

<sup>1</sup>  
<sup>2</sup>  
**ROAD DEFECT SEVERITY ASSESSMENT AND  
CLASSIFICATION**

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<sup>7</sup>  
<sup>8</sup>  
A Special Problem Proposal  
Presented to  
the Faculty of the Division of Physical Sciences and Mathematics  
College of Arts and Sciences  
University of the Philippines Visayas  
Miag-ao, Iloilo

<sup>9</sup>  
<sup>10</sup>  
<sup>11</sup>  
In Partial Fulfillment  
of the Requirements for the Degree of  
Bachelor of Science in Computer Science by

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May 19, 2025

## Abstract

Road surveying is a crucial part of the maintenance processes of roads in the Philippines that is carried out by the Department of Public Works and Highways. However, the current process of road surveying is time consuming which delays much needed maintenance operations. Existing studies involving automated pothole detection lack integration of the pothole's depth in assessing its severity which is essential for automating road surveying procedures. A system that incorporates estimated depth information in assessing pothole severity is developed in order to automate the manual process of depth measurement and severity assessment in road surveying. For depth estimation, stereo vision is favorable in this context as depth may be estimated through the disparity generated by a stereo pair. In obtaining a stereo view of the potholes, the StereoPi V2 is utilized along with some modifications that would make it eligible for outdoor use. To address camera imperfections, a fitted inverse model was applied to improve the accuracy of depth estimates. Linear regression analysis revealed a strong positive correlation between estimated and actual depths, with the system measuring pothole depths mostly within 2 cm of the true values.

**Keywords:** pothole, depth estimation, stereo vision, StereoPi V2

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# <sup>97</sup> Chapter 1

## <sup>98</sup> Introduction

### <sup>99</sup> 1.1 Overview

<sup>100</sup> According to the National Road Length by Classification, Surface Type, and Condition of the Department of Public Works and Highways (DPWH), as of October 2022 approximately 98.97% of roads in the Philippines is paved which is either made of concrete or asphalt (DPWH, 2022). Since the DPWH is an institution under the government, it is paramount to maintain such roads in order to avoid accidents and congested traffic situations especially in heavily urbanized areas where there are a lot of vehicles.

<sup>107</sup> In an interview with the Road Board of DPWH Region 6 it was stated that road condition assessments are mostly done manually with heavy reliance on engineering judgment (DPWH Regional Office 6 Road Board, Personal Interview. 2024). In addition, manual assessment of roads is also time consuming which leaves maintenance operations to wait for lengthy assessments (J. Chua, Personal Interview. 16 September 2024). In a study conducted by Ramos, Dacanay, and Bronuela-Ambrocio (2023), it was found that the Philippines' current method of manual pavement surveying is considered as a gap since it takes an average of 2-3 months to cover a 250 km road as opposed to a 1 day duration in the Australian Road Research Board for the same road length. Ramos et al. (2023) recommended that to significantly improve efficiency of surveying methods and data gathering processes, automated survey tools are to be employed. It was also added that use of such automated, surveying tools can also guarantee the safety of road surveyors.

<sup>121</sup> If the process of assessment on the severity of road defects can be automated

122 then the whole process of assessing the quality of roads can be hastened up which  
123 can also enable maintenance operations to commence as soon as possible if nec-  
124 essary. If not automated, the delay of assessments will continue and roads that  
125 are supposedly needing maintenance may not be properly maintained which can  
126 affect the general public that is utilizing public roads daily.

127 Existing studies involving road defects such as potholes mainly focus on the  
128 detection of potholes using deep learning models and almost not considering the  
129 severity of detected potholes or did not incorporate any depth information from  
130 potholes (Ha, Kim, & Kim, 2022; Kumar, 2024; Bibi et al., 2021). In addition,  
131 for studies that include severity assessment on potholes, the main goal of the  
132 study is not directed towards road maintenance automation but other factors such  
133 as improvement of ride quality for the vehicle. Another issue found in existing  
134 solutions is the lack of incorporation to the context of Philippine roads. With  
135 these issues in mind, the study aims to utilize stereo vision from StereoPi V2  
136 in order to obtain multi-perspective views of detected potholes to be used in  
137 severity assessment by focusing on estimating the depth of individual potholes  
138 for automated road condition monitoring.

## 139 1.2 Problem Statement

140 Roads support almost every aspect of daily life, from providing a way to transport  
141 goods and services to allowing people to stay connected with their communities.  
142 However, road defects such as cracks and potholes damage roads over time, and  
143 they can increase accident risks and affect the overall transportation. The current  
144 way of inspecting the roads for maintenance is often slow as it is done manually,  
145 which makes it harder to detect and fix defects early. The delay in addressing these  
146 problems can lead to even worse road conditions (J. Chua, Personal Interview. 16  
147 September 2024). There are several research studies into automated road defect  
148 classification that have advanced in recent years but most of them focus on iden-  
149 tifying the types of defects rather than assessing their severity or characteristics  
150 like depth. Without reliable data on the depth of the defect, road maintenance  
151 authorities may underestimate the severity of certain defects. To address these  
152 challenges, advancements are needed across various areas. An effective solution  
153 should not only detect and classify road defects but also measure their severity  
154 to better prioritize repairs. Failing to address this problem will require more ex-  
155 tensive repairs for damaged roads, which raises the cost and strains the budget.  
156 Additionally, road maintenance would still be slow and cause disruptions in daily  
157 activities. Using an automated system that accurately assess the severity of road  
158 defects by incorporating depth is necessary to efficiently monitor road quality.

## <sup>159</sup> 1.3 Research Objectives

### <sup>160</sup> 1.3.1 General Objective

<sup>161</sup> This special problem aims to develop a system that accurately estimates the depth  
<sup>162</sup> of potholes on road surfaces by using image analysis, depth measurement tech-  
<sup>163</sup> nologies, and computer vision techniques. The system will focus specifically on  
<sup>164</sup> measuring the depth of potholes to assess their severity, enabling faster and more  
<sup>165</sup> accurate road maintenance decisions, and there are no current practices in the  
<sup>166</sup> Philippines involving depth information of potholes in assessing their severity. In  
<sup>167</sup> accordance with the Department of Public Works and Highways Region 6's man-  
<sup>168</sup> ual for road maintenance, the study will classify potholes into different severity  
<sup>169</sup> levels such as low, medium, and high, which will be primarily based on their  
<sup>170</sup> depth. In order to measure the system's accuracy, linear regression in order to  
<sup>171</sup> represent the difference between the depth calculated from the disparity and the  
<sup>172</sup> actual depth of the pothole from ground truth data.

### <sup>173</sup> 1.3.2 Specific Objectives

<sup>174</sup> Specifically, this special problem aims:

- <sup>175</sup> 1. To collect high-quality stereo images of road surfaces that capture potholes  
<sup>176</sup> including their depth in favorable conditions
- <sup>177</sup> 2. To measure the accuracy of the system by comparing the depth measure-  
<sup>178</sup> ments against ground truth data collected from actual road inspections and  
<sup>179</sup> to utilize linear regression, root mean square error, and mean absolute error  
<sup>180</sup> as a metric for evaluation.
- <sup>181</sup> 3. To develop a prototype system that can detect and measure road potholes  
<sup>182</sup> from image input, analyze their depth, and assess their severity.

