
- ↳ networking implementation issues.
- ↳ some systems have built-in capability to recover its data but some not → This leads to break (DFS).
 - ↳ The system can either terminate or delay operations.
 - ↳ To Recover from this failure.
 - ↳ we should maintain a state information so that we can recover after. ↳ network file system
 - ↳ when DFS breaks then. ↳ NFS is recognized as the mounted exported file system and carries out all the information that needed to send to client.
 - ↳ Consistency semantics :-
 - ↳ This semantics represents in helping file system that supports file sharing.
 - ↳ This semantics will tell how multiple uses of system or to access a shared file.

- ↳ we have UNIX semantics.
- ↳ when user writes then no other user should write. (because it has single image)
- ↳ If user is writing then the pointer of current location is stored in the file.
Ext google docs --- etc.

- ↳ Andrew file system semantics - (AFS)
 - ↳ Here if user is writing then if the file is shared to other users they can not see the updated contents at that time.
 - ↳ They only able to see if the file is closed after writing. Then they will visible to others at next sessions.
 - ↳ Here multiple users are allowed to read & write at same time.

- ↳ Immutable shared files semantics:
 - ↳ once a file is shared by its creator then it can not be modified.

protection :-

① physical damage :-

- ↳ keep the computer system from physical damage.
- ↳ can done by
 - ↳ Hardware problems
 - ↳ power failures
 - ↳ heat, moisture
 - ↳ dust
 - ↳ temperature extremes
 - ↳ human intervention.

② Improper access :-

↳ unauthorized user access.

- ↳ can done by
 - ↳ give access to authorized users.
 - ↳ multiuser system it is difficult.
 - ↳ In single user system it is possible to protect.

↳ Complete protection :-

- ↳ prohibiting access to every one - except user

↳ Free access :-

- ↳ give world wide access with no protection.

- ↳ Controlled access
 - ↳ give access to just some ~~all~~ clauses of the file types to different users.
- ↳ user permissions:
 - ↳ Read → Read from file or not
 - ↳ Write → write or rewrite the file or not
 - ↳ Execute → load the file and execute or not
 - ↳ Append → add new information to file or not
 - ↳ Delete → free the space in file or not.
 - ↳ List → list the attributes of file or not.
 - ↳ renaming & copying & editing the file or not
- ↳ These operations has to controlled to protect.
- ↳ Access Control:
 - ↳ Here we give the multiple access rights to multiple users depending on the identity.
 - ↳ For that we use (ACL) Access control list.
 - ↳ This list contains the name & right to access.

↳ if the user wants to access the particular file
the os checks the list and if that matches
then user gets access to the file.

problems

↳ we have to know all the users in the system.
↳ if we add more users then size also increases.

↳ sol to the problems are:

↳ Here we keep all users into three classifications

① owner → who created the file.

② group → set of users sharing the file

③ univise → All users apart from owner & group

↳ separate rights will be allocated to these three classifications.

virtual memory

- ↳ we know that any process has to load into main memory for executing. but if the size of main memory = 2GB and if size of process = 3GB then we can not accommodate for that we use virtual memory.
- ↳ Here secondary memory is treated as though it is a part of main memory large process can stored in it.
- ↳ only the part of the process that is actually needed for execution will be loaded onto the actual main memory.
- ↳ Benefits of virtual memory
 - ① user can write large size of programs.
 - ② we can save our main memory space.
 - ③ less I/O operations are needed to load.
 - ④ It's benefit for user & system.

↳ Demand Paging

↳ How can an executable program be loaded from disk to memory?

① Load entire program in physical memory.
(wasting of main memory)

② pages are loaded when they are demanded during program execution.

(This is called Demand paging).

↳ With help of lazy swapper we will swap the pages to physical memory when they are needed @ demanded.

↳ Swap is usual pages (we have to use page table)

↳ Implementation:-

↳ We use valid/invalid bit

↳ Valid → This page is both legal & in memory

↳ Invalid → This page is either not in logical address space of the process @ in the secondary memory

↳ If a process tries to access a page that is not present in memory (@ marked as invalid) This is known as page fault.

