# Package 'jrSiCKLSNMF'

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Type Package

Title Multimodal Single-Cell Omics Dimensionality Reduction

Version 1.2.1

Description Methods to perform Joint graph Regularized Single-Cell Kullback-Leibler Sparse Nonnegative Matrix Factorization ('jrSiCKLSNMF', pronounced ``junior sickles NMF") on quality controlled single-cell multimodal omics count data. 'jrSiCKLSNMF' specifically deals with dual-assay scRNA-seq and scATAC-seq data. This package contains functions to extract meaningful latent factors that are shared across omics modalities. These factors enable accurate cell-type clustering and facilitate visualizations. Methods for preprocessing, clustering, and mini-batch updates and other adaptations for larger datasets are also included. For further details on the methods used in this package please see Ellis, Roy, and Datta (2023) <doi:10.3389/fgene.2023.1179439>.

License GPL-3 Encoding UTF-8 RoxygenNote 7.2.3

Imports Rcpp (>= 1.0.9), igraph, umap, kknn, ggplot2, methods, stats, rlang, Matrix, data.table,parallel,pbapply,cluster,MASS,clValid,factoextra, foreach,irlba,scran,Rdpack

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LazyData true

LazyDataCompression xz

VignetteBuilder knitr

RdMacros Rdpack

**NeedsCompilation** yes

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# Description

Add any type of metadata to an object of class SickleJr. Metadata are stored in list format under the name specified in metadataname of each node in slot metadata.

# Usage

AddSickleJrMetadata(SickleJr, metadata, metadataname)

# Arguments

SickleJr	An object of class SickleJr holding at least one count matrix of omics data
metadata	Metadata to add to the SickleJr object; there are no restrictions on type
metadataname	A string input that indicates the desired name for the added metadata.

#### Value

An object of class SickleJr with added metadata

# **Examples**

```
SimSickleJrSmall<-AddSickleJrMetadata(SimSickleJrSmall,
SimData$cell_type, "cell_types_full_data")
```

BuildKNNGraphLaplacians

Build KNN graphs and generate their graph Laplacians

# **Description**

Generate graph Laplacians for graph regularization of jrSiCKLSNMF from the list of raw count matrices using a KNN graph. Note that this is only appropriate when the number of features is considerably greater than the number of cells in all modalities. If this is not the case, please use <code>BuildSNNGraphLaplacians</code> or any other method of graph construction that does not rely on the Euclidean distance and store the graph Laplacians for each modality as a list in the <code>graph.laplacian.list</code> slot.

## Usage

```
BuildKNNGraphLaplacians(SickleJr, k = 20)
```

# **Arguments**

SickleJr An object of class SickleJr

k Number of KNN neighbors to calculate; by default, is set to 20

#### Value

An object of class SickleJr with a list of graph Laplacians in sparse matrix format added to the graph.laplacian.list slot

#### References

Lun AT, McCarthy DJ, Marioni JC (2016). "A step-by-step workflow for low-level analysis of single-cell RNA-seq data with Bioconductor." *F1000Research*, **5**. ISSN 1759796X, doi:10.12688/F1000RESEARCH.9501.2/DOI, https://pubmed.ncbi.nlm.nih.gov/27909575/.

# **Examples**

SimSickleJrSmall<-BuildKNNGraphLaplacians(SimSickleJrSmall)</pre>

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BuildSNNGraphLaplacians

Build SNN graphs and generate their graph Laplacians

# **Description**

Generate graph Laplacians for graph regularization of jrSiCKLSNMF from the list of raw count matrices using an SNN graph. SNN is more robust to situations where the number of cells outnumbers the number of features. Uses the scran package's BuildSNNGraph function (Lun et al. 2016)

# Usage

BuildSNNGraphLaplacians(SickleJr, k = 20)

## **Arguments**

SickleJr An object of class SickleJr

k Number of KNN neighbors to calculate SNN graph; defaults to 20

#### Value

An object of class SickleJr with list of graph Laplacians in sparse matrix format added to its graph.laplacian.list slot

#### References

Lun AT, McCarthy DJ, Marioni JC (2016). "A step-by-step workflow for low-level analysis of single-cell RNA-seq data with Bioconductor." *F1000Research*, **5**. ISSN 1759796X, doi:10.12688/F1000RESEARCH.9501.2/DOI, https://pubmed.ncbi.nlm.nih.gov/27909575/.

# Examples

SimSickleJrSmall<-BuildSNNGraphLaplacians(SimSickleJrSmall)</pre>

CalculateUMAPSickleJr Calculate the UMAP for an object of class SickleJr

# Description

Perform UMAP on the **H** matrix alone (default) or within a modality by using UMAP on the  $W^vH$  corresponding to modality v.

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### Usage

```
CalculateUMAPSickleJr(
   SickleJr,
   umap.settings = umap::umap.defaults,
   modality = NULL
)
```

## **Arguments**

SickleJr An object of class SickleJr

umap.settings Optional settings for the umap; defaults to umap.defaults

modality A number corresponding to the desired modality; if set, will perform UMAP on

 $\mathbf{W}^{\text{modality}}$  on  $\mathbf{H}$  alone; not recommended for datasets of more than 1000 cells

#### Value

An object of class SickleJr with UMAP output based on the **H** matrix alone or within a modality added to its umap slot

#### References

McInnes L, Healy J, Saul N, Großberger L (2018). "UMAP: Uniform Manifold Approximation and Projection." *Journal of Open Source Software*, **3**(29), 861. ISSN 2475-9066, doi:10.21105/JOSS.00861, https://joss.theoj.org/papers/10.21105/joss.00861.

