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A Machine Learning Case Study for Real-time AI-empowered echocardiography of Intensive Care Unit Patients in low- and middle-income countries

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Abstract

We present a machine learning case study for a real-time AI-empowered echocardiography system. Such case study includes data preparation, curation and labelling from 2D Ultrasound videos of 31 ICU patients in LMICs and model selection, validation and deployment for classification of apical four-chamber view. The code and other resources to reproduce this work are available at <https://github.com/vital-ultrasound/echocardiography>.

Keywords: machine learning; deep learning; echocardiography; real-time artificial intelligence;

1. Introduction

Echocardiography is an important clinical procedure in Intensive Care Units (ICUs) because of the features of Ultrasound (US) image modality such as portability, low cost, non-ionising radiation and its real-time capabilities to visualise cardiac anatomy (Feigenbaum, 1996; Vieillard-Baron et al., 2008; Singh and Goyal, 2007; Campbell et al., 2018). Typically, the identification of cardiac abnormalities from 2D US views (Apical 4-Chamber View (A4C), Apical 3-Chamber View (A3C), Apical 2-Chamber View (A2C), Parasternal Long-Axis View (PLAX), etc) is achieved by specialist clinicians in echocardiography following the Focused Intensive Care Echo (FICE) protocol (Hall et al., 2017). However, the application of point-of-care echocardiography in the ICU faces two challenges: (1) intra-view variability of echocardiograms (physiological variations of patients and acquisition parameters) and inter-observer variability of expertise for sonographer and radiologist (Khamis et al., 2017; Feigenbaum, 1996; Field et al., 2011), and

(2) limited number of specialist clinicians to perform US imaging analysis and to provide accurate diagnosis, and the limited equipment and hospitalisation requirements in low- and middle-income countries (LMICs) (Hao et al., 2021; Tran et al., 2021; Becker et al., 2016). One promising approach to address such challenges is with the application of Artificial Intelligence (AI) and Machine Learning (ML) to echocardiography (Asch et al., 2022). AI-empowered echocardiography has been successful for detection of different apical views, inter-observer variability of sonographer's expertise, implementation of one-stop AI models with multimodal imaging (US, MRI and clinical data), detection of high risk or low risk of heart failure, detection of endocardial borders and automatic left ventricle assessment in 2D echocardiography videos (Tromp et al., 2022; Zhang et al., 2022; Behnami et al., 2020; Ono et al., 2022).

In spite of the success in applying AI and ML methods to support echocardiography, there are still important challenges for these methods to be integrated as clinical system and translated to clinical practice:

1. inter-view similarity of echocardiograms (similar views of valve motion, wall motion, left ventricle, etc) and transducer position during acquisition when performing serial echoes (Zhang et al., 2018),
2. redundant information in the clinical echo system (icons, date, frame rate, etc) (Khamis et al., 2017) and variation of US images from different clinical US systems (Brindise et al., 2020), and
3. internal and external validation of AI-based models, data patient privacy to train commercial algorithms, and regulations of soft-

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ware as medical devices (Stewart et al., 2021).

Challenges (1) and (2) are important because of 2D US video data requires to appropriately be collected, validated and managed to apply AI and ML methods, and challenge (3) because AI-based medical devices require to be aligned to standards to then be ready for clinical translation. Hence, adopting good machine learning practices (data curation, open-source code implementation, model selection, training and tuning; model validation and inference) might help to addressing challenges in real-time AI-empowered echocardiography used as point-of-care in the ICU for patients in LMICs.

This work, therefore, presents a scoping review of (a) AI-empowered echocardiography for ICU in LMICs and (b) real-time AI-empowered echocardiography. We contribute with a machine learning case study of US image classification using deep learning of four chamber views from curated data from LMICs. We then conclude and add future work.