↳ If the page fault occurs on the instruction fetch then we can restart by fetching the instruction again.

↳ If the page fault occurs while we are fetching the operand then we must fetch and decode the instruction again.

↳ How to handle page faults?

- ① check on internal table (PCB) whether the page is valid @ invalid.
- ② If it is invalid then terminate.
- ③ If it is valid but we have not yet brought in that page then we have to page it.
- ④ we have to find a frame.
- ⑤ now we have to schedule a disk operation to read the page in the frame.
- ⑥ we update the internal table.
- ⑦ we have to restart the instruction cycle.

- ↳ performance of demand paging.
- ↳ It's a computer system.
- ↳ Demand paging affects the computer performance significantly.
- ↳ If the Effective Access time = memory access time then page fault occurs.
- Effective Access time = $(1-p) \times \frac{\text{memory access time}}{\text{page fault time}}$
- ↳ p is probability of page fault.
- ↳ page fault effects the computer system performance.
- ↳ EAT & page fault rate.
- ↳ Copy on write.
 - ↳ when a process writes to modify a page only at that time we have to create a copy and other original page will be modified. This technique is called Copy on write.

↳ problems in demand paging:

↳ over-allocation of memory, hence in multiprogramming system we are facilitates the possibility of having more process loaded in to the memory.

↳ for this

- ① we could terminate the process
- ② os can swap out the process
- ③ we can make use of page replacement.

↳ page replacement

↳ It is technique of swapping out pages

from physical memory when there are no more free frames available in order to make room for other pages which has to be loaded to physical memory.

↳ Here if we use modify (dirty) Bit we can reduce the effective access time. that decreases the page fault service time.

↳ FIFO page Replacement Algorithm:

- ↳ Here oldest page in memory is chosen to be swapped out.
- ↳ Here we have to maintain the FIFO queue that can hold all the pages that are in memory.
- ↳ Here head of the queue is swapped out and tail of the queue is swapped in.

↳ Belady's Anomaly:

- ↳ Normally, more number of page frames there will be lesser page faults.
- ↳ At no of frames increases the no of page faults stops to some minimal level.
- ↳ Adding physical memory increases the no of frames.
- ↳ In some rare cases we see that as no of frames were increased the no of page faults also increases, this unexpected result is known as Belady's anomaly.

↳ optimal page replacement algorithm

- ↳ It will never suffer from Belady's anomaly.
- ↳ It guarantees the lowest possible page fault rate for a fixed no of frames.
- ↳ Here it checks for the page which is not used for long time in the ~~out~~ queue. Once it is replaced with tail of queue.
- ↳ optimal page replacement algo is difficult to implement because it requires future knowledge of reference string.

↳ LRU (Least Recently used Algorithm)

- ↳ It is better than the FIFO
- ↳ It must be replace LRU choose the page that has not been used for the longest period of time.

↳ It is like optimal page replacement Algorithm looking backwards in time rather than backwards.

↳ LRU (Implementation)

10 10 20 3 4 5
7 7 2

↳ By using counters.

↳ In each page table entry we have time of use field & counter to the CPU.

↳ Here a clock is incremented for every memory reference.

↳ When a reference to a page is made, the contents of the clock is copied to time of use field.

↳ Hence we have time of last reference, so after we can replace the page with smallest time value.

↳ By using stack.

↳ Here we maintain a stack of page no.

↳ When page is referenced, it is removed from stack and put on the top.

↳ Most recently used page is always on top & least recently used page is always at the bottom.

↳ LRU - Approximate page replacement Algorithm.

⑥ ↳ Additional Reference bits Algorithm.

↳ ~~only~~ some systems does not provide LRU support.

↳ Some systems support for LRU in the form of a Reference Bit.

↳ Here Reference bit is used pages are initially to

-0'.

↳ If the page is referenced then bit set

to -1'.

