

# UNIT-4

Dynamic programming

AVOID

CALCULATING

SAME STUFF

TWICE

- # • Introduction **COMING UP!!**
- The principle of Optimality
  - Making Change Problem
  - The 0-1 Knapsack Problem
  - Shortest Path- Floyd's Algorithm
  - Matrix Chain Multiplication
  - Longest Common Subsequence

# INTRODUCTION

- Dynamic Programming is a stage-wise search method suitable for optimization problem whose solutions may be viewed as the result of a sequence of decisions.
- The underlying idea of DP is: “*Avoid calculating same stuff twice*”, usually be keeping a table of known results of sub problems.
- Thus DP is similar to divide and Conquer but avoids duplicate work when sub problems are identical

# Bottom Up Approach for DP

- Bottom Up Means:
  - Start with the smallest subproblems.
  - Combine their solutions and obtain the solution to subproblems of increasing size
  - Until arrive at the solution of the original problem.

# Dynamic Programming Vs Divide & Conquer

Divide And Conquer	Dynamic Programming
Divide and Conquer works by dividing the problem into sub-problems, conquer each sub-problem recursively and combine these solutions.	Dynamic Programming is a technique for solving problems with overlapping subproblems. Each sub-problem is solved only once and the result of each sub-problem is stored in a table for future references. These sub-solutions may be used to obtain the original solution and the technique of storing the sub-problem solutions is known as memoization.
Works Best When Sub problems are independent	Works Best When Sub problems are dependent
Example: Merge Sort, Quick Sort, Binary Search..etc	Example: Fibonacci Series, 0-1 Knapsack Problem..etc
Less Complex	More Complex
Top Down Approach	Bottom Up Approach

# Greedy v/s. Dynamic Programming

- *Greedy algorithms focus on making the best local choice at each decision point. In the absence of a correctness proof such greedy algorithms are very likely to fail.*
- Dynamic programming gives us a way to design custom algorithms which systematically search all possibilities (thus guaranteeing correctness) while storing results to avoid recomputing (thus providing efficiency)

# Greedy Algorithm Vs Dynamic Programming

## Comparison:

### Dynamic Programming

- At each step, the choice is determined based on solutions of subproblems.
- Sub-problems are solved first.
- Bottom-up approach
- Can be slower, more complex

### Greedy Algorithms

- At each step, we quickly make a choice that currently looks best.  
--A local optimal (greedy) choice.
- Greedy choice can be made first before solving further sub-problems.
- Top-down approach
- Usually faster, simpler

# The Principle of Optimality

- Although this principle may appear obvious, it does not apply to every problem we might encounter.
- **When the principle of optimality does not apply, it will probably not be possible to attack the problem in question using dynamic programming.**
- This is the case, for instance, when a problem concerns the optimal use of limited resources.
- Here the optimal solution to an instance may not be obtained by combining the optimal solutions to two or more sub instances, if resources used in these sub solutions add up to more than the total resources available.



# The Principle of Optimality

- For example shortest route from Rajkot to Ahemdabad.
- For example finding longest simple route between two cities, using a given set of roads.

# The Principle of Optimality

- Nevertheless, the principle of optimality applies more often than not.
- When it does, it can be restated as follows:
  - **The optimal solution to any nontrivial instance of a problem is a combination of optimal solutions to some of its sub instances.**
- The difficulty in turning this principle into an algorithm is that it is not usually obvious which sub instances are relevant to the instance under consideration.

# Make - a - Change Problem

- For example, suppose we live where there are coins for 1, 4, and 6 units. If we have to make change for 8 units, the greedy algorithm will propose doing so using one 6 – unit coin and two 1 – unit coins, for a total of three coins.
- However it is clearly possible to do better than this: we can give the customer his change using just two 4 – unit coins.
- Although the greedy algorithm does not find this solution, it is easily obtained using dynamic programming.



# Algorithm – Make Change

- Function coins(N)

{Given the minimum number of coins needed to make change for N units.  
Array d[1...n] specifies the coinage: in the example there are coins for 1, 4  
and 6 units.}

array d[1...n] = [1, 4, 6]

array c[1..n, 0..N]

for i  $\leftarrow$  1 to n do c[i, 0]  $\leftarrow$  0

for i  $\leftarrow$  1 to n do

    for j  $\leftarrow$  1 to N do

        c[i, j]  $\leftarrow$  if i = 1 and j < d[i] then  $+\infty$

            else if i = 1 then 1 + c[1, j - d[1]]

            else if j < d[i] then c[i-1, j]

            else if min(c[i-1, j], 1+c[i-1, j - d[i]])

return c[n, N]



# Formula for Computation

- If  $i=1$  and  $j < d_i$  then  $+\infty$
- If  $i=1$  then  $c[i,j] = 1 + c[i,j-d_i]$
- If  $j < d_i$  then  $c[i,j] = c[i-1,j]$
- Otherwise  $c[i,j] = \min(c[i-1,j], 1+c[i,j-d_i])$

**Example:** There are 3 coin denominations  $d_1 = 1$ ,  $d_2 = 4$ , and  $d_3 = 6$ , and the total amount to make change for is  $K = 8$ . The following table shows how to compute  $C[3,8]$ , using the recurrence as a basis but arranging the computation steps in a tabular form (by rows and within the row by columns):

i	Amount	0	1	2	3	4	5	6	7	8
0		0	0	0	0	0	0	0	0	0
1	$d_1 = 1$	0	1	2	3	4	5	6	7	8
2	$d_2 = 4$	0	1	2	3	1	2	3	4	2
3	$d_3 = 6$	0	1	2	3	1	2	1	2	2

Boundary condition for amount  $j = 0$

$C[3, 8 - 6]$   $C[3, 8] = \min(1 + C[3, 8 - 6], C[2, 8])$

Note the time complexity for computing  $C[n, K]$  is  $O(nK)$ , using space  $O(K)$  by maintaining last two rows.

# Trace Back table to find which coins pays n amount

- Suppose we are to pay an amount  $j$  using coins of denominations  $1, 2, \dots, i$ . Then the value of  $c[i, j]$  says how many coins are needed.
- If  $c[i, j] = c[i-1, j]$ , no coins of denomination  $i$  are necessary, and we move up to  $c[i-1, j]$  to see what to do next.
- If  $c[i, j] = 1 + c[i, j - d_i]$ , then we hand over one coin of denomination  $i$ , worth  $d_i$ , and move left to  $c[i, j - d_i]$  to see what to do next.



- Solve Making Change problem using Dynamic Programming.

(denominations:  $d1=1, d2=6, d3=10$ ). Give your answer for making change of Rs. 12.

i \ j	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
D1=1	0	1	2	3	4	5	6 1	7	8	9	10	11	12 2
D2=6	0	1	2	3	4	5	1	2	3	4	5	6	2
D3=10	0	1	2	3	4	5	1	2	3	4	1	2	2



# Examples to solve

- Solve Making Change problem using Dynamic Programming.  
(denominations:  $d_1=1, d_2=4, d_3=5, d_4=10$ ).  
Give your answer for making change of Rs. 8.
- Solve Making Change problem using Dynamic Programming.  
(denominations:  $d_1=1, d_2=5, d_3=7, d_4=10, d_5=25$ ). Give your answer for making change of

# Knapsack problem

Given some items, pack the knapsack to get the maximum total value. Each item has some weight and some value. Total weight that we can carry is no more than some fixed number  $W$ .