2. Scoping review

2.1. AI-empowered echocardiography for ICU in LMICs

Hanson III and Marshall (2001) reviewed various AI-based applications in the ICU where real-time analysis of waveforms of electrocardiograms and electroencephalograms using neural network were used to identify cardiac ischemia and diagnosis of myocardial ischemia. Ghorbani et al. (2020) reported how deep learning models predicts systematic phenotypes from echocardiogram images which are difficult for human interpreters. Cheema et al. (2021) reported five patients with covid-19 in the ICU to illustrate "how decision making affect in patient care" and how the use of AI-enabled tools provided real-time guidance to acquire desired cardiac 2D US views with the steering of user's transducer position and hand movement. Recently, Hong et al. (2022) reviewed 673 papers that apply ML methods to help making clinical decision in the ICU, of these studies the majority used supervised learning (91%) and few of them applied unsupervised learning and reinforcement learning methods. Similarly, Hong et al. (2022) identified 20 of

the most frequent variables in ML pipelines for ICU patients, being the top five (age, sex, heart rate, respiratory rate, and pH). Hong et al. (2022) mentioned that typical outcomes in the ICU are mortality, survival, and long-term quality of life and the most studied diseases are sepsis, infection and kidney injury. Despite such advances, there is few research on AI-empowered echocardiography used by clinicians in the ICU, specifically in LMICs. For instance, Tran et al. (2021) reported challenges in resourced limited ICUs including: infrastructure, education, personnel, data pipelines, regulation and trust in AI. Also, Kerdegari et al. (2021b,a); Nhat et al. (2021) presented a deep-learning pipeline to classify lung US pathologies for ICU patients in LMIC, stating the challenges of data imbalance, integration of technology and the limited IT infrastructure.

2.2. Real-time AI-empowered echocardiography

2.2.1. STATE OF THE ART

Van Woudenberg et al. (2018) trained an DenseNet-LSTM with 2000 clips of apical 4 chamber view in which the real-time system made use of 10 input frames and reported a latency of 352.91ms. Toussaint et al. (2018) proposed ResNet18-SP trained with 85,000 frames of Fetal US imaging, reporting real-time performance at inference time of 40 ms per image (~ 20 Hz). Østvik et al. (2021) proposed EchoPWC-Net trained with synthetic, simulated and clinical datasets, reporting real-time performance with 7 frames for the input. Recently, Wu et al. (2022) applied baselines of UNET with temporal context-aware encoder (TCE) and bidirectional spatiotemporal semantics fusion (BSSF) modules to EchoDynamic datasets (10,030 video sequences with of 200 frames of 112x112 pixels) and CAMUS datasets (450 video with 20 frames of 778x594 pixels), reporting metrics of Dice score (DS), Hausdorff Distance (HD), and area under the curve (AUC). To ensure low latency and real-time performance, Wu et al. (2022) presented a comparison of eight methods networks including FLOPS (G), number of parameters (M) and speed (ms/f) being their method with the lowest speed at 32 ms/f and 56.359 G FLOPS but network size was 74.79M parameters (join motion

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model with 237.592G FLOPS, 17.315M parameters and seep of 154 *ms/f*).

2.2.2. CLASSIFICATION OF ECHOCARDIOGRAMS

[Khamis et al. \(2017\)](#) considered 309 clinical echocardiograms of apical views which were visually classified and labelled by two experts into three classes: 103 A2C views, 103 A4C views and 103 ALX views to then applied spatio-temporal feature extraction (Cuboic Detector) and supervised learning dictionary (LC-KSVD) resulting in an overall recognition rate of 95%. [Van Woudenberg et al. \(2018\)](#) applied DenseNet and LSTM to extract temporal information on sequences of 16000 echo cine frames to classify 14 heart views with an average accuracy of 92.35%. [Van Woudenberg et al. \(2018\)](#) also presents timing diagrams to quantify frame arrival and real-time performance to operate at 30 frames per second, while providing feedback with a mean latency of 352.91 ± 38.27 ms when measured from the middle of the ten-frame sequence. [Zhang et al. \(2018\)](#) performed view classification with 277 echocardiograms to create a 23-class models (including A4C no occlusions, A4C occluded LA, A4C occluded LV, etc) using 13-layer CNN with 5-fold cross-validation for accuracy assessment and resulting in 84% for overall accuracy where challenges for partial obscured LVs for A2C, A3C and A4C. Similarly, [Zhang et al. \(2018\)](#) applied U-net to segment 5 views (A2C, A3C, A4C, PSAX, PLAX) and CNN model for 3 cardiac diseases with the use of A4C capturing most of the information for the diseases.

