



# Engineering Physics

## (PHY1701)

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# Scanning Tunneling Microscopy (STM)

## ❖ History:

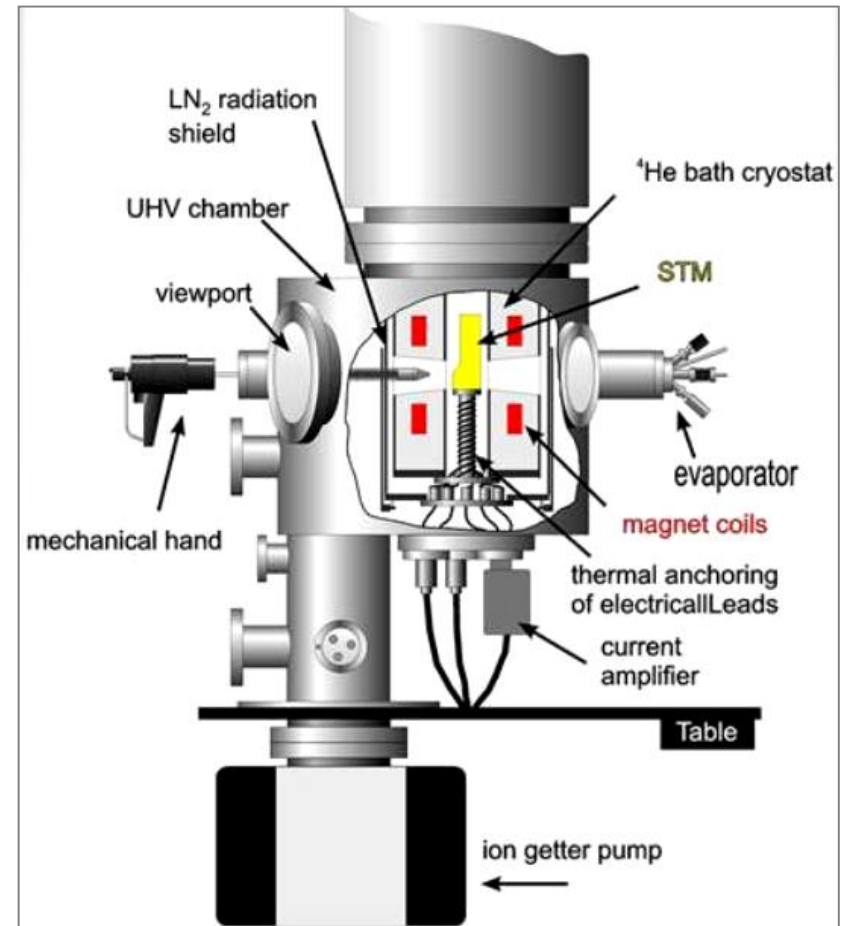
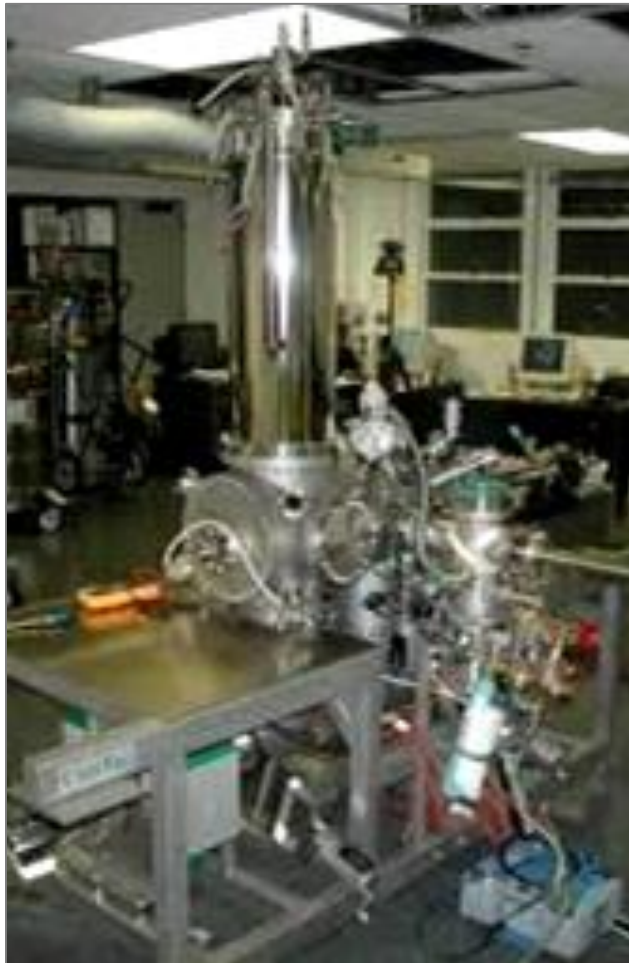
- A scanning tunneling microscope (STM) is an instrument for **imaging surfaces at the atomic level**.
- STM -Invented by **Binnig** and **Rohrer** at IBM in 1981 (*Nobel Prize in Physics in 1986*).



