

Figure 1.21. The relationship between classical and quantum complexity classes. Quantum computers can quickly solve any problem in  $P$ , and it is known that they can't solve problems outside of  $PSPACE$  quickly. Where quantum computers fit between  $P$  and  $PSPACE$  is not known, in part because we don't even know whether  $PSPACE$  is bigger than  $P$ !

We won't speculate further on the ultimate power of quantum computation now, preferring to wait until after we have better understood the principles on which fast quantum algorithms are based, a topic which occupies us for most of Part II of this book. What is already clear is that the *theory* of quantum computation poses interesting and significant challenges to the traditional notions of computation. What makes this an important challenge is that the theoretical model of quantum computation is believed to be *experimentally* realizable, because – to the best of our knowledge – this theory is consistent with the way Nature works. If this were not so then quantum computation would be just another mathematical curiosity.

## 1.5 Experimental quantum information processing

Quantum computation and quantum information is a wonderful theoretical discovery, but its central concepts, such as superpositions and entanglement, run counter to the intuition we garner from the everyday world around us. What evidence do we have that these ideas truly describe how Nature operates? Will the realization of large-scale quantum

computers be experimentally feasible? Or might there be some principle of physics which fundamentally prohibits their eventual scaling? In the next two sections we address these questions. We begin with a review of the famous ‘Stern–Gerlach’ experiment, which provides evidence for the existence of qubits in Nature. We then widen our scope, addressing the broader problem of how to build practical quantum information processing systems.

### 1.5.1 The Stern–Gerlach experiment

The qubit is a fundamental element for quantum computation and quantum information. How do we know that systems with the properties of qubits exist in Nature? At the time of writing there is an enormous amount of evidence that this is so, but in the early days of quantum mechanics the qubit structure was not at all obvious, and people struggled with phenomena that we may now understand in terms of qubits, that is, in terms of two level quantum systems.

A decisive (and very famous) early experiment indicating the qubit structure was conceived by Stern in 1921 and performed with Gerlach in 1922 in Frankfurt. In the original Stern–Gerlach experiment, hot atoms were ‘beamed’ from an oven through a magnetic field which caused the atoms to be deflected, and then the position of each atom was recorded, as illustrated in Figure 1.22. The original experiment was done with silver atoms, which have a complicated structure that obscures the effects we are discussing. What we describe below actually follows a 1927 experiment done using hydrogen atoms. The same basic effect is observed, but with hydrogen atoms the discussion is easier to follow. Keep in mind, though, that this privilege wasn’t available to people in the early 1920s, and they had to be very ingenious to think up explanations for the more complicated effects they observed.

Hydrogen atoms contain a proton and an orbiting electron. You can think of this electron as a little ‘electric current’ around the proton. This electric current causes the atom to have a magnetic field; each atom has what physicists call a ‘magnetic dipole moment’. As a result each atom behaves like a little bar magnet with an axis corresponding to the axis the electron is spinning around. Throwing little bar magnets through a magnetic field causes the magnets to be deflected by the field, and we expect to see a similar deflection of atoms in the Stern–Gerlach experiment.

How the atom is deflected depends upon both the atom’s magnetic dipole moment – the axis the electron is spinning around – and the magnetic field generated by the Stern–Gerlach device. We won’t go through the details, but suffice to say that by constructing the Stern–Gerlach device appropriately, we can cause the atom to be deflected by an amount that depends upon the  $\hat{z}$  component of the atom’s magnetic dipole moment, where  $\hat{z}$  is some fixed external axis.

Two major surprises emerge when this experiment is performed. First, since the hot atoms exiting the oven would naturally be expected to have their dipoles oriented randomly in every direction, it would follow that there would be a continuous distribution of atoms seen at all angles exiting from the Stern–Gerlach device. Instead, what is seen is atoms emerging from a *discrete* set of angles. Physicists were able to explain this by assuming that the magnetic dipole moment of the atoms is *quantized*, that is, comes in discrete multiples of some fundamental amount.

