

## Module 4 Lattices

①

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### Partially ordered Relations

#### Partial ordering

A binary relation 'R' in a set 'P' is called a partial order relation or a partial ordering in P

iff (1) R is reflexive ( $\forall x \in P; xRx$ )

(2) R is antisymmetric ( $\forall x, y \in P, \text{ if } xRy \text{ \& } yRx \text{ then } x=y$ )

(3) R is transitive ( $\forall x, y, z \in P, \text{ if } xRy, yRz \text{ then } xRz$ ).

#### Notation or symbol

It is conventional to denote a partial ordering by the symbol  $\leq$ . (this is not "less than or equal to" as it is used for real numbers).

#### Partial ordered set or POSET

If  $\leq$  is a partial ordering on P, then the ordered pair  $(P, \leq)$  is called a partially ordered set or POSET.

#### Totally ordered set (or) ordered set (or) chain

Let  $\langle P, \leq \rangle$  be a poset. If for every  $x, y \in P$ , we have either  $x \leq y$  or  $y \leq x$  (i.e. any two elts of P are related by  $\leq$ ), then  $\leq$  is called a simple ordering or linear ordering on P, and  $\langle P, \leq \rangle$  is called a totally ordered set or chain.

### Remarks:-

- 1) It is not necessary to have  $x \leq y$  or  $y \leq x$  for every  $x, y$  in a poset  $P$ .
- 2) If  $x$  may not be related to  $y$ , in which case we say that  $x$  and  $y$  are incomparable.
- 3) If  $R$  is a partial ordering on  $P$ , then the converse  $\bar{R}$  (namely  $TR$ ) is also a partial ordering on  $P$ .
- 4) If  $R$  is denoted by  $\leq$ , then  $\bar{R}$  is denoted by  $\geq$ .
- 5) If  $\langle P, \leq \rangle$  is a poset then  $\langle P, \geq \rangle$  is also a poset.
- 6)  $\langle P, \geq \rangle$  is called a dual of  $\langle P, \leq \rangle$ .

2)  $\mathbb{N}, \mathbb{Z}$  are posets with the usual relation  $\leq$ .

3)  $(P(A), \subseteq)$   $\{1, 2\} \subseteq \{1, 3\}$  are not comparable.

### Examples of posets

1) Let  $\mathbb{R}$  be the set of all real numbers. Then the relation "less than or equal to" is a partial ordering on  $\mathbb{R}$ .

The converse is also a partial ordering on  $\mathbb{R}$  (greater than or equal to).

Thus  $\langle \mathbb{R}, \leq \rangle$  and  $\langle \mathbb{R}, \geq \rangle$  are posets.

Note:-  $\langle \mathbb{R}, < \rangle$  and  $\langle \mathbb{R}, > \rangle$  are not posets since

$< >$  is not reflexive.

1)  $\mathbb{N}$  of positive integers with less than equal ( $\leq$ ) is a linear order of  $\mathbb{N}$ .

### Partially ordered set: Representation and Associated Terminology

#### Cover (or) immediate predecessor

In a poset  $\langle P, \leq \rangle$ , an elt  $y \in P$  is said to cover an elt  $x \in P$ , if  $x \leq y$  and if there does not exist any elt  $z \in P$  s.t.  $x \leq z$  and  $z \leq y$ ; that is

$$y \text{ covers } x \Leftrightarrow \{ x \leq y \wedge (x \leq z \leq y \Leftrightarrow (x = z) \vee (z = y)) \}$$

where  $\leq$  is the irreflexive, antisymmetric & transitive.

## Hasse Diagram (or) Partially ordered set diagram

A partial ordering  $\leq$  on a set  $P$  can be represented by means of a diagram known as a "Hasse diagram" of  $\langle P, \leq \rangle$ .

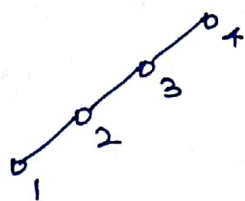
In such a diagram, each elt is represented by a small circle or a dot. The circle for  $x \in P$  is drawn below the circle for  $y \in P$ , if  $x < y$ , and a line drawn between  $x$  and  $y$  if  $y$  covers  $x$ . If  $x < y$  but  $y$  does not cover  $x$ , then  $x$  and  $y$  are not connected directly by a single line.

However they are connected through one or more elts of  $P$ . It is possible to obtain the set of ordered pairs in  $\leq$  from such a diagram.

