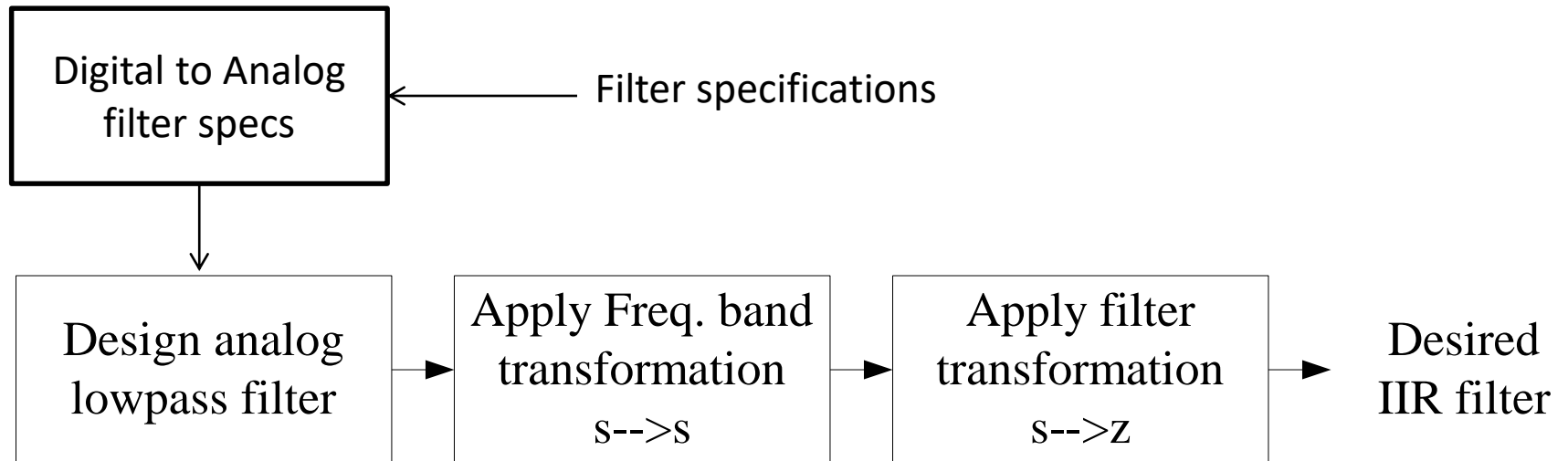


Analog IIR Filter Design

IIR Filter

- IIR filters are one of two primary types of digital filters used in Digital Signal Processing (DSP) applications (the other type being FIR). “IIR” means “Infinite Impulse Response.”
- The impulse response is “infinite” because there is feedback in the filter; if you put in an impulse (a single “1” sample followed by many “0” samples), an infinite number of non-zero values will come out (theoretically.)



Analog IIR Filter Types

- Butterworth Filters
- Chebyshev I Filters
- Chebyshev II Filters
- Elliptic Filters

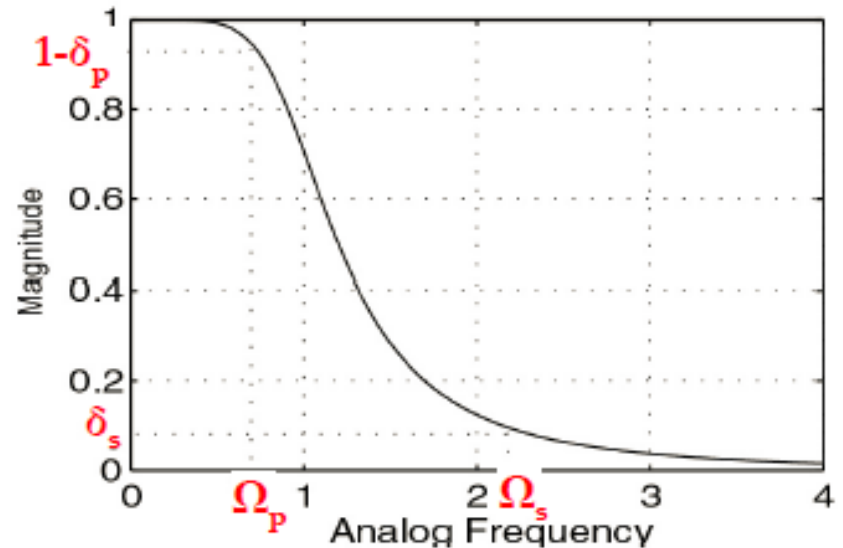
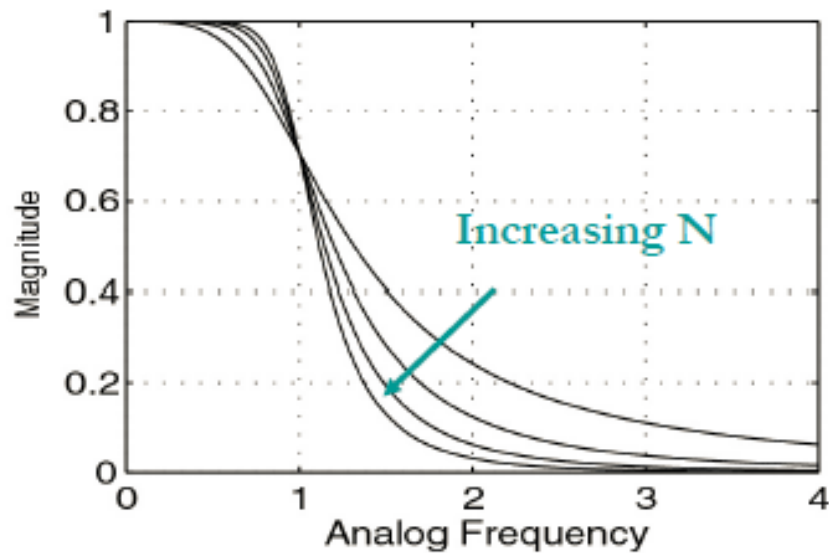
- Butterworth filters have a very smooth passband, relatively wide transmission region.
- Chebyshev-I filter is equiripple in the passband and monotonic in the stopband.
- Chebyshev-II filter is equiripple in the stopband and monotonic in the passband.
- Elliptic filter is equiripple in the both stopband and passband.

