### Embedding Staged Domain-Specific Languages

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To my son David.

# Acknowledgements

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## Abstract

# Zusammenfassung

### Contents

A	cknov	wledge	ments	j
$\mathbf{A}$	bstra	ct (En	${ m glish/Deutsch})$	iii
Ta	able o	of Con	tents	х
Li	st of	Figure	es	xi
$\mathbf{Li}$	st of	Tables	5	xii
1	Intr	oducti	ion	1
	1.1	Domai 1.1.1 1.1.2	in-Specific Languages	2
	1.2 1.3	Impro	tance of Support for DSL	7
		1.3.1 1.3.2 1.3.3	Improving User Experience in the Deep Embedding Automating Development of the Deep Embedding Removing Abstraction Overheads in Shallow Domain-Specific Lan-	8
			guages	8
2	Bac	kgrour	nd	11
	2.1	The Se 2.1.1	cala Programming Language	
	2.2	2.2.1	dded Domain-Specific Languages	12
	2.3	2.2.2 Partia	Deep Embedding of DSLs with LMS	
I D	-	-	g the Programmer Experience with Deeply Embedded cific Languages	l 15
3	Intr	oducti	on: Concealing the Deep Embedding of DSLs	17

#### Contents

4	Mo	tivation: Abstraction Leaks in the Deep Embedding	21
	4.1	The Deep Embedding	22
	4.2	Abstraction Leaks in the Deep Embedding	24
		4.2.1 Convoluted Interfaces	24
		4.2.2 Difficult Debugging	24
		4.2.3 Convoluted and Incomprehensible Type Errors	25
		4.2.4 Unrestricted Host Language Constructs	26
		4.2.5 Domain-Specific Error Reporting at Runtime	26
		4.2.6 Runtime Overheads of DSL Compilation	27
		4.2.7 Long Compilation Times	27
		4.2.8 Abstraction Leaks in the Deep Embedding Specific to Scala	28
5	Tra	nslation of the Direct Embedding	31
	5.1	Language Virtualization	32
		5.1.1 Virtualizing Pattern Matching	34
	5.2	DSL Intrinsification	36
		5.2.1 Constants and Free Variables	36
		5.2.2 Type Translation	37
		5.2.3 Operation Translation	40
		5.2.4 Translation Example	42
		5.2.5 Correctness	42
	5.3	Translation in the Wider Context	43
6	Dee	ep Embedding with Yin-Yang	<b>4</b> 9
	6.1	Relaxed Interface of the Deep Embedding	49
	6.2	Embedding for the Identity Translation	50
	6.3	Polymorphic Embedding	51
	6.4	Polymorphic Embedding With Eager Inlining	55
	6.5	Embedding With Custom Types	56
	6.6	The Yin-Yang Interface	58
	6.7	Using Yin-Yang	59
		6.7.1 Defining a Translation for a DSL	59
	6.8	Using a Yin-Yang DSL	60
7	DS	L Reification at Host-Language Compile Time	61
	7.1	Reification by Compilation	61
	7.2	Reification by Interpretation	62
	7.3	Performance of Compile-Time Reification	63
8	Imp	proving Error Reporting of DSLs	65
	8.1	Restricting Host Language Constructs	65
	8.2	Domain-Specific Error Reporting at Compile Time	66

9	Red	ucing Runtime Overheads of the Deep Embedding	69
10	Min	imizing Compilation Time of the Deep Embedding	<b>7</b> 1
11	11.1 11.2		
<b>12</b>	Rela	ated Work	77
II	De	ep Embedding Development with a Breeze	<b>7</b> 9
13		eration of the Deep Embedding Constructing High-Level IR Nodes	81 82 83
<b>14</b>	Dyn	amic Compilation of Domain-Specific Languages	85
	ingua Intro	oduction: Different Approaches to Removing Abstraction Over-	87 89
16	Poly	variant Staging	91
10	16.1 16.2	Introduction	91 95 97 97
	16.4	16.3.2 Compile-Time Evaluation	98 98 98 98
		16.4.1 Inlining Expressed Through Staging16.4.2 Recursion16.4.3 Variable Argument Functions16.4.4 Removing Abstraction Overhead of Type-Classes16.4.5 Inner Product of Vectors	99 99 100 100
		Discussion	
	10.0	16.6.1 Reduction in Code Duplication	

#### Contents

	16.6.2 Performance of Generated Code	. 104
16.7	Limitations	. 105
	16.7.1 Nominal Typing, Lower Bounds, and Higher-Kinded Types $$	. 105
16.8	Related Work	. 105
Bibliog	graphy	109
Curric	ulum Vitae	115

# List of Figures

2.1	Minimal EDSL for vector manipulation	13
4.1 4.2	The interface of a direct EDSL for manipulating numerical vectors A deep EDSL for manipulating numerical vectors based on LMS	22 23
5.1 5.2 5.3 5.4	Translation from the direct to the deep embedding	45 46 47
5.5	Transformation of an EDSL program for calculating $\sum_{i=0}^{n} i^{exp}$	48
6.1 6.2 6.3 6.4	Interface of the identity DSL	52 53 55
6.5	arbitrarily override each type in the embedding	56
6.6 6.7	deep embedding	57 58 60
8.1	Interface for domain-specific error reporting in the deep embedding	67
13.2	High-level IR nodes for Vector	82 83 84
	Interface of ScalaCT	95
16.3	arguments min_D	101 101
TO.0	Technoling application of the control of the compact of the control of the contro	TOT

### List of Tables

1.1	Compares different DSL kinds with respect to ease of programming and performance. The sign $\checkmark$ means "good" and the sign X means "bad"	6
11.1	LOC for direct EDSL, Forge specification, and deep EDSL	4
16.1	Compile-time views of types and additional methods that will be available to the user	6
16.2	Promotion of terms to their compile-time views	6
16.3	Speedup of LMS and ScalaCT compared to the naive implementation of the algorithms	4

### 1 Introduction

Infrastructure of our society depends on billions of lines of code executed in data-centers, mobile devices, in routers, on personal computers, and in controllers of various devices. There are several million programmers worldwide writing code mostly in *general purpose programming languages* like JavaScript, Java, Python, and C#. Advancements in our society's infrastructure depend on productivity of programmers that program it.

Modern general purpose programming languages allow programmers to concisely, with a high-level of abstraction model their problems. This fosters writing programs in a minimal number of lines of code (LoC) and quickly understanding programs written by others. Unfortunately, abstraction comes with a cost: each layer of abstraction must be executed and uses more energy for computation.

[TODO: reshape for speed.] The amount of energy used for computation is becoming a significant portion of the overall energy consumption in the world. It is estimated that 2% [TODO: cite] of electricity in the United States is used for data-center computations and since the year 2008 this percentage is in constant growth [TODO: cite]. If we would write more efficient programs the IT infrastructure would consume proportionally less energy.

Writing efficient programs in general purpose programming languages leads to low productivity. To make programs efficient programmers must write them with low-level of abstraction [TODO: cite] and hand-craft their programs for the particular platform where the program is executed [TODO: cite]. The problem becomes even worse on heterogeneous platforms where programmers are faced with multiple computing targets such as parallel CPUs, GPUs, and FPGAs. With heterogeneous platforms programmers must specialize their programs for each target separately.

Why compilers can not optimize high-level programs? For compilers of general purpose programming languages it is hard to remove the abstraction overheads and at

the same time target heterogeneous platforms. The two main reasons general purpose compilers can not achieve these goals are:

- General purpose compilers reason only about general computations and can not reason about *specific domains* such as linear algebra and relational algebra. This reduces the number of possible optimizations they can apply to the programs and leads to slower execution.
- During compilation the compilers are faced with a overwhelming number of choices for optimization. Each choice exponentially increases the *search space* that the compiler needs to explore. Finding a specific solution that is optimal for a given platform in this wast space is in most cases impossible. The only way to efficiently explore the search space is to use the domain knowledge to guide the optimizer towards a close-to-optimal solution.

#### 1.1 Domain-Specific Languages

Domain-specific languages (DSLs) provide a restricted, high-level, and user-friendly interface crafted for a specific domain. Restricting the language to a particular domain allows programming at a high level of abstraction but allows extraction of domain knowledge by treating specially constructs from the restricted language.

DSLs allow users to write programs at the high-level of abstraction and at the same time highly optimize them for execution on different target platforms. The restricted interface allows the optimizer to extract the domain knowledge from user programs. This knowledge is then used to define larger space of possible program executions. Then the knowledge about the domain can further be used to guide the optimizer in exploring the space of possible program executions towards the close-to-optimal solution. In certain cases, code can be targeted at heterogeneous computing environments [Rompf et al., 2013b].

Successful examples of a DSL is the Standard Query Language (SQL) with millions of users world wide. SQL concisely expresses the domain of data querying and uses the knowledge about linear algebra to optimize queries far better than programmers could. SQL, as such, provides the base for almost all enterprise applications in the world.

#### 1.1.1 Kinds of DSLs

DSLs can be implemented in two major categories: i) as external DSLs that have a specialized compiler for the language, ii) internal DSLs that are embedded inside a general purpose host language.

**External DSLs.** The implementation of a usable *external* (or *stand-alone*) DSL requires building a parser, type-checker, and possibly a complete tool chain consisting of IDE integration, debugging, and documentation tools. This is a great undertaking that is often not justified by the benefits of having an external DSL.

Another perspective about external DSLs is that they start as small concise languages but end up as a general purpose language [TODO: cite]. As DSLs become popular their language designers can not resist the users demand for a features of general purpose languages. These features, as they are added later, usually do not fit well into the original language. For example, SQL in most databases supports constructs like loops, variables, and hash-maps which are hard to reason about and diverge from the domain of relational algebra.

Embedded DSLs. A promising alternative to external DSLs are embedded DSLs (EDSLs) [Hudak, 1996]. Embedded DSLs are hosted in a general-purpose language and reuse large parts of its infrastructure: i) IDE support, ii) tools (e.g., debuggers and code analysis), iii) compilation pipeline (e.g., parser, type-checker, optimizations, and code generation), and iv) standard library. Due to the reuse of the standard library embedded DSLs already support constructs from general purpose languages and therefore usually do not uncontrollably grow.

For the purpose of the following discussion, we distinguish between two main types of embeddings: *shallow* and *deep* embeddings.

- In a shallowly embedded DSL, values of the embedded language are *directly* represented by values in the host language. Consequently, terms in the host language that represent terms in the embedded language are evaluated directly into host-language values that represent DSL values. In other words, evaluation in the embedded language corresponds directly to evaluation in the host language.
- In a deeply embedded DSL, values of the embedded language are represented symbolically, that is, by host-language data structures, which we refer to as the intermediate representation (IR). Terms in the host language that represent terms in the embedded language are evaluated into this intermediate representation. An additional evaluation step is necessary to reduce the intermediate representation to a direct representation. This additional evaluation is typically achieved through interpretation of the IR in the host language, or through code generation and subsequent execution.

#### 1.1.2 Comparison of DSL Kinds

In this section we will show a brief comparison of programmability and performance of different DSL kinds. As it is hard to quantify and exactly judge programmability, in the following discussion we will make binary decision whether DSLs are easy to program or not. Scientifically proving how programmable is a DSL kind would require numerous user studies which we did not perform, but we rather build on experiences from previous work.

To compare programmability of different DSL types we introduce two types of programmers:

- **DSL** users are people that use a DSL to model and solve their tasks. This is a larger group of programmers as, usually, there is more language users than language authors. Therefore, it is good to optimize the design of DSLs for this group of programmers.
- **DSL** authors are the programmers that develop domain-specific languages. This group is smaller than DSL users, but is still very important. If developing a DSL is hard then it will be harder to introduce new DSLs and features of existing DSLs will be developed at a slower pace.

**External DSLs.** For the DSL users external DSLs are, in the ideal case, *easy to program*. Given that the DSL authors implement a good language, the language syntax is crafted for the domain and easy to comprehend and write. Error reporting and tooling should be built such that DSL users easily prevent, identify, and finally fix the errors in their programs.

For the DSL authors developing DSLs is a different story. Although, the development process is not hard as DSL authors design their own compiler, the amount of work required to build a "language eco-system" is tremendous. Therefore we categorize external DSLs as hard to develop. [TODO: cite]

Finally, external DSLs have *high performance*. The language and the compiler can be designed such that they extract all domain-knowledge from user programs. This domain knowledge can then be used to optimize programs. Good example of high-performance DSLs is Spiral [Püschel et al., 2005] as in parts of their work they thoroughly examine the domain and explore the entire search space in order to find optimal programs.

**Shallowly embedded DSLs.** We categorize shallow DSLs as *easy to program* for the DSL users but less so than the external DSLs. Syntax and error reporting of the host language typically can not be modified to perfectly fit the domain. However, languages

with flexible syntax [TODO: cite] and powerful type systems can closely model most of the domains. Some host languages have language extensions for introducing extensible syntax [TODO: cite] and customizable error reporting [TODO: cite] further improving the interface of DSLs. Finally, shallow DSLs have perfect interoperability with the host language libraries as the values in the embedded language directly correspond to the values in the host language.

For the DSL authors basic shallowly embedded DSLs are *easy to program* as their development is similar to development of host language libraries. This makes it easy to evolve the language and experiment with different features. For DSLs with complex error reporting or extensible syntax the development becomes more difficult for the DSL authors.

Shallowly embedded DSLs have *low performance*. The lack of the intermediate representation prevents exploiting the domain knowledge to implement optimizations. Further, having a language with the high-level of abstraction leads to layers of indirection and, thus, performance overheads [TODO: cite].

**Deeply embedded DSLs.** For the DSL users deeply embedded DSLs are not ideal, and we categorize them as *hard to program*. The reification in the host language is inevitably leads to abstraction leaks (§4.2) such as convoluted interfaces, difficult debugging, incomprehensible type errors, run-time error reporting, and others (see 4.2).

For the DSL author developing a DSL in the deep embedding is *not easy*. Unlike with external DSLs where the difficulty comes from the amount of work required to develop the language ecosystem, in deep embeddings it is difficult to fit reification in the host language. The DSL author is required to exploit complicated type system features to minimize the abstraction leaks caused by the deep embedding.

Deeply embedded DSLs have *high performance*. An important advantage of deep embeddings over shallow ones is that DSL terms can be easily manipulated by the host language. This enables domain-specific optimizations [Rompf and Odersky, 2012b, Rompf et al., 2013b] that lead to orders-of-magnitude improvements in program performance, and multi-target code generation [Brown et al., 2011].

Another advantage is that deeply embedded DSLs are compiled at host language runtime and therefore support dynamic compilation [TODO: cite]: values in the host language are treated as constants during DSL compilation. Dynamic compilation can improve performance in certain types of DSLs (e.g., [TODO: reference matrices] and [TODO: reference query languages]).

Comparison summary. We summarize different DSL kinds in the Table 1.1. In the table we can see that no DSLs provide an ideal solution for DSL users, DSL authors, and high-performance. Depending on the domain that is being targeted by the language some kinds of DSLs might be more suitable than the others.

Table 1.1 – Compares different DSL kinds with respect to ease of programming and performance. The sign  $\sqrt{\text{means "good"}}$  and the sign X means "bad".

	External	Shallow	Deep
For DSL Users	<b>√</b>	<b>√</b>	X
For DSL Authors	X	$\checkmark$	X
Performance	$\checkmark$	X	$\checkmark$

Choosing the right DSL kind. Some DSLs greatly benefit from extracting the domain knowledge. Typically languages with well defined transformation rules (e.g., relational algebra, linear algebra, logical formulas, etc.) benefit the most as those rules can be used to define the space of possible transformations. The optimizer can then explore the space of possible executions and find the optimal one.

For languages whose domain allows transformations based on the domain knowledge either external or deeply embedded DSLs are a good fit. With those approaches the DSL author can extract the domain knowledge from the programs and use it for optimizations. Some DSL authors choose to use the deep embedding (e.g., OptiML [Sujeeth et al., 2011]) and some external DSLs (e.g., WebDSL [Groenewegen et al., 2008]). Both of these approaches are important—discussing which DSL kind is a better choice is out of the scope of this thesis.

Shallow embeddings, on the other hand, are a good fit for languages where exploiting domain knowledge is not beneficial and where DSL users need features of general purpose programming languages. Good examples of such DSLs are languages for generating formats like JSON and XML, testing frameworks, and Actors [Haller and Odersky, 2009].

#### 1.2 Importance of Support for DSL

To allow both high-level of abstraction and high performance of programs it is necessary enable wide adoption of domain specific languages. However, from Table 1.1 we see that support for domain specific languages is not ideal. External DSLs require tremendous amounts of work to be implemented, deep embeddings are not ideal in terms of DSL user experience and DSL author productivity, and shallow embeddings lack in ways to remove the abstraction overheads.

For wide adoption of domain specific languages it is imperative to improve support for

### 1.3. Improving Language Support for Embedded Domain-Specific Languages

DSLs. It is necessary to: i) have good experience for the DSL users in all kinds of DSLs, ii) have good infrastructure for building external and deeply embedded domain specific languages, and iii) a way to remove the abstraction penalty of shallowly embedded DSLs.

In the recent years language workbenches [Fowler, 2005] such as Spoofax [Kats and Visser, 2010] and Rascal [Klint et al., 2009, van der Storm, 2011] have been designed to generate large parts of the language ecosystem based on the declarative specification. With language workbenches it is possible to generate the parser, type-checker, name binding logic, IDE support, and debuggers (the detailed overview of language workbenches is presented by Erdweg et al. [Erdweg et al., 2013]).

Support for deeply embedded domain specific languages has improved in the interface and ease of development in recent years. Frameworks like Forge [Sujeeth et al., 2013b], similarly to language workbenches, allow generating the deep embedding from a declarative specification. Scala-Virtualized [Rompf et al., 2013a] proposes overriding host language constructs to better support deeply embedded DSLs. Svenningsson and Axelsson [Svenningsson and Axelsson, 2013] propose combining shallow and deep embeddings for better user experience. For detailed comparison of these approaches see §12.

Removing abstraction overheads in shallow embeddings can be roughly divided into two categories: *i)* automatic schemes where compiler tries to optimize the code without user or author guidance, and *ii)* DSL author guided schemes for optimization where the DSL author declares how to remove abstraction overheads.

The automatic schemes for optimization can not match the performance of hand optimized code [Rompf et al., 2013b]. Automatic optimizations must rely on heuristics that are designed to perform the best on all programs. In many cases what is best for the average case is not the best solution for the give domain-specific language.

Guided schemes for removing abstraction overheads allow the DSL author and the user to precisely control which abstractions are optimized. From this category, we emphasize multi-stage programming [Taha and Sheard, 1997, Taha, 2004], and schemes based on partial evaluation [Jones et al., 1993, Brady and Hammond, 2010]. For the detailed overview see of approaches and their comparison see §16.8.

#### 1.3 Improving Language Support for Embedded Domain-Specific Languages

In this dissertation we improve the language support for embedded domain specific languages. The thesis is divided in three parts: i) describes a technique we use to improve user experience in deeply embedded DSLs, ii) describes automation of the deep

embedding design in order to minimize the effort required by the DSL author, *iii*) we propose a method for improving performance of shallow DSLs by removing abstraction overheads in a user-controlled and predictable way.