This observation of quantization in the Stern–Gerlach experiment was surprising to physicists of the 1920s, but not completely astonishing because evidence for quantization

effects in other systems was becoming widespread at that time. What was truly surprising was the *number* of peaks seen in the experiment. The hydrogen atoms being used were such that they should have had *zero* magnetic dipole moment. Classically, this is surprising in itself, since it corresponds to no orbital motion of the electron, but based on what was known of quantum mechanics at that time this was an acceptable notion. Since the hydrogen atoms would therefore have zero magnetic moment, it was expected that only one beam of atoms would be seen, and this beam would not be deflected by the magnetic field. Instead, two beams were seen, one deflected up by the magnetic field, and the other deflected down!

This puzzling doubling was explained after considerable effort by positing that the electron in the hydrogen atom has associated with it a quantity called *spin*. This spin is not in any way associated to the usual rotational motion of the electron around the proton; it is an entirely new quantity to be associated with an electron. The great physicist Heisenberg labeled the idea ‘brave’ at the time it was suggested, and it is a brave idea, since it introduces an essentially new physical quantity into Nature. The spin of the electron is posited to make an *extra* contribution to the magnetic dipole moment of a hydrogen atom, in addition to the contribution due to the rotational motion of the electron.

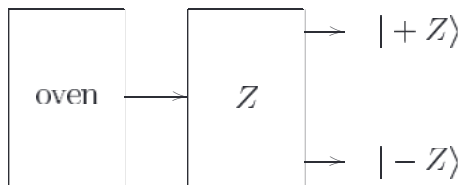


Figure 1.22. Abstract schematic of the Stern–Gerlach experiment. Hot hydrogen atoms are beamed from an oven through a magnetic field, causing a deflection either up ( $|+Z\rangle$ ) or down ( $|-Z\rangle$ ).

What is the proper description of the spin of the electron? As a first guess, we might hypothesize that the spin is specified by a single bit, telling the hydrogen atom to go up or down. Additional experimental results provide further useful information to determine if this guess needs refinement or replacement. Let’s represent the original Stern–Gerlach apparatus as shown in Figure 1.22. Its outputs are two beams of atoms, which we shall call  $|+Z\rangle$  and  $|-Z\rangle$ . (We’re using suggestive notation which looks quantum mechanical, but of course you’re free to use whatever notation you prefer.) Now suppose we cascade two Stern–Gerlach apparatus together, as shown in Figure 1.23. We arrange it so that the second apparatus is *tipped sideways*, so the magnetic field deflects atoms along the  $\hat{x}$  axis. In our thought-experiment we’ll block off the  $|-Z\rangle$  output from the first Stern–Gerlach apparatus, while the  $|+Z\rangle$  output is sent through a second apparatus oriented along the  $\hat{x}$  axis. A detector is placed at the final output to measure the distribution of atoms along the  $\hat{x}$  axis.

A classical magnetic dipole pointed in the  $+\hat{z}$  direction has no net magnetic moment in the  $\hat{x}$  direction, so we might expect that the final output would have one central peak. However, experimentally it is observed that there are two peaks of equal intensity! So perhaps these atoms are peculiar, and have definite magnetic moments along each axis, independently. That is, maybe each atom passing through the second apparatus can be

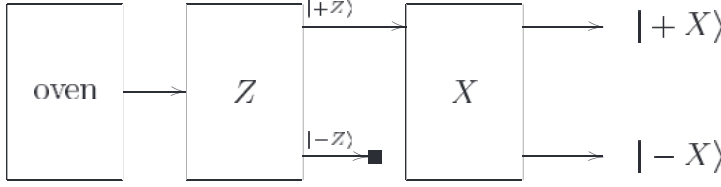


Figure 1.23. Cascaded Stern-Gerlach measurements.

described as being in a state we might write as  $|+Z\rangle|+X\rangle$  or  $|+Z\rangle|-X\rangle$ , to indicate the two values for spin that might be observed.

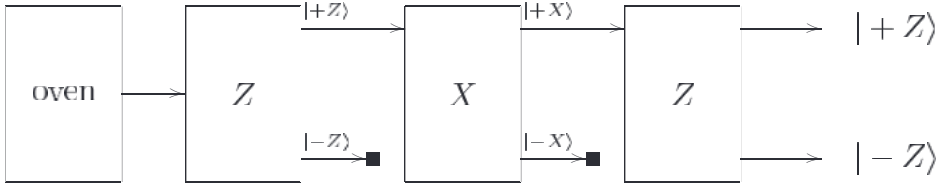


Figure 1.24. Three stage cascaded Stern-Gerlach measurements.

