

# Real-time AI-empowered echocardiography in Intensive Care Units

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# 1 Introduction

In the last decades the use of echocardiography is a crucial clinical approach in Intensive Care Units (ICU) because of the advances of smaller US clinical devices, US image quality and its real-time capabilities to access cardiac anatomy [Feigenbaum, 1996, Vieillard-Baron et al., 2008, Singh and Goyal, 2007, Campbell et al., 2018]. Despite the previous advances, the current practices of clinical ultrasound are user and patient dependant which can lead to diagnostic uncertainty. Some of these challenges are related to the finding standard views from experienced sonographers that sometimes such quantifications are qualitative and subjective [Feigenbaum, 1996].

Further challenges are in "low- and middle-income countries (LMICs)" where limited number of expert clinicians can perform such US imaging analysis.

Similarly, automatic quantification of left ventricular ejection fraction (LVEF) is still challenging at the point of care due to variation of protocols, skills levels [Field et al., 2011] and the nature of providing feedback on real-time [Liu et al., 2021]. Studies in the management of tetanus in low- and middle-income countries (LMICs) emphasised the importance and requirement of duration of hospitalisation and mechanical ventilation requirements [Hao et al., 2021].

[Hanson III and Marshall, 2001] reviewed various applications of AI in the ICU where real-time analysis of waveforms of electrocardiograms and electroencephalograms using neural network were used to identify cardiac ischemia and diagnosis myocardial ischemia. [Hanson III and Marshall, 2001] also reviewed various scenarios where AI is used in the ICU, such as bayesian networks considering central venous pressure (CVP), left ventricular ejection fraction (EF), heart rate (HR), hemoglobin (HGB) and oxygen saturation (O2sat) resulting in a probabilistic cardiac output. [Hanson III and Marshall, 2001] also touched on data visualization to demonstrate the hypothetical ICU for large number of patients (head injury, sepsis, acute respiratory distress syndrome, etc).

Ghorbani et al. in 2020 reported the first deep learning model to predict age, sex, weight and height from echocardiogram images and make use of such models to understand how models predicts systematic phenotypes which are difficult for human interpreters [Ghorbani et al., 2020]. Authors trained CCN models with 2.6 million echocardiogram images from 2850 patients with the extraction of labels local structure and features (e.g. pacemaker lead, dilation of left atrium, hypertrophy for left ventricular) and labels from the physician-interpreted report (e.g. catheters, pacemaker, and defibrillator leads).

[Hong et al., 2022] reviewed 673 papers that made use of machine learning-enabled to help for clinical decision in the ICU, of these studies the majority used supervised learning (91%) few doing unsupervised learning and reinforcement learning. Similarly, [Hong et al., 2022] identified 20 of the most frequent variables in machine learning-enabled in the ICU, being the top five (age, sex, heart rate, respiratory rate, and pH). [Hong et al., 2022] mentioned that typical outcomes in the ICU are mortality, survival, and long-term quality of life and included typical patient outcomes, specific diseases, and stay of time evaluation.

## 1.1 Clinical AI-based models

[Behnami et al., 2020] applied DenseNet-like network for feature learning and RNN unit with bidirectional Gated Recurrent Units to alivate loss of information from the ealier frames of echos to automatically detect high risk or low risk of heart failure with reduced ejection fraction with an overall accuracy of 83.15%, precision of 82.6% and recall of 81.1%. [Behnami et al., 2020] mentioned that EF is highly user-dependant to which they propose to collect more data,

[Ulloa Cerna et al., 2021] made use of DNN to learn spatiotemporal features from echocardiography video data to enhance clinical prediction of 1 yr all-cause mortality where video echo data linked to EHR data that included hand-crafted echocardiography-derived measurements (EDMs), additional clinical variables and individual outcomes. The DNN model presents "superior prediction performance" over four cardiologist and two benchmark clinical models: the pooled cohort equations (PCE) and Seattle Heart Failure (SHF) risk score [Ulloa Cerna et al., 2021]. [Ulloa Cerna et al., 2021] used "full, raw (annotation-free) echocardiographic videos to make predictions by learning from more than 812,278 clinically acquired echocardiography videos of the heart (50 million images)."

