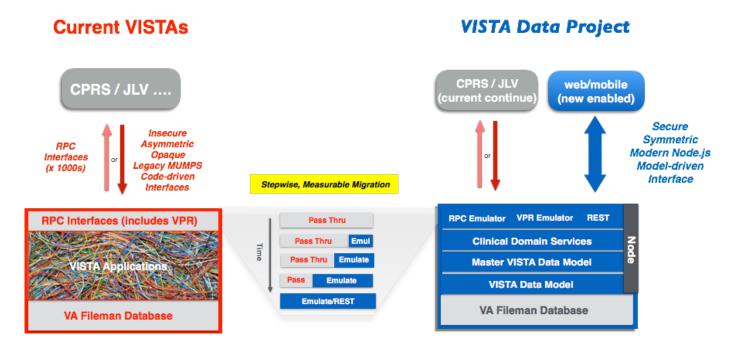
VISTA Meta Data Project Final Report

The Veterans Information Systems Technology Architecture (<u>VISTA</u>) is the U.S. Department of Veterans Affairs integrated longitudinal clinical, business, and administrative information system. 130 instances support the operations of over 1200 VA hospitals and clinics nationwide.

VISTA's data model - the roadmap to all of VA's institutional, business, and clinical processes and data - has evolved organically over the past 35 years but has not been surfaced and leveraged in computable form. Until now.

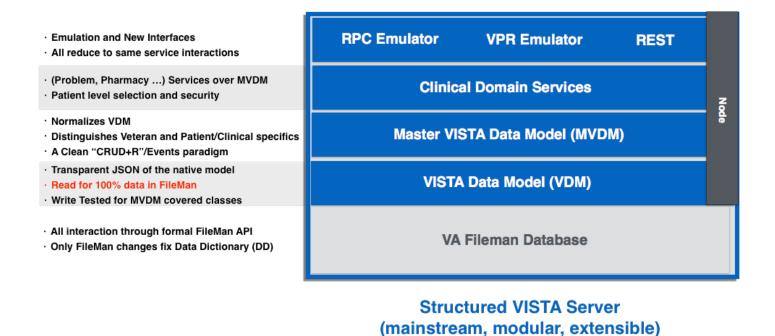
In the VISTA (Meta) Data Project (VDP), this organic data model is comprehensively represented and incrementally normalized to produce a national, standardized Master VISTA Data Model (MVDM). An operationalized MVDM provides new web clients with a single, secure, symmetric read-write interface to every VISTA and, through *emulation* of existing interfaces, VISTA's current clients such as CPRS work unchanged but with greatly enhanced security.



Strategic Benefits:

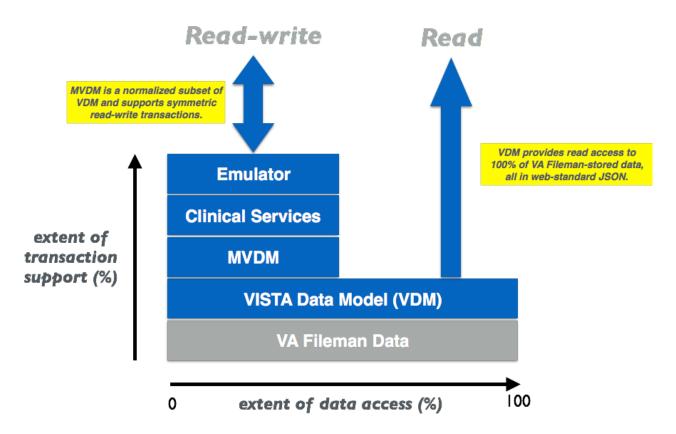
- New, maintainable veteran care server based on mainstream technology
- New web and mobile clients enabled with mainstream technology
- Current clients (CPRS/JLV) supported and enforce VA Care coverage
- May now safely incrementally retire legacy MUMPS VISTA [spaghetti]
- (Some) Clinical Domain Services may be implemented over COTS

MVDM runs inside VISTA, replacing a spaghetti of MUMPS. It turns VISTA into a modular, model-based, backward-compatible server ...