Another experiment, shown in Figure 1.24, can test this hypothesis by sending one beam of the previous output through a second  $\hat{z}$  oriented Stern-Gerlach apparatus. If the atoms had retained their  $|+Z\rangle$  orientation, then the output would be expected to have only one peak, at the  $|+Z\rangle$  output. However, again *two* beams are observed at the final output, of equal intensity. Thus, the conclusion would seem to be that contrary to classical expectations, a  $|+Z\rangle$  state consists of equal portions of  $|+X\rangle$  and  $|-X\rangle$  states, and a  $|+X\rangle$  state consists of equal portions of  $|+Z\rangle$  and  $|-Z\rangle$  states. Similar conclusions can be reached if the Stern-Gerlach apparatus is aligned along some other axis, like the  $\hat{y}$  axis.

The qubit model provides a simple explanation of this experimentally observed behavior. Let  $|0\rangle$  and  $|1\rangle$  be the states of a qubit, and make the assignments

$$|+Z\rangle \leftarrow |0\rangle \quad (1.56)$$

$$|-Z\rangle \leftarrow |1\rangle \quad (1.57)$$

$$|+X\rangle \leftarrow (|0\rangle + |1\rangle)/\sqrt{2}. \quad (1.58)$$

$$|-X\rangle \leftarrow (|0\rangle - |1\rangle)/\sqrt{2} \quad (1.59)$$

Then the results of the cascaded Stern-Gerlach experiment can be explained by assuming that the  $\hat{z}$  Stern-Gerlach apparatus measures the spin (that is, the qubit) in the computational basis  $|0\rangle, |1\rangle$ , and the  $\hat{x}$  Stern-Gerlach apparatus measures the spin with respect to the basis  $(|0\rangle + |1\rangle)/\sqrt{2}, (|0\rangle - |1\rangle)/\sqrt{2}$ . For example, in the cascaded  $\hat{z}$ - $\hat{x}$ - $\hat{z}$  experiment, if we assume that the spins are in the state  $|+Z\rangle = |0\rangle = (|+X\rangle + |-X\rangle)/\sqrt{2}$  after exiting the first Stern-Gerlach experiment, then the probability for obtaining  $|+X\rangle$  out of the second apparatus is  $1/2$ , and the probability for  $|-X\rangle$  is  $1/2$ . Similarly, the probability for obtaining  $|+Z\rangle$  out of the third apparatus is  $1/2$ . A qubit model thus properly predicts results from this type of cascaded Stern-Gerlach experiment.

This example demonstrates how qubits could be a believable way of modeling systems in Nature. Of course it doesn't establish beyond all doubt that the qubit model is the correct way of understanding electron spin – far more experimental corroboration is required. Nevertheless, because of many experiments like these, we now believe that electron spin is best described by the qubit model. What is more, we believe that the qubit model (and generalizations of it to higher dimensions; quantum mechanics, in other words) is capable of describing *every* physical system. We now turn to the question of what systems are especially well adapted to quantum information processing.

### 1.5.2 Prospects for practical quantum information processing

Building quantum information processing devices is a great challenge for scientists and engineers of the third millennium. Will we rise to meet this challenge? Is it possible at all? Is it worth attempting? If so, how might the feat be accomplished? These are difficult and important questions, to which we essay brief answers in this section, to be expanded upon throughout the book.

The most fundamental question is whether there is any point of principle that prohibits us from doing one or more forms of quantum information processing? Two possible obstructions suggest themselves: that noise may place a fundamental barrier to useful quantum information processing; or that quantum mechanics may fail to be correct.

