

## Internally Generated Cell Assembly Sequences in the Rat Hippocampus

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Several observations have refined the navigation theory. Hippocampal neurons can predict where the animal is coming from, or its destination (14–17); the sequential activity of place cells during locomotion is replicated within single cycles of the theta oscillation (8 to 12 Hz) (18–20); furthermore, the temporal recruitment of active neurons in the population bursts of rest and sleep also reflects, again on a faster time scale, their sequential activity as place cells during locomotion (21–23). Thus, the sequential activation of hippocampal neurons can be disengaged from external landmarks (24, 25). However, internally generated assembly sequences operat-

ing at the time scale of behavior have not yet been reported.

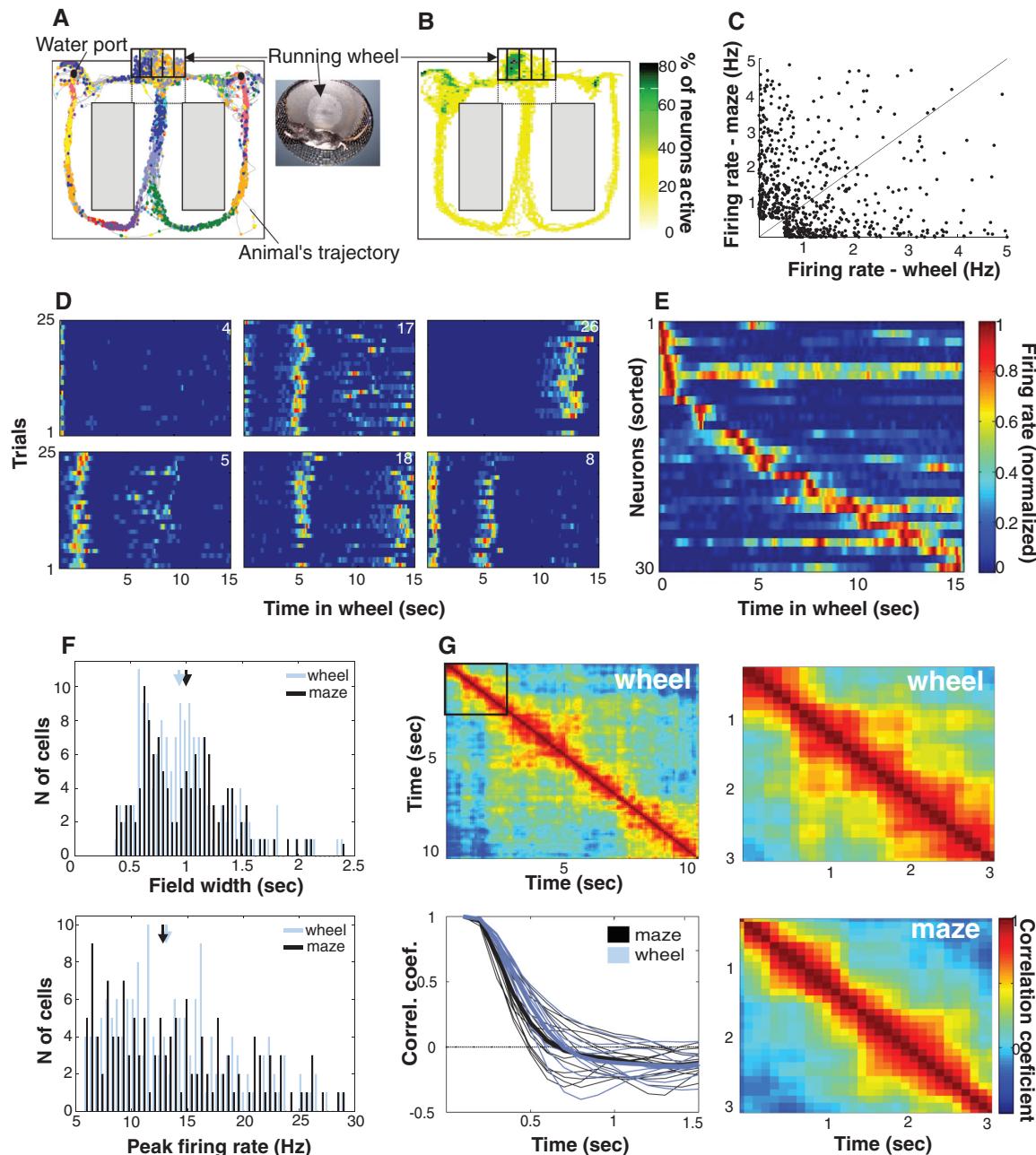
The frameworks of environment-controlled versus internally generated assembly sequences give rise to distinct predictions. Imagine that a rat is frozen in position during its travel (and yet the theta oscillation is maintained). According to the navigation theory, a subset of landmark-controlled place cells should then display sustained activity, and other neurons would remain suppressed (2–6). In contrast, if assembly sequences were generated by internal mechanisms, neurons might rather display continually changing activity. We tested these predictions by examining the activity

of hippocampal neurons while a rat was running in a wheel at a relatively constant speed (26, 27) during the delay of a hippocampus-dependent alternation memory task.

