

Stockology Securities Pvt. Ltd.

SEBI-Compliant Account Opening Process

Account Opening – Process Overview (SEBI Aligned)

Stockology Securities Pvt. Ltd., a SEBI-registered stock broker, follows a fully digital and paperless account opening process in accordance with SEBI circulars, KYC norms, and Anti-Money Laundering (AML) guidelines. The process ensures secure client onboarding, identity verification, and regulatory compliance through electronic verification mechanisms. The client initiates the account opening journey by registering a mobile number and completing OTP-based authentication for mobile and email verification. PAN is verified electronically with the Income Tax database. In cases where PAN verification is unsuccessful, the client is required to upload PAN details along with name and date of birth. Clients select their trading preferences and complete Aadhaar-based e-KYC through DigiLocker. A live photograph is captured to establish identity. Basic personal details are collected, followed by bank account verification through the penny drop mechanism. Nominee details are captured as per SEBI guidelines or the client may opt out. The demat account opening process is facilitated through Globe Capital, a SEBI-registered depository participant. All client data is verified with CVL KRA. Upon successful verification, the client master is generated and the process is completed through e-Sign.

Graphical Flow Chart – Account Opening Process

Start
Enter Mobile Number
Mobile OTP Verification
Email OTP Verification
PAN Verification
Upload PAN Details (If Required)
Select Trading Preferences
Aadhaar Verification via DigiLocker
Capture Live Photograph
Enter Personal Details
Bank Verification (Penny Drop)
Nominee Details / Opt-Out
Demat Account Process – Globe Capital
OTP Verification
PAN & DOB Entry
DigiLocker Verification
Personal & Bank Details

Document Upload
KRA Verification and esign process with Globe capital
Client Master Generation
E-Sign Completion at stockology
Account Successfully Opened