## <sup>183</sup> 1.4 Scope and Limitations of the Research

<sup>184</sup> This system focuses solely on detecting and assessing the severity of potholes  
<sup>185</sup> through image analysis and depth measurement technologies. The scope includes

186 the collection of pothole images using cameras and depth-sensing tools under a  
187 favorable weather condition.

188 Depth-sensing tools, such as stereo cameras, will be used to record the depth  
189 of potholes specifically. The system will not address other road defects like cracks  
190 or other surface deformations; therefore, it will detect and analyze only potholes.  
191 Additionally, only accessible potholes will be measured, meaning those that are  
192 filled with water or obscured by debris may not be accurately assessed.

193 The system developed focuses exclusively on detecting potholes and assessing  
194 their severity through depth measurement. The accuracy of the system's depth  
195 measurements is evaluated by comparing them against data collected from actual  
196 field inspections. However, this comparison is limited to selected sample sites,  
197 as collecting field data over a large area can be time-consuming and resource-  
198 intensive.

199 Environmental factors such as lighting, road surface texture, and weather con-  
200 ditions may impact the system's performance. The accuracy and reliability of  
201 the system will depend on the quality of camera calibration and disparity map  
202 finetuning. Its ability to measure the depth of pothole images needs careful vali-  
203 dation.

## 204 1.5 Significance of the Research

205 This special problem aims to be significant to the following:

206 *Computer Science Community.* This system can contribute to advancements  
207 in computer vision and machine learning by using both visual and depth data to  
208 assess the severity of road defects. It introduces a more comprehensive approach  
209 compared to the usual image-only or manual inspection methods. This combina-  
210 tion can be applied to other fields that need both visual and depth analysis like  
211 medical imaging.

212 *Concerned Government Agencies.* This system offers a valuable tool for road  
213 safety and maintenance. Not only can this detect and classify anomalies, it can  
214 also assess the defect's severity which allows them to prioritize repairs, optimal  
215 project expenditures, and better overall road safety and quality.

216 *Field Engineers.* In the scorching heat, field engineers are no longer required  
217 to be on foot unless it requires their engineering judgement when surveying a road  
218 segment. It can hasten the overall assessment process.

<sup>219</sup>        *Future Researchers.* The special problem may serve as a baseline and guide of  
<sup>220</sup> researchers with the aim to pursue special problems similar or related to this.

# <sup>221</sup> Chapter 2

## <sup>222</sup> Review of Related Literature

### <sup>223</sup> 2.1 Frameworks

<sup>224</sup> This section of the chapter presents related frameworks that is considered essential  
<sup>225</sup> for the development of this special problem.

#### <sup>226</sup> 2.1.1 Depth Estimation

<sup>227</sup> Depth estimation as defined by Sanz, Mezcua, and Pena (2012) is a set of processes  
<sup>228</sup> that aims to extract a representation of a certain scene's spatial composition.  
<sup>229</sup> Stereo vision is stated to be among the depth estimation strategies.

#### <sup>230</sup> 2.1.2 Image and Video Processing

<sup>231</sup> Kumar (2024) defines image processing as a process of turning an image into its  
<sup>232</sup> digital form and extracting data from it through certain functions and operations.  
<sup>233</sup> Usual processes are considered to treat images as 2D signals wherein different  
<sup>234</sup> processing methods utilize these signals. Like image processing, RICHES Project  
<sup>235</sup> (2014) defines video processing as being able to extract information and data from  
<sup>236</sup> video footage through signal processing methods. However, in video processing  
<sup>237</sup> due to the diversity of video formats, compression and decompression methods  
<sup>238</sup> are often expected to be performed on videos before processing methods to either  
<sup>239</sup> increase or decrease bitrate.

### <sup>240</sup> 2.1.3 Stereo Vision

<sup>241</sup> MathWorks (n.d.) defines stereo vision as a process of utilizing multiple 2D per-  
<sup>242</sup> spectives in order to extract information in 3D. In addition, most uses of stereo  
<sup>243</sup> vision involve estimating an objects distance from an observer or camera. The 3D  
<sup>244</sup> information is stated to be extracted with stereo pairs or pair of images through es-  
<sup>245</sup> timation of relative depth of points in a scene which are then represented through  
<sup>246</sup> a stereo map that is made through the matching of the pair's corresponding points.

## <sup>247</sup> 2.2 Related Studies

<sup>248</sup> This section of the chapter presents related studies conducted by other researchers  
<sup>249</sup> wherein the methodology and technologies used may serve as basis in the devel-  
<sup>250</sup> opment of this special problem.

### <sup>251</sup> 2.2.1 Deep Learning Studies

#### <sup>252</sup> Automated Detection and Classification of Road Anomalies <sup>253</sup> in VANET Using Deep Learning

<sup>254</sup>

<sup>255</sup> In the study of Bibi et al. (2021) it was noted that identification of active road  
<sup>256</sup> defects are critical in maintaining smooth and safe flow of traffic. Detection and  
<sup>257</sup> subsequent repair of such defects in roads are crucial in keeping vehicles using  
<sup>258</sup> such roads away from mechanical failures. The study also emphasized the growth  
<sup>259</sup> in use of autonomous vehicles in research data gathering which is what the re-  
<sup>260</sup> searchers utilized in data gathering procedures. With the presence of autonomous  
<sup>261</sup> vehicles, this allowed the researchers to use a combination of sensors and deep  
<sup>262</sup> neural networks in deploying artificial intelligence. The study aimed to allow au-  
<sup>263</sup> tonomous vehicles to avoid critical road defects that can possibly lead to dangerous  
<sup>264</sup> situations. Researchers used Resnet-18 and VGG-11 in automatic detection and  
<sup>265</sup> classification of road defects. Researchers concluded that the trained model was  
<sup>266</sup> able to perform better than other techniques for road defect detection. The study  
<sup>267</sup> is able to provide the effectiveness of using deep learning models in training arti-  
<sup>268</sup> ficial intelligence for road defect detection and classification. However, the study  
<sup>269</sup> lacks findings regarding the severity of detected defects and incorporation of pot-  
<sup>270</sup> hole depth in their model which are both crucial in automating manual procedures  
<sup>271</sup> of road surveying in the Philippines.

