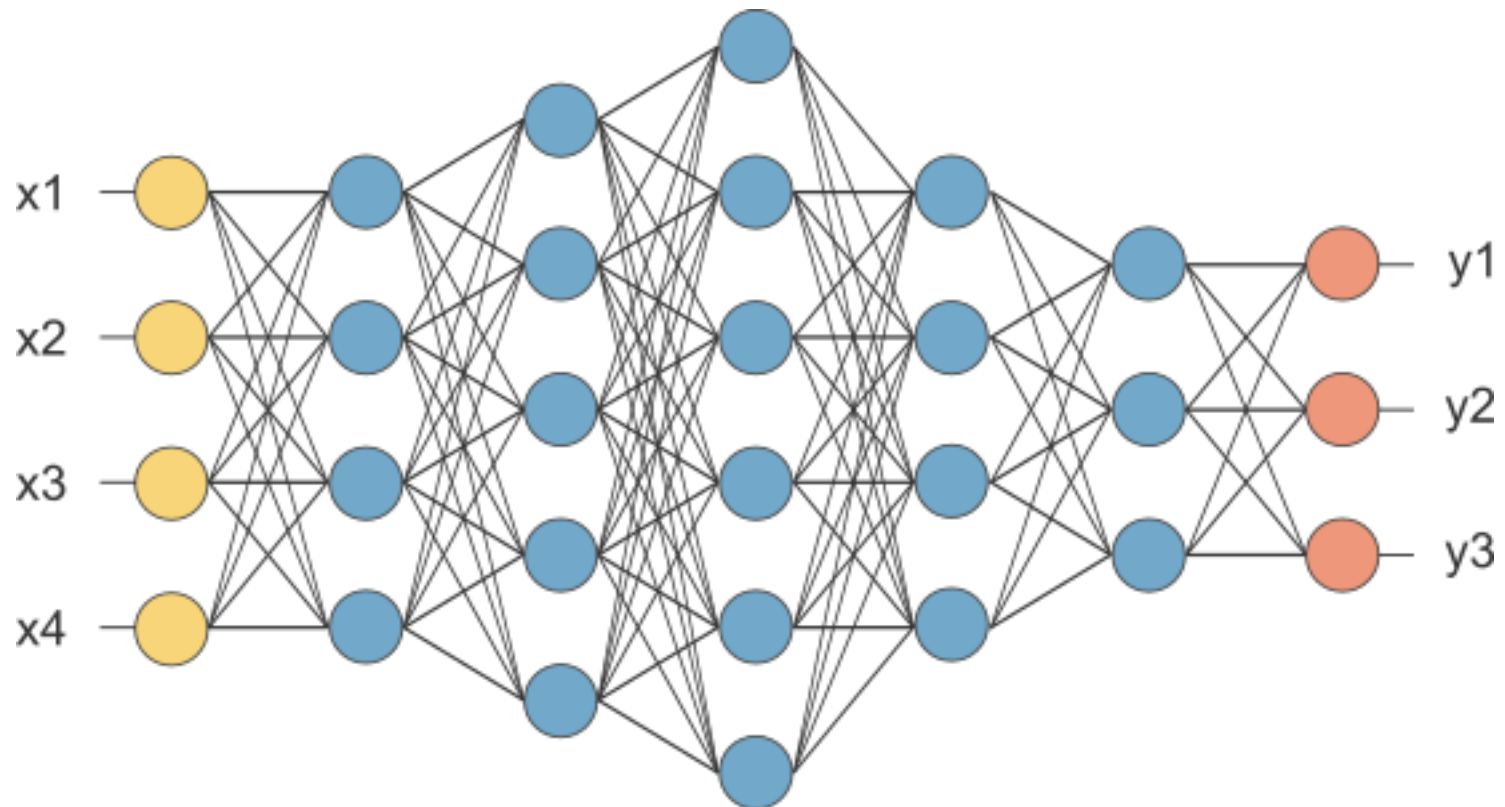
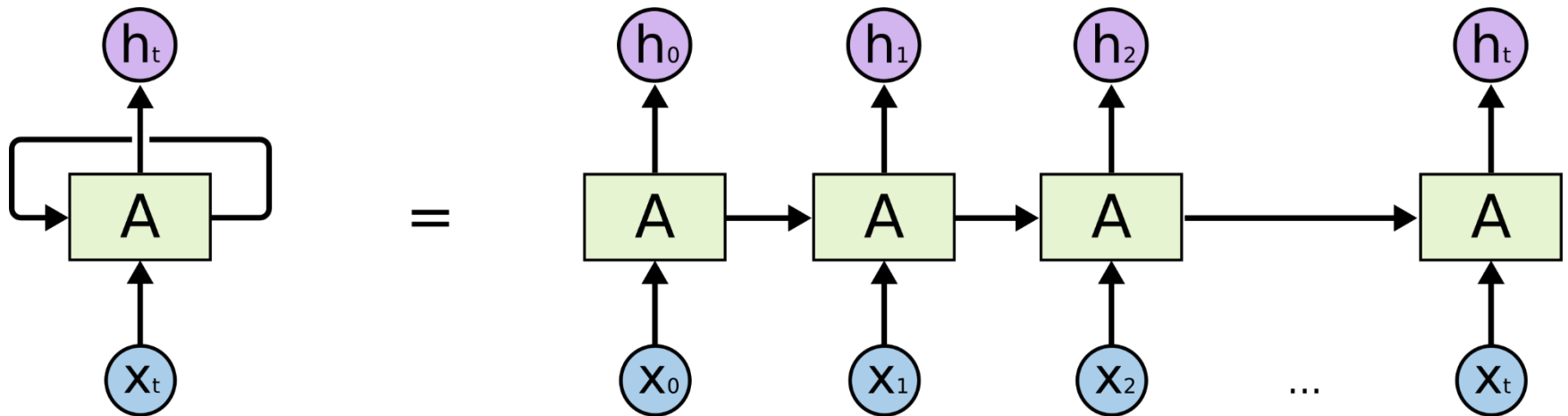
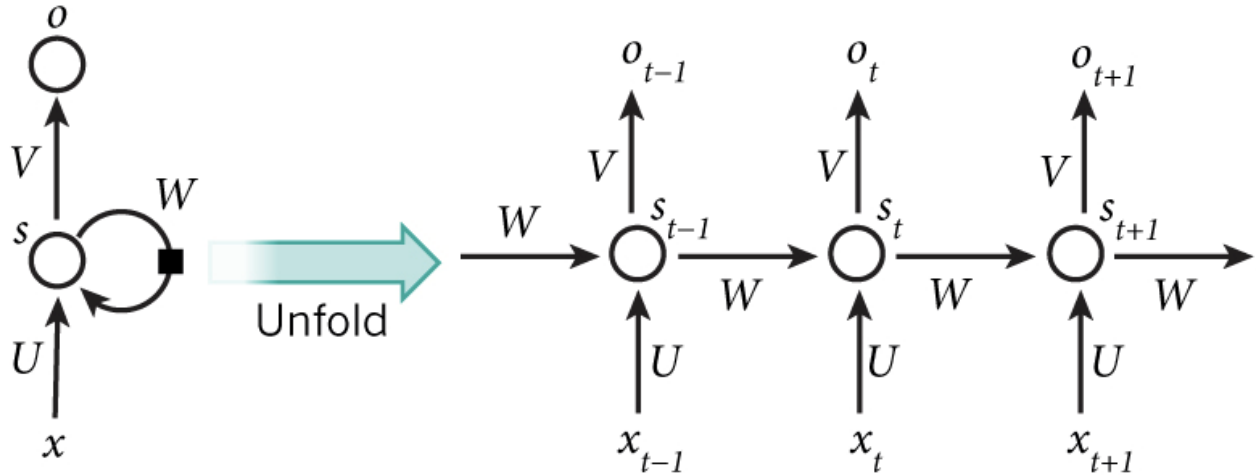


# Smaller Network: RNN



This is our fully connected network. If  $x_1 \dots x_n$ ,  $n$  is very large and growing, this network would become too large. We now will input **one  $x_i$  at a time**, and **re-use the same edge weights**.

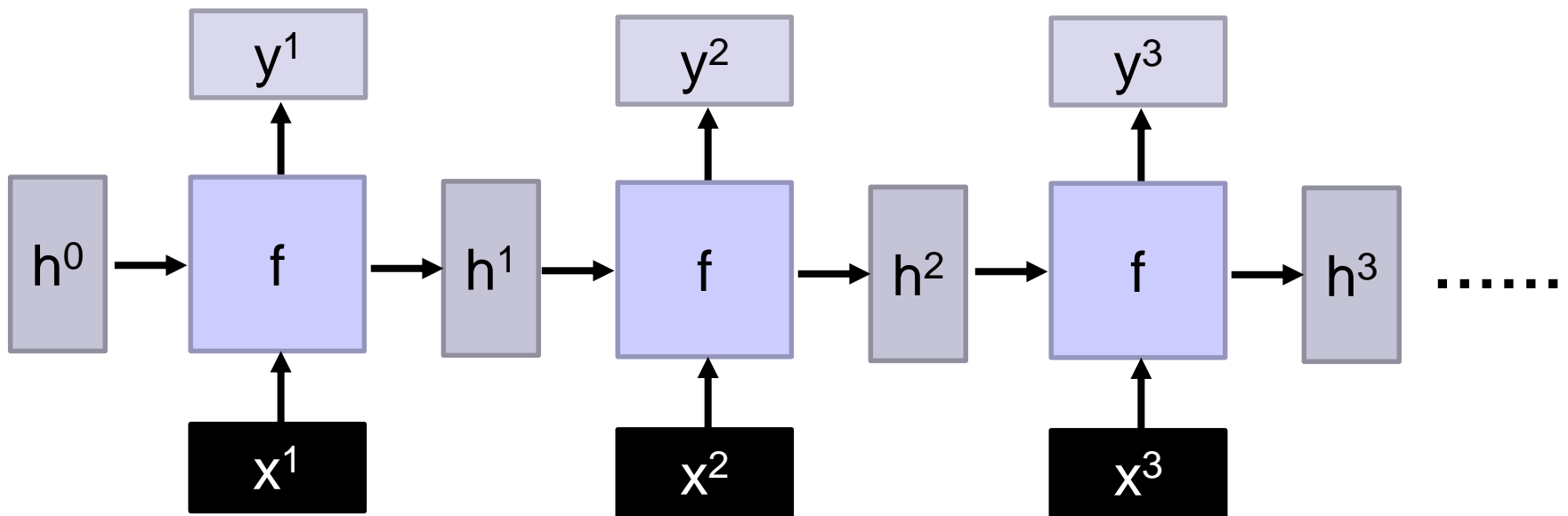
# Recurrent Neural Network



# How does RNN reduce complexity?

- Given function  $f: h', y = f(h, x)$

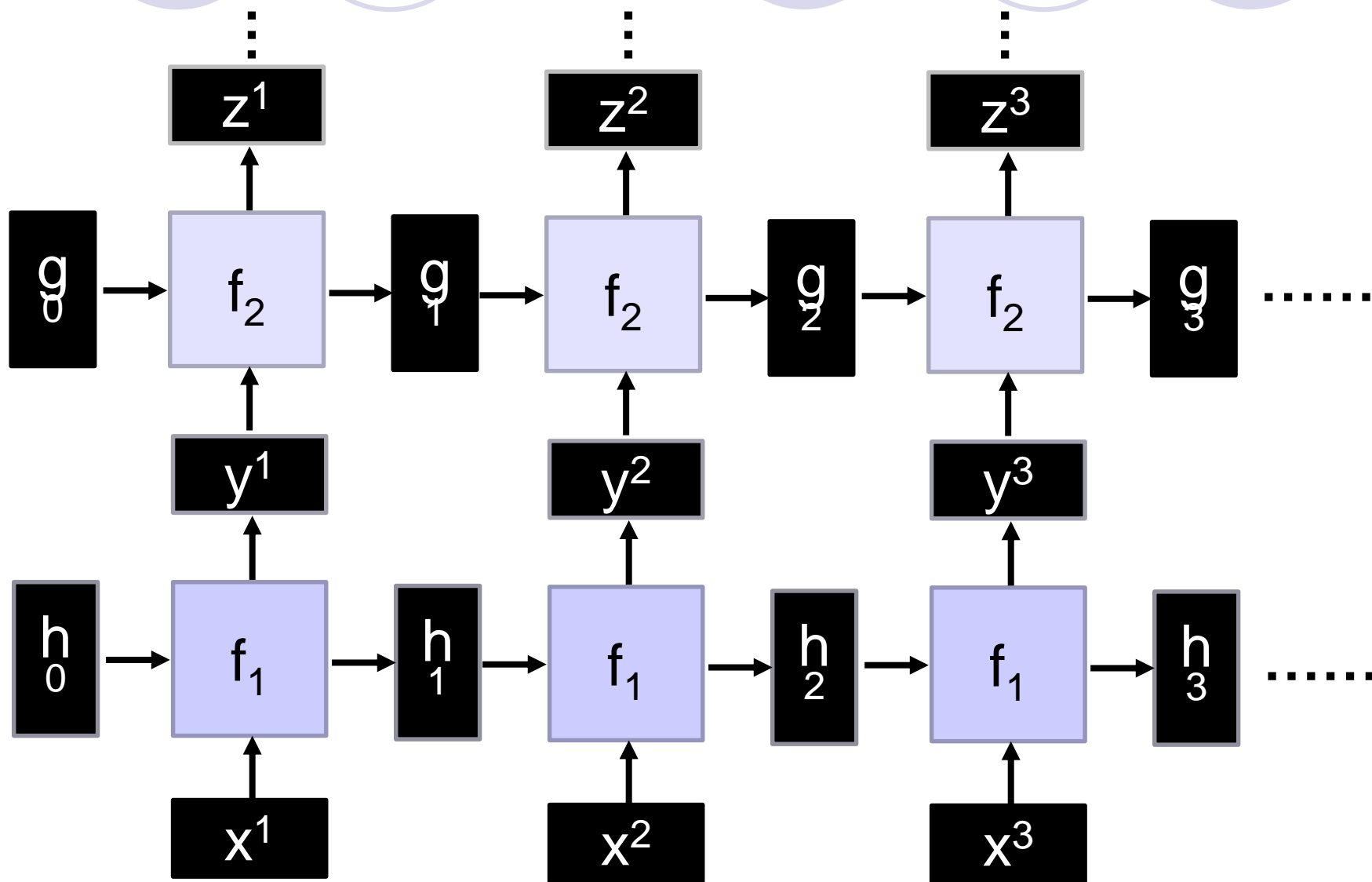
$h$  and  $h'$  are vectors with the same dimension