#### Internally generated cell-assembly sequences.

Rats were trained to alternate between the left and right arms of a figure-eight maze [Fig. 1A and supporting online material (SOM) text]. During the delay period between maze runs (10 s for rat 1; 20 s each for rats 2 and 3), the animals were trained to run steadily in the same direction in a wheel (Fig. 1A). To confront the predictions of the navigation theory with those of the internal sequence-generation hypothesis, we compared

**Fig. 1.** Episode fields in the wheel and place fields in the maze are similar. (A) Color-coded spikes (dots) of simultaneously recorded hippocampal CA1 pyramidal neurons. The rat was required to run in the wheel facing to the left during the delay between the runs in the maze. (B) Percent of neurons firing >0.2 Hz within each pixel. The highest percentage of neurons was active when rats were running in the wheel. (C) Relationship between firing rate of neurons active in rats running the wheel and the maze ( $r_s = -0.3$ ,  $P < 0.0001$ , 681 neurons, three rats, 17 sessions). (D) Normalized firing rate of six simultaneously recorded neurons during wheel running (each line shows the color-coded activity on single trials turning to the left arm). The episode fields occurred at specific segments of the run. (E) Normalized firing rate of 30 simultaneously recorded neurons during wheel running, ordered by the latency of their peak firing rate. (F) Width (top) and peak firing rate (bottom) of episode and place fields (wheel,  $n = 135$  neurons; maze,  $n = 162$  neurons). Arrows indicate medians. (G) Population vector cross-correlation matrix (SOM text). The width of the diagonal stripe indicates the rate at which neuronal assemblies transition. (Lower left) The decay of the population vector correlation during wheel running and maze traversal. Thin lines, individual sessions; thick lines, group means.



the firing patterns of CA1 hippocampal neurons in rats running the wheel and the maze.

We analyzed the activity of ~500 pyramidal cells recorded in the wheel and ~600 neurons in the maze (mean firing rate >0.5 Hz) (Fig. 1A). Pyramidal neurons were transiently active in rats running both the maze [place cells (*I*)] and the wheel. Although the position and direction of the rat's head were stationary during wheel running (fig. S1), the percentage of neurons active in the pixels occupied by the head during wheel running was three to four times greater than in any area of comparable size in the maze (Wilcoxon rank sum test,  $P < 0.0001$ ) (Fig. 1B). Thus, if pyramidal neurons were solely activated by environmental cues (2–6), this finding would reflect several-fold-stronger neuronal representation of the animal's position within the wheel. Many individual pyramidal cells were active both in rats running the wheel and rats running the maze, but the sequential order of their activation in rats in the wheel was unrelated to that of rats in the maze, and their firing rates in these two areas were inversely correlated [Spearman correlation coefficient ( $r_s$ ) = −0.3,  $P < 0.0001$ ,  $n = 681$  neurons (Figs. 1C and 4B); contrast this with the population of interneurons,  $r_s = 0.85$ ,  $P < 0.0001$ ,  $n = 125$  interneurons (fig. S2)]. The average proportion of pyramidal neurons simultaneously

