Package 'DDD'

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```
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Description Implements maximum likelihood and bootstrap methods based on
      the diversity-dependent birth-death process to test whether
      speciation or extinction are diversity-dependent, under various
      models including various types of key innovations.
      See Etienne et al. 2012, Proc. Roy. Soc. B 279: 1300-1309,
      <DOI:10.1098/rspb.2011.1439>,
      Etienne & Haegeman 2012, Am. Nat. 180: E75-E89,
      <DOI:10.1086/667574>,
      Etienne et al. 2016. Meth. Ecol. Evol. 7: 1092-1099,
      <DOI:10.1111/2041-210X.12565> and
      Laudanno et al. 2021. Syst. Biol. 70: 389-407,
      <DOI:10.1093/sysbio/syaa048>.
      Also contains functions to simulate the diversity-dependent
      process.
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```

bd_loglik

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${\sf R}$ topics documented:

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Description

This function computes loglikelihood of a diversity-independent diversification model for a given set of branching times and parameter values.

Usage

```
bd_loglik(
  pars1,
  pars2,
  brts,
  missnumspec,
  methode = "odeint::runge_kutta_cash_karp54"
)
```

Arguments

pars1 Vector of parameters:

pars1[1] corresponds to lambda0 (speciation rate)

pars1[2] corresponds to mu0 (extinction rate)

pars1[3] corresponds to lambda1 (decline parameter in speciation rate) or K in diversity-dependence-like models

pars1[4] corresponds to mu1 (decline parameter in extinction rate)

pars2 Vector of model settings:

pars2[1] sets the model of time-dependence:

- pars2[1] == 0 no time dependence
- pars2[1] == 1 speciation and/or extinction rate is exponentially declining with time
- pars2[1] == 2 stepwise decline in speciation rate as in diversity-dependence without extinction
- pars2[1] == 3 decline in speciation rate following deterministic logistic equation for ddmodel = 1
- pars2[1] == 4 decline in speciation rate such that the expected number of species matches with that of ddmodel = 1 with the same mu

pars2[2] sets the conditioning:

- pars[2] == 0 conditioning on stem or crown age
- pars[2] == 1 conditioning on stem or crown age and non-extinction of the phylogeny
- pars[2] == 2 conditioning on stem or crown age and on the total number of extant taxa (including missing species)
- pars[2] == 3 conditioning on the total number of extant taxa (including missing species)

pars2[3] sets whether the likelihood is for the branching times (0) or the phylogeny (1)

pars2[4] sets whether the parameters and likelihood should be shown on screen (1) or not (0)

pars2[5] sets whether the first data point is stem age (1) or crown age (2)

A set of branching times of a phylogeny, all positive

brts

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missnumspec The number of species that are in the clade but missing in the phylogeny methode The method used to solve the master equation, default is 'odeint::runge_kutta_cash_karp54'.

Value

The loglikelihood

Author(s)

Rampal S. Etienne, Bart Haegeman & Cesar Martinez

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

See Also

bd_ML

Examples

```
bd_loglik(pars1 = c(0.5,0.1), pars2 = c(0,1,1,0,2), brts = 1:10, missnumspec = 0)
```

bd_ML

Maximization of the loglikelihood under the diversity-independent, possibly time-dependent diversification model

Description

This function computes the maximum likelihood estimates of the parameters of a diversity-independent diversification model for a given set of phylogenetic branching times. It also outputs the corresponding loglikelihood that can be used in model comparisons.

Usage

```
bd_ML(
    brts,
initparsopt = c(0.1, 0.05 * (tdmodel <= 1) + 10 * (length(brts) + missnumspec) *
        (tdmodel > 1)),
idparsopt = c(1, 2 + (tdmodel > 1)),
idparsfix = (1:4)[-idparsopt],
    parsfix = rep(0, 4)[idparsfix],
    missnumspec = 0,
    tdmodel = 0,
    cond = 1,
    btorph = 1,
```