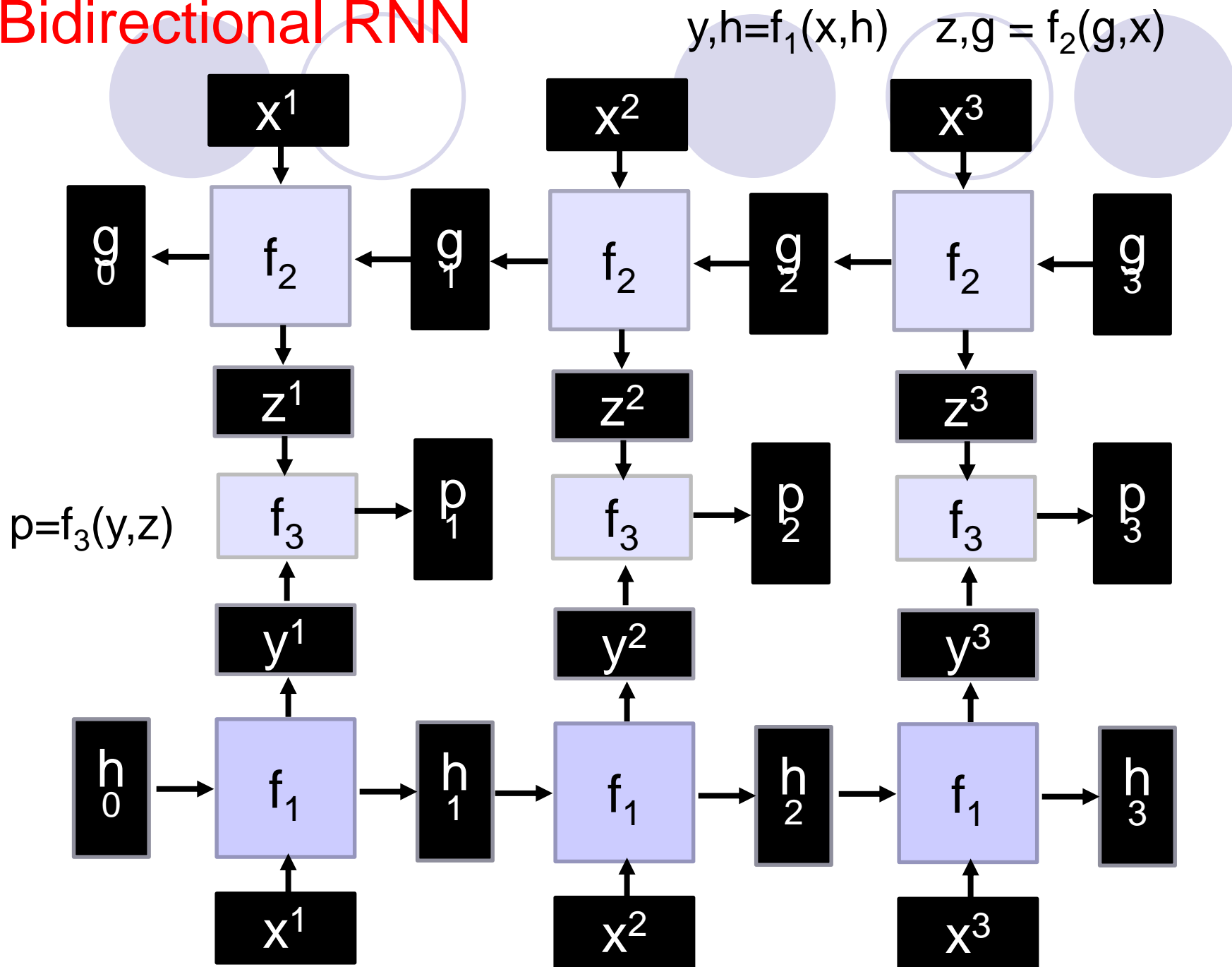
No matter how long the input/output sequence is, we only need one function  $f$ . If  $f$ 's are different, then it becomes a feedforward NN. This may be treated as another compression from fully connected network.

# Deep RNN

$$h', y = f_1(h, x), \quad g', z = f_2(g, y) \quad \dots$$



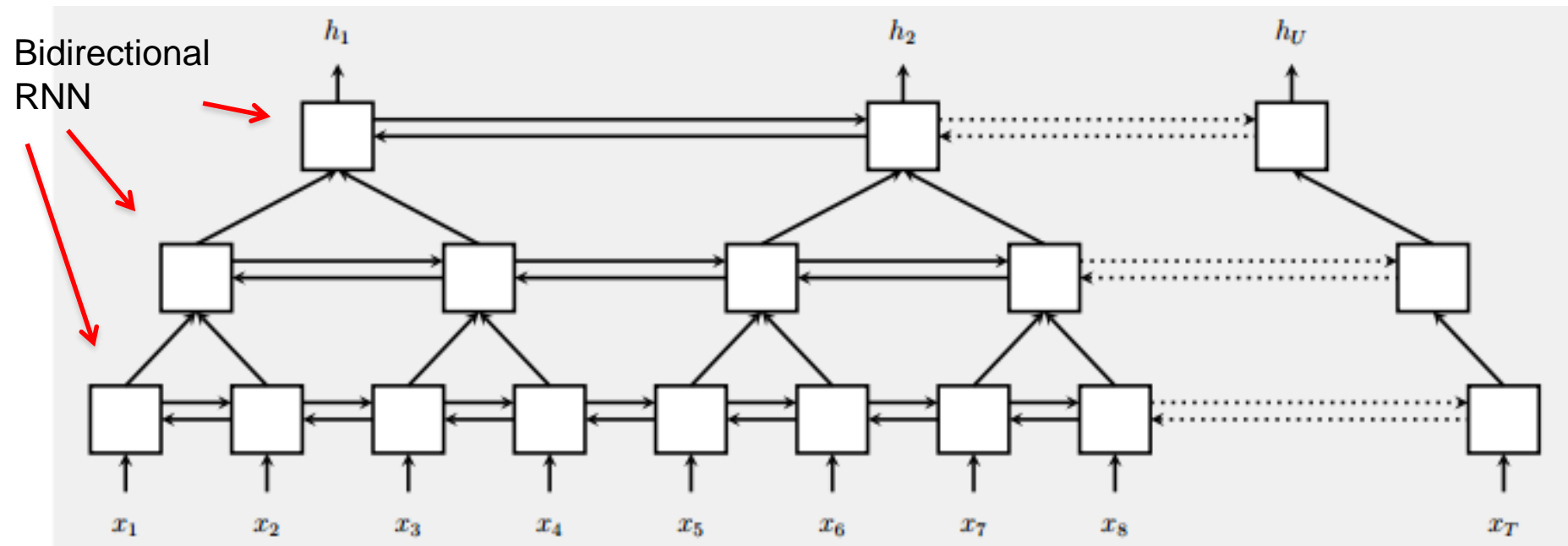
# Bidirectional RNN



# Pyramid RNN

Significantly speed up training

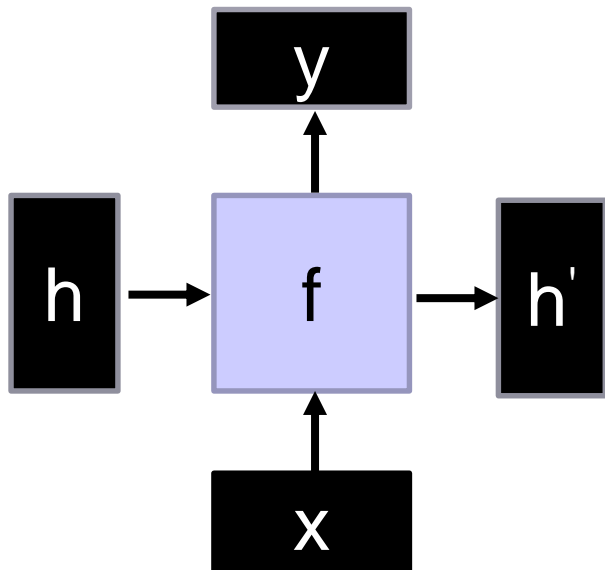
- Reducing the number of time steps



W. Chan, N. Jaitly, Q. Le and O. Vinyals, "Listen, attend and spell: A neural network for large vocabulary conversational speech recognition," ICASSP, 2016

# Naïve RNN

- Given function  $f: h', y = f(h, x)$



$$h' = \sigma( W^h h + W^i x )$$

$$y = \sigma( W^o h' )$$

softmax

Note,  $y$  is computed from  $h'$

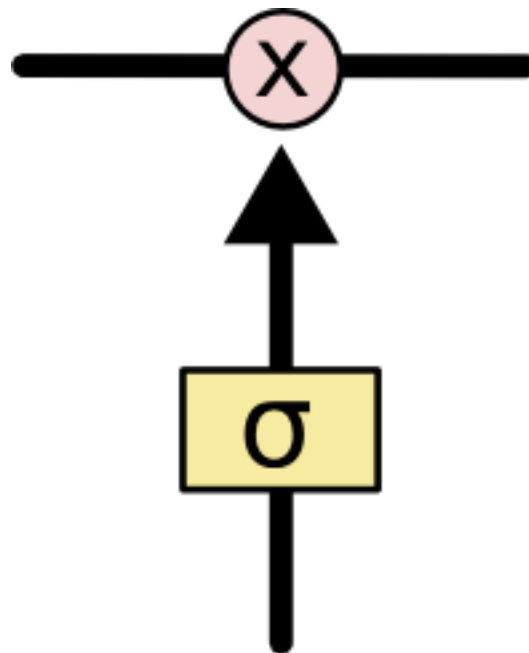
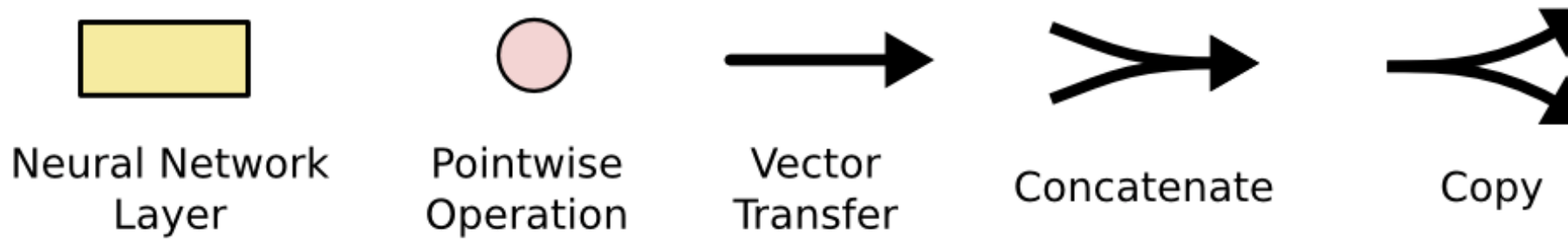
We have ignored the bias



# Problems with naive RNN

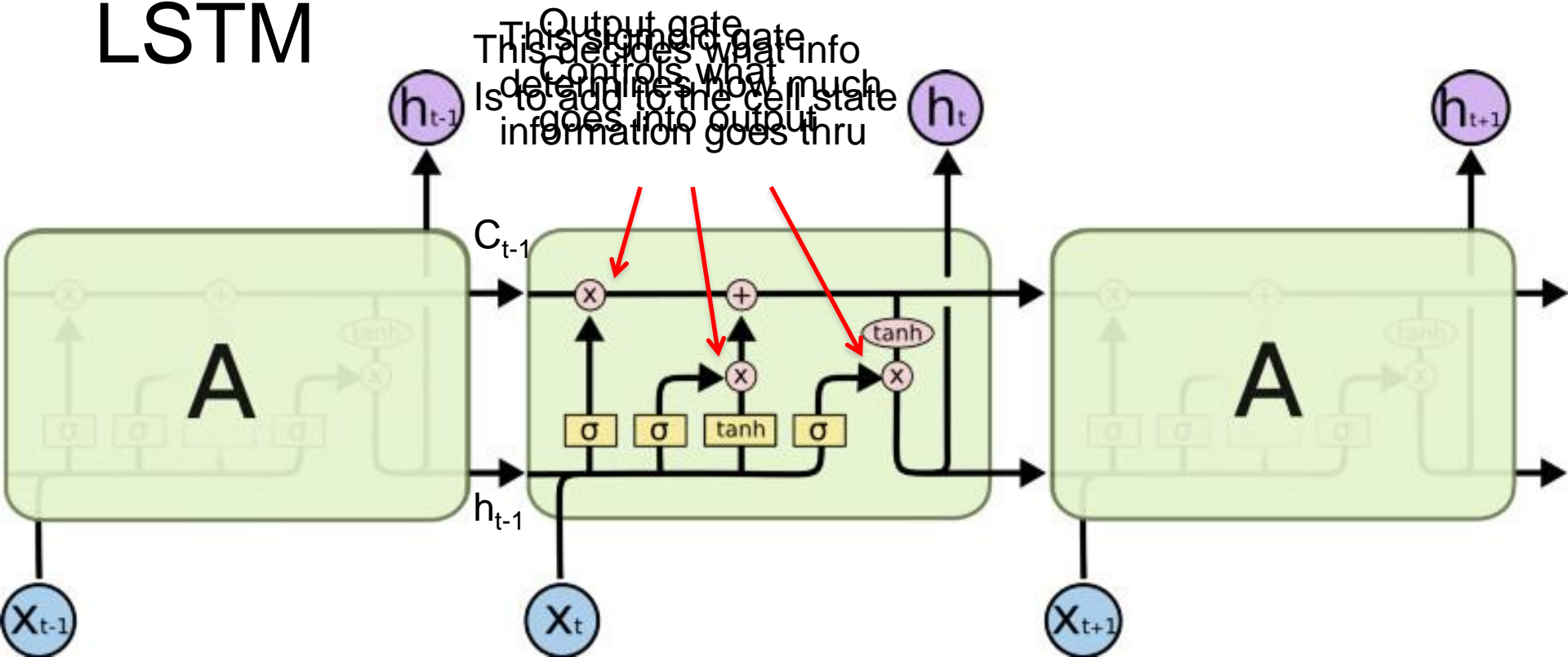
- When dealing with a time series, it tends to forget old information. When there is a distant relationship of unknown length, we wish to have a “memory” to it.
- Vanishing gradient problem.





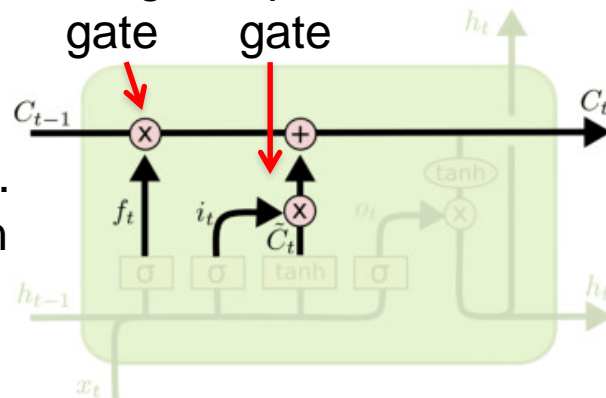
The sigmoid layer outputs numbers between 0-1 determine how much each component should be let through. Pink X gate is point-wise multiplication.

# LSTM



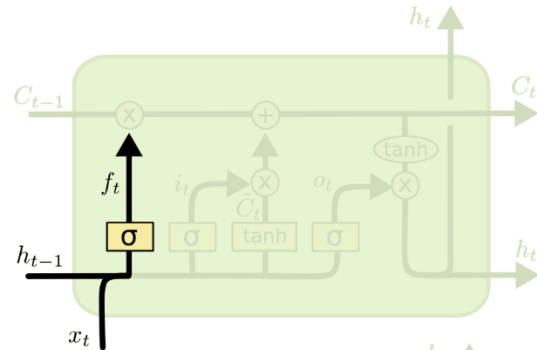
Output gate  
This decides what info  
controls what much  
Is to add to the cell state  
information goes thru

Forget input  
gate gate

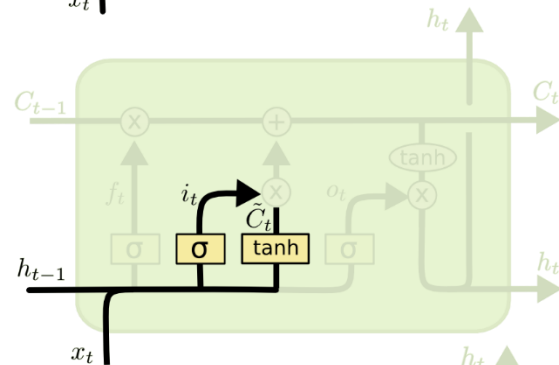


$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

The core idea is this cell  
state  $C_t$  it is changed  
Why sigmoid or tanh:  
Sigmoid: 0, 1 gating as switch.  
slowly, with only minor  
Vanishing gradient problem in  
linear interactions. It is very  
LSTM is handled already.  
easy for information to flow  
ReLU replaces tanh ok?  
along it unchanged.