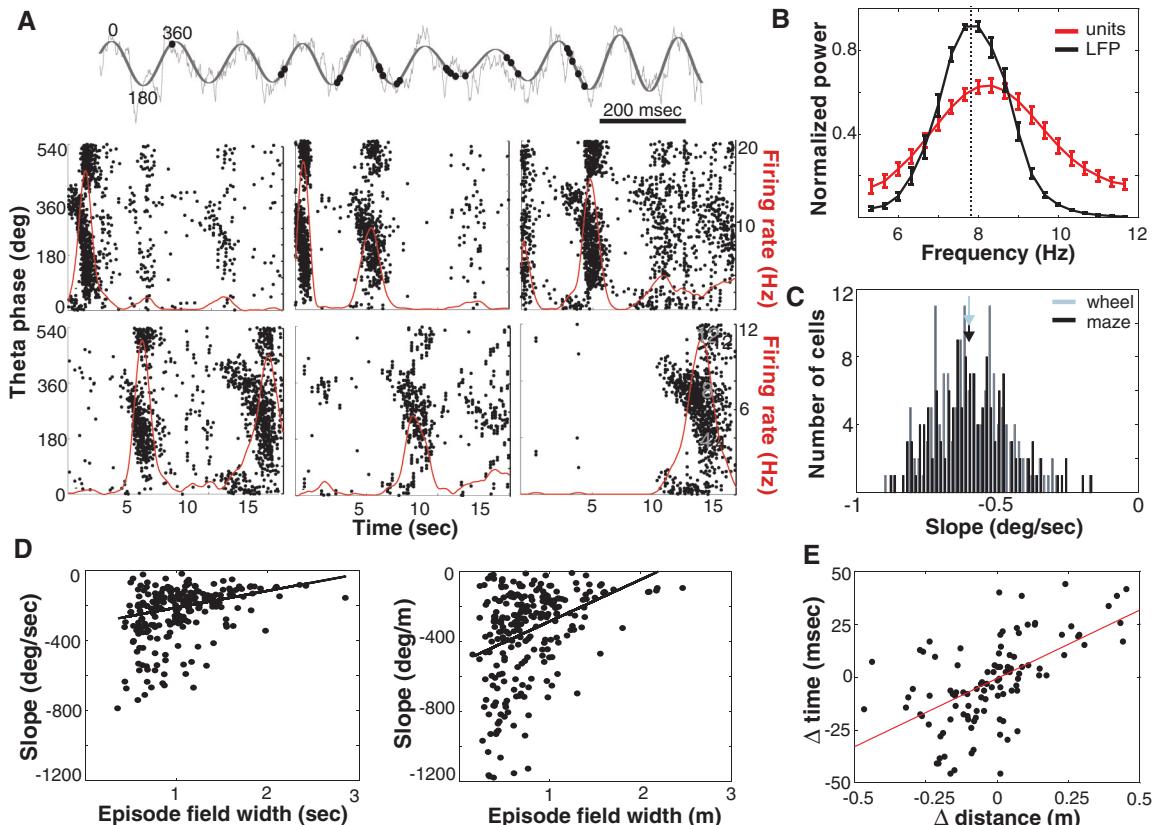
active [firing at least a single spike in 100-ms windows (averaging over 100-ms windows)] was similar in the wheel ( $10.75 \pm 3.97\%$ ) and the maze ( $12.56 \pm 4.32\%$ ) (fig. S3).

Pyramidal neurons typically fired transiently, and reliably in successive trials, at specific times of wheel running (episode fields), and most cells had multiple peaks of varying sizes (Fig. 1D). Typically, and reminiscent of a synfire chain (*II*), at least one episode cell was active at every moment of a wheel run (Fig. 1E).

Were episode cells in rats in the wheel generated by the same mechanism as place cells in rats in the maze? We looked for evidence of differing mechanisms by comparing several measures of the firing of episode and place cells. First, we calculated the duration of activity (field width) (Fig. 1F) of single cells [including only fields with a peak firing rate of  $\geq 6.0$  Hz and  $\geq 4.5$  SD above the mean firing rate (SOM text)]. The temporal and spatial extent of the field was determined as those times and positions at which firing rates were at least 10% of the peak firing rate (in the wheel or maze) (19, 28). By these criteria, 32% of the neurons recorded in the wheel and 22% in the maze had at least one field. Neither the distribution of field widths (medians were 0.94 and 1.0 s, respectively; Wilcoxon test,  $P = 0.44$ ) nor peak firing rates (medians were

13.08 and 12.8 Hz, respectively;  $P = 0.61$ ) differed significantly between the episode and place fields (Fig. 1F). Second, to measure the average lifetime of assembly activity for a population, we determined the maximal time lag at which the autocorrelation of the population's activity was above 0.5 (29) and again found no significant difference, with respect to the median, between the populations of episode and place cells (medians were 0.83 and 0.75 s, respectively;  $P = 0.32$ ) (Fig. 1G). Third, we compared the relationship between spikes and the local field potential in episode and place cells. On linear tracks, sequentially generated spikes of a place cell gradually shift to earlier and earlier phases of the theta oscillation as the rat passes through the place field (phase precession), and there is a systematic relationship between the phase of spikes and the animal's position (3, 18–20, 28, 30, 31). The navigation theory predicts that the phase of spikes will remain fixed if environmental inputs do not change (3, 26, 27). In contrast, episode cells displayed phase precession during wheel running (Fig. 2A). Similarly to place cells, the theta frequency oscillation of episode cells was higher than that of the field theta rhythm (Fig. 2B), and the slope of the phase precession was inversely related to the length of the episode field (Fig. 2, A and D) (3, 19, 20, 28, 30, 31).