#### 1.3.1 Improving User Experience in the Deep Embedding

In the first part of the thesis we improve user experience of the deep embedding by using an equivalent shallow embedding for program development. Then, when performance is important, we translate the shallow program into the corresponding deep program by a compile-time type-driven translation.

Using the shallow embedding for development provides users with idiomatic interfaces, allows easy debugging, and gives comprehensible type errors. The translation allows to improve other aspects of the deep embedding such as error reporting, run-time overheads, and compilation times.

#### 1.3.2 Automating Development of the Deep Embedding

In the first part of the thesis we required that the deep embedding interface and implementation corresponds to the shallow embedding. In practice, this is error prone and requires great effort on the part of DSL author. Further, handling of internal DSL transformations was performed by AST manipulation or re-use of the deep embedding. Finally, the DSL authors had to take care of dynamic compilation of the deep embedding.

In the second part of the thesis we ease the development of the deep embedding:

- Re-using the shallow-to-deep translation to generate the deep embedding based on the shallow embedding ([TODO: section ]).
- Re-using the shallow-to-deep translation to allow user friendly transformations in the deep embedding (§13).
- Provide an abstraction in the deep embedding for managing decisions about dynamic compilation of deeply embedded DSLs (§14).

### 1.3.3 Removing Abstraction Overheads in Shallow Domain-Specific Languages

In the introductory part (§15) we evaluate existing approaches to predictably remove abstraction overheads in libraries. Then we show that none of the approaches is ideal. Approaches like partial evaluation are not predictable and type-safe, approaches like static terms in D are not powerful enough. Approaches like staging (both quotation

### 1.3. Improving Language Support for Embedded Domain-Specific Languages

and type based) require code duplication on the part of the DSL author which hampers development of shallow DSLs.

We propose polyvariant staging (§16) for removing abstraction overheads in a predictable, type-safe, and concise way. We enhance the programs with information about polyvariant binding-time analysis [Rytz and Gengler, 1992]. Then we provide a small set of annotations on program types to achieve type-safe partial evaluation. Since we only use types to propagate information user programs do not need special annotations and polyvariant binding-time analysis obviates the need to duplicate DSL code.

### 2 Background

#### 2.1 The Scala Programming Language

#### 2.1.1 Scala Macros

Scala Macros [Burmako, 2013] are a compile-time meta-programming feature of Scala. Macros operate on Scala abstract syntax trees (ASTs): they can construct new ASTs, or transform and analyze the existing Scala ASTs. Macro programs can use common functionality of the Scala compiler like error-reporting, type checking, transformations, traversals, and implicit search.

In this work we use a particular flavor of Scala macros called black-box def macros, though we will often drop the prefix "def" for the sake of brevity. From a programmer's point of view, def macros are invoked just like regular Scala methods. However, macro invocations are expanded during compile time to produce new ASTs. Macro invocations are type checked both before and after expansion to ensure that expansion preserves well-typedness. Macros have separated declarations and definitions: declarations are represented to the user as regular methods while macro definitions operate on Scala ASTs. The arguments of macro method definitions are the type-checked ASTs of the macro arguments.

For DSLs in this thesis we use a macro that accepts a single block of code as its input. At compile time, this block is first type checked against the interface of the shallow embedding. We will use this type of macros for defining DSL boundaries, e.g., the following snippet is how we will define DSL programs: Figure 2.1:

```
vectorDSL {
   Vector.fill(1,3) + Vector.fill(2,3)
}
```

#### 2.2 Embedded Domain-Specific Languages

In this section we provide background information necessary for understanding this thesis. We briefly explain the core concepts of Lightweight Modular Staging [Rompf and Odersky, 2012b, Rompf et al., 2013b] and Scala Macros [Burmako, 2013]. Throughout the paper we assume familiarity with the basics of the Scala Programming Language [Odersky et al., 2014].

#### 2.2.1 Scala

#### 2.2.2 Deep Embedding of DSLs with LMS

Lightweight Modular Staging (LMS) is a staging [Taha and Sheard, 1997] framework and an embedded compiler for developing deeply embedded DSLs. LMS provides a library of reusable language components organized as traits (Scala's first-class modules). An EDSL developer selects traits containing the desired language features, combines them through mix-in composition [Odersky and Zenger, 2005] and adds DSL-specific functionality to the resulting EDSL trait. EDSL programs then extend this trait, inheriting the selected LMS and EDSL language constructs. Figure 2.1 illustrates this principle. The trait VectorDSL defines a simplified EDSL for creating and manipulating vectors over some numeric type T. Two LMS traits are mixed into the VectorDSL trait: the Base trait introduces core LMS constructs and the NumericOps trait introduces the Numeric type class and the corresponding support for numeric operations. The bottom of the figure shows an example usage of the EDSL. The constant literals in the program are lifted to the IR through implicit conversions introduced by NumericOps [Oliveira et al., 2010].

All types in the VectorDSL interface are instances of the parametric type Rep[\_]. The Rep[\_] type is an abstract type member of the Base LMS trait and abstracts over the concrete types of the IR nodes that represent DSL operations in the deep embedding. Its type parameter captures the type underlying the IR: EDSL terms of type Rep[T] evaluate to host language terms of type T during EDSL execution.

Operations on Rep[T] terms are added by implicit conversions that are introduced in the EDSL scope. For example, the implicit class VectorOps introduces the + operation on every term of type Rep[Vector[T]]. In the example, the type class Numeric ensures that vectors contain only numerical values.

LMS has been successfully used by project Delite [Brown et al., 2011, Sujeeth et al., 2013a] for building DSLs that support heterogeneous parallel computing. EDSLs developed with Delite cover domains such as machine learning, graph processing, data mining, etc.

```
// The EDSL declaration
trait VectorDSL extends NumericOps with Base {
  object Vector {
    def fill[T:Numeric]
        (v: Rep[T], size: Rep[Int]): Rep[Vector[T]] =
        vector_fill(v, size)
}

implicit class VectorOps[T:Numeric]
    (v: Rep[Vector[T]]) {
    def +(that: Rep[Vector[T]]): Rep[Vector[T]] =
        vector_+(v, that)
    }
    // Operations vector_fill and vector_+ are elided
}

new VectorDSL { // EDSL program
    Vector.fill(1,3) + Vector.fill(2,3)
} // returns a regular Scala Vector(3,6)
```

Figure 2.1 – Minimal EDSL for vector manipulation.

#### 2.3 Partial Evaluation and Multi-Stage Programming

# Improving the Programmer Part I Experience with Deeply Embedded Domain-Specific Languages

# 3 Introduction: Concealing the Deep Embedding of DSLs

In §1 we introduced domain-specific languages and how they can be embedded into a host language. Then we discussed strengths and weaknesses of deep and shallow embeddings. In this section we compare deep and shallow embeddings and then show how they can be combined in order to keep all the strengths and cancel out the weaknesses with embedded DSLs (EDSLs).

Deep EDSLs intrinsically compromise programmer experience by leaking their implementation details (§4.2). Often, IR construction is achieved through complex type system constructs that are, inevitably, visible in the EDSL interface. This can lead to cryptic type errors that are often incomprehensible to DSL users. In addition, the IR complicates program debugging as programmers cannot easily relate their programs to the code that is finally executed. Finally, the host language often provides more constructs than the embedded language and the usage of these constructs can be undesired in the DSL. If these constructs are generic in type (e.g., list comprehensions or try\catch) they can not be restricted in the embedded language by using complex types (§4.2).

Shallow embeddings typically suffer less from *linguistic mismatch* than deep embeddings: this is particularly obvious for a class of shallow embeddings that we refer to as *direct* embeddings. Direct embeddings preserve the intrinsic constructs of the host language "on the nose". That is, DSL constructs such as if statements, loops, or function literals, as well as primitive data types such as integers, floating-point numbers, or strings are represented directly by the corresponding constructs of the host language.

Ideally, we would like to complement the high performance of deeply embedded DSLs, along with their capabilities for multi-target code generation, with the usability of their directly embedded counterparts. Reaching this goal turns out to be more challenging than one might expect: let us compare the interfaces of a direct embedding and a deep embedding of a simple EDSL for manipulating vectors<sup>1</sup>. The direct version of the

<sup>&</sup>lt;sup>1</sup> All code examples are written in *Scala*. Similar techniques can be applied in other statically typed languages. Cf. [Carette et al., 2009, Lokhorst, 2012, Svenningsson and Axelsson, 2013].

interface is declared as:

```
trait Vector[T] {
  def map[U](fn: T => U): Vector[U]
}
```

The interface of the deep embedding, however, fundamentally differs in the types: while the (polymorphic) map operation in the direct embedding operates directly on values of some generic type T, the deep embedding must operate on whatever intermediate representations we chose for T. For our example, we chose the abstract, higher-kinded type Rep[T] to represent values of type T in the deep embedding:

```
trait Vector[T] {
  def map[U](fn: Rep[T => U]): Rep[Vector[U]]
}
```

The difference in types is necessarily visible in the signature and thus inevitably leaks into user programs. This might seem like a low price to pay for all the advantages offered by a deep embedding. However, as we will see in §4.2, this difference in types is at the heart of many of the inconveniences associated with deep embeddings such as long compilation times, execution overheads, and inability to restrict the host language constructs. How then, can we conceal this fundamental difference?

In Forge [Sujeeth et al., 2013b], Sujeeth et al. propose maintaining two parallel embeddings, shallow and deep, with a single interface equivalent to the deep embedding. In the shallow embedding, Rep is defined to be the identity on types, that is Rep[T] = T, effectively identifying IR types with their direct counterparts. As a result, shallowly embedded programs may be executed directly to allow for easy prototyping and debugging. In production, a simple "flip of a switch" enables the deep embedding. Unfortunately, artifacts of the deep embedding still leak to the user through the fundamentally "deeply typed" interface. We would like to preserve the idiomatic interface of the host language and completely conceal the deep embedding.

The central idea of this work is the use of reflection to convert programs written in an unmodified direct embedding into their deeply embedded counterparts. Since the fundamental difference between the interfaces of the two embeddings resides in their types, we employ a configurable type translation to map directly embedded types T to their deeply embedded counterparts [T]. For our motivating example the type translation is simply:

In §5 we describe this translation among several others and discuss their trade-offs.

Together with a corresponding translation on terms, the type translation forms the core of Yin-Yang, a generic framework for DSL embedding, that uses Scala's macros [Burmako, 2013] to reliably translate directly embedded DSL programs into corresponding deeply embedded DSL programs. The virtues of the direct embedding are used during program development when performance is not of importance; the translation is applied when performance is essential or alternative interpretations of a program are required (e.g., for hardware generation).

Once we "broke the ice" by using reflection it becomes simpler to further improve the deep embeddings. Yin-Yang enables domain-specific error reporting at host language compile time by compiling a DSL program during host language compilation. It restricts the embedded language by providing an additional verification step for producing comprehensible error messages, reduces long compilation times of the deep embedding by avoiding extension methods and directly calling reification operations, and reduces run-time overheads by compiling the deep programs at host language compile-time.

Finally, to avoid error prone maintenance of synchronized direct and deep embeddings Yin-Yang reuses the core translation to generate the deep embeddings based on the definition of direct embeddings. Since the same translation is applied both for the EDSL definition and the EDSL program the equivalence between the embeddings is assured.

Yin-Yang contributes to the state of the art as follows:

- It completely conceals leaky abstractions of deep EDSLs from the users. The virtues of the direct embedding are used for prototyping, while the deep embedding enables high-performance in production. The reliable translation ensures that programs written in the direct embedding will always be correct in the deep embedding. The core translation is described in §5.
- It allows changing different deep embedding back-ends with simple configuration changes. We discuss different deep embeddings supported by Yin-Yang in §6.
- It improves error reporting (§8) in the direct embedding by: *i*) allowing domain-specific error reporting at host language compile-time (§8.2) and *ii*) restricting host language features in the direct EDSL based on the supported features of the deep EDSL (§8.1).
- It reduces the run-time overheads of the deep embedding (§9). The deep embeddings always reify their IR before execution at runtime and thus impose execution overheads. For DSLs which are fundamentally not staged Yin-Yang uses reflective instantiation to compile DSLs at host language compile time. For staged DSLs (compiled at host language run-time) Yin-Yang avoids re-reification of programs by storing them into a cache.

#### Chapter 3. Introduction: Concealing the Deep Embedding of DSLs

- It reduces large compilation times introduced by the deep embedding. The translation is changed such that it directly calls the reification methods without having to use the complicated type system constructs. Reducing compilation times is presented in §10.
- It simplifies deep EDSL development and guarantees semantic equivalence between the direct embedding and the deep embedding by reusing the core translation to generate the deep EDSL definition out of the direct EDSL definition (§13).

We evaluate Yin-Yang by generating 3 deep EDSLs from their direct embedding, and providing interfaces for 2 existing EDSLs. The effects of concealing the deep embedding and reliability of the translation were evaluated on 21 programs (1284 LOC), from EDSLs OptiGraph [Sujeeth et al., 2013a] and OptiML [Sujeeth et al., 2011]. In all programs combined the direct implementation obviates 101 type annotations related to the deep embedding.

We use Yin-Yang as a tool for generating a user-friendly frontend for the Slick DSL [Type-safe]. This case study shows that developing a frontend for existing DSLs requires little effort and that developing an API with Yin-Yang takes far less time than the deep embedding. The complete evaluation is presented in §11.

# 4 Motivation: Abstraction Leaks in the Deep Embedding

The main idea of this work is that EDSL users should program in a direct embedding, while the corresponding deep embedding should be used only in production. To motivate this idea we consider the direct embedding and the deep embedding of a simple EDSL for manipulating vectors. Here, we use Scala to show the problems with the deep embedding that apply to other statically typed programming languages (e.g., Haskell and OCaml). These languages achieve the embedding in different ways [Svenningsson and Axelsson, 2013, Lokhorst, 2012, Carette et al., 2009, Guerrero et al., 2004], but this is always reflected in the type signatures. In the context of Scala, there are additional problems with type inference and implicit conversions that we discuss in §4.2.8.

Figure 4.1 shows a simple direct EDSL for manipulating numerical vectors. Vectors are instances of a Vector class, and have only two operations: *i)* vector addition (the +), and *ii)* the higher-order map function which applies a function f to each element of the vector. The Vector object provides factory methods fromSeq, range, and fill for vector construction. Note that though the type of the elements in a vector is generic, we require it to be an instance of the Numeric type class.

For a programmer, this is an easy to use library. Not only can we write expressions such as v1 + v2 for summing vectors (resembling mathematical notation), but we can also get meaningful type error messages. This EDSL is an idiomatic library in Scala and displayed type errors are comprehensible. Finally, in the direct embedding, all terms directly represent values from the embedded language and inspecting intermediate values with the debugger is straightforward.

The problem, however, is that the code written in such a direct embedding suffers from major performance issues [Rompf et al., 2013b]. For some intuition, consider the following code for adding 3 vectors: v1 + v2 + v3. Here, each + operation creates an intermediate Vector instance, uses the zip function, which itself creates an intermediate Seq instance, and calls a higher-order map function. The abstractions of the language that allow us to write code with high-level of abstraction have a downfall in terms of

```
object Vector {
   def fromSeq[T: Numeric](seq: Seq[T]): Vector[T] =
      new Vector(seq)
   def fill[T: Numeric](v: T, size: Int): Vector[T] =
      fromSeq(Seq.fill(size)(v))
   def range(start: Int, end: Int): Vector[Int] =
      fromSeq(Seq.range(start, end))
}
class Vector[T: Numeric](val data: Seq[T]) {
   def map[S: Numeric](f: T => S): Vector[S] =
      Vector.fromSeq(data.map(x => f(x)))
   def +(that: Vector[T]): Vector[T] =
      Vector.fromSeq(data.zip(that.data))
      .map(x => x._1 + x._2))
}
```

Figure 4.1 – The interface of a direct EDSL for manipulating numerical vectors.

performance. Consecutive vector summations would perform much better if they were implemented with a simple while loop.

## 4.1 The Deep Embedding

For the DSL from Figure 4.1, the overhead could be eliminated with optimizations like stream fusion [Coutts et al., 2007] and inlining, but to properly exploit domain knowledge, and to potentially target other platforms, one must introduce an intermediate representation of the EDSL program. The intermediate representation can be transformed according to the domain-specific rules (e.g., eliminating addition with a null vector) to improve performance beyond common compiler optimizations [Rompf et al., 2013b]. To this effect, we use the LMS framework and present the deep version of the EDSL for manipulating numerical vectors in Figure 4.2.

In the VectorDSL interface every method has an additional implicit parameter of type SourceContext and every generic type requires an additional TypeTag type class<sup>1</sup>. The SourceContext contains information about the current file name, line number, and character offset. SourceContexts are used for mapping generated code to the original program source. TypeTags carry all information about the type of terms. They are used to propagate run-time type information through the EDSL compilation for optimizations and generating code for statically typed target languages. In the EDSL definitions the SourceContext is rarely used explicitly (i.e., as an argument). It is provided "behind the scenes" by implicit definitions that are provided in the DSL.

<sup>&</sup>lt;sup>1</sup>SourceContext and TypeTag are only an example of source information and run-time type information. A particular DSL can use other types but they would still be used in the similar way.

```
trait VectorDSL extends Base {
  object Vector {
    def fromSeq[T:Numeric:TypeTag](seq: Rep[Seq[T]])
      (implicit sc: SourceContext): Rep[Vector[T]] =
      vector_fromSeq(seq)
    def fill[T:Numeric:TypeTag](value: Rep[T], size: Rep[Int])
      (implicit sc: SourceContext): Rep[Vector[T]] =
      vector_fill(value, size)
    def range(start: Rep[Int], end: Rep[Int])
      (implicit sc: SourceContext):Rep[Vector[Int]]=
      vector_range(start, end)
  }
  implicit class VectorRep[T:Numeric:TypeTag]
    (v: Rep[Vector[T]]) {
    def data(implicit sc: SourceContext): Rep[Seq[T]] =
      vector_data(v)
    def +(that: Rep[Vector[T]])
      (implicit sc: SourceContext):Rep[Vector[T]] =
      vector_plus(v, that)
    def map[S:Numeric:TypeTag](f: Rep[T] => Rep[S])
      (implicit sc: SourceContext): Rep[Vector[S]] =
      vector_map(v, f)
  }
  // Elided IR constructors of 'map', 'data', 'fromSeq', and 'range'
  case class VectorFill[T:TypeTag](v: Rep[T], s: Rep[Int],
    sc: SourceContext)
  def vector_fill[T:Numeric:TypeTag](v: Rep[T], size: Rep[Int])
    (implicit sc: SourceContext): Rep[Vector[T]] =
    VectorFill(v, size, sc) // IR node construction
  case class VectorPlus[T:TypeTag](lhs: Rep[T], rhs: Rep[T],
    sc: SourceContext)
  def vector_plus[T:TypeTag](1: Rep[Vector[T]], r: Rep[Vector[T]])
    (implicit sc: SourceContext): Rep[Vector[T]] =
    VectorPlus(1, r, sc) // IR node construction
}
```

Figure 4.2 – A deep EDSL for manipulating numerical vectors based on LMS.