### Examples of Hasse Diagram

① For a totally ordered set  $\langle P, \leq \rangle$ , the Hasse diagram consists of circles ~~one~~ below the other. (looks like a chain).

Let  $P = \{1, 2, 3, 4\}$  and  $\leq$  be the relation "less than or equal to" then the Hasse diagram is as shown below:



$$\left\{ \begin{array}{l} * \quad 1 < 2, \quad 2 \text{ covers } 1 \\ \quad 2 < 3, \quad 3 \text{ covers } 2 \\ \quad 3 < 4, \quad 4 \text{ covers } 3 \end{array} \right\}$$

Hasse Diagram.

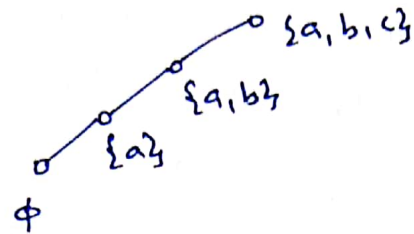
$$\begin{array}{l} * \quad \checkmark \quad 1 \leq 2 \quad 2 \notin P \\ \quad \quad x \quad y \quad \text{s.t. } \exists z \in P \\ \quad \quad \quad \quad \quad \quad \quad \quad z \leq 2 \\ * \quad \checkmark \quad 1 \leq 3 \quad 2 \notin P \\ \quad \quad x \quad y \quad \quad \quad \quad \quad \quad \quad \quad \exists z \in P \\ \quad \quad \quad \quad \quad \quad \quad \quad \text{s.t. } 1 \leq z \leq 2 \leq 3 \end{array}$$



② Let  $A = \{a, b, c\}$

Let  $P(A) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\} = A\}$

and the relation of inclusion  $\subseteq$  on  $P = \{\emptyset, \{a\}, \{a, b\}, \{a, b, c\}\}$ .  
Then the Hasse diagram is

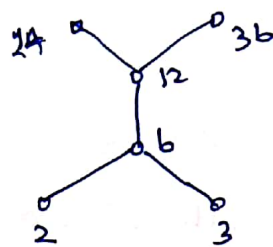


Hasse Diagram

- \*  $\emptyset \subseteq \{a\} \wedge \{a\} \text{ covers } \emptyset$
- \*  $\{a\} \subseteq \{a, b\} \wedge \{a, b\} \text{ covers } \{a\}$
- \*  $\{a, b\} \subseteq A \wedge A \text{ covers } \{a, b\}$

③ Let  $X = \{2, 3, 6, 12, 24, 36\}$  and the relation  $\leq$  be s.t  
 $x \leq y$ , if  $x$  divides  $y$  i.e.,  $x|y$ .

The Hasse diagram is



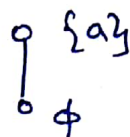
Hasse diagram of divides relation.

④ (i) Let  $A$  be a given finite set and  $P(A)$  its power set.

Let  $\leq$  be the inclusion relation on the elts of  $P(A)$ .

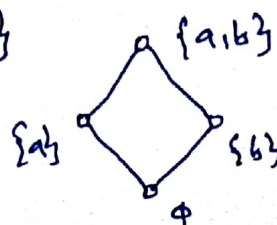
Hasse diagram of  $\langle P(A), \leq \rangle$  for  $A = \{a\}$ .

Here  $P(A) = \{\emptyset, \{a\}\}$  &  $\leq$  is  $\subseteq$ .



(ii) Let  $\langle P(A), \leq \rangle$  for  $A = \{a, b\}$

Here  $P(A) = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$

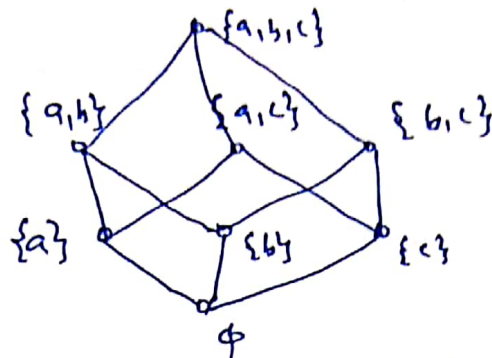


(iii)  $\langle P(A), \leq \rangle$  for  $A = \{a, b, c\}$

(3)

$$P(A) = \{ \emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\} \}$$

$\emptyset \leq \{a\} \leq \{a, b, c\}$



(iv) Similarly by with  $\langle P(A), \leq \rangle$  for  $A = \{a, b, c, d\}$ .