**Fig. 2.** Episode neurons in the wheel display theta phase precession and temporal compression. (A) (Top) Unfiltered (light gray) and filtered (4 to 10 Hz) (dark gray) traces of LFP and phase advancement of action potentials (dots). (Bottom) Activity of six example neurons from the same session. Each dot is an action potential, displayed as a function of theta phase and time from the beginning of wheel running from all trials. One and a half theta cycles are shown (y axis). Red line, smoothed firing rate. (B) Power spectra of spike trains generated during wheel running ( $n = 283$  pyramidal neurons) and the simultaneously recorded LFP. Faster oscillation of neurons occurs relative to LFP. (C) Slope of theta phase precession within episode fields in the wheel and within place fields in the maze. (D) Relationship between phase precession slope and episode length (left,  $r_s = 0.46$ ,  $P < 0.0001$ ) and episode field width (right,  $r_s = 0.52$ ,  $P < 0.0001$ ), respectively. (E) Temporal compression of spikes sequences. Correlation of the distance



between the peaks of episode fields of neuron pairs in the wheel with the temporal offset of the pair's cross-correlogram peaks is shown. Each dot represents a neuron pair ( $n = 105$  eligible pairs; three rats;  $r_s = 0.59$ ;  $P < 0.0001$ ).

Furthermore, the slopes correlated more strongly with the length of the episode field ( $r_s = 0.52, P < 0.0001$ ) than with the time it took the rat to run through the same field ( $r_s = 0.46, P < 0.0001$ ) (Figs. 2D and 3) because of the variability of the rat's running speed (28). The distributions of phase precession slopes for the episode and place fields were also similar (medians were  $-0.6^\circ/\text{s}$  and  $-0.6^\circ/\text{s}$ , respectively;  $P = 0.6$ ) (Fig. 2C). Finally, we compared the spike timing relationships among neurons. During maze traversals, the distance between the place-field peaks of a neuronal pair was correlated with the temporal offset between its spikes within the theta cycle, a phenomenon known as distance-time compres-

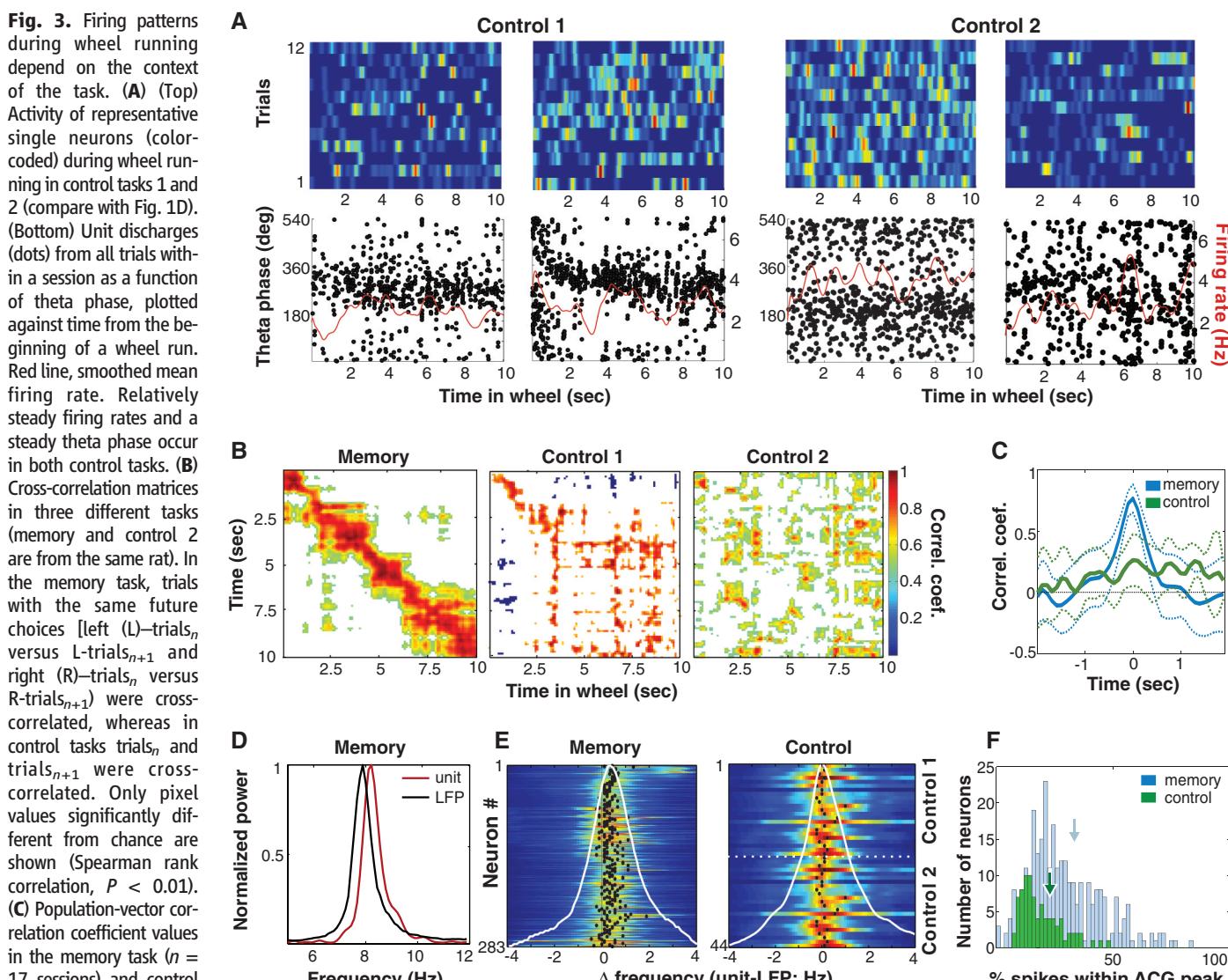
sion (SOM text) (18, 19). Analogously, the distance between peaks of the episode fields of neuron pairs (episode fields with peak firing rate  $>5 \text{ Hz}$  and  $>3 \text{ SD}$  above the mean firing rate were included in this analysis;  $n = 105$  pairs) was correlated with the temporal offsets between the spikes at the theta time scale ( $r_s = 0.59, P < 0.0001$ ) (Fig. 2E). These findings indicate that the mechanisms generating place and episode fields are similar.