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```
soc = 2,
tol = c(0.001, 1e-04, 1e-06),
maxiter = 1000 * round((1.25)^length(idparsopt)),
changeloglikifnoconv = FALSE,
optimmethod = "subplex",
num_cycles = 1,
methode = "odeint::runge_kutta_cash_karp54",
verbose = FALSE
)
```

Arguments

brts A set of branching times of a phylogeny, all positive

initparsopt The initial values of the parameters that must be optimized

idparsopt The ids of the parameters that must be optimized, e.g. 1:3 for intrinsic speciation

rate, extinction rate and carrying capacity. The ids are defined as follows:

id == 1 corresponds to lambda0 (speciation rate) id == 2 corresponds to mu0 (extinction rate)

id == 3 corresponds to lamda1 (parameter controlling decline in speciation rate

with time)

id == 4 corresponds to mu1 (parameter controlling decline in extinction rate

with time)

idparsfix The ids of the parameters that should not be optimized, e.g. c(1,3) if lambda0

and lambda1 should not be optimized, but only mu0 and mu1. In that case idparsopt must be c(2,4). The default is to fix all parameters not specified in

idparsopt.

parsfix The values of the parameters that should not be optimized

missnumspec The number of species that are in the clade but missing in the phylogeny

tdmodel Sets the model of time-dependence:

tdmodel == 0: constant speciation and extinction rates

tdmodel == 1 : speciation and/or extinction rate is exponentially declining with

time

tdmodel == 2 : stepwise decline in speciation rate as in diversity-dependence

without extinction

tdmodel == 3: decline in speciation rate following deterministic logistic equa-

tion for ddmodel = 1

tdmodel == 4: decline in speciation rate such that the expected number of

species matches with that of ddmodel = 1 with the same mu

cond Conditioning:

cond == 0: conditioning on stem or crown age

cond == 1 : conditioning on stem or crown age and non-extinction of the phy-

logeny

cond == 2 : conditioning on stem or crown age and on the total number of extant

taxa (including missing species)

cond == 3: conditioning on the total number of extant taxa (including missing

species)

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btorph Sets whether the likelihood is for the branching times (0) or the phylogeny (1)

soc Sets whether stem or crown age should be used (1 or 2) tol Sets the tolerances in the optimization. Consists of:

reltolx = relative tolerance of parameter values in optimization reltolf = relative tolerance of function value in optimization abstolx = absolute tolerance of parameter values in optimization

maxiter Sets the maximum number of iterations in the optimization

changeloglikifnoconv

if TRUE the loglik will be set to -Inf if ML does not converge

optimmethod Method used in optimization of the likelihood. Current default is 'subplex'.

Alternative is 'simplex' (default of previous versions)

num_cycles the number of cycles of opimization. If set at Inf, it will do as many cycles as

needed to meet the tolerance set for the target function.

methode The method used to solve the master equation under tdmodel = 4, default is

'odeint::runge_kutta_cash_karp54'.

verbose Show the parameters and loglikelihood for every call to the loglik function

Details

The output is a dataframe containing estimated parameters and maximum loglikelihood. The computed loglikelihood contains the factor $q! \ m! \ / (q + m)!$ where q is the number of species in the phylogeny and m is the number of missing species, as explained in the supplementary material to Etienne et al. 2012.

Value

A dataframe with the following elements:

lambda0gives the maximum likelihood estimate of lambda0mu0gives the maximum likelihood estimate of mu0lambda1gives the maximum likelihood estimate of lambda1mu1gives the maximum likelihood estimate of mu1

loglik gives the maximum loglikelihood

df gives the number of estimated parameters, i.e. degrees of feedom

conv gives a message on convergence of optimization; conv = 0 means convergence

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

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See Also

```
bd_loglik
```

Examples

```
cat("Estimating parameters for a set of branching times brts with the default settings:") brts = 1:20 bd_ML(brts = brts, cond = 1)
```

brts2phylo

Function to convert a set of branching times into a phylogeny with random topology This code is taken from the package TESS by Sebastian Hoehna, where the function is called tess.create.phylo

Description

Converting a set of branching times to a phylogeny

Usage