- ✓ Traditional microscopy or imaging techniques employ lenses to focus light, this results in diffraction.
- ✓ Due to diffraction effect, it is not possible to get a resolution better than half-wavelength of the radiation used.
- ✓ STM is an instrument which does not use radiations to image the objects and study of molecule of few Angstrom size with high resolution became possible.
- ✓ It is An electron microscope that uses a single atom tip to attain atomic resolution.
- ✓ It gives the **topographic** (*real space*) and **spectroscopic** (*electronic structure, density of states*) images.

# Scanning Tunneling Microscopy (STM)

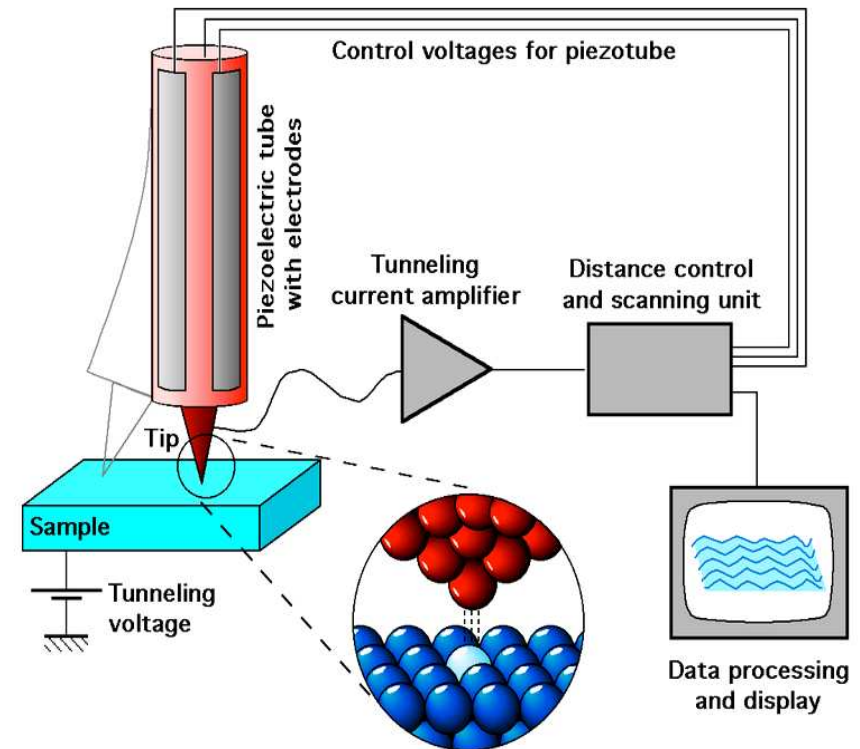
## Instrumentation



# Scanning Tunneling Microscopy (STM)

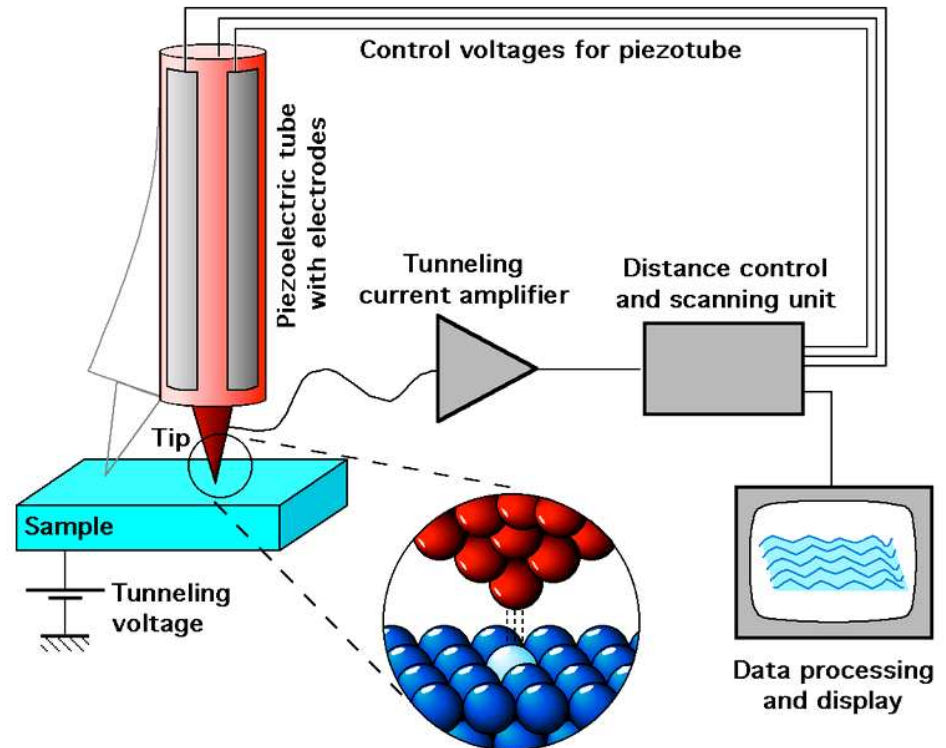
## Working Principle

- ✓ Scanning Tunneling Microscope works on the basis of **tunneling effect**.
- ✓ **Tunneling effect:** It is a phenomenon where a **particle tunnels through a barrier** that it classically could not support.