**Body cues are not sufficient to generate assembly sequences.** It has been suggested that in addition to generating a cognitive map of the environment (2), the hippocampus and its associated structures integrate self-motion-induced

information (7, 32, 33). Were the episode cell sequences generated by idiothetic self-motion cues? We examined population firing patterns in two control (nonmemory) tasks. In the first task (control 1), the animals (rats 3 and 4) were required to run in the wheel for a water reward available in an adjacent box (26). In the second task (control 2), the animals (rats 2 and 3) had continuous access to a wheel adjacent to their home cage, and recordings were made during spontaneous wheel-running episodes. Transient firing patterns, consistent across trials, were rarely observed during the control tasks. Rather, the majority of active neurons exhibited relatively sustained firing throughout the wheel-running

**Fig. 3.** Firing patterns during wheel running depend on the context of the task. (A) (Top) Activity of representative single neurons (color-coded) during wheel running in control tasks 1 and 2 (compare with Fig. 1D). (Bottom) Unit discharges (dots) from all trials within a session as a function of theta phase, plotted against time from the beginning of a wheel run. Red line, smoothed mean firing rate. Relatively steady firing rates and a steady theta phase occur in both control tasks. (B) Cross-correlation matrices in three different tasks (memory and control 2 are from the same rat). In the memory task, trials with the same future choices [left (L)-trials<sub>n</sub> versus L-trials<sub>n+1</sub> and right (R)-trials<sub>n</sub> versus R-trials<sub>n+1</sub>] were cross-correlated, whereas in control tasks trials<sub>n</sub> and trials<sub>n+1</sub> were cross-correlated. Only pixel values significantly different from chance are shown (Spearman rank correlation,  $P < 0.01$ ). (C) Population-vector correlation coefficient values in the memory task ( $n = 17$  sessions) and control tasks ( $n = 8$  sessions)