272 **Road Anomaly Detection through Deep Learning Approaches**

273

274 The study of Luo, Lu, and Guo (2020) aimed to utilize deep learning models in  
275 classifying road anomalies. The researchers used three deep learning approaches  
276 namely Convolutional Neural Network, Deep Feedforward Network, and Recurrent  
277 Neural Network from data collected through the sensors in the vehicle's suspension  
278 system. In comparing the performance of the three deep learning approaches, the  
279 researchers fixed some hyperparameters. Results revealed that the RNN model  
280 was the most stable among the three and in the case of the CNN and DFN mod-  
281 els, the researchers suggested the use of wheel speed signals to ensure accuracy.  
282 And lastly, the researchers concluded that the RNN model was best due to high  
283 prediction performance with small set parameters. However, proper severity as-  
284 sessment through depth information was not stated to be utilized in any of the  
285 three approaches used in the study.

286 **Assessing Severity of Road Cracks Using Deep Learning-  
287 Based Segmentation and Detection**

288

289 In the study of Ha et al. (2022), it was argued that the detection, classification,  
290 and severity assessment of road cracks should be automated due to the bottleneck  
291 it causes during the entire process of surveying. For the study, the researchers  
292 utilized SqueezeNet, U-Net, and MobileNet-SSD models for crack classification and  
293 severity assessment. Furthermore, the researchers also employed separate U-nets  
294 for linear and area cracking cases. For crack detection, the researchers followed  
295 the process of pre-processing, detection, classification. During preprocessing im-  
296 ages were smoothed out using image processing techniques. The researchers also  
297 utilized YOLOv5 object detection models for classification of pavement cracking  
298 wherein the YOLOv51 model recorded the highest accuracy. The researchers how-  
299 ever stated images used for the study are only 2D images which may have allowed  
300 higher accuracy rates. Furthermore, the researchers suggest incorporating depth  
301 information in the models to further enhance results.

302 **Roadway pavement anomaly classification utilizing smart-  
303 phones and artificial intelligence**

304

305 The study of Kyriakou, Christodoulou, and Dimitriou (2016) presented what is  
306 considered as a low-cost technology which was the use of Artificial Neural Net-  
307 works in training a model for road anomaly detection from data gathered by  
308 smartphone sensors. The researchers were able to collect case study data us-  
309 ing two-dimensional indicators of the smartphone's roll and pitch values. In the  
310 study's discussion, the data collected displayed some complexity due to accelera-  
311 tion and vehicle speed which lead to detected anomalies being not as conclusive as

312 planned. The researchers also added that the plots are unable to show parameters  
313 that could verify the data's correctness and accuracy. Despite the setbacks, the  
314 researchers still fed the data into the Artificial Neural Network that was expected  
315 to produce two outputs which were “no defect” and “defect.” The method still  
316 yielded above 90% accuracy but due to the limited number of possible outcomes  
317 in the data processing the researchers still needed to test the methodology with  
318 larger data sets and roads with higher volumes of anomalies.

### 319 **2.2.2 Machine Learning Studies**

#### 320 **Smartphones as Sensors for Road Surface Monitoring**

321  
322 In their study, Sattar, Li, and Chapman (2018) noted the rise of sensing capabilities  
323 of smartphones which they utilized in monitoring road surface to detect and  
324 identify anomalies. The researchers considered different approaches in detecting  
325 road surface anomalies using smartphone sensors. One of which are threshold-  
326 based approaches which was determined to be quite difficult due to several factors  
327 that are affecting the process of determining the interval length of a window  
328 function in spectral analysis. The researchers also utilized a machine learning  
329 approach adapted from another study. It was stated that k-means was used in  
330 classifying sensor data and in training the SVM algorithm. Due to the requirement  
331 of training a supervised algorithm using a labeled sample data was required  
332 before classifying data from sensors, the approach was considered to be impractical  
333 for real-time situations. In addition, Sattar et al. (2018) also noted various  
334 challenges when utilizing smartphones as sensors for data gathering such as sensors  
335 being dependent on the device's placement and orientation, smoothness of  
336 captured data, and the speed of the vehicle it is being mounted on. Lastly, it was  
337 also concluded that the accuracy and performance of using smartphone sensors is  
338 challenging to compare due to the limited data sets and reported algorithms.

#### 339 **Road Surface Quality Monitoring Using Machine Learning 340 Algorithms**

341  
342 The study of Singh, Bansal, Kamal, and Kumar (2021) aimed to utilize machine  
343 learning algorithms in classifying road defects as well as predict their locations.  
344 Another implication of the study was to provide useful information to commuters  
345 and maintenance data for authorities regarding road conditions. The researchers  
346 gathered data using various methods such as smartphone GPS, gyroscopes, and  
347 accelerometers. (Singh et al., 2021) also argued that early existing road monitoring  
348 models are unable to predict locations of road defects and are dependent  
349 on fixed roads and static vehicle speed. Neural and deep neural networks were

350 utilized in the classification of anomalies which was concluded by the researchers  
351 to yield accurate results and are applicable on a larger scale of data. The study  
352 of Singh et al. (2021) can be considered as an effective method in gathering data  
353 about road conditions. However, it was stated in the study that relevant authori-  
354 ties will be provided with maintenance operation and there is no presence of any  
355 severity assessment in the study. This may cause confusion due to a lack of as-  
356 sessment on what is the road condition that will require extensive maintenance or  
357 repair.

358 **2.2.3 Computer Vision Studies**

359 **Stereo Vision Based Pothole Detection System for Improved**  
360 **Ride Quality**

361  
362 In the study of Ramaiah and Kundu (2021) it was stated that stereo vision has  
363 been earning attention due to its reliable obstacle detection and recognition. Fur-  
364 thermore, the study also discussed that such technology would be useful in improv-  
365 ing ride quality in automated vehicles by integrating it in a predictive suspension  
366 control system. The proposed study was to develop a novel stereo vision based  
367 pothole detection system which also calculates the depth accurately. However,  
368 the study focused on improving ride quality by using the 3D information from  
369 detected potholes in controlling the damping coefficient of the suspension system.  
370 Overall, the pothole detection system was able to achieve 84% accuracy and is  
371 able to detect potholes that are deeper than 5 cm. The researchers concluded  
372 that such system can be utilized in commercial applications. However, it is also  
373 worth noting that despite the system being able to detect potholes and measure  
374 its depth, the overall severity of the pothole and road condition was not addressed.

## <sup>375</sup> 2.3 Chapter Summary

<sup>376</sup> The reviewed literature involved various techniques and approaches in road anomaly detection and classification. These approaches are discussed and summarized below along with their limitations and research gaps.