# **Examples**

```
#Since this example has only 10 observations,
#we need to modify the number of neighbors from the default of 15
umap.settings=umap::umap.defaults
umap.settings$n_neighbors=2
SimSickleJrSmall<-CalculateUMAPSickleJr(SimSickleJrSmall,
umap.settings=umap.settings)
SimSickleJrSmall<-CalculateUMAPSickleJr(SimSickleJrSmall,
umap.settings=umap.settings,modality=1)
SimSickleJrSmall<-CalculateUMAPSickleJr(SimSickleJrSmall,
umap.settings=umap.settings,modality=2)
```

ClusterSickleJr

Cluster the **H** matrix

## **Description**

Perform k-means, spectral clustering, clustering based off of the index of the maximum latent factor, or Louvain community detection on the **H** matrix. Defaults to k-means.

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#### Usage

```
ClusterSickleJr(
   SickleJr,
   numclusts,
   method = "kmeans",
   neighbors = 20,
   louvainres = 0.3
)
```

#### **Arguments**

SickleJr An object of class SickleJr

numclusts Number of clusters; can be NULL when method is "max" or "louvain"

method String holding the clustering method: can choose "kmeans" for k-means cluster-

ing, "spectral" for spectral clustering, "louvain" for Louvain community detection or "max" for clustering based on the maximum row value; note that "max"

is only appropriate for jrSiCKLSNMF with L2 norm row regularization

neighbors Number indicating the number of neighbors to use to generate the graphs for

spectral clustering and Louvain community detection: both of these methods require the construction of a graph first (here we use KNN); defaults to 20 and

unused when the clustering method equal to "kmeans" or "max"

louvainres Numeric containing the resolution parameter for Louvain community detection;

unused for all other methods

#### Value

SickleJr- an object of class SickleJr with added clustering information

#### References

Blondel VD, Guillaume JL, Lambiotte R, Lefebvre E (2008). "Fast unfolding of communities in large networks." *Journal of Statistical Mechanics: Theory and Experiment*, **2008**(10), P10008. ISSN 1742-5468, doi:10.1088/17425468/2008/10/P10008, 0803.0476, https://iopscience.iop.org/article/10.1088/1742-5468/2008/10/P10008.

Lun AT, McCarthy DJ, Marioni JC (2016). "A step-by-step workflow for low-level analysis of single-cell RNA-seq data with Bioconductor." *F1000Research*, **5**. ISSN 1759796X, doi:10.12688/F1000RESEARCH.9501.2/DOI, https://pubmed.ncbi.nlm.nih.gov/27909575/.

Ng AY, Jordan MI, Weiss Y (2001). "On spectral clustering: analysis and an algorithm." In *Proceedings of the 14th International Conference on Neural Information Processing Systems: Natural and Synthetic*, NIPS'01, 849–856.

Schliep K, Hechenbichler K (2016). "kknn: Weighted k-Nearest Neighbors." https://cran.r-project.org/package=kknn.

Xu W, Liu X, Gong Y (2003). "Document clustering based on non-negative matrix factorization." SIGIR '03: Proceedings of the 26th annual international ACM SIGIR conference on research and development in information retrieval, 267–273. doi:10.1145/860435.860485, https://dl.acm.org/doi/10.1145/860435.860485.

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## **Examples**

```
SimSickleJrSmall<-ClusterSickleJr(SimSickleJrSmall,3)
SimSickleJrSmall<-ClusterSickleJr(SimSickleJrSmall,method="louvain",neighbors=5)
SimSickleJrSmall<-ClusterSickleJr(SimSickleJrSmall,method="spectral",neighbors=5,numclusts=3)
#DO NOT DO THIS FOR REAL DATA; this is just to illustrate max clustering
SimSickleJrSmall<-SetLambdasandRowReg(SimSickleJrSmall,rowReg="L2Norm")
SimSickleJrSmall<-ClusterSickleJr(SimSickleJrSmall,method="max")
```

CreateSickleJr

Create an object of class SickleJr

# Description

Using a list of sparse count matrices, create an object of class SickleJr and specify the names of these count matrices.

## Usage

```
CreateSickleJr(count.matrices, names = NULL)
```

# Arguments

count.matrices A list of quality-controlled count matrices with pre-filtered features where each modality corresponds to each matrix in the list

names

Optional parameter with names for the count matrices in vector format

## Value

An object of class SickleJr with sparse count matrices added to the count.matrices slot

## **Examples**

ExampleSickleJr<-CreateSickleJr(SimData\$Xmatrices)</pre>

DetermineClusters

Perform clustering diagnostics

## Description

A wrapper for the clValid and fviz\_nbclust functions to perform clustering diagnostics

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### Usage

```
DetermineClusters(
   SickleJr,
   numclusts = 2:20,
   clusteringmethod = "kmeans",
   diagnosticmethods = c("wss", "silhouette", "gap_stat"),
   clValidvalidation = "internal",
   createDiagnosticplots = TRUE,
   runclValidDiagnostics = TRUE,
   printPlots = TRUE,
   printclValid = TRUE,
   subset = FALSE,
   subsetsize = 1000,
   seed = NULL
)
```