2.2.3. THINNER NEURAL NETWORKS TO CLASSIFY US IMAGES

[Baumgartner et al. \(2017\)](#) proposed SonoNet which is a VGG-based architecture, having the same first 13 layers of VGG16, and SmallNet, loosely inspired by AlexNet, for real-time detection and bounding box localisation of standard views in freehand fetal US. [Toussaint et al. \(2018\)](#) applied four feature extraction networks couple with batchnormalization and soft proposal layer (VGG13-SP, VGG16-SP, ResNet18-SP, ResNet34-SP), resulting in 0.912 of average accuracy over six classes of fetal US views with ResNet18-SP. [Al-Dhabyani et al. \(2019\)](#) applied

AlexNet and transfer learning of four architectures (VGG16, Inception, ResNet, and NASNet) without augmentation and with three augmentation techniques to perform tumor classification of breast ultrasound imaging. Authors stated that transfer learning with NASNet presented the best accuracy with 99% using BUSI+B datasets with DAGAN augmentation. [Xie et al. \(2020\)](#) proposed a dual-sampling convolutional neural network (DSCNN) for US image breast cancer classification, being DSCNN more efficient than AlexNet, VGG16, ResNet18, GoogleNet and EfficientNet. Recently, [Snider et al. \(2022\)](#) reported summaries of CNN heuristics to detect shrapnel in US images, including layer activators, 2D CNN layer architectures, model optimisers dense nodes, and the effect of image augmentation and dropout rate and epoch number. Similarly, [Boice et al. \(2022\)](#) proposed ShrapML, a CNN model to detect shrapnel in US imaging. Authors compared ShrapML (8layers-6CNN,2FC, 0.43 million of parameters) against DarkNet19, GoogleNet, MobileNetv2 and SqueezeNet, being ShrapML 10x faster than MobileNet2 and offering the highest accuracy.

3. Machine learning case study

3.1. Dataset

Echocardiography videos of 31 patients in the ICU were considered for this work which were collected by four radiologists using the clinical US devices: GE Venue Go machine and GE convex probe C1-5-D. The 31 patients had the following demographics: Sex: % (Male): 58.1%; Age: mean, years (std): 38.70 (16.08); Weight: mean, Kg (std): 61.51 (15.06); Height: mean, m (std): 1.62 (0.07), and BMI: mean (std): 23.80 (4.30). See Appendix A for further details on the demographics of the dataset (distributions for sex, age, BMI, sepsis and dengue diseases), including the complete dataset of the total of 87 patients.

3.1.1. ETHICS STATEMENT

This study was approved by the Oxford Tropical Research Ethics Committee (OxTREC) and the HTD Institutional Review Boards (Hospital of Tropical Diseases). All participants gave written informed consent to participate in the data collection before enrollment.

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3.1.2. DATA ANNOTATION, VALIDATION AND MANAGEMENT

Apical 4 Chamber view (A4C) is considered as an important view to compute heart failure measurements from 2D US echocardiography (Hall et al., 2017). For this work, timestamps in the video files for A4C were annotated by one research clinician of 10 years of experience using VGG Image Annotator (VIA). Then the same clinician and one researcher validated timestamps annotations where few filenames and timestamps were fixed. Figure 1(a) illustrates video and json files with their A4C and background clips to then be segmented.

3.2. Model selection and heuristics

Considering different datasets characteristics (number of frames, clips, pixel image, clinical equipment, etc) and the number of parameters of different networks, we selected four Neural Networks for our ML study: VGG-based models (Simonyan and Zisserman, 2015), MobileNetV1 (Howard et al., 2017) with 3,208,450 parameters, MobileNetV2 (Sandler et al., 2018) with 2,225,858 parameters, and SqueezeNet (Iandola et al., 2017) with 733,580 parameters. We then performed heuristics for each model to understand the impact of their performance for different hyperparameters (dataset size, augmentations, frames numbers and clip length). See Appendix B for further details on each model.

