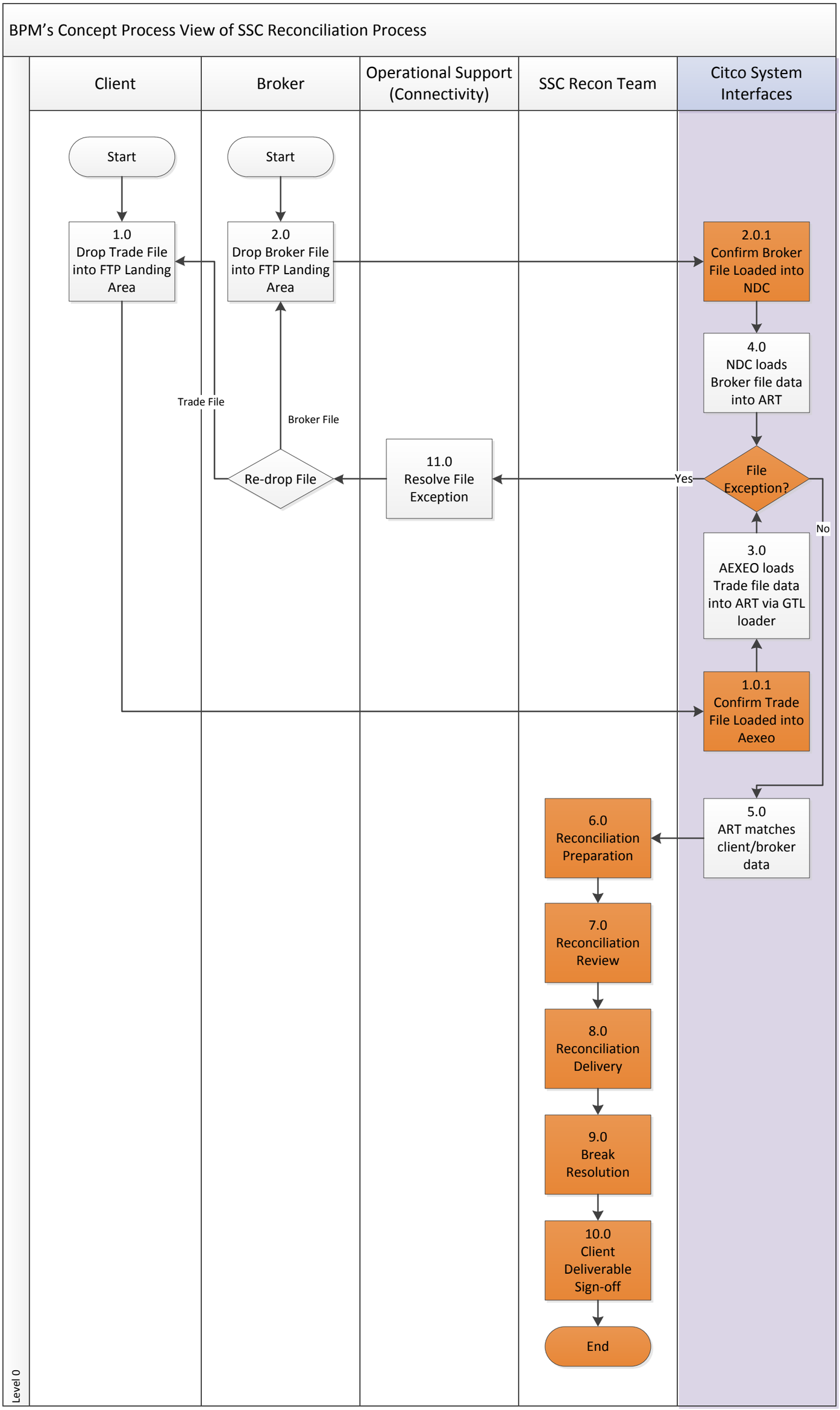