### **Arguments**

SickleJr An object of class SickleJr

numclusts A vector of integers indicating the number of clusters to test

clusteringmethod

String holding the clustering method: defaults to k-means; since the other methods are not implemented in jrSiCKLSNMF, it is recommended to use k-means.

diagnosticmethods

Vector of strings indicating which methods to plot. Defaults to all three of the available: wss, silhouette, and gap\_stat

clValidvalidation

String containing validation method to use for clValid. Defaults to internal.

createDiagnosticplots

Boolean indicating whether to create diagnostic plots for cluster size

runclValidDiagnostics

Boolean indicating whether to calculate the diagnostics from clValid

printPlots Boolean indicating whether to print the diagnostic plots

printclValid Boolean indicating whether to print the diagnostic results from clValid

subset Boolean indicating whether to calculate the diagnostics on a subset of the data

rather than on the whole dataset.

subsetsize Numeric value indicating size of the subset

seed Numeric value holding the random seed

## Value

An object of class SickleJr with cluster diagnostics added to its clusterdiagnostics slot

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#### References

Brock G, Pihur V, Datta S, Datta S (2008). "clValid: An R Package for Cluster Validation." *Journal of Statistical Software*, **25**(4), 1–22. https://www.jstatsoft.org/v25/i04/.

Kassambara A, Mundt F (2020). factoextra: Extract and Visualize the Results of Multivariate Data Analyses. R package version 1.0.7, https://CRAN.R-project.org/package=factoextra.

### **Examples**

#Since these data are too small, the clValid diagnostics do not run #properly. See the vignette for an example with the clValid diagnostics SimSickleJrSmall<-DetermineClusters(SimSickleJrSmall,numclusts=2:5,runclValidDiagnostics=FALSE)

DetermineDFromIRLBA

Create elbow plots of the singular values derived from IRLBA to determine D for large datasets

# **Description**

This generates v+1 plots, where v is the number of data modalities, of the approximate singular values generated by IRLBA. There is one plot for each modality and then a final plot that concatenates all of the modalities together. Choose the largest elbow value among the three plots.

## Usage

DetermineDFromIRLBA(SickleJr, d = 50)

#### **Arguments**

SickleJr An object of class SickleJr

d Number of desired factors; it is important to select a number that allows you to

see a clear elbow: defaults to 50.

#### Value

An object of class SickleJr with plots for IRLBA diagnostics added to its plots slot

## References

Baglama J, Reichel L (2005). "Augmented Implicitly Restarted Lanczos Bidiagonalization Methods." *SIAM Journal on Scientific Computing*, **27**(1), 19–42. ISSN 10648275, doi:10.1137/04060593X.

## **Examples**

SimSickleJrSmall<-DetermineDFromIRLBA(SimSickleJrSmall,d=5)</pre>

GenerateWmatricesandHmatrix

Initialize the W matrices in each modality and the shared H matrix

## **Description**

Create the  $\mathbf{W}^v$  matrices and  $\mathbf{H}$  matrix via non-negative double singular value decomposition (NNDSVD) (Boutsidis and Gallopoulus 2008; Gaujoux and Seoighe 2010) or randomization. For randomization, the algorithm runs for 10 rounds for the desired number of random initializations and picks the  $\mathbf{W}^v$  matrices and  $\mathbf{H}$  matrix with the lowest achieved loss.

### Usage

```
GenerateWmatricesandHmatrix(
    SickleJr,
    d = 10,
    random = FALSE,
    numberReps = 100,
    seed = 5,
    minibatch = FALSE,
    batchsize = -1,
    random_W_updates = FALSE,
    subsample = 1:dim(SickleJr@count.matrices[[1]])[2],
    usesvd = FALSE
)
```

# Arguments

d Number of latent factors to use: defaults to 10

random Boolean indicating whether to use random initialization (TRUE) or NNDSVD

(FALSE): default is NNDSVD

numberReps Number of random initializations to use: default is 5

Random seed for reproducibility of random initializations
minibatch Indicates whether or not to use the mini-batch algorithm

batchsize Size of batches for mini-batch NMF

random\_W\_updates

Indicates whether to only update each  $\mathbf{W}^v$  once per round of  $\mathbf{H}$  updates; only

appropriate for mini-batch algorithms

subsample A vector of values to use for subsampling; only appropriate when determining

proper values for d.

usesvd Indicates whether to use R's singular value decomposition function svd (TRUE)

or irlba (FALSE), default is FALSE; use irlba for larger datasets to increase per-

formance

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#### Value

SickleJr An object of class SickleJr with the  $\mathbf{W}^v$  matrices and  $\mathbf{H}$  matrix added.

#### References

Boutsidis C, Gallopoulos E (2008). "SVD based initialization: A head start for nonnegative matrix factorization." *Pattern Recognition*, **41**(4), 1350–1362. ISSN 00313203, doi:10.1016/J.PATCOG.2007.09.010.

Gaujoux R, Seoighe C (2010). "A flexible R package for nonnegative matrix factorization." *BMC Bioinformatics*, **11**(1), 1–9. ISSN 14712105, doi:10.1186/1471210511367/FIGURES/5, https://bmcbioinformatics.biomedcentral.com/articles/10.1186/1471-2105-11-367.