Noise is without a doubt a significant obstruction to the development of practical quantum information processing devices. Is it a *fundamentally irremovable* obstruction that will forever prevent the development of large-scale quantum information processing devices? The theory of quantum error-correcting codes strongly suggests that while quantum noise is a practical problem that needs to be addressed, it does not present a fundamental problem of *principle*. In particular, there is a *threshold theorem* for quantum computation, which states, roughly speaking, that provided the level of noise in a quantum computer can be reduced below a certain constant 'threshold' value, quantum error-correcting codes can be used to push it down even further, essentially *ad infinitum*, for a small overhead in the complexity of the computation. The threshold theorem makes some broad assumptions about the nature and magnitude of the noise occurring in a quantum computer, and the architecture available for performing quantum computation; however, provided those assumptions are satisfied, the effects of noise can be made essentially negligible for quantum information processing. Chapters 8, 10 and 12 discuss quantum noise, quantum error-correction and the threshold theorem in detail.

A second possibility that may preclude quantum information processing is if quantum mechanics is incorrect. Indeed, probing the validity of quantum mechanics (both relativistic and non-relativistic) is one reason for being interested in building quantum information processing devices. Never before have we explored a regime of Nature in which complete control has been obtained over large-scale quantum systems, and perhaps Nature may reveal some new surprises in this regime which are not adequately explained by quantum mechanics. If this occurs, it will be a momentous discovery in the history of science, and can be expected to have considerable consequences in other areas of science and technology, as did the discovery of quantum mechanics. Such a discovery might also impact quantum computation and quantum information; however, whether the impact would enhance, detract or not affect the power of quantum information processing cannot be predicted in advance. Until and unless such effects are found we have no way of knowing how they might affect information processing, so for the remainder of this book

we go with all the evidence to date and assume that quantum mechanics is a complete and correct description of the world.

Given that there is no fundamental obstacle to building quantum information processing devices, why should we invest enormous amounts of time and money in the attempt to do so? We have already discussed several reasons for wanting to do so: practical applications such as quantum cryptography and the factoring of large composite numbers; and the desire to obtain fundamental insights into Nature and into information processing.

These are good reasons, and justify a considerable investment of time and money in the effort to build quantum information processing devices. However, it is fair to say that a clearer picture of the relative power of quantum and classical information processing is needed in order to assess their relative merits. To obtain such a picture requires further theoretical work on the foundations of quantum computation and quantum information. Of particular interest is a decisive answer to the question ‘Are quantum computers more powerful than classical computers?’ Even if the answer to such a question eludes us for the time being, it would be useful to have a clear path of interesting applications at varying levels of complexity to aid researchers aiming to experimentally realize quantum information processing. Historically, the advance of technology is often hastened by the use of short- to medium-term incentives as a stepping-stone to long-term goals. Consider that microprocessors were initially used as controllers for elevators and other simple devices, before graduating to be the fundamental component in personal computers (and then on to who-knows-what). Below we sketch out a path of short- to medium-term goals for people interested in achieving the long-term goal of large-scale quantum information processing.

Surprisingly many small-scale applications of quantum computation and quantum information are known. Not all are as flashy as cousins like the quantum factoring algorithm, but the relative ease of implementing small-scale applications makes them extremely important as medium-term goals in themselves.

Quantum state tomography and quantum process tomography are two elementary processes whose perfection is of great importance to quantum computation and quantum information, as well as being of independent interest in their own right. Quantum state tomography is a method for determining the quantum state of a system. To do this, it has to overcome the ‘hidden’ nature of the quantum state – remember, the state can’t be directly determined by a measurement – by performing repeated preparations of the same quantum state, which is then measured in different ways in order to build up a complete description of the quantum state. Quantum process tomography is a more ambitious (but closely related) procedure to completely characterize the *dynamics* of a quantum system. Quantum process tomography can, for example, be used to characterize the performance of an alleged quantum gate or quantum communications channel, or to determine the types and magnitudes of different noise processes in a system. Beside obvious applications to quantum computation and quantum information, quantum process tomography can be expected to have significant applications as a diagnostic tool to aid in the evaluation and improvement of primitive operations in any field of science and technology where quantum effects are important. Quantum state tomography and quantum process tomography are described in more detail in Chapter 8.