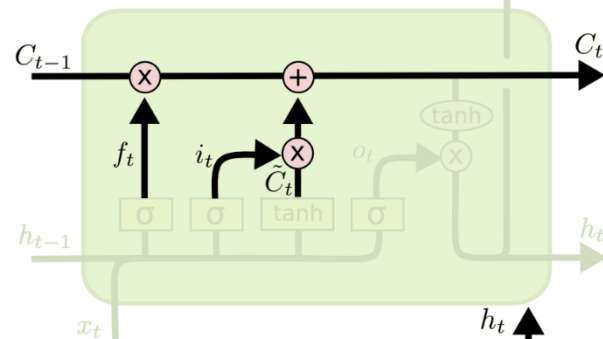


$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f)$$

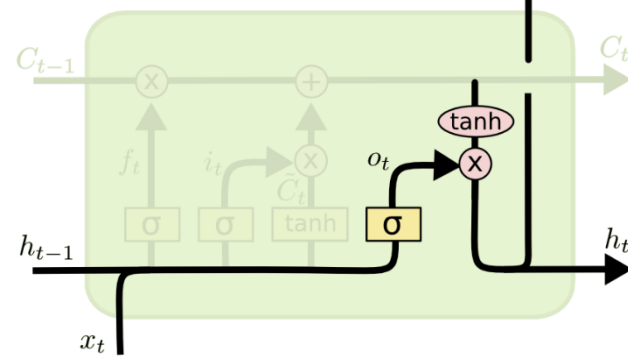


$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i)$$

$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$



$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$



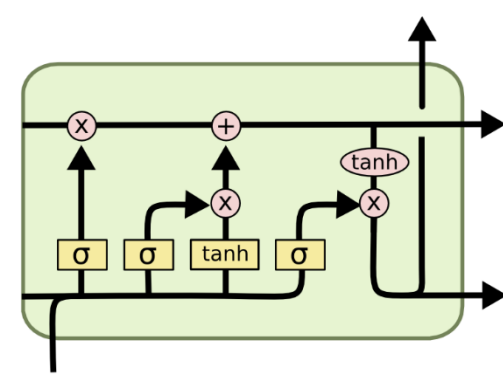
$$o_t = \sigma(W_o [h_{t-1}, x_t] + b_o)$$

$$h_t = o_t * \tanh(C_t)$$

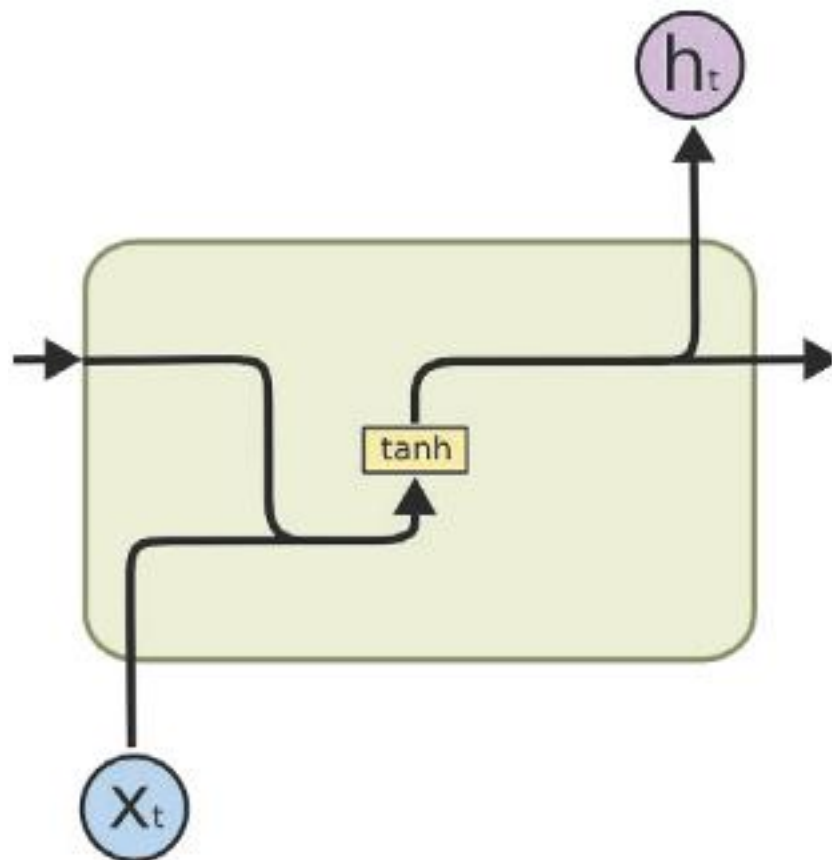
$i_t$  decides what component is to be updated.  
 $C'_t$  provides change contents

Updating the cell state

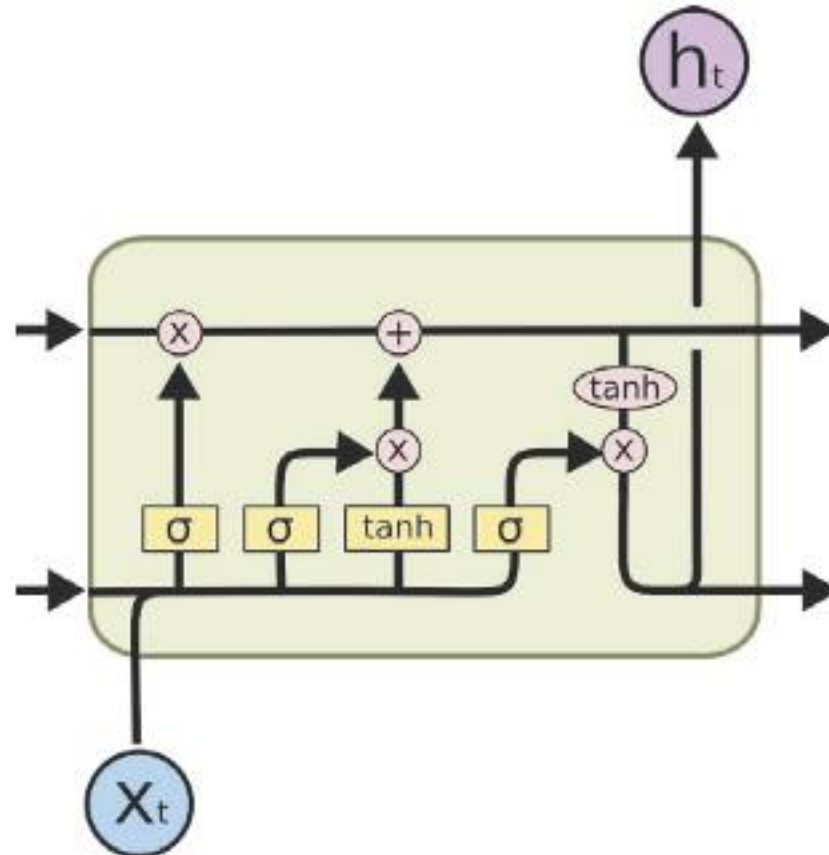
Decide what part of the cell state to output



# RNN vs LSTM

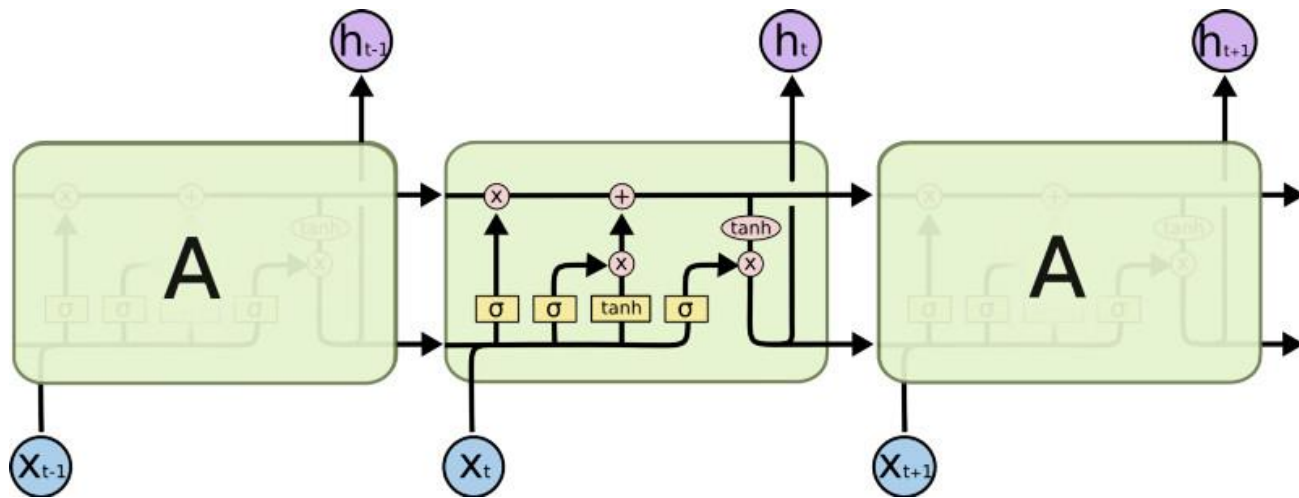


(a) RNN

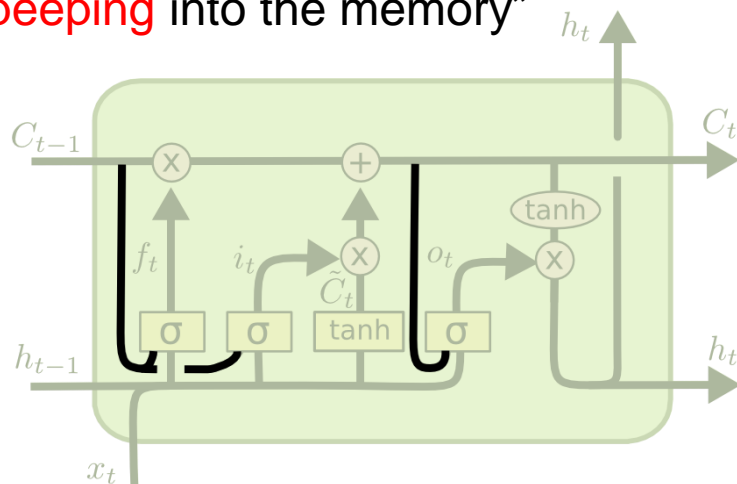


(b) LSTM

# Peephole LSTM



Allows “**peeping** into the memory”

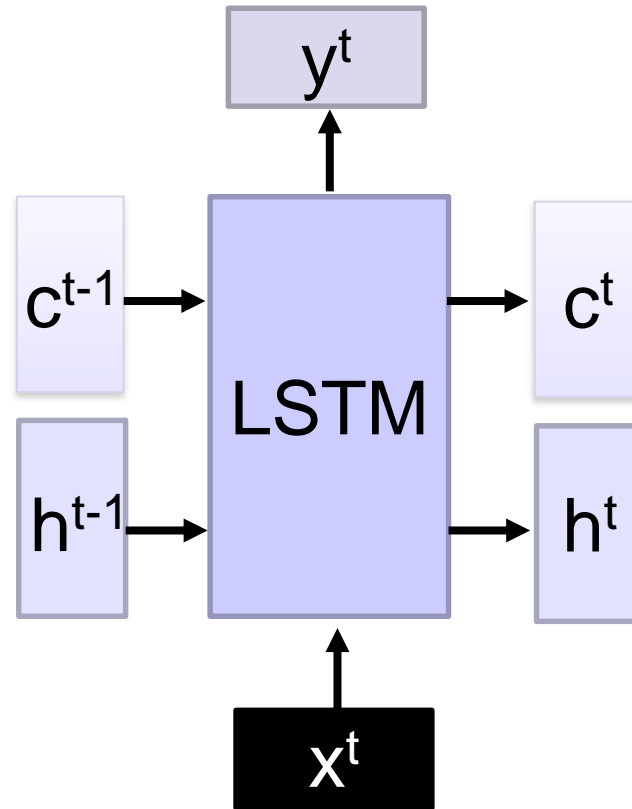
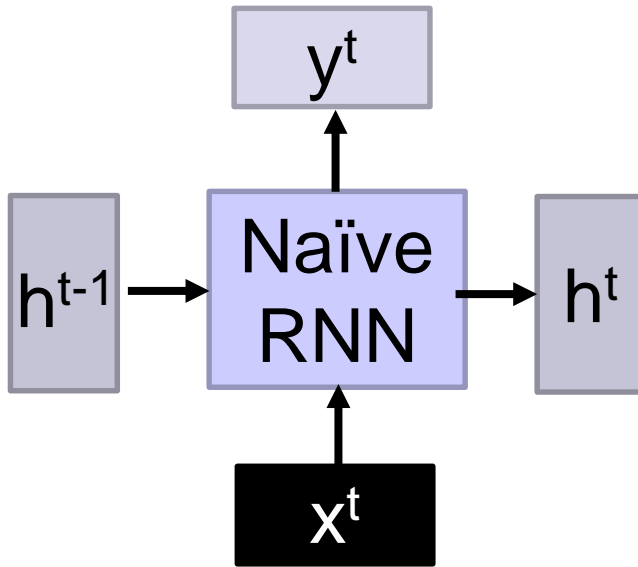


$$f_t = \sigma (W_f \cdot [C_{t-1}, h_{t-1}, x_t] + b_f)$$

$$i_t = \sigma (W_i \cdot [C_{t-1}, h_{t-1}, x_t] + b_i)$$

$$o_t = \sigma (W_o \cdot [C_t, h_{t-1}, x_t] + b_o)$$

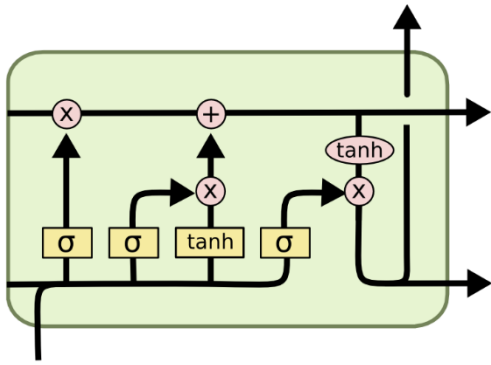
# Naïve RNN vs LSTM



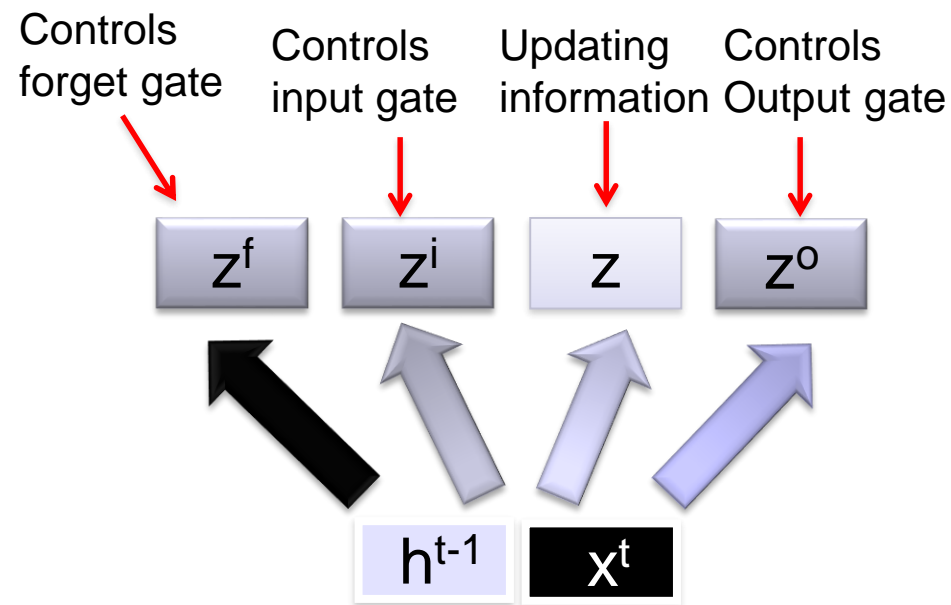
$c$  changes slowly  $\Rightarrow c^t$  is  $c^{t-1}$  added by something

$h$  changes faster  $\Rightarrow h^t$  and  $h^{t-1}$  can be very different

These 4 matrix computation should be done concurrently.