## **Examples**

```
SimSickleJrSmall<-SetLambdasandRowReg(SimSickleJrSmall,
lambdaWlist=list(10,50),lambdaH=500,rowReg="None")
SimSickleJrSmall<-GenerateWmatricesandHmatrix(SimSickleJrSmall,d=5,usesvd=TRUE)</pre>
```

jrSiCKLSNMF

Run jrSiCKLSNMF outside of a SickleJr object

# **Description**

Perform joint non-negative matrix factorization (NMF) across multiple modalities of single-cell data. To measure the discrepancy between two distributions, one can use the Poisson Kullback-Leibler divergence (diffFunc ="klp") or the Frobenius norm (diffFunc ="fr"). It is also possible to set graph regularization constraints on  $\mathbf{W}^v$  and either a sparsity constraint on  $\mathbf{H}$  or an L2 norm constraint on the rows of  $\mathbf{H}$ . This function passes by reference and updates the variables WL and H and does not require data to be in an object of type SickleJr. RunjrSiCKLSNMF calls this function. If your data are in an object of class SickleJr, please use the RunjrSiCKLSNMF function instead.

# Usage

```
jrSiCKLSNMF(
  datamatL,
  WL,
  H,
  AdjL,
  DL,
  lambdaWL,
  lambdaH,
  initsamp,
  suppress_warnings,
  diffFunc = "klp",
  Hconstraint = "None",
  differr = 1e-06,
```

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```
rounds = 1000L,
display_progress = TRUE,
minibatch = TRUE,
batchsize = 100L,
random_W_updates = TRUE,
minrounds = 100L
)
```

### **Arguments**

datamatL An R list where each entry contains a normalized, sparse  $\mathbf{X}^v$  matrix correspond-

ing to single-cell modality v

WL An R list containing initialized values of the  $\mathbf{W}^v$  within each modality v

H A matrix containing initialized values for the shared **H** 

Adjl An R list containing all of the adjacency matrices for the feature-feature simi-

larity graphs in sparse format; note that D - Adj is the graph Laplacian

DL An R list containing all of the degree matrices of the feature-feature similarity

graphs; note that D - Adj is the graph Laplacian

lambdaWL A list of the  $\lambda_{\mathbf{W}^v}$  corresponding to modality v lambdaH A double containing the desired value for  $\lambda_{\mathbf{H}}$ 

initsamp A vector of randomly selected rows of H on which to run the objective function

suppress\_warnings

A Boolean that indicates whether warnings should be suppressed

diffFunc A string indicating what type of divergence to use; set to the Poisson Kullback-

Leibler divergence ("klp") by default, but the Frobenius norm ("fr") is also

available

Hoonstraint A string that indicates whether you want to set an L2 norm constraint on the

rows of H. Enter 'None' for no constraints or 'L2Norm' to set the L2 norm of

each row of H to 1

differr A double containing the tolerance

rounds A double containing the number of rounds

display\_progress

A Boolean indicating whether to display the progress bar

minibatch A Boolean indicating whether to use the mini-batch version of the algorithm

batchsize Number of batches for mini-batch updates

random\_W\_updates

A Boolean indicating whether to update  $\mathbf{W}^v$  once per epoch (TRUE) or after

every update of the subset of H (FALSE) for the mini-batch algorithm.

minrounds A minimum number of rounds for the algorithm to run: most useful for the

mini-batch algorithm

## Value

An R list containing values for the objective function.

#### References

Cai D, He X, Wu X, Han J (2008). "Non-negative matrix factorization on manifold." *Proceedings - IEEE International Conference on Data Mining, ICDM*, 63–72. ISSN 15504786, doi:10.1109/ICDM.2008.57.

Greene D, Cunningham P (2009). "A matrix factorization approach for integrating multiple data views." *Lecture Notes in Computer Science (including subseries Lecture Notes in Artificial Intelligence and Lecture Notes in Bioinformatics*), **5781 LNAI**(PART 1), 423–438. ISSN 03029743, doi:10.1007/9783642041808\_45/COVER, https://link.springer.com/chapter/10.1007/978-3-642-04180-8\_45.

Eddelbuettel D, François R (2011). "Rcpp: Seamless R and C++ Integration." *Journal of Statistical Software*, **40**(8), 1–18. doi:10.18637/jss.v040.i08.

Eddelbuettel D, Sanderson C (2014). "RcppArmadillo: Accelerating R with high-performance C++ linear algebra." *Computational Statistics and Data Analysis*, **71**, 1054–1063. http://dx.doi.org/10.1016/j.csda.2013.02.005.