So we must consider weights of items as well as their values.

Item #	Weight	Value
1	1	8
2	3	6
3	5	5

# Knapsack problem

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There are two versions of the problem:

1. “0-1 knapsack problem”

- Items are indivisible; you either take an item or not. Some special instances can be solved with *dynamic programming*

2. “Fractional knapsack problem”

- Items are divisible: you can take any fraction of an item, this can be solved with *greedy programming*

# The knapsack problem

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Given  $W > 0$ , and two  $n$ -tuples of positive numbers

$$\langle v_1, v_2, \dots, v_n \rangle \quad \text{and} \quad \langle w_1, w_2, \dots, w_n \rangle,$$

we wish to determine the subset

$T \subseteq \{1, 2, \dots, n\}$  (of items to carry) that

maximizes  $\sum_{i \in T} v_i,$

subject to  $\sum_{i \in T} w_i \leq W.$

# The knapsack problem

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- Unfortunately the greedy algorithm turns out not to work when  $x_i$  is required to be 0 or 1.
- For example, **suppose we have three objects available, the first of which weight 6 units and has a value of 8, while the other two weight 5 units each and have a value of 5 each.**
- If the knapsack can **carry 10 units**, then the optimal load includes the two lighter objects for a total value of 10.
- The greedy algorithm, on the other hand, would begin by choosing the object that weighs 6 units, since this is the one with the greatest value per unit weight.
- However if objects cannot be broken the algorithm will be unable to use the remaining capacity in the knapsack. The load it produces therefore consists of just one object with a value of only 8.

# The knapsack problem

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We construct an array  $V[0..n, 0..W]$ .

For  $1 \leq i \leq n$ , and  $0 \leq w \leq W$ , the entry  $V[i, w]$  will store the maximum (combined) value of any subset of items  $\{1, 2, \dots, i\}$  of (combined) weight at most  $w$ .

That is

$$V[i, w] = \max \left\{ \sum_{j \in T} v_j : T \subseteq \{1, 2, \dots, i\}, \sum_{j \in T} w_j \leq w \right\}.$$

If we can compute all the entries of this array, then the array entry  $V[n, W]$  will contain the solution to our problem.

# The knapsack problem

**Initial Settings:** Set

$$\begin{array}{ll} V[0, w] = 0 & \text{for } 0 \leq w \leq W, \quad \text{no item} \\ V[i, w] = -\infty & \text{for } w < 0, \quad \text{illegal} \end{array}$$

**Recursive Step:** Use

$$V[i, w] = \max(V[i-1, w], v_i + V[i-1, w - w_i])$$

$$\text{for } 1 \leq i \leq n, 0 \leq w \leq W.$$









Intuitively, an optimal solution would either choose item  $i$  or not choose item  $i$ .


# The knapsack problem

**Bottom-up computation:** Computing the table using

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

row by row.

V[i,w]	w=0	1	2	3	...	...	W
i= 0	0	0	0	0	...	...	0
1							
2							
⋮							
n							



bottom

up



# The knapsack problem

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Let  $W = 10$  and

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

# The knapsack problem

## The Dynamic Programming Algorithm

```
KnapSack( $v, w, n, W$ )
{
  for ( $w = 0$  to  $W$ )  $V[0, w] = 0$ ;
  for ( $i = 1$  to  $n$ )
    for ( $w = 0$  to  $W$ )
      if ( $w[i] \leq w$ )
         $V[i, w] = \max\{V[i - 1, w], v[i] + V[i - 1, w - w[i]]\}$ ;
      else
         $V[i, w] = V[i - 1, w]$ ;
  return  $V[n, W]$ ;
}
```

**Time complexity:** Clearly,  $O(nW)$ .

The problem can be solved using dynamic programming (i.e., a bottom-up approach to carrying out the computation steps) based on a tabular form when the weights are integers.

**Example:** There are  $n = 5$  objects with integer weights  $w[1..5] = \{1, 2, 5, 6, 7\}$ , and values  $v[1..5] = \{1, 6, 18, 22, 28\}$ . The following table shows the computations leading to  $V[5, 11]$  (i.e., assuming a knapsack capacity of 11).

Kpsack capacity		0	1	2	3	4	5	6	7	8	9	10	11
$w_i$	$v_i$												
1	1	0	1	1	1	1	1	1	1	1	1	1	1
2	6	0	1	6	7	7	7	7	7	7	7	7	7
5	18	0	1	6	7	7	18	19	24	25	25	25	25
6	22	0	1	6	7	7	18	22	24	28	29	29	40
7	28	0	1	6	7	7	18	22	28	29	34	35	40

Time:  $O(nW)$   
 space:  $O(W)$

$V[3, 8]$  (blue arrow pointing to 25)

$V[4, 8] = \max(V[3, 8], 22 + V[3, 2])$  (red arrow pointing to 28)

$V[3, 8 - w_4] = V[3, 2]$  (green arrow pointing to 6)

# Example

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Let's run our algorithm on the following data:

$n = 4$  (# of elements)

$W = 5$  (max weight)

Elements (weight, benefit):

$(2,3), (3,4), (4,5), (5,6)$

## Example (2)

---

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1						
2						
3						
4						

for  $w = 0$  to  $W$   
     $V[0,w] = 0$

## Example (3)

---

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0					
2	0					
3	0					
4	0					

for  $i = 1$  to  $n$   
     $V[i,0] = 0$

## Example (4)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0				
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=1$

$w-w_i=-1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (5)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3			
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=2$

$w-w_i=0$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$



## Example (6)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	<b>3</b>		
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=3$

$w-w_i=1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (7)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=4$

$w-w_i=2$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (8)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0					
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=5$

$w-w_i=3$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (9)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	<b>0</b>				
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=1$

$w-w_i=-2$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Example (10)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	<b>3</b>			
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=2$

$w-w_i=-1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Example (11)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4		
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=3$

$w-w_i=0$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (12)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	
3	0					
4	0					

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=2$

$b_i=4$

$w_i=3$

$w=4$

$w-w_i=1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Example (13)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

i\W	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0					
4	0					

i=2

$b_i=4$

$w_i=3$

$w=5$

$w-w_i=2$

if  $w_i \leq w$  // item i can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$



## Example (14)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4		
4	0					

$i=3$

$b_i=5$

$w_i=4$

$w=1..3$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (15)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	<b>5</b>	
4	0					

$i=3$

$b_i=5$

$w_i=4$

$w=4$

$w - w_i = 0$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

## Example (16)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0					

$i=3$

$b_i=5$

$w_i=4$

$w=5$

$w - w_i = 1$

if  $w_i \leq w$  // item  $i$  can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Example (17)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

i\W	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	

$i=4$

$b_i=6$

$w_i=5$

$w=1..4$

if  $w_i \leq w$  // item i can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Example (18)

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

i\W	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

i=4

$b_i=6$

$w_i=5$

$w=5$

$w - w_i = 0$

if  $w_i \leq w$  // item i can be part of the solution

if  $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else  $V[i, w] = V[i-1, w]$  //  $w_i > w$

# Exercise

---

- P303 8.2.1 (a).

1. a. Apply the bottom-up dynamic programming algorithm to the following instance of the knapsack problem:

item	weight	value
1	3	\$25
2	2	\$20
3	1	\$15
4	4	\$40
5	5	\$50

, capacity  $W = 6$ .