### Least member in Poset

Let  $\langle P, \leq \rangle$  be a poset. If there exists an elt  $y \in P$  s.t.  $y \leq x$  for all  $x \in P$ , then  $y$  is called the least member in 'P' relative to the partial ordering  $\leq$ .

### Greatest member in poset

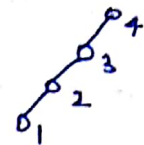
If there exists an elt  $y \in P$  s.t.  $x \leq y$  for all  $x \in P$ , then  $y$  is called the greatest member in P relative to the partial ordering  $\leq$ .

Note:- \* the least & greatest member is unique, if it exists.

Example 1 For the poset  $\langle P, \leq \rangle$ , where  $P = \{1, 2, 3, 4\}$  and the relation is "less than or equal to"

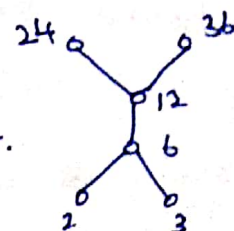
Here the least member is 1.

greatest " is 4.



2. Let  $X = \{2, 3, 6, 12, 24, 36\}$  and the relation " $\leq$ " is "divides". For the poset  $\langle X, \leq \rangle$

There is no least & greatest member.



## Upper Bound and Lower Bound of a Subset in Poset

Let  $\langle P, \leq \rangle$  be a poset and let  $A \subseteq P$ . Any elt  $x \in P$  is an upper bound for  $A$ , if for all  $a \in A$ ,  $a \leq x$ .

Similarly, any elt  $x \in P$  is an lower bound for  $A$ , if for all  $a \in A$ ,  $x \leq a$ .

Note:- upper and lower bounds of a subset of the poset are not necessarily unique.

Examples ① Let  $A = \{a, b, c\}$

Then  $\langle P(A), \subseteq \rangle$  is a poset.

Consider a subset of  $P(A)$ ,  $B = \{\{b\}, \{c\}, \{b, c\}\}$ .

Then  $\{b, c\}$  and  $A$  are upper bounds for  $B$  and  $\emptyset$  is the lower bound for  $B$ .

② Consider the poset  $\langle X, \leq \rangle$ , where  $X = \{2, 3, 6, 12, 24, 36\}$  and  $\leq$  is "divides". Let  $A = \{2, 3, 6\} \subseteq X$ .

Then  $6, 12, 24$  &  $36$  are upper bounds for  $A$  and there is no lower bound for  $A$ .

## Least Upper Bound (LUB) or Supremum

Let  $\langle P, \leq \rangle$  be a poset and let  $A \subseteq P$ . An elt  $x \in P$  is a least upper bound for  $A$ , if 'x' is an upper bound for  $A$  and  $x \leq y$ , where  $y$  is any upper bound for  $A$ .

## Greatest Lower Bound (GLB) or Infimum

Let  $\langle P, \leq \rangle$  be a poset and let  $A \subseteq P$ . An elt  $x \in P$  is a greatest lower bound for  $A$ , if 'x' is a lower bound for  $A$  and  $y \leq x$ , for all lower bounds  $y$  for  $A$ .

Example:- (i)  $\langle P, \leq \rangle$   $\xrightarrow{\text{"less than or equal to"}}$   $P = \{1, 2, 3, 4\}$

Every subset of  $P$  has a supremum and an infimum.  
(Since  $\langle P, \leq \rangle$  is a chain).



- ② For the poset  $\langle X, \leq \rangle$  where  $X = \{2, 3, 6, 12, 24, 36\}$  and  $\leq$  is "divides".
- The subset  $A = \{2, 3, 6\} \subseteq X$  has a supremum '6' and the infimum of  $A$  does not exist.
- The subset  $B = \{6, 12\} \subseteq X$  has the supremum 12 and the infimum '6'.

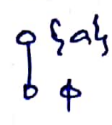
## Lattices as POSET

Definition A Lattice is a partially ordered set (Poset)  $\langle L, \leq \rangle$  in which every pair of elts  $a, b \in L$  has a Greatest Lower bound (GLB) and a least upperbound (LUB).

Notation:-

- \*  $\text{GLB}(\{a, b\})$  will be denoted by  $a * b$ , i.e., <sup>is called</sup> the meet or Product of  $a$  &  $b$ .
- \*  $\text{LUB}(\{a, b\})$  will be denoted by  $a \oplus b$ , i.e., called the join or sum of  $a$  and  $b$ .
- \* Sometimes  $\wedge$  and  $\vee$  (or)  $\cdot$  and  $+$  are also used to denote the meet or join of two elts.
- \* By the defn of Lattice  $(L, \leq)$  both  $*$  and  $\oplus$  are binary operations on  $L$  because of the uniqueness of LUB and GLB of any subset of a poset.