```
brts2phylo(times, root = FALSE, tip.label = NULL)
```

Arguments

times Set of branching times

root When root is FALSE, the largest branching time will be assumed to be the crown

age. When root is TRUE, it will be the stem age.

tip.label Tip labels. If set to NULL, the labels will be t1, t2, etc.

Value

phy A phylogeny of the phylo type

Author(s)

Rampal S. Etienne

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

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conv

Function to do convolution of two vectors

Description

Convolution of two vectors

Usage

```
conv(x, y)
```

Arguments

x first vectory second vector

Value

vector that is the convolution of x and y

Author(s)

Rampal S. Etienne

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

Examples

```
conv(1:10,1:10)
```

dd_KI_loglik

Loglikelihood for diversity-dependent diversification models with decoupling of a subclade from a main clade at time $t = t_d$

Description

This function computes loglikelihood of a diversity-dependent diversification model for a given set of branching times and parameter values where the diversity-dependent dynamics of a subclade decouple from the dynamics of the main clade at time t_d, potentially accompanied by a shift in parameters.

dd_KI_loglik

Usage

```
dd_KI_loglik(
  pars1,
  pars2,
  brtsM,
  brtsS,
  missnumspec,
  methode = "odeint::runge_kutta_cash_karp54"
)
```

Arguments

pars1 Vector of parameters:

pars1[1] corresponds to lambda_M (speciation rate) of the main clade

pars1[2] corresponds to mu_M (extinction rate) of the main clade

pars1[3] corresponds to K_M (clade-level carrying capacity) of the main clade

pars1[4] corresponds to lambda_S (speciation rate) of the subclade

pars1[5] corresponds to mu_S (extinction rate) of the subclade

pars1[6] corresponds to K_S (clade-level carrying capacity) of the subclade

pars1[7] corresponds to t_d (the time of decoupling)

pars2 Vector of model settings:

pars2[1] sets the maximum number of species for which a probability must be computed. This must be larger than 1 + missnumspec + length(brts).

pars2[2] sets the model of diversity-dependence:

- pars2[2] == 1 linear dependence in speciation rate with parameter K (= diversity where speciation = extinction)
- pars2[2] == 1.3 linear dependence in speciation rate with parameter K' (= diversity where speciation = 0)
- pars2[2] == 2 exponential dependence in speciation rate with parameter K (= diversity where speciation = extinction)
- pars2[2] == 2.1 variant of exponential dependence in speciation rate with offset at infinity
- pars2[2] == 2.2 1/n dependence in speciation rate
- pars2[2] == 2.3 exponential dependence in speciation rate with parameter x (= exponent)
- pars2[2] == 3 linear dependence in extinction rate
- pars2[2] == 4 exponential dependence in extinction rate
- pars2[2] == 4.1 variant of exponential dependence in extinction rate with offset at infinity
- pars2[2] == 4.2 1/n dependence in extinction rate

pars2[3] sets the conditioning:

- pars2[3] == 0 no conditioning (or just crown age)
- pars2[3] == 1 conditioning on non-extinction of the phylogeny
- pars2[3] == 2 conditioning on number of species and crown age; not yet im-

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plemented

- pars2[3] == 3 conditioning on number of species only; not yet implemented

- pars2[3] == 4 conditioning on survival of the subclade

- pars2[3] == 5 conditioning on survival of all subclades and of both crown lineages in the main clade. This assumes that subclades that have already shifted do not undergo another shift, i.e. shifts only occur in the main clade.

pars2[4] Obsolete.

pars2[5] sets whether the parameters and likelihood should be shown on screen (1) or not (0)

pars2[6] sets whether the first data point is stem age (1) or crown age (2)

pars2[7] sets whether the old (incorrect) likelihood should be used (0), or

whether the new corrected likelihood should be used (1).

brtsM A set of branching times of the main clade in the phylogeny, all positive brtsS A set of branching times of the subclade in the phylogeny, all positive

missnumspec The number of species that are in the clade but missing in the phylogeny. One

can specify the sum of the missing species in main clade and subclade or a vector c(missnumspec_M,missnumspec_S) with missing species in main clade

and subclade respectively.

methode The method used to solve the master equation, default is 'analytical' which uses

matrix exponentiation; alternatively numerical ODE solvers can be used, such as 'odeint::runge_kutta_cash_karp54'. These were used in the package before

version 3.1.

Value

The loglikelihood

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

See Also