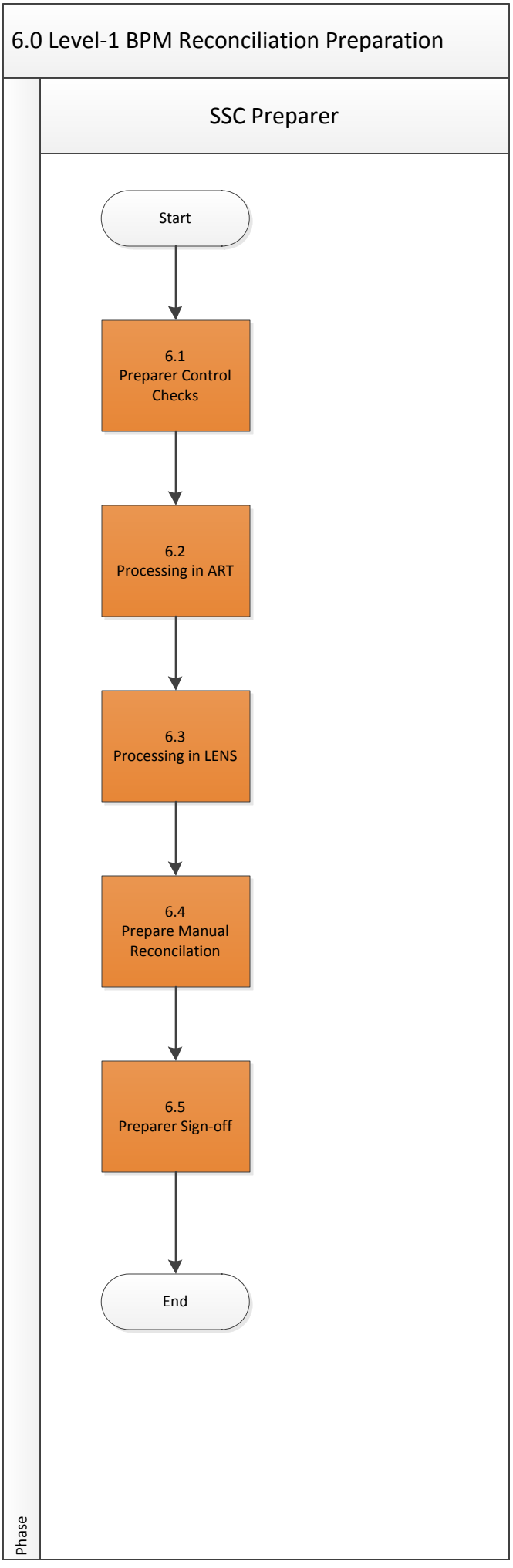
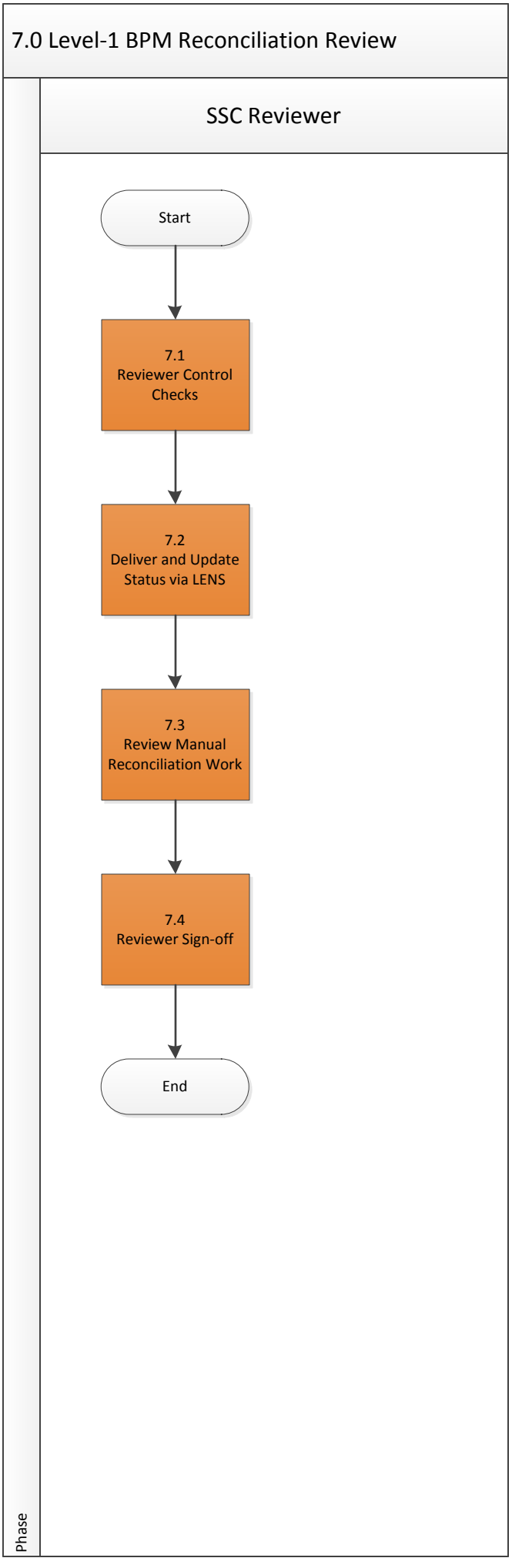