Butterworth Filters

- The magnitude-square response of an N^{th} order analog lowpass Butterworth filter:

$$|H(j\Omega)| = \frac{1}{\left[1 + \left(\frac{\Omega}{\Omega_c}\right)^{2N}\right]^{\frac{1}{2}}}$$

- Where N is the order of the filter.
- Ω_c is defined as the cutoff frequency.
- where the filter magnitude is $\frac{1}{\sqrt{2}}$ times the dc gain ($\Omega=0$).



- $|H(j\Omega)|=1$ for all N
- $|H(j\Omega)|=\frac{1}{\sqrt{2}}$ at $\Omega = \Omega_c$, implies $20\log|H(j\Omega_c)| = -3.01 \text{ dB}$.
- $|H(j\Omega)|=0$ as $\Omega \rightarrow \infty$
- The magnitude characteristic is said to be maximally flat because $\left. \frac{d^n |H(j\Omega)|}{d\Omega^n} \right|_{\Omega=0} = 0$ for $n = 1, 2, \dots, 2N - 1$
- $|H(j\Omega)|$ is a monotonically decreasing function of frequency $|H(j\Omega_2)| < |H(j\Omega_1)|$ for any values of Ω_1 and Ω_2 .

Normalized Butterworth Polynomial

- The magnitude-squared frequency response of the normalized ($\Omega_c=1$) low pass butterworth filter is

$$|H_N(j\Omega)|^2 = \frac{1}{1+\Omega^{2N}} \text{-----}(1)$$

$$H_N(j\Omega) H_N(-j\Omega) = \frac{1}{1+\Omega^{2N}} \text{-----}(2)$$

Replacing $j\Omega$ by s and hence Ω by $\frac{s}{j}$ in the above equation

$$H_N(s) H_N(-s) = \frac{1}{1+(\frac{s}{j})^{2N}} \text{-----}(3)$$

- The transfer function $H_N(s) H_N(-s)$ has no finite zeros.
- The poles of the product $H_N(s) H_N(-s)$ are determined by equating the denominator of equation (3) to zero.

$$1 + \left(\frac{s}{j}\right)^{2N} = 0 \Rightarrow s = (-1)^{\frac{1}{2N}} j \text{-----}(4)$$

- $-1 = e^{j\pi(2k+1)}, k = 0, 1, \dots, 2N - 1$

$$j = e^{j\frac{\pi}{2}},$$

Co $\pi(2k)$

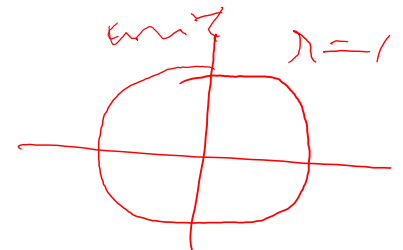
- The poles are given by

$$s_k = e^{j\pi\frac{(2k+1)}{2N}} e^{j\frac{\pi}{2}} \text{-----}(5)$$

- The poles are the product $H_N(s) H_N(-s)$ and it is distributed on the unit circle in the s-plane;