[Jafari et al., 2021] pointed out the challenges of obtained high quality for less experience operators and the hight variability or echo quality adn cardiovascular structures accross different patients to which authors proposed "Bayesian deep learning approach for fully automatic LVEF estimation based on segmentation of the left ventricle (LV) in parasternal short-axis papillary muscles (PSAX-PM) level". [Jafari et al., 2021] made use of 2,680 patients with PSAX-PM echo cine acquired by a variety of ultrasound devices, namely iE33, Vivid i/7/9/95, Sonosite, and Sequoia (only 554 echo cines were considered as ground truth with LV mask delineated by an experienced level III echocardiographer).

Tromp et al. classified a dataset of 1145 2D echocardiography videos as apical 4 chamber (A4C) view, apical 2 chamber (A2C) view, parasternal long axis (PLAX) view, or 2D other views and focused versions of the main views [Tromp et al., 2022]. Authors used CNN of four layers, dense network and softmax output layer, trained with categorical cross-entropy loss function, then a second classifier of an unsupervised deep learning clustering CNN, trained with mean square error and Kullback-Leibler loss functions [Tromp et al., 2022].

Ono et al. applied differen models where Unet++ demonstrated good performance for automatic endocardial border detection and left ventrical assesment in 2D echocardiographu videos [Ono et al., 2022]. The datasets to train networks was made of 2798 images from 118 videos of which 22 videos with 465 frames were for 4CV [Ono et al., 2022]. Ono et al. also touched on the challenges of providing explainable AI for US imaging.

Wu et al. 2022 applied baselines of UNET with temporal context-aware encoder (TCE) and bidirectional spatiotemporal semantics fusion (BSSF) modules to EchoDynamic (10030 video sequences with of 200frames of 112x112 pixes) and CAMUS datasets (450 video of 20 frames of 778x594 pixels) with evaluation metrics of Dice score (DS), Hausdorff Distance (HD), and area under the curve (AUC) [Wu et al., 2022]. Wu et al. 2022, ensuring low latency and real-time performance, presented speed analysis againts eight methods using calculations number FLOPS (G), number of parameters (M) and speed ( $ms/f$ ) which lowest one was 32  $ms/f$  [Wu et al., 2022].