Study	Technology/ Techniques Used	Key Findings	Limitations
Automated Detection and Classification of Road Anomalies in VANET Using Deep Learning	Resnet-18 and VGG-11	Trained model is able to provide the effectiveness of using deep learning models in training artificial intelligence for road defect detection and classification.	Lacks findings regarding the severity of detected defects.
Smartphones as sensors for Road surface monitoring	Machine Learning, Smartphones	Approach was considered impractical for real-life applications.	Sensors are dependent on device's placement and orientation, smoothness of data, and speed of vehicle it is mounted on. Accuracy of results is difficult to compare.
Road Anomaly Detection through Deep Learning Approaches	Convolutional Neural Network, Deep Feedforward Network, and Recurrent Neural Network	Identified that RNN was the best deep learning approach due to high prediction performance.	Data collection is considered too difficult and complicated to execute due to sensors being mounted on an integral part of the vehicle.
Assessing Severity of Road Cracks Using Deep Learning-Based Segmentation and Detection	SqueezeNet, U-Net, YOLOv5, and MobileNet-SSD models	YOLOv5 model recorded the highest accuracy.	Only 2D images are used for the study which may have allowed higher accuracy rates, and the study also lacked depth information.
Stereo Vision Based Pothole Detection System for Improved Ride Quality	Pair of stereo images captured by a stereo camera	System was able to achieve 84% accuracy and is able to detect potholes that are deeper than 5 cm.	Overall severity of the pothole and road condition was not addressed.

Table 2.1: Comparison of Related Studies on Road Anomaly Detection using Deep Learning Techniques and Stereo Vision

<sup>379</sup> **Chapter 3**

<sup>380</sup> **Methodology**

<sup>381</sup> This chapter outlines the systematic approach that were taken to address the  
<sup>382</sup> problem of pothole depth estimation using StereoPi V2. The methodology is  
<sup>383</sup> divided into key phases: data collection, algorithm selection, design, testing and  
<sup>384</sup> experimentation, and challenges and limitations. Each phase will play a crucial  
<sup>385</sup> role in accurately classifying and assessing road defects. Each phase is essential  
<sup>386</sup> for accurately estimating the depth of potholes using StereoPi V2.

<sup>387</sup> **3.1 Research Activities**

<sup>388</sup> **3.1.1 Data Collection**

<sup>389</sup> The researchers conducted initial inquiries to understand the problem domain and  
<sup>390</sup> existing road maintenance practices. This phase included consulting the engineers  
<sup>391</sup> under the Road Maintenance Department of the government agency Department  
<sup>392</sup> of Public Works and Highways (DPWH). An interview with Engr. Jane Chua pro-  
<sup>393</sup> vided a comprehensive overview of the DPWH's road maintenance manual, which  
<sup>394</sup> was crucial in aligning this project with existing standards. This collaboration  
<sup>395</sup> with DPWH provided insights into road pothole classification standards, ensuring  
<sup>396</sup> that the collected data will align with industry standards. The DPWH manual  
<sup>397</sup> primarily focuses on the volume of detected potholes within a road segment as  
<sup>398</sup> a measure of severity. However, since depth is not explicitly measured in their  
<sup>399</sup> current procedures, the study will supplement this by referencing international  
<sup>400</sup> standards such as the Long-Term Pavement Performance (LTPP) classification  
<sup>401</sup> used in the United States (Miller et al., 2014). The LTPP categorizes potholes

402 based on depth thresholds, which will be integrated with DPWH's volume-based  
403 assessment to provide a more comprehensive severity classification framework.  
404 The data collection involved capturing around 130 images of potholes from var-  
405 ious locations within the UP Visayas Campus. Ground truth data of pothole  
406 depth were collected by the researchers by measuring the depth of different points  
407 in an individual pothole and then solving for its average depth. The aforemen-  
408 tioned process was validated by Engr. Benjamin Javellana, Assistant Director  
409 of the DPWH Regional Office 6 Maintenance Division. In order to individually  
410 locate or determine each pothole where the ground truth data is collected, images  
411 taken were labeled with their corresponding coordinates, street names, and nearby  
412 landmarks.

#### 413 **3.1.1.1 Data Collection (Ground Truth Data)**

414 Data collection took place between January and March 2025, during which the  
415 researchers collected depth information from 130 potholes around the University of  
416 the Philippines Visayas Miagao Campus. During data collection, the researchers  
417 are equipped with safety vests and an early warning device to give caution to  
418 incoming vehicles. To measure the depth of each pothole, the researchers recorded  
419 four depth points within the pothole and calculated their average.

### 420 **3.1.2 Design, Testing, and Experimentation**

421 This section outlines both the design and testing of the system, as well as the  
422 experimentation process to validate the selected methodologies.

#### 423 **3.1.2.1 Depth Measurement**

424 Depth estimation is performed by generating disparity maps from the calibrated  
425 stereo image pairs captured by the StereoPi V2. In this process, two key mea-  
426 surement points are selected for each pothole: one targeting the pothole area  
427 itself, and another targeting the adjacent road surface considered as the reference  
428 plane. By calculating the difference in disparity values between these two points,  
429 the system estimates the relative depth of the pothole. This approach improves  
430 accuracy by normalizing disparity measurements against the nearby road surface,  
431 effectively isolating the pothole's depth from overall scene variation.

432 The disparity-to-depth conversion utilizes an inverse model derived from cali-

433 bration data, ensuring that the depth estimates reflect real-world distances accu-  
434 rately within the effective operational range of the stereo camera setup.