- ✓ The electrons can tunnel from tip to the sample (or vice versa) through a nano gap maintained between them.
- ✓ The tunneling current is measured and converted to surface profile of the sample using image processing technique.
- ✓ As shown in the magnified image of the tip, the sharpness is up to a single atomic dimension so that the resolution tunneling current signal and the images are also up to atomic dimensions.



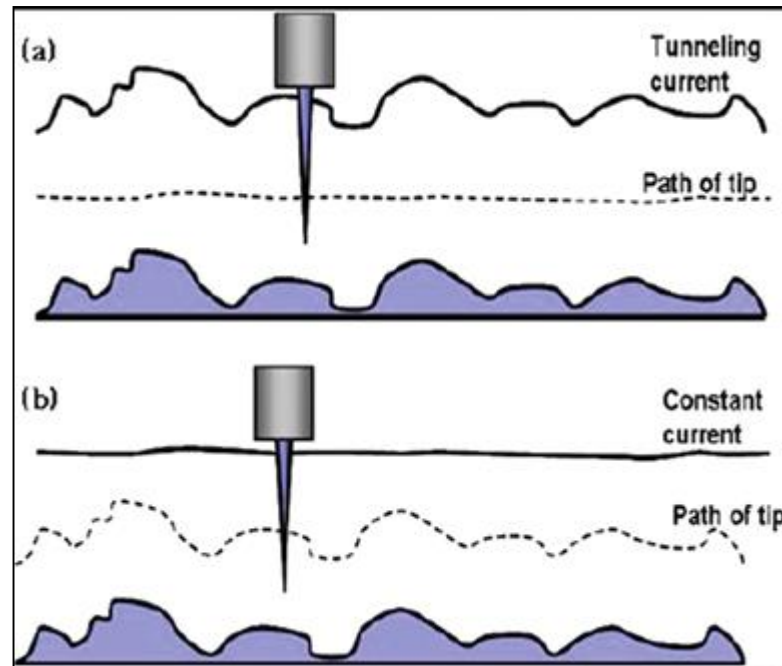
# Scanning Tunneling Microscopy (STM)

- ✓ Tip is connected to a electrically controlled piezo-electric tube, which moves along all the three axes to adjust the position of the tip while scanning over the sample.
- ✓ The sample is supplied with a bias voltage (usually in the range of 5 mV) to maintain the direction of the tunneling current.
- ✓ As the tunneling current will be in the range of micro amperes, it is amplified by tunneling current amplifier.



