

1 DEFECTRA: ROAD DEFECT SEVERITY ASSESSMENT
2 AND CLASSIFICATION

3 A Special Problem Proposal
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Abstract

21 From 150 to 200 words of short, direct and complete sentences, the abstract should
22 be informative enough to serve as a substitute for reading the entire SP document
23 itself. It states the rationale and the objectives of the research. In the final Special
24 Problem document (i.e., the document you'll submit for your final defense), the
25 abstract should also contain a description of your research results, findings, and
26 contribution(s).

27 Suggested keywords based on ACM Computing Classification system can be
28 found at https://dl.acm.org/ccs/ccs_flat.cfm

29 **Keywords:** Keyword 1, keyword 2, keyword 3, keyword 4, etc.

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Chapter 1

Introduction

1.1 Overview

According to the National Road Length by Classification, Surface Type, and Condition of the Department of Public Works and Highways (DPWH), as of October 2022 approximately 98.97

In an interview with the Road Board of DPWH Region 6 it was stated that road condition assessments are mostly done manually with heavy reliance on engineering judgment. In addition, manual assessment of roads is also time consuming which leaves maintenance operations to wait for lengthy assessments (J. Chua, Personal Interview. 16 September 2024). In a study conducted by Ramos, Dacanay, and Bronuela-Ambrocio (2022), it was found that the Philippines' current method of manual pavement surveying is considered as a gap since it takes an average of 2-3 months to cover a 250 km road as opposed to a 1 day duration in the Australian Road Research Board for the same road length. Ramos et al. (2022) recommended that to significantly improve efficiency of surveying methods and data gathering processes, automated survey tools are to be employed. It was also added that use of such automated surveying tools can also guarantee the safety of road surveyors (Ramos et al., 2022).

If the process of assessment on the severity of road defects can be automated then the whole process of assessing the quality of roads can be hastened up which can also enable maintenance operations to commence as soon as possible if necessary. If not automated, the delay of assessments will continue and roads that are supposedly needing maintenance may not be properly maintained which can affect the general public that is utilizing public roads daily.

1.2 Problem Statement

Roads support almost every aspect of daily life, from providing a way to transport goods and services to allowing people to stay connected with their communities. However, road defects such as cracks and potholes damage roads over time, and they can increase accident risks and affect the overall transportation. The current way of inspecting the roads for maintenance is often slow as it is done manually, which makes it harder to detect and fix defects early. The delay in addressing these problems can lead to even worse road conditions (J. Chua, Personal Interview. 16 September 2024). There are several research studies into automated road defect classification that have advanced in recent years but most of them focus on identifying the types of defects rather than assessing their severity or characteristics like depth. Without reliable data on the depth of the defect, road maintenance authorities may underestimate the severity of certain defects. To address these challenges, advancements are needed across various areas. An effective solution should not only detect and classify road defects but also measure their severity to better prioritize repairs. Failing to address this problem will require more extensive repairs for damaged roads, which raises the cost and strains the budget. Additionally, road maintenance would still be slow and cause disruptions in daily activities. Using an automated system that accurately detects, classifies, and assess the severity of road defects by incorporating depth are necessary to efficiently monitor road quality.

1.3 Research Objectives

1.3.1 General Objective

This special problem aims to develop an automated system that will accurately detect, classify, and assess the severity of the different types of road defects by using image analysis, depth measurement technologies, and combination of machine learning and computer vision techniques.

1.3.2 Specific Objectives

Specifically, this special problem aims:

1. To collect high-quality images of road surfaces that capture different types of

- 132 road defects including their depth in various lighting and weather conditions.
- 133 2. To develop and train a machine learning model to detect, classify, and assess
134 the severity of road defects from images.
- 135 3. To measure the accuracy of the system by comparing the depth measure-
136 ments against ground truth data collected from actual road inspections
- 137 4. To develop a prototype system that can detect and measure road defects
138 from image input, analyze their depth, and assess their severity.

139 1.4 Scope and Limitations of the Research

140 This system will include a collection of images of different road defects, such as
141 potholes and cracks, using cameras and depth-sensing tools. The images will be
142 captured under various lighting and weather conditions to ensure that the data
143 has variations. The scope is limited to visual and depth data. High-quality and
144 diverse image data sets are essential for training an efficient model, and by focusing
145 on capturing the depth, it will allow a more accurate assessment of severity of the
146 road defects.