$c^{t-1}$



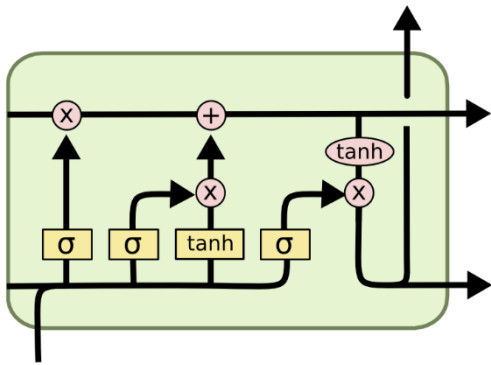
$$z = \tanh(W \begin{bmatrix} x^t \\ h^{t-1} \end{bmatrix})$$

$$z^i = \sigma(W^i \begin{bmatrix} x^t \\ h^{t-1} \end{bmatrix})$$

$$z_f = \sigma(W^f \begin{bmatrix} x^t \\ h^{t-1} \end{bmatrix})$$

$$z^o = \sigma(W^o \begin{bmatrix} x^t \\ h^{t-1} \end{bmatrix})$$

**Information flow of LSTM**

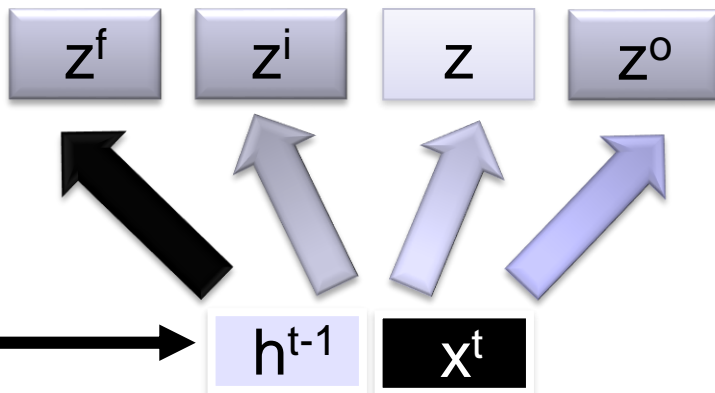


$$z = \tanh(W \begin{bmatrix} x^t \\ h^{t-1} \\ c^{t-1} \end{bmatrix})$$

diagonal

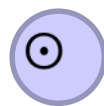
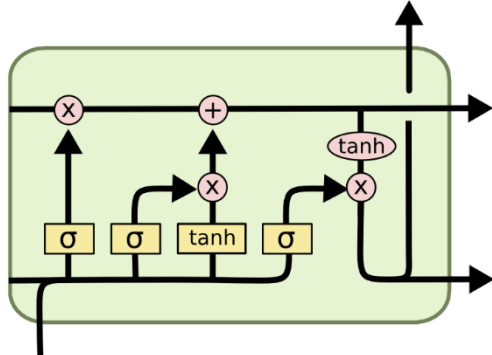
$z^0$   $z_f$   $z_i$  obtained by the same way

“peephole”

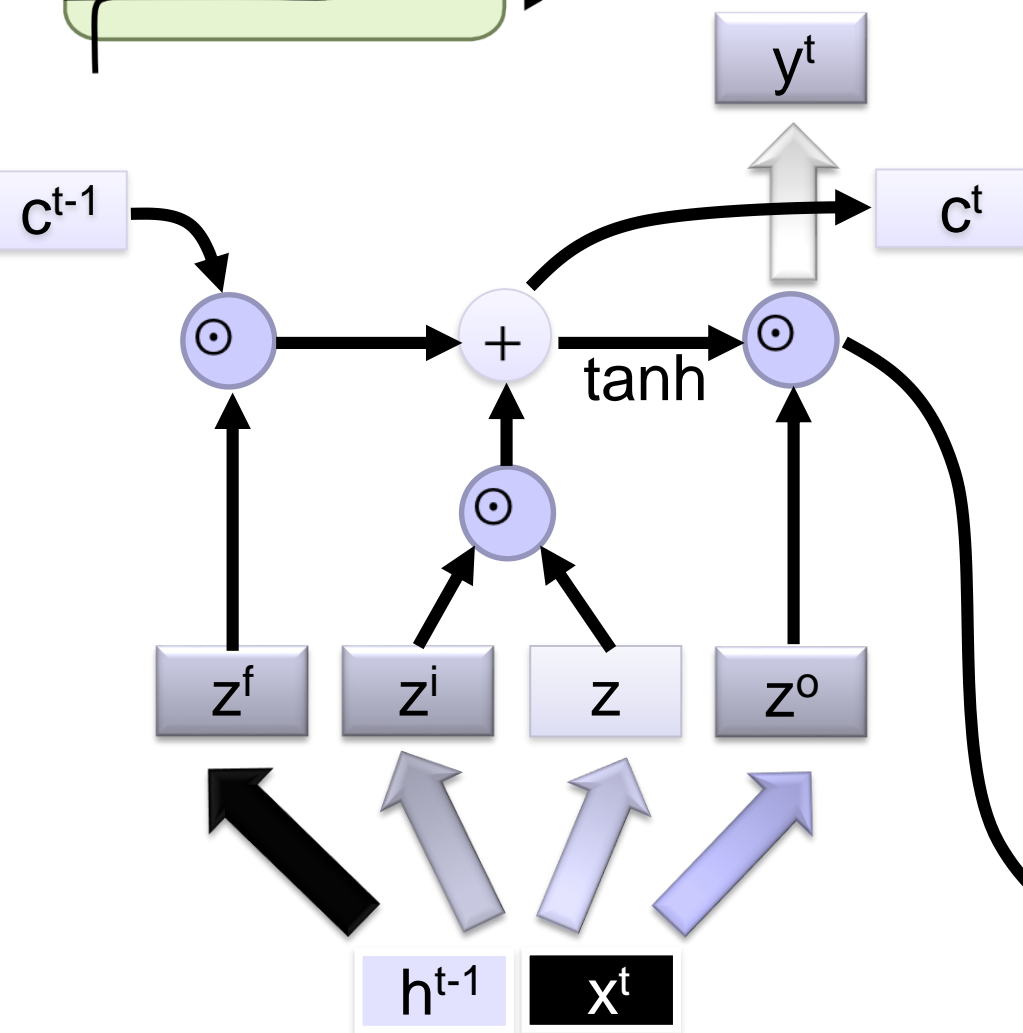


Information flow of LSTM





Element-wise multiply



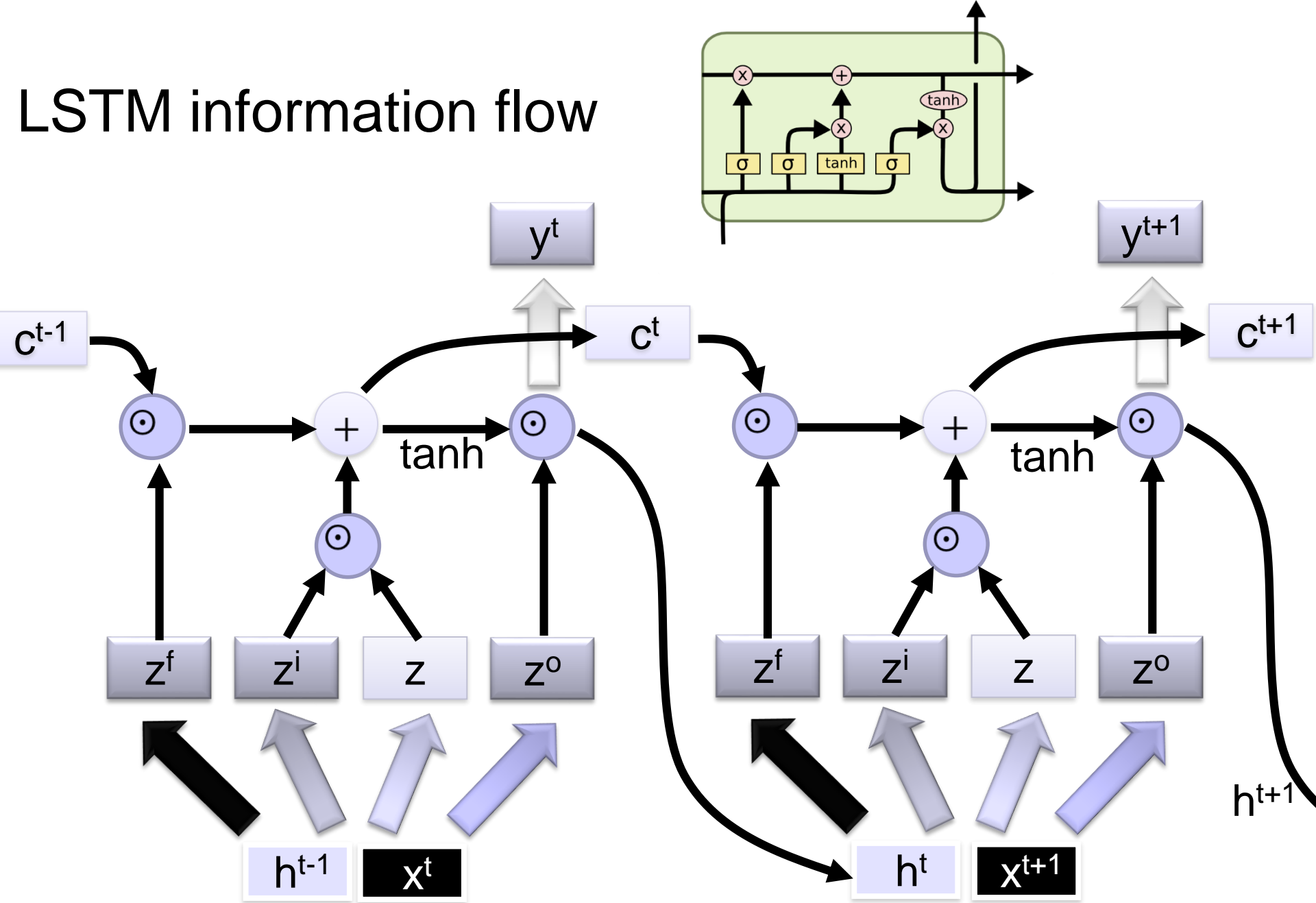
$$c^t = z^f \odot c^{t-1} + z^i \odot z$$

$$h^t = z^o \odot \tanh(c^t)$$

$$y^t = \sigma(W' h^t)$$

**Information flow of LSTM**

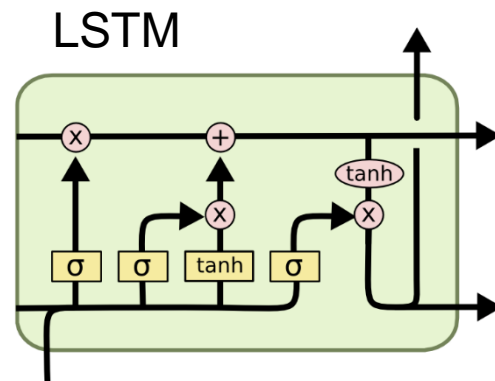
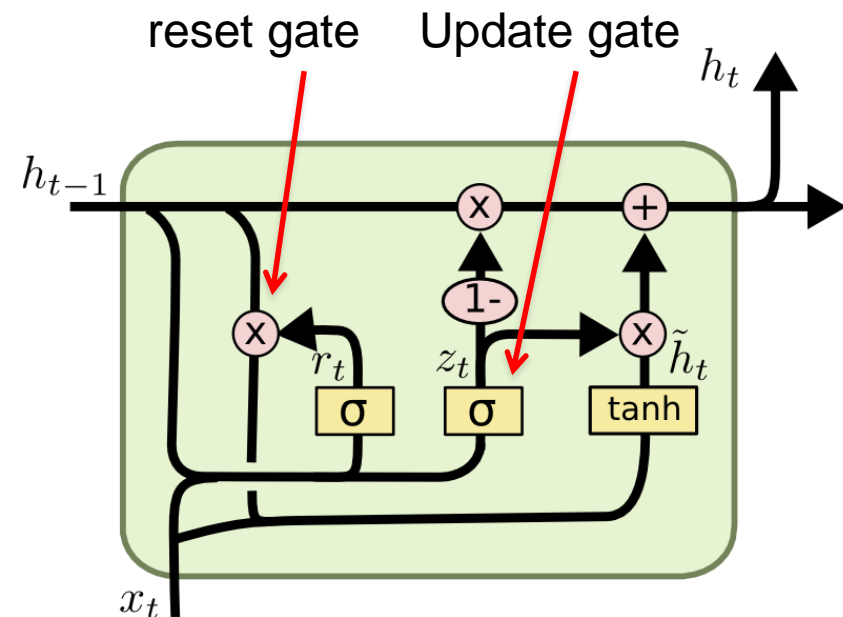
# LSTM information flow



Information flow of LSTM

# GRU – gated recurrent unit

(more compression)



$$z_t = \sigma(W_z \cdot [h_{t-1}, x_t])$$

$$r_t = \sigma(W_r \cdot [h_{t-1}, x_t])$$

$$\tilde{h}_t = \tanh(W \cdot [r_t * h_{t-1}, x_t])$$

$$h_t = (1 - z_t) * h_{t-1} + z_t * \tilde{h}_t$$

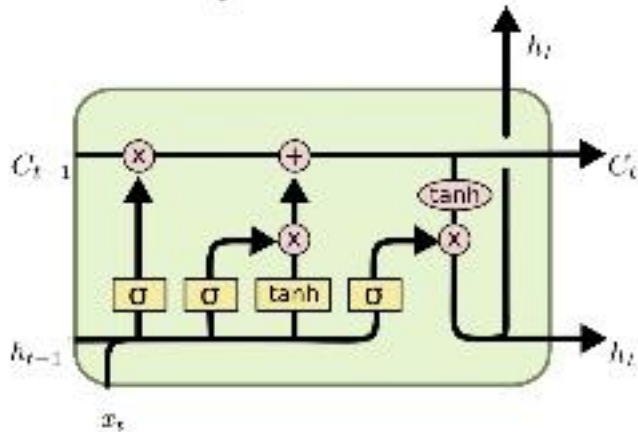
It combines the **forget** and **input** into a single **update gate**.

It also merges the cell state and hidden state. This is simpler than LSTM. There are many other variants too.

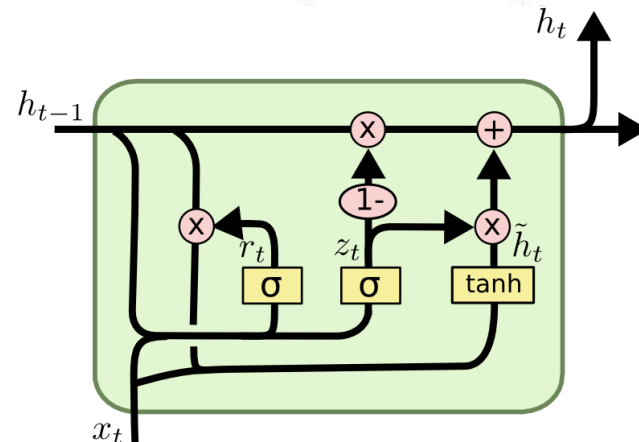
$X, *$ : element-wise multiply

# LSTM and GRU

- LSTM [Hochreiter&Schmidhuber97]



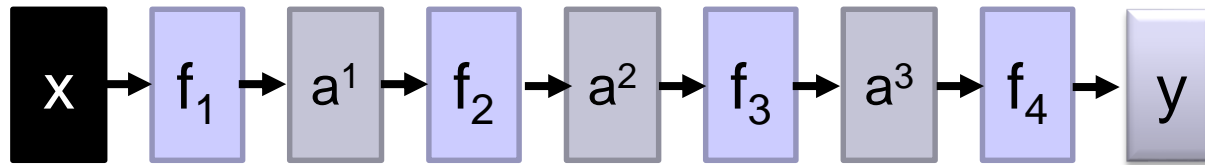
- GRU [Cho+14]



GRUs also take  $x_t$  and  $h_{t-1}$  as inputs. They perform some calculations and then pass along  $h_t$ . What makes them different from LSTMs is that GRUs don't need the cell layer to pass values along. The calculations within each iteration ensure that the  $h_t$  values being passed along either retain a high amount of old information or are jump-started with a high amount of new information.