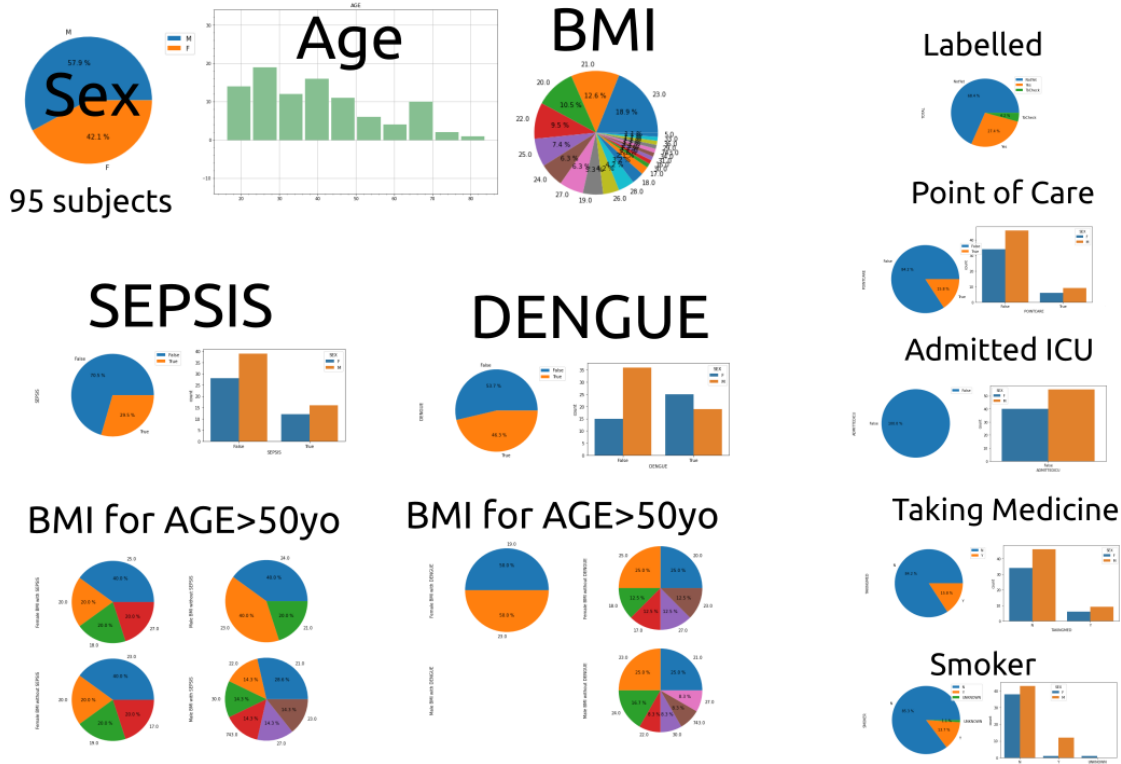


Figure 1: Patient demographics (a) description... (b) description... Figure is adapted from the works of

## 1.2 Challenges in Echocardiography in the ICU

The following points summaries various challenges of performing echocardiography [Khamis et al., 2017]

1. Intra-view variability of echocardiograms (physiological variations of subjects and adquisition parameters) and sonographer expertise,
2. Inter-view similarity of echocardiograms (similar views of valve motion, wall motion, left ventricle, etc) and transducer position during adquisition,
3. Redudant information in the clinical echo system (icons, date, frame rate, etc).

Zhang et al. mentioned the challenges of having A4c view with partially osbcured left atrium which might not help to compute left atrial volumes but would help to estimate LV volumes, mass, ejection and longitudinal strain [Zhang et al., 2018].

## 2 Proposed model

## 3 AI-empowered methods

### 3.1 Image Quality Assessment

[Labs et al., 2021] considers chamber clarity, depth gain, on-axis attributes, apical fore-shoredness.

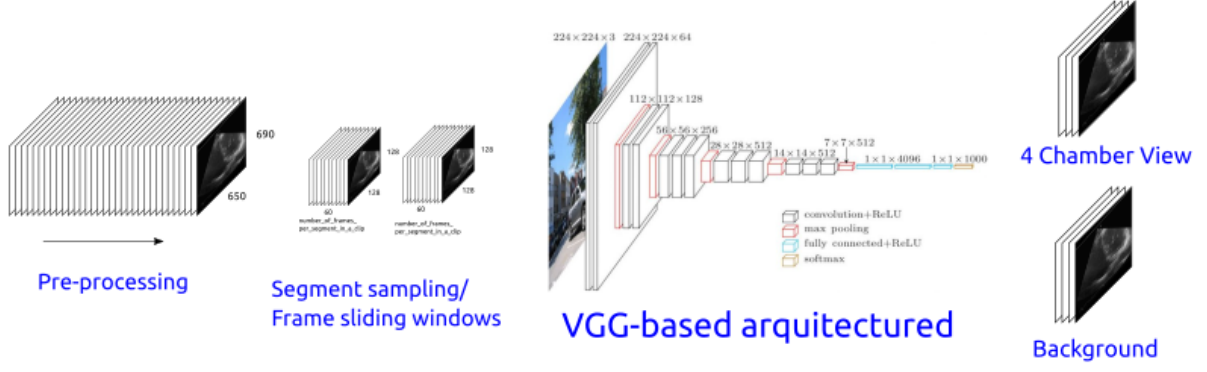


Figure 2: VGG-based architecture (a) description... (b) description... Figure is adapted from the works of