- How to find out which items are in the optimal subset?

# Example 4

Let  $W = 10$  and

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

# Example 5

<u>item</u>	<u>weight</u>	<u>value</u>
-------------	---------------	--------------

1	2	\$12
---	---	------

2	1	\$10
---	---	------

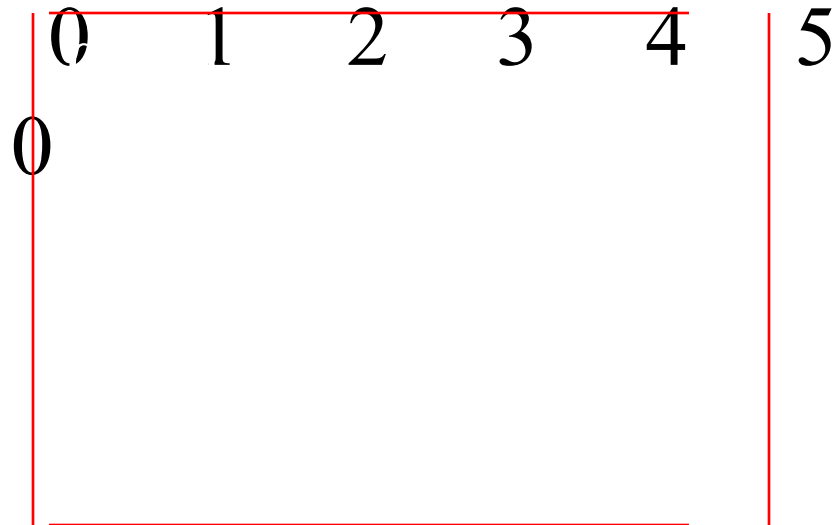
3	3	\$20
---	---	------

4	2	\$15
---	---	------

Knapsack of capacity

$W = 5$

capacity  $j$  □



$w_1 = 2, v_1 = 12$     1

$w_2 = 1, v_2 = 10$     2

$w_3 = 3, v_3 = 20$     3

$w_4 = 2, v_4 = 15$     4



# Example 6

- There are  $n = 5$  objects with integer weights  $w[1..5] = \{1, 2, 5, 6, 7\}$ , and values  $v[1..5] = \{1, 6, 18, 22, 28\}$ . The following table shows the computations leading to  $V[5, 11]$  (i.e., assuming a knapsack capacity of 11).

Knapsack capacity		0	1	2	3	4	5	6	7	8	9	10	11
$w_i$	$v_i$	0	0	0	0	0	0	0	0	0	0	0	0
1	1	0	1	1	1	1	1	1	1	1	1	1	1
2	6	0	1	6	7	7	7	7	7	7	7	7	7
5	18	0	1	6	7	7	18	19	24	25	25	25	25
6	22	0	1	6	7	7	18	22	24	28	29	29	40
7	28	0	1	6	7	7	18	22	28	29	34	35	40

Time:  $O(nW)$   
space:  $O(W)$

$V[3, 8]$

$V[4, 8] = \max(V[3, 8], 22 + V[3, 2])$

$$V[3, 8 - w_4] = V[3, 2]$$

# Matrix-chain Multiplication

- Suppose we have a sequence or chain  $A_1, A_2, \dots, A_n$  of  $n$  matrices to be multiplied
  - That is, we want to compute the product  $A_1 A_2 \dots A_n$
- There are many possible ways (parenthesizations) to compute the product

# Matrix-chain Multiplication ...contd

- Example: consider the chain  $A_1, A_2, A_3, A_4$  of 4 matrices
  - Let us compute the product  $A_1A_2A_3A_4$
- There are 5 possible ways:
  1.  $(A_1(A_2(A_3A_4)))$
  2.  $(A_1((A_2A_3)A_4))$
  3.  $((A_1A_2)(A_3A_4))$
  4.  $((A_1(A_2A_3))A_4)$
  5.  $((((A_1A_2)A_3)A_4))$

# Matrix-chain Multiplication ...contd

- To compute the number of scalar multiplications necessary, we must know:
  - Algorithm to multiply two matrices
  - Matrix dimensions
- Can you write the algorithm to multiply two matrices?

# Algorithm to Multiply 2 Matrices

**Input:** Matrices  $A_{p \times q}$  and  $B_{q \times r}$  (with dimensions  $p \times q$  and  $q \times r$ )

**Result:** Matrix  $C_{p \times r}$  resulting from the product  $A \cdot B$

**MATRIX-MULTIPLY**( $A_{p \times q}, B_{q \times r}$ )

1.   **for**  $i \leftarrow 1$  **to**  $p$
2.         **for**  $j \leftarrow 1$  **to**  $r$
3.              $C[i, j] \leftarrow 0$
4.             **for**  $k \leftarrow 1$  **to**  $q$
5.                  $C[i, j] \leftarrow C[i, j] + A[i, k] \cdot B[k, j]$
6.   **return**  $C$

Scalar multiplication in line 5 dominates time to compute  $C$

Number of scalar multiplications =  $pqr$

# Matrix-chain Multiplication

- Example: Consider three matrices <sup>...contd</sup>  $A_{10 \times 100}$ ,  $B_{100 \times 5}$ , and  $C_{5 \times 50}$
- There are 2 ways to parenthesize

- $((AB)C) = D_{10 \times 5} \cdot C_{5 \times 50}$

- $AB \Rightarrow 10 \cdot 100 \cdot 5 = 5,000$  scalar multiplications
- $DC \Rightarrow 10 \cdot 5 \cdot 50 = 2,500$  scalar multiplications

} Total:  
7,500

- $(A(BC)) = A_{10 \times 100} \cdot E_{100 \times 50}$

- $BC \Rightarrow 100 \cdot 5 \cdot 50 = 25,000$  scalar multiplications
- $AE \Rightarrow 10 \cdot 100 \cdot 50 = 50,000$  scalar multiplications

}

# Matrix-chain Multiplication ...contd

- Matrix-chain multiplication problem
  - Given a chain  $A_1, A_2, \dots, A_n$  of  $n$  matrices, where for  $i=1, 2, \dots, n$ , matrix  $A_i$  has dimension  $p_{i-1} \times p_i$
  - Parenthesize the product  $A_1 A_2 \dots A_n$  such that the total number of scalar multiplications is minimized
- Brute force method of exhaustive search takes time exponential in  $n$

# Dynamic Programming Approach

- The structure of an optimal solution
  - Let us use the notation  $A_{i..j}$  for the matrix that results from the product  $A_i A_{i+1} \dots A_j$
  - An optimal parenthesization of the product  $A_1 A_2 \dots A_n$  splits the product between  $A_k$  and  $A_{k+1}$  for some integer  $k$  where  $1 \leq k < n$
  - First compute matrices  $A_{1..k}$  and  $A_{k+1..n}$ ; then multiply them to get the final matrix  $A_{1..n}$



# Dynamic Programming Approach

...contd

- **Key observation:** parenthesizations of the subchains  $A_1A_2\dots A_k$  and  $A_{k+1}A_{k+2}\dots A_n$  must also be optimal if the parenthesization of the chain  $A_1A_2\dots A_n$  is optimal (why?)
- That is, the optimal solution to the problem contains within it the optimal solution to subproblems