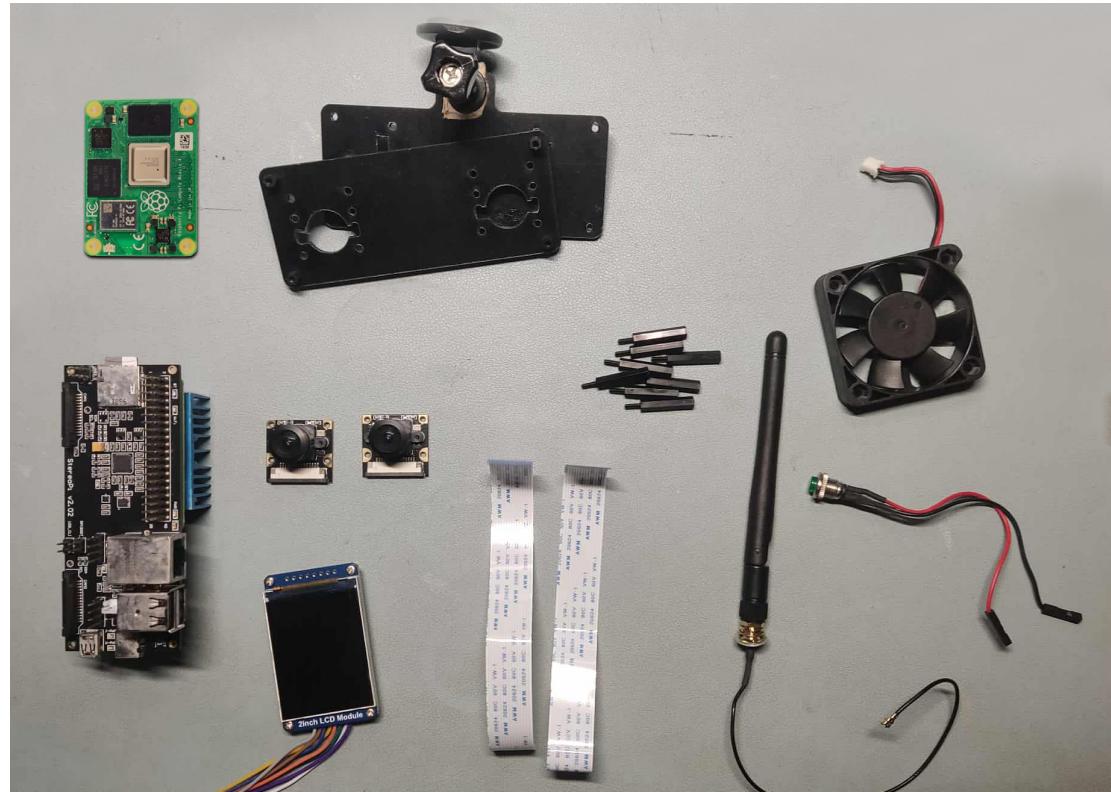
435 **3.1.2.2 Severity Assessment**

436 The estimated pothole depths were classified using the Long-Term Pavement Per-  
437 formance (LTPP) depth thresholds, an internationally recognized framework for  
438 pavement distress evaluation. This classification provides standardized criteria  
439 to assess pothole severity objectively based on measured depth values. Specifi-  
440 cally, potholes with depths less than 2.5 cm are categorized as low severity, those  
441 between 2.5 cm and 5 cm as medium severity, and potholes exceeding 5 cm are  
442 classified as high severity (Miller et al., 2014)

443 **3.1.2.3 Materials and Equipment**

444 The prototype system was constructed using several hardware components, which  
445 include the items listed below and shown in Figure 3.1:

- 446 • StereoPi V2 Board
- 447 • Raspberry Pi Compute Module 4 (CM4)
- 448 • Dual RaspberryPi Camera Modules with Fisheye Lens
- 449 • 3D Printed Custom Housing
- 450 • 2-inch LCD Module
- 451 • Micro SD Card
- 452 • Antenna
- 453 • Momentary Push Button



454

Figure 3.1: Components used in the prototype development.

#### 455    3.1.2.4    Prototype Building

456    The prototype involved the StereoPi V2 Kit which was acquired through an official  
457    international distributor. After assembling the camera, it was further modified to  
458    address its heating by incorporating a heat sink and a small computer fan to  
459    make it suitable for outdoor use.

460

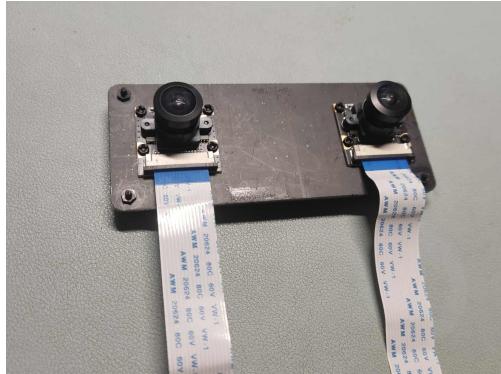


Figure 3.2: Dual RPi Camera Modules attached to the custom housing.

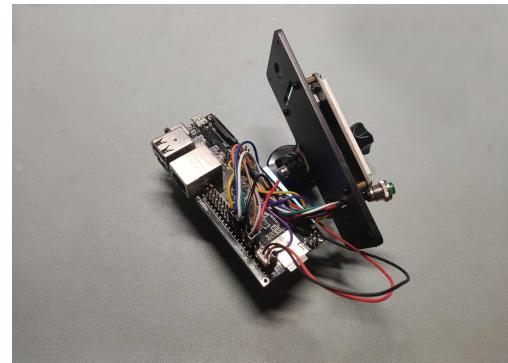


Figure 3.3: LCD Module connected to the StereoPi board.

461



Figure 3.4: The finished prototype.

#### 462 3.1.2.5 Camera Calibration (Fisheye Distortion)

463 The StereoPi V2 is first calibrated using a 9 by 6 checkerboard, with a checker  
464 size of 55mm, from different angles through calibration scripts that came with the  
465 package. This process ensured that the camera is working properly in capturing  
466 stereo imagery. This removed distortion from captured images allowing depth  
467 estimation with more accuracy.

468



Figure 3.5: Calibration process with a checkerboard to correct fisheye lens distortion.

469

### 3.1.2.6 Camera Calibration (Disparity Map Fine-tuning)

470

The stereo image pairs captured by the system were first rectified to ensure proper alignment of corresponding features. Block matching parameters were then fine-tuned to produce clearer and more accurate disparity maps. It was observed that the effective operational range of the stereo camera system extends from approximately 30 to 80 cm. At distances closer than 30 cm, the disparity maps exhibited significant noise, while at distances beyond 80 cm, disparity information became sparse or blank.

477

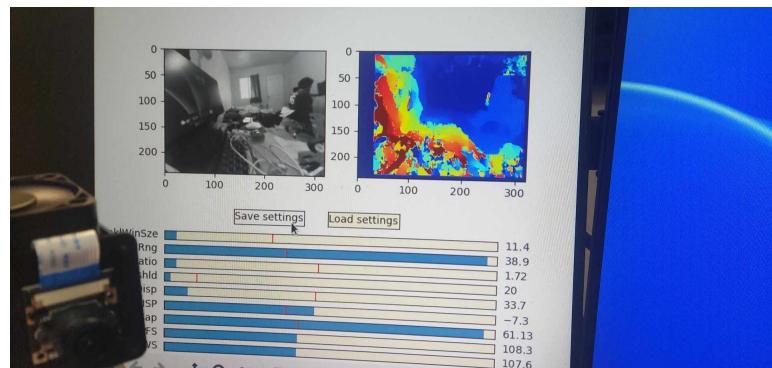


Figure 3.6: Parameter tuning process to achieve cleaner and more accurate disparity maps.

478

### 3.1.2.7 Initial Testing

479

Initial testing was conducted to verify the functionality and basic accuracy of the stereoscopic camera system in a controlled environment. Artificial potholes with

481 known depths were created to simulate varying real-world scenarios. The system  
482 captured disparity maps, and estimated depths were computed using the standard  
483 stereo camera depth formula.

484

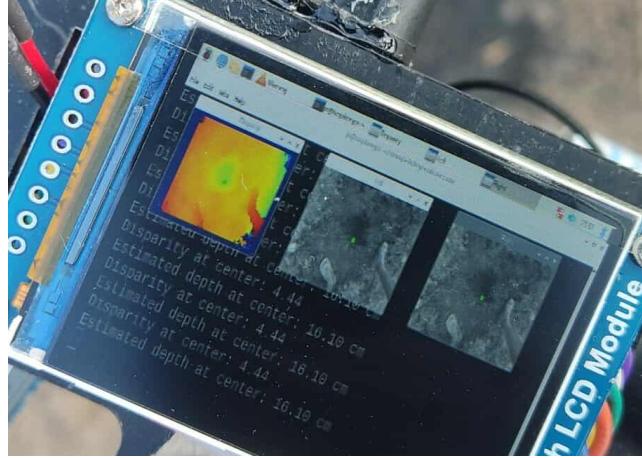


Figure 3.7: The system tested on a simulated pothole.