4. Conclusions and Future Work

We presented a machine learning case study, including data selection, validation and management, model selection, validation and in a low-cost clinical system. Future work, we will investigate thinner segmentation models, model deployment and clinical validation in the ICU. Validation of ML and DL methods for image quality, view classification and segmentation, measurements, detection of abnormalities and diagnosis Kusunose (2020); Kusunose et al. (2020); Kusunose (2021).

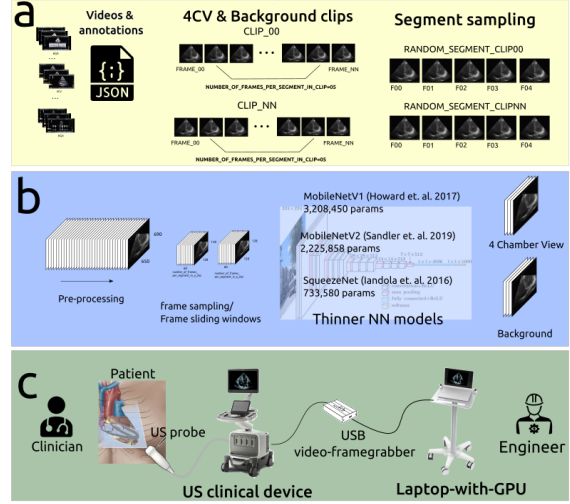


Figure 1: Proposed low-cost clinical system for real-time AI-empowered echocardiography: (a) timestamp labelling of four chamber view clips and frames, (b) deep-learning pipeline with thinner NNs, and (c) clinical system: Epiq Q7, cardiac probe X5-1, USB video-frame grabber and 16GB GeForce RTX 3080 GPU Laptop

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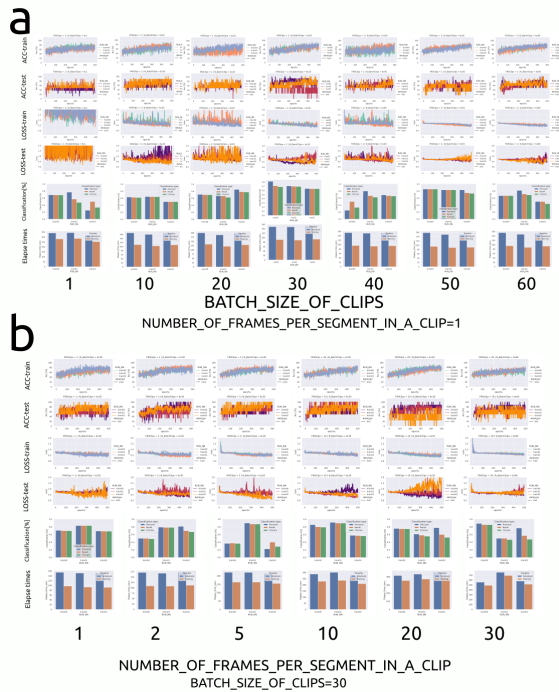


Figure 2: Heuristics for SqueezeNet (Iandola et al., 2017) with dataset of 5 subjects: (a) varying batch size and constant number of frames per segment equal to 1, and (b) varying number of frames per clip and constant batch size of clips equal to 10.

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Abstract Track

Appendix A. Datasets

Figure 3 illustrates demographics for sex, age, BMI, sepsis and dengue for the complete dataset and the 31 subjects considered for this work.

Appendix B. Heuristics of model selection

Figure 4 illustrates heristics for accuracy, train, classification and elapse times of 5 and 31 subjects.