# Dynamic Programming Approach

...contd

- Recursive definition of the value of an optimal solution
  - Let  $m[i, j]$  be the minimum number of scalar multiplications necessary to compute  $A_{i..j}$
  - Minimum cost to compute  $A_{1..n}$  is  $m[1, n]$
  - Suppose the optimal parenthesization of  $A_{i..j}$  splits the product between  $A_k$  and  $A_{k+1}$  for some integer  $k$  where  $i \leq k < j$

# Dynamic Programming Approach

...contd

- $A_{i..j} = (A_i A_{i+1} \dots A_k) \cdot (A_{k+1} A_{k+2} \dots A_j) = A_{i..k} \cdot A_{k+1..j}$
- Cost of computing  $A_{i..j}$  = cost of computing  $A_{i..k}$   
+ cost of computing  $A_{k+1..j}$  + cost of multiplying  
 $A_{i..k}$  and  $A_{k+1..j}$
- Cost of multiplying  $A_{i..k}$  and  $A_{k+1..j}$  is  $p_{i-1} p_k p_j$
- $m[i, j] = m[i, k] + m[k+1, j] + p_{i-1} p_k p_j$  for  $i$   
 $\leq k < j$
- $m[i, j] = 0$  for  $i=1, 2, \dots, n$

# Dynamic Programming Approach

...contd

- But... optimal parenthesization occurs at one value of  $k$  among all possible  $i \leq k < j$
- Check all these and select the best one

$$m[i, j] = \begin{cases} 0 & \text{if } i=j \\ \min_{i \leq k < j} \{m[i, k] + m[k+1, j] + p_{i-1}p_kp_j\} & \text{if } i < j \end{cases}$$

# Dynamic Programming Approach

...contd

- To keep track of how to construct an optimal solution, we use a table  $s$
- $s[i, j]$  = value of  $k$  at which  $A_i A_{i+1} \dots A_j$  is split for optimal parenthesization
- Algorithm: next slide
  - First computes costs for chains of length  $l=1$
  - Then for chains of length  $l=2,3, \dots$  and so on
  - Computes the optimal cost bottom-up

# Algorithm to Compute Optimal Cost

**Input:** Array  $p[0 \dots n]$  containing matrix dimensions and  $n$

**Result:** Minimum-cost table  $m$  and split table  $s$

**MATRIX-CHAIN-ORDER**( $p[ \ ], n$ )

**for**  $i \leftarrow 1$  **to**  $n$

$m[i, i] \leftarrow 0$

**for**  $l \leftarrow 2$  **to**  $n$

**for**  $i \leftarrow 1$  **to**  $n-l+1$

$j \leftarrow i+l-1$

$m[i, j] \leftarrow \infty$

**for**  $k \leftarrow i$  **to**  $j-1$

$q \leftarrow m[i, k] + m[k+1, j] + p[i-1] p[k] p[j]$

**if**  $q < m[i, j]$

$m[i, j] \leftarrow q$

$s[i, j] \leftarrow k$

**return**  $m$  and  $s$

Takes  $O(n^3)$  time

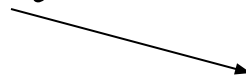
Requires  $O(n^2)$  space

# Constructing Optimal Solution

- Our algorithm computes the minimum-cost table  $m$  and the split table  $s$
- The optimal solution can be constructed from the split table  $s$ 
  - Each entry  $s[i, j] = k$  shows where to split the product  $A_i A_{i+1} \dots A_j$  for the minimum cost

# Example

- Show how to multiply this matrix chain optimally



Matrix	Dimension
$A_1$	$30 \times 35$
$A_2$	$35 \times 15$
$A_3$	$15 \times 5$
$A_4$	$5 \times 10$
$A_5$	$10 \times 20$
$A_6$	$20 \times 25$

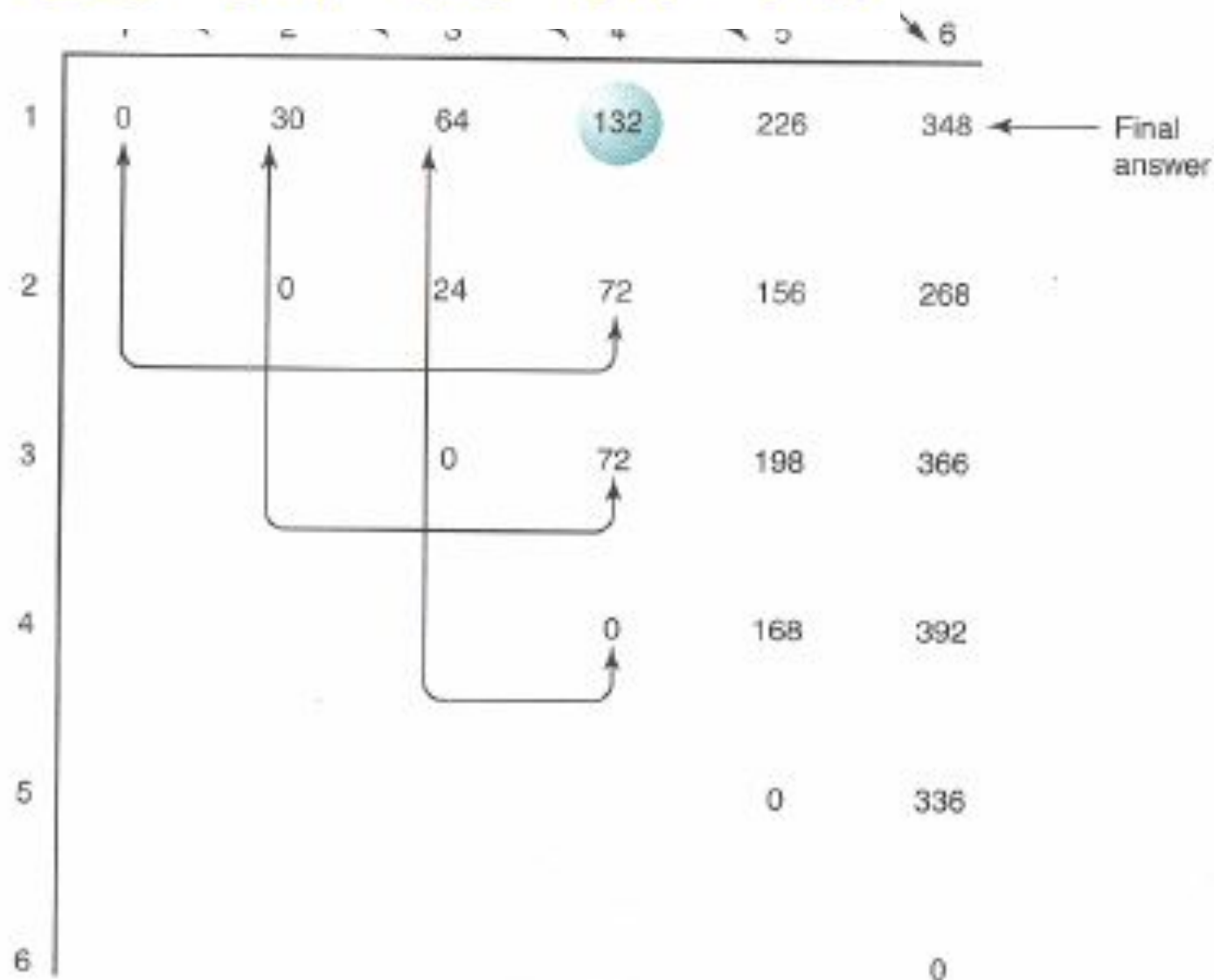
- Solution on the board
  - Minimum cost 15,125
  - Optimal parenthesization  
 $((A_1(A_2A_3))((A_4A_5)A_6))$