↳ To find the order, But in,

↳ here we can not find the order. But in additional reference bits we can.

↳ Here we keep 8 bit byte for every page table which at regular intervals and timer interrupt each

process control to os.

↳ The OS shifts the reference bit for each page into high order bit of its 8-bit byte.

↳ second chance Algorithm :

- ↳ Here we check its reference bit.
- ↳ If reference bit 0 \rightarrow we replace it.
- ↳ If reference bit 1 \rightarrow we give second chance to it.
- ↳ Here when page gets second chance its reference bit is set to '0'. & arrival time is now current time.
- ↳ If a page is frequently used its reference bit will mostly set '1' and it will never be replaced.

↳ Enhanced second-chance algorithm.

- ↳ Here we use Reference bit & modify bit.
- ↳ When its reference bit is changed when it is modified.
- ↳

<u>Ref</u>	<u>mod</u>
0	0

 \rightarrow Best page to replace
- ↳

0	1
---	---

 \rightarrow here we have to write this page in disk, so it is not best page.
- ↳

1	0
---	---

 \rightarrow here we have know that it will be used again, so not best page to replace.
- ↳

1	1
---	---

 \rightarrow here we have know that it will be used again and it has ~~to~~ to write this page in disk, so not best page to replace.

↳ Counting Based page Replacement:

↳ Here we maintain a counter that keeps a count of no of references made to each page.

① Least frequently used (LFU)

↳ pages which are least used - will have lesser count.
↳ pages which are frequently used - will have greater count.

* ↳ Here we replace the page with least count.
↳ If processes of higher count are present in page may not be needed further. That pages count is replaced shifted right by 1 bit in regular intervals.

② Most frequently used (MFU)

↳ Here we use the same points

↳ Here we do not replace the page with the least count.

↳ These algorithms are very expensive to implement.
↳ do not approximate optimum page replacement algorithm.

- ↳ page - Buffering Algorithms:
- ↳ Here we maintain a pool of free frames.
- ↳ When a page fault occurs:
- ↳ A victim frame is chosen, not desired.
- ↳ A page is loaded into a frame from the free frames pool.
- ↳ This happens when the victim page is swapped out and after that victim frame will be added to the free frames pool.
- ↳ The process can restart its execution as soon as possible without waiting.
- ↳ Here when the paging device is ~~not~~ idle then we ~~will~~ write the page to the disk from the pages that are present in the pool of free frames.
- ↳ We modify the modified bit.
- ↳ By this we can save time that will be wasted in future to write the page to disk.

- ↳ Allocation of frames:
- ↳ How do we allocate to various processes the fixed amount of free memory that is available?
- ↳ If page sharing is available then we can allocate more than the total no of available frames.
- ↳ minimum no of pages should be allocated to each process.

- ↳ Allocation Algorithm:
- ↳ how many frames should be allocated to different processes.
- ② Equal Allocation.
 - ↳ The frames are equally distributed among the processes.
 - ↳ $m \rightarrow$ no of frames & no of processes
 - ↳ we allocate m/n frames to each process.

- problems:
 - ↳ The memory requirement of processes are not same.

② proportional Allocation:

↳ The frames are distributed among the processes according to their sizes.

↳ no of frames allocated to $P_i = a_i$:

$$\text{where } a_i = \frac{s_i}{S} \times m$$

$s_i \rightarrow \text{size of process } P_i$

$$S \rightarrow \sum s_i$$

③ priority Allocation:

↳ Here the frames are distributed among the processes according to their priorities.

↳ A process of higher priority gets more frames.

↳ A process of lesser priority gets less frames.

↳ Global versus local Allocation:

↳ If we are replacing the ~~replacing~~ pages in global way then we follow a global allocation of frames.

↳ If we are replacing the pages in local way then we follow a local allocation of frames.