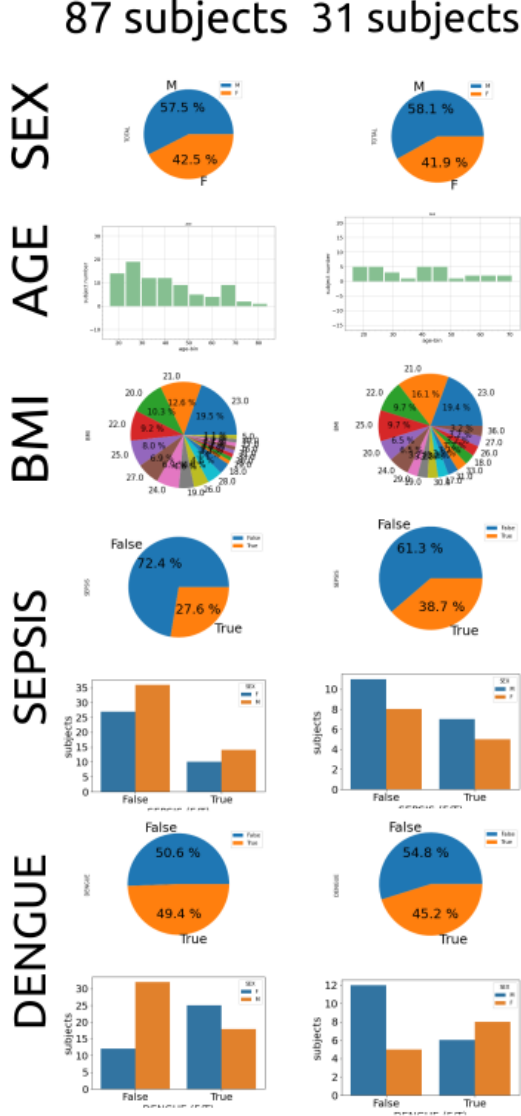


Figure 3: Patient demographics for sex, age, BMI, sepsis and dengue disease. Total number of patient is 87 of which data from 31 were curated, annotated and validated.

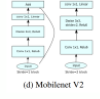
Abstract Track

MobileNetV1 (Howard et. al. 2017)
<https://arxiv.org/abs/1704.04861>



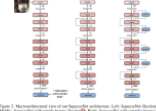
3,208,450 params

MobileNetV2 (Sandler et. al. 2019)
<https://arxiv.org/abs/1801.04381>



2,225,858 params

SqueezeNet (Iandola et. al. 2016)
<https://arxiv.org/abs/1602.07360>



733,580 params

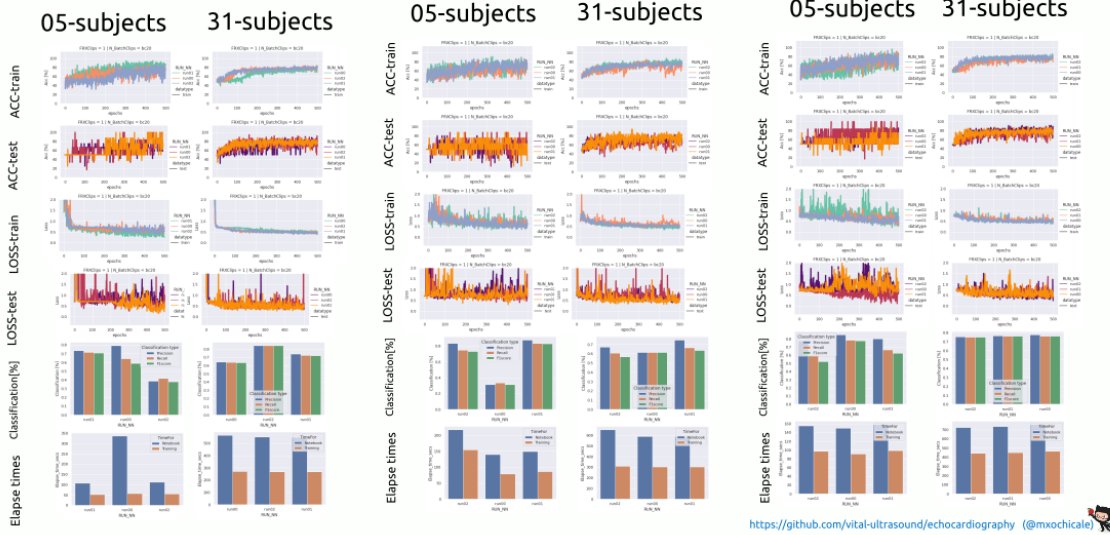


Figure 4: Heuristics for 5 and 31 subjects with 1 frames per clip and 20 batch size of clips for MobileNetV1 (Howard et al., 2017) with 3,208,450 parameters, MobileNetV2 (Sandler et al., 2018) with 2,225,858 parameters, and SqueezeNet (Iandola et al., 2017) with 733,580 parameters