147 Depth measurement tools, such as LiDAR drones or stereo cameras will be used
148 to record the depth of the road defect. Only accessible defects will be measured,
149 any cracks and potholes filled with water may not be accurately assessed.

150 A machine learning model will be used to identify, classify, and assess the
151 severity of road defects. It will use the image dataset to classify and assess the
152 road defect types accurately, however, the effectiveness will depend on the quality
153 and quantity of the training dataset. There can be a limited variety of images
154 or inaccuracies due to environmental factors. The model will allow consistent
155 and automated assessment of road defects which is more efficient than manual
156 inspection.

157 The accuracy of the system will be evaluated by comparing the depth measure-
158 ment it produces against data collected from the field through manual inspections.
159 However, the comparisons could be limited to selected sample sites because col-
160 lecting field data across a wide area can be time-consuming. Comparing the data
161 is important to validate the reliability of the system. It ensures that the data
162 that the system produces is accurate so it increases confidence in using it for road
163 maintenance.

164 1.5 Significance of the Research

165 This special problem aims to be significant to the following:

166 *Computer Science Community.* This system can contribute to advancements
167 in computer vision and machine learning by using both visual and depth data to
168 assess the severity of road defects. It introduces a more comprehensive approach
169 compared to the usual image-only or manual inspection methods. This combina-
170 tion can be applied to other fields that need both visual and depth analysis like
171 medical imaging.

172 *Concerned Government Agencies.* This system offers a valuable tool for road
173 safety and maintenance. Not only can this detect and classify anomalies, it can
174 also assess the defect's severity which allows them to prioritize repairs, optimal
175 project expenditures, and better overall road safety and quality.

176 *Field Engineers.* In the scorching heat, field engineers are no longer required
177 to be on foot unless it requires its engineering judgement when surveying a road
178 segment. It can hasten the overall assessment process.

179 *Future Researchers.* The special problem can serve as a baseline and guide of
180 researchers with the aim to pursue special problems similar or related to this.

Chapter 2

Review of Related Literature

2.1 Related Literature

This section of the chapter presents related literature that is considered essential for the development of this special problem.

2.1.1 Deep Learning

Kelleher (2019) states that deep learning is inclined on making large-scale neural networks geared towards creating data-driven decisions. Furthermore, it was also argued that deep learning is oriented towards large-scale, complex data.

2.1.2 YOLOv5

According to Solawetz (2024), YOLOv5 is a model from a family of computer vision models used for object detection. YOLOv5 is reported to perform comparably to state-of-the-art techniques. It is designed to extract features from raw input images, used primarily in training object detection models alongside various data augmentation techniques.

196 **2.1.3 Image and Video Processing**

197 Kumar (2024) defines image processing as a process of turning an image into its
198 digital form and extracting data from it through certain functions and operations.
199 Usual processes are considered to treat images as 2D signals wherein different
200 processing methods utilize these signals. Like image processing, Riches Resources
201 (2020) defines video processing as being able to extract information and data from
202 video footage through signal processing methods. However, in video processing
203 due to the diversity of video formats, compression and decompression methods
204 are often expected to be performed on videos before processing methods to either
205 increase or decrease bitrate.

206 **2.1.4 Stereo Vision**

207 MathWorks (n.d.) defines stereo vision as a process of utilizing multiple 2D per-
208 spectives in order to extract information in 3D. In addition, most uses of stereo
209 vision involve estimating an objects distance from an observer or camera. The 3D
210 information is stated to be extracted with stereo pairs or pair of images through es-
211 timation of relative depth of points in a scene which are then represented through
212 a stereo map that is made through the matching of the pair's corresponding points.

213 **2.2 Related Studies**

214 This section of the chapter presents related studies conducted by other researchers
215 wherein the methodology and technologies used may serve as basis in the devel-
216 opment of this special problem.