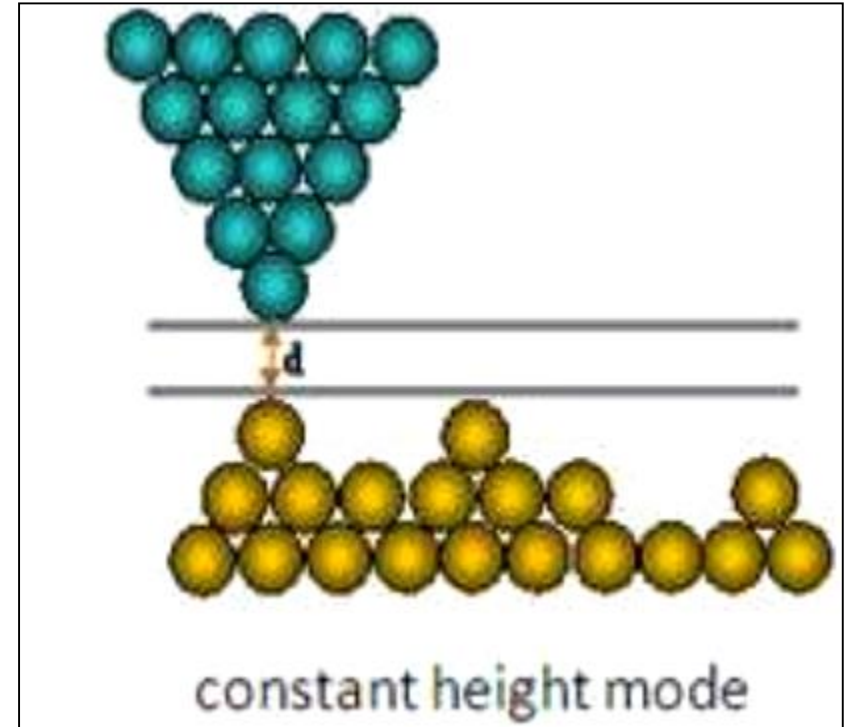
- ✓ STM operates in two different modes;
  1. Constant height mode and
  2. Constant current mode.

These are explained as shown in the schematic diagram below:



## 1. Constant height mode:

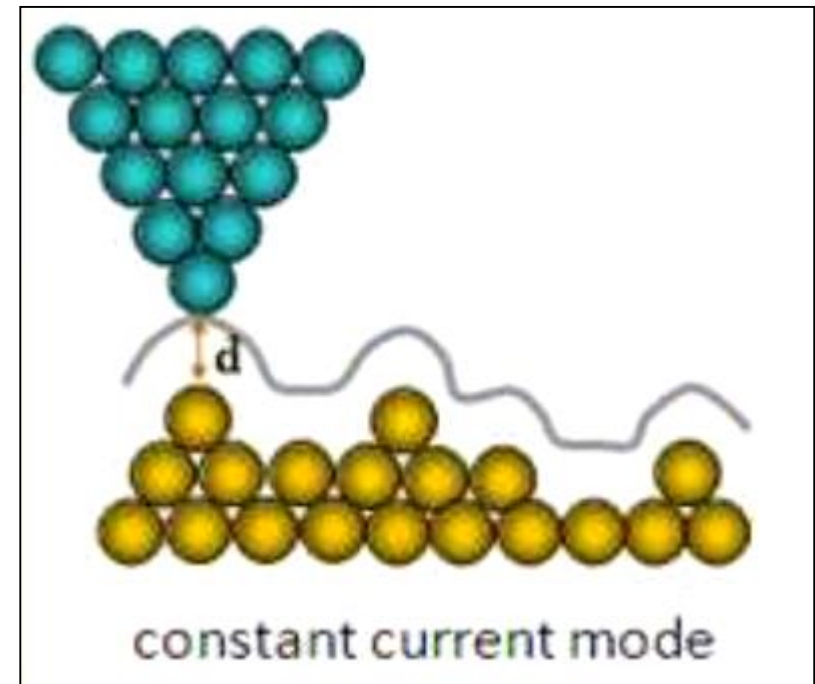
- ✓ The voltage and height are constant, while the current changes to keep the voltage from changing this leads to an image made of **current changes** over the surface, which can be related to **charge density**.
- ✓ In constant height mode scanner will move the tip in **plane**.
- ✓ The benefit to using constant height mode is faster as the piezoelectric movements require more **time to register the height change in constant current mode**.
- ✓ Generally less preferred due to the risk of damaging the tip.