Elyanow R, Dumitrascu B, Engelhardt BE, Raphael BJ (2020). "NetNMF-SC: Leveraging genegene interactions for imputation and dimensionality reduction in single-cell expression analysis." *Genome Research*, **30**(2), 195–204. ISSN 15495469, doi:10.1101/gr.251603.119, https://pubmed.ncbi.nlm.nih.gov/31992614/.

Le Roux J, Weniger F, Hershey JR (2015). "Sparse NMF: half-baked or well done?" Mitsubishi Electric Research Laboratories (MERL), Cambridge.

Lee DD, Seung HS (2000). "Algorithms for Non-negative Matrix Factorization." In Leen T, Dietterich T, Tresp V (eds.), *Advances in Neural Information Processing Systems*, volume 13. https://proceedings.neurips.cc/paper/2000/file/f9d1152547c0bde01830b7e8bd60024c-Paper.pdf.

Liu J, Wang C, Gao J, Han J (2013). "Multi-view clustering via joint nonnegative matrix factorization." *Proceedings of the 2013 SIAM International Conference on Data Mining*, 252–260. doi:10.1137/1.9781611972832.28.

MinibatchDiagnosticPlot

Plot a diagnostic plot for the mini-batch algorithm

# **Description**

To ensure sufficient convergence of the loss for jrSiCKLSNMF with mini-batch updates, we plot the loss vs the number of iterations for the mini-batch algorithm. After a certain number of iterations, the loss should appear to oscillate around a value. Before continuing with downstream analyses, please ensure that the loss exhibits this sort of behavior. For the mini-batch algorithm, it is not possible to use the convergence criteria used for the batch version of the algorithm.

## Usage

MinibatchDiagnosticPlot(SickleJr)

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#### **Arguments**

SickleJr An object of class SickleJr

#### Value

An object of class SickleJr with mini-batch diagnostic plots added to the plots slot.

#### **Examples**

SimSickleJrSmall<-MinibatchDiagnosticPlot(SimSickleJrSmall)</pre>

NormalizeCountMatrices

Normalize the count matrices and set whether to use the Poisson KL divergence or the Frobenius norm

# **Description**

Normalize the count data within each modality. The default normalization, which should be used when using the KL divergence, is median library size normalization (Zheng et al. 2017; Elyanow et al. 2020). To perform median library size normalization, each count within a cell is divided by its library size (i.e. the counts within a column are divided by the column sum). Then, all values are multiplied by the median library size (i.e. the median column sum). To use the Frobenius norm, set frob=TRUE to  $\log(x+1)$  normalize your count data and use a desired scaleFactor. You may also use a different form of normalization and store these results in the normalized.count.matrices slot.

### Usage

NormalizeCountMatrices(SickleJr, diffFunc = "klp", scaleFactor = NULL)

## **Arguments**

SickleJr An object of class SickleJr

diffFunc A string set to "klp" when using the Poisson KL divergence or to "fr" when using

the Frobenius norm: default is KL divergence; this also determines the type of

normalization

scaleFactor A single numeric value (if using the same scale factor for each modality) or a list

of numeric values to use (if using different scale factors in different modalities)

as scale factors for the log(x + 1) normalization when diffFunc="fr"

## Value

An object of class SickleJr with a list of sparse, normalized data matrices added to its normalized.count.matrices slot

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#### References

Elyanow R, Dumitrascu B, Engelhardt BE, Raphael BJ (2020). "NetNMF-SC: Leveraging genegene interactions for imputation and dimensionality reduction in single-cell expression analysis." *Genome Research*, **30**(2), 195–204. ISSN 15495469, doi:10.1101/gr.251603.119, https://pubmed.ncbi.nlm.nih.gov/31992614/.

Zheng GX, Terry JM, Belgrader P, Ryvkin P, Bent ZW, Wilson R, Ziraldo SB, Wheeler TD, McDermott GP, Zhu J, Gregory MT, Shuga J, Montesclaros L, Underwood JG, Masquelier DA, Nishimura SY, Schnall-Levin M, Wyatt PW, Hindson CM, Bharadwaj R, Wong A, Ness KD, Beppu LW, Deeg HJ, McFarland C, Loeb KR, Valente WJ, Ericson NG, Stevens EA, Radich JP, Mikkelsen TS, Hindson BJ, Bielas JH (2017). "Massively parallel digital transcriptional profiling of single cells." *Nature Communications*, **8**. ISSN 20411723, doi:10.1038/NCOMMS14049, https://pubmed.ncbi.nlm.nih.gov/28091601/.

## **Examples**