485 However, the results revealed a non-linear relationship between the computed  
486 disparity values and the actual distances. This discrepancy indicated that the  
487 traditional depth estimation method was insufficient for the current setup. To  
488 address this, the researchers collected multiple data points and correlating known  
489 distances to their respective disparity readings and fitted an inverse model to  
490 better represent the system's behavior (see Figure 4.4). This updated disparity-  
491 to-depth model was subsequently used in the final testing phase.

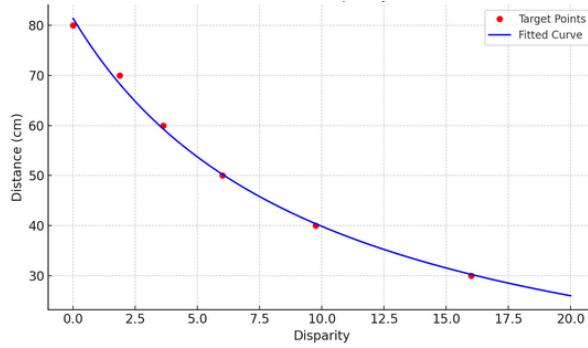


Figure 3.8: Inverse Model Fit to Disparity vs. Distance.

492 **3.1.2.8 Performance Metrics**

493 The accuracy of the pothole depth estimated by StereoPi V2 was analyzed using  
494 Linear Regression in order to model the difference between the disparity and  
495 distance. The lower the disparity indicates that the pothole is deeper.

496 **3.1.2.9 Final Testing and Validation**

497 The testing process began with a detailed testing plan that includes both simu-  
498 lated and real-world testing scenarios. Initially, the system is tested in controlled  
499 environments to ensure it can estimate pothole depth effectively. Following this,  
500 real-world testing was conducted using the StereoPi kit on previously located  
501 potholes, specifically at the University of the Philippines Visayas Miagao Cam-  
502 pus. The system's performance was validated by comparing its predictions with  
503 ground-truth data collected from manual inspections.

504 **3.1.2.10 Documentation**

505 Throughout the research activities, thorough documentation was maintained.  
506 This documentation captured all methods, results, challenges, and adjustments  
507 made during the experimentation phases. It ensured the reproducibility of the  
508 work and provided transparency for future research endeavors.

509 **3.1.3 Challenges and Limitations**

510 **3.1.3.1 Camera Limitations**

511 During the data collection process, the researchers were faced with various issues  
512 involving the StereoPi V2 kit. Issues like inaccuracies in the rectified image pair  
513 and generated disparity map were very apparent in the early stages of data collec-  
514 tion due to limited related studies and literature involving the camera. In addition,  
515 the camera also yielded some inaccurate depth estimation and over reliance on  
516 controlled environments which prompted the researchers to further improve its  
517 tuning and calibration.

518 **Chapter 4**

519 **Results**

520 This chapter presents the results on estimating the depth of potholes using the  
521 StereoPi system. It details the prototype construction, calibration of the system,  
522 and the application of regression analysis to improve depth estimation. It also  
523 contains the measurements taken during the testing phases, comparing the ground  
524 truth depths with the value estimated by the camera. Findings are presented  
525 systematically, supported by tables showing the collected data, images of the  
526 outputs, and discussion on the analysis of results.

527 **4.1 System Calibration and Model Refinement**

528 After the initial testing, the system was calibrated using a controlled setup, where  
529 artificial potholes with known depths were created. The stereo camera system  
530 captured disparity maps, from which depth was calculated using the standard  
531 stereo vision formula:

$$\text{Depth} = \frac{f \times B}{d}$$

532 where:

- 533 •  $f$  is the focal length in pixels,  
534 •  $B$  is the baseline distance between the two cameras,  
535 •  $d$  is the disparity.

536        However, preliminary observations revealed that the relationship between mea-  
537        sured disparity and depth was shifted from the ideal. Their relationship is in-  
538        herently nonlinear, specifically an inverse relationship (of the form  $y=1/x$ ). As  
539        disparity decreases, depth increases rapidly and nonlinearly. However, due to  
540        real-world factors such as lens distortion, imperfect calibration, stereo matching  
541        errors, and pixel quantization, the actual relationship between measured disparity  
542        and true depth often deviates from the theoretical ideal (Scharstein & Szeliski,  
543        2002).

544        To address the shifting behavior, a curve fitting approach was introduced.  
545        Specifically, an inverse model was fitted to the collected data points, relating  
546        disparity and ground-truth distance measurements.

547        An inverse function of the form:

$$y = a + \frac{b}{x}$$

548        where:

- 549        •  $y$  is the estimated distance (in cm),  
550        •  $x$  is the measured disparity,  
551        •  $a$  and  $b$  are coefficients obtained through regression analysis.

## 552        4.2 Testing Results

553        Following calibration, actual potholes located around the University of the Philip-  
554        pines Visayas (UPV) campus were tested. The ground truth depths of the potholes  
555        were measured manually and compared with the depths estimated by the Stereo-  
556        oPi camera. Based on the results, the StereoPi camera was able to estimate the  
557        depths fairly close to the actual measurements.

558        The smallest error occurred in one pothole, where the estimated depth was  
559        only 0.02 cm off from the ground truth. The largest observed error was 7.05 cm.  
560        Most of the time, the camera's estimated depths were within approximately 1 to  
561        3 centimeters of the actual depths. This demonstrates reasonable accuracy given  
562        the hardware setup and environmental conditions.

563 A complete comparison of ground truth and estimated depth values can be  
 564 found in Appendix A.

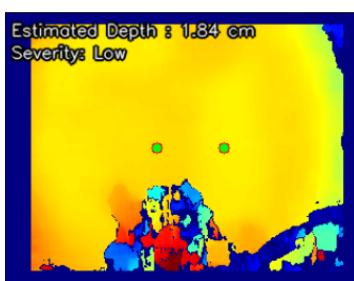


Figure 4.1: Disparity Map



Figure 4.2: Left Stereo Image

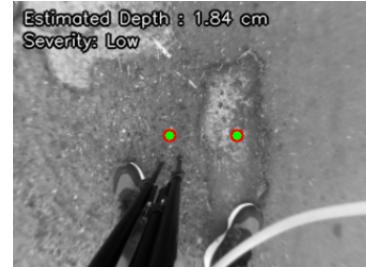


Figure 4.3: Right Stereo Image

565 The results show that the StereoPi system provides highly accurate estimates  
 566 of pothole depth. The strong correlation ( $R=0.978$ ) and high coefficient of de-  
 567 termination ( $R^2=0.956$ ) indicate that the actual depth significantly predicts the  
 568 estimated values. The regression coefficient for actual depth was statistically sig-  
 569 nificant ( $p < 0.001$ ), suggesting that the relationship is not due to chance. While  
 570 the RMSE of 0.844 cm and MAE of 0.945 cm suggest generally small errors, the  
 571 presence of a maximum error of 3.45 cm indicates that there may be occasional  
 572 outliers or limitations in specific scenarios. Nonetheless, the overall model per-  
 573 formance demonstrates that the StereoPi system is suitable for practical pothole  
 574 depth estimation.