# Example

$$A_1 \times A_2 \times A_3 \times A_4 \times A_5 \times A_6$$

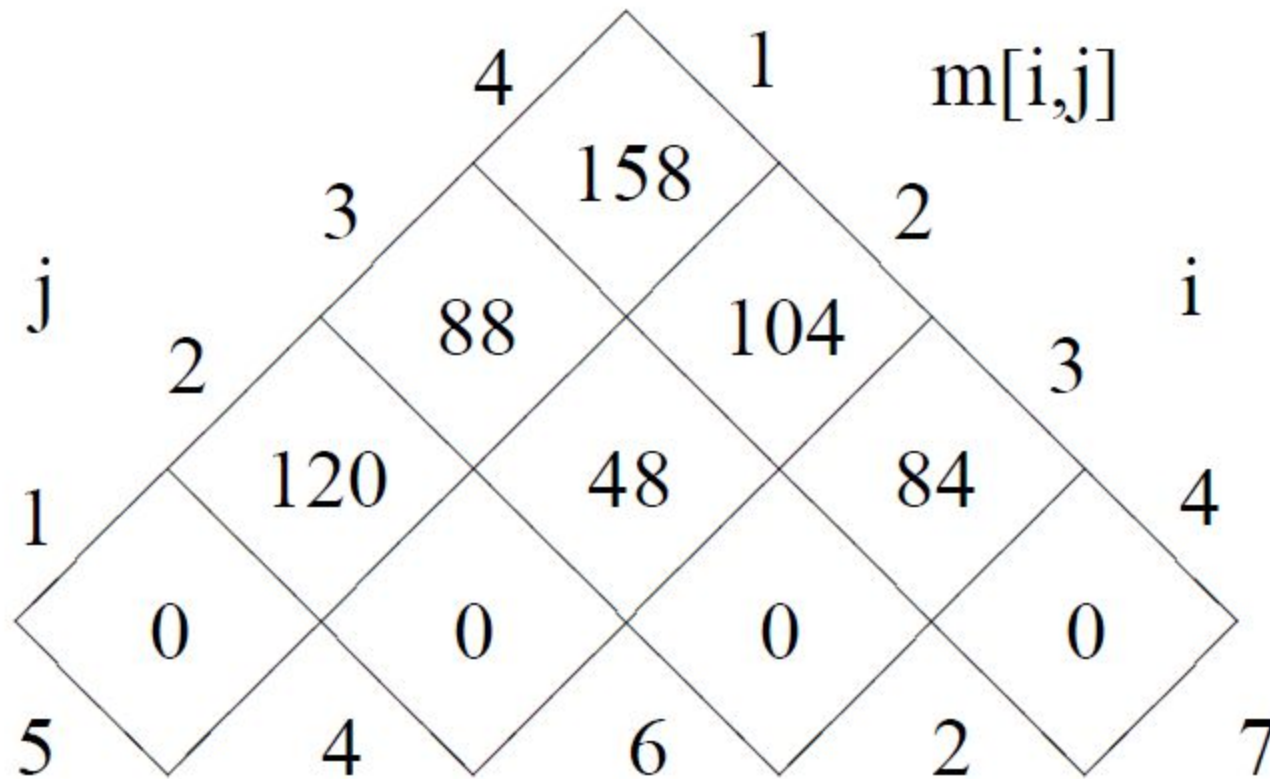
$$5 \times 2 \quad 2 \times 3 \quad 3 \times 4 \quad 4 \times 6 \quad 6 \times 7 \quad 7 \times 8$$



	1	2	3	4	5	6
1		1	1	1	1	1
2			2	3	4	5
3				3	4	5
4					4	5
5						5

$$(A_1(A_2A_3))((A_4A_5)A_6).$$

**Example:** Given a chain of four matrices  $A_1, A_2, A_3$  and  $A_4$ , with  $p_0 = 5, p_1 = 4, p_2 = 6, p_3 = 2$  and  $p_4 = 7$ . Find  $m[1, 4]$ .



**K[l,j]**

1	2	3	4
0	1	1	3
-	0	2	3
-	-	0	3
-	-	-	0

# Subsequence

- A **subsequence** is a **sequence** that can be derived from another sequence by deleting some elements without changing the order of the remaining elements.
- **Examples:**  
LCS for “ABCDGH” and “AEDFHR” is “ADH” of length 3.  
LCS for “AGGTAB” and “GXTXAYB” is “GTAB” of length 4.

# Longest-common-subsequence problem:

- We are given two sequences  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and wish to find a maximum length common subsequence of  $X$  and  $Y$ .
- We Define  $i$ th prefix of  $X$ ,
  - $X_i = \langle x_1, x_2, \dots, x_i \rangle$ .

## A recursive solution to subproblem

- Define  $c[i, j]$  is the length of the LCS of  $X_i$  and  $Y_j$ .

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 , \\ c[i - 1, j - 1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j , \\ \max(c[i, j - 1], c[i - 1, j]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j . \end{cases}$$



# LCS recursive solution

$$c[i, j] = \begin{cases} c[i-1, j-1] + 1 & \text{if } x[i] = y[j], \\ \max(c[i, j-1], c[i-1, j]) & \text{otherwise} \end{cases}$$

- We start with  $i = j = 0$  (empty substrings of  $x$  and  $y$ )
- Since  $X_0$  and  $Y_0$  are empty strings, their LCS is always empty (i.e.  $c[0, 0] = 0$ )
- LCS of empty string and any other string is empty, so for every  $i$  and  $j$ :  $c[0, j] = c[i, 0] = 0$

# LCS recursive solution

$$c[i, j] = \begin{cases} c[i-1, j-1] + 1 & \text{if } x[i] = y[j], \\ \max(c[i, j-1], c[i-1, j]) & \text{otherwise} \end{cases}$$

- When we calculate  $c[i, j]$ , we consider two cases:
- **First case:**  $x[i] = y[j]$ : one more symbol in strings  $X$  and  $Y$  matches, so the length of LCS  $X_i$  and  $Y_j$  equals to the length of LCS of smaller strings  $X_{i-1}$  and  $Y_{j-1}$ , plus 1

# LCS recursive solution

$$c[i, j] = \begin{cases} c[i-1, j-1] + 1 & \text{if } x[i] = y[j], \\ \max(c[i, j-1], c[i-1, j]) & \text{otherwise} \end{cases}$$

- **Second case:**  $x[i] \neq y[j]$
- As symbols don't match, our solution is not improved, and the length of  $\text{LCS}(X_i, Y_j)$  is the same as before (i.e. maximum of  $\text{LCS}(X_i, Y_{j-1})$  and  $\text{LCS}(X_{i-1}, Y_j)$ )

# LCS Length Algorithm

LCS-Length(X, Y)

1.  $m = \text{length}(X)$  // get the # of symbols in X
2.  $n = \text{length}(Y)$  // get the # of symbols in Y
3. for  $i = 1$  to  $m$   $c[i,0] = 0$  // special case:  $Y_0$
4. for  $j = 1$  to  $n$   $c[0,j] = 0$  // special case:  $X_0$
5. for  $i = 1$  to  $m$  // for all  $X_i$
6. for  $j = 1$  to  $n$  // for all  $Y_j$
7.     if (  $X_i == Y_j$  )
8.          $c[i,j] = c[i-1,j-1] + 1$
9.     else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$
10. return c

# LCS Example

We'll see how LCS algorithm works on the following example:

- $X = \text{ABCB}$
- $Y = \text{BDCAB}$

What is the Longest Common Subsequence of  $X$  and  $Y$ ?