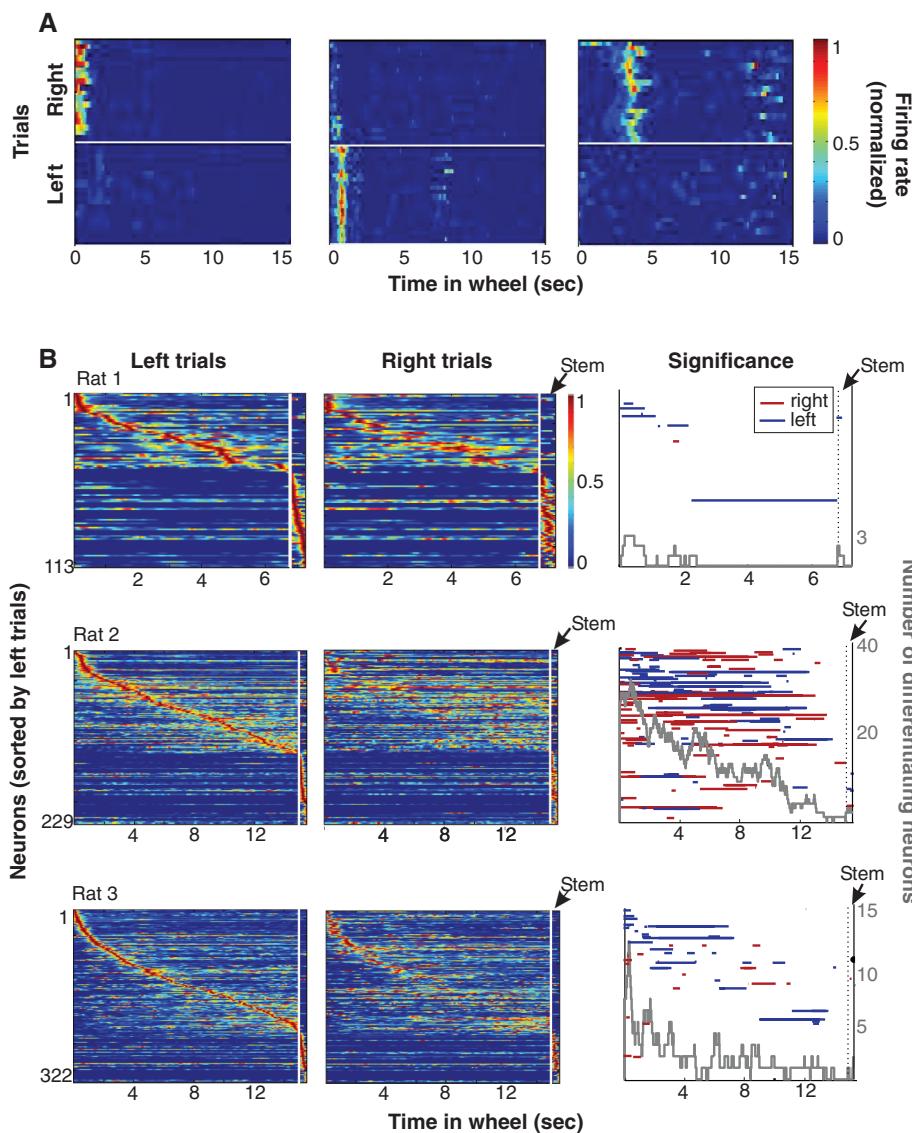
(mean  $\pm$  SD). (D) Power spectrum of spike trains of an episode neuron (unit) and simultaneously recorded LFP during wheel running in the memory task (30). The frequency of unit firing oscillation is higher than the frequency of LFP. (E) Difference between unit and LFP oscillation frequency in the memory (left) and control (right) tasks. Each line is a color-coded normalized cross-correlogram between power spectra of a pyramidal neuron and simultaneously recorded LFP. A shift of the maximal correlation values to the right indicates that unit theta oscillation is faster than LFP theta oscillation



(black dots, maxima of the cross-correlograms; white line, sum of all neurons). There is a significant frequency shift in the memory task ( $0.44 \pm 0.6 \text{ Hz}$ ) and a lack of frequency shift in control tasks (combined control 1 and 2,  $0.07 \pm 0.3 \text{ Hz}$ ). (F) Ratio of spikes in the center and tail of temporal autocorrelograms (SOM text). High values indicate spikes scattered throughout the time of wheel running (memory task,  $n = 287$  neurons; control tasks,  $n = 85$  neurons; rank sum test,  $P < 0.0001$ ). Arrows indicate medians.