$$\theta_k = \frac{\pi}{N} k + \frac{\pi}{2N} + \frac{\pi}{2}$$

$$k = 0, 1, \dots, 2N - 1$$



For $N=1$

$$\theta_k = \frac{\pi}{2} k + \frac{\pi}{2N} + \frac{\pi}{2}$$

$$k=0, 1$$

$$k \quad \theta_k = \pi k + \pi$$

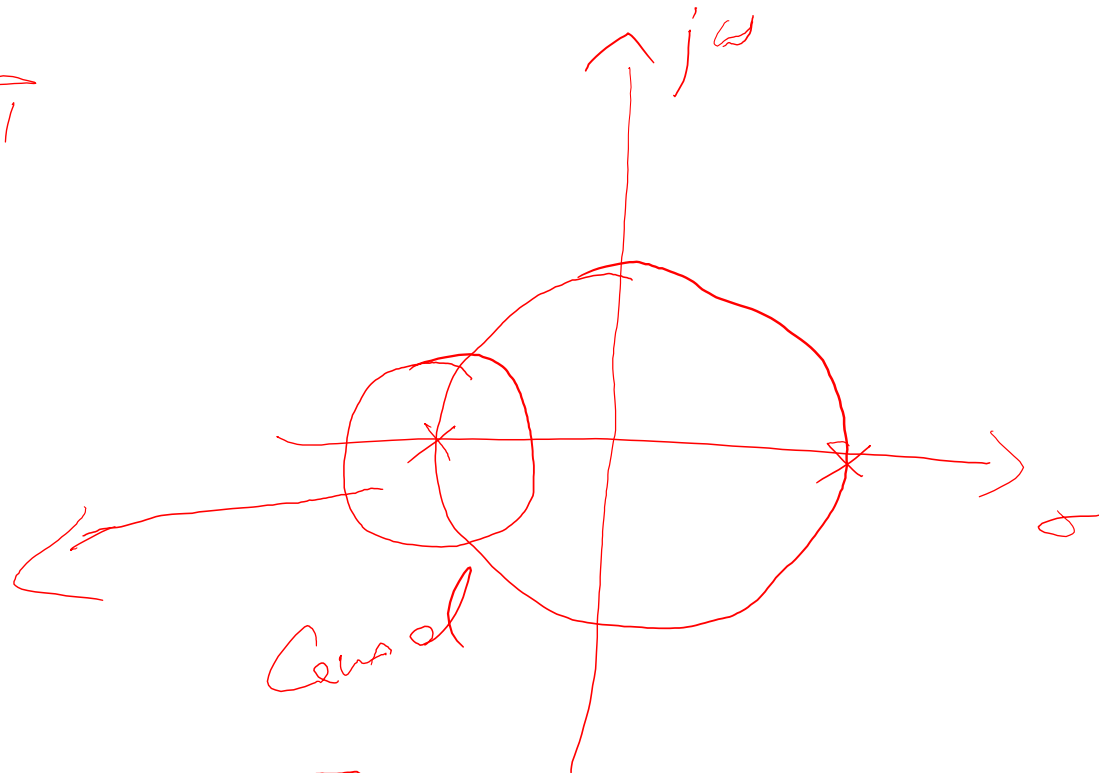
$$k=0 \quad \theta_k = \pi$$

$$k=1 \quad \theta_k = 2\pi$$

$$S = e^{j\pi}$$

$$S = -1$$

$$H(s) = \frac{1}{s+1}$$



For $N=2$

$$\theta_k = \frac{\pi}{N}k + \frac{\pi}{2N} + \pi/2$$

$$K=0, 1, 2, 3$$

$$\theta_0 = \frac{3\pi}{4}$$

$$\theta_1 = \frac{5\pi}{4}$$

$$\theta_2 = \frac{7\pi}{4}$$

$$\theta_3 = \frac{9\pi}{4}$$

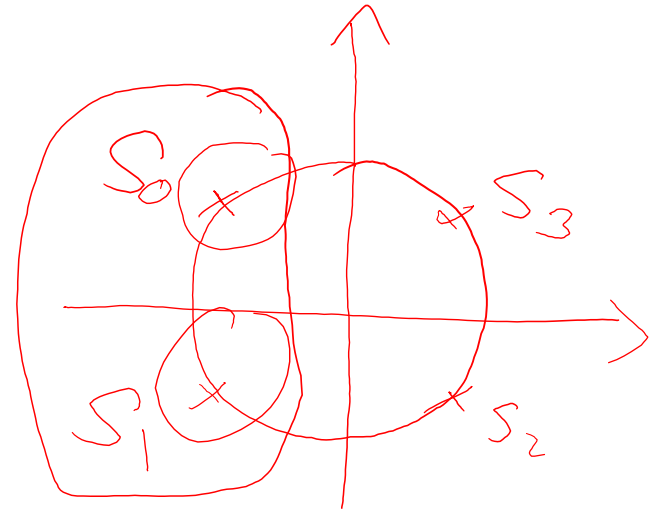
$$S_0 = \cos \frac{3\pi}{4} + j \sin \frac{3\pi}{4}$$

$$S_0 = -\frac{1}{\sqrt{2}} + j\frac{1}{\sqrt{2}}$$

$$S_1 = -\frac{1}{\sqrt{2}} - j\frac{1}{\sqrt{2}}$$

$$H(s) = \frac{1}{\prod_k (s - S_k)}$$

$$= \frac{1}{\left(s + \frac{1}{\sqrt{2}} - j\frac{1}{\sqrt{2}}\right) \left(s + \frac{1}{\sqrt{2}} + j\frac{1}{\sqrt{2}}\right)} = \frac{1}{s^2 + \sqrt{2}s + 1}$$



- Half ($H_N(s)$) on the left-half plane and ($H_N(-s)$) half on the right-half plane.