217 **2.2.1 Automated Detection and Classification of Road Anoma-** 218 **lies in VANET Using Deep Learning**

219 In the study of Bibi et al. (2021) it was noted that identification of active road
220 defects are critical in maintaining smooth and safe flow of traffic. Detection and
221 subsequent repair of such defects in roads are crucial in keeping vehicles using
222 such roads away from mechanical failures. The study also emphasized the growth
223 in use of autonomous vehicles in research data gathering which is what the re-
224 searchers utilized in data gathering procedures. With the presence of autonomous

225 vehicles, this allowed the researchers to use a combination of sensors and deep
226 neural networks in deploying artificial intelligence. The study aimed to allow au-
227 tonomous vehicles to avoid critical road defects that can possibly lead to dangerous
228 situations. Researchers used Resnet-18 and VGG-11 in automatic detection and
229 classification of road defects. Researchers concluded that the trained model was
230 able to perform better than other techniques for road defect detection (Bibi et al.,
231 2021). The study is able to provide the effectiveness of using deep learning models
232 in training artificial intelligence for road defect detection and classification. How-
233 ever, the study lacks findings regarding the severity of detected defects which is
234 crucial in automating manual procedures of road surveying in the Philippines.

235 **2.2.2 Smartphones as Sensors for Road Surface Monitor-** 236 **ing**

237 In their study, Chapman, Li, and Sattar (2018) noted the rise of sensing capabil-
238 ities of smartphones which they utilized in monitoring road surface to detect and
239 identify anomalies. The researchers considered different approaches in detecting
240 road surface anomalies using smartphone sensors. One of which are threshold-
241 based approaches which was determined to be quite difficult due to several factors
242 that are affecting the process of determining the interval length of a window func-
243 tion in spectral analysis (Chapman et al., 2018). The researchers also utilized
244 a machine learning approach adapted from another study. It was stated that k-
245 means was used in classifying sensor data and in training the SVM algorithm. Due
246 to the requirement of training a supervised algorithm using a labeled sample data
247 was required before classifying data from sensors, the approach was considered
248 to be impractical for real-time situations (Chapman et al., 2018). In addition,
249 Chapman et al. (2018) also noted various challenges when utilizing smartphones
250 as sensors for data gathering such as sensors being dependent on the device's
251 placement and orientation, smoothness of captured data, and the speed of the
252 vehicle it is being mounted on. Lastly, it was also concluded that the accuracy
253 and performance of using smartphone sensors is challenging to compare due to
254 the limited data sets and reported algorithms.

255 **2.2.3 Road Anomaly Detection through Deep Learning** 256 **Approaches**

257 The study of Guo, Luo, and Lu (2020) aimed to utilize deep learning models in
258 classifying road anomalies. The researchers used three deep learning approaches
259 namely Convolutional Neural Network, Deep Feedforward Network, and Recurrent

Neural Network from data collected through the sensors in the vehicle’s suspension system. In comparing the performance of the three deep learning approaches, the researchers fixed some hyperparameters. Results revealed that the RNN model was the most stable among the three and in the case of the CNN and DFN models, the researchers suggested the use of wheel speed signals to ensure accuracy. And lastly, the researchers concluded that the RNN model was best due to high prediction performance with small set parameters (Guo et al., 2020).

2.2.4 Road Surface Quality Monitoring Using Machine Learning Algorithms

The study of Bansal et al. (2021) aimed to utilize machine learning algorithms in classifying road defects as well as predict their locations. Another implication of the study was to provide useful information to commuters and maintenance data for authorities regarding road conditions. The researchers gathered data using various methods such as smartphone GPS, gyroscopes, and accelerometers. Bansal et al. (2021) also argued that early existing road monitoring models are unable to predict locations of road defects and are dependent on fixed roads and static vehicle speed. Neural and deep neural networks were utilized in the classification of anomalies which was concluded by the researchers to yield accurate results and are applicable on a larger scale of data (Bansal et al., 2021). The study of Bansal et al. (2021) can be considered as an effective method in gathering data about road conditions. However, it was stated in the study that relevant authorities will be provided with maintenance operation and there is no presence of any severity assessment in the study. This may cause confusion due to a lack of assessment on what is the road condition that will require extensive maintenance or repair.