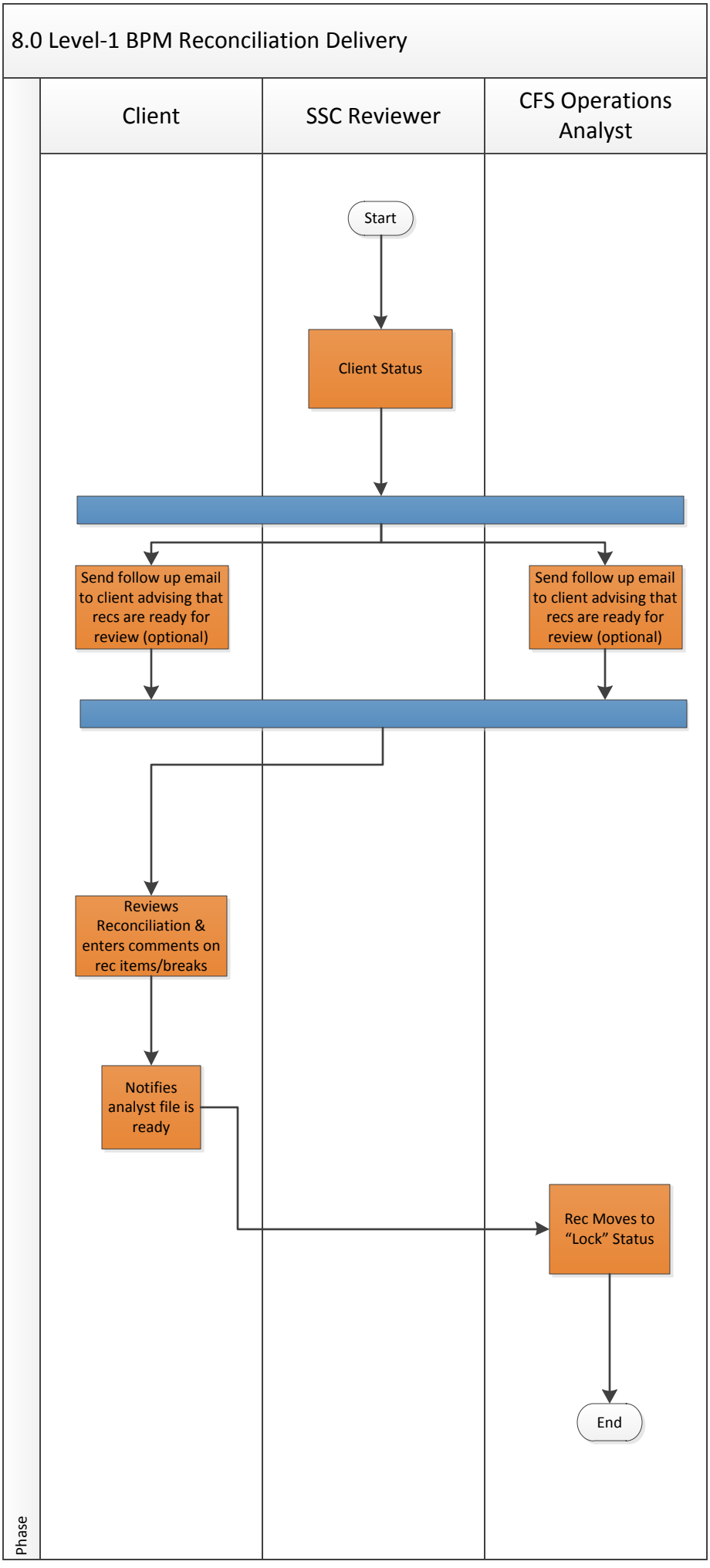