```
SimSickleJrSmall<-NormalizeCountMatrices(SimSickleJrSmall)
SimSickleJrSmall<-NormalizeCountMatrices(SimSickleJrSmall, diffFunc="fr",scaleFactor=1e6)
```

PlotLossvsLatentFactors

Create plots to help determine the number of latent factors

#### Description

Generate plots of the lowest achieved loss after a pre-specified number of iterations (default 100) for each latent factor (defaults to 2:20). This operates similarly to a scree plot, so please select a number of latent factors that corresponds to the elbow of the plot. This method is not appropriate for larger sets of data (more than 1000 cells)

## Usage

```
PlotLossvsLatentFactors(
  SickleJr,
  rounds = 100,
  differr = 1e-04,
  d_{vector} = c(2:20),
  parallel = FALSE,
  nCores = detectCores() - 1,
  subsampsize = NULL,
 minibatch = FALSE,
  random = FALSE,
  random_W_updates = FALSE,
  seed = NULL,
  batchsize = -1,
  lossonsubset = FALSE,
  losssubsetsize = dim(SickleJr@count.matrices[[1]])[2]
)
```

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#### **Arguments**

SickleJr An object of class SickleJr

rounds Number of rounds to use: defaults to 100; this process is time consuming, so a

high number of rounds is not recommended

differr Tolerance for the percentage update in the likelihood: for these plots, this de-

faults to 1e-4

d\_vector Vector of d values to test: default is 2 to 20

parallel Boolean indicating whether to use parallel computation

nCores Number of desired cores; defaults to the number of cores of the current machine

minus 1 for convenience

subsampsize Size of the random subsample (defaults to NULL, which means all cells will be

used); using a random subsample decreases computation time but sacrifices ac-

curacy

minibatch Boolean indicating whether to use the mini-batch algorithm: default is FALSE

random Boolean indicating whether to use random initialization to generate the  $\mathbf{W}^v$ 

matrices and H matrix: defaults to FALSE

random\_W\_updates

Boolean parameter for mini-batch algorithm; if TRUE, only updates  $\mathbf{W}^v$  once

per epoch on the penultimate subset of  $\mathbf{H}$ ; otherwise updates  $\mathbf{W}^v$  after every

update of the subset of H

seed Number representing the random seed

batchsize Desired batch size; do not use if using a subsample

lossonsubset Boolean indicating whether to calculate the loss on a subset rather than the full

dataset; speeds up computation for larger datasets

losssubsetsize Number of cells to use for the loss subset; default is total number of cells

#### Value

An object of class SickleJr with a list of initialized  $\mathbf{W}^v$  matrices and an  $\mathbf{H}$  matrix for each latent factor  $d \in \{1,...,D\}$  added to the WHinitials slot, a data frame holding relevant values for plotting the elbow plot added to the latent.factor.elbow.values slot, diagnostic plots of the loss vs. the number of latent factors added to the plots slot, and the cell indices used to calculate the loss on the subsample added to the lossCalcSubSample slot

#### References

Wickham H (2016). *ggplot2: Elegant Graphics for Data Analysis*, 2 edition. Springer International Publishing, Cham, Switzerland. ISBN 978-3-319-24277-4, doi:10.1007/9783319242774, https://ggplot2.tidyverse.org/.

# **Examples**

```
\label{lem:simSickleJrSmall@latent.factor.elbow.values <-data.frame(NULL,NULL)} SimSickleJrSmall <-PlotLossvsLatentFactors(SimSickleJrSmall,d_vector=c(2:5), rounds=5,parallel=FALSE)
```

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```
#Next, we commute 2 of these in parallel.
## Not run:
SimSickleJrSmall<-PlotLossvsLatentFactors(SimSickleJrSmall,
d_vector=c(6:7),rounds=5,parallel=TRUE,nCores=2)
## End(Not run)</pre>
```

PlotSickleJrUMAP

Generate UMAP plots for an object of class SickleJr

# Description

Plot the first and second dimensions of a UMAP dimension reduction and color either by clustering results or metadata.

# Usage

```
PlotSickleJrUMAP(
   SickleJr,
   umap.modality = "H",
   cluster = "kmeans",
   title = "",
   colorbymetadata = NULL,
   legendname = NULL)
```

## **Arguments**

SickleJr An object of class SickleJr

umap.modality String corresponding to the name of the UMAP of interest: defaults to "H" cluster String input that indicates which cluster to color by: defaults to "kmeans"

title String input for optional ggplot2{ggplot} plot title

colorbymetadata

Name of metadata column if coloring by metadata

legendname String input that to allow specification of a different legend name

#### Value

An object of class SickleJr with plots added to the plots slot

## References

Wickham H (2016). *ggplot2: Elegant Graphics for Data Analysis*, 2 edition. Springer International Publishing, Cham, Switzerland. ISBN 978-3-319-24277-4, doi:10.1007/9783319242774, https://ggplot2.tidyverse.org/.

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## **Examples**

```
SimSickleJrSmall<-PlotSickleJrUMAP(SimSickleJrSmall,
title="K-Means Example")
SimSickleJrSmall<-PlotSickleJrUMAP(SimSickleJrSmall,umap.modality=1)</pre>
```

RunjrSiCKLSNMF

Run jrSiCKLSNMF on an object of class SickleJr

# Description

Wrapper function to run jrSiCKLSNMF on an object of class SickleJr. Performs jrSiCKLSNMF on the given SickleJr

# Usage

```
RunjrSiCKLSNMF(
   SickleJr,
   rounds = 30000,
   differr = 1e-06,
   display_progress = TRUE,
   lossonsubset = FALSE,
   losssubsetsize = dim(SickleJr@H)[1],
   minibatch = FALSE,
   batchsize = 1000,
   random_W_updates = FALSE,
   seed = NULL,
   minrounds = 200,
   suppress_warnings = FALSE,
   subsample = 1:dim(SickleJr@normalized.count.matrices[[1]])[2]
)
```

# Arguments

SickleJr An object of class SickleJr

rounds Number of rounds: defaults to 2000

differr Tolerance for percentage change in loss between updates: defaults to 1e-6

display\_progress

Boolean indicating whether to display the progress bar for jrSiCKLSNMF

lossonsubset Boolean indicating whether to use a subset to calculate the loss function rather

than the whole dataset

losssubsetsize Size of the subset of data on which to calculate the loss minibatch

Boolean indicating whether to use mini-batch updates

batchsize Size of batch for mini-batch updates

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random\_W\_updates

Boolean indicating whether or not to use random\_W\_updates updates (i.e. only

update  $\mathbf{W}^v$  once per mini-batch epoch)

seed Number specifying desired random seed

minrounds Minimum number of rounds: most helpful for the mini-batch algorithm

suppress\_warnings

Boolean indicating whether to suppress warnings

subsample A numeric used primarily when finding an appropriate number of latent factors:

defaults to total number of cells

#### Value

An object of class SickleJr with updated  $\mathbf{W}^v$  matrices, updated  $\mathbf{H}$  matrix, and a vector of values for the loss function added to the Wlist, H, and loss slots, respectively

#### References

Cai D, He X, Wu X, Han J (2008). "Non-negative matrix factorization on manifold." *Proceedings - IEEE International Conference on Data Mining, ICDM*, 63–72. ISSN 15504786, doi:10.1109/ICDM.2008.57.