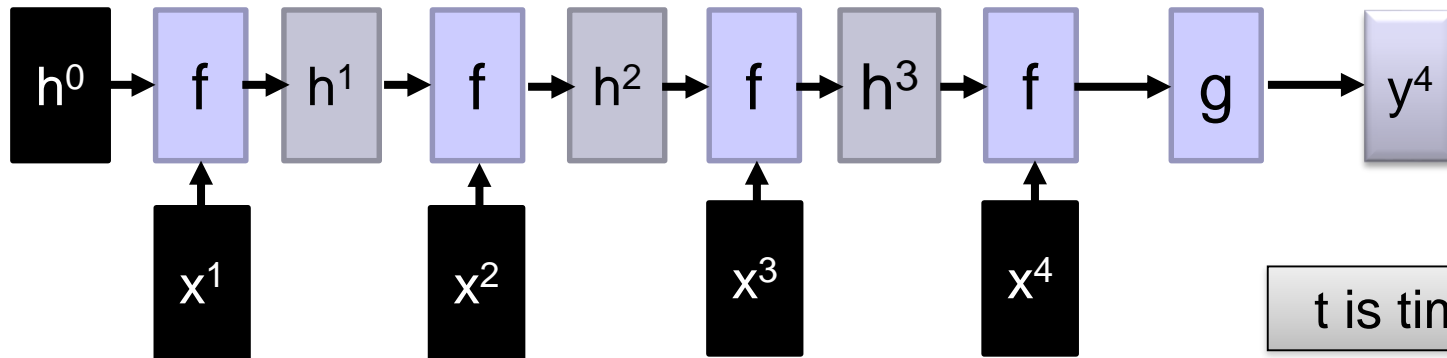
# Feed-forward vs Recurrent Network

1. Feedforward network does not have input at each step
2. Feedforward network has different parameters for each layer



$$a^t = f_t(a^{t-1}) = \sigma(W^t a^{t-1} + b^t)$$

t is layer



$$a^t = f(a^{t-1}, x^t) = \sigma(W^h a^{t-1} + W^i x^t + b^i)$$

t is time step

We will turn the recurrent network 90 degrees.

# GRU $\rightarrow$ Highway Network

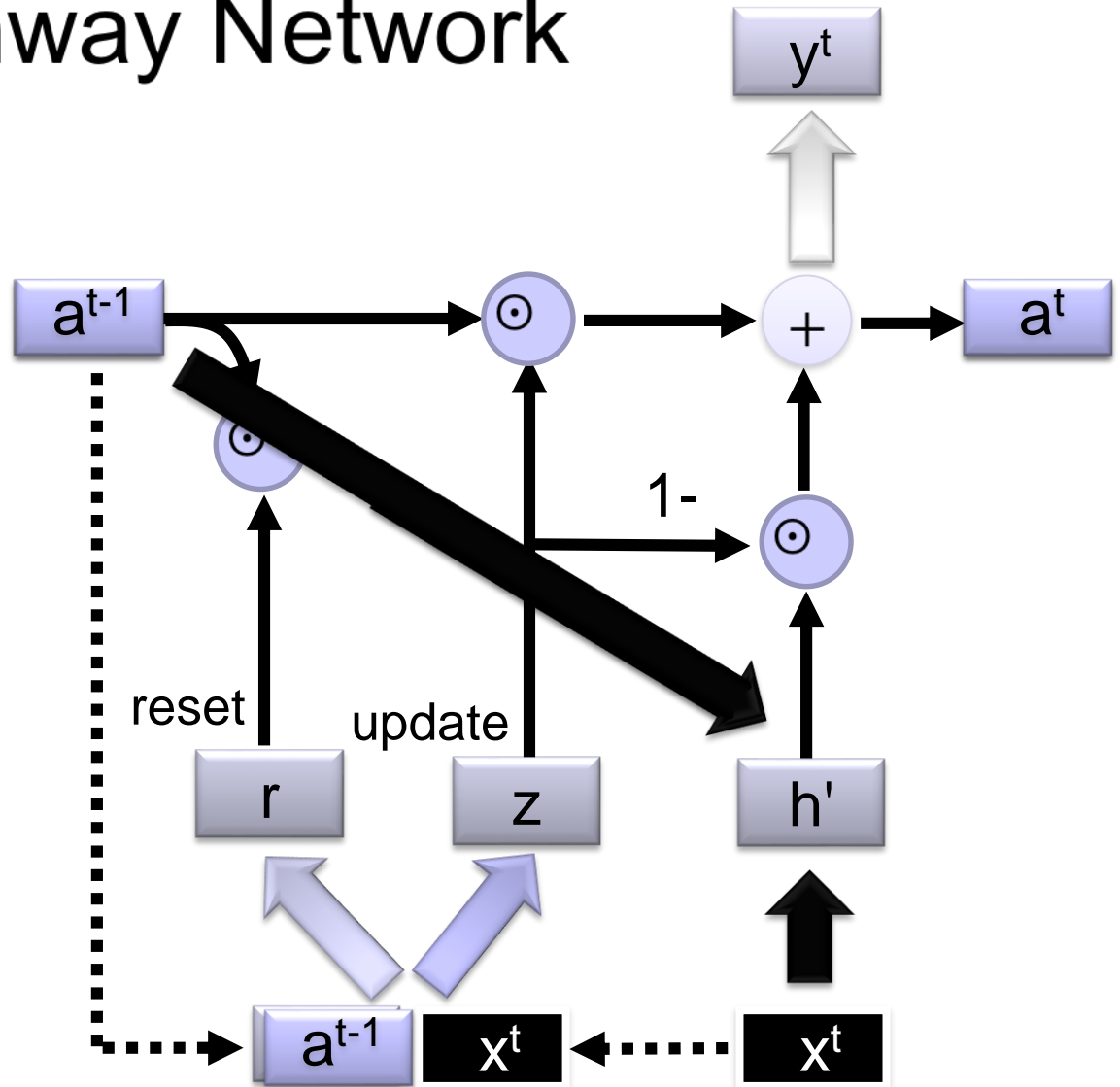
No input  $x^t$  at each step

No output  $y^t$  at each step

$a^{t-1}$  is the output of the (t-1)-th layer

$a^t$  is the output of the t-th layer

No reset gate



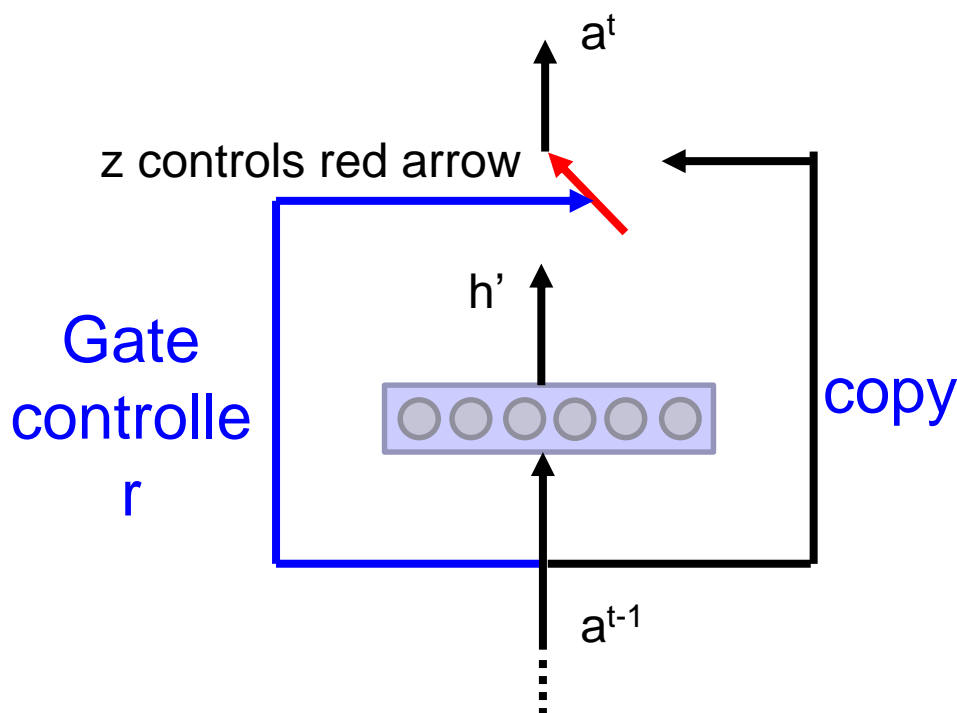
# Highway Network

$$h' = \sigma(Wa^{t-1})$$

$$z = \sigma(W'h^{t-1})$$

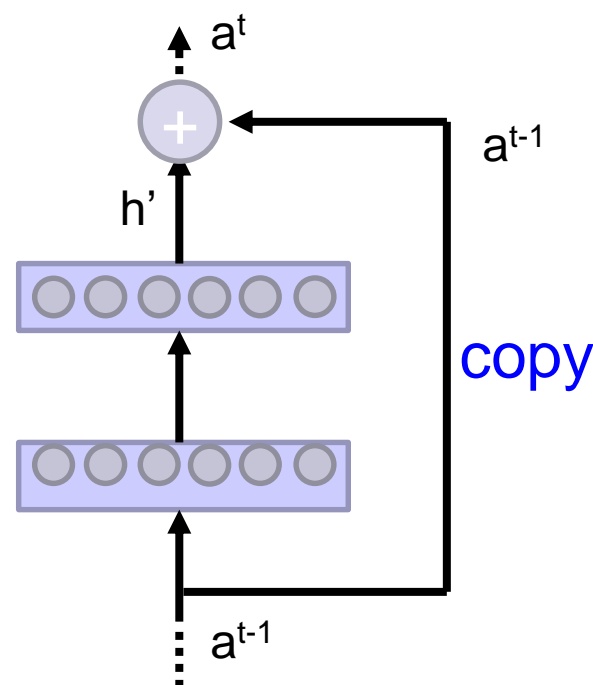
$$a^t = z \odot a^{t-1} + (1-z) \odot h'$$

- Highway Network

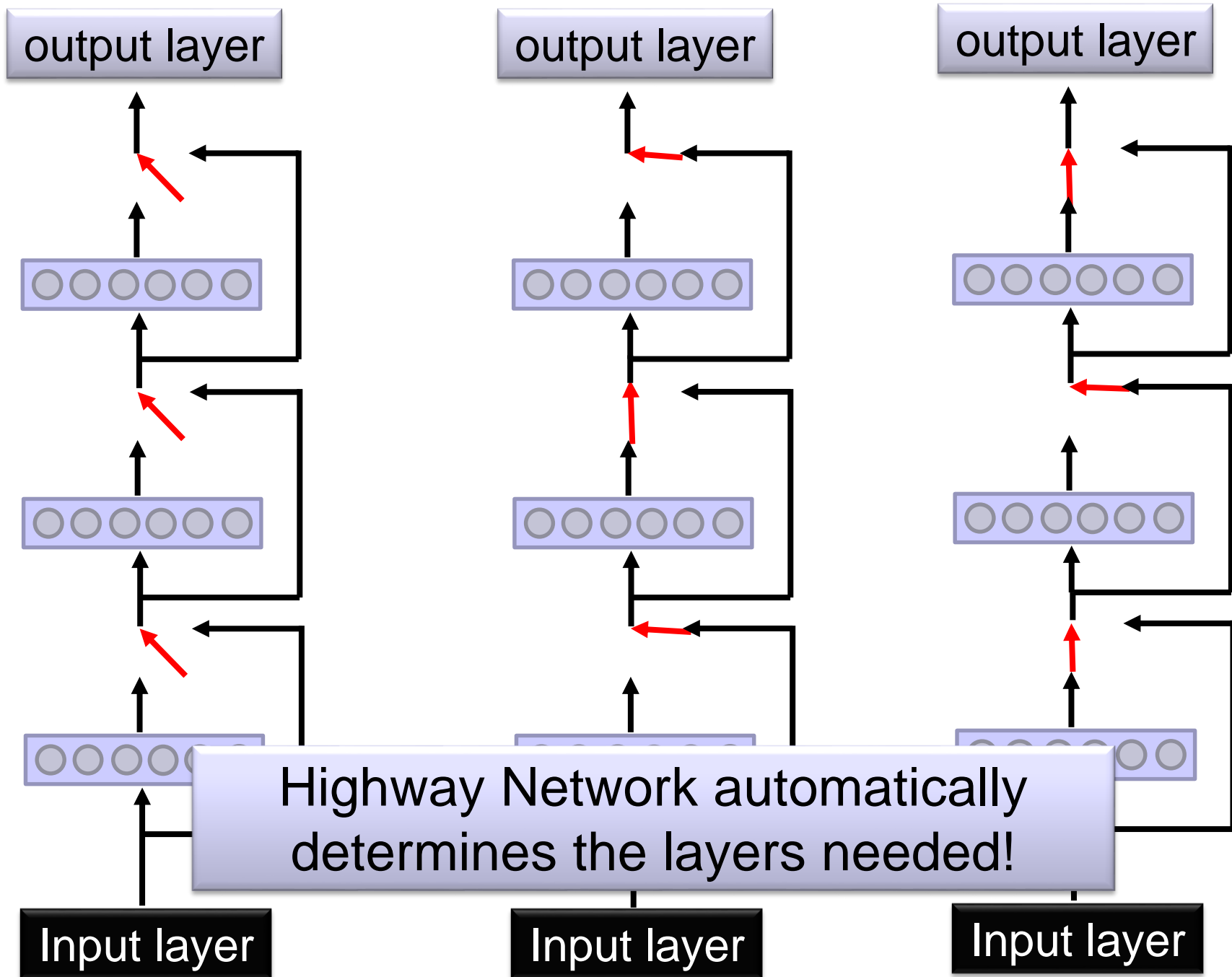


Training Very Deep Networks  
<https://arxiv.org/pdf/1507.06228v2.pdf>

- Residual Network

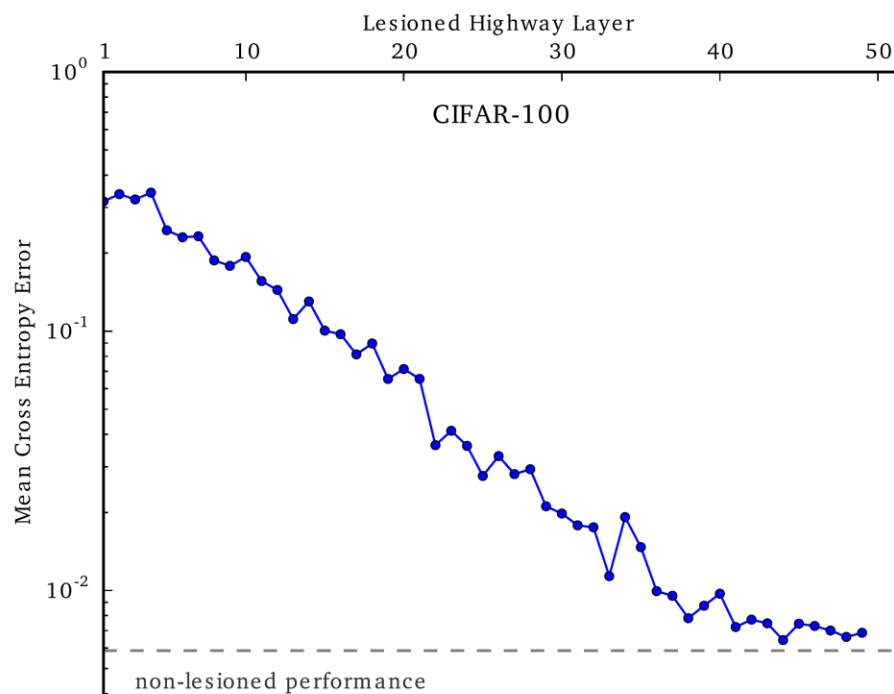
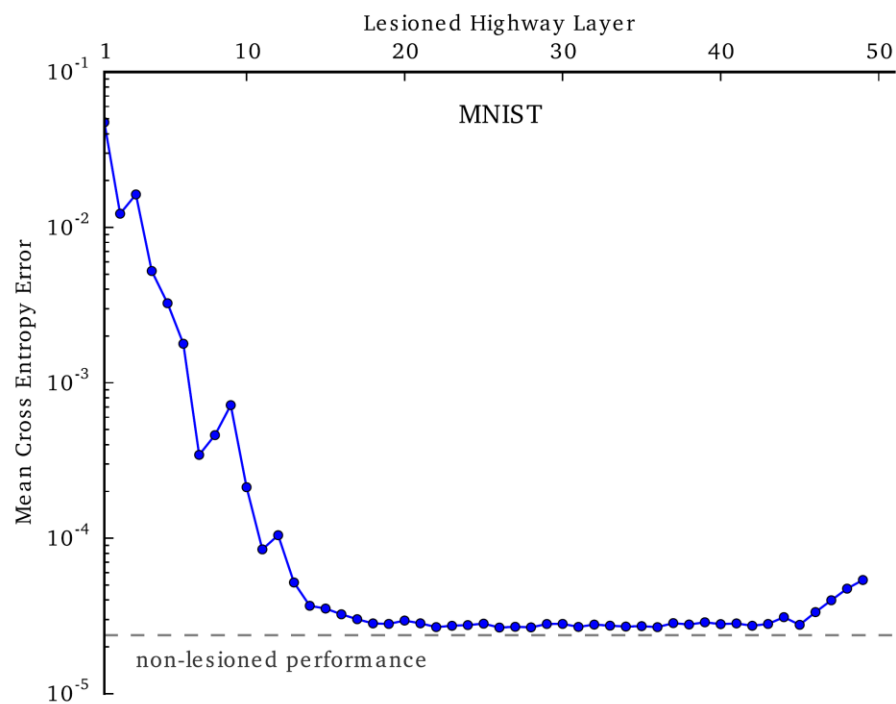


