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Class: Final Year CSE-C

Optimisation Techniques and Algorithm Lab 6

Aim:

1) Extend the Genetic Algorithm code to execute the following pseudocode:

```
D, LB, and UB as per problem
Npop = 50
Maxiter = 200
CR = 0.6
MR = 0.05
For i = 1:Npop
 For j = 1:D
  X(i, j) = LBj + rand * (UBj - LBj)
 }
For it = 1:Maxiter
 For i = 1:Npop
  r1 = randi(1, Npop) # r1 \neq i
  if (rand(0, 1) \le CR)
   X(i)' = Single point crossover of the half of the vector between X(i) and X(r1)
```

```
 \begin{tabular}{ll} $r2 = randi(1,D)$ & $if (rand(0,1) < MR)$ & & & & & & \\ $X(i)' = Mutation of single dimension (replace the dimension by a random feasible point) & & & & & & \\ $if f(X(i)') < f(X(i))$ & & & & & & \\ $X(i) = X(i)'$ & & & & & \\ $X(i) = X(i)'$ & & & & & \\ $Sort the population on the descending order of the quality of $f(x)$ & & & \\ $Print best $f(X)$ and $x$ & & & \\ $\} \\ \end{tabular}
```

Plot the best f(X(i)) for all the iterations

2) Repeat the above algorithm for n = 20 runs and plot the average values of best f(X(i)) for each iteration

Codes:

```
1) Basic GA

clc;
clear;

% Hardcoded values
minMax = 'min'; % Optimization type: 'min' for minimization, 'max' for
maximization
MaxIter = 200; % Total iterations
str = 'x1^2 + x2^2 + x3^2'; % Fitness function (for testing, a simple function)
D = 3; % Number of dimensions
Npop = 50; % Population size
CR = 0.6; % Crossover rate
MR = 0.05; % Mutation rate

% Lower and Upper bounds for each dimension
LB = [-10, -10, -10]; % Lower bounds for each dimension
UB = [10, 10, 10]; % Upper bounds for each dimension
```

```
% Create symbolic variables and the fitness function
syms x [1 D];
fitnessFunction = str2sym(str);
f = matlabFunction(fitnessFunction, 'vars', {x});
% Initialize population
X = LB + rand(Npop, D) .* (UB - LB);
% Fitness evaluation
fitness = zeros(Npop, 1);
for i = 1:Npop
    fitness(i) = f(X(i, :));
end
bestFitness = zeros(MaxIter, 1);
bestX = zeros(MaxIter, D);
for it = 1:MaxIter
    X_new = X; % Copy population for new individuals
    for i = 1:Npop
        % Selection of r1
        r1 = randi(Npop);
        while r1 == i
            r1 = randi(Npop);
        end
        % Crossover
        if rand < CR</pre>
            crossoverPoint = floor(D / 2); % Single-point crossover at midpoint
            X_new(i, 1:crossoverPoint) = X(i, 1:crossoverPoint);
            X_new(i, crossoverPoint+1:end) = X(r1, crossoverPoint+1:end);
        end
        % Mutation
        if rand < MR
            mutationPoint = randi(D);
            X_new(i, mutationPoint) = LB(mutationPoint) + rand *
(UB(mutationPoint) - LB(mutationPoint));
        end
        % Fitness evaluation
        fitness_new = f(X_new(i, :));
        if fitness_new < fitness(i)</pre>
            X(i, :) = X_{new}(i, :);
            fitness(i) = fitness_new;
        end
    end
    % Sort population by fitness
    [sortedFitness, index] = sort(fitness, 'descend');
    if minMax == 'min'
        [sortedFitness, index] = sort(fitness, 'ascend');
    end
    % Track best fitness and corresponding solution
    bestFitness(it) = sortedFitness(1);
    bestX(it, :) = X(index(1), :);
```

```
% Display best fitness
    fprintf('Iteration %d: Best Fitness = %f\n', it, bestFitness(it));
end
% Display best result
disp('The best values for the dimensions are:');
disp(bestX(end, :));
% Plot the best fitness over iterations
figure;
plot(1:MaxIter, bestFitness, 'LineWidth', 2, 'Color', 'r');
ylabel('Fitness', 'FontSize', 15);
xlabel('Iteration', 'FontSize', 15);
title('Best Fitness Over Iterations');
grid on;
   2) GA with Average of 20 iterations:
clc;
clear;
% Hardcoded values
minMax = 'min'; % Optimization type: 'min' for minimization, 'max' for
maximization
MaxIter = 200; % Total iterations
str = 'x1^2 + x2^2 + x3^2'; % Fitness function (for testing, a simple function)
D = 3; % Number of dimensions
Npop = 50; % Population size
CR = 0.6; % Crossover rate
MR = 0.05; % Mutation rate
n = 20; % Number of runs
% Lower and Upper bounds for each dimension
LB = [-10, -10, -10]; % Lower bounds for each dimension
UB = [10, 10, 10]; % Upper bounds for each dimension
% Create symbolic variables and the fitness function
syms x [1 D];
fitnessFunction = str2sym(str);
f = matlabFunction(fitnessFunction, 'vars', {x});
% Initialize storage for average best fitness values and best values
averageBestFitness = zeros(MaxIter, 1);
finalBestValues = zeros(n, D);
finalBestFitness = inf; % Initialize with a large value
for run = 1:n
    % Initialize population
    X = LB + rand(Npop, D) .* (UB - LB);
    % Fitness evaluation
    fitness = zeros(Npop, 1);
    for i = 1:Npop
        fitness(i) = f(X(i, :));
    end
    bestFitness = zeros(MaxIter, 1);
```