### 3.2 Classification of echocardiograms

[Van Woudenberg et al., 2018] applied DenseNet and LSTM to extract temporal information on sequences of 16K echo cine frames to classify 14 heart views with an average accuracy of 92.35%. [Van Woudenberg et al., 2018] implemented a Tensorflow runner that performs contrast enhancement to then sent each frame to three identical CNNs running in separated threads to prevent lag during inference times. Then a shared buffer collects extracted features from CNNs to then awake the thread for the LSTM network from the previous ten frames to produce classification and quality prediction. [Van Woudenberg et al., 2018] also presents timing diagrams to quantify frame arrival and real-time performance to operate at 30 frames per second, while providing feedback with a mean latency of  $352.91 \pm 38.27$  ms when measured from the middle of the ten-frame sequence.

Zhang et al. performed view classification with 277 echocardiograms to create a 23-class models (including a4c no occlusions, a4c occluded LA, a4c occluded LV, etc) using 13-layer CNN with 5-fold cross-validation for accuracy assement and resulting in 84% for overall accuracy where challenges for partial obscured LVs for a2c, a3c and a4c [Zhang et al., 2018]. Similarly, Zhang et al. applied U-net to segment 5 views (a2c, a3c, a4c, PSAX, PLAX) and CNN model for 3 chardiac diseases with the use of A4c captuting most of the information for the diseases.

Khamis et al. considered 309 clinical echocardiogram of apical viewss which were visually classified and labelled by two experts into three classes: 103 a2c views, 103 a4c views and 103 alx views to then applied spatio-temporal feature extraction (Cubocic Detector) and supervised learning dictionary (LC-KSVD) resulting in an overall recognition rate of 95% [Khamis et al., 2017].

### 3.3 Clustering techniques

Zhang et al. mentioned that 23 view classes from 7168 individually labeled videos that were classified with a 13-layer CNN to then viewed with the use of t-Distributed Stochastic Neighbor Embedding [Zhang et al., 2018]. Zhang et al. made use of 277 echocardiograms collected over a 10-year period for view classification. Kusunose et al. mentioned that other authors have reached an acciracy of 91-94 for 15-view classification while their work mentioned a 98.1 accuracy for five-prederminted views [Kusunose, 2021].

### 3.4 Auto-encoders

Laumer et al. proposed a novel autoencoder-based framework to learn human interpretable representation of cardiac cycles from cardiac ultrasound data [Laumer et al., 2020],

Ouyang et al. presented echo-dynamic dataset as the first annotated medical video dataset with 10,036 videos. Additionally, authors reported the use of three CNN architectures varying filters in each layer to assess ejection fraction to near-expert performance. It is worthwhile to note that authors got best performance with mean absolute error of 5.44% using clip length of 16 and frame rate of 4. Such error is near-expert performance as they can get 4-5% for skilled echocardiographers in controlled settings [Ouyang et al., 2019].

Ghorbani et al. applied convolutional neural networks of cardiac ultrasound to identify local structures, estimate cardiac function and predict pathologies. Their deep learning model, EchoNet, can identify up to 10 cardiac biometrics which results in decreasing repetitive task in the clinical flow, provide interpretation to less experienced cardiologist, and predict phenotypes. This work can predict age, sex, weight and height from echocardiogram images. Authors mention that the increase of data does not improve model training. The homogenisation of cardiac views prior to model training improved training speed and computation time [Ghorbani et al., 2020]

### 3.5 Segmentation

With the challenges of limited sampling of cardiac cycles and the considerable inter-observer variability, Ouyang et al. presented a CNN model with residual connections and spatiotemporal convolutions that surpass human performance of segmentation of left ventricle, estimation of ejection fraction and assessment of cardiomyopathy. Their model reached Dice similarity coefficient of 0.92, predicts ejection fraction with mean absolute error of 4.1% and classifies heart failure based on reduced ejection fraction [Ouyang et al., 2020].