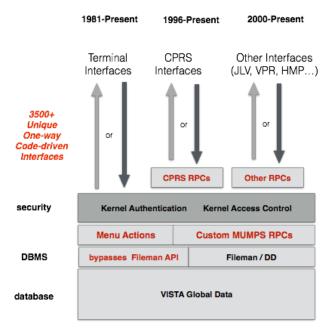
VDP provides 100% coverage of all VISTA data in web-standard JSON and, step by step, is building out a normalized master model for the functionality used by CPRS and other VISTA clients ...

Javascript/Node.js



VISTA currently has a variety of overlapping security (access control and auditing) mechanisms including FileMan and Remote Procedure Call (RPC) permissions. MVDM introduces comprehensive patient-centric security, industry standard attribute-based access control (ABAC) and tiered auditing to VISTA for the first time ...

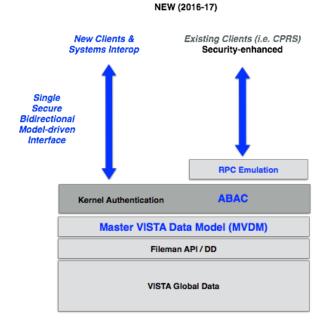
Code-centric Interfacing (3500+)



Current interfacing to VISTA is through over 3500 unique, opaque, one-way (either read or write) legacy (over 20 years old) MUMPS remote procedure calls (RPCs) which are neither documented nor maintained. These are hard-coded in MUMPS for specific clients only and not interdangeable to other clients due to embedded business logic within the custom MUMPS and client code. Security for RPCs is based on the Terminal (roll-and-scroll) interface and its Menu Actions. This is a terminal-specific security mechanism, and not applicable for any external, web-, or GUI-based interface.

Many of the 3500 RPCs bypass the Fileman API and Data Dictionary, writing direct to MUMPS global storage. Bypassing the Fileman API means that the security and auditing measures of the database are bypassed, creating a significant security gap. In addition, this makes the data inaccessible to any other applications or by any other method other than by writing yet more custom MUMPS RPCs (The read and write RPCs are distinct from each other). The only means to access or interface to new data is to write new MUMPS RPCs using the Terminal-based Actions-centric security, in addition to custom RPC MUMPS security code.

Model-centric Interface (1)



All interfacing to VISTA is through one single, secure, symmetric (bidirectional) read-write Master VISTA Data Model (MVDM). The read data model is identical to the write data model (i.e. symmetric) providing one single universal structured data read/write mechanism. All interfaces and functionality are Model-driven, language-agnostic, client-agnostic, Fileman API compilant, and secured with both existing Kernel authentication, in addition to new modern, industry-standard, patient-centric, Attribute-Based Acess Control (ABAC).

Existing RPC-based clients read/write through the MVDM via RPC emulation, and are ABAC security-enhanced. New clients and external structured data integration directly uses the MVDM with ABAC security. Red= MUMPS code that may be retired, Blue = enhanced repracement

Deliverables Year 2

Year 2 technical deliverables enumerated in PWS.

Deliverable	Name	Where
7	Machine Processable VISTA Data Model (VDM)	Code: VDM in Domain Prototypes
8	Date-stamped FileMan Data Model Definitions	Code: otherDeliverables
9	Approach to "Live VDM" Maintenance of Current State	Final Report: Live VDM Maintenance
10	Normalized VISTA Data Model (MVDM)	Code: MVDM in Domain Prototypes
11	Heuristic Code	Code: Domain Prototypes
12	Normalization Reports	Final Report: MVDM normalization of VDM
13	Website that graphically depicts VDM, MVDM, and other metadata	Code: Website of Project
14	Report on Exposure of Older Models	Final Report: Exposure of Older Models
15	Date-stamped Metadata for lab, surgery, TIU notes and other applications in a MVDM compatible format	Code: otherDeliverables
18	Machine-processable Annotations	Code: otherDeliverables
19	Software Code	Code: otherDeliverables
23	End to end Demonstration	Final Report: End To End Emulation Demonstration – assembled from code deliverables
25	Prototype query access to VISTA Data Against VDM	Code: otherDeliverables
28	Prototype Patient-centric Data Security	Code: otherDeliverables
33	Prototype Web-Based Query Interface to FileMan Data	Code: otherDeliverables
35	VISTA Application model(s)/Prototype(s)	Code: Domain Prototypes
36	Meta-model(s)/Prototype(s) (VPR Emulation)	Code: Domain Prototypes - VPR
41	Security Management Summary Report on PIKS Annotation	Final Report: Security Management