periods (Fig. 3A and fig. S4) (5, 26–27). During runs of opposite direction in the wheel, different populations of neurons were active (fig. S5) (26), arguing for the importance of distant cues (2, 20) and against a critical role of idiothetic inputs (26). In addition, the temporal organization of cell assemblies in control tasks was less precise, as reflected by much weaker correlations between temporally adjacent populations during the control tasks than during the memory task (Fig. 3, B and C), despite the similarity in firing rates during all tasks (fig. S6). As another contrast to the memory task, neurons recorded during the control tasks fired throughout the trial, with spikes locked to a similar phase of the theta cycle (Fig. 3A). Consistent with these observations, neurons in the rats performing the memory task oscillated faster than the local field potential (LFP) [difference ( $\Delta$ ) =  $0.44 \pm 0.6$  Hz] (Figs. 2B and 3, D and E), an indication of phase precession (19, 20, 29, 30), whereas during the control tasks, the power spectra of the units and LFP were similar ( $\Delta = 0.07 \pm 0.3$  Hz) (Fig. 3E). Finally, to quantify differences in temporal clustering of spikes, we examined an autocorrelogram of each neuron. We applied (after filtering, 0.2 to 2 Hz) the same definition for the peak region boundaries that we used for the episode field detection boundary of the episode field (the 10% boundary) and then compared, for each neuron, the ratio of the number of spikes that fell within the peak region boundary to those that fell outside. These ratios were significantly larger during the memory task and reflected the temporal compactness of firing during the memory task as opposed to the control tasks (Fig. 3F). Thus, the indicators of temporally precise sequential activity in neuronal populations were absent during the control tasks, despite indistinguishable motor characteristics across all tasks.

**Assembly sequences depend on memory load.** What is the behavioral function of internally generated cell-assembly sequences? Temporally inactivating neuronal circuits in the dorsal hippocampus, we found that performance in the delayed alternation task depends on the integrity of the hippocampus (fig. S7) (17). Thus, we hypothesized that information about choice behavior is reflected in assembly sequences (34). All correctly performed trials were sorted according to the rat's future choice of arm (left or right), and choice-specific firing effects were identified by comparing the firing patterns of single neurons with those of surrogate spike trains created by shuffling the left and right labels (Fig. 4, A and B, and SOM text) (34). Some neurons were active exclusively before either the left or right choice, whereas others showed differential firing rates and/or fired at different times after the beginning of wheel running (Fig. 4A, figs. S8 to S10, and movie S1). The largest proportion of neurons exhibiting choice-predictive activity was at the beginning of the run; this proportion decreased as a function of time during the delay and