# 4.2 Abstraction Leaks in the Deep Embedding

The the deep embedding programs construct an IR instead of the values in the embedded language and this inevitably leaks to the users. In this section we discuss how DSL interfaces are convoluted in the deep embedding (§4.2.1), how debugging is made difficult (§4.2.2), how type errors can become incomprehensible (§4.2.3), how it is not possible to restrict certain host language constructs (§4.2.4), how domain-specific error reporting can only be achieved at run time (§4.2.5), how run-time compilation at host-language runtime creates execution overheads (§4.2.6), how deep embedding of DSLs can impose long compilation times (§4.2.7), and how what are the problems specific to Scala in deep embeddings (§4.2.8).

#### 4.2.1 Convoluted Interfaces

The interface of the EDSLs have Rep[\_] types in their method signatures in order to abstract over the IR construction. These Rep[\_] types inevitably leak to the user through code documentation, auto-completion, etc. The user defined functions, given that it has to work with DSL constructs, must also have Rep types in the interface, further convoluting the intended interface.

In DSLs that use code generation, method signatures must be enriched with source code information for purposes of debugging (SourceContext) and type information for generating right types (TypeTag). This information also leaks in the DSL interface. In Scala TypeTags and SourceContexts are passed with implicit parameters. This makes the interface harder to understand as the user of the EDSL, who might not be an expert programmer, needs to understand concepts like TypeTag and SourceContext. A method map from the introductory example (§3) with source and type information has two additional implicit parameters.

The convoluted interfaces are well presented in Figure 4.2. Here we see how all methods have additional implicit arguments SourceContext, how the method definition is placed in an implicit class instead on the type it self, and how it is necessary to have additional methods for defining the organization of methods is

```
def map[U](fn: Rep[T => U])
  (implicit sc: SourceContext, tpe: TypeTag[U]): Rep[Vector[U]]
```

#### 4.2.2 Difficult Debugging

In the methods of the direct EDSL all terms directly represent values in the embedded language (there is no intermediate representation). This allows users to trivially use debugging tools to step through the terms and inspect the values of the embedded language.

With the deep EDSL, user programs in the reification phase only instantiate the IR nodes. In the classical debugging mode this leads to difficulties as: *i*) users inspecting variable values will be faced with IR nodes, *ii*) the control flow follows all branches in the host language constructs as they get reified, and *iii*) stepping into the DSL operations will only display reification logic.

The only way to achieve debugging is to make exact maps from the generated code to the deep program and implement a specialized debugger [TODO: cite] a specialized Furthermore, debugging generated code or an interpreter is extremely difficult as users cannot relate the debugger position and the original line of code.

#### 4.2.3 Convoluted and Incomprehensible Type Errors

The Rep[\_] types leak to the user through type errors. Even for simple type errors the user is exposed to non-standard error messages. In certain cases (e.g., incorrect call to an overloaded function), the error messages can become hard to understand. To illustrate, we present a typical type error for invalid method invocation:

```
found : Int(1)
required: Vector[Int]
    x + 1
```

In the deep embedding the corresponding type error contains Rep types and the this qualifier:

```
found : Int(1)
required: this.Rep[this.Vector[Int]]
    (which expands to) this.Rep[vect.Vector[Int]]
    x + 1
```

This example represents one of the most common type errors.

The errors get more involved when artifacts of language virtualization leak to the user:

```
val x = HashMap[Int, String](1 -> "one", 2 -> "two")
x.keys()
```

yields an error message with SourceContext parameters:

```
error: not enough arguments for method keys: (implicit pos : scala.reflect.SourceContext)Prog.this.Rep[Iterable[Int]].
```

```
Unspecified value parameter pos.
   x.keys()
```

#### 4.2.4 Unrestricted Host Language Constructs

In the deep embedding all generic constructs of a host language can be used arbitrarily. For example, scala.List.fill[T](count: Int, el: T) can, for the argument el, accept both direct and deep terms. This is often undesirable as it can lead to code explosion and unexpected program behavior.

In the following example, assume that generic methods fill and reduce are not masked by the VectorDSL and belong only to the host language library. In this case, the invocation of fill and reduce performs meta-programming over the IR of the deep embedding:

```
new VectorDSL {
   List.fill(1000, Vector.fill(1000,1)).reduce(_+_)
}
```

Here, at DSL compilation time, the program creates a Scala list that contains a thousand IR nodes for the Vector.fill operation and performs a vector addition over them. Instead of producing a small IR the compilation result is a thousand IR nodes for vector addition. This is a typical case of code explosion that could not happen in the direct embedding which does not introduce an IR.

On the other hand, some operations can be completely ignored. In the next example, the try/catch block will be executed during EDSL compilation instead during DSL program execution:

```
new VectorDSL {
  try Vector.fill(1000, 1) / 0
  catch { case _ => Vector.fill(1000, 0) }
}
```

Here, the resulting program always throws a DivisionByZero exception.

#### 4.2.5 Domain-Specific Error Reporting at Runtime

Domain-specific languages often provide additional program analysis and verification beyond the type system. DSLs perform verification if data-sources are present [McClure et al., 2005, Zaharia et al., 2012], operations are supported on a given platform [Typesafe], whether multi-dimensional array shapes are correct [Ureche et al., 2012], etc. Ideally,

error reporting with the domain-specific analysis should be performed at host language compile-time in order to avoid run-time errors in production and to reduce the time between the error is introduced and detected.

Deep EDSLs do not support compile-time error reporting due to their execution at host language run time. As a consequence, users must execute the DSL body in order to perform domain-specific error detection. This can lead to accidental errors in production code, requires more time find the errors as the error reporting requires running tests, and errors are not integrated into the host language error reporting environment.

#### 4.2.6 Runtime Overheads of DSL Compilation

The deeply embedded DSLs are compiled at run time when the code is executed. This compilation introduces overheads on the first execution of the program as well as subsequent executions. The first execution has a larger overhead as the DSL needs to be fully compiled, and the overhead of subsequent executions depends on the implementation of the deep embedding. Some deep embeddings recompile their programs every time [Rompf and Odersky, 2012a, Typesafe] which can lead to significant execution overheads [TODO: cite amir's thesis]. Others support guards for re-compilation which lower the overheads but introduce additional constructs in the user programs further leaking deep embedding abstractions.

[TODO: cite amirs thesis]

#### 4.2.7 Long Compilation Times

Deeply embedded DSL programs compile longer than their direct counterparts. In the deep embedding operations are added on types that hide IR reification. These operations are added with language constructs like type-classes, extension methods, and implicit conversions. These mechanisms are typically more costly than the mechanisms for operation resolution on host language types.

In Scala the compilation overheads for the deep embedding are significant and affect programmer experience. Scala uses implicit search to find adequate extension methods for a given type. In presence of many types in the embedded language implicit search starts dominating compilation and introducing delays noticeable by the user. We provide detailed analysis of compilation times in the polymorphic embeddings in Scala in §10.

#### 4.2.8 Abstraction Leaks in the Deep Embedding Specific to Scala

Scala specific features like *weak least upper bounds* [Odersky et al., 2014] and *type erasure* lead to further abstraction leaks in the deep embedding. In this section we discuss those and show how they affect the DSL user.

Weak least upper bounds. The Scala language introduces weak type conformance where primitive types can conform although they are not in a subtyping relation. According to the language specification [Odersky et al., 2014], a type T weakly conforms to U ( $T <: \omega U$ ) if T is a subtype of U (T <: U) or T precedes U in the following ordering:

```
Byte <:_{\omega} Short <:_{\omega} Int Char <:_{\omega} Int Int <:_{\omega} Long <:_{\omega} Float <:_{\omega} Double
```

The weak least upper bounds are computed with respect to weak conformance: *i)* when inferring the return type of conditional statements (e.g., if and pattern matching), and *ii)* in type inference of type arguments. For example, term if (cond) 1 else 1.0d has a type Double. Weak least upper bounds are computed before the numeric conversions so the then branch of the previous term is widened to 1.0d.

In case of the deep embedding there are no weak least upper bounds applied as term types are never primitive types as they represent the IR. This leads to inconsistent behavior with the host language. For example, type checking

```
val res = if(positive) 1 else -1.0
res * 42
```

leads to the following type error:

```
error: value * is not a member of Prog.this.Rep[AnyVal] res * 42
```

This behavior in the deep embedding can not be improved with implicit conversions as the programs are type correct and implicit conversions are triggered only for incorrect programs.

**Type erasure.** Scala introduces *erasure* [Odersky et al., 2014] of abstract types to the base reference type (AnyRef). As a result, overloaded methods with apparently different argument types erase to the same signature. Having two methods in the same scope with the same signature is illegal and leads to compilation errors.

In the deep embedding all types are abstract and erase to the type Object on the JVM. Consequently methods that could be defined in the direct embedding erase to the same signature and become illegal. Defining methods

```
def from(json: Rep[String]): Rep[Vector[Double]]
def from(bytes: Rep[Array[Byte]]): Rep[Vector[Double]]
```

yields an compilation error. This code would otherwise be valid in the host language.

The DSL authors are left with two options: *i)* to rename one of the methods and diverge from the original design (e.g., rename from to fromBytes, or *ii)* to introduce additional implicit parameters which will disambiguate the two methods after erasure

```
def from(json: Rep[String]): Rep[Vector[Double]]
def from(bytes: Rep[Array[Byte]])
  (implicit p: Overloaded): Rep[Vector[Double]]
```

where implicit argument Overloaded is always present in scope. Adding implicit arguments further convolutes the interface and increases compilation times thus causing additional abstraction leaks.

# 5 Translation of the Direct Embedding

The purpose of the core Yin-Yang translation is to reliably and automatically make a transition from a directly embedded DSL program to its deeply embedded counterpart. The transition requires a translation for the following reasons: i) host language constructs such as if statements are strongly typed and accept only primitive types for some of their arguments (e.g., a condition has to be of type Boolean), ii) all types in the direct embedding need to be translated into their IR counterparts (e.g., Int to Rep[Int]), iii) the directly embedded DSL operations need to be mapped onto their deeply embedded counterparts, and iv) methods defined in the deep embedding require additional parameters, such as run-time type information and source positions. To address these inconsistencies we propose a straightforward solution: a type-directed program translation from direct to deep embeddings.

Since the translation is type-directed it requires reflection that supports type introspection and type transformation. The translation is based on the idea of representing language constructs as method calls [Carette et al., 2009, Rompf et al., 2013a] and systematically intrinsifying direct DSL operations and types of the direct embedding to their deep counterparts [Carette et al., 2009]. The translation operates in two main steps:

Language virtualization converts host language intrinsics into function calls, which can then be evaluated to the appropriate IR values in the deep embedding.

**EDSL intrinsification** converts DSL intrinsics, such as types and operations, from the direct embedding into their deep counterparts.

Figure 5.1 shows the translation pipeline of Yin-Yang. Language virualization is covered in §5.1 and DSL intrinsification in §5.2. The whole translation is explained on a concrete example in §5.2.4. Finally we argue for correctness of the translation in §5.2.5.

## 5.1 Language Virtualization

Language virtualization allows to redefine intrinsic constructs of the host language, such as if and while statements. This can be achieved by translating them into suitable method invocations as shown by of Carette et al. [Carette et al., 2009] and Rompf et al. in the modified Scala compiler named Scala-Virtualized [Rompf et al., 2013a].

Yin-Yang follows the ideas of Scala-Virtualized but virtualizes all Scala language constructs that appear as expressions; uses macros of unmodified Scala to virtualize its intrinsics. Practice has shown that DSL authors are reluctant to use a modified compiler and that for the wide adoption of embedded DSLs it is important to provide a solution based on unmodified Scala.

Compared to Scala-Virtualized we translate additional language constructs: function/method definition and function application, exception handling, and all kinds of value-binding constructs (i.e., values, lazy values, and variables). Translation rules for supported language constructs are represented in Figure 5.2 with [t] denoting the translation of a term t. In some expressions the original types are introspected and used as a type argument of the corresponding virtualized method. These generic types are later translated to the deep embedding during the DSL intrinsification phase.

Defined translation rules convert language constructs into method calls where each language construct has a corresponding method. The signature of each method is partially defined by Yin-Yang. Method names, the number of type parameters and the number of type arguments are predefined while types of arguments and return types are open for the DSL author to define (§6).

Binding of the translated language constructs to the corresponding methods in the deep embedding is achieved during DSL intrinsification; language virtualization is agnostic of this binding. Further, in the implementation, all method names are prepended with \$ <sup>1</sup> which avoids collisions with other user functions.

**Functions.** We virtualize function definition and application to support full abstraction over the host language expressions. This allows DSL authors to define how functions are treated by reifying them and optionally providing analysis and transformations over them. For example, DSL authors can define different inlining strategies, perform call graph analysis, or instrument all function calls. With Scala-Virtualized this is not possible as functions are not translated and thus it is impossible to abstract over them.

<sup>&</sup>lt;sup>1</sup>In Scala it is a convention that user defined method's names should not contain \$ characters as those are reserved for the name mangling performed by the Scala compiler.

Methods. Method definitions follow a similar philosophy as functions. The difference is that in Scala, the def keyword is used to define universal quantification and possibly recursion. This is similar to the let and letrec constructs in other functional languages. This translation is optional as in some DSLs it is more concise to reuse method application of the host language. 0

Control constructs. We translate all Scala control constructs (e.g.,if and try to method calls. Scala's type system supports parametric polymorphism, by-name parameters, and partial functions that can model the semantics of all control constructs. How these features are used to model the original constructs is presented in §6).

Value bindings. Scala has multiple constructs for value binding: values, variables, and lazy values. Yin-Yang translates definition of all values into methods as well as accesses to them. Abstraction over values accesses is necessary for tracking effects in case of variables, access order in case of lazy values, and for instrumentation in case of simple values.

Universal methods. Scala is designed such that the types Any and AnyRef, which reside at the top of the Scala class hierarchy, contain final methods. Through inheritance, these methods are defined on all types making it impossible to override their functionality without translation.

Yin-Yang virtualizes all methods on types Any and AnyRef. Method calls on objects are translated into the representation where the this pointer is passed as the first argument and, by convention, all methods start with a prefix infix\_.

This representation is convenient for methods that are defined once for the whole hierarchy as the DSL author needs to define this method only once, as opposed to adding it to each data type. The caveat with this approach is that in case of methods that are overridden the virtual dispatch must be performed manually by the DSL author.

For DSLs that require extension of these methods we provide an alternative translation of universal methods into the name mangled infix form:0

$$\begin{bmatrix} t_1 & == & t_2 \end{bmatrix} = \begin{bmatrix} t_1 \end{bmatrix} \cdot \underline{\qquad} = = (\begin{bmatrix} t_2 \end{bmatrix})$$

The new construct. The new construct of Scala can not be virtualized to a single method as signatures of data-type constructors differ in number of type arguments, the number of arguments and in their types. If the method name was the same for all data types the method would have to have a different type signature based on one of its type

arguments. In Scala this would be possible with by using a combination of overloading and implicit parameters but this requires usage of complicated constructs in the deep embedding.

Instead, we rely on the power of the translation, and we virtualize constructor calls to method calls whose name depends on the type that is being constructed:

$$\frac{\Gamma \vdash methodName = "\texttt{new}\_" + \texttt{path}(type)}{\llbracket \mathbf{new} \ type[T](arg) \rrbracket = methodName[T](\llbracket arg \rrbracket)}$$

Not virtualizing class definitions. Yin-Yang does not virtualize class and trait definitions, including the *case class* definitions. For the given set of DSL compiler frameworks that use Yin-Yang it was hard to identify an abstraction that would allow virtualization of Scala classes.

This limitation, however, does not preclude class virtualization for embedded DSLs. We allow extensions to Yin-Yang that virtualize classes and traits through the use of the reflection API. The drawback of this approach is that DSL authors are required to know the reflection API compared to the simple interface of language virtualization. For now, each framework that uses Yin-Yang defines its own translation scheme. Extending Yin-Yang to achieve class virtualization is described in [TODO: cf. extensions].

Configuring method virtualization. When we virtualize methods and their application we effectively override all expressions of Scala. In this case the DSL author has to: i) write the DSL definition in a way that corresponds to the translation and is not idiomatic to Scala, and ii) do additional transformation that removes all combinations of applications over domain-specific operations.

This can be cumbersome and we leave method virtualization as a configuration option that is disabled by default. This way DSL authors can write DSLs in the Scala idiomatic way and the app/lam pairs for DSL operations never appear in the DSL intermediate representation.

#### 5.1.1 Virtualizing Pattern Matching

The Scala compiler has a virtual pattern matcher that allows for overloading the semantics of the pattern matching construct. Yin-Yang reuses the functionality of this pattern matcher in combination with DSL intrinsification to allow reasoning about it in DSL compilers. In this section, for purposes of explaining DSL intrinsification, we explain the functioning of the virtualized pattern matcher.

Scala's pattern matching can be interpreted with deconstructors and operations on the Option type of Scala. The successful match is represented with the Some type which is the monadic return of the Option monad and failures with the None type which is a monadic zero operation. The pattern nesting is represented with the monadic bind operation flatMap; alternation is represented with the orElse combinator on the Option monad which represents monadic addition. The semantics of Scala pattern matching can be represented completely with the operations of the zero-plus monad.

The virtual pattern matcher converts Scala pattern matching to the operations on a user-defined zero-plus monad. A pattern match is virtualized if the object \_\_match is defined in the scope. For the original semantics of Scala pattern matching is defined by the object \_\_match defined in Figure 5.4. To virtualize pattern matching users can provide their own definitions of methods in \_\_match, implementations of the zero-plus monad, and implementations of the case class deconstructors.

```
If the object __match is in scope a simple pattern match
p match {
  case Pair(1, r) \Rightarrow f(1,r)
is translated into
__match.runOrElse(p) { x1: Any =>
  Pair.unapply(x1).flatMap(x2: (Int, Int) => {
    val 1: Int = x2._1; val r: Int = x2._2;
     __match.one(f(l, r))
  })
}
In case of multiple case clauses
p match {
  case Pair(1, r) \Rightarrow f(1,r)
  case Tuple2(1, r) \Rightarrow f(1,r)
}
the monadic addition orElse is used for matching alternative statements in order:
Pair.unapply(p).flatMap(x2: (Int, Int) => {
  val 1: Int = x2._1; val r: Int = x2._2;
  __match.one(f(1, r))
}).orElse(
  Tuple2.unapply(p).flatMap(x3: (Int, Int) => {
    val 1: Int = x3._1; val r: Int = x3._2;
```

\_\_match.one(f(l, r))

```
Nested pattern matches

p match {
  case Pair(Pair(11, 1r), r) => f(f(11,1r), r)
}

are translated into nested calls to flatMap:

Pair.unapply(p).flatMap(x2: (Int, Int) => {
  val r: Int = x2._2;
  Pair.unapply(x2._1).flatMap(x4: (Int, Int) => {
    val 11: Int = x4._1; val 1r: Int = x4._2;
    __match.one(f(f(11, 1r), r))
  })
})
```

Finally the pattern guards are translated into the call to the guard function that executes the by-name body of the case when the cond statement is satisfied.

#### 5.2 DSL Intrinsification

DSL intrinsification maps directly embedded versions of the DSL intrinsics to their deep counterparts. The constructs that we translate (Figure 5.1) are: i) constants and free variables (§5.2.1), ii) DSL types (§5.2.2), and iii) DSL operations in the direct program (§5.2.3).

#### 5.2.1 Constants and Free Variables

**Constants.** Constant values can be intrinsified in the deep embedding in multiple ways. They can be converted to a method call for each constant (e.g., [1] = 1), type (e.g., [1] = 1), or with a unified polymorphic function (e.g., [1] = 1) that uses type classes to define behavior and the return type of lift.