## 2. Constant current mode:

- ✓ Feedback electronics adjust the **height** by a voltage to the **piezoelectric height control mechanism**.
- ✓ This leads to a height variation and thus the image comes from the tip topography across the sample and gives a **constant charge density surface**, this means contrast on the image is due to variation in charge density.
- ✓ It is a **time consuming methods** compared to the constant height mode as the **feed back control has to adjust the current constant** according height as the tip moves along the specimen surface.





## Wide Applications:

- ✓ Physics, semiconductor physics and microelectronics
- ✓ Chemistry, surface reaction catalysis
- ✓ Biology, in the study of DNA molecules
- ✓ Nanoscale chemistry labs, synthetic chemical compounds



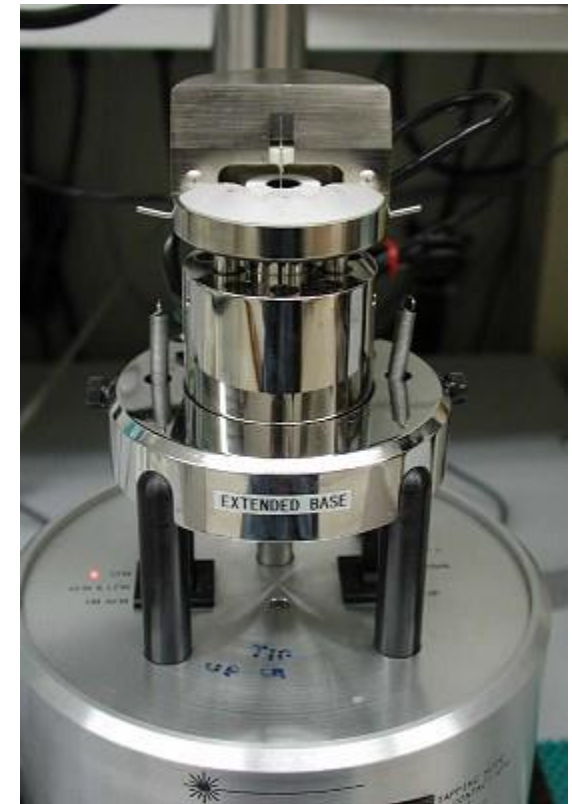
# Scanning Tunneling Microscopy (STM)

## Advantages:

- ✓ Conceptually simple but complexities in use
- ✓ Can even move atoms
- ✓ Can be used in variety of temperatures
- ✓ Perform in different environments(air, water etc.)