Greene D, Cunningham P (2009). "A matrix factorization approach for integrating multiple data views." Lecture Notes in Computer Science (including subseries Lecture Notes in Artificial Intelligence and Lecture Notes in Bioinformatics), **5781 LNAI**(PART 1), 423–438. ISSN 03029743, doi:10.1007/9783642041808\_45/COVER, https://link.springer.com/chapter/10.1007/978-3-642-04180-8\_45.

Eddelbuettel D, François R (2011). "Rcpp: Seamless R and C++ Integration." *Journal of Statistical Software*, **40**(8), 1–18. doi:10.18637/jss.v040.i08.

Eddelbuettel D, Sanderson C (2014). "RcppArmadillo: Accelerating R with high-performance C++ linear algebra." *Computational Statistics and Data Analysis*, **71**, 1054–1063. http://dx.doi.org/10.1016/j.csda.2013.02.005.

Elyanow R, Dumitrascu B, Engelhardt BE, Raphael BJ (2020). "NetNMF-SC: Leveraging genegene interactions for imputation and dimensionality reduction in single-cell expression analysis." *Genome Research*, **30**(2), 195–204. ISSN 15495469, doi:10.1101/gr.251603.119, https://pubmed.ncbi.nlm.nih.gov/31992614/.

Le Roux J, Weniger F, Hershey JR (2015). "Sparse NMF: half-baked or well done?" Mitsubishi Electric Research Laboratories (MERL), Cambridge.

Lee DD, Seung HS (2000). "Algorithms for Non-negative Matrix Factorization." In Leen T, Dietterich T, Tresp V (eds.), *Advances in Neural Information Processing Systems*, volume 13. https://proceedings.neurips.cc/paper/2000/file/f9d1152547c0bde01830b7e8bd60024c-Paper.pdf.

Liu J, Wang C, Gao J, Han J (2013). "Multi-view clustering via joint nonnegative matrix factorization." *Proceedings of the 2013 SIAM International Conference on Data Mining*, 252–260. doi:10.1137/1.9781611972832.28.

#### **Examples**

SimSickleJrSmall<-RunjrSiCKLSNMF(SimSickleJrSmall,rounds=5)</pre>

 $\begin{tabular}{ll} Set Lambda sand RowReg & Set lambda values and type of row regularization for an object of class \\ Sickle Jr & \\ \end{tabular}$ 

# **Description**

Provide the values for the graph regularization  $\lambda_{\mathbf{W}^{v}}$  for each modality as a list, provide the value for the sparsity constraint  $\lambda_{\mathbf{H}}$ , and select whether to use L2 norm regularization.

# Usage

```
SetLambdasandRowReg(
   SickleJr,
   lambdaWlist = list(10, 50),
   lambdaH = 500,
   rowReg = "None"
)
```

# **Arguments**

SickleJr

An object of class SickleJr

A list of graph regularization constraints for the W<sup>v</sup> matrices: defaults to 2 modalities with the RNA modality constraint equal to 10 and the ATAC modality constraint equal to 50

A numeric holding the sparsity constraint on H: defaults to 500.

A string that is equal to "None" for no constraints on the rows of H and "L2Norm"

A string that is equal to "None" for no constraints on the rows of **H** and "L2Norm" to set the L2 norms of the rows of **H** to be equal to 1: defaults to "None"

### Value

An object of class SickleJr with the lambda hyperparameter values added to its lambdaWlist and lambdaH slots and with the row regularization value added to its rowRegularization slot

### **Examples**

```
SimSickleJrSmall<-SetLambdasandRowReg(SimSickleJrSmall,
lambdaWlist=list(10,50),lambdaH=500,rowReg="None")
SimSickleJrSmall<-SetLambdasandRowReg(SimSickleJrSmall,
lambdaWlist=list(3,15),lambdaH=0,rowReg="L2Norm")
```

SetWandHfromWHinitials 21

SetWandHfromWHinitials

Set W matrices and H matrix from pre-calculated values

# **Description**

Use values calculated in the step to determine number of latent factors in the initial steps for the jrSiCKLSNMF algorithm. If only a subset was calculated, this produces an error. In this case, please use GenerateWmatricesandHmatrix to generate new  $\mathbf{W}^v$  matrices and a new  $\mathbf{H}$  matrix.

## Usage

```
SetWandHfromWHinitials(SickleJr, d)
```

# **Arguments**

SickleJr An object of class SickleJr

d The number of desired latent factors

### Value

An object of class SickleJr with the Wlist slot and the H slot filled from pre-calculated values.

### **Examples**

SimSickleJrSmall<-SetWandHfromWHinitials(SimSickleJrSmall,d=5)

SickleJr-class

The SickleJr class

# Description

Defines the SickleJr class for use with jrSiCKLSNMF. This object contains all of the information required for analysis using jrSiCKLSNMF. This includes count matrices, normalized matrices, graph Laplacians, hyperparameters, diagnostic plots, and plots of cell clusters.