In Yin-Yang we use the polymorphic function approach for to translate constants

$$\frac{\Gamma \vdash c: \ T}{[\![c]\!] = \mathtt{lift}[T](c)}$$

where c is a constant. We choose this approach as DSL frameworks commonly have a single IR node for all constants and it is easiest to implement such behavior with a single lift method.

The deep embedding can, given that Scala supports type-classes, provide an implementation of lift that depends on the type of c. The DSL author achieves this by providing a type class instance for lifting a set of types (defined by upper and lower bounds) of constants.

In Yin-Yang we treat as constants:

- 1. Scala literals of all primitive types Char, Short, Int, Long, Float, and Double, as well as literals of type String ("..."), Unit (()), and Null (null).
- 2. Scala object accesses e.g., Vector in Vector.fill is viewed as a constant. This allows the DSL authors to re-define the default behavior of object access. Translating objects is optional as leaving their original semantics simplifies the implementation of the deep embedding, but requires special cases in type translation.[TODO: link to the examples]

Free variables. Free variables are external variables captured by a direct EDSL term. All that deep embedding knows about these terms is their type and that they will become available only during evaluation (i.e., interpretation or execution after code generation). Hence, free variables need to be treated specially by the translation and the deep embedding needs to provide support for their evaluation.

Yin-Yang tracks free variables in the DSL scope and translates them into a call to the polymorphic function hole[T]. As the arguments Yin-Yang passes the unique identifier for that variable:

$$\frac{\Gamma \vdash x : \ T \quad x \text{ is a free variable}}{[\![x]\!] = \mathtt{hole}[T](\mathtt{uid}(x))}$$

In Figure 5.5, the free variables n and exp are replaced with calls to the polymorphic method hole[T], which handles the evaluation of free variables in the deep embedding. Each captured identifier is assigned with a unique number that is passed as an argument to the hole method (0 and 1 in Figure 5.5). The identifiers are later sorted and passed as arguments to the Scala function that is a result of EDSL compilation. The DSL author is required to ensure that the position and the type of the resulting function matches the order and types of the sorted identifiers passed by Yin-Yang.

#### 5.2.2 Type Translation

The type translation maps every DSL type in the, already virtualized, term body to an equivalent type in the deep embedding. In other words, the type translation is a

function on types. Note that this function is inherently DSL-specific, and hence needs to be configurable by the DSL author.

The type mapping depends on the input type and the context. In practice, we need only distinguish between types in type-argument position, e.g. the type argument Int in the polymorphic function call lam[Int, Int], and the others. To this end, we define a pair of mutually recursive functions  $\tau_{arg}$ ,  $\tau \colon \mathbb{T} \to \mathbb{T}$  where  $\mathbb{T}$  is the set of all types and  $\tau_{arg}$  and  $\tau$  translate types in argument and non-argument positions, respectively.

Having type translation as a function opens a number of possible deep embedding strategies. Alternative type translations can also dictate the interface of lam and app and other core EDSL constructs. Here we discuss the ones that we find useful in EDSL design:

#### [TODO: link translations to their later applications]

The identity translation. If we choose  $\tau$  to be the identity function and virtualization methods such as lam, app and ifThenElse to be implemented in the obvious way using the corresponding Scala intrinsics, the resulting translation will simply yield the original, directly embedded DSL program.

Generic polymorphic embedding. If instead we choose  $\tau$  to map any type term T (in non-argument position) to Rep[T], for some abstract, higher-kinded IR type Rep in the deep EDSL scope, we obtain a translation to a *finally-tagless*, *polymorphic* embedding [Carette et al., 2009, Hofer et al., 2008]. For this embedding, the translation functions are defined as:

$$\begin{split} \tau_{\text{arg}}(T) &= T \\ \tau(T) &= \text{Rep}\left[T\right] \end{split}$$

By choosing the virtualized methods to operate on the IR-types in the appropriate way, one obtains an embedding that *preserves well-typedness*, irrespective of the particular DSL it implements. We will not present the details of this translation here, but refer the interested reader to [Carette et al., 2009].

**Eager inlining.** In high-performance EDSLs it is often desired to eagerly inline all functions and to completely prevent dynamic dispatch in user code (e.g., storing functions into lists). This is achieved by translating function types of the form A=>B in the direct embedding into Rep[A] => Rep[B] in the deep embedding (where Rep again designates IR types). Instead of constructing an IR node for function application, such

functions reify the whole body of the function starting with IR nodes passed as arguments. The effect of such reification is equivalent to inlining. This function representation is used in LMS [Rompf and Odersky, 2012b] by default and we use it in Figure 5.5. The translation functions are defined as:

$$\begin{split} \tau_{\text{arg}}(T[I_1,\ldots,I_n]) &= T[\tau_{\text{arg}}(I_1),\ldots,\tau_{\text{arg}}(I_n)] \\ \tau_{\text{arg}}(T_1 \Rightarrow T_2) &= \text{error} \\ \tau_{\text{arg}}(T) &= T, \text{ otherwise} \\ \tau(T_1 \Rightarrow T_2) &= \text{Rep}\left[\tau_{\text{arg}}(T_1)\right] \Rightarrow \text{Rep}\left[\tau_{\text{arg}}(T_2)\right] \\ \tau(T) &= \text{Rep}\left[T\right], \text{ otherwise} \end{split}$$

This translation preserves well-typedness but rejects programs that contain function types in the type-argument position. In this case this is a desired behavior as it fosters high-performance code by avoiding dynamic dispatch. As an alternative to rejecting function types in the type-argument position the deep embedding can provide coercions from  $\text{Rep}[A] \Rightarrow \text{Rep}[B]$  to Rep[A=>B] and from Rep[A=>B] to  $\text{Rep}[A] \Rightarrow \text{Rep}[B]$ .

This translation disallows usage of curried functions in the direct programs. If we represent the curried functions as the polymorphic types [TODO: link somehow to scala here or before] then functions would appear in the type argument position. To re-introduce the curried functions we provide a translation with the modified rule for translation of functions:

$$\tau(T_1 \Rightarrow T_2) = \tau(T_1) \Rightarrow \tau(T_2)$$

which is correct only when the virtualization of functions is disabled.

Untyped backend. If DSL authors want to avoid complicated types in the back-end (e.g., Rep[T]), the  $\tau$  functions can simply transform all types to the Dynamic [Abadi et al., 1991] type. Giving away type safety can make transformations in the back-end easier for the DSL author.

Custom types. All previous translations preserved types in the type parameter position. The reason is that the  $\tau$  functions behaved like a higher-kinded type. If we would like to map some of the base types in a custom way, those types need to be changed in the position of type-arguments as well. This translation is used for EDSLs based on polymorphic embedding [Hofer et al., 2008] that use this.T to represent type T.

This translation simply prepends each type with a prefix (e.g., this) so that the deep

embedding can redefine it:

$$au_{arg}(T) = this.T$$
 $au(T) = this.T$ 

Unlike with the previous translations, where the type system of the direct embedding was ensuring that the term will type-check in the deep embedding, this translation gives no guarantees. The responsibility for the correctness of the translation is on the DSL author. We applied this translation to Slick [Typesafe] with great success (§11.3).

Changing the translation. By simply changing the type translation, the EDSL author can modify behavior of an EDSL. For example, with the generic polymorphic embedding the EDSL will reify function IR nodes and thus allow for dynamic dispatch. In the same EDSL that uses the eager inlining translation, dynamic dispatch is restricted and all function calls are inlined.

#### 5.2.3 Operation Translation

The operation translation maps directly embedded versions of the DSL operations into corresponding deep embeddings. To this end, we define a function opMap on terms that returns a deep operation for each directly embedded operation.

The opMap function in Scala intrinsifies direct EDSL body in the context of the deep embedding. opMap can be defined as a composition of two functions: *i)* function inject that inserts the direct EDSL body into a context where deep EDSL definitions are visible, and *ii)* rebind rebinds operations of the direct EDSL to the operations of the deep EDSL. Function opMap is equivalent to the composition of inject and rebind (written in Scala as rebind andThen inject).

**Operation rebind.** Operations in Scala are resolved through a *path*. For example, a call to the println method of the Scala object Predef

```
scala.collection.immutable.Vector.fill(1)(42)
```

has a path scala.collection.immutable.List.fill<sup>2</sup>.

This phase of the translation translates paths of all operations so they bind to operations in the deep embedding. The translation function can perform an identity operation,

<sup>&</sup>lt;sup>2</sup>Scala packages have a hidden prefix <code>\_root\_</code> that we always omit for simplicity.

prefix addition, name mangling of function names, etc.

**Operation inject.** For Yin-Yang and polymorphic embeddings [Hofer et al., 2008] in general we chose to inject the body of our DSLs into the refinement of the Scala component that contains all deep DSL definitions

$$\left[\!\!\left[ dsl \right]\!\!\right] = \mathbf{new} \ de \ \{ \ \mathbf{def} \ \mathtt{main}() : \ \tau(T) \ = \ dsl \ \}$$

where dsl is the direct DSL program after rebinding and de is the component name that is provided by the DSL author that holds all definitions in the deep embedding, and  $\tau$  is the type translation.

Alternatively the DSLs can be injected into the scope by importing all DSL operations from the object that contains the deep embedding definitions.

$$\label{eq:continuous} \left[\!\!\left[dsl\right]\!\!\right] = \mathbf{val} \ c \ = \ \mathbf{new} \ de; \ \mathbf{import} \ c.\_; \ dsl$$

In both cases the corresponding rebind function can be left as an identity. If the deep embedding has all the required methods with corresponding signatures all operations will rebind to the operations of the deep embedding by simply re-type-checking the program in the new context.

For example in a simple function application

```
Vector.fill(1)(42)
```

the injection will rebind the operation through the extension methods of Scala. The resulting code will contain wrapper classes that extend DSL types with deep operations:

```
VectorOps(lift(Vector)).fill(lift(1))(lift(42))
```

Finally injection in the DSL scope allows the deep embedding to introduce additional implicit arguments to all DSL operations. The implicit arguments introduced in the direct embedding are treated as explicit in the deep embedding. For example, the fill operation can be augmented with the source information from the direct embedding and run-time type representation:

```
VectorOps(lift(Vector)).fill(lift(1))(lift(42))(
  sourceContext("example.scala",1,1), typeTag[Int]
)
```

In Yin-Yang we chose the operation translations that closely match the structure of the direct embeddings. This allows the authors of the deep embedding to use the DSL itself

for development of new DSL components. In this case the DSL author can use the deep interface augmented with implicit conversions that all simplify lifting constants, calling operations etc. With such interface the previous example resembles the direct embedding

```
Vector.fill(1)(42)
```

except for the abstraction leaks of the deep embedding (§4.2). This approach requires less code than than "naked" AST manipulation [TODO: cite], e.g.:

```
VectorFill(Const(1), Const(42))
```

[TODO: calling the methods]

#### 5.2.4 Translation Example

To illustrate the core translation, we use an example program for calculating  $\sum_{i=0}^{n} i^{exp}$  using the vector EDSL defined in Figure 4.1. Figure 5.5 contains three versions of the program: Figure 5.5a depicts the direct embedding version, Figure 5.5b represents the program after type checking (as the translation sees it), and Figure 5.5c shows the result of the translation.

In Figure 5.5, calls to range on the object vector. Vector and pow on the package object math. 'package' are respectively translated to calls range and pow on this. Vector and this. 'package'. For simplicity, passing source information (SourceContext) and type information TypeTag is handled implicitly by the Scala compiler. In absence of implicit parameters they should be handled by the translation.

#### 5.2.5 Correctness

To completely conceal the deep embedding all type errors must be captured in the direct embedding or by the translation, i.e., the translation must never produce an ill-typed program. Proving this property is verbose and partially covered by previous work. Therefore, for each version of the type translation we provide references to the previous work and give a high-level intuition:

- The identity translation ensures that well-typed programs remain well typed after the translation to the deep embedding [Carette et al., 2009]. Here the deep embedding is the direct embedding with virtualized host language intrinsics.
- Generic polymorphic embedding preserves well-typedness [Carette et al., 2009]. Type T is uniformly translated to Rep[T] and thus every term will conform to its expected type.

• Eager inlining preserves well-typedness for programs that are not explicitly rejected by the translation. We discuss correctness of eager inlining in the appendix on a Hindley-Milner based calculus similar to the one of Carette et al. [Carette et al., 2009].

For the intuition why type arguments can not contain function types consider passing an increment function to the generic identity function:

```
id[T => T](lam[T, T](x => x + 1))
```

Here, the id function expects a Rep[\_] type but the argument is Rep[T] => Rep[T].

- The *Dynamic* type supports all operations and, thus, static type errors will not occur. Here, the DSL author is responsible for providing a back-end where dynamic type errors will not occur.
- Custom types can cause custom type errors since EDSL authors can arbitrarily redefine types (e.g., type Int = String. Yin-Yang provides no guarantees for this type of the translation.

#### 5.3 Translation in the Wider Context

Yin-Yang consistently translates terms to the embedded domain and, thus, postpones DSL compilation to run-time. Although, compilation happens in a different compilation stage, Yin-Yang does not allow staging [Taha and Sheard, 1997]. EDSLs can, however, achieve partial evaluation [Jones et al., 1993] if their implementation supports it.

We implemented Yin-Yang in Scala, however, the underlying principles are applicable in the wider context. Yin-Yang operates in the domain of statically typed languages based on the Hindley-Milner calculus with a type system that is advanced enough to support deep DSL embedding. The type inference mechanism, purity, laziness, and sub-typing, do not affect the operation of Yin-Yang. Different aspects of Yin-Yang require different language features, which we discuss separately below.

The core translation is based on term and type transformations. Thus, the host language must support reflection, introspection and transformation on types and terms. This can be achieved both at run-time and compile-time.

**Semantic equivalence** between the direct embedding and deep embedding is required for debugging and prototyping. If there is a *semantic mismatch* [Czarnecki et al., 2004] between the two embeddings, e.g., the host language is lazy and the embedded language is strict, Yin-Yang can not be used for debugging. In this scenario the direct embedding

# Chapter 5. Translation of the Direct Embedding

can be implemented as stub which is used only for its user friendly interface and error reporting.

Language Virtualization [if(c) t else e] = ifThenElse[T](c, t, e)

45

DSL Intrinisification [var b: Int = varDef(0)] = var b: Rep[Int] = this.varDef(lift[Int](1))

Constants and Free Variables | Types | Operations

#### **Function Virtualization**

$$\frac{\Gamma \vdash t: T_2}{\llbracket x: T_1 \Rightarrow t \rrbracket = \mathtt{lam}[T_1, T_2](x: T_1 \Rightarrow \llbracket t \rrbracket)} \qquad \frac{\Gamma \vdash t_1: \ T_1 \Rightarrow T_2 \quad t_2: \ T_1}{\llbracket t_1(t_2) \rrbracket = \mathtt{app}[T_1, \ T_2](\llbracket t_1 \rrbracket, \ \llbracket t_2 \rrbracket)}$$

#### Method Virtualization

$$\begin{split} \llbracket \mathbf{def} \ f[T_1](x:T_2):T_3 = t \rrbracket &= \mathbf{def} \ f[T_1]:(T_2 \Rightarrow T_3) = \llbracket x:T_2 \Rightarrow t \rrbracket \\ &\frac{\Gamma \vdash t_1.f:[T_1](T_2 \Rightarrow T_3)}{\llbracket t_1.f[T_1](t_2) \rrbracket = \mathrm{app}[T_2,T_3](\llbracket t_1 \rrbracket.f[T_1],\llbracket t_2 \rrbracket)} \end{split}$$

#### **Control Constructs**

$$\begin{split} &\Gamma \vdash \mathbf{if}(t_1) \ t_2 \ \mathbf{else} \ t_3 : T \\ \hline & \llbracket \mathbf{if}(t_1) \ t_2 \ \mathbf{else} \ t_3 \rrbracket = \mathbf{ifThenElse}[T](\llbracket t_1 \rrbracket, \llbracket t_2 \rrbracket, \llbracket t_3 \rrbracket) \end{split}$$
 
$$& \frac{\Gamma \vdash \mathbf{try} \ t_1 \ \mathbf{catch} \ t_2 \ \mathbf{finally} \ t_3 : \ T}{\llbracket \mathbf{try} \ t_1 \ \mathbf{catch} \ t_2 \ \mathbf{finally} \ t_3 \ \rrbracket = \mathbf{try}[T](\llbracket t_1 \rrbracket, \ \llbracket t_2 \rrbracket, \ \llbracket t_3 \rrbracket)} \end{split}$$
 
$$& \llbracket \mathbf{while}(c) \ b \rrbracket = \mathbf{whileDo}(\llbracket c \rrbracket, \ \llbracket b \rrbracket) \qquad \qquad \llbracket \mathbf{do} \ b \ \mathbf{while}(c) \rrbracket = \mathbf{doWhile}(\llbracket c \rrbracket, \ \llbracket b \rrbracket)$$
 
$$& \llbracket \mathbf{return} \ t \rrbracket = \mathbf{ret}(\llbracket t \rrbracket) \end{split}$$

#### Value Bindings

Figure 5.2 – Rules for virtualization of Scala language intrinsics.

#### Methods on the Any type

#### Methods on the AnyRef type

Figure 5.3 - Rules for virtualization of methods on Any and AnyRef.

```
object __match {
  def zero: Option[Nothing] = None
  def one[T](x: T): Option[T] = Some(x)
  def guard[T](cond: Boolean, then: => T): Option[T] =
    if(cond) one(then) else zero
  def runOrElse[T, U](x: T)(f: T => Option[U]): U =
    f(x) getOrElse (throw new MatchError(x))
}
```

Figure 5.4 – The implementation of the virtualized pattern matcher with the original Scala semantics and with Option as the zero-plus monad.

```
val n = 100; val exp = 6;
                                     vectorDSL {
import vector._; import math.pow;
                                        if (n > 0) {
val n = 100; val exp = 6;
                                          val v: Vector[Int] =
vectorDSL {
                                            vector.Vector.range(0, n)
  if (n > 0) {
                                          v.map[Int](x: Int =>
    val v = Vector.range(0, n)
                                            math.'package'.pow(x, exp)
    v.map(x => pow(x, exp)).sum
                                          ).sum[Int](math.Numeric.IntIsIntegral)
  } else 0
}
                                        } else 0
                                      }
                                     (b) The original program after desugaring and
(a) A program in direct embedding for calculat-
                                      type inference.

\lim_{i=0}^{n} i^{exp}.

val n = 100; val exp = 6;
new VectorDSL with IfOps
  with MathOps { def main() = {
  ifThenElse[Int](hole[Int](0, typeTag[Int]) > lift[Int](0),{
    val v: Rep[Vector[Int]] = this.valDef[Vector[Int]](
      lift(vector.Vector).range(lift[Int](0),hole[Int](
        typeTag[Int], 0)))
    v.map[Int](lam[Int, Int](x: Rep[Int] =>
      lift(math.'package').pow(x, hole[Int](typeTag[Int], 1))
    ).sum[Int](lift(math.Numeric).IntIsIntegral)
  },{
    lift[Int](0)
  })
}
            (c) The Yin-Yang translation of the program from Figure 5.5b.
```

Figure 5.5 – Transformation of an EDSL program for calculating  $\sum_{i=0}^{n} i^{exp}$ .