BPM's Concept Process View of SSC Reconciliation Process
Client
Broker
Operational Support (Connectivity)
SSC Recon Team
Citco System Interfaces
Start
Start
1.0 Drop Trade File into FTP Landing Area
2.0 Drop Broker File into FTP Landing Area
2.0.1 Confirm Broker File Loaded into NDC
4.0 NDC loads Broker file data into ART
Trade File
Broker File
Re-drop File
11.0 Resolve File Exception
Yes
File Exception?
No
3.0 AEXEO loads Trade file data into ART via GTL loader
1.0.1 Confirm Trade File Loaded into Aexeo
5.0 ART matches client/broker data
6.0 Reconciliation Preparation
7.0 Reconciliation Review
8.0 Reconciliation Delivery
9.0 Break Resolution
10.0 Client Deliverable Sign-off
End
Level 0


6.0 Level-1 BPM Reconciliation Preparation
SSC Preparer
Start
6.1
Preparer Control
Checks
6.2
Processing in ART
6.3
Processing in LENS
6.4
Prepare Manual
Reconcilation
6.5
Preparer Sign-off
End
Phase


7.0 Level-1 BPM Reconciliation Review
SSC Reviewer
Start
7.1
Reviewer Control
Checks
7.2
Deliver and Update
Status via LENS
7.3
Review Manual
Reconciliation Work
7.4
Reviewer Sign-off
End
Phase


8.0 Level-1 BPM Reconciliation Delivery
Client
SSC Reviewer
CFS Operations Analyst
Start
Client Status
Send follow up email to client advising that recs are ready for review (optional)
Send follow up email to client advising that recs are ready for review (optional)
Reviews Reconciliation & enters comments on rec items/breaks
Notifies analyst file is ready
Rec Moves to "Lock" Status
End
Phase

Level-1 BPM: Break Resolution

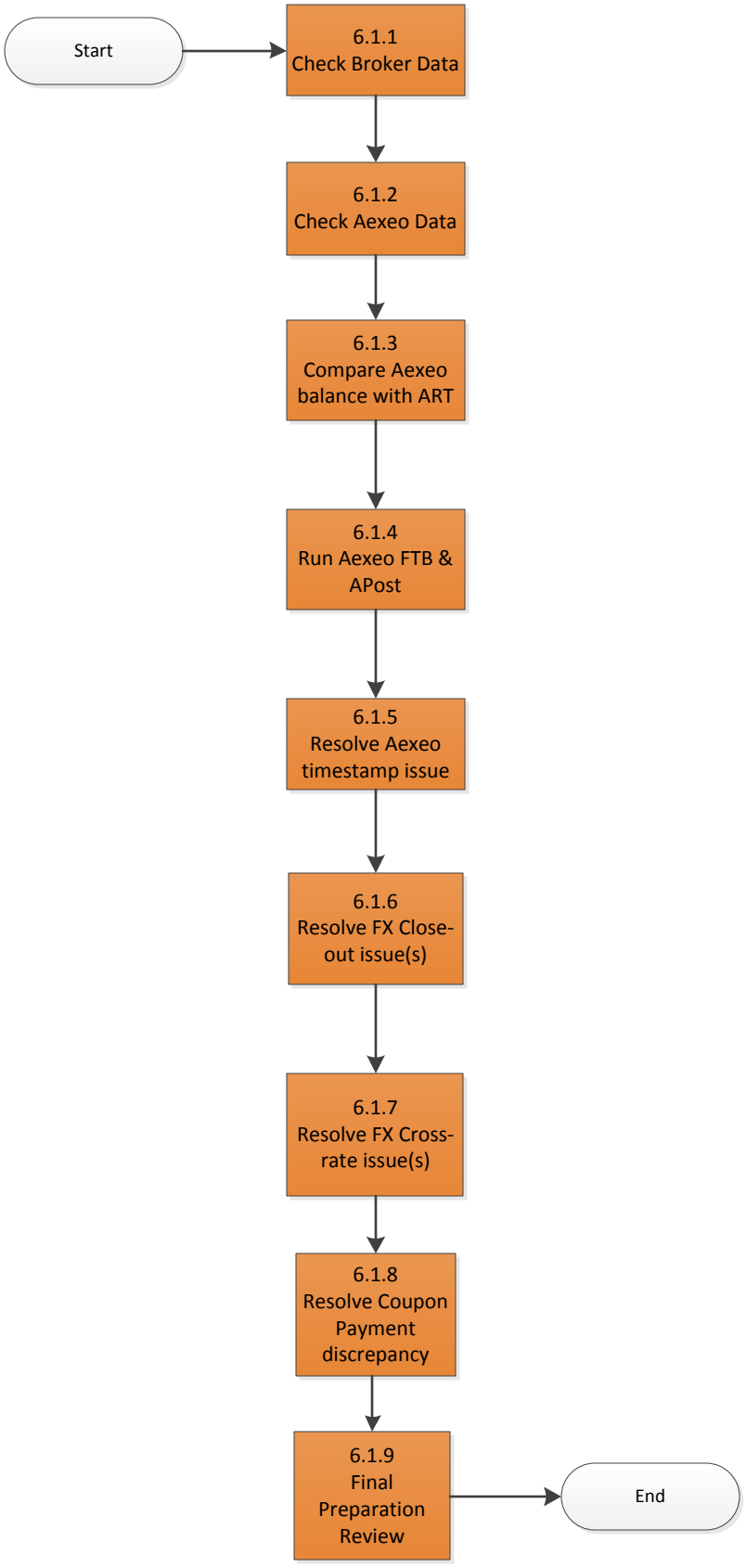
Phase	Function	Function	Function	Function	Function