Meyer et al. used Prominence Iterative Dijkstra's algorithm (ProID), based on the identification of ventricle boundaries with iterative Dijkstra's algorithm, for ventricle detection and volume estimation [Meyers et al., 2021]. ProID employs echocardiogram-specific cost-matrix to address contrast-to-noise and resolution limitations problems [Brindise et al., 2020]

### 3.6 Contrastive Learning

Methods on Contrastive Learning apparently address the challenge of required labelled data to identify pathologies in the images of detect certain cardiac views. Recently, Chartsias et al. use contrastive learning to train imbalanced cardiac datasets and they compared a naive baseline model to achieve a F1 score of up to 26% [Chartsias et al., 2021] Saeed et al. recently investigated contrastive pretraining to improve the DeepLabV3 and UNET segmentation networks of cardiac structures in ultrasound imaging. Authors showed comparable results with state-of-the-art fully supervised algorithms and presents better results compared to EchoNet-Dynamic and CAMUS [Mohamed et al., 2021]

### 3.7 AI-guided US imaging

Near-human quantification of LV and EF has been investigated, however Asch et al. pointed out that boundary identification is prone to errors when low quality images or artifacts are used Asch et al. pointed out that data and materials were not publicly available and they made use of AutoEF by CaptionHealth Co. Authors used a database of 50000

echocardiography datasets over a period of 10 years of various clinical US systems. The training datasets included multiple views of 2 and 4-chamber views and LV EF values where clinicians use conventional methods (biplane Simpson technique) [Asch et al., 2019].

Asch et al. [Asch et al., 2021].

Hong et al. reported the evaluation of image quality assessment to demonstrate that AI can recognise nuances of varying imaging during scanning [Hong et al., 2021]

Narang et al. reported the acquisition of 10 echocardiography views of novice users using deep-learning-based software [Narang et al., 2021]. Narang et al. mentioned that CNN were used with stacks of networks and transformations. The AI-guided software consists of three estimates: (1) quality image assessment, (2) "6-dimensional geometric distance with position and orientation between the current probe location and the location anticipated to optimise the image"; and (3) corrective probe manipulation. [Narang et al., 2021] Authors mention that algorithms do not use trackers, fiducial marks or additional sensors to make guide estimations [Narang et al., 2021].

Cheema et al. reported the use of AI-enabled guidance to sonographer which was created from the use of 500,000 hand movements. Cheema et al. reported that such feature was the first cardiac authorised by Food and Drug Administration in 2020. Authors presented five cases covid-19 intensive care unit (ICU) to illustrate "how decision making affect in patient care" and how the use of AI-enabled provided real-time guidance to acquire desired cardiac UL with the steering of user's transducer position and hand movement [Cheema et al., 2021].

### 3.8 3D US

Considering that 3D left ventricle (LV) can provide full volume information of the heart than 2D echocardiography, Dong et al. proposed a real-time framework VoxelAtlasGAN that made use of cGAN [Dong et al., 2018]. VoxelAtlasGAN framework with mean surface distance of 1.85 mm, mean hausdorff distance of 7.66mm, mean dice 0.953 and correlation of EF 0.918 and the mean inference speed of 0.1 s demonstrated potential for clinical application [Dong et al., 2018]. Dong et al. in 2020 applied transformers to obtain translations parameters that passed to VoxelAtlasGAN [Dong et al., 2020]. AtlasNET framework ended up with "mean surface distance, mean hausdorff surface distance, and mean dice index were 1.52 mm, 5.6 mm and 0.97 respectively" [Dong et al., 2020]

Smistad et al. 2021 made use of CETUS 3D US LV segmentation dataset and weakly annotated datasets for real-time 3D left ventricle segmentation and estimation of ejection fraction [Smistad et al., 2021b]. Authors presented the impact of pre-training that resulted in an improvement of Dice score. It is important to note that VoxelAtlasGAN and AtlasNet by Dong et al. presented a better dice score. Smistad et al. 2021 concluded that a limited labelled datasets of 15 patients demonstrate good accuracy and models were able to generalise to new data and ultrasound scanners [Smistad et al., 2021b].