$$\text{Thus, } H_N(s) = \frac{1}{\prod_{LHP}(s-s_k)} = \frac{1}{B_N(s)} \dots\dots\dots(6)$$

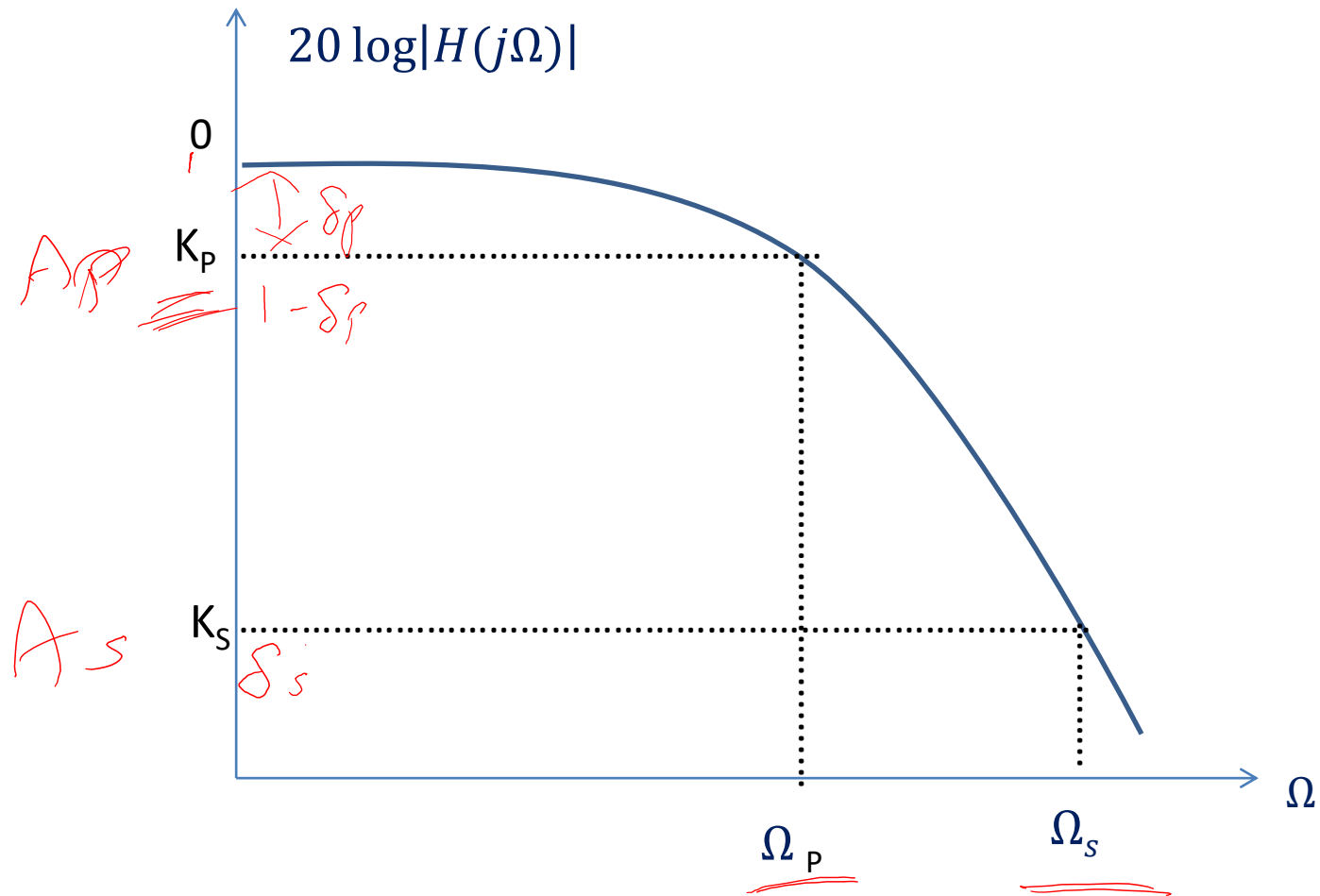
s_k are all the left-half poles

$B_N(s)$ is the Butterworth polynomial of order N.

$$H(s) = \frac{1}{B_N(s)}$$

Order N	<u>Butterworth</u> polynomial $B_N(s)$
1	$s + 1$
2	$s^2 + \sqrt{2}s + 1$
3	$(s^2 + s + 1)(s + 1)$
4	$(s^2 + 0.76536s + 1)(s^2 + 1.8477s + 1)$
5	$(s + 1)((s^2 + 0.6180s + 1)(s^2 + 1.6180s + 1))$

Design of Lowpass Butterworth filter



Determine Order N

- The design of a lowpass filter amounts to the determination of its transfer function. This necessitates the value of the filter order N and cutoff frequency Ω_c .
- The magnitude frequency response of a lowpass Butterworth filter is given by

$$|H(j\Omega)| = \frac{1}{\left[1 + \left(\frac{\Omega}{\Omega_c}\right)^{2N}\right]^{\frac{1}{2}}} \dots\dots\dots (7)$$