Deep Residual Learning for Image Recognition  
<http://arxiv.org/abs/1512.03385>

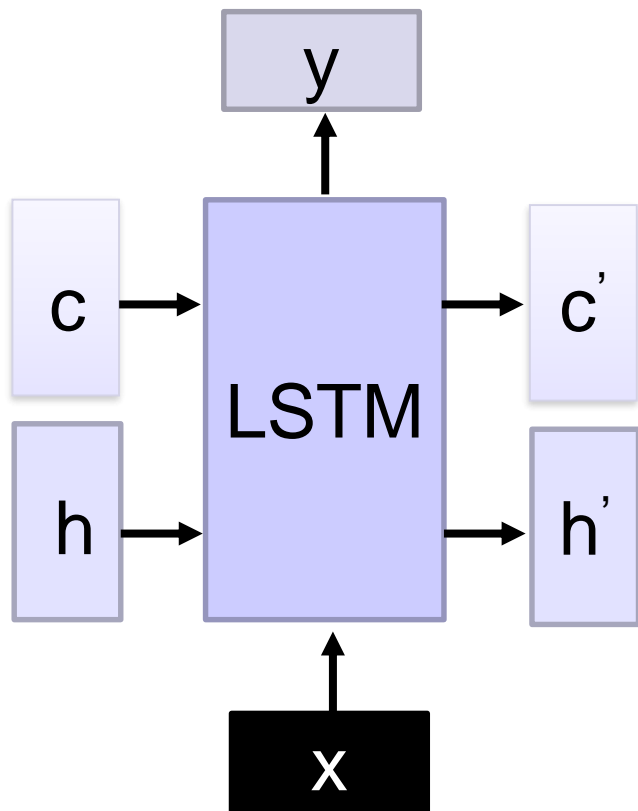




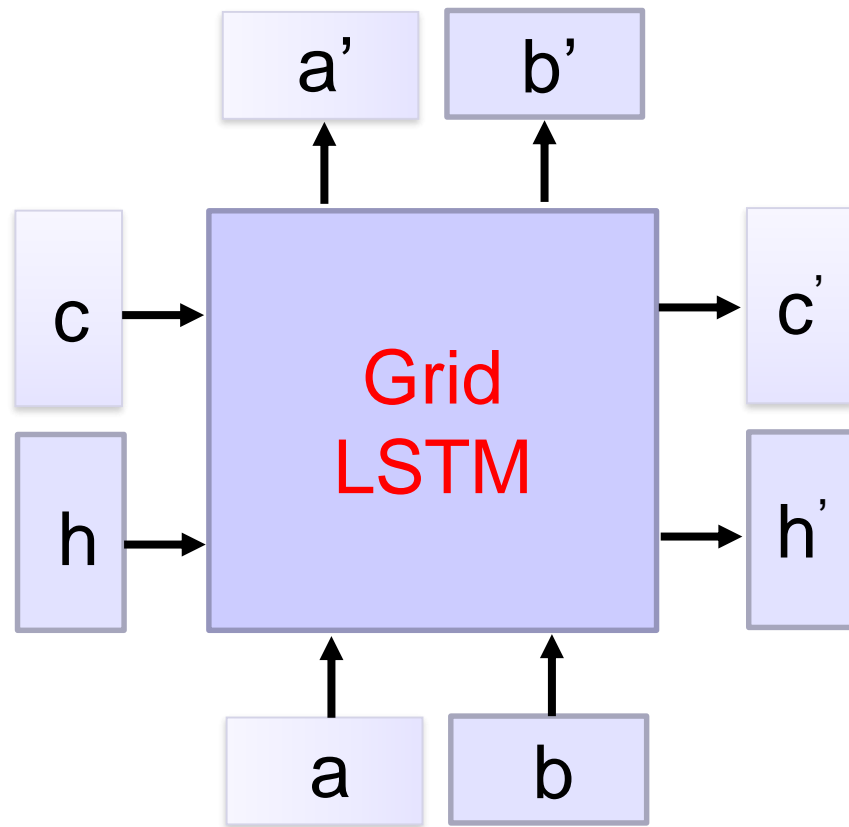
# Highway Network Experiments



# Grid LSTM



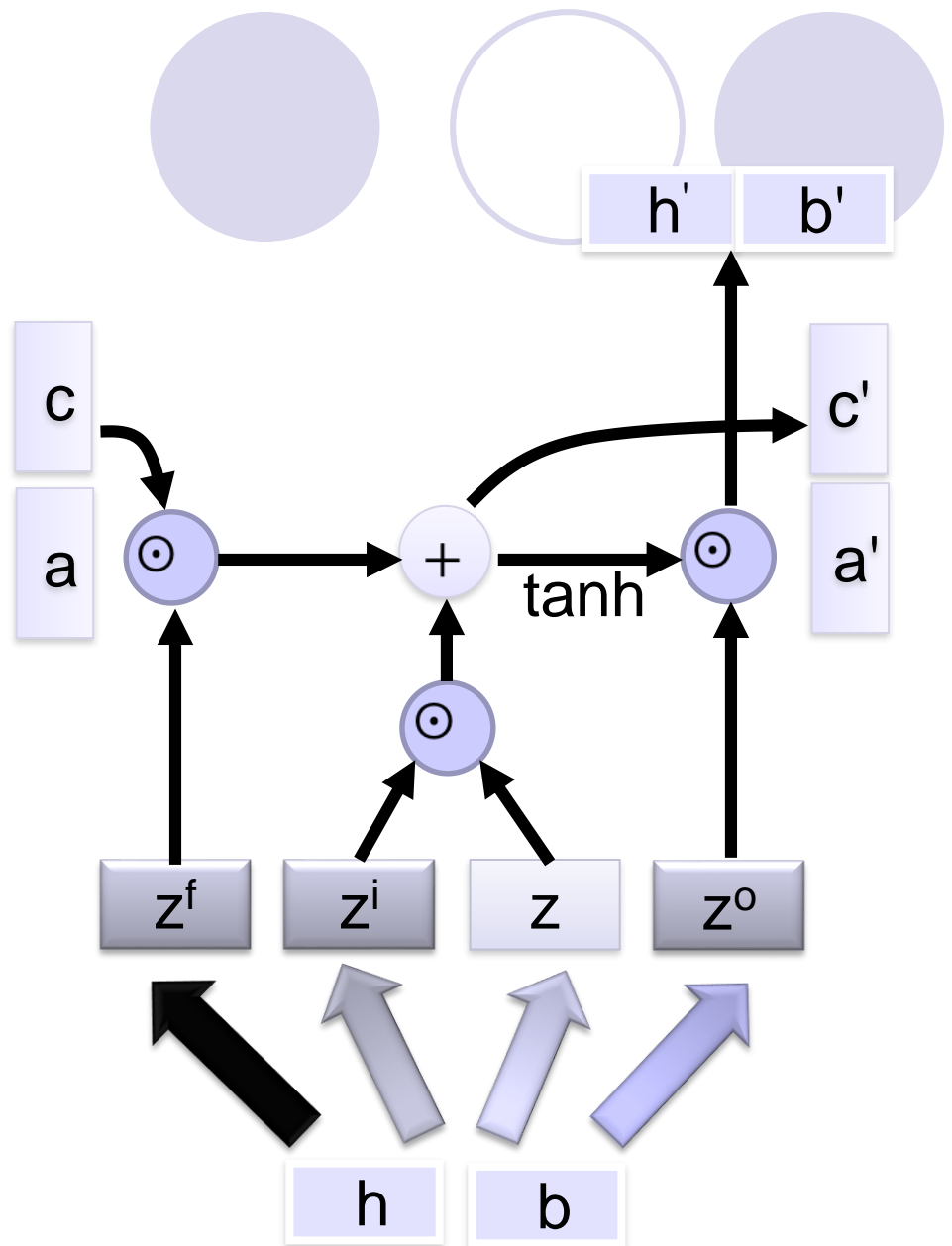
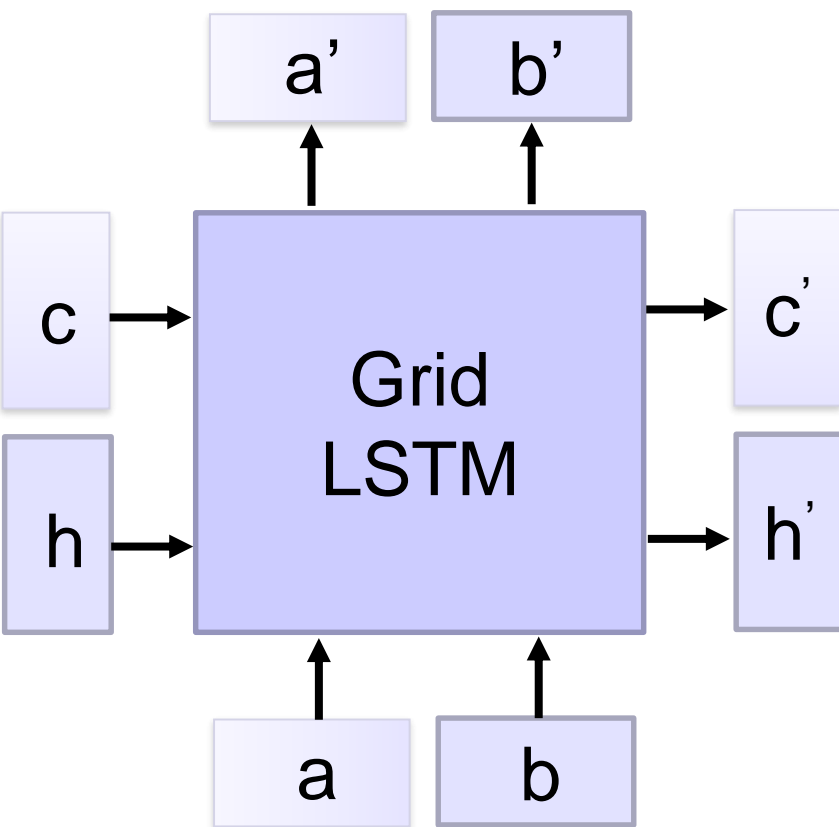
depth



Memory for both  
*time* and *depth*

time

# Grid LSTM

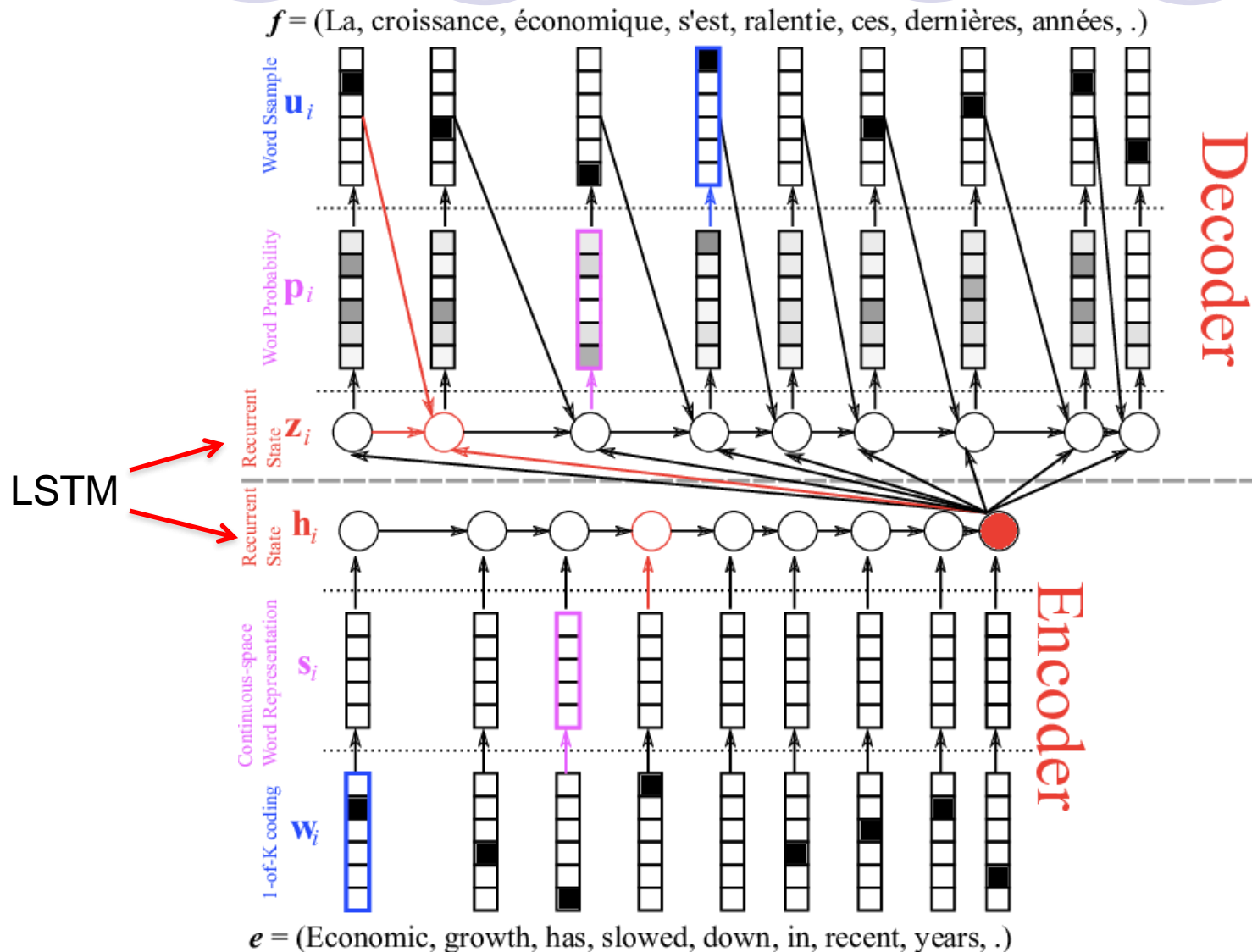


You can generalize this to 3D, and more.