- ↳ In Global Replacement
 - ↳ The selection of replacement frames are done from the set of all frames even if the frames are allocated to some other process.
 - problem :-
 - ↳ process cannot control their own page fault rate.
 - ↳ In Local Replacement
 - ↳ The selection of replacement frames are done from the set of frames that are particularly allocated to them.
 - problem :-
 - ↳ Memory utilization not good as compared to Global replacement.
- Trashing :-
- ↳ If a process is spending more time in pages rather than in executing the we say that process is Trashing.

problems:-

- ↳ Here all the processes are busy for the paging device and waiters for the queue that decreases CPU utilization.
- ↳ Then CPU scheduler by to increase the CPU utilization by bringing new processes. → This causes ~~deadlock~~ thrashing.
- ↳ Working set model:
 - ↳ Here working set model checks how many frames a process is actually using to provide a process with as many frames as it need.
 - ↳ WSS; → Working set size.
total demand for frames → $D \leq WSS$
If $D > m$ then it is thrashable.

File system Implementation:

- ↳ To Implement file system we have several on-disk and in-memory structures
- ↳ on-disk structures:
 - ↳ It contains Boot Control Block.
 - ↳ There is a boot control block for each volume.
 - ↳ It contains information needed by the system to boot an OS from that volume.
 - ↳ In Unix file system we have boot block
 - ↳ In NTFS it is called position boot sectors.
 - ↳ In NTFS it is called volume control block
 - ↳ It contains volume or partition details.
 - ↳ It contains number of blocks in the partition.
 - ↳ The no of blocks.
 - ↳ Size of the blocks.
 - ↳ Free block count.
 - ↳ Free block pointers.
 - ↳ Free FCB (File control block) count and FCB pointers.
 - ↳ Unix file system → super block
 - ↳ NTFS → master file table stored

- ↳ Directory structure per file system.
 - ↳ this is used to organize the files.
 - ↳ In unix file system it includes filenames and associate inode numbers.
 - ↳ In NTFS → master file table.
- ↳ per-file (FCB)
 - ↳ It contains many details about the file.
 - ↳ File permissions.
 - ↳ Ownership.
 - ↳ size & data blocks.
 - ↳ Location of data blocks.
- ↳ In unix file system it is called inode.
- ↳ In NTFS it is stored in the master file table.
- ↳ In-Memory structures:
 - ↳ The in-memory information is well for both file-system management and performance improvement via caching.
 - ↳ At mount time the data is loaded & discarded at dismount time.

↳ This structure includes:-

① In memory mount table:

↳ Contains information about each mounted volume.

② In memory directory structure cache:

↳ Contains information of recently accessed directories.

③ system-wide open file table.

↳ Contains a copy of the FCB of each open file and other information also.

④ per-process open-file table:

↳ Contains a pointer to the appropriate entry in the system-wide open file table.

↳ virtual file systems:

↳ It allows multiple file system types to be implemented within the same structure.

↳ User can also access file from both the file systems.

↳ This can be done by some object-oriented techniques.

↳ object-oriented technique consists of three major layers in file system implementation.

- ① The file system interface (layer 1)
- ② The virtual file system (VFS)
- ③ The remote file system protocol.

- It provides virtually many file systems but user thinks it is my own file system.
- It will represent a file uniquely by a vnode.

↳ directory implementation:

↳ These directory-allocation & directory management algorithms used in a system affects the efficiency, performance and reliability of the file system.



↳ Two methods to implement a directory.

- ① Linear list.

↳ A linear list of file names is used which points to the data blocks.

→ It is very time consuming to execute.
disadvantage:

→ Linear search is required for every operation.

② Hash Table: takes a value compare

→ The hash table takes a pointer to from the file name and returns a pointer to the file name in the linear list.

→ This decreases the search time.

disadvantages:

→ Sometimes two file names hash to same location.

→ Hash function depends on that size.

→ Contiguous disk space Allocation is

→ It has to provide efficient disk space utilization.

→ Fast access to the file blocks.

→ This scheme provides starting block address and length of the file. So that we can determine the blocks occupied by the file. (sequential & direct access is possible)

Advantages

- ↳ with the static address we can access any block.
- ↳ This is very fast access scheme.