Level-1 BPM: Sign-off				
Phase	Function	Function	Function	Function

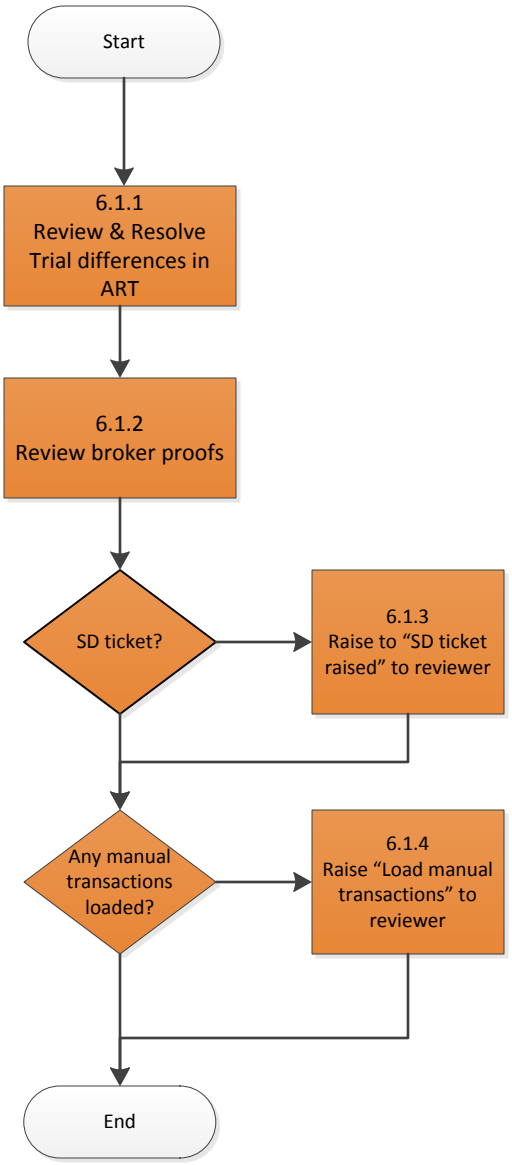
6.1 : Reconciliation Preparation

Phase

SSC Preparer (Steps-Driven)