# 6 Deep Embedding with Yin-Yang

The translation to the deep embedding assumes existence of an adequate deep embedding. This deep embedding should have an interface that corresponds to: i) methods emitted by language virtualization, ii) translation of constants and free variables, and iii) DSL operations defined in the direct embedding.

For each type translation, interface of the deep embedding and IR reification is achieved differently. In this section we describe how to define the deep embedding interface ( $\S6.1$ ) and achieve reification for relevant type translations: i) the identity translation ( $\S6.2$ ), ii) the polymorphic embedding ( $\S6.3$ ), iii) the polymorphic embedding with eager inlining ( $\S6.4$ ), and iv) the embedding with custom types ( $\S6.5$ ). Finally, we discuss how the deep embedding is compiled and executed ( $\S6.6$ ). For conciseness, in all embeddings we omit the interface of pattern matching and virtualized universal methods.

# 6.1 Relaxed Interface of the Deep Embedding

The Yin-Yang translation requires a certain interface from the deep embedding. This interface, however, does not conform to the typical definition of an interface in object oriented programming languages. In most translation rules only the method name, number of type arguments, and number of explicit arguments is defined.

The number of implicit arguments and the return type are left open for the DSL author to define. The implicit parameters are added by the type-checker after the operation translation phase if they are present in the deep embedding. For example, if we define the **\$ifThenElse** function as

```
def $ifThenElse[T](cond: Boolean, thn: => T, els: => T)(
  implicit tpe: TypeTag[T]): T = \\...
```

after the translation the Scala type-checker will provide the arguments that carry run-time

type information.

This feature can be used in the deep embeddings for various purposes. The ones that were used in DSLs based on Yin-Yang and LMS are: i) tracking type information, ii) tracking positions in the source code of the direct embedding, iii) changing the method return type based on its argument types, and iv) allowing multiple methods with the same erased signature (§4.2.8).

## 6.2 Embedding for the Identity Translation

The DSL embedding for the identity translation is not truly a deep embedding. The types are unmodified and, thus, can not represent the DSL intermediate representation. This translations is still interesting as a mechanism for instrumenting language constructs of Scala. The basic interface that contains all the language features, without any additional implicit parameters, is defined in Figure 6.1.

With this embedding the order of execution in all control flow constructs of Scala is preserved with by-name parameters. For example, in **\$ifThenElse** both the **thn** and the **els** parameters are by-name and their execution order is defined by the implementation of the method. Similarly, **\$whileDo**, **\$doWhile**, **\$try**, and **\$lazyValDef** have their parameters by-name.

The DSL author can instrument Scala language features by re-defining virtualized methods. For example, collecting profiles of if conditions can be simply added by overriding the \$ifThenElse method:

```
def $ifThenElse[T](cond: Boolean, thn: => T, els: => T)(
  implicit sc: SourceContext): T = {
  val (thnCnt: Long, elsCnt: Long) =
     globalProfiles.getOrElse(sc, (0, 0))

  globalProfiles.update(sc,
     if (cond) (thnCnt + 1, elsCnt)
     else (thnCnt, elsCnt + 1))
  if (cond) thn else els
}
```

Achieving the same effect with a facility like macros would require the DSL author to know the reflection API of Scala which involves details about the Scala intermediate representation. Further, the DSL author would be required to write transformers of that intermediate representation.

Supported features that are not of interest in the deep embedding can be removed with an interesting trick. If we implement each method in the deep embedding as a macro that inlines the method to its original language construct all abstraction overhead of virtualized methods disappears. For example, **\$ifThenElse** can be returned to a regular if with

```
def $ifThenElse[T](cond: Boolean, thn: => T, els: => T): T =
   macro ifThenElseImpl[T]

def ifThenElseImpl[T](c: Context)(cond: c.Tree,
   thn: c.Tree, els: c.Tree): c.Tree = { import c.universe._
   q"if ($cond) $thn else $els"
}
```

Yin-Yang provides a Scala component that has methods of all language features overridden with macros that rewrite them to the original language construct. By using this component the DSL author can override functionality of individual language constructs without a need to redefine all other language features.

In Figure 6.1 method hole has a slightly different representation than what is presented in §5. Method hole has an additional parameter for the run-time type information (tpe: TypeTag[T]). This parameter is passed explicitly by Yin-Yang to allow the compiler to infer the type arguments of the method hole. This allows additional freedom for defining the interface of hole (see [TODO: embedding with custom types]).

# 6.3 Polymorphic Embedding

With the generic polymorphic embedding we uniformly abstract over each type in the direct embedding. This abstraction can be used to give different semantics (thus polymorphic) to the deep programs. In the context of the deep DSLs the most common semantics is reification of the intermediate representation.

In Figure 6.2 we show the basic interface for the generic polymorphic embedding. In trait PolymorphicBase the type R[+T]<sup>1</sup> is the abstraction over the types in the direct embedding. This type is covariant in type T and therefore the abstract types have the same subtyping relation as the types in the direct embedding.

In this embedding both function definition and function application are abstracted over. lam converts functions from the host language into the functions in the embedded language and app returns functions from the embedded language into the host language. This way the DSL author has complete control over the function definition/application in the deep embedding.

<sup>&</sup>lt;sup>1</sup>Some deep embeddings call this type Rep, Repr. We use the name R as in languages with local type inference this type is omnipresent in method signatures and makes them longer.

```
trait FunctionsInterface {
  // only a subset of function arities is displayed
 def \ pp[U](f: () => U): () => U
 def $lam[U](f: () => U): () => U
 def $app[T_1, U](f: T_1 => U): T_1 => U
 def $lam[T_1, U](f: T_1 => U): T_1 => U
trait IdentityInterface with FunctionsInterface {
  // constants and captured variables
 def lift[T](v: T): T
 def hole[T](id: Long, tpe: TypeTag[T]): T
  // control structures
 def $ifThenElse[T](cond: Boolean, thn: => T, els: => T): T
 def $return(expr: Any): Nothing
 def $whileDo(cond: Boolean, body: => Unit): Unit
 def $doWhile(body: => Unit, cond: Boolean): Unit
 def $try[T](body: => T, catches: Throwable => T, fin: => T): T
 def $throw(t: Throwable): Nothing
 // variables
 def $valDef[T](init: T): T
 def $lazyValDef[T](init: => T): T
 def $varDef[T](init: T): T
 def $read[T](init: T): T
 def $assign[T](lhs: T, rhs: T): Unit
}
```

Figure 6.1 – Interface of the identity DSL.

```
trait PolymorphicBase { type R[+T] }
trait GenericFunctionsBase extends PolymorphicBase {
  // only a subset of function arities is displayed
  def $app[U](f: R[() => U]): () => R[U]
  def  lam[U](f: () => R[U]): R[() => U]
  def \ p[T_1, U](f: R[T_1 \Rightarrow U]): R[T_1] \Rightarrow R[U]
  def $lam[T_1, U](f: R[T_1] => R[U]): R[T_1 => U]
}
trait PolymorphicInterface extends GenericFunctionsBase {
  // constants and captured variables
  def lift[T](v: T): R[T]
  def hole[T](id: Long, tpe: TypeTag[T]): R[T]
  // control structures
  def $ifThenElse[T](cnd: R[Boolean], thn: => R[T], els: => R[T]): R[T]
  def $return(expr: R[Any]): R[Nothing]
  def $whileDo(cnd: R[Boolean], body: => R[Unit]): R[Unit]
  def $doWhile(body: => R[Unit], cond: R[Boolean]): R[Unit]
  def $try[T](body: => R[T], catches: R[Throwable => T], fin: => R[T]): R[T]
  def $throw(e: R[Throwable]): R[Nothing]
  // variables
  def $valDef[T](init: R[T]): R[T]
  def $lazyValDef[T](init: => R[T]): R[T]
  def $varDef[T](init: R[T]): R[T]
  def $read[T](init: R[T]): R[T]
  def $assign[T](lhs: R[T], rhs: R[T]): R[Unit]
}
```

Figure 6.2 – Interface of the generic polymorphic embedding.

Compared to the deep embeddings in Scala (e.g., LMS) the DSL author should follow the translation rules for the deep embedding to be applicable to Yin-Yang. Similarly to deep DSLs the DSL operation are added to R[T] types in the same manner. In addition the DSL author should: i) provide lifting for Scala objects and ii) transform the bodies of deep embedding operations.

Objects in the deep embedding. Objects in the deep embedding must correspond to the translated objects in the direct embedding. Since we treat objects as constants they are handled by the lift method.

An object from the direct embedding should be represented with an appropriate lift method and a set of extension methods that represent its operations. For example, to support the println method on the Predef object the deep embedding must introduce a lifting construct and an extension method on R[Predef.type]:

```
def lift(c: Predef.type): R[Predef.type] = Const(Predef)
implicit class PredefOps(predef: R[Predef.type]) {
  def println(p: R[Any]): R[Unit] = \\...
}
```

To preserve the original API of the deep embedding one can introduce a shortcut for the Predef object in the DSL component:

```
val Predef = lift[Predef.type](scala.Predef)
```

This way the deep embedding can be used in a similar way to the direct embedding for the purpose of developing internal DSL components.

Operations in the direct embedding. Implementation of the deep embedding operations depends on which rules of virtualization are applied. If we apply method virtualization in the DSL programs the bodes of the deep embedding operations should be transformed with the same transformation. For example, an identity method in the deep embedding

```
def id[T](v: R[T]): R[T] = Identity(v)
```

should be transformed into

```
def id[T]: R[T \Rightarrow T] = \text{lam}[T]((x: R[T]) \Rightarrow Identity(v))
```

In practice, the deep DSL operations only reify the deep program and tracking function application of these methods introduces superfluous IR nodes. Further, this transforma-

```
trait InliningFunctionsBase extends PolymorhpicBase {
   def $app[U](f: () => R[U]): () => R[U]
   def $app[T_1, U](f: R[T_1] => R[U]): R[T_1] => R[U]

   def $lam[U](f: () => R[U]): () => R[U]
   def $lam[T_1, U](f: R[T_1] => R[U]): R[T_1] => R[U]
}
```

Figure 6.3 – Interface of the polymorphic embedding with eager inlining.

tion convolutes the implementation of the deep embedding. For these reasons, in most of the DSLs it is common to disable method virtualization. This way the domain-specific operations are always executed in the host language.

#### 6.4 Polymorphic Embedding With Eager Inlining

Polymorphic embedding with eager inlining differs from the generic polymorphic embedding in the way host language functions are translated. With this type of embedding functions are left unmodified, and thus executed, in the host language and therefore always executed during DSL compilation. Effectively, this way of treating functions always inlines all functions in the deep embedding embedding.

With the type translation for eager inlining there two possibilities for the DSL author: *i)* use the full language virtualization but disallow curried functions, and *ii)* to completely disable virtualization of functions and allow curried functions. In both of these cases the DSL author must implement the interface PolymorphicInterface from Figure 6.2, however, the interface for functions is different.

**Eager inlining with function virtualization.** If the full virtualization is used the deep embedding should provide an interface shown in Figure 6.3. This way the user can track function applications and definitions in the deep embedding with a drawback that curred functions are not allowed.

Eager inlining without function virtualization. Without virtualization the interface for functions in the deep embedding is not necessary. The deep embedding will use the functions from the host language. This kind of embedding is the primary choice of DSLs based on LMS<sup>2</sup>.

<sup>&</sup>lt;sup>2</sup>LMS supports both generic polymorphic embedding and embedding with eager inlining. However, in LMS DSLs[TODO: cite] and tutorials[TODO: cite] eager inlining is more common.

```
trait CustomFunctionsBase {
  type Function0[U]
  type Function1[T, U]
  def $app[U](f: Function0[U]): () => U
  def $app[T_1, U](f: Function1[T_1, U]): T_1 => U

  def $lam[U](f: () => U): Function0[U]
  def $lam[T_1, U](f: T_1 => U): Function1[T_1, U]
}
```

Figure 6.4 – Interface of the embedding with custom types. The DSL author can arbitrarily override each type in the embedding.

#### 6.5 Embedding With Custom Types

In the embedding with custom types the DSL author is required to redefine every type of the direct embedding in the deep embedding. The overriding is achieved by the abstract types of Scala. For example, the type scala.Boolean can be overridden with a type scala.Int (as it is in the C based languages) inside of the DSL component with:

```
type Boolean = scala.Int
```

The interface of this type of embeddings is equivalent to the interface of the identity embedding (Figure 6.1) except that all types need to be abstract. Further, Scala functions can not be directly converted into abstract types so all functions in the deep embedding must be represented with their nominal equivalents (e.g., Int => Int must be represented as Function1[Int, Int]). An example of the interface for functions is presented in Figure 6.4. Here, type Function0[U] makes the function type abstract.

A common pitfall with naming abstract types is using only their type name (e.g., Function0). The problem arises when two different types (with different paths) have the same name. In these cases the DSL author must use the full type path as the abstract type. Yin-Yang can be configured to add a prefix to the types with their full path or only to their name. In all examples, for conciseness reasons, we use the translation that does not use the full path but only the type name.

In the embedding with custom types a common way to reify the DSL IR is to define abstract types with the IR nodes of the deep embedding. In this scheme, in order to preserve well-typedness after the translation each IR node must satisfy the interface of the type from the direct embedding. The methods in the overridden type all methods can perform reification and still return the correct program.

In Figure 6.5 we show how one reifies operations on the Boolean type. All nodes in the IR usually have a common subtype (Exp in the example). Then the abstract type for

```
trait DSLBase {
   trait Exp // base class for all nodes
}
trait BooleanDSL extends DSLBase {
   type Boolean = BooleanOps
   trait BooleanOps with Exp {
      def &&(y: Boolean): Boolean = BooleanAnd(this, y)
      def ||(y: Boolean): Boolean = BooleanOr(this, y)
      def unary_!: Boolean = BooleanNot(this)
   }
}
case class BooleanAnd(lhs: Boolean, rhs: Boolean) extends BooleanOps
   case class BooleanOr(lhs: Boolean, rhs: Boolean) extends BooleanOps
   case class BooleanNot(lhs: Boolean) extends BooleanOps
}
```

Figure 6.5 – Overriding semantics of Boolean with the reification semantics for the deep embedding.

Boolean is overridden with a trait (BooleanOps) that redefines its operations. These redefined operations can now perform reification of the program in the deep embedding. With this embedding all IR nodes of type Boolean extend BooleanOps and therefore all method implementations have valid types.

The types in the deep embedding can not be expressed as a type function and therefore defining the interface of hole and lift is different than the other embeddings. Here, for each type in the direct embedding there needs to be a definition that maps the type to the deep embedding.

One way to achieve such type map is to use function overloading in Scala. The deep embedding should have one hole and lift method for each type in the direct embedding. For example, lifting the type Int is achieved with:

```
def hole(id: Long, tpe: TypeTag[scala.Int]): this.Int =
  new Hole(sym, v) with IntOps
def lift(v: scala.Int): this.Int =
  new Const(v) with IntOps
```

With this type of embedding the DSL author is free to redefine types arbitrarily thus giving the deep embedding different semantics. The embedding can perform pretty printing or provide a wrapper for another DSL. For further information on such embeddings see [Hofer et al., 2008] and §11.3.

```
trait Executable { =>
  def execute[T](args: Seq[Any]): T
}
```

Figure 6.6 – The trait for that Yin-Yang uses to execute the deep embedding.

# 6.6 The Yin-Yang Interface

Yin-Yang does not require an exact interface for the translation to the deep embedding and therefore there are no traits that a DSL author must extend in order to use the translation. The interface is defined by method names defined in the translation and by the types dictated by the type translation.

After the translation a program in the deep embedding should be executed and should produce the same result<sup>3</sup> as the direct embedding. Execution of a program should happen transparently to the DSL, i.e., it should completely resemble the execution in the direct embedding.

Execution of the deep program depends on all the variables that were captured by the direct embedding. Yin-Yang, after the core translation, must assure that the deep embedding gets all the values that were replaced by holes and that the DSL compiler or interpreter can distinguish to which hole does a value belong.

To achieve this Yin-Yang requires that the deep embedding implements the Executable interface (Figure 6.6). This interface contains a single method execute that is used for execution of the DSL at host language run-time. Yin-Yang simply calls the method execute on the translated DSL with the arguments that were converted to holes.

Yin-Yang invokes execute with all captured variables sorted in the ascending order by the identifier that is passed to the corresponding hole methods. Since the identifiers passed to hole are strictly increasing, the DSL can uniquely map arguments to the holes they belong to. For example, the DSL program in the example translation (Figure 5.5c) has two captured variables (n and exp) with identifiers 0 and 1 respectively. Yin-Yang will, after translation, invoke this DSL with

```
dsl.execute[Int](Seq(n, exp))
```

This way of invoking a DSL is convenient for the DSL author as one needs to implement a single method, however, it is not optimal. Putting arguments in a Seq introduces an additional level of indirection and imposes boxing of all the arguments. In short running DSL programs that are executed in tight loops the overhead of boxing can become significant.

<sup>&</sup>lt;sup>3</sup>The result can be different in case of floating-point operations executed on different back-end platforms, however this difference should not change decisions that are made based on the result.

To avoid this overhead, before translating to the generic execute method, Yin-Yang looks for more specific methods that would correspond to the holes that are passed. If this method is found Yin-Yang will execute that method although it is not in the interface. For example, if a DSL from the example translation ((Figure 5.5c)) would implement a method with a signature

```
def execute(v0:Int, v1:Int): Int
```

this method would be invoked instead.

The deeply embedded DSLs can generate and compile code in the host language, other languages, or be interpreted. We have abstracted over these types of DSLs with a simple interface. With this approach all compilation decisions are left to the DSL author. This simplifies the framework, however, it complicates management of DSL compilation in presence of multiple DSLs.

In the case where multiple DSL frameworks simultaneously invoke compilation of a program it is possible to exhaust memory resources of the running process. For example, each compilation in Scala requires loading the whole compiler and requires significant amounts of memory. When multiple frameworks use compilation without coordination the system resources are easily exhausted.

Yin-Yang currently does not provide a way to coordinate compilation of different DSLs. Resolving this problem has been studied before in context of JIT compilation [TODO: cite jit compilation] and it falls out of the scope of this work.

# 6.7 Using Yin-Yang

In this section we show how to define a DSL with Yin-Yang, given that we have both the direct and deep embedding that conforms to the required interface. First we describe how to apply the translation (§6.7.1) and then how the DSL user uses Yin-Yang based DSLs.

#### 6.7.1 Defining a Translation for a DSL

The direct-to-deep embedding translation is defined as a macro that accepts a single byname parameter that represents a body of a DSL program. For example, the vectorDSL from Figure 5.5 is defined as

```
def vectorDSL[T](body: => T): T = macro vectorDSLImpl[T]
```

where vectorDSLImpl represents the macro implantation.

```
object YYTransformer {
  def apply[C <: Context, T](c: C)(
    dslType: c.Type,
    tpeTransformer: TypeTransformer[c.type],
    config: YYConfig): YYTransformer[c.type, T]
}</pre>
```

Figure 6.7 – Interface to the Yin-Yang translation.