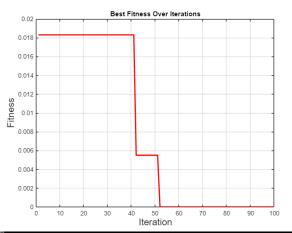
```
bestX = zeros(MaxIter, D);
    for it = 1:MaxIter
        X_new = X; % Copy population for new individuals
        for i = 1:Npop
            % Selection of r1
            r1 = randi(Npop);
            while r1 == i
                r1 = randi(Npop);
            end
            % Crossover
            if rand < CR
                crossoverPoint = floor(D / 2); % Single-point crossover at
midpoint
                X_new(i, 1:crossoverPoint) = X(i, 1:crossoverPoint);
                X_new(i, crossoverPoint+1:end) = X(r1, crossoverPoint+1:end);
            end
            % Mutation
            if rand < MR
                mutationPoint = randi(D);
                X new(i, mutationPoint) = LB(mutationPoint) + rand *
(UB(mutationPoint) - LB(mutationPoint));
            end
            % Fitness evaluation
            fitness_new = f(X_new(i, :));
            if fitness_new < fitness(i)</pre>
                X(i, :) = X_{new}(i, :);
                fitness(i) = fitness_new;
            end
        end
        % Sort population by fitness
        [sortedFitness, index] = sort(fitness, 'descend');
        if minMax == 'min'
            [sortedFitness, index] = sort(fitness, 'ascend');
        end
        % Track best fitness and corresponding solution
        bestFitness(it) = sortedFitness(1);
        bestX(it, :) = X(index(1), :);
        % Update average best fitness values
        averageBestFitness(it) = averageBestFitness(it) + bestFitness(it) / n;
    end
    % Store best values for dimensions from this run
    finalBestValues(run, :) = bestX(end, :);
    % Update the global best fitness and dimensions if current run has a better
fitness
    if minMax == 'min'
        if bestFitness(end) < finalBestFitness</pre>
            finalBestFitness = bestFitness(end);
            bestDimensionValues = bestX(end, :);
        end
```

```
else
        if bestFitness(end) > finalBestFitness
            finalBestFitness = bestFitness(end);
            bestDimensionValues = bestX(end, :);
        end
    end
end
% Display the average best fitness values for each iteration
disp('Average best fitness values for each iteration:');
for it = 1:MaxIter
    fprintf('Iteration %d: Average Best Fitness = %f\n', it,
averageBestFitness(it));
end
% Display the best values for dimensions corresponding to the best fitness
disp('Best values for dimensions corresponding to the best fitness:');
disp(bestDimensionValues);
% Plot the average best fitness over iterations
figure;
plot(1:MaxIter, averageBestFitness, 'LineWidth', 2, 'Color', 'r');
ylabel('Average Best Fitness', 'FontSize', 15);
xlabel('Iteration', 'FontSize', 15);
title('Average Best Fitness Over Iterations');
grid on;
```