2.2.5 Assessing Severity of Road Cracks Using Deep Learning-Based Segmentation and Detection

In the study of Ha, Kim, and Kim (2022), it was argued that the detection, classification, and severity assessment of road cracks should be automated due to the bottleneck it causes during the entire process of surveying. For the study, the researchers utilized SqueezeNet, U-Net, and MobileNet-SSD models for crack classification and severity assessment. Furthermore, the researchers also employed separate U-nets for linear and area cracking cases. For crack detection, the researchers followed the process of pre-processing, detection, classification. During preprocessing images were smoothed out using image processing techniques. The researchers also utilized YOLOv5 object detection models for classification of

295 pavement cracking wherein the YOLOv51 model recorded the highest accuracy.
296 The researchers however stated images used for the study are only 2D images
297 which may have allowed higher accuracy rates. Furthermore, the researchers sug-
298 gest incorporating depth information in the models to further enhance results.

299 **2.2.6 Roadway pavement anomaly classification utilizing** 300 **smartphones and artificial intelligence**

301 The study of Christodoulou, Dimitrio, and Kyriakou (2016) presented what is con-
302 sidered as a low-cost technology which was the use of Artificial Neural Networks
303 in training a model for road anomaly detection from data gathered by smart-
304 phone sensors. The researchers were able to collect case study data using two-
305 dimensional indicators of the smartphone's roll and pitch values. In the study's
306 discussion, the data collected displayed some complexity due to acceleration and
307 vehicle speed which lead to detected anomalies being not as conclusive as planned.
308 The researchers also added that the plots are unable to show parameters that could
309 verify the data's correctness and accuracy. Despite the setbacks, the researchers
310 still fed the data into the Artificial Neural Network that was expected to produce
311 two outputs which were "no defect" and "defect." The method still yielded above
312 90% accuracy but due to the limited number of possible outcomes in the data
313 processing the researchers still needed to test the methodology with larger data
314 sets and roads with higher volumes of anomalies.

315 **2.2.7 Stereo Vision Based Pothole Detection System for** 316 **Improved Ride Quality**

317 In the study of Ramaiah and Kundu (2021) it was stated that stereo vision has
318 been earning attention due to its reliable obstacle detection and recognition. Fur-
319 thermore, the study also discussed that such technology would be useful in improv-
320 ing ride quality in automated vehicles by integrating it in a predictive suspension
321 control system. The proposed study was to develop a novel stereo vision based
322 pothole detection system which also calculates the depth accurately. However,
323 the study focused on improving ride quality by using the 3D information from
324 detected potholes in controlling the damping coefficient of the suspension system.
325 Overall, the pothole detection system was able to achieve 84% accuracy and is
326 able to detect potholes that are deeper than 5 cm. The researchers concluded
327 that such system can be utilized in commercial applications. However, it is also
328 worth noting that despite the system being able to detect potholes and measure
329 its depth, the overall severity of the pothole and road condition was not addressed.

2.3 Chapter Summary

Study	Technology/ Techniques Used	Key Findings	Limitations
Automated Detection and Classification of Road Anomalies in VANET Using Deep Learning	Resnet-18 and VGG-11	Trained model is able to provide the effectiveness of using deep learning models in training artificial intelligence for road defect detection and classification.	Lacks findings regarding the severity of detected defects.
Smartphones as sensors for Road surface monitoring	Machine Learning, Smartphones	Approach was considered impractical for real-life applications.	Sensors are dependent on device's placement and orientation, smoothness of data, and speed of vehicle it is mounted on. Accuracy of results is difficult to compare.
Road Anomaly Detection through Deep Learning Approaches	Convolutional Neural Network, Deep Feedforward Network, and Recurrent Neural Network	Identified that RNN was the best deep learning approach due to high prediction performance.	Data collection is considered too difficult and complicated to execute due to sensors being mounted on an integral part of the vehicle.
Assessing Severity of Road Cracks Using Deep Learning-Based Segmentation and Detection	SqueezeNet, U-Net, YOLOv5, and MobileNet-SSD models	YOLOv51 model recorded the highest accuracy.	Only 2D images are used for the study which may have allowed higher accuracy rates, and the study also lacked depth information.
Stereo Vision Based Pothole Detection System for Improved Ride Quality	Pair of stereo images captured by a stereo camera	System was able to achieve 84% accuracy and is able to detect potholes that are deeper than 5 cm.	Overall severity of the pothole and road condition was not addressed.

Table 2.1: Comparison of Related Studies on Road Anomaly Detection using Deep Learning Techniques and Stereo Vision

Chapter 3

Methodology

This chapter outlines the systematic approach taken to address the problem of classifying and assessing road defects using artificial intelligence. The methodology is divided into key phases: data collection, data preprocessing, algorithm selection, system implementation, and ss. Each phase is essential to accurately classify and assess road defects.