Taking 20 log on both the sides of equation (7)

$$\begin{aligned} 20\log|H(j\Omega)| &= A = -20\log \left[1 + \left(\frac{\Omega}{\Omega_c}\right)^{2N} \right]^{\frac{1}{2}} \\ &= -10\log \left[1 + \left(\frac{\Omega}{\Omega_c}\right)^{2N} \right] \dots\dots\dots (8) \end{aligned}$$

For Passband sub $\Omega=\Omega_P$, $A=A_P$ in eq. (8)

$$A_P = -10 \log \left[1 + \left(\frac{\Omega_P}{\Omega_c} \right)^{2N} \right] \dots\dots\dots (9)$$

$$\left(\frac{\Omega_P}{\Omega_c} \right)^{2N} = 10^{\frac{-A_P}{10}} - 1 \dots\dots\dots (10)$$

Similarly, for stopband $\Omega=\Omega_S$, $A=A_S$ in eq.(8)

$$A_S = -10 \log \left[1 + \left(\frac{\Omega_S}{\Omega_c} \right)^{2N} \right] \dots\dots\dots (11)$$

$$\left(\frac{\Omega_S}{\Omega_c} \right)^{2N} = 10^{\frac{-A_S}{10}} - 1 \dots\dots\dots (12)$$

- Divide equation (10) by equation (12), we get

$$\left(\frac{\Omega_P}{\Omega_S}\right)^{2N} = \frac{\left(10^{\frac{-A_P}{10}} - 1\right)}{\left(10^{\frac{-A_S}{10}} - 1\right)} \dots\dots\dots(13)$$

Take log of equation (13)

$$2N \log\left(\frac{\Omega_P}{\Omega_S}\right) = \log\left[\frac{\left(10^{\frac{-A_P}{10}} - 1\right)}{\left(10^{\frac{-A_S}{10}} - 1\right)}\right]$$

$$N = \frac{\log\left[\frac{\left(10^{\frac{-A_P}{10}} - 1\right)}{\left(10^{\frac{-A_S}{10}} - 1\right)}\right]}{2 \log\left(\frac{\Omega_P}{\Omega_S}\right)} \dots\dots\dots(14)$$

The filter order must be rounded up to the next larger integer value. Example: N=1.2 then order is 2

- To meet the passband requirement exactly the cutoff frequency is selected from equation (10).

$$\Omega_c = \frac{\Omega_P}{\left(10^{\frac{-A_P}{10}} - 1\right)^{\frac{1}{2N}}}$$

- To meet the stopband requirement exactly the cutoff frequency is selected from equation (12).

$$\Omega_c = \frac{\Omega_S}{\left(10^{\frac{-A_S}{10}} - 1\right)^{\frac{1}{2N}}}$$

- The third option is to take the cutoff frequency as the arithmetic mean of the two cutoff frequencies found above

$$A_p \leq 20 \log |H(j\Omega)| \leq 0 \quad \text{for all } \Omega \leq \Omega_p$$

$$20 \log |H(j\Omega)| \leq A_s \quad \text{for all } \Omega \geq \Omega_s$$

Pass band gain at $\Omega = \Omega_p$, $A_p = 20 \log(1 - \delta_p)$

Stop band gain at $\Omega = \Omega_s$, $A_s = 20 \log(\delta_s)$

$$0.8 \leq |H(\omega)| \leq 1 \quad 0 < \omega < 0.2\pi$$

$$|H(\omega)| \leq 0.2 \quad 0.32\pi < \omega < \pi$$

Find N

$$K_p = 20 \log(0.8) = -1.93$$

$$K_s = 20 \log(0.2) = -13.979$$

$$\omega_p = 0.2\pi, \quad \omega_s = 0.32\pi$$

$$N = \frac{\log_{10} \left[\left(10^{\frac{1.93}{10}} - 1 \right) / \left(10^{\frac{13.979}{10}} - 1 \right) \right]}{2 \log_{10} \frac{0.2\pi}{0.32\pi}}$$

$$= 3.986 \approx 4$$

Frequency Transformations

- If the specified filter is low pass then apply lowpass to lowpass transformation on the normalized low pass filter by replacing $s \rightarrow \frac{s}{\Omega_c}$
- If the specified filter is high pass then apply lowpass to highpass transformation on the normalized low pass filter by replacing $s \rightarrow \frac{\Omega_c}{s}$.