Output:

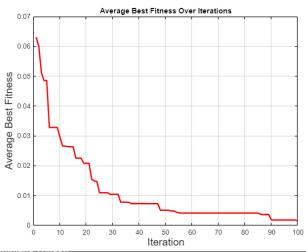
1) Function 1: Sphere function (1D) $f=x1^2$

Basic GA



```
Command Window
Iteration 93: Best Fitness = 0.000007
Iteration 95: Best Fitness = 0.000007
Iteration 96: Best Fitness = 0.000007
Iteration 97: Best Fitness = 0.000007
Iteration 97: Best Fitness = 0.000007
Iteration 98: Best Fitness = 0.000007
Iteration 99: Best Fitness = 0.000003
Iteration 100: Best Fitness = 0.000003
The best values for the dimensions are:
-0.0018
```

• Average of 20 Iterations:



```
Iteration 94: Average Best Fitness = 0.001765

Iteration 95: Average Best Fitness = 0.001765

Iteration 96: Average Best Fitness = 0.001765

Iteration 97: Average Best Fitness = 0.001765

Iteration 98: Average Best Fitness = 0.001765

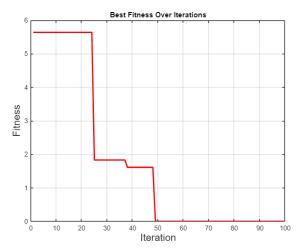
Iteration 99: Average Best Fitness = 0.001765

Iteration 100: Average Best Fitness = 0.001399

Best values for dimensions corresponding to the best fitness:
-0.0023
```

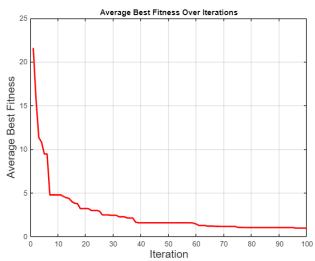
2) Function 2: Rosenbrock function (2D) $f=(a-x1)^2+b*(x2-x1^2)^2$

• General GA



```
Command Window
Iteration 93: Best Fitness = 0.002122
Iteration 94: Best Fitness = 0.002122
Iteration 95: Best Fitness = 0.002122
Iteration 96: Best Fitness = 0.002122
Iteration 97: Best Fitness = 0.002122
Iteration 98: Best Fitness = 0.002122
Iteration 99: Best Fitness = 0.002122
Iteration 100: Best Fitness = 0.002122
The best values for the dimensions are:
0.0550 0.0020
```

• Average Value of 20 iterations



```
Command Window

Iteration 94: Average Best Fitness = 1.026007

Iteration 95: Average Best Fitness = 1.026007

Iteration 96: Average Best Fitness = 0.971734

Iteration 97: Average Best Fitness = 0.971734

Iteration 98: Average Best Fitness = 0.971734

Iteration 99: Average Best Fitness = 0.971734

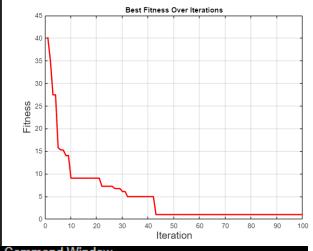
Iteration 100: Average Best Fitness = 0.938350

Best values for dimensions corresponding to the best fitness:

0.0686 -0.0069
```

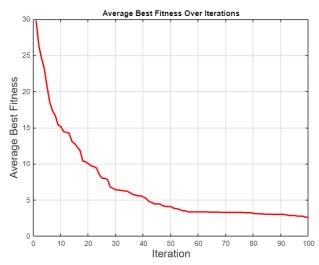
3) Function 3: Rastrigin Function (3D) f=10 * 3 + x1^2 - 10 * cos(2 * pi * x1) + x2^2 - 10 * cos(2 * pi * x2) + x3^2 - 10 * cos(2 * pi * x3)

• General GA



Command Window Iteration 95: Best Fitness = 0.980723 Iteration 95: Best Fitness = 0.980723 Iteration 96: Best Fitness = 0.980723 Iteration 97: Best Fitness = 0.980723 Iteration 97: Best Fitness = 0.980723 Iteration 98: Best Fitness = 0.980723 Iteration 99: Best Fitness = 0.980723 Iteration 100: Best Fitness = 0.980723 Iteration 100: Best Fitness = 0.980723 The best values for the dimensions are: 0.0159 0.0316 -0.0612