Examples ① Let  $S$  be any set and  $\mathcal{P}(S)$  be its power set. The poset  $\langle \mathcal{P}(S), \subseteq \rangle$  is a Lattice in which the meet and join are the same as the operations  $\cap$  and  $\cup$  respectively.

(i) If  $S = \{a\}$ ,  $\mathcal{P}(S) = \{\emptyset, \{a\}\}$   Hasse Diagram.

(ii)  $S = \{a, b\}$ ,  $\mathcal{P}(S) = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$ .

2. Let  $I_+$  be the set of all the integers and let  $D$  denotes the relation of "division" in  $I_+$  s.t for any  $a, b \in I_+$ ,  $a \mathrel{D} b \Leftrightarrow a$  divides  $b$ .

Then  $\langle I_+, D \rangle$  is a lattice in which the join of  $a$  and  $b$  is given by the least common multiple (LCM) of  $a$  and  $b$ ,  $a \oplus b = \text{LCM}(a, b)$  and the meet of  $a$  and  $b$  is the greatest common divisor (GCD) of  $a$  and  $b$ , that is  $a * b = \text{GCD}(a, b)$ .

③ Let 'n' is a the integer and  $S_n$  be the set of all divisors of  $n$ . For example,  $n=6$ ,  $S_6 = \{1, 2, 3, 6\}$

$$n=24, S_{24} = \{1, 2, 3, 4, 6, 8, 12, 24\}.$$

Let  $D$  denote the relation of "division".

$$\text{i.e., } a \mathrel{D} b \Leftrightarrow "a \text{ divides } b".$$

Then  $\langle S_6, D \rangle$ ,  $\langle S_{24}, D \rangle$ ,  $\langle S_8, D \rangle$  &  $\langle S_{30}, D \rangle$  are lattices.

### Some Properties of Lattices

Some of the properties of the two binary operations of meet ( $*$ ) and join ( $\oplus$ ) on a lattice  $(L, \leq)$  are follows.

For any,  $a, b, c \in L$  we have

\* Idempotent Property

$$(L1) \quad a * a = a$$

$$(L-1)' \quad a \oplus a = a$$

\* Commutative Property

$$(L2) \quad a * b = b * a$$

$$(L2)' \quad a \oplus b = b \oplus a$$

\* Associative Property

$$(L3) \quad (a * b) * c = a * (b * c)$$

$$(L3)' \quad (a \oplus b) \oplus c = a \oplus (b \oplus c)$$

\* Absorption Property

$$(L4) \quad a * (a \oplus b) = a$$

$$(L4)' \quad a \oplus (a * b) = a.$$



Theorem ①

Let  $\langle L, \leq \rangle$  be a lattice in which  $*$  and  $\oplus$  denote the operations of meet and join respectively.

$$\text{For any } a, b \in L, \underbrace{a \leq b}_{\textcircled{1}} \Leftrightarrow \underbrace{a * b = a}_{\textcircled{2}} \Leftrightarrow \underbrace{a \oplus b = b}_{\textcircled{3}}.$$

Proof:-  $\textcircled{1} \Leftrightarrow \textcircled{2} \Rightarrow$

Assume that  $a \leq b$ .

To prove  $a * b = a$

By the defn of  $\leq$ ,  $a \leq a$ .

$$a \leq b \text{ \& } a \leq a \Rightarrow a \leq \text{GLB}\{a, b\} = a * b$$

$$\Rightarrow a \leq a * b \text{ --- (I)}$$

By the defn of  $*$  (meet) we have

$$a * b = \text{GLB}\{a, b\} \leq a$$

$$\Rightarrow a * b \leq a \text{ --- (II)}$$

$$\text{From (I) \& (II)} \Rightarrow a = a * b$$

$$\textcircled{1} \Leftrightarrow \textcircled{2} \Leftarrow \text{Assume that } a * b = a \text{ To prove } a \leq b.$$

$$a * b = \text{GLB}\{a, b\} = a$$

By the defn of GLB, we have  $a \leq a$  \&  $a \leq b$ .

$$\Rightarrow a \leq b$$

$$\textcircled{2} \Leftrightarrow \textcircled{3} \Rightarrow \text{Assume that } a * b = a.$$

To prove  $a \oplus b = b$ .