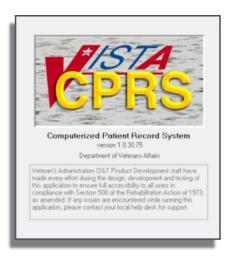
Note: per agreed contract amendment, deliverables 23 and 41 replaced 39 and 40.

End to End Emulation Demonstration

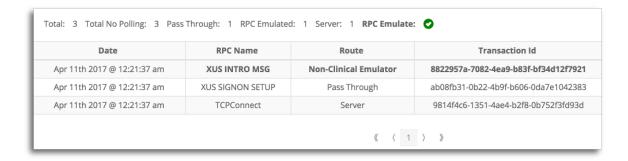


nodeVISTA Server

Start CPRS...

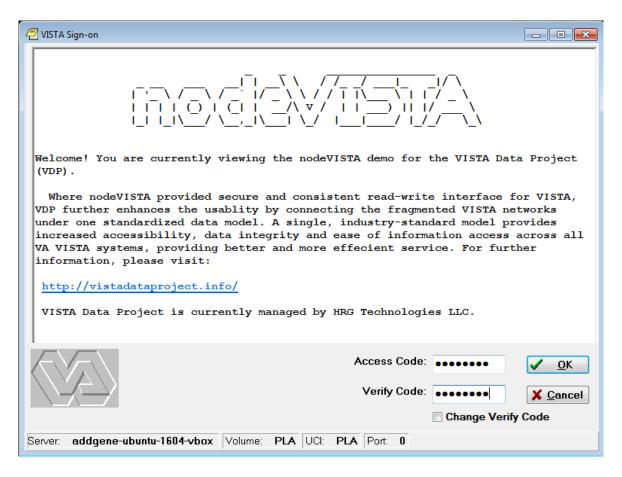


Before logging in, notice that the **nodeVISTA Manager RPC Events** tab shows the first RPC traffic coming from CPRS.

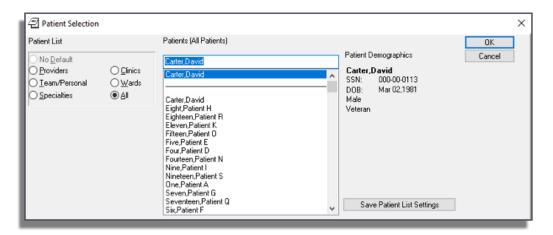


Login into VISTA as **ALEXANDER,ROBERT** using the following credentials:

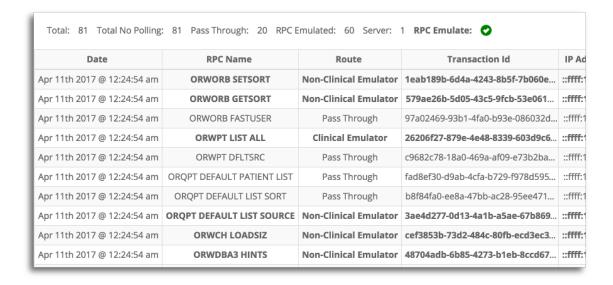
Access Code: fakedoc1 Verify Code: ldoc!@#\$



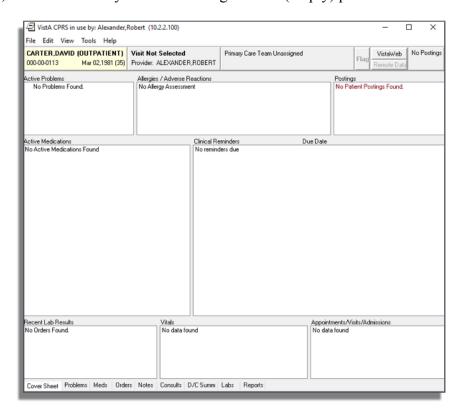
After login, you will be brought to the patient selection dialog...