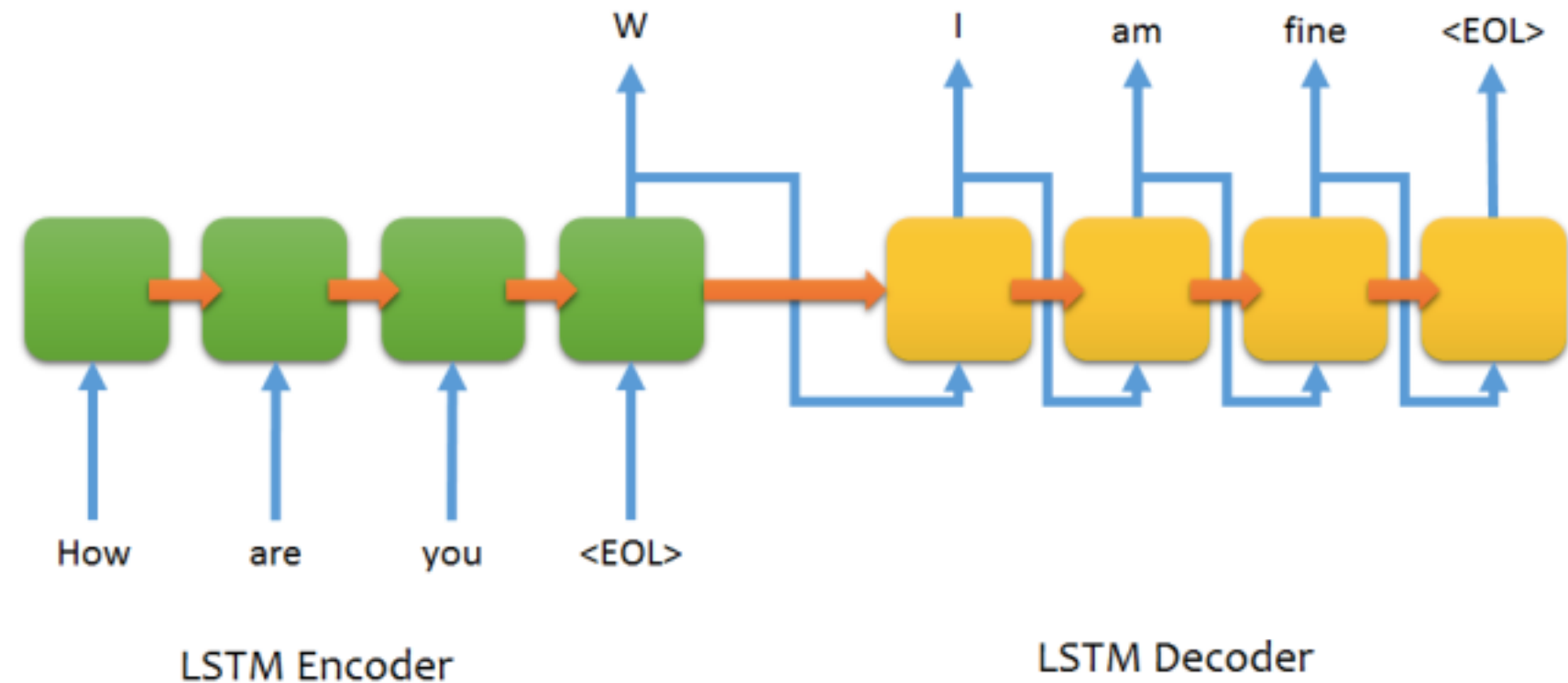
# Applications of LSTM / RNN



# Neural machine translation



# Sequence to sequence chat model

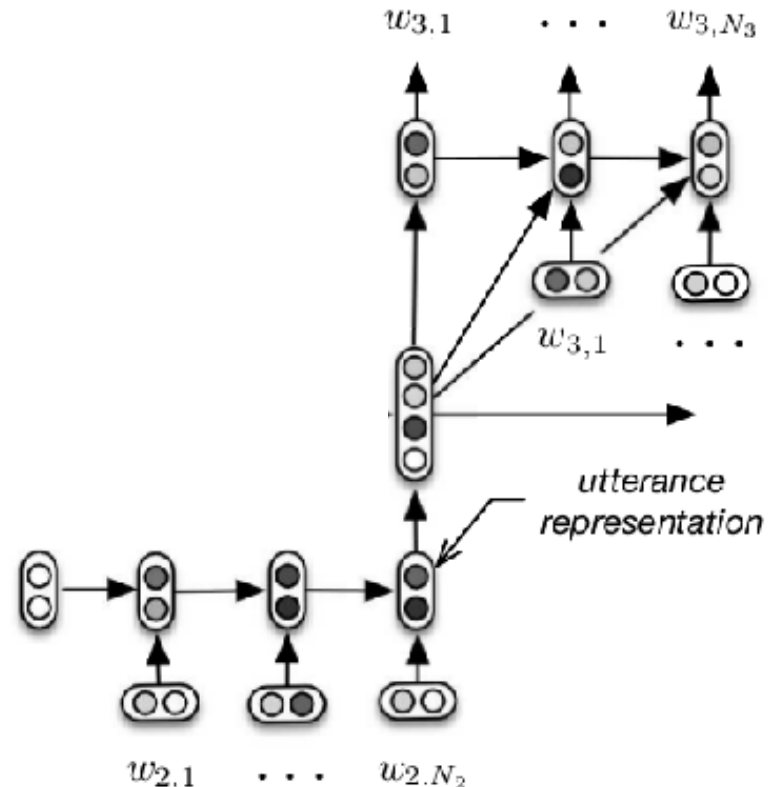
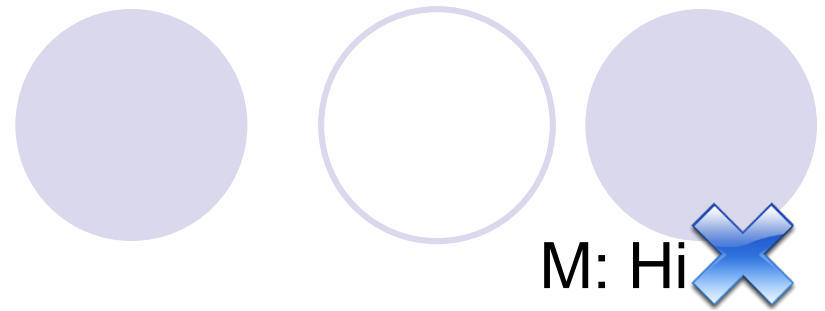


# Chat with context

M: Hello

U: Hi

M: Hi



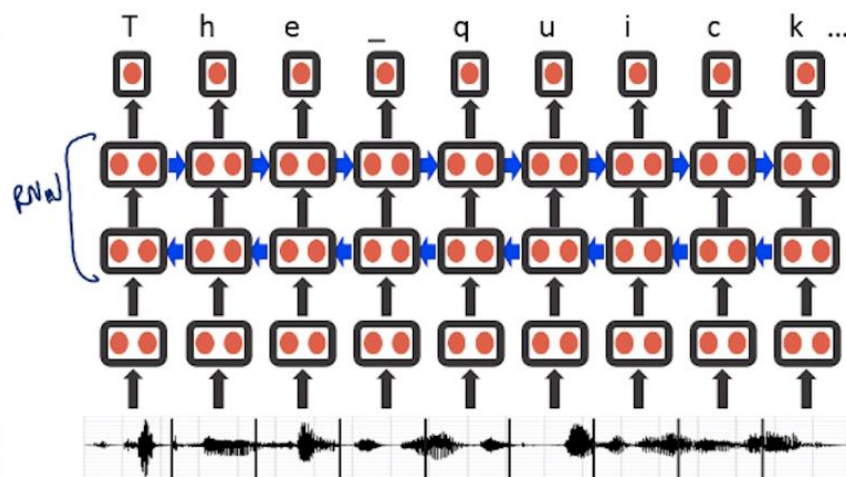
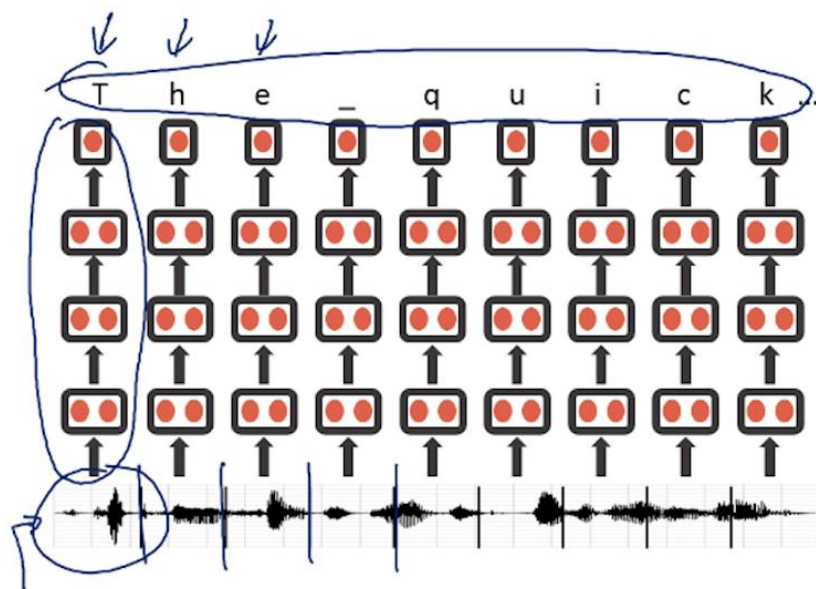
M: Hello

U: Hi

Serban, Iulian V., Alessandro Sordoni, Yoshua Bengio, Aaron Courville, and Joelle Pineau, 2015 "Building End-To-End Dialogue Systems Using Generative Hierarchical Neural Network Models"

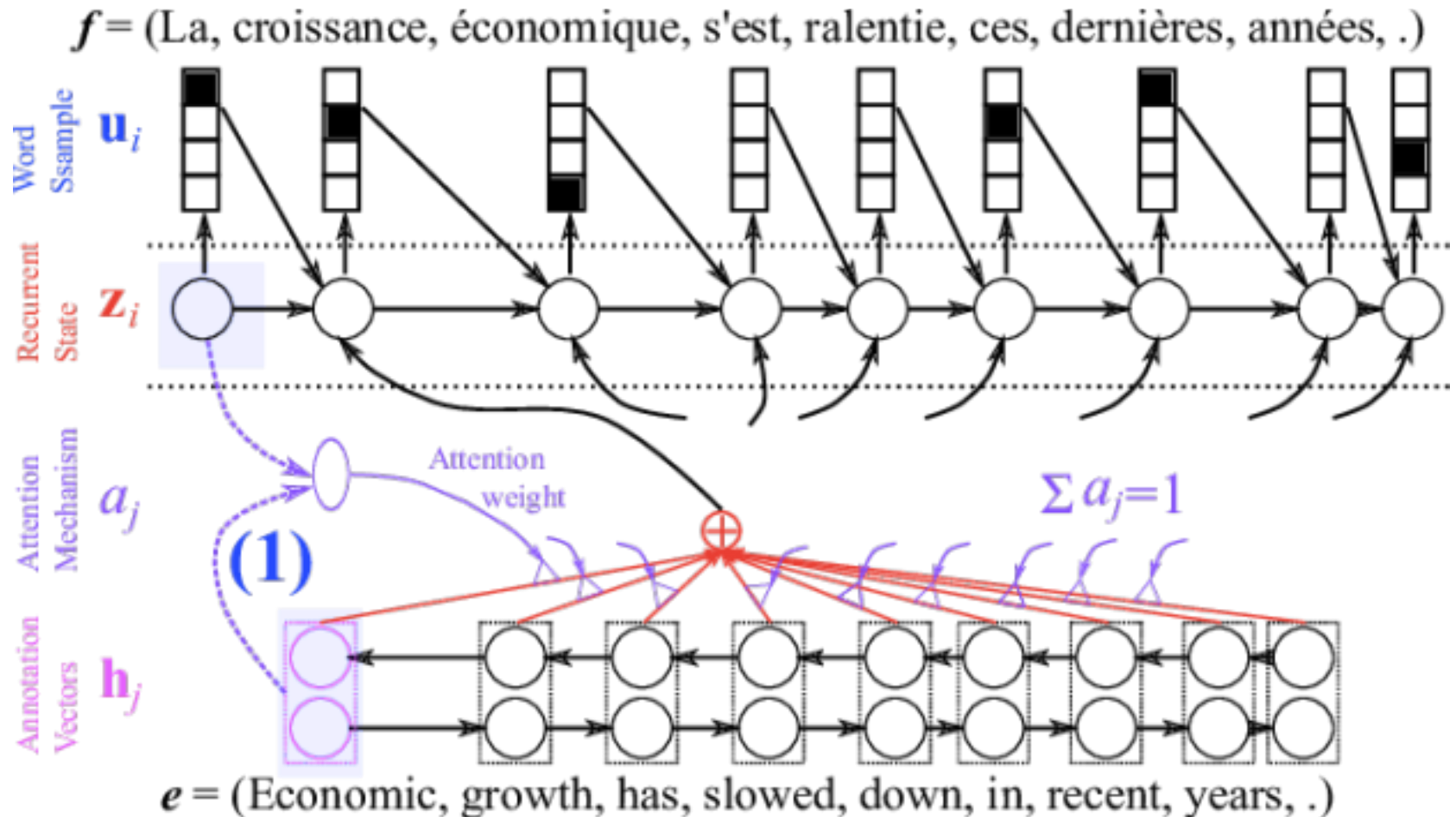
# Baidu's speech recognition using RNN

Speech recognition example (Deep Speech)