$$b \oplus (a * b) = b \oplus a = a \oplus b \text{ --- (I) (By absorption)}$$

$$\text{Also } b \oplus (a * b) = b \text{ --- (II) (By Absorption)}$$

$$\text{From (I) \& II we have } b = a \oplus b.$$

$$\Leftarrow \text{Assume that } a \oplus b = b. \text{ To prove } a * b = a.$$

$$a * (a \oplus b) = a * b, \text{ \& } a * (a \oplus b) = a$$

(Absorption)                      (Absorption)

$$\therefore a * b = a$$

$$\therefore \text{ we have } a \leq b \Leftrightarrow a * b = a \Leftrightarrow a \oplus b = b.$$

## Theorem ② Isotonicity Properties in Lattices

Let  $\langle L, \leq \rangle$  be a lattice. For any  $a, b, c \in L$  the following properties called Isotonicity hold.

$$\text{i.e., } b \leq c \Rightarrow \begin{cases} a * b \leq a * c \\ a \oplus b \leq a \oplus c. \end{cases}$$

Proof:-

By theorem ①,  $b \leq c \Leftrightarrow b * c = b$

To prove  $a * b \leq a * c$ .

By thm ①, It is enough to show that

$$(a * b) * (a * c) = (a * b)$$

$$\begin{aligned} (a * b) * (a * c) &= a * (b * a) * c \\ &= a * (a * b) * c \\ &= (a * a) * (b * c) \\ &= a * (b * c) = a * b \end{aligned}$$

$$\text{Hence } a \oplus b \leq a \oplus c.$$

## Some implications in Lattice $\langle L, \leq \rangle$

$$(a \leq b) \wedge (a \leq c) \Rightarrow a \leq b \oplus c$$

$$(a \leq b) \wedge (a \leq c) \Rightarrow a \leq a * c$$

Duals of (i) & (ii) are

$$(a \geq b) \wedge (a \geq c) \Rightarrow a \geq b * c$$

$$(a \geq b) \wedge (a \geq c) \Rightarrow a \geq b \oplus c$$

## Theorem ③ Distributive Inequalities in Lattices

Let  $\langle L, \leq \rangle$  be a lattice. For any  $a, b, c \in L$ , the following inequalities, called the distributive inequalities hold:

$$a \oplus (b * c) \leq (a \oplus b) * (a \oplus c)$$

$$a * (b \oplus c) \geq (a * b) \oplus (a * c)$$

Proof:-

$$a, b, c \in L$$

$a \oplus b$  is the LUB of  $\{a, b\}$

$$\Rightarrow a \leq a \oplus b \text{ \& } a \leq a \oplus c$$

$$\Rightarrow a \leq (a \oplus b) * (a \oplus c)$$

$$\text{ie., } (a \oplus b) * (a \oplus c) \geq a \text{ --- (1)}$$

$$(b * c) \leq b \leq (a \oplus b)$$

$$(b * c) \leq c \leq (a \oplus c)$$

$$\Rightarrow (b * c) \leq (a \oplus b) * (a \oplus c)$$

$$\Rightarrow (a \oplus b) * (a \oplus c) \geq (b * c) \text{ --- (2)}$$

$$\Rightarrow (a \oplus b) * (a \oplus c) \geq a \oplus (b * c)$$

$$\Rightarrow a \oplus (b * c) \leq (a \oplus b) * (a \oplus c)$$

Hence proved.

#### Theorem : 4 Modular inequality

Let  $\langle L, \leq \rangle$  be a lattice. For any  $a, b, c \in L$  the following is hold

$$a \leq c \Leftrightarrow a \oplus (b * c) \leq (a \oplus b) * c$$

Proof:- Assume  $a \leq c$  and to prove  $a \oplus (b * c) \leq (a \oplus b) * c$ .

( $\Rightarrow$ ) Since  $a \leq c \Leftrightarrow a \oplus c = c$  (by thm 1),

we get the required result by substituting  $c$  for  $(a \oplus c)$  in the first distributive inequality [by thm (3)]

$$a \oplus (b * c) \leq (a \oplus b) * (a \oplus c) = (a \oplus b) * c$$

$$\Rightarrow a \oplus (b * c) \leq (a \oplus b) * c.$$

( $\Leftarrow$ ) Assume  $a \oplus (b * c) \leq (a \oplus b) * c$ . To prove  $a \leq c$ .

By defn of LUB,  $a \leq a \oplus (b * c)$

$$\leq (a \oplus b) * c \text{ by assumption}$$

$$\leq c \text{ by defn of LUB.}$$

$$\Rightarrow a \leq c$$

Hence proved.