Before choosing patient **Carter,David**, look in the **nodeVISTA Manager** and see just how *chatty* CPRS is. Before any patient is selected, over 80 RPCs have been sent...



Back at CPRS, select 'OK' and you will be brought to the (empty) patient chart



and the **nodeVISTA Manager** shows the extra RPCs invoked - the total is now at 133...

Date	RPC Name	Route	Transaction Id				
Apr 11th 2017 @ 12:24:47 am	ORWGRPC TYPES	Pass Through	914613bd-6821-44e3-bd06-66b90f				
Apr 11th 2017 @ 12:24:47 am	ORWGRPC GETPREF	Non-Clinical Emulator	d0bd5a0c-cb72-4c15-ba34-b4c4a7.				
Apr 11th 2017 @ 12:24:47 am	ORWU HASKEY	Pass Through	7d22e544-bcbb-401f-a80c-887b92d				
Apr 11th 2017 @ 12:24:47 am	ORWU HASKEY	Pass Through	ca32f9a1-0916-484a-900c-83c79ce				
Apr 11th 2017 @ 12:24:47 am	ORWGRPC GETPREF	Non-Clinical Emulator	31466e43-105a-4fb5-b20f-fb77c02.				
Apr 11th 2017 @ 12:24:47 am	ORWU DT	JS Utility Emulator	7d9ccbaa-ab5c-4626-b23e-9f44ec.				
Apr 11th 2017 @ 12:24:47 am	ORWSR SHOW SURG TAB	Non-Clinical Emulator	191aadff-d058-49f3-af0a-56ec6fa				
Apr 11th 2017 @ 12:24:46 am	ORWCH LOADALL	Non-Clinical Emulator	fff2b2b4-4800-47ef-9fb8-f781ad61				
Apr 11th 2017 @ 12:24:46 am	ORWORDG IEN	Non-Clinical Emulator	9df6eac7-e908-4302-bc35-7b523b.				
Apr 11th 2017 @ 12:24:46 am	ORWORDG IEN	Non-Clinical Emulator	43af5118-c545-4706-84e6-fcc9b41.				
Apr 11th 2017 @ 12:24:46 am	ORWORDG IEN	Non-Clinical Emulator	a51e9e8d-3ca6-4fca-af8b-afb0453				
Apr 11th 2017 @ 12:24:46 am	ORWORDG IEN	Non-Clinical Emulator	bd860e4d-3a56-4241-ab37-caa24c				

and the MVDM Events tab shows MVDM model events for emulated RPCs...

Date	Domain	Type	Transaction Id	User	Patient
Apr 11th 2017 @ 12:26:29 am	Vital	LIST	058883b5-f81c-403b-a9d8-1f803ae78	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-25
Apr 11th 2017 @ 12:26:29 am	Allergy	LIST	0c21cdea-f56c-4980-8ca8-b650fcb82d	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:26:28 am	Patient	DESCRIBE	c0c8e3da-e7dd-475f-bc74-35724f871	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-25
Apr 11th 2017 @ 12:26:28 am	Patient	DESCRIBE	d20d22cd-1094-47ef-a4b4-38ce1f3b6	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:26:28 am	Patient	DESCRIBE	c0260bda-df84-48a8-93a4-a0ceb3452	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:26:28 am	Allergy	LIST	dc6d2d9f-147b-4218-90d2-8b7afa944	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:26:28 am	Patient	DESCRIBE	dc6d2d9f-147b-4218-90d2-8b7afa944	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:26:28 am	Patient	DESCRIBE	5ef8c247-312a-4bed-a21e-03090f257	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:26:28 am	Patient	DESCRIBE	4736480d-80c1-49cd-9d44-869be555	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:26:28 am	Patient	DESCRIBE	5d52abbd-7946-44a1-8e92-db8e3332	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:26:27 am	Patient	DESCRIBE	b08515b2-d7a4-43e1-9f56-3cb79a9cd	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:26:27 am	Patient	DESCRIBE	ca5be4f5-2e55-4847-b0ab-f115f9ba1	ALEXANDER,ROBERT (200-62)	CARTER, DAVID (2-2
Apr 11th 2017 @ 12:24:54 am	Patient	LIST	26206f27-879e-4e48-8339-603d9c6bc	ALEXANDER,ROBERT (200-62)	