The macro implementation calls into a single transformer (YYTransformer) that implements the whole translation. The YYTransformer is configured with: i) the type of a DSL component that implements the deep embedding (e.g., la.VectorDSL), ii) the type transformer (e.g., generic polymorphic transformer), and iii) the configuration object (i.e., whether to virtualize functions, methods, lift Scala objects, etc.). Interface of the object that creates a YYTransformer is shown in Figure 6.7.

With the YYTransformer object the method vectorDSLImpl is implemented as:

```
def vectorDSLImpl[T](c: Context)(body: c.Expr[T]): c.Expr[T] =
    YYTransformer[c.type, T](c)(
        typeOf[la.VectorDSL],
    new EagerInliningTypeTransformer[c.type](c),
    YYConfig(virtualizeFunctions = false)(
    body)
```

here the type of the VectorDSL is provided in with typeOf construct, EagerInliningTypeTransformer represents the translation for polymorphic embedding with eager inlining, and YYConfig defines that functions should not be virtualized.

# 6.8 Using a Yin-Yang DSL

# 7 DSL Reification at Host-Language Compile Time

To integrate the deep embedding tightly with the host-language it is necessary to have the DSL IR reified at host language compilation-time. With the reified IR domain-specific analysis and error reporting can be integrated with the host language (§8.2). Also, DSLs that do not depend on run-time values for optimizations can be completely compiled at host-language compile time and their generated code integrated with the IR of the host language (§9.

Reifying the DSL IR after the translation requires executing the code that is generated after the direct-to-deep translation. Since the translation happens during program typechecking the executable version of the translated DSL does not exist. To execute this code there are two possibilities:

- Use compilation. Since all the captured variables are replaced by holes (TODO: ref) the deep embedding can be compiled down to executable code separately from the rest of the host language program. Then the deep embedding binary can be executed to obtain the domain-specific IR.
- Use interpretation. Use the interpreter of the host language trees to obtain the domain-specific IR. Since the deep DSL has no captured variables it is possible to perform interpretation.

Compilation has an upfront cost of compiling the code but the execution is optimal while interpretation does not have an up-front cost but the execution is slower. For Scala and different sizes of DSL programs we evaluate both approaches in §7.3.

#### 7.1 Reification by Compilation

The DSL program that is being compiled to the executable during host language typechecking does not have terms that can not be compiled:

- All captured variables have been converted into calls to hole by the translation.
- Class and object definitions in the DSL are forbidden by the virtualization phase. [TODO: check]
- Usage of definitions (i.e., classes, traits, objects, and methods) that are compiled in the same compilation unit is impossible. The direct embedding does not contain equivalents for these objects and they can not be accepted by operation translation.

Given that the translated DSL body is independent of the current compilation unit it is possible to compile it separately and produce the executable file. For the DSL body the compilation pipeline is executed to the last phase starting from type-checking. Then the DSL body can be executed and we acquire an instance of the DSL with its trees reified.

In Yin-Yang for the purpose of compile-time reification we use the eval construct in Scala. The eval function accepts the Scala trees and compiles them to bytecode, loads the class that contains the compiled trees and executes it.

In case of the JVM the reification by compilation has a relatively large overhead. Compiling, loading the bytecode, and executing that code in the JVM interpreter has a relatively large initial overhead. Then the bytecode that is loaded must be executed in the JVM interpreter as it is executed for the first time. Finally, the code is executed only once in order to reify the DSL program and discarded afterwards.

# 7.2 Reification by Interpretation

An alternative to compilation is interpretation of the DSL trees. With interpretation an *interpreter* interprets the trees emitted by the direct-to-deep translation to obtain an instance to a reified DSL at host-language compile time. Since the DSL trees do not depend on the definitions in the current compilation unit it is possible interpret them.

Since the Yin-Yang translation greatly simplifies the executed trees we use a specialized interpreter. In this interpreter we treat only a subset of Scala that is emitted by the DSL translation. Further, the interpreter invokes all functions in the program with reflection. This way the execution of the DSL operations is achieved by executing pre-compiled code and the interpreter executes the DSL programs.

With the specialized interpreter special care must be taken with higher-order functions. The DSL operations that accept functions as arguments must accept function instances while the function bodies must be executed in the interpreter. To this end, to pre-compiled operations we pass function instances that call back into the interpreter code.

# 7.3 Performance of Compile-Time Reification

# 8 Improving Error Reporting of DSLs

The error reporting of the deep embedding does not blend into the host language in two ways: i) the DSL is not able to restrict generic language features of the host language and errors can happen at run-time (§4.2.4) and ii) domain-specific errors can only be reported at host-language run-time (§4.2.5). In this chapter we show how once "we broke the ice" with using the host-language reflection improving error reporting becomes a simple addition and how the DSL author can be completely agnostic of the host language reflection API. In §8.1 we show how restricting host language constructs is achieved by omitting operations in the deep embedding and in §8.2 we explain error reporting at host language compile-time.

# 8.1 Restricting Host Language Constructs

The direct DSL programs can contain well-typed expressions that are not supported by the deep embedding. Often, these expressions lead to unexpected program behavior (§4) and we must rule them out by reporting meaningful and precise error messages to the user.

We could rule out unsupported programs by relying on properties of the core translation. If a direct program contains unsupported expressions, after translation it will become ill-typed in the deep embedding. We could reject unsupported programs by simply reporting type checking errors. Since, the direct program is well-typed and the translation preserves well-typedness all type errors must be due to unsupported expressions.

Unfortunately, naively restricting the language by detecting type-checking failures is leaking information about the deep embedding. The reported error messages will contain virtualized language constructs and types. This is not desirable as users should not be exposed to the internals of the deep embedding.

Yin-Yang avoids leakage of the deep embedding internals in error messages by performing

an additional verification step that, in a fine grained way, checks if a method from the direct program exists in the deep embedding. This step traverses the tree generated by the core translation and verifies for each method call if it correctly type-checks in the deep embedding. If the type checking fails Yin-Yang reports two kinds of error messages:

• Generic messages for unsupported methods:

```
List.fill(1000, Vector.fill(1000,1)).reduce(_+_)
^
Method List.fill[T] is unsupported in VectorDSL.
```

• Custom messages for unsupported host language constructs:

```
try Vector.fill(1000, 1) / 0
^
Construct try/catch is unsupported in VectorDSL.
```

With Yin-Yang the DSL author can arbitrarily restrict virtualized constructs in an embedded language by simply omitting corresponding method definitions from the deep embedding. Due to the additional verification step all error messages are clearly shown to the user. This allows easy construction of embedded DSLs that support only a subset of the host language without the need to know the Scala reflection API.

# 8.2 Domain-Specific Error Reporting at Compile Time

The domain-specific error reporting is performed on the reified DSL program and to do it at host language compile-time we must first reify the DSL IR. To this end Yin-Yang uses the techniques described in §7 to reify the program.

Once the program is reified Yin-Yang calls the staticallyCheck method from Figure 8.1 on the DSL component. This method accepts as an argument the Reporter interface that the DSL author can use to report messages on the host language console. The DSL author can use the Reporter to report errors (the error method), warnings (the warning method), and to provide informational messages (the info method).

All methods in the Reporter interface accept the pos argument of type Option [Position] for displaying where the information should be displayed. If the position argument is not passed the error will be displayed without a position, and if it is provided the message will be displayed for the source code at the position in the same manner as the host language would.

The interface that is provided for error reporting is much simpler than the Scala reflection equivalent. The API is simplified for error reporting in domain-specific languages and

```
trait Position {
  def source: File
  def line: Int
  def column: Int
}

trait Reporter {
  def info(pos: Option[Position], msg: String): Unit
  def warning(pos: Option[Position], msg: String): Unit
  def error(pos: Option[Position], msg: String): Unit
}

trait StaticallyChecked {
  def staticallyCheck(c: Reporter): Unit
}
```

Figure 8.1 – Interface for domain-specific error reporting in the deep embedding.

does not require the DSL author to comprehend the Scala internals. For the values of the Position interface the DSL author can choose an adequate implementation (e.g., one presented by Rompf et al. [Rompf et al., 2013a]).

# 9 Reducing Runtime Overheads of the Deep Embedding

# 10 Minimizing Compilation Time of the Deep Embedding

# 11 Evaluation and Case Studies

We compared the deep embedding generation of Yin-Yang with Forge on three Delite-based deep EDSLs: OptiML, OptiQL, and Vector (§11.1). Then, we measured the effect of concealing the deep embedding by counting the number of obviated annotations related to deep embedding in the test suites of OptiML and OptiGraph EDSLs (§11.2). Finally, we evaluated the ease of adopting Yin-Yang for the existing deep EDSL Slick [Typesafe] (§11.3) and compare the effort of designing the interface with the current version of the interface. We do not report on execution speed since performance benefits of the deep embedding have been studied previously [Rompf et al., 2013b, Sujeeth et al., 2013b].

# 11.1 Automatic Deep EDSL Generation

To evaluate the automatic deep EDSL generation for OptiML, OptiQL, and Vector, we used Forge [Sujeeth et al., 2013b], a Scala based meta-EDSL for generating both direct and deep EDSLs from a single specification. Forge already contained specifications for OptiML and OptiQL.

To avoid re-typing OptiML and OptiQL we modified Forge to generate the direct embedding from its specification and generated the direct embeddings from the existing Forge based EDSL specifications. Then, we used our automatic deep generation tool to convert these direct embeddings into their deep counterparts. Since, deep EDSLs mostly consist of boilerplate the generated embeddings have a similar number of LOC as the handwritten counterparts. For all three EDSLs, we verified that tests running in the direct embeddings behave the same as the tests for the deep embeddings.

In Table 11.1, we give a line count comparison for the code in the direct embedding, Forge specification, and deep embedding for three EDSLs: *i) OptiML* is a Delite-based EDSL for machine learning, *ii) OptiQL* is a Delite-based EDSL for running in-memory queries, and *iii) Vector* is the EDSL shown as an example throughout this paper. We are careful with measuring lines-of-code (LOC) with Forge and the deep EDSLs: we only

count the parts which are generated out of the given direct EDSL.

Overall, Yin-Yang requires roughly the same number of LOC as Forge to specify the DSL. This can be viewed as positive result since Forge relies on a specific meta-language for defining the two embeddings. Yin-Yang, however, uses Scala itself for this purpose and is thus much easier to use. In case of OptiML, Forge slightly outperforms Yin-Yang. This is because Forge supports meta-programming at the level of classes while Scala does not.

EDSL	Direct	Forge	Deep	
$\operatorname{OptiML}$	1128	1090	5876	
$\operatorname{OptiQL}$	73	74	526	
Vector	70	71	369	

Table 11.1 – LOC for direct EDSL, Forge specification, and deep EDSL.

We did not compare the efforts required to specify the DSL with Yin-Yang and Forge. The reason is twofold:

- It is hard to estimate the effort required to design a DSL. If the same person designs a single DSL twice, the second implementation will always be easier and take less time. On the other hand, when multiple people implement a DSL their skill levels can greatly differ. Finally, DSL design is technically demanding and it is hard to find a large enough group to conduct a statistically significant user study.
- Writing the direct embedding in Scala is arguably simpler than writing a Forge specification. Forge is Delite-specific language and uses a custom preprocessor to define method bodies in Scala. Thus, learning a new language and combining it with Scala snippets must be harder than just writing idiomatic Scala.

# 11.2 No Annotations in the Direct Embedding

To evaluate the number of obviated annotations related to the deep embedding we implemented a direct embedding for the OptiGraph EDSL (an EDSL for graph processing), and used the generated direct EDSL for OptiML. We implemented the whole application suites of these EDSLs with the direct embedding. All 21 applications combined have 1284 lines of code.

To see the effects of the direct embedding as the front-end we counted the number of deep embedding related annotations that were used in the application suite. The counted annotations are Rep[T] for types and lift(t) for lifting literals when implicit conversions fail. In 21 applications the direct embedding obviated 96 Rep[T] annotations and 5 lift(t) annotations.

# 11.3 Case Study: Yin-Yang for Slick

Slick is a deeply embedded Scala EDSL for database querying and access. Slick is not based on LMS, but still uses Rep types to achieve reification. To improve the complicated interface of Slick we used Yin-Yang. However, since the deep embedding of Slick already exists, we first designed the new interface (direct embedding). The new interface has dummy method implementations since semantics of different database back-ends can not be mapped to Scala. Thus, this interface is used only for user friendly error reporting and documentation. The interface is completely new, covers all the functionality of Slick, and consists of only 70 lines of code (cf. [Jovanovic et al., 2014b]).

Slick has complicated method signatures that do not correspond to the simple new interface. In order to preserve backward compatibility, the redesign of Slick to fit Yin-Yang's core translation was not possible. We addressed this by adding a wrapper for the deep embedding of Slick that fits the required signature. The wrapper contains only 240 lines of straightforward code.

We compare the effort required for the interface design with Yin-Yang and with traditional type system based approaches. The development of the previous Slick interface required more than a year of development while the Yin-Yang version was developed in less than one month. The new front-end passes all 54 tests that cover the most important functionalities of Slick. When using Slick all error messages are idiomatic to Scala and resemble typical error messages from the standard library.

This study was performed by only two users and, thus, is not statistically significant. Still, we find the difference in required effort large enough to indicate that Yin-Yang simplifies front-end development of EDSLs.

# 12 Related Work

Yin-Yang is a framework for developing embedded DSLs in the spirit of Hudak [Hudak, 1996, 1998]: embedded DSLs are *Scala libraries* and DSL programs are just *Scala programs* that do not, in general, require pre- or post-processing using external tools. Yin-Yang translates directly embedded DSL programs into finally-tagless [Carette et al., 2009] deep embeddings. Our approach supports (but is not limited to) polymorphic [Hofer et al., 2008] deep embeddings, and – as should be apparent from the examples used in this paper – is particularly well-adapted for deep EDSLs using an LMS-type IR [Rompf et al., 2013a,b].

Sujeeth et al. propose Forge [Sujeeth et al., 2013b], a Scala based meta-EDSL for generating equivalent shallow and deep embeddings from a single specification. DSLs generated by Forge provide a common abstract interface for both shallow and deep embeddings through the use of abstract Rep types. A shallow embedding is obtained by defining Rep as the identity function on types, i.e. Rep[T] = T.

Forge provides some additional features compared to Yin-Yang as the Forge language allows meta-programming constructs on the definition level which is not possible in Scala. This makes Forge programs, more concise in certain scenarios. Further, in the deep embedding Forge sometimes generates code which is tightly coupled with the Delite framework.

A DSL user can switch between the shallow and deep embeddings by changing a single flag in the project build. Unfortunately, the interface of the shallow embedding generated by Forge remains cluttered with Rep type annotations. Additionally, some plain types that are admissible in a directly embedded program may lack counterparts among the IR types of the deep embedding. This means that some seemingly well-typed DSL programs become ill-typed once the transition from the shallow to the deep embedding is made, forcing users to manually fix type errors in the deeply embedded program. Finally, DSL authors must learn a new language for EDSL design whereas with Yin-Yang this language is Scala itself.

#### Chapter 12. Related Work

Project Lancet [Rompf et al., 2013c] by Rompf et al. and work of Scherr and Chiba [Scherr and Chiba, 2014] interpret Java bytecode to extract domain-specific knowledge from directly embedded DSL programs compiled to bytecode. These solutions are similar to Yin-Yang in that the direct embedding is translated to the deep embedding, however, they do not provide functionality to generate a deep embedding out of a direct one.

Awesome Prelude [Lokhorst, 2012] proposes replacing all primitive types in Haskell with type classes that can then be implemented to either construct IR or execute programs directly. This allows to easily switch between dual embeddings while the type classes ensure equivalent type checking. Unfortunately, this approach does not extend easily to native language constructs, and requires changing the type signatures of common functions.

# Deep Embedding Development Part II with a Breeze

# 13 Generation of the Deep Embedding

So far, we have seen how Yin-Yang translates programs written in the direct embedding to the deep embedding. This arguably simplifies life for EDSL users by allowing them to work with the interface of the direct embedding. However, the EDSL author still needs to maintain synchronized implementations of the two embeddings, which can be a tedious and error prone task.

To alleviate this issue, Yin-Yang automatically generates the deep embedding from the implementation of the direct embedding. This happens in two steps: First, we generate high-level IR nodes and methods that construct them through a systematic conversion of methods declared in a direct embedding to their corresponding methods in the deep embedding (§13.1). Second, we exploit the fact that method implementations in the direct embedding are also direct DSL programs. Reusing our core translation from §5, we translate them to their deep counterparts (§13.1.1).

#### [TODO: Explain that there is a core language. ]

The automatic generation of deep embeddings reduces the amount of boilerplate code that has to be written and maintained by EDSL authors, allowing them to instead focus on tasks that can not be easily automated, such as the implementation of domain-specific optimizations in the deep embedding. However, automatic code generation is not a silver bullet. Hand-written optimizations acting on the IR typically depend on the structure of the later, introducing hidden dependencies between such optimizations and the direct embedding. Care must be taken in order to avoid breaking optimizations when changing the direct embedding of the EDSL.

While these optimizations are not re-generated; only the components that correspond to the interface and the IR nodes are modified. Therefore, the DSL author is only responsible for maintaining analysis and optimizations in the deep embedding. A change in the direct embedding interface should affect only optimizations related to that change. However, this is back-end dependent so Yin-Yang does not provide any guarantees.

```
trait VectorOps extends SeqOps with
  NumericOps with Base {
  // elided implicit enrichment methods. E.g.:
       Vector.fill(v, n) = vector\_fill(v, n)
  // High level IR node definitions
  case class VectorMap[T:Numeric,S:Numeric]
    (v: Rep[Vector[T]], f: Rep[T] => Rep[S])
    extends Rep[Vector[S]]
  case class VectorFill[T:Numeric]
    (v: Rep[T], size: Rep[Int])
    extends Rep[Vector[T]]
  def vector map[T:Numeric,S:Numeric]
    (v: Rep[Vector[T]], f: Rep[T] \Rightarrow Rep[S]) =
      VectorMap(v, f)
  def vector_fill[T:Numeric]
    (v: Rep[T], size: Rep[Int]) =
    VectorFill(v, size)
}
```

Figure 13.1 – High-level IR nodes for Vector.

#### [TODO: Give an example here. ]

#### 13.1 Constructing High-Level IR Nodes

To make the generation regular Yin-Yang provides a corresponding IR node and construction method for every operation in the direct embedding. By using reflection, we extract the method signatures from the direct embedding. From these, we generate the interface, implementation, and code generation traits as prescribed by LMS. This part of the translation is LMS specific and applying it to other frameworks would require changing the code templates. Based on the signature of each method, we generate the *case class* that represents the IR node. Then, for each method we generate a corresponding method that instantiates the high-level IR nodes. Whenever a method is invoked in the deep EDSL, instead of being evaluated, a high-level IR node is created.

Figure 13.1 illustrates the way of defining IR nodes for Vector EDSL. The case classes in the VectorOps trait define the IR nodes for each method in the direct embedding. The fields of these case classes are the callee object of the corresponding method (e.g., v in VectorMap), and the arguments of that method (e.g., f in VectorMap).