## Value

An object of class SickleJr

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#### Slots

count.matrices A list containing all of the quality controlled count matrices. Note that these count matrices should not use all features and should only include features that appear in at a minimum 10 cells.

normalized.count.matrices A list that holds the normalized count matrices

graph.laplacian.list A list of the graph Laplacians to be used for graph regularization

rowRegularization A string that indicates the type of row regularization to use. Types include "None" and "L2Norm"

diffFunc A string that holds the name of the function used to measure the discrepancy between data matrix X and WH for each modality; can be "klp" for the Poisson Kullback-Leibler divergence or "fr" for the Frobenius norm

lambdaWlist A list of lambda values to use as the hyperparameters for the corresponding  $\mathbf{W}^v$  in the  $v^{\mathrm{th}}$  modality

lambdaH A numeric value corresponding to the hyperparameter of the sparsity constraint on H

Wlist A list of the generated  $\mathbf{W}^v$  matrices, one for each modality

H The shared H matrix

WHinitials A list that if, when using PlotLossvsLatentFactors, all of the cells are used to calculate the initial values, stores these initial generated matrices; can be used as initializations when running RunjrSiCKLSNMF to save time

lossCalcSubsample A vector that holds the cell indices on which PlotLossvsLatentFactors was calculated

latent.factor.elbow.values A data frame that holds the relevant information to plot the latent factor elbow plot

minibatch Indicator variable that states whether the algorithm should use mini-batch updates.

clusterdiagnostics List of the cluster diagnostic results for the SickleJr object. Includes diagnostic plots from fviz\_nbclust and and diagnostics from clValid

clusters List of results of different clustering methods performed on the SickleJr object

metadata List of metadata

loss Vector of the value for the loss function

umap List of different UMAP-based dimension reductions using umap

plots Holds various ggplot results for easy access of diagnostics and cluster visualizations

SimSickleJrSmall 23

## **Description**

A simulated dataset with  $\mathcal{U}(1,1.25)$  multiplicative noise for the scRNA-seq variability parameter in SPARSim for the simulated scRNA-seq data and with  $\mathcal{N}(-0.25,0.25)$  additive noise to the expression levels of the scATAC-seq data for data simulated via SimATAC. The simulated matrices are located in SimData\$Xmatrices and the identities for the cell types are contained in SimData\$cell\_type. This corresponds to the Xmatrix data found in both

XandLmatrices25/XandindividLKNNLmatrices1Sparsity5.RData and

XandBulkLmatrix25/XandBulkLKNNmatrices1Sparsity5.RData on our Github

ellisdoro/jrSiCKLSNMF\_Simulations

## Usage

data(SimData)

#### **Format**

A list made up of a two items. The first is list of 2 simulated sparse matrices and the second is a vector containing cell identities.

**Xmatrices** A list of 2 sparse matrices, each containing a different simulated omics modality measured on the same set of single cells: the first entry in the list corresponds to simulated scRNA-seq data and has 1000 genes and 300 cells; the second entry in the list corresponds to simulated scATAC-seq data and has 5910 peaks and 300 cells.

cell\_type A vector containing the cell-type identities of the simulated data

#### Source

jrSicKLSNMF Simulations

		_	
Cimc	`i old	しっていり	Small

A small Sickle Ir object containing a subset of data from the SimData data object. Contains the completed analysis from the 'Getting Started' vignette for a small subset of 10 cells with 150 genes and 700 peaks. The clusters derived from this dataset are not accurate; this dataset is intended for use with code examples.

# Description

A small SickleJr object containing a subset of data from the SimData data object. Contains the completed analysis from the 'Getting Started' vignette for a small subset of 10 cells with 150 genes and 700 peaks. The clusters derived from this dataset are not accurate; this dataset is intended for use with code examples.

## Usage

data(SimSickleJrSmall)

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#### **Format**

A SickleJr object containing a completed analysis using jrSiCKLSNMF

**count.matrices** Contains a list of 2 sparse matrices, each containing a different simulated omics modality measured on the same set of single cells

normalized.count.matrices The normalized versions of the count matrices contained in slot count.matrices

**graph.laplacian.list** A list of sparse matrices containing the graph Laplacians corresponding to the KNN feature-feature similarity graphs constructed for each omics modality

rowRegularization A string indicating the row regularization: here it is set to "None"

**diffFunc** A string specifying the function to measure the discrepancy between the normalized data and the fitted matrices: here, it is set to "klp" for the Poisson Kullback-Leibler divergence

lambdaWlist A list holding the graph regularization parameters: here, they are 10 and 50

lambdaH A numeric indicating the value for the sparsity parameter. Here it is equals 500

**Wlist** A list holding the fitted  $\mathbf{W}^v$  matrices

H A matrix holding H

WHinitials A list of initial values for  $\mathbf{W}^v$  and  $\mathbf{H}$ 

lossCalcSubsample A vector containing a subset on which to calculate the loss

**latent.factor.elbow.values** A data frame holding the loss and the number of latent factor that is used for diagnostic plots

minibatch A Boolean indicating whether or not to use the mini-batch algorithm: FALSE here

clusterdiagnostics Diagnostic plots and results

**clusters** A list holding the "kmeans" clustering results

metadata A list holding metadata; here this is just cell type information

loss A list holding a vector called "Loss"

umap A list holding various UMAP approximations

plots A list holding ggplots corresponding to different diagnostics and visualizations

#### Source

jrSicKLSNMF Simulations

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