Disadvantages :-

- ↳ It suffers from internal, external fragmentation.
- ↳ files with larger size cannot be accessed.

② Linked List Allocation & Only Sequential is possible

- ↳ In this scheme, each file is a linked list of disk blocks which need not be contiguous.

↳ if directory entry contains a pointer to the start of the end of file block then we can access the next block of the file.

Advantages :-

- ↳ This is very flexible in terms of size.
- ↳ Does not suffer from external fragmentation.

Advantages:-

- ↳ file blocks are distributed randomly.
- ↳ we can access the file block randomly
- ② directly.

Indexed Allocation :- (sequential & direct access possible)

- ↳ Indexed Allocation :-
- ↳ In this scheme, a ~~special~~ special block known as Index Block contains the pointers to all the blocks occupied by a file.

Advantages :-

- ↳ supports direct access to the blocks.
- ↳ does not suffer from external fragmentation.

Disadvantages :-

- ↳ pointers are searched in the linked list. It is overhead.
- ↳ For larger blocks we can not specify Index Block (that of small size), we may want more Indexes.
- ↳ For that we have linked scheme, multilevel Index & combined scheme.

↳ unix inode

↳ How each file is indexed by an
inode.

mass -storage structure :-

↳ we have three parts

(1) File system Interface.

↳ The user & file ~~systems~~ interface.

(2) File system Implementation.

↳ Done By internal data structure.

and algorithms used by the os to

Implement interface.

(3) mass - storage structure.

↳ study of Internal secondary storage

structure.

↳ It the lowest level of file system.

↳ we use magnetic disk and magnetic

tapes ~~as~~ the secondary storage device.

↳ magnetic disk

↳ provides bulk of secondary storage.

↳ disk platter has flat circular shape (CD)

↳ its diameters are 1.8 to 5.25 inches.

↳ These platters are covered with magnetic material.

↳ with help of these magnetic material we record information.

- ↳ The read - write head flies above each surface of every platter.
- ↳ These heads are attached to a disk arm.
- ↳ These above surfaces have several tracks and these tracks are subdivided into sectors.
- ↳ A cylinder is any set of all tracks of equal diameter that are at one arm position.
These disk contain thousands of cylinders.
- ↳ In order to share a data from the disk file system, the disk has to rotate 60 to 200 times per second with help of drive motor.
- ↳ Transfer rate → the rate at which data flows b/w disk & computer.
- ↳ positioning time / Random access time is the time to move the disk arm to the desired cylinder (seek time) + The time for the desired sector to rotate to the disk head. (rotational latency)

Head crash:

- ↳ The read-write head flies on an the surface of disk with little tiny gap.
- ↳ Here head may contact with the disk surface and damage the magnetic surface.
- ↳ This is called head crash.
- ↳ This can not be repaired (entire disk) has to be replaced.
- ↳ The disk drive is attached to computer by a set of wires called on I/O bus.

Magnetic tapes:

- ↳ These are tapes of thin layer which is coated with magnetic material, and this tape is attached to two cylinders where the read-write head is present at some place b/w the cylinders.
- ↳ Random access time to magnetic tape is more than magnetic disk.

- ↳ we do not use this magnetic tapes
- ↳ The tape has to move to correct spot to read - write head.
- ↳ These tapes store 200B & 200B only.
- ↳ These tapes are designed based width & technology.
- ↳ These tapes are used for backup and devices which are not frequently used are used to transfer data from one system to another.

Disk Structure :-

- ↳ It has logical blocks which is mapped on the sectors of the disk.
- ↳ Here sector 0 is the first sector of first track on the outermost cylinder.
- ↳ This mapping proceeds from outermost cylinders to innermost cylinders.

↳ The amount of data that is transferred from disk to computer must constant.

① Constant linear velocity (CLV)

↳ Here the data increases its rotation speed as the head moves from the outer to inner tracks to keep the same data moving under the head rate.

