

# NOTES FOR ALGEBRAIC NUMBER THEORY M3P15

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## 1. GAUSSIAN INTEGERS

The Gaussian integers are complex numbers of the form:

$$\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\}.$$

**Proposition 1.1.** *Gaussian integers form a subring of complex numbers.*

*Proof.* Clearly  $\mathbb{Z}[i]$  contains 0 and 1, so we only need to show that it is closed under addition and multiplication. We compute:

$$\begin{aligned}(a + bi) + (x + yi) &= (a + x) + (b + y)i, \\ (a + bi)(x + yi) &= (ax - by) + (ay + bx)i.\end{aligned}$$

□

**Proposition 1.2.** *The function:*

$$N : \mathbb{Z}[i] - \{0\} \longrightarrow \mathbb{N} - \{0\}$$

*given by the rule:*

$$N(a + bi) = a^2 + b^2$$

*is well-defined and it is a homomorphism of semi-groups, i.e. it is multiplicative.*

*Proof.* Note that:

$$N(a + bi) = (a + bi)\overline{(a + bi)}.$$

In particular for every non-zero  $a + bi \in \mathbb{Z}[i]$  the number  $N(a + bi)$  is positive, and therefore the function  $N$  is well-defined. Since it is the restriction of the complex norm onto  $\mathbb{Z}[i]$ , it is multiplicative, too. □

**Proposition 1.3.** *Let  $A, B, C, D$  be four points on the plane such that the rectangle  $\overline{ABCD}$  is a square with sides of length one. Let  $P$  be an point on  $\overline{ABCD}$ . Prove that the distance of  $P$  from one of the four points  $A, B, C, D$  is at most  $\frac{\sqrt{2}}{2}$ .*

*Proof.* For one of the four triangles  $\overline{ABP}$ ,  $\overline{BCP}$ ,  $\overline{CDP}$  or  $\overline{ADP}$  the angle at  $P$  is at least 90 degrees. We may assume that this holds for the triangle  $\overline{ABC}$  without the loss of generality. Let  $a$ ,  $b$ , and  $c$  denote the length of the sides  $\overline{AP}$ ,  $\overline{BP}$  or  $\overline{AB}$ , respectively. Let  $\alpha$  denote the angle at  $P$ . By the cosine law:

$$1 = c^2 = a^2 + b^2 - 2ab \cos(\alpha) \geq a^2 + b^2$$

since  $\alpha$  is at least 90 degrees. We may assume that  $a \geq b$  without the loss of generality. Then

$$1 \geq 2b^2.$$

□

**Proposition 1.4.** *The ring of Gaussian integers is an Euclidean domain.*

*Proof.* It will be enough to show that for every  $x, y \in \mathbb{Z}[i] - \{0\}$  such that  $x$  does not divide  $y$  there is a  $q \in \mathbb{Z}[i]$  such that  $N(r) < N(x)$  where  $r = y - xq$ . Let  $z = y/x$ . Since on the complex plane the Eisenstein integers are the vertices of a mosaic which consists of squares whose sides have length one, there is a  $q \in \mathbb{Z}[i]$  such that  $|z - q| \leq \frac{\sqrt{2}}{2}$  by the previous proposition. For this choice of  $q$  we get

$$N(r) = |r|^2 = |y - xq|^2 = |z - q|^2 |x|^2 \leq \frac{1}{2} N(x).$$

□

**Proposition 1.5.** *We have  $\mathbb{Z}[i]^* = \{\pm 1, \pm i\}$ .*

*Proof.* First note that  $u = a + bi \in \mathbb{Z}[i]$  is a unit if and only if  $N(a + bi) = 1$ . Indeed if  $N(a + bi) = 1$  then  $1 = (a + bi)(\overline{a + bi})$  and since  $\overline{a + bi} \in \mathbb{Z}[i]$  we get that  $a + bi$  is a unit. On the other hand if  $u \in \mathbb{Z}[i]^*$  then there is a  $v \in \mathbb{Z}[i]$  such that  $uv = 1$ . By the multiplicativity of the the norm  $N(u)N(v) = 1$ . We get that  $N(u)$  is a positive integer which divides 1, so it must be equal to 1. Let  $a + bi \in \mathbb{Z}[i]$  be a unit. By the above

$$1 = N(a + bi) = a^2 + b^2,$$

and hence either  $a = \pm 1$  and  $b = 0$  or  $b = \pm 1$  and  $a = 0$ . □

**Lemma 1.6.** *Let  $u \in \mathbb{Z}[i]$  be a prime. Then either  $u = \pm p, \pm ip$  where  $p \in \mathbb{Z}$  is a prime number or  $N(u) \in \mathbb{Z}$  is a prime number.*