Live VDM Maintenance Report

Background

The native Data Model of VISTA (VDM) is a class-centric restatement of VISTA's FileMan Data Dictionary (DD). As such, it is generated from the DD and must change as the DD changes. Keeping VDM up to date means "keeping up with DD changes".

In addition, as the MVDM builds over the VDM, changes to the DD may effect it too.

Keeping VDM up to date

Currently when the DD changes, the statically generated VDM must be regenerated. In Year 2 of VDP, we'll move to a *Dynamic VDM* where VDM is rendered on-demand from the DD.

But VDM test code - a large portion of the VISTA Data Project's code is test code - is static and may make assumptions that no longer hold when the DD and then VDM changes. By running test code nightly, we will catch discrepancies nearly as soon as they happen. As many such discrepancies would effect MVDM, the brittleness of the test code is one key utility for keeping MVDM up to date.

Keeping MVDM up to date

By its nature the Master VISTA Data Model (MVDM) is manually designed and coded. Two sorts of changes to the DD and then VDM can effect the MVDM:

- additions of new properties or aspects of properties ("is it required", "range of values") that need to be explicitly exposed or addressed in MVDM
- new triggers (cross references, computations) in VDM which means changes to the VDM have unaccounted for side effects

As noted above, the static VDM test code will expose some of these discrepancies. Further discrepancies will be exposed in the MVDM Test code.

In addition, a "VDM Change Report" will be created that will isolate how the VDM has changed. This report will not only aid in interpreting test code failures but will also guide changes not addressed in test code.

Security Management Summary Report on PIKS Annotation

Broadly, Security has three aspects:

- 1. **authentication** (is this Dr Kildare?)
- 2. access control (should Dr Kildare be able to do this?) and
- 3. **auditing** (what did Dr Kildare do?).

PIKS (Patient-Institution-Knowledge-System) is a model for decomposing the data in a health-care system with a focus on isolating Patient data from other data. **PIKS-based Security** adds another dimension to access control. In addition to the operation being performed (create-read-update-delete) and the object of the operation (the type of data in scope), a PIKS categorization of data adds the organizing principle of health-care, the patient.

Current RPC Interface to VISTA

In the current RPC interface to VISTA, a user [1] is authenticated using 2 RPCs with the 2 password access/verify mechanism - effectively authentication looks up a user record. Then [2] access control is applied ("action-based") on each subsequent RPC call - the user's record references a list of permitted RPCs. Finally [3] FileMan can be setup to record an audit trail of the data changed by RPC calls. The "outside world" accesses this setup through the RPC Broker, a TCP server with a proprietary protocol.

This current RPC setup has the following access control and auditing issues:

- 1. RPC-centric access control is largely "action based": it isn't per patient. For example, it controls whether you can "write a prescription", not whether you can "write a prescription for John".
- 2. There is a concept of patient users can be assigned a list of patients. But enforcement relies on client-enforcement. In other words, the client asks the VISTA server, "can my user access patient Fred's record?". If the answer is no, then the client won't touch Fred but the server doesn't enforce this restriction. In effect, patient access control is purely advisory in the current VISTA scheme.
- 3. FileMan auditing only works if RPCs call the FileMan APIs (there are two) and don't directly read and write the globals that store FileMan data. **As many bypass the API, there is a large auditing hole**. Changes happen but FileMan auditing doesn't know.
- 4. Auditing, where it exists, is on FileMan data but access control is on RPCs ("actions"). In other words, the "paradigms" are inconsistent.
- 5. While in theory, with data mining, you could partition FileMan audit trails per patient, the system doesn't do it.