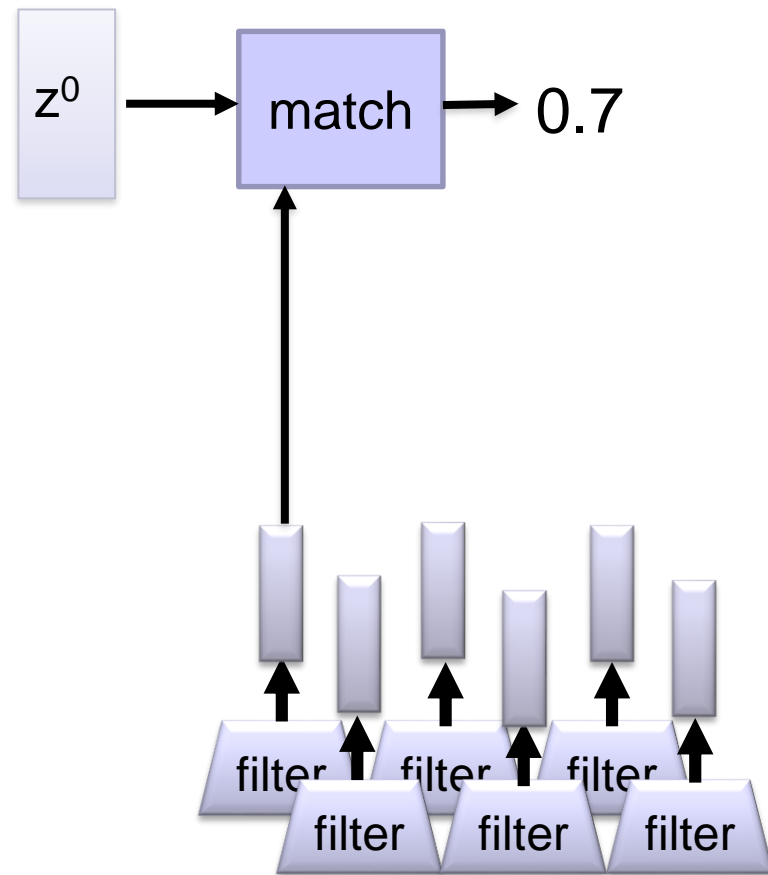
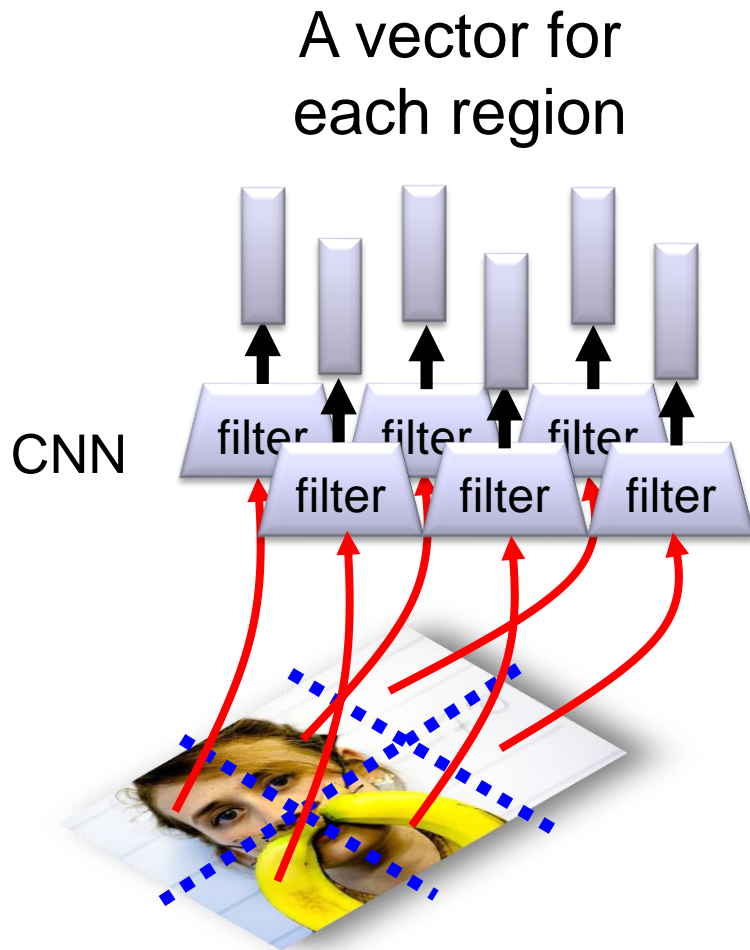
# Attention



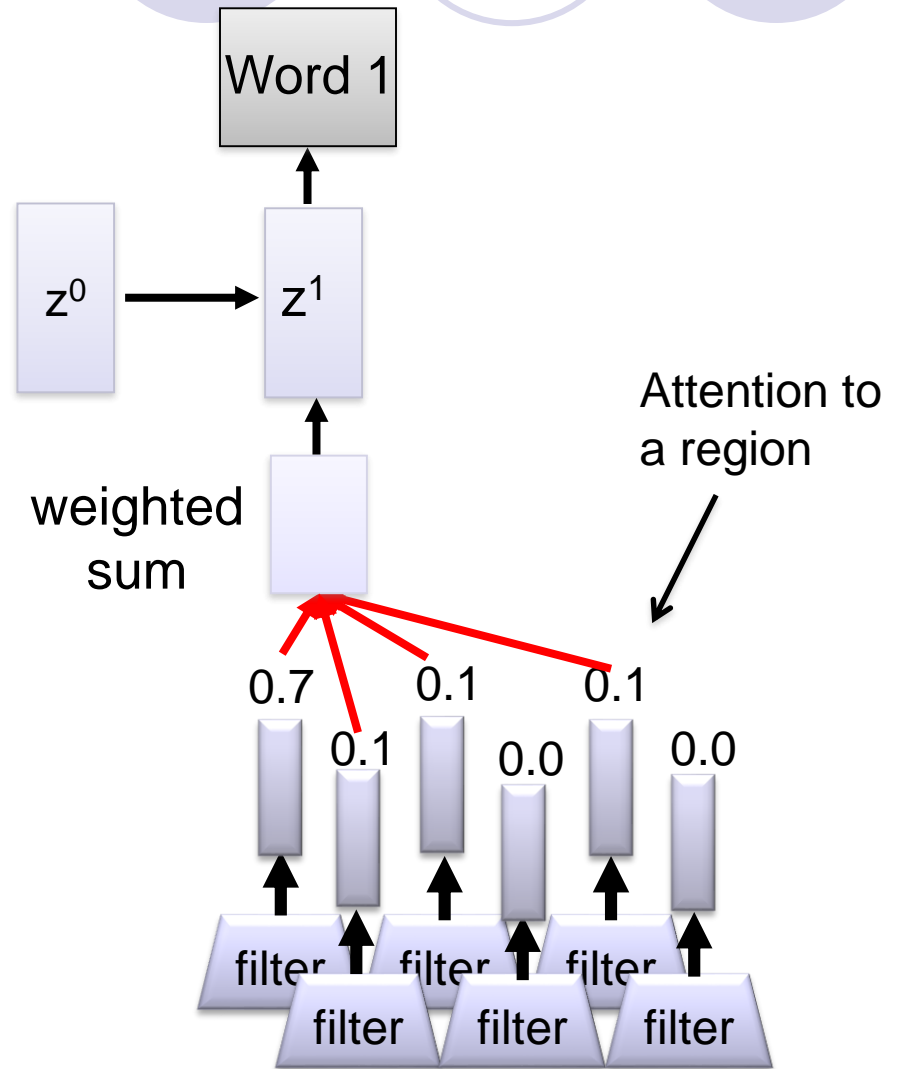
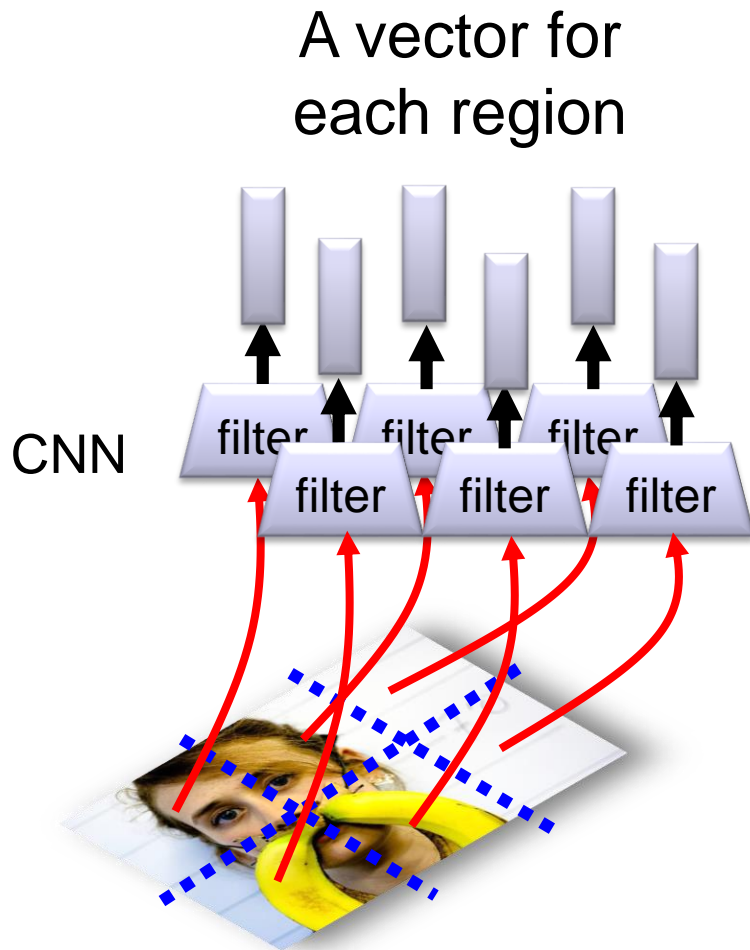
# Image caption generation using attention

(From CY Lee lecture)

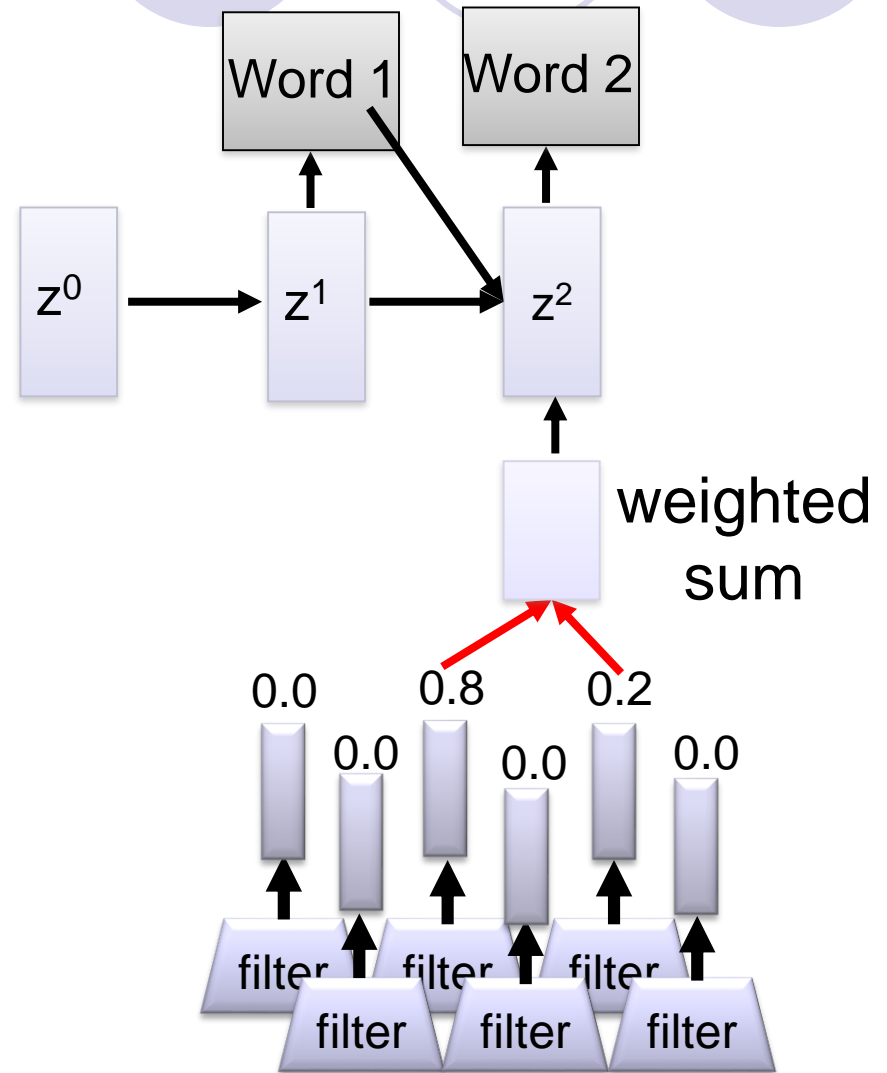
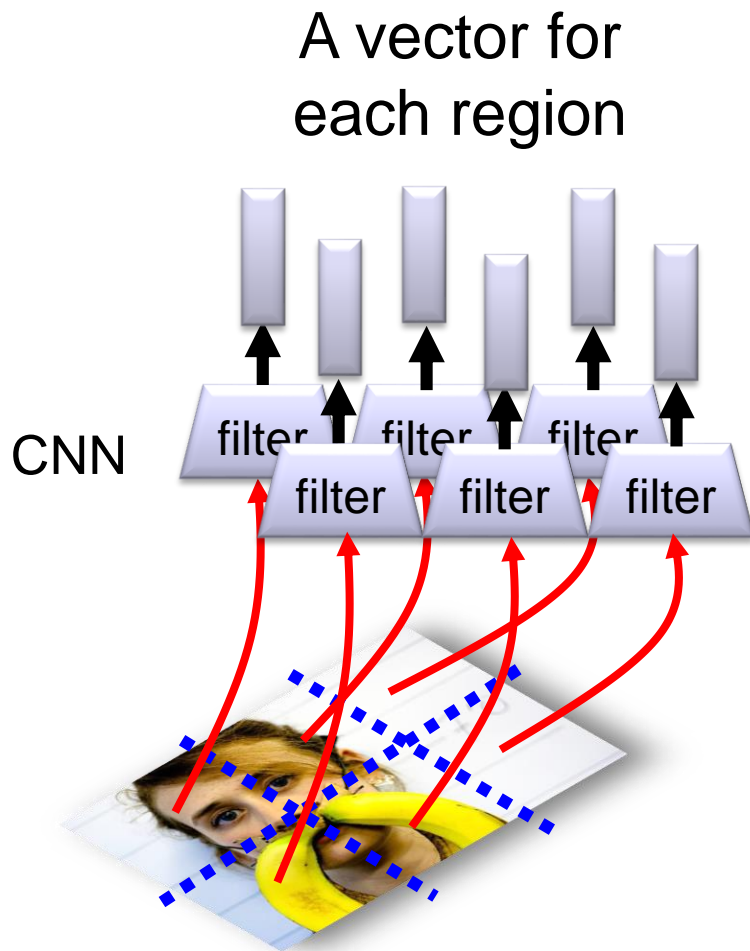
$z^0$  is initial parameter, it is also learned



# Image Caption Generation



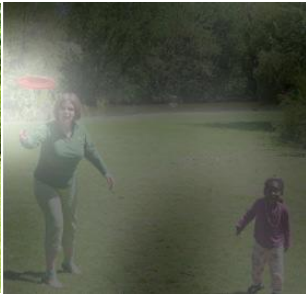
# Image Caption Generation



# Image Caption Generation



A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A stop sign is on a road with a mountain in the background.



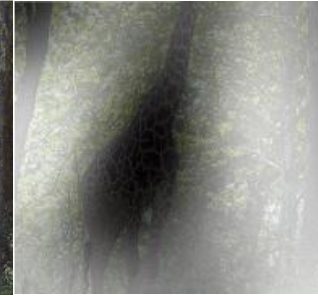
A little girl sitting on a bed with a teddy bear.



A group of people sitting on a boat in the water.



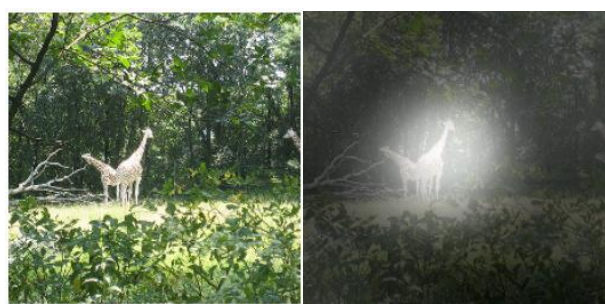
A giraffe standing in a forest with trees in the background.



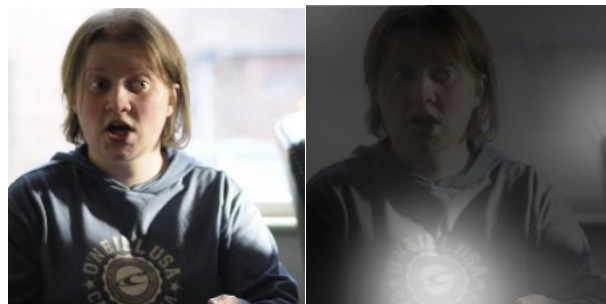
Kelvin Xu, Jimmy Ba, Ryan Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard Zemel, Yoshua Bengio, "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention", ICML, 2015



# Image Caption Generation



A large white bird standing in a forest.



A woman holding a clock in her hand.



A man wearing a hat and a hat on a skateboard.



A person is standing on a beach with a surfboard.



A woman is sitting at a table with a large pizza.



A man is talking on his cell phone while another man watches.

Kelvin Xu, Jimmy Ba, Ryan Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard Zemel, Yoshua Bengio, "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention", ICML, 2015



**Ref:** A man and a woman ride a motorcycle

A **man** and a **woman** are **talking** on the **road**



\* Possible project?

**Ref:** A woman is frying food

**Someone** is **frying** a **fish** in a **pot**