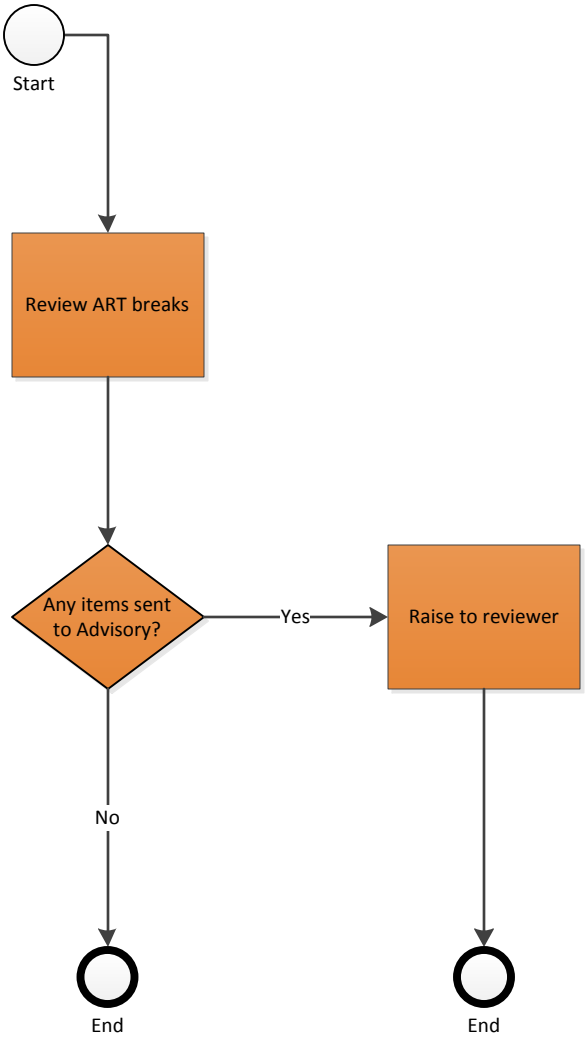
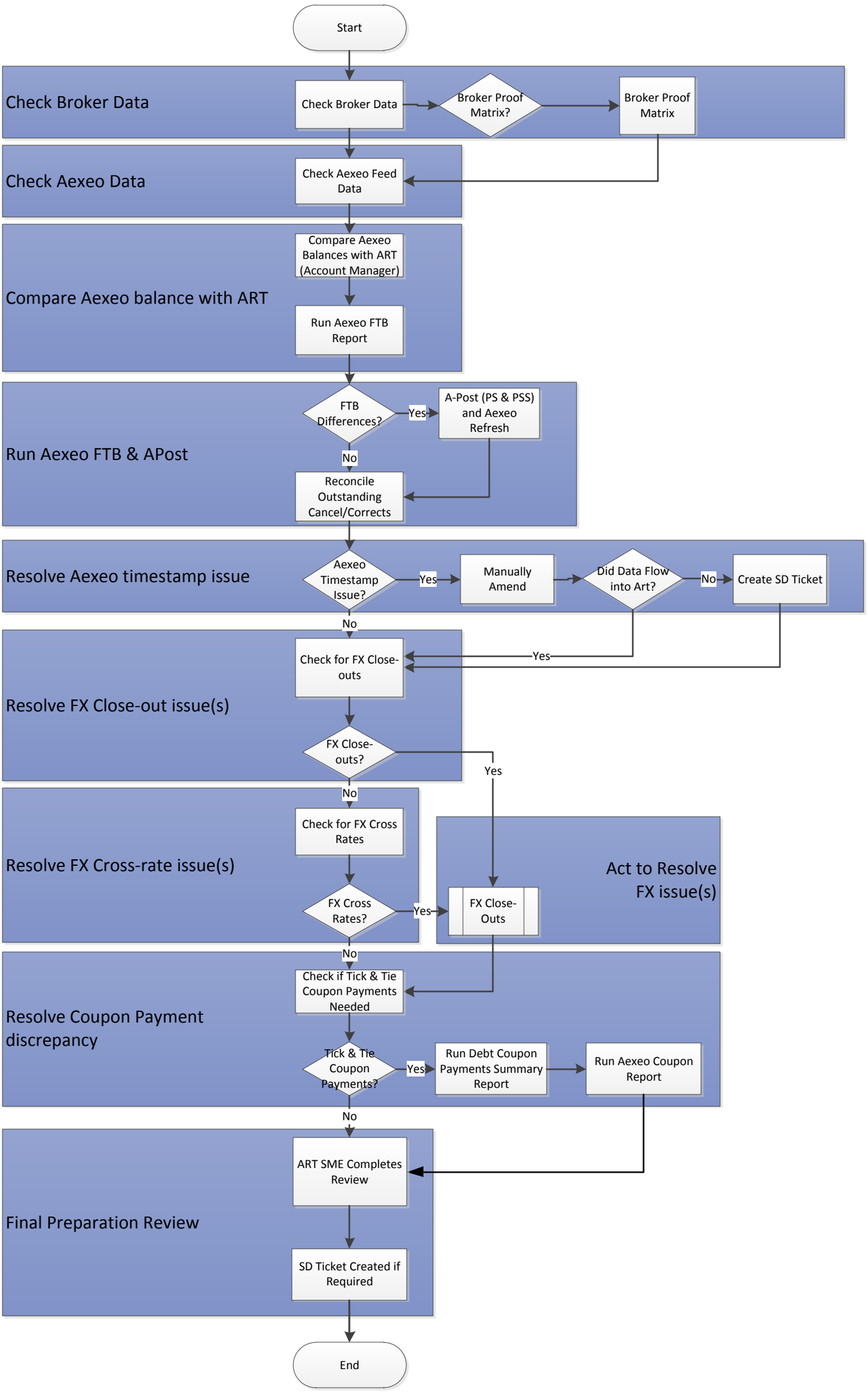
SSC Preparer (Checklist-Driven)



6.2: Reconciliation Preparation

Phase

SSC Preparer



Trial Difference - Trial Difference Investigation | Version 1.0
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Last Modified: June 24, 2015
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