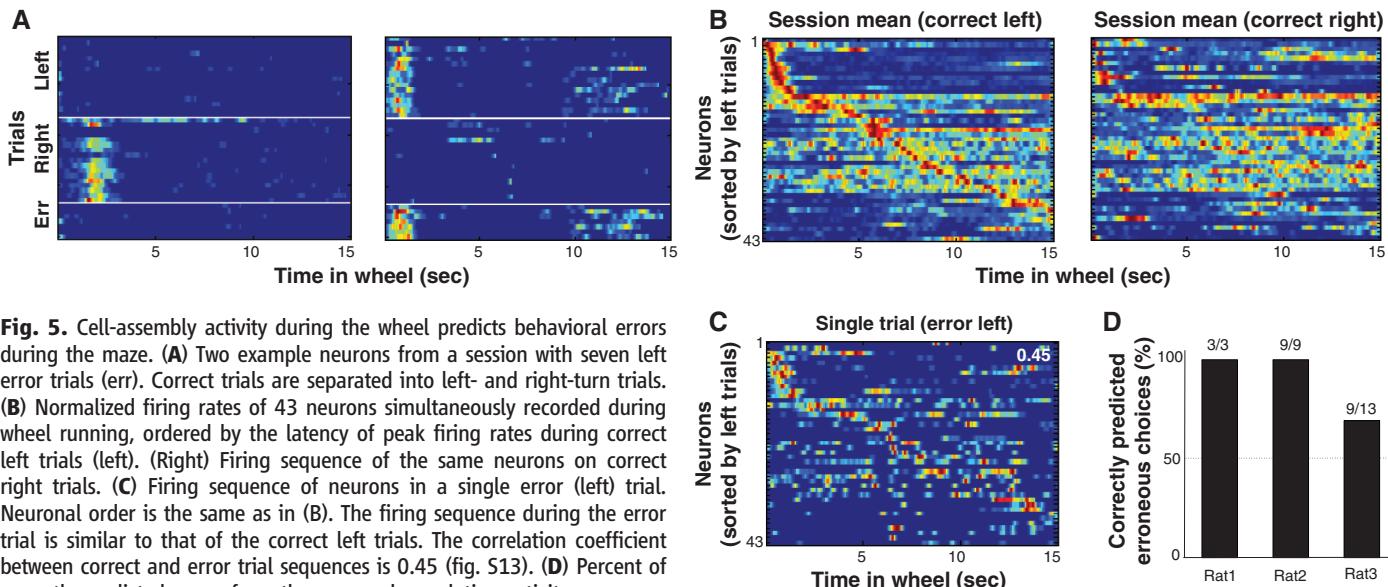


**Fig. 4.** Cell-assembly activity in the wheel predicts the future choice of the rat in the maze. **(A)** Examples of three neurons that strongly differentiated between wheel-running trials preceding right and left choices (fig. S7 and movie S1). **(B)** Normalized firing rate profiles of neurons during wheel running and in the stem of the maze, ordered by the latency of their peak firing rates during left trials (each line is a single cell; cells are combined from all sessions). White line, time gap between the end of wheel running and the initiation of maze stem traversal. (Middle) Normalized firing rates of the same neurons during right trials. (Right) Time periods of significant differences ( $P < 0.05$ ) in firing rates between left and right trials for respective neurons (red line,  $R > L$ ; blue line,  $L > R$ ). Gray line, number of neurons discriminating between left and right trials as a function of wheel-running time.

in the stem of the maze (Fig. 4B), suggesting a critical role for initial conditions in specifying the sequences (fig. S11). In addition, we designed a probabilistic model of the relationship between neuronal firing patterns and the animal's choices (SOM text). Using this model, the accuracy of single-trial prediction, under cross-validation, varied from low (near 50%) and not significant to 100% and significant across many sessions (fig. S9).

Because the rat was performing an alternation task, past and future choices were deterministically related on correctly performed trials, and it

was not possible to disambiguate their influence on neuronal activity. To distinguish such retrospective and prospective factors (14–17), we examined cell-assembly sequences during error trials. Neurons that reliably predicted the behavioral choice of the rat on correct trials continued to predict the choice behavior on error trials (Fig. 5A, fig. S12, and movie S1) (15, 24). Similarly, population sequences that differentiated correct behavioral choices continued to predict behavioral choice errors (Fig. 5, B and C, and fig. S13). Although there were only a few error trials, a majority of them could be predicted from the



**Fig. 5.** Cell-assembly activity during the wheel predicts behavioral errors during the maze. **(A)** Two example neurons from a session with seven left error trials (err). Correct trials are separated into left- and right-turn trials. **(B)** Normalized firing rates of 43 neurons simultaneously recorded during wheel running, ordered by the latency of peak firing rates during correct left trials (left). (Right) Firing sequence of the same neurons on correct right trials. **(C)** Firing sequence of neurons in a single error (left) trial. Neuronal order is the same as in **(B)**. The firing sequence during the error trial is similar to that of the correct left trials. The correlation coefficient between correct and error trial sequences is 0.45 (fig. S13). **(D)** Percent of correctly predicted errors from the neuronal population activity.

firing patterns of neurons during wheel running (Fig. 5D). Altogether, these observations demonstrate that a particular sequence of neurons was activated in a reliable temporal order from the moment the rat entered the wheel to the time it reached the reward.

Because running speed, head position, and head direction during wheel running before left and right choices were apparently indistinguishable (fig. S1), the above findings indicate that trial differences in hippocampal assembly configurations cannot solely arise from instantaneous environmental inputs or the integration of motion signals.

**Behavioral function of internally generated cell-assembly sequences.** These findings demonstrate that the rat brain can generate continually changing assembly sequences. The patterns of the self-evolving neuronal assembly sequences depend on the initial conditions, and the particular sequences of cell assemblies are predictive of behavioral outcome.

Our results offer new insights into the relationship between hippocampal activity and navigation (2–7, 14–20, 26–30, 33). Hippocampal firing patterns during maze navigation were similar to those during wheel running in the delayed alternation memory task with stationary environmental and body cues. Therefore, we suggest that hippocampal networks can produce sequential firing patterns in two possibly interacting ways: under the influence of environmental/idiopathic cues or by self-organized internal mechanisms. The high-dimensional and largely random (nontopographical) connectivity of the CA3 axonal system (35) and its inputs makes the hippocampus an ideal candidate for internal sequence generation (13, 33, 36, 37). The parameters of cell-assembly dynamics (including their trajectory and lifetimes) are probably affected by a number of factors, including experience-dependent and short-term synaptic plasticity (34, 38); asymmetric inhibition (39); brain state;

and, fundamentally, the character and context of the input. The evolving trajectory can be effectively perturbed, or updated, by external inputs in every theta cycle (40). Because of this flexibility in the sources of cell-assembly control, we hypothesize that neuronal algorithms, having evolved for the computation of distances, can also support the episodic recall of events and the planning of action sequences and goals (19). During learning, the temporal order of external events is instrumental in specifying and securing the appropriate neuronal representations, whereas during recall, imagination (35), or action planning, the sequence identity is determined by the intrinsic dynamics of the network.

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