$\text{LCS}(X, Y) = \text{BCB}$

$X = \text{A} \text{ **B** } \text{ **C** } \text{ **B** }$

$Y = \text{ **B** } \text{D} \text{ **C** } \text{A} \text{ **B** }$

# LCS Example (0)

ABCB

BDCAB

		j	0	1	2	3	4	5
			Y <sub>j</sub>	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>
i								
0	X <sub>i</sub>							
1	<b>A</b>							
2	<b>B</b>							
3	<b>C</b>							
4	<b>B</b>							

$X = \text{ABCB}; \quad m = |X| = 4$

$Y = \text{BDCAB}; \quad n = |Y| = 5$

Allocate array  $c[5,4]$

# LCS Example (1)

ABCB  
BDCAB

		j	0	1	2	3	4	5
			Y <sub>j</sub>	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>
i	X <sub>i</sub>							
0			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
1	<b>A</b>		<b>0</b>					
2	<b>B</b>		<b>0</b>					
3	<b>C</b>		<b>0</b>					
4	<b>B</b>		<b>0</b>					

for i = 1 to m    c[i,0] = 0

for j = 1 to n    c[0,j] = 0

# LCS Example (2)

A B C B

B D C A B

i	j						
		0	1	2	3	4	5
		Y <sub>j</sub>	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>
0	X <sub>i</sub>	0	0	0	0	0	0
1	<b>A</b>	0	↑ 0				
2	<b>B</b>	0					
3	<b>C</b>	0					
4	<b>B</b>	0					

if (  $X_i == Y_j$  )

$c[i,j] = c[i-1,j-1] + 1$

else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$



# LCS Example (3)

ABCB  
BDCAB

		j	0	1	2	3	4	5
			Y <sub>j</sub>	B	D	C	A	B
i	X <sub>i</sub>	0	0	0	0	0	0	0
1	A	0	0	↑ 0	↑ 0	↑ 0		
2	B	0						
3	C	0						
4	B	0						

if (  $X_i == Y_j$  )  
 $c[i,j] = c[i-1,j-1] + 1$   
 else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (4)

ABCB  
BDCAB

		j	0	1	2	3	4	5
			Y <sub>j</sub>	B	D	C	A	B
i	X <sub>i</sub>							
0		0	0	0	0	0	0	0
1	A	0	↑ 0	↑ 0	↑ 0	1		
2	B	0						
3	C	0						
4	B	0						

if (  $X_i == Y_j$  )  
      $c[i,j] = c[i-1,j-1] + 1$   
 else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (5)

ABCB  
BDCA

		j	0	1	2	3	4	5
			Y <sub>j</sub>	B	D	C	A	B
i	X <sub>i</sub>							
0		0	0	0	0	0	0	0
1	A	0	↑ 0	↑ 0	↑ 0	↖ 1	1	↘ 1
2	B	0						
3	C	0						
4	B	0						

if (  $X_i == Y_j$  )  
 $c[i,j] = c[i-1,j-1] + 1$   
 else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (6)

A B C B

B D C A B

i	j	Y <sub>j</sub>	0	1	2	3	4	5
				B	D	C	A	B
0	X <sub>i</sub>		0	0	0	0	0	0
1	A		0	↑ 0	↑ 0	↑ 0	↖ 1	→ 1
2	B		0	↖ 1				
3	C		0					
4	B		0					

if (  $X_i == Y_j$  )

$c[i,j] = c[i-1,j-1] + 1$

else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (7)

ABCB  
BD CAB

i	j						
		0	1	2	3	4	5
		Y <sub>j</sub>	B	D	C	A	B
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	↑ 0	↑ 0	↑ 0	↖ 1	→ 1
2	B	0	↖ 1	← 1	← 1	↑ 1	
3	C	0					
4	B	0					

if (  $X_i == Y_j$  )  
 $c[i,j] = c[i-1,j-1] + 1$   
 else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (8)

ABCB  
BD CAB

		j	0	1	2	3	4	5
			Y <sub>j</sub>	B	D	C	A	B
i								
0	X <sub>i</sub>		0	0	0	0	0	0
1	A		0	↑ 0	↑ 0	↑ 0	↖ 1	→ 1
2	B		0	↖ 1	← 1	← 1	↑ 1	↘ 2
3	C		0					
4	B		0					

if (  $X_i == Y_j$  )  
      $c[i,j] = c[i-1,j-1] + 1$   
 else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (10)

ABCB  
BD CAB

i	j						
		0	1	2	3	4	5
	Y <sub>j</sub>		B	D	C	A	B
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	↑ 0	↑ 0	↑ 0	↖ 1	→ 1
2	B	0	↖ 1	← 1	← 1	↑ 1	↖ 2
3	C	0	↑ 1	← 1			
4	B	0					

if (  $X_i == Y_j$  )  
 $c[i,j] = c[i-1,j-1] + 1$   
 else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (11)

ABCB  
BD CAB

i	j	Y <sub>j</sub>						
			0	1	2	3	4	5
			B	D	C	A	B	
0	X <sub>i</sub>	0	0	0	0	0	0	0
1	A	0	↑ 0	↑ 0	↑ 0	↖ 1	→ 1	
2	B	0	↖ 1	← 1	← 1	↑ 1	↘ 2	
3	C	0	↑ 1	← 1	↖ 2			
4	B	0						

if (  $X_i == Y_j$  )  
      $c[i,j] = c[i-1,j-1] + 1$   
 else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$



# LCS Example (12)

ABCB  
BDCAB

		j	0	1	2	3	4	5
			Y <sub>j</sub>	B	D	C	A	B
i	X <sub>i</sub>							
0		0	0	0	0	0	0	0
1	A	0	↑ 0	↑ 0	↑ 0	↖ 1	1	→ 1
2	B	0	↖ 1	← 1	← 1	↑ 1	1	↖ 2
3	C	0	↑ 1	← 1	↖ 2	← 2	2	↖ 2
4	B	0						

if (  $X_i == Y_j$  )

$c[i,j] = c[i-1,j-1] + 1$

else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (13)

ABC B

BDCAB

		j	0	1	2	3	4	5
			Y <sub>j</sub>	B	D	C	A	B
i		X <sub>i</sub>	0	0	0	0	0	0
0	A	0	0	0	0	0	1	1
1	B	0	1	1	1	1	1	2
2	C	0	1	1	2	2	2	2
3	B	0	1					

if (  $X_i == Y_j$  )

$c[i,j] = c[i-1,j-1] + 1$

else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (14)

ABCB  
BD CAB

i	j						
		0	1	2	3	4	5
		Y <sub>j</sub>	B	D	C	A	B
0	X <sub>i</sub>	0	0	0	0	0	0
1	A	0	↑ 0	↑ 0	↑ 0	↖ 1	→ 1
2	B	0	↖ 1	← 1	← 1	↑ 1	↖ 2
3	C	0	↑ 1	← 1	↖ 2	← 2	↖ 2
4	B	0	↑ 1	↑ 1	↑ 2	↑ 2	

if (  $X_i == Y_j$  )  
 $c[i,j] = c[i-1,j-1] + 1$   
 else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Example (15)

ABCB  
BD CAB

		j	0	1	2	3	4	5
			Y <sub>j</sub>	B	D	C	A	B
i								
0	X <sub>i</sub>		0	0	0	0	0	0
1	A		0	↑ 0	↑ 0	↑ 0	↖ 1	→ 1
2	B		0	↖ 1	← 1	← 1	↑ 1	↖ 2
3	C		0	↑ 1	← 1	↖ 2	← 2	↖ 2
4	B		0	1	1	2	2	↖ 3

if (  $X_i == Y_j$  )  
      $c[i,j] = c[i-1,j-1] + 1$   
 else  $c[i,j] = \max( c[i-1,j], c[i,j-1] )$

# LCS Algorithm Running Time

- LCS algorithm calculates the values of each entry of the array  $c[m,n]$
- So what is the running time?