Various small-scale communications primitives are also of great interest. We have already mentioned quantum cryptography and quantum teleportation. The former is likely to be useful in practical applications involving the distribution of a small amount of key

material that needs to be highly secure. The uses of quantum teleportation are perhaps more open to question. We will see in Chapter 12 that teleportation may be an extremely useful primitive for transmitting quantum states between distant nodes in a network, in the presence of noise. The idea is to focus one's efforts on distributing EPR pairs between the nodes that wish to communicate. The EPR pairs may be corrupted during communication, but special 'entanglement distillation' protocols can then be used to 'clean up' the EPR pairs, enabling them to be used to teleport quantum states from one location to another. In fact, protocols based upon entanglement distillation and teleportation offer performance superior to more conventional quantum error-correction techniques in enabling noise free communication of qubits.

What of the medium-scale? A promising medium-scale application of quantum information processing is to the simulation of quantum systems. To simulate a quantum system containing even a few dozen 'qubits' (or the equivalent in terms of some other basic system) strains the resources of even the largest supercomputers. A simple calculation is instructive. Suppose we have a system containing 50 qubits. To describe the state of such a system requires  $2^{50} \approx 10^{15}$  complex amplitudes. If the amplitudes are stored to 128 bits of precision, then it requires 256 bits or 32 bytes in order to store each amplitude, for a total of  $32 \times 10^{15}$  bytes of information, or about 32 thousand terabytes of information, well beyond the capacity of existing computers, and corresponding to about the storage capacity that might be expected to appear in supercomputers during the second decade of the twenty-first century, presuming that Moore's law continues on schedule. 90 qubits at the same level of precision requires  $32 \times 10^{27}$  bytes, which, even if implemented using single atoms to represent bits, would require kilograms (or more) of matter.

How useful will quantum simulations be? It seems likely that conventional methods will still be used to determine elementary properties of materials, such as bond strengths and basic spectroscopic properties. However, once the basic properties are well understood, it seems likely that quantum simulation will be of great utility as a laboratory for the design and testing of properties of novel molecules. In a conventional laboratory setup, many different types of 'hardware' – chemicals, detectors, and so on – may be required to test a wide variety of possible designs for a molecule. On a quantum computer, these different types of hardware can all be simulated in software, which is likely to be much less expensive and much faster. Of course, final design and testing must be performed with real physical systems; however, quantum computers may enable a much larger range of potential designs to be explored and evaluated *en route* to a better final design. It is interesting to note that such *ab initio* calculations to aid in the design of new molecules have been attempted on classical computers; however, they have met with limited success due to the enormous computational resources needed to simulate quantum mechanics on a classical computer. Quantum computers should be able to do much better in the relatively near future.

What of large-scale applications? Aside from scaling up applications like quantum simulation and quantum cryptography, relatively few large-scale applications are known: the factoring of large numbers, taking discrete logarithms, and quantum searching. Interest in the first two of these derives mainly from the *negative* effect they would have of limiting the viability of existing public key cryptographic systems. (They might also be of substantial practical interest to mathematicians interested in these problems simply for their own sake.) So it does not seem likely that factoring and discrete logarithm



will be all that important as applications for the long run. Quantum searching may be of tremendous use because of the wide utility of the search heuristic, and we discuss some possible applications in Chapter 6. What would really be superb are many more large-scale applications of quantum information processing. This is a great goal for the future!

Given a path of potential applications for quantum information processing, how can it be achieved in real physical systems? At the small scale of a few qubits there are already several working proposals for quantum information processing devices. Perhaps the easiest to realize are based upon *optical* techniques, that is, electromagnetic radiation. Simple devices like mirrors and beamsplitters can be used to do elementary manipulations of photons. Interestingly, a major difficulty has been producing single photons on demand; experimentalists have instead opted to use schemes which produce single photons ‘every now and then’, at random, and wait for such an event to occur. Quantum cryptography, superdense coding, and quantum teleportation have all been realized using such optical techniques. A major advantage of the optical techniques is that photons tend to be highly stable carriers of quantum mechanical information. A major disadvantage is that photons don’t directly interact with one another. Instead, the interaction has to be mediated by something else, like an atom, which introduces additional noise and complications into the experiment. An *effective* interaction between two photons is set up, which essentially works in two steps: photon number one interacts with the atom, which in turn interacts with the second photon, causing an overall interaction between the two photons.