```
dd_KI_ML, dd_loglik dd_SR_loglik
```

Examples

```
pars1 = c(0.25,0.12,25.51,1.0,0.16,8.61,9.8)
pars2 = c(200,1,0,18.8,1,2)
```

 $dd_{\perp}KI_{\perp}ML$

```
\label{eq:missnumspec} \begin{subarray}{ll} missnumspec & = & 0 \\ brtsM & = & c(25.2,24.6,24.0,22.5,21.7,20.4,19.9,19.7,18.8,17.1,15.8,11.8,9.7,8.9,5.7,5.2) \\ brtsS & = & c(9.6,8.6,7.4,4.9,2.5) \\ dd_KI_loglik(pars1,pars2,brtsM,brtsS,missnumspec) \\ \end{subarray}
```

dd_KI_ML

Maximization of the loglikelihood under a diversity-dependent diversification model with decoupling of a subclade's diversication dynamics from the main clade's dynamics

Description

This function computes the maximum likelihood estimates of the parameters of a diversity-dependent diversification model with decoupling of the diversification dynamics of a subclade from the dynamics of the main clade for a given set of phylogenetic branching times of main clade and subclade and the time of splitting of the lineage that will form the subclade. It also outputs the corresponding loglikelihood that can be used in model comparisons.

Usage