$O(m*n)$

since each  $c[i,j]$  is calculated in constant time, and there are  $m*n$  elements in the array


# How to find actual LCS

- So far, we have just found the *length* of LCS, but not LCS itself.
- We want to modify this algorithm to make it output Longest Common Subsequence of X and Y

Each  $c[i,j]$  depends on  $c[i-1,j]$  and  $c[i,j-1]$  or  $c[i-1,j-1]$

For each  $c[i,j]$  we can say how it was acquired:

2	2
2	3



For example, here

$$c[i,j] = c[i-1,j-1] + 1 = 2+1=3$$

# Tracing Back The Algorithm To find Subsequence

LCS-LENGTH( $X, Y$ )

```
1   $m = X.length$ 
2   $n = Y.length$ 
3  let  $b[1..m, 1..n]$  and  $c[0..m, 0..n]$  be new tables
4  for  $i = 1$  to  $m$ 
5       $c[i, 0] = 0$ 
6  for  $j = 0$  to  $n$ 
7       $c[0, j] = 0$ 
```

```
8  for  $i = 1$  to  $m$ 
9      for  $j = 1$  to  $n$ 
10         if  $x_i == y_j$ 
11              $c[i, j] = c[i - 1, j - 1] + 1$ 
12              $b[i, j] = "\nwarrow"$ 
13         elseif  $c[i - 1, j] \geq c[i, j - 1]$ 
14              $c[i, j] = c[i - 1, j]$ 
15              $b[i, j] = "\uparrow"$ 
16         else  $c[i, j] = c[i, j - 1]$ 
17              $b[i, j] = "\leftarrow"$ 
18  return  $c$  and  $b$ 
```

PRINT-LCS( $b, X, i, j$ )

1   **if**  $i == 0$  or  $j == 0$

2       **return**

3   **if**  $b[i, j] == \nwarrow$

4       PRINT-LCS( $b, X, i - 1, j - 1$ )

5       print  $x_i$

6   **elseif**  $b[i, j] == \uparrow$

7       PRINT-LCS( $b, X, i - 1, j$ )

8   **else** PRINT-LCS( $b, X, i, j - 1$ )



# How to find actual LCS - continued

- Remember that

$$c[i, j] = \begin{cases} c[i-1, j-1] + 1 & \text{if } x[i] = y[j], \\ \max(c[i, j-1], c[i-1, j]) & \text{otherwise} \end{cases}$$

- So we can start from  $c[m, n]$  and go backwards
- Whenever  $c[i, j] = c[i-1, j-1] + 1$ , remember  $x[i]$  (because  $x[i]$  is a part of LCS)
- When  $i=0$  or  $j=0$  (i.e. we reached the beginning), output remembered letters in reverse order

# Finding LCS

		j	0	1	2	3	4	5
i		Yj	B	D	C	A	B	
	Xi							
0		0	0	0	0	0	0	
1	A	0	0	0	0	1	1	
2	B	0	1	1	1	1	2	
3	C	0	1	1	2	2	2	
4	B	0	1	1	2	2	3	

# Finding LCS (2)

		j	0	1	2	3	4	5
		Yj		<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>
i	Xi							
0			0	0	0	0	0	0
1	<b>A</b>		0	0	0	0	1	1
2	<b>B</b>		0	1	1	1	1	2
3	<b>C</b>		0	1	1	2	2	2
4	<b>B</b>		0	1	1	2	2	3

LCS (reversed order): **B C B**

LCS (straight order): **B C B**  
 (this string turned out to be a palindrome)

**X[i]= “ABCB DAB”**

**Y[j]= “BDCABA”**

**Find LCS.**

		<i>j</i>	0	1	2	3	4	5	6
		<i>y<sub>j</sub></i>		<b>B</b>	D	<b>C</b>	A	<b>B</b>	<b>A</b>
0	<i>x<sub>i</sub></i>		0	0	0	0	0	0	0
1	A		0	↑	↑	↑	↖1	←1	↖1
2	<b>B</b>		0	↖1	↑	←1	↑	↖2	←2
3	<b>C</b>		0	↑	↑	↖2	←2	↑	↑
4	<b>B</b>		0	↖1	↑	↑	↑	↖3	←3
5	D		0	↑	↖2	↑	↑	↑	↑
6	<b>A</b>		0	↑	↑	↑	↖3	↑	↖4
7	B		0	↖1	↑	↑	↑	↖4	↑

# X=PRESIDENT

## Y=PROVIDENCE

i	j	0	1	2	3	4	5	6	7	8	9	10
			<i>p</i>	<i>r</i>	<i>o</i>	<i>v</i>	<i>i</i>	<i>d</i>	<i>e</i>	<i>n</i>	<i>c</i>	<i>e</i>
0		0	0	0	0	0	0	0	0	0	0	0
1	<i>p</i>	0 ↖	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←
2	<i>r</i>	0 ↑	1 ↖	2 ←	2 ←	2 ←	2 ←	2 ←	2 ←	2 ←	2 ←	2 ←
3	<i>e</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	2 ↑	2 ↖	3 ←	3 ←	3 ←	3 ↖
4	<i>s</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	2 ↑	2 ↑	3 ↑	3 ↑	3 ↑	3 ↑
5	<i>i</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↖	3 ←	3 ↑	3 ↑	3 ↑	3 ↑	3 ↑
6	<i>d</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	3 ↖	4 ←	4 ←	4 ←	4 ←	4 ←
7	<i>e</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	3 ↑	4 ↖	5 ←	5 ←	5 ←	5 ↖
8	<i>n</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	3 ↑	4 ↑	5 ↖	6 ←	6 ←	6 ←
9	<i>t</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	3 ↑	4 ↑	5 ↑	6 ↑	6 ↑	6 ↑

i	j	0	1	2	3	4	5	6	7	8	9	10
			<i>p</i>	<i>r</i>	<i>o</i>	<i>v</i>	<i>i</i>	<i>d</i>	<i>e</i>	<i>n</i>	<i>c</i>	<i>e</i>
0		0	0	0	0	0	0	0	0	0	0	0
1	<i>p</i>	0 ↘	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←	1 ←
2	<i>r</i>	0 ↑	1 ↘	2 ←	2 ←	2 ←	2 ←	2 ←	2 ←	2 ←	2 ←	2 ←
3	<i>e</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	2 ↑	2 ↘	3 ←	3 ←	3 ←	3 ↘
4	<i>s</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	2 ↑	2 ↑	3 ↑	3 ↑	3 ↑	3 ↑
5	<i>i</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	2 ↘	3 ←	3 ↑	3 ↑	3 ↑	3 ↑
6	<i>d</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	2 ↑	3 ↘	4 ←	4 ←	4 ←	4 ←
7	<i>e</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	2 ↑	3 ↑	4 ↘	5 ←	5 ←	5 ↘
8	<i>n</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	2 ↑	3 ↑	4 ↑	5 ↘	6 ←	6 ←
9	<i>t</i>	0 ↑	1 ↑	2 ↑	2 ↑	2 ↑	2 ↑	3 ↑	4 ↑	5 ↑	6 ↑	6 ↑