### 3.9 Transformers

Rubin et al. noted the shortcoming of transformers of extensive computation for training that lead to use detection transformer (DETR) which make smaller models reducing model size and acceleration inference [Rubin et al., 2021]., Rubin et al. considered the detection of needles in real-time ultrasound video sequences 12,000 needle insertions (2 million of individual frames). Video sequences (up to 60 sec in time) were divided into 30-frame

clips (1 sec in time).

Reynaud et al. 2021 adapted Residual Autoencoder Network and BERT model to predict ejection fraction which is different from what is commonly use with segmentation methods [Reynaud et al., 2021]. Reynaud et al. applied their model to Echonet-Dynamic dataset which only constains 10,030 echocardiograms containing one to three or more cadiac cycles with only cardiac cycle with ES and ED annorations. Due to the distribution between ES and ED, the sequence legnth was 128 frames. As Echonet-Dynamic datasets contains unlabelled ES and ED, Reynaud et al. applied (a) Guided Random Sampling (b) Mirroring Methods. Code is available at <https://github.com/HReynaud/UVT>.

### 3.10 Others

Rank-2 non-negative matrix factorization [Yuan et al., 2017] to generate End-Systole and End-Diastole for apical 4 view. Recently Robust Non-negative Matrix Factorization seems to be implement low-computation cost algorithms to automatic segment mitral valve [Dukler et al., 2018].

Salte et al. classified three standard appical views from data of 200 patients to perform straing measurements with deep learning arquitectures [Salte et al., 2021]. Salte el al. made use of the work [Østvik et al., 2021] inception and dense network were used to clasity, recurrent network to detect event timing and u-net-based network for segmentation [Salte et al., 2021]. Authors compared the results with the commenrially avaiable semiautomatic speckle-tracking software (EchoPAC v202), reporting evinde of the comparable GLS measurmentes to other semiatuomatic methods [Salte et al., 2021].

## 4 Spatiotemporal Features

### 4.1 Deep Residual Learning

Ouyang et. al. benmarked various spatiotemporal convolutions (Sports-1M, Kinetics, UCF101, and HMDB51) [Ouyang et al., 2019] based on deep residual learning (He et al. 2015 and Tran et al. 2018).

### 4.2 LSTM

Recently, Smistad et al. 2021 presented the use of LSTM to address the single frame segmentation of end-diastole and end-systole to address segmentation fricklering and reduce tmeporal errors [Smistad et al., 2021a]. One of the challenges is architecture design to add ConvLSTMs to whcih authors experiment at the location at the endocer, decoder, last layer and in bottleneck, to which authors mentiosn that the use of the ConvLSTM layers in the encoder of the temporal NN gave the best results [Smistad et al., 2021a]. Authors mention that interpolation of the annorations of the entire cardiac cycle did not captured the complex motion witht he use of 7 frames to which they suggest to use advance speckle tracking such as Echo-PWC-Net [Østvik et al., 2021].

Lu et al. made use of U-Net and LSTM to model Left Ventricular cardiac motion [Lu et al., 2020].

Bar et al. assessed surgical workflow recongnition and report a deep learning system in wich clips of one second to create a short-term spatio-temporal model based on inflated



3D network with non-local to obtain SoftMax probability vector to feed LSTM to produce final phase predictions [Bar et al., 2020]

## 5 Validation and Usability Studies

Francesconi et al. 2021 presented technical validation by intra-operator reproducibility of two measurements (intima-media thickness and distension) by one expert and usability of integrated system in a laboratory setting with 12 healthy volunteers [Francesconi et al., 2021].