MVDM [1] fits under whatever authentication mechanism VA wants to use going forward, [2] applies patient-centric, data-centric access control ("should Dr Kildare be able to write a Prescription for John") and [3] through its event mechanism provides comprehensive audit trails for all activity.

PIKS delivers the patient-centric part of access control. The dimensions of operation, time or place are not PIKS - "can John write a Prescription at Facility A at midnight?" PIKS adds the patient - "can John write a Prescription at Facility A at midnight for Fred?".

MVDM not only notes the user, the place (facility), the time and operation involved in a procedure - it also knows and notes the patient. The MVDM Management Client displays MVDM audit events which reflect the nuance exposed ...



PIKS involves making *patient* a first class concept along side *user*, *place* (facility), *time* and *operation*. It makes security health-care specific.

With the added dimension of patient, all operations from listing to describing to changing, can be restricted for a user to a particular group of patients.

MVDM Normalization of VDM

Background: MVDM's normalization of VDM is one of the key reports for project progress measurement and scoping.

The following shows the reduction of redundancy between MVDM and VDM.

MVDM Class	Property Count	VDM Class(es)	Property Count
Allergy	19	Patient_Allergies-120_8, Adverse_Reaction_Reporting-120_85	129
Problem	37	Problem-9000011	49
Vital	10	Gmrv_Vital_Measurement-120_5	13

Exposure of Older Models Report

The Master VISTA Data Model is a hand curated, hand coded reframing of the native VISTA Data Model (VDM). The other input to MVDM select procedural logic of VISTA, mostly logic that implements remote procedure calls (RPCs) over the VDM.

MVDM

is a machine-processable model that reframes VDM and reimplements CPRS RPC behaviors to capture how VISTA currently behaves in a consistent form

VDM is generated directly from the internal data model ("Data Dictionary") of VISTA and reflects different styles of coding adopted in VISTA over thirty years and supports data arrangements that are no longer used in the system.

From VDM, MVDM

- 1. establishes common patterns for the same operations and data forms. For example, data is removed and marked as removed in one form in MVDM unlike the variety of forms in VDM. See the "removal" operation in the Problem and Allergy Model.
- 2. combines disparate data into single classes where appropriate. For example, in Allergy, the VDM has two objects (Allergy and Allergy Reaction) for noting an Allergy. MVDM combines these two objects into one.
- 3. doesn't expose deprecated properties or patterns. For example, MVDM Allergy suppresses the notion of "chart marked". With the advent of CPRS, VA no longer distinguishes an electronic and paper chart.
- 4. synthesizes properties to expose implicit values only denoted in comments. For example, observation units in Vitals.
- 5. groups related properties into sub objects, making classes more manageable. For example, the VDM Patient class has over 450 properties, largely laid out in one flat list. It makes sense to gather related properties such as an address line and zip code into clearly structured, contained classes.
- 6. normalizes properties so that a common property is identified and labeled the same way across relevant objects. For example, in MVDM, a property that references the Patient class is always called "patient". VDM can use "patient_name" or "name". This reflects the desire for global property name scope in MVDM while VDM has, at best, per class name scope for its properties.

In addition, where the VDM of one domain seems to lack a desired property, it is noted in the MVDM. This should promote improvements in the VDM and hence in the MVDM.

For MVDM, RPC logic captures permissible combinations of properties and side effects. For example, in allergies, how an historical allergy differs from an observed allergy. These *behaviors* are embedded in MVDM to ensure that old valid combinations of properties and objects may be created, updated and deleted.