<b>R</b>	<b>R<sup>2</sup></b>	<b>Root Mean Square Error (cm)</b>	<b>Mean Absolute Error (cm)</b>
0.978	0.956	0.844	0.945

Table 4.1: Linear Regression Model Fit Summary

<b>Predictor</b>	<b>Estimate</b>	<b>SE</b>	<b>t</b>	<b>p</b>
Intercept	0.159	0.2544	0.625	0.536
Actual Depth	0.848	0.0317	26.752	<0.001

Table 4.2: Model Coefficients - Estimated Depth

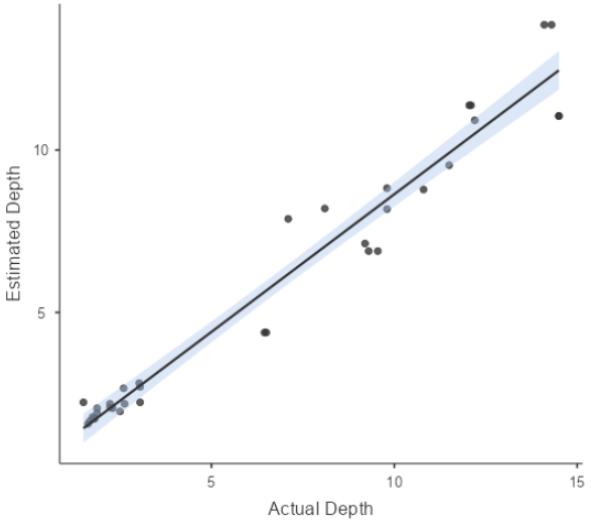


Figure 4.4: Linear Regression Fit Between Actual and Estimated Depth

### <sup>575</sup> 4.3 Discussion

<sup>576</sup> The study found that stereo vision works effectively in helping estimate the depth  
<sup>577</sup> of road potholes. The system built using the StereoPi V2 camera was able to  
<sup>578</sup> measure pothole depths with results mostly within  $\pm 3$  cm of the actual ground  
<sup>579</sup> truth values, with an overall root mean square error (RMSE) of 0.844 cm and  
<sup>580</sup> mean absolute error (MAE) of 0.945 cm. This matches the general observation  
<sup>581</sup> in earlier studies such as those by Ramaiah and Kundu (2021), which showed  
<sup>582</sup> that stereo vision can provide useful 3D information for road obstacle detection.  
<sup>583</sup> However, this study advances previous work by focusing not just on detection,  
<sup>584</sup> but on depth-based severity classification, which was largely missing in earlier  
<sup>585</sup> research.

<sup>586</sup> A strong positive correlation ( $R = 0.978$ ) and coefficient of determination ( $R^2$   
<sup>587</sup> = 0.956) indicate that the actual pothole depths strongly predict the estimated  
<sup>588</sup> values. The regression model's significant predictor ( $p < 0.001$ ) further supports  
<sup>589</sup> the robustness of the depth estimation approach. This level of accuracy and model  
<sup>590</sup> performance highlights the suitability of the StereoPi system for practical field  
<sup>591</sup> applications in pothole monitoring and maintenance prioritization. This finding  
<sup>592</sup> is significant because earlier machine learning-based road detection studies such as  
<sup>593</sup> those by Bibi et al. (2021) focused mostly on classifying the existence of defects,  
<sup>594</sup> not measuring their severity.

595        The outputs of the system were generally positive, showing that with proper  
596 calibration and tuning, consistent and reliable depth estimates can be produced.  
597 Calibration using checkerboards and tuning block matching parameters were cru-  
598 cial steps in achieving these results. Similar to the findings of Sanz et al. (2012),  
599 proper stereo camera calibration was found to be critical to achieving accept-  
600 able disparity maps. This reinforces the importance of calibration techniques,  
601 especially in real-world outdoor conditions where environmental factors introduce  
602 noise.

603        It was also observed that incorporating depth measurements into pothole de-  
604 tection greatly improves how potholes are prioritized for repairs compared to  
605 traditional visual-only inspections. This insight fills a notable gap in current  
606 practices, especially in the Philippine context where depth measurements are not  
607 typically part of road surveys (Ramos et al., 2023). Depth-based severity clas-  
608 sification enables road maintenance teams to make more informed and objective  
609 decisions on which potholes to prioritize for immediate repair, helping to optimize  
610 resource allocation and improve public road safety.

611        However, the study also highlighted limitations affecting system performance,  
612 including sensitivity to camera calibration quality, lighting conditions, road sur-  
613 face texture, and the camera's vertical positioning during image capture. Outdoor  
614 testing revealed that low lighting and shallow potholes made it difficult to gen-  
615 erate clean disparity maps, sometimes causing minor estimation errors. These  
616 observations are consistent with Sattar et al. (2018), who reported that mobile  
617 road sensing systems often struggle in low-light or highly variable surface condi-  
618 tions. Understanding these challenges is important because it points to practical  
619 improvements, such as using better cameras, adding lighting support, or applying  
620 more robust image enhancement methods in future versions of the system.

# 621 Chapter 5

## 622 **Summary, Conclusions, 623 Discussion, and 624 Recommendations**

625 This chapter provides conclusions based on the research findings from data col-  
626 lected on the development of a pothole depth estimation system using stereo vision  
627 technology. It also presents a discussion and recommendations for future research.  
628 This chapter reviews the purpose of the study, research questions, related liter-  
629 ature, methodology, and findings. It then presents the conclusions, a discussion  
630 of the results, recommendations for practice, suggestions for further research, and  
631 the final conclusion of the study.

### 632 **5.1 Summary**

633 This special project addressed the critical issue of road maintenance by developing  
634 a system capable of estimating the depth of potholes to help prioritize repairs.  
635 The purpose of the project was to create an automated method that not only  
636 detects potholes but also assesses their severity based on depth, responding to  
637 the current manual and slow road inspection practices. The researchers aimed to  
638 collect high-quality images of potholes under varying conditions, to validate the  
639 system's depth estimation accuracy using ground truth measurements and linear  
640 regression analysis, and to build a working prototype using stereo vision that can  
641 detect, measure, and assess potholes.

642 To achieve these objectives, a hardware prototype was built using the StereoPi

643 V2 board and Raspberry Pi Compute Module 4, equipped with dual fisheye-lens  
644 cameras. Camera calibration was performed using a 9x6 checkerboard pattern  
645 with known square sizes to correct for fisheye lens distortion and ensure proper  
646 alignment of the stereo pair. After calibration, disparity map generation was  
647 fine-tuned by adjusting block matching parameters to produce clearer and more  
648 reliable disparity maps. Initial testing was conducted using simulated potholes  
649 with known depths to verify the functionality of the system and identify the non-  
650 linear behavior present in stereo vision depth measurements. It was observed that  
651 using the standard stereo depth formula led to inaccuracies, particularly at greater  
652 distances.