Deep embedding should, in certain cases, be aware of side-effects. The EDSL author must annotate methods that cause side-effects with an appropriate annotation. To minimize

```
class Vector[T: Numeric](val data: Seq[T]) {
    // effect annotations not necessary
    def print() = System.out.print(data)
}
trait VectorOps extends SeqOps with
    NumericOps with Base {
    case class VectorPrint[T:Numeric]
        (v: Rep[Vector[T]]) extends Rep[Vector[T]]
    def vector_print[T:Numeric](v: Rep[Vector[T]]) =
        reflect(VectorPrint(v))
}
```

Figure 13.2 – Direct and deep embedding for Vector with side-effects.

the number of needed annotations we use Scala FX [Rytz et al., 2012]. Scala FX is a compiler plugin that adds an effect system on top of the Scala type system. With Scala FX the regular Scala type inference also infers the effects of expressions. As a result, if the direct EDSL is using libraries which are already annotated, like the Scala collection library, then the EDSL author does not have to annotate the direct EDSL. Otherwise, there is a need for manual annotation of the direct embedding by the EDSL author. Finally, the Scala FX annotations are mapped to the corresponding effect construct in LMS.

Figure 13.2 shows how we automatically transform the I/O effect of a print method to the appropriate construct in LMS. As the Scala FX plugin knows the effect of System.out.println, the effect for the print method is inferred together with its result type (Unit). Based on the fact that the print method has an I/O effect, we wrap the high-level IR node creation method into reflect, which is an effect construct in LMS to specify an I/O effect [Rompf et al., 2011]. In effect, all optimizations in the EDSL will have to preserve the order of println and other I/O effects. We omit details about the LMS effect system; for more details cf. [Rompf et al., 2011].

# 13.1.1 Lowering High-Level IR Nodes to Their Low-Level Implementation

Having domain-specific optimizations on the high-level representation is not enough for generating high performance code. In order to improve the performance, we must transform these high-level nodes into their corresponding low-level implementations. Hence, we must represent the low-level implementation of each method in the deep EDSL. After creating the high-level IR nodes and applying domain-specific optimizations, we transform these IR nodes into their corresponding low-level implementation. This can be achieved by using a *lowering* phase [Rompf et al., 2013b].

Figure 13.3 illustrates how the invocation of each method results in creating an IR node together with a lowering specification for transforming it into its low-level implementation. For example, whenever the method fill is invoked, a VectorFill IR node is created like before. However, this high-level IR node needs to be transformed to its low-level IR nodes in the lowering phase. This delayed transformation is specified using an atPhase(lowering) block [Rompf et al., 2013b]. Furthermore, the low-level implementation uses constructs requiring deep embedding of other interfaces. In particular, an implementation of the fill method requires the Seq.fill method that is provided by the SeqLowLevel trait.

```
trait VectorLowLevel extends VectorOps
  with SeqLowLevel {
    // Low level implementations
    override def vector_fill[T:Numeric]
        (v: Rep[T], s: Rep[Int]) =
        VectorFill(v, s) atPhase(lowering) {
            Vector.fromSeq(Seq.fill[T](s)(v))
        }
}
```

Figure 13.3 – Lowering to the low-level implementation for Vector.

Generating the low-level implementation is achieved by transforming the implementation of each direct embedding method. This is done in two steps. First, the expression given as the implementation of a method is converted to a Scala AST of the deep embedding by core translation of Yin-Yang. Second, the code represented by the Scala AST must be injected back to the corresponding trait. To this effect, we implemented Sprinter [Nikolaev], a library that generates correct and human readable code out of Scala ASTs. The generated source code is used to represent the lowering specification of every IR node.

# 14 Dynamic Compilation of Domain-Specific Languages

Removing Abstraction Overheads Part IIII in Shallow Domain-Specific Languages

# 15 Introduction: Different Approaches to Removing Abstraction Overheads in Direct DSLs

# 16 Polyvariant Staging

# 16.1 Introduction

Multi-stage programming (or staging) is a meta-programming technique where compilation is separated in multiple stages. Execution of each stage outputs code that is executed in the next stage of compilation. The first stage of compilation happens at the host language compile time, the second stage happens at the host language runtime, the third stage happens at runtime of runtime generated code, etc. Different stages of compilation can be executed in the same language [Taha and Sheard, 1997, Nielson and Nielson, 2005] or in different languages [Brown et al., 2011, DeVito et al., 2013]. In this work we will focus on staging where all stages are in the same language and that, through static typing, assures that terms in the next stage are well typed.

Notable staging systems in statically typed languages are MetaOCaml [Taha and Sheard, 1997, Calcagno et al., 2003] and LMS [Rompf and Odersky, 2012a]. These systems were successfully applied as a partial evaluatior [Jones et al., 1993]: for removing abstraction overheads in high-level programs [Carette and Kiselyov, 2005, Rompf and Odersky, 2012a], for domain-specific languages [Czarnecki et al., 2004, Jonnalagedda et al., 2014, Taha, 2004], and for converting language interpreters into compilers [Rompf et al., 2013c, Futamura, 1999]. Staging originates from research on two-level [Nielson and Nielson, 2005, Davies, 1996] and multi-level [Davies and Pfenning, 1996] calculi.

We show an example of how staging is used for partial evaluation of a function for computing the inner product of two vectors<sup>1</sup>:

```
def dot[T:Numeric](v1: Vector[T], v2: Vector[T]): T =
  (v1 zip v2).foldLeft(zero[T]) {
    case (prod, (c1, cr)) => prod + c1 * cr
}
```

In function dot, if vector sizes are constant, the inner product can be partially evaluated

into a sum of products of vector components. To achieve partial evaluation, we must communicate to the staging system that operations on values of vector components should be executed in the next stage. The compilation stage in which a term is executed is determined by *code quotation* (in MetaOCaml) or by parametric types Rep (in LMS). In LMS we denote that the vector size is statically known is achieved by annotating only vector elements with a Rep type<sup>2</sup>:

```
def dot[T:Numeric]
  (v1: Vector[Rep[T]], v2: Vector[Rep[T]]): Rep[T]
```

Here the Rep annotations on Rep[T] denote that elements of vectors will be known only in the next stage (in LMS, this is a stage after run-time compilation). After run-time compilation zip, foldLeft, and pattern matching inside the closure will not exist in the residual program as they were evaluated in the previous stage of compilation (host language runtime). Note that in LMS unannotated code is always executed during host-language runtime and type-annotated code is executed after run-time compilation.

**Stage monovariance.** The dot function in LMS is monovariant: it can only accept vectors that are statically known but their elements are dynamic. In order to support both versions the author of dot would have the body of dot with slightly different stage annotations:

```
def dot[T:Numeric](v1: Vector[T], v2: Vector[T]): T
```

Designers of binding-time analysis for offline partial-evaluators had the same difficulties with monovariance. First solutions duplicate code [Rytz and Gengler, 1992] to achieve polyvariance. Duplication is much less troublesome with partial evaluation as users do not write the duplicate code. Henglein and Mossin introduce polymorphic binding-time analysis [Henglein and Mossin, 1994] to avoid code duplication, which was further refined to parametric polymorphism [Heldal and Hughes, 2001], and recursion and subtyping [Dussart et al., 1995]. Although effective, these approaches are not used for staging but for offline partial evaluation. Finally, Ofenbeck et al. [Ofenbeck et al., 2013] propose a solution to this problem based on type classes and higher-kinded types. Their solution requires additional annotations and implicit parameters in the type signature of polyvariant methods.

Code Duplication. Staging systems based on type annotations (e.g., LMS and type-directed partial evaluation [Danvy, 1999]) inherently require code duplication as, a priory, no operations are defined on Rep annotated types. For example, in the LMS version of the dot function, all numeric types (i.e., Rep[Int], Rep[Double], etc.) must be re-implemented in order to typecheck the programs and achieve code generation for the next stage.

Sujeeth et al. [Sujeeth et al., 2013b] and Jovanovic et al. [Jovanovic et al., 2014a] propose

generating code for the next stage computations based on a language specification. These approaches solve the problem, but they require writing additional specification for the libraries, require a large machinery for code generation, and support only restricted parts of Scala.

Staging at host language compile time. How can we use type based staging for programs whose values are statically known at the host language compile-time (the first stage)? Existing staging frameworks treat unannotated terms as runtime values of the host language and annotated terms as values in later stages of compilation. Even if we would take that the first stage is executed at the host language compile time, we would have to annotate all run-time values. Annotating all values is cumbersome since host language run-time values comprise the majority of user programs (§16.5).

MacroML [Ganz et al., 2001] expresses macros as two-stage computations that start executing from host language compile time. In MacroML, parameters of macros can be annotated as an early stage computation. These parameters can then used in escaped terms for compile-time computation. Terms scheduled for runtime execution, withing the escaped terms, again need to be quoted with brackets. This, in effect, imposes quotation for both escaping and brackets which requiring additional effort.

**Polymorphic binding-time information.** The main idea of this paper is to use polymorphic binding-time analysis: i) to enable stage polivariant code through bounded parametric polymorphism, and ii) to allow reusing existing data types in different stages of computation without code duplication.

With bounded parametric polymorphism we can make functions stage polyvariant in their arguments by replacing them with type parameters upper bounded by their original type. For example, polymorphic power function is defined as:

```
@ct def pow[V <: Long](base: Long, exp: V): Long</pre>
```

Annotated types denote terms whose instances and non-polymorphic fields are known, and whose methods can be executed at in the previous stage (i.e., compile time). We call annotated types compile-time views of existing data types. Types are promoted to their compile-time views with type qualifiers [Foster et al., 1999] expressed with the <code>@ct</code> annotation (e.g., <code>Int@ct</code>). In terms, statically known terms can be promoted their compile time duals with the function <code>ct</code>. By having two views of the same type we obviate the need for introducing reification and code generation logic for existing types.

With compile-time views, to require that vectors v1 and v2 are static and to partially evaluate the function, a programmer needs to make a simple modification of the dot

 $<sup>^{1}</sup>$ All code examples are written in Scala. It is necessary to know the basics of Scala to comprehend this paper.

<sup>&</sup>lt;sup>2</sup>In this work we use LMS as a representative of type-based staging systems.

signature:

```
def dot[V: Numeric@ct]
  (v1: Vector[V]@ct, v2: Vector[V]@ct): V
```

Since, vector elements are stage polymorphic the result of the function can be a dynamic value, or a compile-time view that can be further used for compile-time computations. The binding time of the return type of dot will match the binding time of vector elements:

```
// [el1, el2, el3, el4] are dynamic decimals dot(Vector(el1, el2), Vector(el3, el4))  \hookrightarrow (\text{el1} * \text{el3} + \text{el2} * \text{el4}) \colon \text{Double} 
// ct promotes static terms to compile-time views dot(Vector(ct(2), ct(4)), Vector(ct(1), ct(10)))  \hookrightarrow 42 \colon \text{Double@ct}
```

In this paper we contribute to the state of the art:

- By using bounded parametric polymorphism as a vehicle to succinctly achieve polyvariant staging. This allows writing polyvariant stage programs without code duplication or additional language features. Stage annotations passed through type parameters are used by underlying polymorphic binding-time analysis to determine the correct binding-times.
- By obviating the need for reification and code generation logic in type based staging systems. The same binding-time analysis is to allow types defined in monovariant way to be used in multiple stages.
- By introducing compile-time views (§16.2) as means to achieve type safe type based two-stage programming starting from host language compile time.
- By demonstrating the usefulness of compile-time views in four case studies (§16.4): inlining, partially evaluating recursion, removing overheads of variable argument functions, and removing overheads of type-classes [Wadler and Blott, 1989, Hall et al., 1996, Oliveira et al., 2010].

We have implemented a staging extension for Scala ScalaCT. ScalaCT has a minimal interface (§16.2) based on type annotations. We have evaluated performance gains and the validity of ScalaCT on all case studies (§16.4) and compared them to LMS. In all benchmarks (§16.6) our evaluator performs the same as LMS and gives significant performance gains compared to original programs.

```
package object scalact {
  final class ct extends StaticAnnotation
  def ct[T](body: => T): T = ???
}
```

Figure 16.1 – Interface of ScalaCT.

# 16.2 ScalaCT Interface

In this section we present ScalaCT, a staging extension for Scala based polymorphic binding-time analysis. ScalaCT is a compiler plugin that executes in a phase after the Scala type checker. The plugin takes as input typechecked Scala programs and uses type annotations [Odersky and Läufer, 1996] to track and verify information about the biding-time of terms. It supports only two stages of compilation: host language compile-time (types annotated with <code>@ct</code>) and host language run-time (unannotated code).

To the user, ScalaCT exposes a minimal interface (Figure 16.1) with a single annotation ct and a single function ct.

Annotation ct is used on types (e.g., Int@ct) to promote them to their compile-time views. The annotation is integrated in the Scala's type system and, therefore, can be arbitrarily nested in different variants of types.

Since operations on compile-time views should be executed at compile time, the ct type can be viewed as the original type whose instance is known at compile time with additional methods whose non-generic method parameters and result types also become compile-time views (if possible). Generic parameters remain unchanged as their binding time is defined during corresponding type application. Table 16.1 shows how the <code>@ct</code> annotation can be placed on types and how it affects method signatures of additional methods. Note that methods are not in fact added to the type but the binding-time polymorphic behavior of original methods can be observed as additional methods.

In Table 16.1, on Int@ct both parameters and result types of all methods are also compile-time views. On the other hand, Vector[Int]@ct has parameters of all methods transformed except the generic ones. In effect, this, makes higher order combinators of Vector operate on dynamic values, thus, function f passed to map accepts the dynamic value as input. Note that hashCode of Vector[Int]@ct returns a dynamic value—this is due to its implementation that internally operates on generic values that are dynamic. Type Vector[Int@ct]@ct has all additional methods promoted to compile-time. The return type of the function map on Vector[Int@ct]@ct can still be either dynamic or a compile-time view due to the type parameter U.

## Chapter 16. Polyvariant Staging

Table 16.1 – Compile-time views of types and additional methods that will be available to the user.

Annotated Type	Type's Method Sig-		
T	natures		
Int@ct	+(rhs: Int@ct): Int@ct		
Vector[Int]@ct	<pre>map[U](f: (Int =&gt; U)@ct): Vector[U]@ct</pre>		
	length: Int@ct		
	hashCode: Int		
Vector[Int@ct]@ct	<pre>map[U](f: (Int@ct =&gt; U)@ct): Vector[U]@ct</pre>		
	length: Int@ct		
	hashCode: Int@ct		
Map[Int@ct, Int]@ct	<pre>get(key: Int@ct): Option[Int]@ct</pre>		

Table 16.2 – Promotion of terms to their compile-time views.

Annotation ct can also be used to achieve inlining of statically known methods and functions. This is achieved by putting the annotation of the method/function<sup>1</sup> definition:

```
@ct def dot[T: Numeric]
  (v1: Vector[T], v2: Vector[T]): T
```

Annotated methods will have an annotated method type

```
((v1: Vector[T], v2: Vector[T]) => T)@ct
```

which can not be written by the users.

**Function ct** is used at the term level for promoting literals, modules, and methods/functions into their compile-time views. Table 16.2 shows how different types of terms are promoted to their compile-time views. An exception for promoting terms to compile-time views is the new construct. Here we use the type annotation on the constructed type.

<sup>&</sup>lt;sup>1</sup>This is not the first time that inlining is achieved through partial evaluation [Monnier and Shao, 2003].

# 16.2.1 Well-Formedness of Compile-Time Views

Earlier stages of computation can not depend on values from later stages. This property—defined as *cross-stage persistence* [Taha and Sheard, 1997, Westbrook et al., 2010]—imposes that all operations on compile-time views must be known at compile time.

To satisfy cross-stage persistence ScalaCT verifies that binding time of composite types (e.g., polymorphic types, function types, record types, etc.) is always a subtype of the binding time of their components. In the following example, we show malformed types and examples of terms that are inconsistent:

```
xs: List[Int@ct] => ct(Predef).println(xs.head)
fn: (Int@ct=>Int@ct) => ct(Predef).println(fn(ct(1)))
```

In the first example the program would, according to the semantics of <code>@ct</code>, print a head of the list at compile time. However, the head of the list is known only in the runtime stage. In the second example the program should print the result of <code>fn</code> at compile time but the body of the function will be known only at runtime. By causality such examples are not possible.

On functions/methods the ct annotation requires that function/method bodies are known at compile-time. Otherwise, inlining of such functions/methods would not be possible at compile-time. In Scala, method bodies are statically known in objects and classes with final methods, thus, the ct annotation is only applicable on such methods.

#### 16.2.2 Minimizing the Number of Annotations

One of the design goals of ScalaCT is to minimize the number of superfluous staging annotations. We achieve this by implicitly adding annotations and term promotions that would with high probability be added by the user.

Adding ct to Functions. Due to cross-stage persistence if a single parameter of a function is annotated with ct the whole function must also be ct. Since forgetting this annotation would only result in an error we implicitly add the ct annotation when at least one parameter type or the result type is marked as ct.

**Implicit Conversions.** If method parameters require the compile-time view of a type the corresponding arguments in method application would always have to be promoted to ct. In some libraries this could require an inconveniently large number of annotations.

To minimize the number of required annotations we introduce *implicit conversions* from certain static terms to ct terms. Note that we use a custom mechanism for implicit conversions based on type annotations. This is necessary as Scala implicit conversions are oblivious to type annotations (§16.7).

The conversions support translation of language literals, direct class constructor calls with static arguments, and static method calls with static arguments into their compile-time views. Since our compile-time evaluator does not use Asai's [Asai, 2002, Sumii and Kobayashi, 2001] method to keep track of the value of each static term, we disallow implicit conversions of terms with static variables.

For example, for a factorial function

```
def fact(n: Int@ct): Int@ct =
  if (n == 0) 1 else fact(n - 1)
```

we will not require promotions of literals 0, and 1. Furthermore, the function can be invoked without promoting the argument into it's compile-time view:

```
fact(5)
\hookrightarrow 120
```

Without implicit conversions the factorial functions would be more verbose

```
@ct def fact(n: Int@ct): Int@ct =    if (n == ct(0)) ct(1)
else fact(n - ct(1))
```

as well as each function application (fact(ct(5))).

Implicit conversions are safe in all cases except when the user should be warned about potential code explosion. For example, a user could accidentally call fact with a very large number and cause code explosion without even knowing that staging is happening. If ct was required it would remind the users about the potential problems. In the design of ScalaCT we decided to prefer less annotations over code-explosion prevention.

# 16.3 Polymorphic Binding-Time Analysis

- 16.3.1 Nominal Types and Subtyping
- 16.3.2 Compile-Time Evaluation
- 16.3.3 Mutable State

# 16.4 Case Studies

In this section we present selected use-cases for compile-time views that, at the same time, demonstrate step-by-step the mechanics behind ScalaCT. We start by inlining a simple function with staging (§16.4.1), then do the canonical staging example of the integer power function (§16.4.2), then we demonstrate how variable argument functions

can be desugared into the core functionality (§16.4.3). Finally, we demonstrate how the abstraction overhead of the dot function and all associated type-class related abstraction an be removed (§16.4.5).

# 16.4.1 Inlining Expressed Through Staging

Function inlining can be expressed as staged computation [Monnier and Shao, 2003]. Inlining is achieved when a statically known function body is applied with symbolic arguments. In ScalaCT we use the ct annotation on functions and methods to achieve inlining:

## 16.4.2 Recursion

The canonical example in staging literature is partial evaluation of the power function where exponent is an integer:

```
def pow(base: Double, exp: Int): Double =
  if (exp == 0) 1 else base * pow(base, exp - 1)
```

When the exponent (exp) is statically known this function can be partially evaluated into exp multiplications of the base argument, significantly improving performance [Calcagno et al., 2003].