3.1 Research Activities

3.1.1 Inquiry

The team conducted initial inquiries to understand the problem domain and existing road maintenance practices. This phase included consulting the engineers under the Road Maintenance Department of the government agency Department of Public Works and Highways (DPWH). This allowed te team to gather information about road defects classification and maintenance practices. The inquiries were conducted during the first week and involved an interview with Engr. Jane Chua of the said department, who provided us a copy of the official road maintenance manual. The manual allows this project to be aligned with the established standards and practices of the DPWH.

349 **3.1.2 Brainstorming**

350 Potential solutions, algorithms, and system architectures were discussed by the
351 team and the special problem adviser in this phase. These sessions, conducted
352 in-class and virtually via Zoom, helped narrow down the overview of the system,
353 leading to the selection of the main architecture YOLOv5 for defect detection and
354 Epipolar Spatio-Temporal Networks (ESTN) for depth estimation. YOLO

355 **3.1.3 Algorithm Selection**

356 Potential solutions, algorithms, and system architectures were discussed by the
357 team and the special problem adviser in this phase. These sessions, conducted
358 in-class and virtually via Zoom, helped narrow down the overview of the system,
359 leading to the selection of the main architecture YOLOv5 for defect detection and
360 Epipolar Spatio-Temporal Networks (ESTN) for depth estimation. YOLO

361 **3.1.3.1 Defect Detection**

362 YOLOv5 was selected for its balance of real-time processing capability and accu-
363 racy, essential for detecting road defects in dynamic environments.

364 **3.1.3.2 Severity Assessment**

365 The Multi-view Depth Estimation using Epipolar Spatio-Temporal Networks was
366 selected due to the high cost and limited accessibility of LiDAR technology. By
367 applying epipolar geometry and temporal consistency across sequential frames,
368 this approach provides an accurate depth estimation from a standard video footage
369 (Long et al., 2021).

370 **3.1.4 Observation and Experimentation**

371 **3.1.5 Design and Testing**

372 The system is tested using data gathered from ground truthing which involves
373 manual inspection and measuring of road defects to verify the type, shape, and
374 dimensions of the defect. These manual observations serve as a baseline reference
375 to measure the system’s accuracy in detecting, classifying, and severity assessment
376 of road defects.

377 **3.1.5.1 Challenges and Limitations**

378 One major limitation is the availability of local labeled datasets, which affects
379 the model’s training, as most datasets available are those captured from foreign
380 countries only.

381 **3.1.5.2 Documentation**

382 Documentation was conducted throughout the project, ensuring a detailed record
383 of methods, results, and challenges. This documentation not only served as a
384 basis for the final SP report but also provided transparency and reproducibility
385 for future studies.

386 **3.2 Calendar of Activities**

387 Table 1 shows a Gantt chart of the activities. Each bullet represents approximately
388 one week worth of activity.

Table 3.1: Timetable of Activities

Activities (2009)	Jan	Feb	Mar	Apr	May	Jun	Jul
Study on Prerequisite Knowledge			W2	W4			
Review of Existing Racing Strategies	W2	W4	W4	W4			
Identification of Best Features				W4	W2		
Development of Racing Strategies				W2	W4	W2	
Simulation of Racing Strategies				W2	W4	W3	
Analysis and Interpretation of Results					W4	W4	W1
Documentation	W2	W4	W4	W4	W4	W4	W2

Activities	Aug	Sep	Nov	Dec
Pre-proposal Preparation			
Literature Review		
Data Collection		
Algorithm Selection		. .		
System Design		.	. .	
Preliminary Testing			. .	.
Documentation and SP Writing

Table 3.2: Timetable of Activities

389 Chapter 4

390 Preliminary Results/System

391 Prototype

392 This chapter presents the preliminary results or the system prototype of your SP.

393 Include screenshots, tables, or graphs and provide the discussion of results.

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⁴²¹ **Appendix A**

⁴²² **Appendix Title**

423 **Appendix B**

424 **Resource Persons**

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427 Affiliation1

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429 **Ms. Firstname2 Lastname2**

430 Role2

431 Affiliation2

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433