An alternative scheme is based upon methods for trapping different types of atom: there is the *ion trap*, in which a small number of charged atoms are trapped in a confined space; and *neutral atom traps*, for trapping uncharged atoms in a confined space. Quantum information processing schemes based upon atom traps use the atoms to store qubits. Electromagnetic radiation also shows up in these schemes, but in a rather different way than in what we referred to as the ‘optical’ approach to quantum information processing. In these schemes, photons are used to manipulate the information stored in the atoms themselves, rather than as the place the information is stored. Single qubit quantum gates can be performed by applying appropriate pulses of electromagnetic radiation to individual atoms. Neighboring atoms can interact with one another via (for example) dipole forces that enable quantum gates to be accomplished. Moreover, the exact nature of the interaction between neighboring atoms can be modified by applying appropriate pulses of electromagnetic radiation to the atoms, giving the experimentalist control over what gates are performed in the system. Finally, quantum measurement can be accomplished in these systems using the long established *quantum jumps* technique, which implements with superb accuracy the measurements in the computational basis used for quantum computation.

Another class of quantum information processing schemes is based upon *Nuclear Magnetic Resonance*, often known by its initials, NMR. These schemes store quantum information in the *nuclear spin* of atoms in a molecule, and manipulate that information using electromagnetic radiation. Such schemes pose special difficulties, because in NMR it is not possible to directly access individual nuclei. Instead, a huge number (typically around  $10^{15}$ ) of essentially identical molecules are stored in solution. Electromagnetic pulses are applied to the sample, causing each molecule to respond in roughly the same way. You should think of each molecule as being an independent computer, and the sample as containing a huge number of computers all running in parallel (classically).



NMR quantum information processing faces three special difficulties that make it rather different from other quantum information processing schemes. First, the molecules are typically prepared by letting them equilibrate at room temperature, which is so much higher than typical spin flip energies that the spins become nearly completely randomly oriented. This fact makes the initial state rather more ‘noisy’ than is desirable for quantum information processing. How this noise may be overcome is an interesting story that we tell in Chapter 7. A second problem is that the class of measurements that may be performed in NMR falls well short of the most general measurements we would like to perform in quantum information processing. Nevertheless, for many instances of quantum information processing the class of measurements allowed in NMR is sufficient. Third, because molecules cannot be individually addressed in NMR you might ask how it is that individual qubits can be manipulated in an appropriate way. Fortunately, different nuclei in the molecule can have different properties that allow them to be individually addressed – or at least addressed at a sufficiently fine-grained scale to allow the operations essential for quantum computation.

Many of the elements required to perform large-scale quantum information processing can be found in existing proposals: superb state preparation and quantum measurements can be performed on a small number of qubits in the ion trap; superb dynamics can be performed in small molecules using NMR; fabrication technology in solid state systems allows designs to be scaled up tremendously. A single system having all these elements would be a long way down the road to a dream quantum computer. Unfortunately, all these systems are very different, and we are many, many years from having large-scale quantum computers. However, we believe that the existence of all these properties in existing (albeit different) systems does bode well for the long-term existence of large-scale quantum information processors. Furthermore, it suggests that there is a great deal of merit to pursuing *hybrid* designs which attempt to marry the best features of two or more existing technologies. For example, there is much work being done on trapping atoms inside *electromagnetic cavities*. This enables flexible manipulation of the atom inside the cavity via optical techniques, and makes possible real-time feedback control of single atoms in ways unavailable in conventional atom traps.

To conclude, note that it is important not to assess quantum information processing as though it were just another technology for information processing. For example, it is tempting to dismiss quantum computation as yet another technological fad in the evolution of the computer that will pass in time, much as other fads have passed – for example, the ‘bubble memories’ widely touted as the next big thing in memory during the early 1980s. This is a mistake, since quantum computation is an *abstract paradigm* for information processing that may have many *different* implementations in technology. One can compare two different proposals for quantum computing as regards their technological merits – it makes sense to compare a ‘good’ proposal to a ‘bad’ proposal – however even a very poor proposal for a quantum computer is of a different qualitative nature from a superb design for a classical computer.

## 1.6 Quantum information

The term ‘quantum information’ is used in two distinct ways in the field of quantum computation and quantum information. The first usage is as a broad catch-all for all manner of operations that might be interpreted as related to information processing