## 6 Datasets in echocardiography

### 6.1 CAMUS (2D US)

CAMUS dataset, Cardiac Acquisitions for Multi-structure Ultrasound Segmentation, was published in 2019 by Leclerc et al. 2019 [Leclerc et al., 2019]. CAMUS is the largest publicly-available and fully-annotated dataset of two and four-chamber acquisition from 500 patients. Datasets is categorised in image quality (good, medium, and poor) and  $LV_{EF}$  ( $\leq 45\%$  (pathological risk),  $\geq 55\%$ , else). The dataset reflects a daily clinical practice data where images quality and a range of pathological cases. Dataset was collected with GE Vivid E95 ultrasound scanners (GE Vingmed Ultrasound, Horten Norway) with a GE M5S probe (GE Healthcare, US). The dataset is available electronically to download at <https://www.creatis.insa-lyon.fr/Challenge/camus/>.

### 6.2 EchoNet-Dynamic (2D US)

Ouyang et al. published a large dataset of 10,030 annotated echocardiogram videos [Ouyang et al., 2019, Ouyang et al., 2020]. Datasets were labelled left ventricle volumes by sonographers to calculate ejection fraction. Datasets were acquired by skilled sonographers using iE33, Sonos, Acuson SC2000, Epiq 5G or Epiq 7C ultrasound machines and processed images were stored in a Philips Xcelera system. The dataset is available electronically to download at <https://echonet.github.io/dynamic/index.html#dataset>.

### 6.3 CETUS (3D US)

Challenge on Endocardial Three-dimensional Ultrasound Segmentation (CETUS) dataset was published in 2016 by Bernard et al. [Bernard et al., 2016]. CETUS contains 45 sequences of 3D ultrasound volumes of one cardiac cycle from 45 patients were equally acquired from three different hospitals with three different brands of ultrasound machines (GE, Philips and Siemens) [Bernard et al., 2016]. The studied population of 45 participants is composed of 15 healthy subjects, 15 with previous myocardial infarction, 15 with dilated cardiomyopathy. The dataset is available electronically to download at [https://www.creatis.insa-lyon.fr/EvaluationPlatform/CETUS/about\\_database.html](https://www.creatis.insa-lyon.fr/EvaluationPlatform/CETUS/about_database.html).

### 6.4 Synthetic cardiac motion

Alessandrini et al. in 2018 published "a open access library of 105 synthetic sequences encompassing i) healthy and ischemic motion patterns, ii) most common apical probe orien-

tations iii) vendor specific image quality from 7 different systems” [Alessandrini et al., 2018]. See previous work of Alessandrini et al. in 2015 [Alessandrini et al., 2015].

## 7 Tools

### 7.1 Annotation tools

Recently, Smistad et al. 2021 published the first web-based tool for annotation of medical ultrasound video to do image classification, segmentation, bounding box and landmark annotation [Smistad et al., 2021c]. AW tool has been used since 2016 at different projects to perform segmentation of the left ventricle, cardiac view classification, and detection of nerves and blood vessels [Smistad et al., 2021c].

## 8 Methods and materials

## 9 Datasets

### 9.1 VITAL

86 patients of average age (?) ? male and ? female were collected by four clinicians of ? years of experience collected echocardiography datasets. The collection was done with the clinical device GE Venue Go machine and GE convex probe C1-5-D.

### 9.2 Ethics statement

This study was approved by ... and the ethics committee ... All participants gave written informed consent to participate before enrollment.

## 10 Potential future work

2D velocity vector fields of flow flow can help to detect abnormal flow patterns as done in fetal and neonatal echocardiography [Meyers et al., 2021]. Use LV A4C echos that can create synthetic Ultrasound images for GE Vivid E9, Hitachi Prosound U7, Philips iE 33 Vision, Siemens SC2000, and Toshiba Artida ultrasound systems [Brindise et al., 2020].

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