653 The calibrated system and fitted regression model were validated by comparing  
654 the estimated depths with the manually measured depths. The findings showed  
655 that the system was able to estimate pothole depths within approximately  $\pm 3$   
656 cm of actual measurements, achieving a Mean Absolute Error (MAE) of 0.945 cm  
657 and a Root Mean Square Error (RMSE) of 0.844 cm. A strong positive linear  
658 relationship was observed between the estimated and actual depths ( $R = 0.978$ ,  
659  $R^2 = 0.956$ ).

## 660 5.2 Conclusions

661 The researchers conclude the following based on the findings:

- 662 • The system effectively captures and analyzes depth information from stereo  
663 images, providing a viable method for automated pothole severity assess-  
664 ment.
- 665 • Incorporating depth measurements significantly improves pothole repair pri-  
666 oritization compared to traditional visual-only inspections, allowing main-  
667 tenance decisions to be based on objective, measurable data.
- 668 • The system achieved an acceptable regression model fit, with a strong posi-  
669 tive correlation ( $R = 0.978$ ) and a coefficient of determination ( $R^2 = 0.956$ ),  
670 confirming that the depth estimates closely align with the ground truth  
671 measurements. The system obtained satisfactory error metrics, with a Mean  
672 Absolute Error (MAE) of 0.945 cm and a Root Mean Square Error (RMSE)  
673 of 0.844 cm, indicating reliable performance for both pothole detection and  
674 depth estimation tasks.
- 675 • The proposed approach fills a critical gap in current road maintenance prac-  
676 tices, especially within the Philippine context where depth-based severity

677 classification is not yet systematically implemented.

### 678 5.3 Recommendations for Practice

679 Based on the findings of this special project, the following recommendations are  
680 proposed for future researchers, engineers, and road maintenance agencies:

681 *Use stereo vision systems for road surveys.* In contexts where LiDAR-based  
682 technologies may be cost-prohibitive, maintenance agencies should consider adopting  
683 calibrated stereo vision systems for estimating pothole depth. This approach  
684 offers a more cost-effective alternative while still enabling depth-based severity  
685 classification, thereby allowing for more objective and data-driven prioritization  
686 of road repairs compared to traditional visual inspections.

687 *Incorporate depth-based severity classification in maintenance procedures.* Au-  
688 thorities should update road inspection protocols to include depth measurements,  
689 making pothole severity assessment more objective and standardized.

### 690 5.4 Suggestions for further research

691 Based on the limitations encountered and the results obtained, the researchers have  
692 observed that there are lapses and possible improvements to further better this  
693 system.

694 *Better camera.* While the StereoPi V2 camera was effective for basic depth  
695 estimation, its performance is limited by its resolution, sensitivity to lighting,  
696 and depth range. Future researchers could consider using higher-quality stereo  
697 cameras or depth sensors with better image resolution and low-light capabilities  
698 to achieve more accurate and consistent disparity maps.

699 *Improve camera calibration and tuning.* While the StereoPi system produced  
700 good depth estimates, the results still varied depending on the precision of the  
701 camera calibration. Future researchers can explore better calibration techniques  
702 and finer parameter adjustments to minimize errors, especially in challenging en-  
703 vironments.

704 *Use of multi-camera arrays.* Instead of relying solely on a two-camera stereo  
705 setup, future research could explore the use of multi-point or multi-angle camera

706 arrays. These systems can offer improved depth perception and coverage, partic-  
707 ularly for complex or uneven road surfaces, by capturing more comprehensive 3D  
708 data.

709 *Integration of stereo vision with motion-based analysis.* Incorporating frame  
710 differencing techniques, similar to motion detection algorithms, could be beneficial  
711 for dynamic environments or mobile applications. This approach may simulate  
712 the effect of a moving vehicle and allow the system to detect and estimate potholes  
713 more robustly in real time, enhancing its applicability for onboard vehicle-mounted  
714 systems.

## 715 **5.5 Conclusion**

716 This special project has successfully developed a system that addresses the prob-  
717 lem of pothole severity assessment using depth measurement. The research shows  
718 that stereo vision, even using accessible and affordable technology, holds strong  
719 potential for future development in road maintenance automation. By building  
720 upon the foundation laid by this project, future systems can become even more  
721 accurate, efficient, and practical for real-world deployment.

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<sup>765</sup> **Appendix A**

<sup>766</sup> **Appendix**

Table A.1: Actual vs. Estimated Depths with Residuals and Absolute Errors

Sample	Actual Depth (cm)	Estimated Depth (cm)	Residual	Absolute Error (cm)
1	4.000	11.050	7.050	7.050
2	12.050	11.380	-0.670	0.670
3	6.450	4.380	-2.070	2.070
4	9.550	6.890	-2.660	2.660
5	14.100	13.860	-0.240	0.240
6	1.875	2.050	0.175	0.175
7	2.600	2.663	0.063	0.063
8	1.500	2.230	0.730	0.730
9	1.750	1.775	0.025	0.025
10	1.625	1.567	-0.058	0.058
11	1.800	1.745	-0.055	0.055
12	1.675	1.653	-0.022	0.022
13	2.225	2.078	-0.147	0.147
14	1.875	1.903	0.028	0.028
15	3.050	2.230	-0.820	0.820
16	2.625	2.185	-0.440	0.440
17	3.050	2.708	-0.342	0.342
18	2.225	2.185	-0.040	0.040
19	3.025	2.822	-0.203	0.203
20	1.800	1.718	-0.083	0.083
21	2.300	2.047	-0.252	0.252
22	2.500	1.950	-0.550	0.550
23	10.800	8.785	-2.015	2.015
24	11.500	9.533	-1.967	1.967
25	9.200	7.122	-2.077	2.077
26	9.800	8.825	-0.975	0.975
27	12.200	32 10.918	-1.282	1.282
28	7.100	7.883	0.783	0.783
29	9.800	8.182	-1.618	1.618
30	9.100	8.200	-0.100	0.100

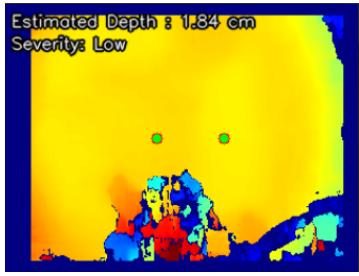


Figure A.1: Disparity Map



Figure A.2: Left Stereo Image

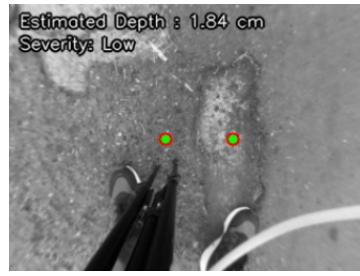


Figure A.3: Right Stereo Image

<sup>767</sup> **Appendix B**

<sup>768</sup> **Resource Persons**

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