- If the specified filter is Bandpass then apply lowpass to Bandpass transformation on the normalized low pass filter by replacing

$$s \rightarrow \frac{s^2 + \Omega_u \Omega_l}{s(\Omega_u - \Omega_l)}.$$

- If the specified filter is Bandstop then apply lowpass to Bandstop transformation on the normalized low pass filter by replacing

$$s \rightarrow \frac{s(\Omega_u - \Omega_l)}{s^2 + \Omega_u \Omega_l}.$$

- If the given filter is high pass then the backward design equation to find the stopband edge frequency Ω_s is $\frac{\Omega_p}{\Omega_s}$.
- If the given filter is Bandpass then the backward design equation to find the stopband edge frequency $\Omega_s = \text{Min}\{|A|, |B|\}$. Where $A = \frac{-\Omega_1^2 + \Omega_l \Omega_u}{\Omega_1 (\Omega_u - \Omega_l)}$, $B = \frac{\Omega_2^2 - \Omega_l \Omega_u}{\Omega_2 (\Omega_u - \Omega_l)}$
- If the given filter is Bandstop then the backward design equation to find the stopband edge frequency $\Omega_s = \text{Min}\{|A|, |B|\}$. Where $A = \frac{\Omega_1 (\Omega_u - \Omega_l)}{-\Omega_1^2 + \Omega_l \Omega_u}$, $B = \frac{\Omega_2 (\Omega_u - \Omega_l)}{\Omega_2^2 - \Omega_l \Omega_u}$
- The normalized passband edge frequency Ω_p is always equal to 1 rad/sec irrespective of the given filter.

Problem 1

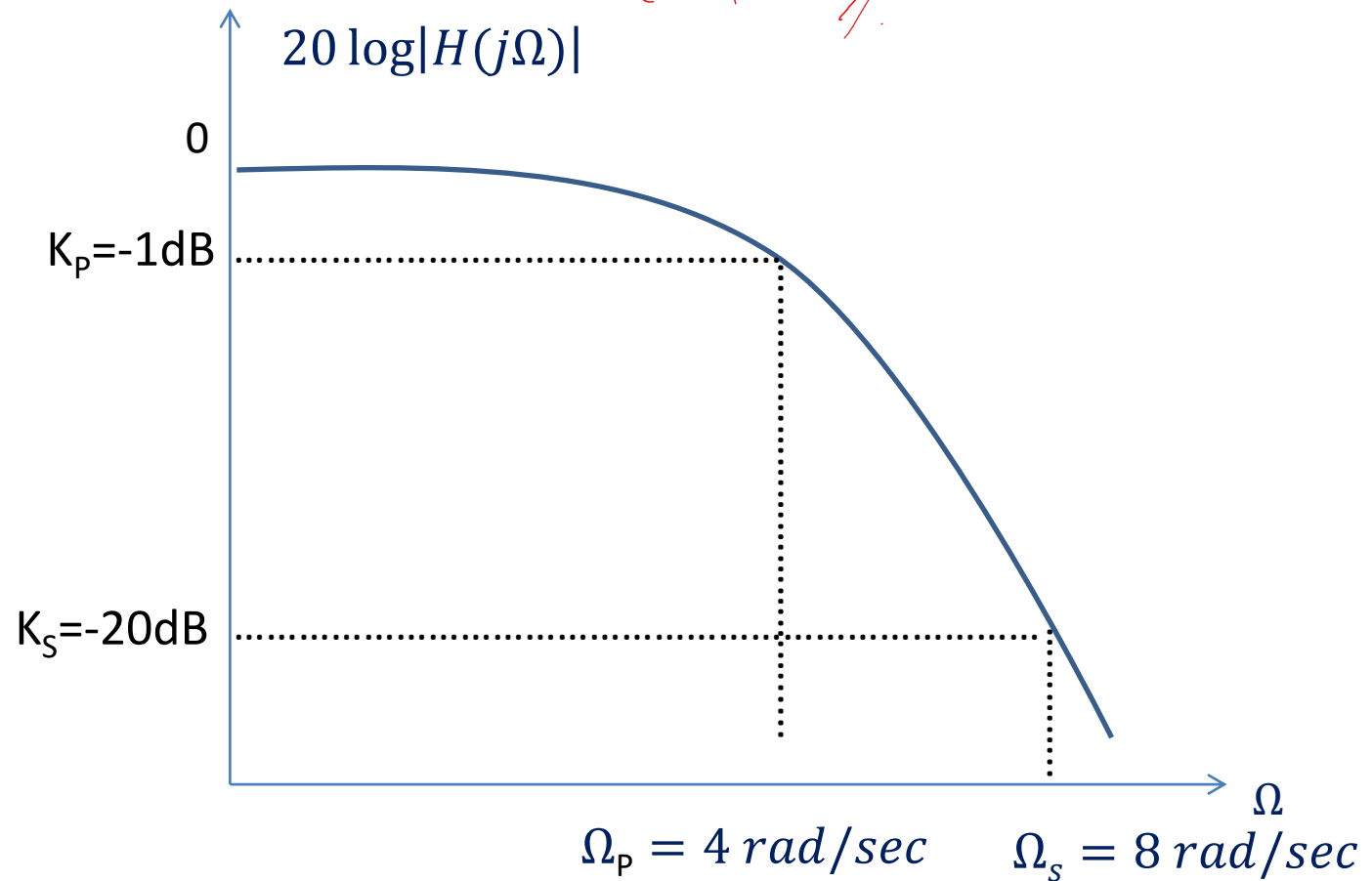
- A Butterworth lowpass filter has to meet the following specifications.

Passband gain, $K_P = -1\text{dB}$ at $\Omega_P = 4 \text{ rad/sec}$.