```
dd_KI_ML(
        brtsM,
       brtsS,
        tsplit,
        initparsopt = c(0.5, 0.1, 2 * (1 + length(brtsM) + missnumspec[1]), 3 * (1 + length(brtsM) + missnumspec[1]), 4 
              length(brtsS) + missnumspec[length(missnumspec)]), (tsplit + max(brtsS))/2),
        parsfix = NULL,
        idparsopt = c(1:3, 6:7),
        idparsfix = NULL,
      idparsnoshift = (1:7)[c(-idparsopt, (-1)^(length(idparsfix) != 0) * idparsfix)],
        res = 10 * (1 + length(c(brtsM, brtsS)) + sum(missnumspec)),
       ddmodel = 1,
       missnumspec = 0,
        cond = 1,
        soc = 2,
        tol = c(0.001, 1e-04, 1e-06),
       maxiter = 1000 * round((1.25)^length(idparsopt)),
        changeloglikifnoconv = FALSE,
        optimmethod = "subplex",
        num\_cycles = 1,
       methode = "analytical",
       correction = TRUE,
        verbose = FALSE
)
```

 $dd_{KI}ML$

Arguments

brtsM A set of branching times of the main clade in a phylogeny, all positive brtsS A set of branching times of the subclade in a phylogeny, all positive

tsplit The branching time at which the lineage forming the subclade branches off,

positive

initparsopt The initial values of the parameters that must be optimized parsfix The values of the parameters that should not be optimized

idparsopt The ids of the parameters that must be optimized, e.g. 1:7 for all parameters.

The ids are defined as follows:

id == 1 corresponds to lambda_M (speciation rate) of the main clade id == 2 corresponds to mu_M (extinction rate) of the main clade

id == 3 corresponds to K_M (clade-level carrying capacity) of the main clade

id == 4 corresponds to lambda_S (speciation rate) of the subclade id == 5 corresponds to mu_S (extinction rate) of the subclade

id == 6 corresponds to K S (clade-level carrying capacity) of the subclade

id == 7 corresponds to t_d (the time of decoupling)

idparsfix The ids of the parameters that should not be optimized, e.g. c(1,3,4,6) if lambda

and K should not be optimized, but only mu. In that case idparsopt must be

c(2,5,7). The default is to fix all parameters not specified in idparsopt.

idparsnoshift The ids of the parameters that should not shift; This can only apply to ids 4,

5 and 6, e.g. idparsnoshift = c(4,5) means that lambda and mu have the same

values before and after tshift

res sets the maximum number of species for which a probability must be computed,

must be larger than $1 + \max(\text{length}(\text{brtsM}), \text{length}(\text{brtsS}))$

ddmodel sets the model of diversity-dependence:

ddmodel == 1: linear dependence in speciation rate with parameter K (= diver-

sity where speciation = extinction)

ddmodel == 1.3: linear dependence in speciation rate with parameter K' (= di-

versity where speciation = 0)

ddmodel == 2 : exponential dependence in speciation rate with parameter K (=

diversity where speciation = extinction)

ddmodel == 2.1: variant of exponential dependence in speciation rate with off-

set at infinity

ddmodel == 2.2: 1/n dependence in speciation rate

ddmodel == 2.3: exponential dependence in speciation rate with parameter x

(= exponent)

ddmodel == 3 : linear dependence in extinction rate

ddmodel == 4 : exponential dependence in extinction rate

ddmodel == 4.1: variant of exponential dependence in extinction rate with off-

set at infinity

ddmodel == 4.2: 1/n dependence in extinction rate with offset at infinity

missnumspec

The number of species that are in the clade but missing in the phylogeny. One can specify the sum of the missing species in main clade and subclade or a vector c(missnumspec_M,missnumspec_S) with missing species in main clade

and subclade respectively.

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cond Conditioning:

cond == 0: no conditioning

cond == 1 : conditioning on non-extinction of the phylogeny

Sets whether stem or crown age should be used (1 or 2); stem age only works soc

when cond = 0

Sets the tolerances in the optimization. Consists of: tol

> reltolx = relative tolerance of parameter values in optimization reltolf = relative tolerance of function value in optimization abstolx = absolute tolerance of parameter values in optimization

Sets the maximum number of iterations in the optimization maxiter

changeloglikifnoconv

if TRUE the loglik will be set to -Inf if ML does not converge

Method used in optimization of the likelihood. Current default is 'subplex'. optimmethod

Alternative is 'simplex' (default of previous versions)

num_cycles the number of cycles of opimization. If set at Inf, it will do as many cycles as

needed to meet the tolerance set for the target function.

methode The method used to solve the master equation, default is 'analytical' which uses

> matrix exponentiation; alternatively numerical ODE solvers can be used, such as 'odeint::runge_kutta_cash_karp54'. These were used in the package before

version 3.1.

Sets whether the correction should be applied (TRUE) or not (FALSE) correction

verbose Show the parameters and loglikelihood for every call to the loglik function

Details

The output is a dataframe containing estimated parameters and maximum loglikelihood. The computed loglikelihood contains the factor q! m!/(q + m)! where q is the number of species in the phylogeny and m is the number of missing species, as explained in the supplementary material to Etienne et al. 2012.

Value

lambda_M	gives the maximum likelihood estimate of lambda of the main clade
mu_M	gives the maximum likelihood estimate of mu of the main clade
K_M	gives the maximum likelihood estimate of K of the main clade
lambda_2	gives the maximum likelihood estimate of lambda of the subclade
mu_S	gives the maximum likelihood estimate of mu of the subclade
K_S	gives the maximum likelihood estimate of K of the subclade
t_d	gives the time of the decoupling event
loglik	gives the maximum loglikelihood
df	gives the number of estimated parameters, i.e. degrees of feedom
conv	gives a message on convergence of optimization; conv = 0 means convergence

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Note

The optimization may get trapped in local optima. Try different starting values to search for the global optimum.

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

See Also

```
dd_KI_loglik, dd_ML, dd_SR_ML,
```

Examples

```
cat("This will estimate parameters for two sets of branching times brtsM, brtsS\n") cat("without conditioning.\n") cat("The tolerance of the optimization is set high so runtime is fast in this example.\n") cat("In real applications, use the default or more stringent settins for tol.\n") brtsM = 4:10 brtsS = seq(0.1,3.5,0.7) tsplit = 5 dd_KI_ML(brtsM = brtsM, brtsS = brtsS, tsplit = tsplit, idparsopt = <math>c(1:3,6,7), initparsopt = c(0.885, 2e^{-14}, 6.999, 6.848, 4.001), idparsfix = NULL, parsfix = NULL, idparsnoshift = c(4,5), cond = 0, tol = c(3E^{-1},3E^{-1},3E^{-1}), optimmethod = 'simplex')
```

dd_KI_sim

Function to simulate a key innovation in macro-evolution with the innovative clade decoupling from the diversity-dependent diversification dynamics of the main clade

Description

Simulating a diversity-dependent diversification process where at a given time a new clade emerges with different inherent speciation rate and extinction rate and clade-level carrying capacity and with decoupled dynamics

Usage