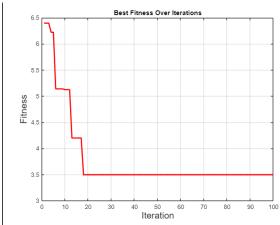
• Average value of 20 iterations



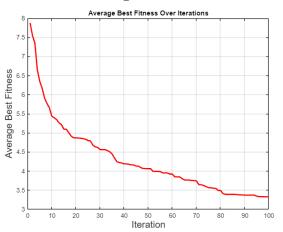
```
Command Window
Iteration 99: Average Best Fitness = 2.04/001
Iteration 94: Average Best Fitness = 2.847051
Iteration 95: Average Best Fitness = 2.847051
Iteration 96: Average Best Fitness = 2.758981
Iteration 97: Average Best Fitness = 2.758981
Iteration 98: Average Best Fitness = 2.751517
Iteration 99: Average Best Fitness = 2.604244
Iteration 100: Average Best Fitness = 2.591492
Best values for dimensions corresponding to the best fitness:
-0.0157  0.0277  0.0077
```

4) Function 4: Ackley Function (4D) $f=-20 * \exp(-0.2 * \operatorname{sqrt}((x1^2 + x2^2 + x3^2 + x4^2) / 4)) - \exp((\cos(2 * \operatorname{pi} * x1) + \cos(2 * \operatorname{pi} * x2) + \cos(2 * \operatorname{pi} * x3) + \cos(2 * \operatorname{pi} * x4)) / 4) + 20 + \exp(1)$

General GA



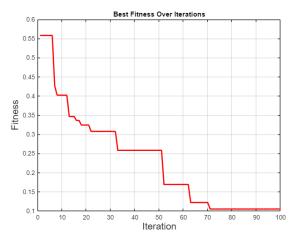
• Average of 20 iterations:



```
Command Window
Iteration 93: Average Best Fitness = 3.367860
Iteration 94: Average Best Fitness = 3.367860
Iteration 95: Average Best Fitness = 3.327533
Iteration 96: Average Best Fitness = 3.327533
Iteration 97: Average Best Fitness = 3.327533
Iteration 98: Average Best Fitness = 3.325504
Iteration 99: Average Best Fitness = 3.325504
Iteration 100: Average Best Fitness = 3.325504
Best values for dimensions corresponding to the best fitness:
-0.0965 0.8854 -0.1251 0.0256
```

5) Function 5: Griewank Function (5D) $f=1 + (x1^2 + x2^2 + x3^2 + x4^2 + x5^2) / 4000 - \cos(x1 / \text{sqrt}(1)) * \cos(x2 / \text{sqrt}(2)) * \cos(x3 / \text{sqrt}(3)) * \cos(x4 / \text{sqrt}(4)) * \cos(x5 / \text{sqrt}(5))$

General GA



```
Command Window

Iteration 94: Best Fitness = 0.104782

Iteration 95: Best Fitness = 0.104782

Iteration 96: Best Fitness = 0.104782

Iteration 97: Best Fitness = 0.104782

Iteration 98: Best Fitness = 0.104782

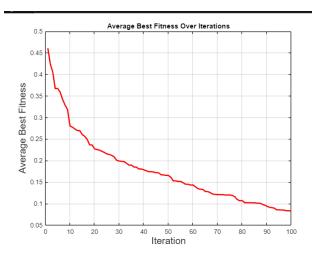
Iteration 99: Best Fitness = 0.104782

Iteration 100: Best Fitness = 0.104782

The best values for the dimensions are:

3.1797 4.0125 0.2635 6.2763 -7.4482
```

• Average of 20 iterations:



```
Command Window

Iteration 94: Average Best Fitness = 0.085644

Iteration 95: Average Best Fitness = 0.085644

Iteration 96: Average Best Fitness = 0.085146

Iteration 97: Average Best Fitness = 0.084902

Iteration 98: Average Best Fitness = 0.083552

Iteration 99: Average Best Fitness = 0.083337

Iteration 100: Average Best Fitness = 0.083223

Best values for dimensions corresponding to the best fitness:

-3.2356 4.2654 -0.2023 0.0843 0.1634
```