With compile-time views making pow partially evaluated requires adding only one annotation:

```
def pow(base: Double, exp: Int@ct): Double =
  if (exp == 0) 1 else base * pow(base, exp - 1)
```

To satisfy cross-stage persistence (§16.2.1) the pow must be @ct. This annotation is automatically added by ScalaCT as described in §16.2.2. In the example, the ct annotation on exp requires that the function must be called with a compile-time view of Int. ScalaCT ensures that the definition of the pow function does not cause infinite recursion at compile-time by invoking the power function only when the value of the ct arguments is known.

The application of the function pow with a constant exponent produces:

```
pow(base, 4)
```

```
\hookrightarrow base * base * base * 1
```

Constant 4 is promoted to ct by the implicit conversions (§16.2.2).

# 16.4.3 Variable Argument Functions

Variable argument functions appear in widely used languages like Java, C#, and Scala. Such arguments are typically passed in the function body inside of the data structure (e.g. Seq[T] in Scala). When applied with variable arguments the size of the data-structure is statically known and all operations on them can be partially evaluated. However, sometimes, the function is called with arguments of dynamic size. For example, function min that accepts multiple integers

```
def min(vs: Int*): Int = vs.tail.foldLeft(vs.head) {
   (min, el) => if (el < min) el else min
}</pre>
```

can be called either with statically known arguments (e.g., min(1,2)) or with dynamic arguments:

```
val values: Seq[Int] = ... // dynamic value
min(values: _*)
```

Ideally, we would be able to achieve partial evaluation if the arguments are of statically known size and avoid partial evaluation in case of dynamic arguments. To this end we translate the method min into a partially evaluated version and a dynamic version. The call to these methods is dispatched, at compile-time, by the min method which checks if arguments are statically known. Desugaring of min is shown in Figure 16.2.

### 16.4.4 Removing Abstraction Overhead of Type-Classes

Type-classes are omnipresent in everyday programming as they allow abstraction over generic parameters (e.g., Numeric abstracts over numeric values). Unfortunately, type-classes introduce *dynamic dispatch* on every call [Rompf et al., 2013b] and, thus, impose a performance penalty. Type-classes are in most of the cases statically known. Here we show how with ScalaCT we can remove all abstraction overheads of type classes.

In Scala, type classes are implemented with objects and implicit parameters [Oliveira et al., 2010]. In Figure 16.3, we define a trait Numeric serves as an interface for all numeric types. Then we define a concrete implementation of Numeric for type Double (DoubleNumeric). The DoubleNumeric is than passed as an implicit argument dnum to all methods that use it (e.g., zero).

```
def min(vs: Int*): Int = macro
  if (isVarargs(vs)) q"min_CT(vs)"
  else q"min_D(vs)"

def min_CT(vs: Seq[Int]@ct): Int =
  vs.tail.foldLeft(vs.head) { (min, el) =>
   if (el < min) el else min
  }

def min_D(vs: Seq[Int]): Int =
  vs.tail.foldLeft(vs.head) {
    (min, el) => if (el < min) el else min
  }</pre>
```

Figure 16.2 – Function min is desugared into a min macro that based on the binding time of the arguments dispatches to the partially evaluated version (min\_CT) for statically known varargs or to the original min function for dynamic arguments min\_D.

```
object Numeric {
  implicit def dnum: Numeric[Double]@ct =
    ct(DoubleNumeric)
 def zero[T](implicit num: Numeric[T]@ct): T =
    num.zero
}
trait Numeric[T] {
 def plus(x: T, y: T): T
 def times(x: T, y: T): T
 def zero: T
}
object DoubleNumeric extends Numeric[Double] {
 def plus(x: Double, y: Double): Double = x + y
 def times(x: Double, y: Double): Double = x * y
  def zero: Double = 0.0
}
```

Figure 16.3 – Removing abstraction overheads of type classes.

When zero is applied first the implicit argument (dnum) gets inlined due to the ct annotation of the return type, then the function zero gets inlined. Since dnum returns a compile-time view of DoubleNumerc the method zero on dnum is evaluated at compile time. The constant 0.0 is promoted to ct since DoubleNumeric is a compile time view. Finally the ct(0.0) result is coerced to a dynamic value by the signature of Numeric.zero. The compile-time execution is shown in the following snippet

```
\begin{array}{lll} & \text{Numeric.zero[Double]} \\ & \hookrightarrow & \text{Numeric.zero[Double](DoubleNumeric)} \\ & \hookrightarrow & \text{ct(DoubleNumeric).zero} \\ & \hookrightarrow & \text{(ct(0.0): Double)} \\ & \hookrightarrow & \text{0.0} \end{array}
```

## 16.4.5 Inner Product of Vectors

Here we demonstrate how the introductory example is partially evaluated through staging. We start with the desugared dot function (i.e., all implicit operations are shown):

```
def dot[V](v1: Vector[V]@ct, v2: Vector[V]@ct)
  (implicit num: Numeric[V]@ct): V =
  (v1 zip v2).foldLeft(zero[V](num)) {
    case (prod, (cl, cr)) => prod + cl * cr
}
```

Function dot is generic in the type of vector elements. This will reflect upon the staging annotations as well (ct and static). When we apply the dot function with static arguments we will get the vector with static elements back:

When dot is evaluated with the ct elements the last step will further execute to a single compile-time value that can further be used in compile-time computations:

```
dot[Double@ct](
  ct(Vector)(ct(2.0), ct(4.0)),
  ct(Vector)(ct(1.0), ct(10.0)))(Numeric.dnum)
  ct(2.0) * ct(1.0) + ct(4.0) * ct(10.0)
```

 $\hookrightarrow$  42.0: Double@ct

## 16.5 Discussion

To distinguish terms executed at compile-time from terms executed at runtime with type annotations we have the following possibilities:

- 1. Annotate types of all terms that should be executed at runtime. Here all types analyzed LMS and realized that this is not an option.
- 2. Annotate types of terms that should be executed at runtime but introduce scopes (e.g., method bodies) for which this rule applies. In this way we would avoid annotating types of all runtime terms. This approach is taken by MacroML where macro functions are executed at compile time and quoted terms are executed at runtime. First approach is, also, a special case of this approach where there is a single scope for the whole language.
- 3. Annotate types of terms that are executed at compile time. This approach is used with ScalaCT and annotated types are called compile-time views.

To compare approach of ScalaCT with the first approach we analyzed 817 functions from the OptiML [Sujeeth et al., 2011] DSL based on LMS. With the ScalaCT scheme OptiML would require more than 2x less annotations to implement.

Compared to the second approach our solution is simpler to comprehend and communicate. In the second approach there are two things that users need to understand when reasoning about staged programs: i) where does the compile time scope start, and ii) which terms are annotated. With ScalaCT the comprehension is simple: terms whose types are annotated with ct are executed at compile time.

It is also interesting to the second and third approaches. Here the number of annotations depends on the program. If the programs are mostly partially evaluated the second approach is better. These category of programs could also be regarded as code generators as most of the code is executed at compile time and produces large outputs. When programs are comprised of mostly runtime values the approach of ScalaCT requires less annotations.

# 16.6 Evaluation

In this section we evaluate the amount of code that is obviated with ScalaCT compared to existing type directed staging systems (§16.6.1). Then we evaluate performance of ScalaCT compared to LMS and hand optimized code (§16.6.2)

# 16.6.1 Reduction in Code Duplication

Evaluating reduction of duplicated code (for reification and code generation) in type based staging systems is difficult as the factor varies from program to program. To avoid benchmark dependent results we instead calculate the lower bound on the duplication factor.

Given that we have a method on a type T whose body contains n lines of code (without the method definition). To introduce the same method on an annotated type Rep[T] we need another method for reification which has at least 1 line of code. Then we need code generation logic, which, if we use the same language should not have less lines than the original method plus at least one line for matching the reified method. For method of n lines we get a lower bound on the code duplication factor of:

$$2n + 3/n + 1$$

For single line methods (n = 0) the factor is 3 and for large methods  $(n \to \infty)$  it converges to 2.

# 16.6.2 Performance of Generated Code

In this section we compare performance of ScalaCT with LMS and original code. All benchmarks are executed on an Intel Core i7 processor (4960HQ) working frequency of 2.6 GHZ with 16GB of DDR3 with a working frequency of 1600 MHz. For all benchmarks we use Scala 2.11.5 and the HotSpot(TM) 64-Bit Server (24.51-b03) virtual machine. In all benchmarks the virtual machine is warmed up, no garbage collection happens, and all reported numbers are a mean of 5 measurements.

In Table 16.3 we show execution time normalized to original code for: i) pow (42.0, 10), ii) min(a, b, c, d, e), iii) inner product of two statically known vectors of size 50, and iv) the butterfly network of size 4 for fast Fourier transform fft (equivalent to code presented by Rompf and Odersky [Rompf and Odersky, 2012a]). For all benchmarks the performance results are equivalent to LMS.

Table 16.3 – Speedup of LMS and ScalaCT compared to the naive implementation of the algorithms.

Benchmark	LMS	ScalaCT
pow	221.75	221.70
min	1.82	1.79
dot	246.08	246.08
fft	12.14	12.88

# 16.7 Limitations

**Type Annotations.** Using type annotations for annotating the compilation stage is not ideal. Type annotations are not fully integrated into the Scala language. Major drawbacks are that overloading resolution and implicit search are oblivious about annotations. For example, if two methods have the same signatures but different staging annotations the compiler will report an error. Implicit search will fail in two ways: i) if two implicit functions with the same type are in scope but annotations differ the compiler will report an error about ambiguous implicits and ii) if a method requires an implicit parameter with the ct annotation the compiler might provide an implicit argument without the annotation. These are not fundamental limitations and, in practice, stage polyvariance can be used to avoid difficulties with annotations.

**Type Annotation Position.** Annotations in Scala can be used in many different positions and ScalaCT supports only some of them. Annotation ct can not be used in following positions: *i)* on classes, traits, and modules, *ii)* in the list of inherited classes and traits, *iii)* on the right hand side of the type variable definitions, and *iv)* on all terms outside the method definitions (constructors, constructor arguments, etc.).

Access Modifiers. Scala supports access modifiers of members. If methods that use ScalaCT internally access private members of the class ScalaCT will fail as all staged methods are inlined at the call site. Similar limitations exist with inlining functions in Scala. This problem could be circumvented inside Scala, however, the JVM will not allow this in the bytecode verification phase.

Code Explosion. Annotation ct inlines all functions that are annotated and unrolls all loops on compile-time executed data structures. This can, for a staged function, lead to unnaceptable code explosion for some inputs while the code can behave regularly for other inputs. For example, calling pow(0.5, 10000000) on the function from §16.4 will make unacceptably large code while pow(0.5, 10) will work as expected.

## 16.7.1 Nominal Typing, Lower Bounds, and Higher-Kinded Types

# 16.8 Related Work

MetaOCaml [Taha and Sheard, 1997, Calcagno et al., 2003] is a staging extension for OCaml. It uses quotation to determine the stage in which the term is executed. Types of quoted terms are annotated to assure cross-stage persistence. Staging in MetaOCaml starts at host language runtime and can not express compile-time computations. Further, operations on annotated types do not get automatically promoted to the adequate stage of computation as with compile-time views. Finally, there are no implicit conversions so all stage promotions of terms must be explicit.

MacroML [Ganz et al., 2001] is a language that translates macros into MetaML staging executed at compile time to provide a "clean" solution for macros. In MacroML, within the let mac construct function parameters can be annotated as an early stage computation. These parameters can then be used in escaped terms, i.e., terms scheduled to execute at compile time. Unlike ScalaCT, MacroML uses escapes and early parameters to mark terms scheduled for to execute at compile time. Within escapes terms scheduled for runtime again need to be marked with brackets. This kind of dual annotations are not required as compile-time views are automatically promoted to runtime terms.

In LMS [Rompf and Odersky, 2012a] terms that are annotated with Rep types will be executed at the stage after runtime compilation. Therefore, LMS can not directly be used for compile time computation. Furthermore, LMS requires additional reification logic and code generation for all Rep types.

Programming language Idris [Brady and Hammond, 2010] introduces the static annotation on function parameters to achieve partial evaluation. Annotation static denotes that the term is statically known and that all operations on that term should be executed at compile-time. However, since static is placed on terms rather then types, it can mark only whole terms as static. This restricts the number of programs that can be expressed, e.g., we could not express that vectors in the signature of dot are static only in size. Finally, information about static terms can not be propagated through return types of functions so static in Idris is a partial evaluation construct, i.e., it hints that partial evaluation should be applied if function arguments are static.

Hybrid Partial Evaluation (HPE) [Shali and Cook, 2011] is a technique for partial evaluation that does not perform binding time analysis (similarly to online partial evaluators) but relies on the user provided annotation CT<sup>2</sup>. HPE implementations exist for both Java and Scala [Sherwany et al., 2015]. Although, CT is used for partial evaluation, it does not affect typing of user programs. Furthermore, behavior of CT in context of generics is not described. ScalaCT can be seen as statically typed version of hybrid partial evaluation with support for parametric polymorphism. Due to the support for parametric polymorphism ScalaCT can express compile-time data structures with dynamic data.

Forge [Sujeeth et al., 2013b], by Sujeeth et al., uses a DSL to declare a specification of the libraries. Forge then generates both unannotated and annotated code based on the specification. Their language also supports generating staged code (comprised of terms different from multiple stages). Forge specification and code generation supports only a subset of Scala guided towards the Delite [Brown et al., 2011, Sujeeth et al., 2013a] framework.

[TODO: what to do with this ] The Yin-Yang framework, by Jovanovic et al. [Jo-

<sup>&</sup>lt;sup>2</sup>Name ct in ScalaCT is inspired by hybrid partial evaluation.

vanovic et al., 2014a], solves the problem of code duplication by generating reification and code generation logic based on Scala code of existing types. With their approach there is no code duplication for the supported language features. However, not all of the Scala language is supported and all generated terms are generated for the next stage, thus, making a stage distinction is impossible.

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Goals and aspirations

I believe that programs can be written abstractly and yet execute as fast as their hand tuned counterparts. To this end, I am making a framework that allows effortless addition of domain-specific optimizations to existing libraries. I am also working on a high-level programming model for dynamic compilation where dynamic information is used to perform domain-specific optimizations at runtime; yet managing assumptions, deoptimization, and code caches is done behind the scenes.

# **Selected Work Experience**

Position and Dates | PhD Candidate | October 2010 – present

Employer | Scala Laboratory (LAMP), EPFL, Switzerland

Main activities and responsibilities

Author and maintainer of the <u>Yin-yang</u> framework which is used for seamless embedding of DSLs.

Yin-yang is used for generating and reifying queries in the new version of LegoBase.

Co-author and initiator of Scala Records. Scala Records are used for type-safe manipulation of

SparkSQL query results.

Co-author of SIP 14 – Futures and Promises

LMS contributor: implemented a loop fusion prototype, added record support, enabled and helped

removal of the dependency to Virtualized Scala.

Author of sbt-coursera which is used for automatic grading of Java based projects Coursera.

Co-author of the <u>Actors Migration Kit</u>. Currently working on the Scala interpreter.

Position and Dates | Research Intern | June 2013 – September 2013

Employer | Oracle Labs, Switzerland

Main activities and responsibilities | Implemented the Graal backend for Lightweight Modular Staging with support for vectorization.

Performance on all (at the time) supported vectorization features was within 10% of hand-written C.

Position and Dates | Research Intern | April 2010 – September 2010

Employer Network Systems Laboratory (NSL), EPFL, Switzerland

Main activities and responsibilities | Implemented DiCE, a system that makes a snapshot of a network of BGP routers and uses concolic execution to explore the live system state. Exploration ensures that the faulty system states can not

be reached. DiCE detects common errors in BGP networks like the cybernuke vulnerability.

Position and Dates | Software Developer – Team Leader | March 2008 – September 2009

Employer | Margintech Corporation, Toronto | Working for Taleo inc. on the TBE product

Main activities and responsibilities Developed software for a large SaaS system that is used daily by over 50.000 customers. Lead a

team of 3 people on several enterprise projects. At the same time developed algorithms for cache rebalancing (10x improvement in memory utilization), fixed critical concurrency bugs and integrated a

semantic search engine.

Education

School and Dates | School of Electrical Engineering, University of Belgrade, Serbia October 2008 – April 2010

Title Engineer of Electrical Engineering and Computer Science – Master GPA 10.00/10.00
Thesis: Human Computer Interaction Device for Visually Impaired People

Thouse trained computer interaction ported for violating impaired t copie

School and Dates | School of Electrical Engineering, University of Belgrade, Serbia October 2003 – October 2008

Title Engineer of Electrical Engineering QPA59.02/10.00
Thesis: Tactile Web Browser Simulator

## **Selected Publications**

V. Jovanovic, D. Shabalin, E. Burmako, and M. Odersky, Annotating the Previous Stage: Succinct Type-Driven Staging at Compile Time, Scala'15 (under submission)

V. Jovanovic, A. Shaikhha, S. Stucki, V. Nikolaev, C. Koch, and M. Odersky, <u>Yin-Yang: Concealing the deep embedding of DSLs</u>, GPCE'14

A. Sujeeth, T. Rompf, K. Brown, H. Lee, H. Chafi, V. Popic, M.Wu, A. Prokopec, V. Jovanovic, M. Odersky, and K. Olukotun, <u>Composition and reuse with compiled domain-specific languages</u>, ECOOP'13

T. Rompf, A. Sujeeth, N. Amin, K. Brown, V. Jovanovic, H. Lee, M. Jonnalagedda, K. Olkotun, and M. Odersky, <u>Optimizing Data Structures in High-Level Programs: New Directions for Extensible Compilers based on Staging</u>, POPL '13

S. Ackermann, V. Jovanovic, T. Rompf, and M. Odersky, <u>Jet: An Embedded DSL for High-Performance Big Data Processing</u>, BigData'12

M. Canini, V. Jovanovic, D. Venzano, D. Novakovic, and D. Kostic, <u>Online Testing of Federated and Heterogeneous Distributed Systems</u>, Computer Communication Review, vol. 41, p. 434-435, 2011.

M. Canini, V. Jovanovic, D. Venzano, B. Spasojevic, and O. Crameri, <u>Toward Online Testing of Federated and Heterogeneous Distributed Systems</u>, USENIX'11

## **Activities**

Selected talks | Programming DSLs Made Simple, ScalaDays 2014

<u>Yin-Yang: Transparent Deep Embedding of DSLs</u>, ScalaCamp 2013 <u>High-Performance DSLs Embedded in Scala</u>, GeeCon 2013

Reviewing | Artefact reviewer for OOPSLA'15

Subreviewer for HLPP'14, GPCE'14, and ICFP'14

Demos | Yin-Yang: Concealing the Deep Embedding of DSLs, ECOOP'15

Organizing | Summer School on Domain Specific Programming Languages, Lausanne, July 2015

Scala Workshop, 2013 PL Seminar at EPFL

Teaching | Reactive Programming and Parallelism (2015)

Functional Programming Principles in Scala (2013, 2014, 2015)

Principles of Reactive Programming (2013, 2015)

Foundations of Software (2012) Operating Systems (2011)

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