Stopband attenuation greater than or equal to 20dB at $\Omega_S = 8 \text{ rad/sec}$.

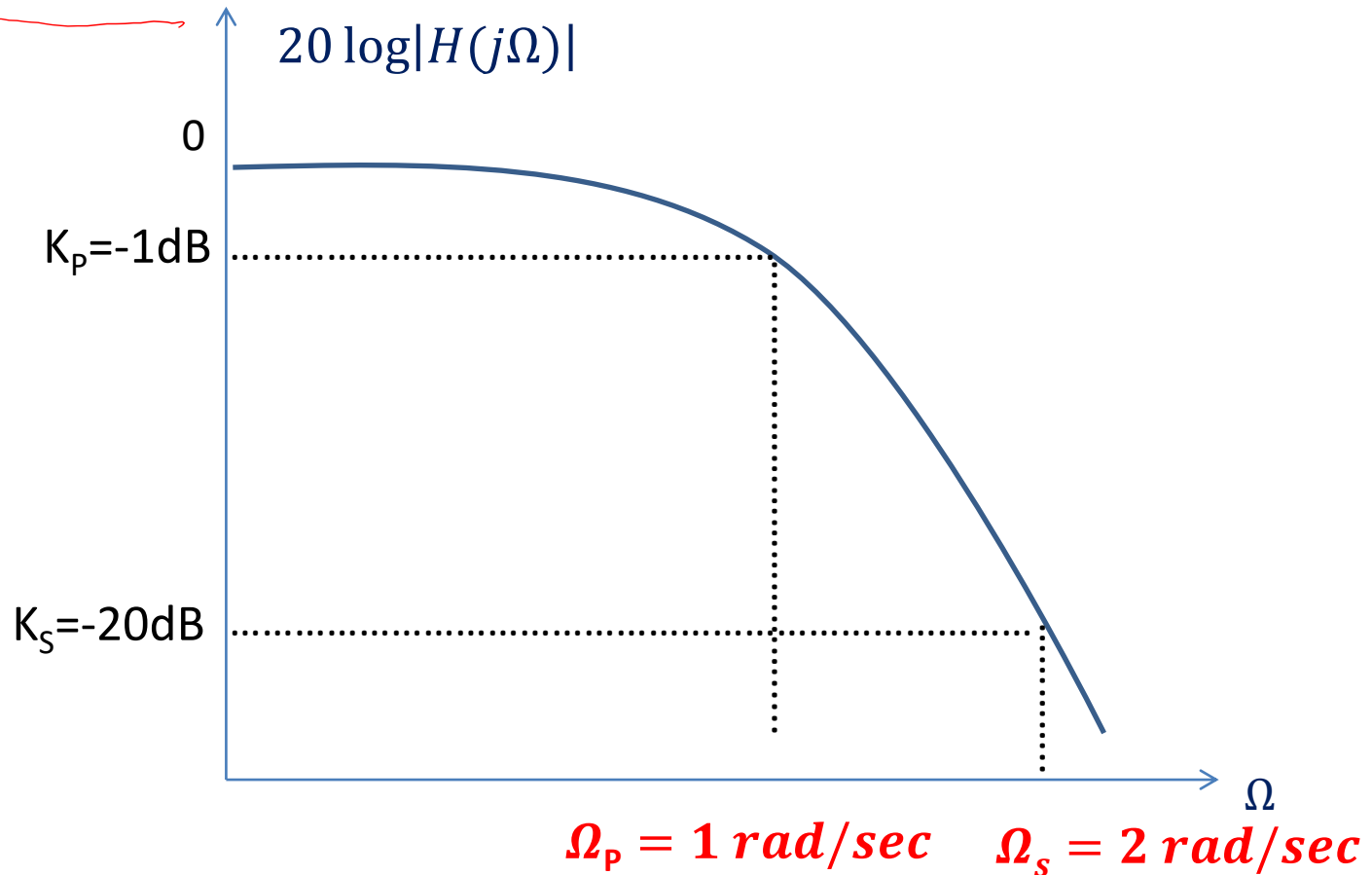
Determine the transfer function $H_a(s)$ of the lowest-order Butterworth filter to meet the above specification.

- Solution: Step 1 *Digital Filter Specs*



Specified magnitude frequency response of the lowpass Butterworth filter

- **Step 2:** Magnitude frequency response of the normalized lowpass filter



The pass band edge frequency Ω_p of the normalized low pass filter is 1 rad/sec
 Let us use the backward design equation to find the stopband edge frequency Ω_s of the normalized low pass filter $\Omega_s = \frac{\Omega_s}{\Omega_p} = \frac{8}{4} = 2 \text{ rad/sec}$.
 This backward equation is for Low pass to Lowpass transformation

Step 3: Find the order N of the filter using equation (14).
 Sub $K_P = -1$ dB, $K_S = -20$ dB, $\Omega_P = 1$ rad/sec, $\Omega_S = 2$ rad/sec.