## Disadvantages:

- ✓ It is very expensive
- ✓ It need specific training to operate effectively
- ✓ Mainly used to analyze conducting materials
- ✓ The best results from STM can be obtained only in vacuum conditions, hence it may not be the best tool to inspect and analyse biological samples



# Problems

- 1) The average kinetic energy of neutrons, atoms and molecules is also expressed in terms of temperature through the equipartition law  $E = 3/2 kT$ . Write down the de-Broglie formula for such particles whose energy corresponds to temperature  $T$ . Hence determine the wavelength of thermal neutron; Rest mass of the neutron is  $1.67 \times 10^{-27}$  kg. ( $T=300$  K say)

$$E = \frac{p^2}{2m_0} = \frac{3}{2} kT \quad \Rightarrow \quad p = \sqrt{3m_0 kT}$$

According to de-Broglie,

$$\lambda = \frac{h}{mv} = \frac{h}{p} = \frac{h}{\sqrt{3m_0 kT}} = \frac{6.6 \times 10^{-34}}{\sqrt{3 \times 1.67 \times 10^{-27} \times 1.38 \times 10^{-23} \times 300}}$$

Non-relativistic formula for K.E is used, as it is valid for 'T' not very high

$$\lambda = 1.46 \times 10^{-10} m$$

# Problems

- 2) A beam of mono-energetic neutrons corresponding to  $27^{\circ}\text{C}$  is allowed to fall on a crystal. A first order reflection is observed at a glancing angle  $30$ . Calculate the interplanar spacing of the crystal.

According to Bragg's law

$$2d \sin \theta = n\lambda$$

$$2d \sin 30^{\circ} = 1 \times \lambda \Rightarrow d = \lambda$$

The energy of neutron

$$E = kT = 1.38 \times 10^{-23} \times 300 = 4.14 \times 10^{-21} \text{ J}$$

$$\text{Now, } p = \sqrt{2m_n E} = \sqrt{2 \times 1.67 \times 10^{-27} \times 4.14 \times 10^{-21}}$$

$$\begin{aligned} \therefore d = \lambda &= \frac{h}{p} = \frac{6.62 \times 10^{-34}}{\sqrt{2 \times 1.67 \times 10^{-27} \times 4.14 \times 10^{-21}}} \\ &= 1.78 \times 10^{-10} \text{ m} \end{aligned}$$

# Problems

3) 10 kV electrons are passed through a thin film of a metal for which the atomic spacing is  $5.5 \times 10^{-11} \text{m}$ . What is the angle of deviation of the first order diffraction maximum?

Wavelength of the electron

$$\lambda = \frac{h}{\sqrt{2.m.eV}} = \frac{6.6 \times 10^{-34}}{\sqrt{2 \times 9.11 \times 10^{-31} \times 10^4 \times 1.602 \times 10^{-19}}}$$
$$= 1.227 \times 10^{-11} \text{m}$$

Applying Bragg's formula for diffraction at the atomic planes,

$$n\lambda = 2d \sin \theta \Rightarrow 1 \times 1.227 \times 10^{-11} = 2 \times 5.5 \times 10^{-11} \sin \theta$$
$$\sin \theta = 0.1115$$
$$\therefore \theta = 6^\circ 24'$$

Angle through which electron is deviated  $= 2\theta = 12^\circ 48'$

4) At what scattering angle will incident 100 keV X-rays leave a target with an energy of 90 keV.

$$\left[ \frac{1}{E'} - \frac{1}{E} \right] = \frac{1}{m_0 c^2} (1 - \cos \theta)$$

$$m_0 c^2 \left[ \frac{1}{E'} - \frac{1}{E} \right] = (1 - \cos \theta)$$

We get,

$$\cos \theta = 0.4428$$

$$\therefore \theta = 64^\circ$$

# Problems

- 5) In an experiment of Compton scattering, the incident radiation has wavelength  $2\text{\AA}$ . Calculate the energy of recoil electron which scatters radiation through  $60^\circ$ .

Change in wavelength in Compton scattering

$$\lambda' - \lambda = \frac{h}{m_0 c} (1 - \cos \theta)$$

$$\lambda' = 2 \times 10^{-10} \text{ m} + 2.426 \times 10^{-12} \text{ m} (1 - \cos 60^\circ)$$

$$\lambda' = 2.012 \times 10^{-10} \text{ m}$$

Hence the energy of recoil electron which scatters radiation through  $60^\circ$ , is given by

$$E = h\nu - h\nu' = hc \left[ \frac{1}{\lambda} - \frac{1}{\lambda'} \right]$$

$$E = 37 \text{ eV}$$