Output: *priden*

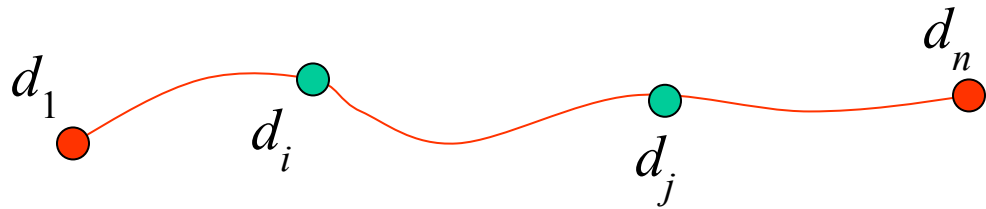
# More Examples

1.  $X = \text{ALIGNMENT}$  V/S  $Y = \text{ASSIGNMENT}$
2.  $X = \text{abbacdcba}$  V/S  $Y = \text{bcdbbcaac}$
3.  $X = \text{XYZYTXY}$  V/S  $Y = \text{YTZXYYX}$

# Principle of Optimality:

In solving optimization problems which require making a sequence of decisions, such as the change making problem, we often apply the following principle in setting up a recursive algorithm: any subsequence of an optimal solution constitutes an optimal sequence of decisions for the corresponding subproblem. This is known as the principle of optimality which can be illustrated by the shortest paths in weighted graphs as follows:

shortest  
path from  $d_1$   
to  $d_n$

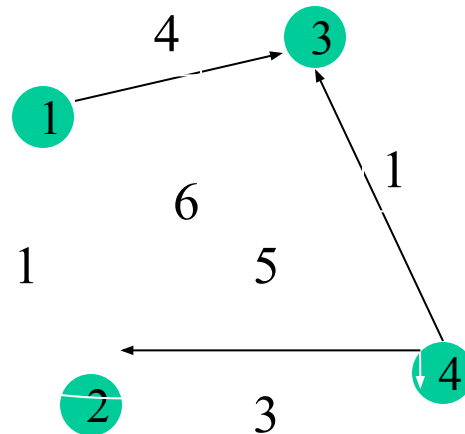




**Problem:** In a weighted (di)graph, find shortest paths between every pair of vertices

**Same idea:** construct solution through series of matrices  $D^{(0)}, \dots, D^{(n)}$  using increasing subsets of the vertices allowed as intermediate

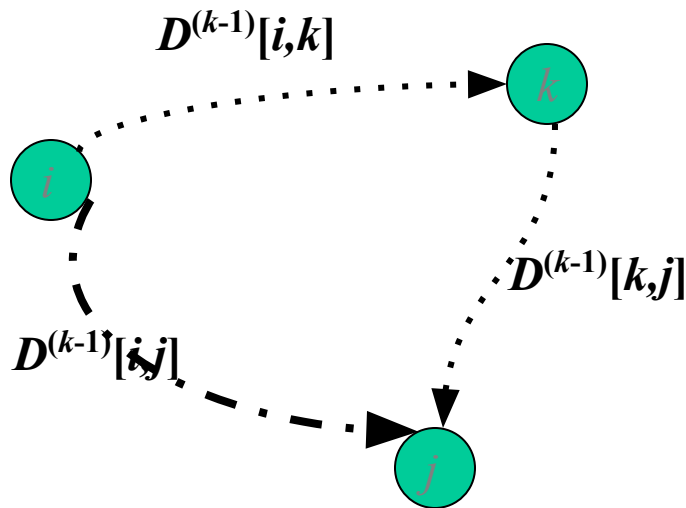
**Example:**



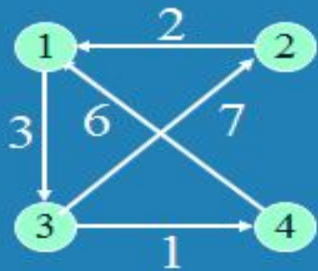
0	$\infty$	4	$\infty$
1	0	6	3
$\infty$	$\infty$	0	$\infty$
6	5	1	0

On the  $k$ -th iteration, the algorithm determines shortest paths between every pair of vertices  $i, j$  that use only vertices among  $1, \dots, k$  as intermediate

$$D^{(k)}[i,j] = \min \{D^{(k-1)}[i,j], D^{(k-1)}[i,k] + D^{(k-1)}[k,j]\}$$



# Example



$$D^{(0)} =$$

0	$\infty$	3	$\infty$
2	0	$\infty$	$\infty$
$\infty$	7	0	1
6	$\infty$	$\infty$	0

$$D^{(1)} =$$

0	$\infty$	3	$\infty$
2	0	5	$\infty$
$\infty$	7	0	1
6	$\infty$	9	0

$$D^{(2)} =$$

0	$\infty$	3	$\infty$
2	0	5	$\infty$
9	7	0	1
6	$\infty$	9	0

$$D^{(3)} =$$

0	10	3	4
2	0	5	6
9	7	0	1
6	16	9	0

$$D^{(4)} =$$

0	10	3	4
2	0	5	6
7	7	0	1
6	16	9	0

# Floyd's Algorithm

**ALGORITHM** *Floyd*( $W[1..n, 1..n]$ )

//Implements Floyd's algorithm for the all-pairs shortest-paths problem

//Input: The weight matrix  $W$  of a graph with no negative-length cycle

//Output: The distance matrix of the shortest paths' lengths

$D \leftarrow W$  //is not necessary if  $W$  can be overwritten

**for**  $k \leftarrow 1$  **to**  $n$  **do**

**for**  $i \leftarrow 1$  **to**  $n$  **do**

**for**  $j \leftarrow 1$  **to**  $n$  **do**

$D[i, j] \leftarrow \min\{D[i, j], D[i, k] + D[k, j]\}$

**return**  $D$

# Dynamic Programming

- Indications: optimal substructure, repeated subproblems
- *What is the difference between memoization and dynamic programming?*
- A: same basic idea, but:
  - *Memoization*: recursive algorithm, looking up subproblem solutions after computing once
  - *Dynamic programming*: build table of subproblem solutions bottom-up

# Conclusion

- Dynamic programming is a useful technique of solving certain kind of problems
- When the solution can be recursively described in terms of partial solutions, we can store these partial solutions and re-use them as necessary
- Running time (Dynamic Programming algorithm vs. naïve algorithm):
  - LCS:  $O(m * n)$  vs.  $O(n * 2^m)$
  - 0-1 Knapsack problem:  $O(W * n)$  vs.  $O(2^n)$