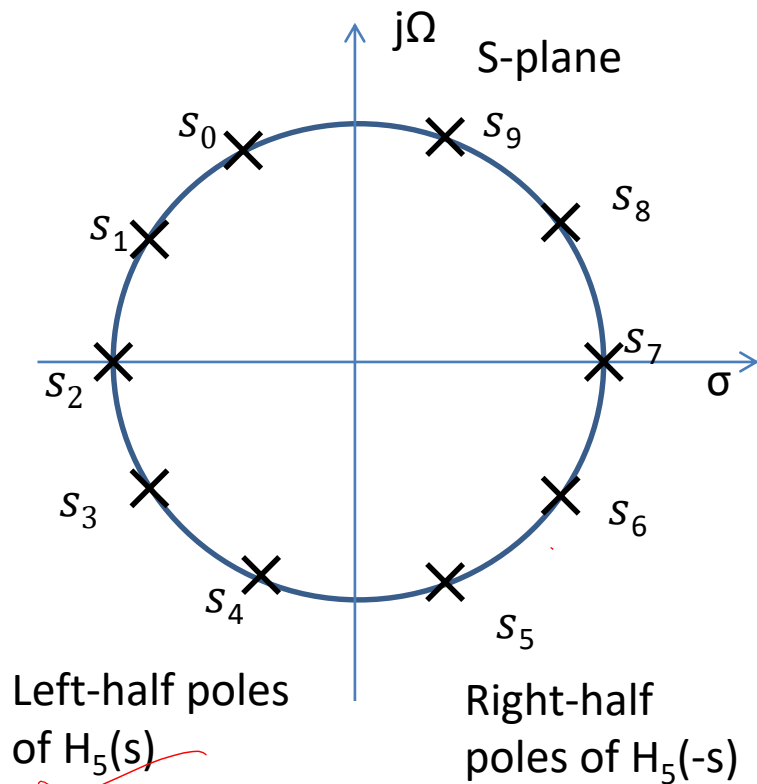
$$N = \frac{\log \left[\left(10^{\frac{-K_P}{10}} - 1 \right) / \left(10^{\frac{-K_S}{10}} - 1 \right) \right]}{2 \log \left(\frac{\Omega_P}{\Omega_S} \right)} = 4.289 = 5$$

Step 4: Now proceed to find the transfer function of the 5th order normalized lowpass filter. Find the poles of the 5th order normalized low pass filter using equation (5)

$$s_k = e^{j\pi \frac{(2k+1)}{2N}} e^{j\frac{\pi}{2}} \quad k = 0, 1, 2, \dots, 2N - 1$$

N=5, so, K = 0, 1, 2, 3, 4, 5, 6, 7, 8, 9

Total 10 poles from S_0 to S_9



stable

Poles	$\sigma + j\Omega$
s_0	$-0.309 + j0.951$
s_1	$-0.809 + j0.588$
s_2	-1
s_3	$-0.809 - j0.588$
s_4	$-0.309 - j0.951$
s_5	$0.309 - j0.951$
s_6	$0.809 - j0.588$
s_7	1
s_8	$0.809 + j0.588$
s_9	$0.309 + j0.951$

Step 5:

Hence, the transfer function of the 5th order normalized lowpass Butterworth filter is

$$H_N(s) = \frac{1}{\prod_{LHP}(s - s_k)}$$

- $$H_5(s) = \frac{1}{(s-s_0)(s-s_1)(s-s_2)(s-s_3)(s-s_4)}$$

$$= \frac{1}{(s + 0.309 - j0.951)(s + 0.809 - j0.588)(s + 1)(s + 0.809 + j0.588)(s + 0.309 + j0.951)}$$

$$H_5(s) = \frac{1}{(s + 1)(s^2 + 0.6180s + 1)(s^2 + 1.6180s + 1)}$$

STEP 6: Find the Cutoff frequency Ω_c to exactly meet the pass band requirement.

$$\Omega_c = \frac{\Omega_p}{\left(10^{\frac{-K_P}{10}} - 1\right)^{\frac{1}{2N}}} = 4.5787$$

$s \rightarrow \frac{s}{\Omega_c}$

Sub $\Omega_p = 4 \text{ rad/sec}$ $N = 5$ $K_p = -1\text{dB}$

- Step 7: The specified Lowpass filter is obtained by applying lowpass to lowpass transformation on the normalized low pass filter.
- $H_a(s) = H_5(s) \Big|_{s \rightarrow \frac{s}{\Omega_c=4.5787}}$
- $= \frac{2012.4}{s^5 + 14.82s^4 + 109.8s^3 + 502.6s^2 + 14222.3s + 2012.4}$

Step 8 $S \rightarrow Z$ domain BLT \rightarrow
 IIR \rightarrow

3) $H(s) = \frac{1}{s^2 + s + 1}$ LPF with $\omega_p = 1 \text{ rad/sec}$

Convert \Rightarrow LPF with $\omega_p = 10 \text{ rad/sec}$

\rightarrow HPF with $\omega_c = 1 \text{ rad/sec}$

\rightarrow HPF with $\omega_c = 10 \text{ rad/sec}$.