↳ outer tracks \rightarrow more sectors.

↳ inner tracks \rightarrow less sectors.

② Constant angular velocity (CAV).

↳ The disk rotates - speed can stay constant

↳ The density of bits decreases from inner tracks to outer tracks.

↳ The density of bits to keep the data rate constant.

Disk Attachment

- ↳ Disk storage can be accessed by computers by host-attached storage.
- ↳ network attached storage.

① via I/O ports

- ↳ Here storage accessed through I/O ports

- ↳ PC uses I/O bus architecture

- ↳ IDE (Integrated Drive Electronics)

- ↳ ATA (Advanced Technology Attachment)

- ↳ For newer PC's (Serial ATA)

- ↳ For high-end workstations

- ↳ SCSI (Small Computer System Interface)

② Network Attached Storage

- ↳ A network attached storage device

- ↳ A special-purpose system that is accessed remotely over a data network

- ↳ we access this NAS by remote procedure call interface

③ Storage area network

- ↳ The storage I/O operates (using) bandwidth on the data network thereby increasing the latency of network communication.
- ↳ It uses storage protocols rather than network protocols by (SAN) storage area network.

Disk scheduling:-

- ⇒ OS has to use the hardware efficiently.
- ↳ For this we have:
 - ↳ Fast access time
 - ↳ Large disk bandwidth.
- ↳ Access Time = seek time + rotational latency.
- ↳ Disk Bandwidth = $\frac{\text{Total no of bytes transferred}}{\text{Time b/w first request and completion time.}}$
- ↳ we can Improve both by scheduling the sequence of disk I/O request in a good order.

↳ If the disk controller is busy, the newly arrived requests will be placed in queue of pending requests.

↳ FCFS algorithm:

The one who come firsts will get the chance - only next second.

↳ The algorithm is fair.

↳ No starvation.

↳ The performance is not good.

↳ SSTF algorithm (shortest seek time)

↳ The SSTF algorithm selects the

request with the minimum seek time from the current head position.

↳ It is better than FCFS algorithm.

↳ ~~Can cause~~ cause starvation.

↳ It is not optimal.

- ↳ SCAN algorithm: (elevator).
 - ↳ In Scan algorithm the disk arm starts at one end of the disk and moves towards the other end. and servicing demands at it reaches each cylinder.
 - ↳ And at other end the direction of head is reversed and servicing continues.
 - ↳ It is better than FCFS algorithms.
 - ↳ No starvation.
 - ↳ If the demand that arrives behind the head position has to wait for the head to reach one end and come back.

- ↳ C-SCAN algorithm: -
 - ↳ like SCAN algorithm . C-SCAN moves the head from one end of the disk to the other servicing demands along the way.
 - ↳ when head reaches the other end it immediately returns to the beginning of the disk without servicing any demand on the return trip.

- ↳ This algorithm provides a more uniform wait time.
- ↳ Total head movement is more than SCAN algorithm.
- ↳ Look scheduling algorithms:-
 - ↳ Here the arm goes only as far as the final segment in each direction. Then it reverse direction immediately without going all the way to the end of the disk.
 - ↳ The seek time is better than all the algorithms discussed ~~so far before~~.

- ↳ C-Look scheduling algorithm:-
 - ↳ In C-Look algorithm moves the head in one direction servicing segments along the way.
 - ↳ When the head reaches the last segment it immediately returns to the ~~farthest~~ furthest segment on the other end without servicing.

- ↳ This algorithm provides a more uniform wait time.
- ↳ Total head movement is much less compared to look algorithm.
- ↳ How do we choose the best algorithm to perform?
 - SSTF
↳ SSTF algorithm has natural appeal because it increases performance over FCFS.
↳ SCAN & C-SCAN perform better for system - that place.
↳ Here performance depends heavily on the number disk types or seekset.
 - ↳ Depending on the situation we may have to choose which algorithm should execute.
- ↳ SSTF or Look is a reasonable choice for the default algorithm.