*Proof.* Note that  $u$  divides the integer  $N(u)$  so it must divide one of its prime factors, say  $p \in \mathbb{Z}$ . By the multiplicativity of the norm the integer  $N(u)$  divides  $N(p) = p^2$ . Because  $u$  is not a unit we get that  $N(u) = p$  or  $N(u) = p^2$ . We may assume that we are in the second case. Let  $p = uv$  with  $v \in \mathbb{Z}[i]$ . By the multiplicativity of the the norm  $N(u)N(v) = N(p)$ . Because  $N(u) = N(p)$  we get that  $N(v) = 1$ . The claim now follows from the previous proposition. □

**Lemma 1.7.** *Let  $p \in \mathbb{Z}$  be an odd prime number. Then  $-1$  is square mod  $p$  if and only if  $p \equiv 1 \pmod{4}$ .*

*Proof.* Recall that  $(\mathbb{Z}/p\mathbb{Z})^*$  is a cyclic group of order  $p - 1$ . In particular  $-1 \pmod{p}$  is its unique element of order 2, since  $p$  is odd. Moreover  $-1$  is square mod  $p$  if and only if  $(\mathbb{Z}/p\mathbb{Z})^*$  has an element of order 4. This happens if and only if 4 divides the order of this group, that is  $p \equiv 1 \pmod{4}$ . □

**Proposition 1.8.** *A prime number  $p \in \mathbb{N}$  is a prime of  $\mathbb{Z}[i]$  if and only if  $p \equiv -1 \pmod{4}$ .*

*Proof.* By the above  $p$  remains a prime in  $\mathbb{Z}[i]$  if and only if it is not a norm of an element of  $\mathbb{Z}[i]$ . Since  $1 + 1 = 2$ , this is not the case for 2. When  $p \equiv -1 \pmod{4}$  the congruence

$$x^2 + y^2 \equiv p \pmod{4}$$

has no nontrivial solution so  $p$  must remain a prime in  $\mathbb{Z}[i]$ . So we may assume that  $p \equiv 1 \pmod{4}$ . Suppose that  $p$  remains a prime! By the previous lemma the congruence  $x^2 + 1 \equiv 0 \pmod{p}$  has a solution. Let  $x$  be such a solution; then  $p$  divides one of the Gaussian integers  $x + i$  or  $x - i$ , since it divides their product. This implies that  $p$  divides  $\pm 1$  which is a contradiction. □

**Theorem 1.9.** *For every positive integer  $n$  the Diophantine equation:*

$$a^2 + b^2 = n, \quad a, b \in \mathbb{Z}$$

*has a solution if and only if for every prime number  $p \in \mathbb{N}$  such that  $p \equiv -1 \pmod{4}$  has an even exponent in the prime factorisation of  $n$ .*

*Proof.* First note that this Diophantine equation has a solution if and only if  $n$  is the norm of a Gaussian integer. So in particular it has a solution for  $n$  prime when  $p = 2$  or  $p \equiv 1 \pmod{4}$  and for  $n = p^2$ . Because the norm is multiplicative it also has a solution for any positive integer which can be written as a product of such numbers. Therefore the condition is sufficient.

Assume now that  $N(a + ib) = n$  with  $a + bi \in \mathbb{Z}[i]$ . Let  $p$  be a prime factor of  $n$  with number  $p \equiv -1 \pmod{4}$ . It remains a prime in  $\mathbb{Z}[i]$ . By conjugating the unique prime factorisations of  $a + bi$  and  $a - bi$  we get that  $p$  has the same exponent in the prime factorisation of  $a + bi$  and  $a - bi$ . Therefore it must have an even exponent in the prime factorisation of  $n$ , and hence the condition is necessary, too.  $\square$