Disk Formattin

- The process ~~formatting~~ dividing the ~~sector~~ sectors that the disk controller can read & write is called low-level formatting.
- This low level formattin fills the disk with special data structure for each sector.
- Data structure consists of
 - A header.
 - A data area
 - A trailer.
- A header & A trailer contain information used by disk controller (such as sector no and ECC (Error -correctly code))
- The logical Formattin is the process places a file system on the disk and allows an OS to store & retrieve files.

Boot Block

- ↳ The program that helps the computer to start smoothly when it is powered up.
- ④ "rebooted" by Bootstrap program. By this is done by Bootstrap program. It initializes all aspects of the system. Initializes all aspects of the system. From CPU & later to device controllers. One controller of main memory.
- ↳ Bootstrap program will be reside in the ROM. (where we can write & manipulate)
- ↳ Bootstrap program will be reside in the ROM of Boot Block.

Bad Blocks

- ↳ Some this disk is damaged by head. so here one or more sectors become defective. these defective blocks are called Bad Blocks.
- ↳ These Bad Blocks are handled by manual handling & sector sparing & sector slipping.

manual handling

- ↳ By performing the logical formatting we just find the bad blocks and FAT entry tells not to use that block.
- ↳ When executing if we find bad block then we run chkdsk and locks it away.

sector sparing:-

- ↳ Spare sectors are maintained by the low level formatting process, these are not visible to OS.
- ↳ When bad block occurs there will be replaced by these spare sectors.

sector slipping:-

- ↳ Here we just make a free sector just beside the sector that is damaged so that sequential order is maintained.

- Swap-space needs:
 - ↳ depends on the physical memory.
 - ↳ depends on the virtual memory it is backing.
 - ↳ The way in which the virtual memory is used.
- ↳ Swap-space is located in filesystem @ separate disk partition.
- ↳ Swap map is the array of integer counters each corresponds to a page slot in the swap area.
 - ↳ Counter is 0 → slots available
 - ↳ Counter is ~~greater~~ number of mappers to the swapped page.
- ↳ Raid structure:
(redundant arrays of inexpensive disks)
 - ↳ It is a way of storing the same data in different places on multiple hard disks @ SSD's to protect data in case of failure.

↳ RAID places data on multiple disks and allows ~~all~~ I/O operations to overlap in a balanced way.

↳ The techniques used by RAID

① disk mirroring

↳ Copies identical data on to more than one drive.

② disk striping

↳ Spread data over multiple disk drives.

↳ The data will be lost if the replaced disk also fails before the first disk replaced.

↳ we can improve the performance or transfer rate by striping data across the disk.

↳ By splitting the bits of each byte across multiple disks → bit level striping.

Raid level \rightarrow 0 \rightarrow striping. $B_1 \rightarrow B_2$

Raid level \rightarrow 1 \rightarrow mirroring. $B_1 \rightarrow B_1$

Raid level \rightarrow 2 \rightarrow Blocks & parity. B_1, B_2, P_1, P_2

Raid level \rightarrow 3 \rightarrow Block & parity for individual block sections. A_1, A_2, A_3, P_{1-3}

Raid level \rightarrow 4 \rightarrow Blocks & separate Block parity. A_1, A_2, A_3, P_A

Raid level \rightarrow 5 \rightarrow A_1, A_2, A_3, P_A
 B_1, B_2, P_B, B_3

Raid level \rightarrow $\overbrace{\quad\quad\quad\quad}$

stable storage implementation:

\hookrightarrow stable storage residing in stable storage is never lost.

\hookrightarrow replicate the information in multiple storage devices

\hookrightarrow successful completion:

(1) successful completion:
 \hookrightarrow data return correctly

(2) partial failure:
 \hookrightarrow data partially written

(3) Total failure:
 \hookrightarrow data failure is started at beginning
 $\begin{matrix} \nearrow \\ \text{beginning} \end{matrix}$ $\begin{matrix} \searrow \\ \text{end} \end{matrix}$