```
dd_KI_sim(pars, age, ddmodel = 1)
```

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Arguments

pars Vector of parameters:

pars[1] corresponds to lambda M (speciation rate of the main clade)

pars[2] corresponds to mu_M (extinction rate of the main clade)

pars[3] corresponds to K M (clade-level carrying capacity of the main clade)

pars[4] corresponds to lambda S (speciation rate of the subclade)

pars[5] corresponds to mu S (extinction rate of the subclade)

pars[5] corresponds to K_S (clade-level carrying capacity of the subclade)

pars[7] tinn, the time the shift in rates occurs in the lineage leading to the subclade

age

Sets the crown age for the simulation

ddmodel

Sets the model of diversity-dependence:

ddmodel == 1: linear dependence in speciation rate with parameter K (= diversity where speciation = extinction)

ddmode1 == 1.3: linear dependence in speciation rate with parameter K' (= diversity where speciation = 0)

ddmodel == 2: exponential dependence in speciation rate with parameter K (= diversity where speciation = extinction)

ddmodel == 2.1: variant of exponential dependence in speciation rate with offset at infinity

ddmodel == 2.2: 1/n dependence in speciation rate

ddmodel == 2.3: exponential dependence in speciation rate with parameter x (= exponent)

ddmodel == 3: linear dependence in extinction rate

ddmodel == 4 : exponential dependence in extinction rate

ddmodel == 4.1: variant of exponential dependence in extinction rate with offset at infinity

ddmodel == 4.2: 1/n dependence in extinction rate with offset at infinity

Value

out

A list with the following elements: The first element is the tree of extant species in phylo format

The second element is the tree of all species, including extinct species, in phylo format

The third element is a matrix of all species where

- the first column is the time at which a species is born
- the second column is the label of the parent of the species; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the third column is the label of the daughter species itself; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the fourth column is the time of extinction of the species

If the fourth element equals -1, then the species is still extant.

- the fifth column indicates whether the species belong to the main clade (0) or the subclade (1)

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The fourth element is the subclade tree of extant species (without stem)

The fifth element is the subclade tree of all species (without stem)

The sixth element is the same as the first, except that it has attributed 0 for the main clade and 1 for the subclade

The seventh element is the same as the Second, except that it has attributed 0 for the main clade and 1 for the subclade

The sixth and seventh element will be NULL if the subclade does not exist (because it went extinct).

Author(s)

Rampal S. Etienne

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

Examples

```
dd_KI_sim(c(0.2,0.1,20,0.1,0.05,30,4),10)
```

dd_loglik

Loglikelihood for diversity-dependent diversification models

Description

This function computes loglikelihood of a diversity-dependent diversification model for a given set of branching times and parameter values.

Usage

```
dd_loglik(pars1, pars2, brts, missnumspec, methode = "analytical")
```

Arguments

pars1 Vector of parameters:

pars1[1] corresponds to lambda (speciation rate) pars1[2] corresponds to mu (extinction rate)

pars1[3] corresponds to K (clade-level carrying capacity)

pars2 Vector of model settings:

pars2[1] sets the maximum number of species for which a probability must be computed. This must be larger than 1 + missnumspec + length(brts).

pars2[2] sets the model of diversity-dependence:

- pars2[2] == 1 linear dependence in speciation rate with parameter K (= diversity where speciation = extinction)
- pars2[2] == 1.3 linear dependence in speciation rate with parameter K' (= diversity where speciation = 0)
- pars2[2] == 1.4: positive diversity-dependence in speciation rate with parameter K' (= diversity where speciation rate reaches half its maximum); lambda = lambda0 * S/(S + K') where S is species richness
- pars2[2] == 1.5 : positive and negative diversity-dependence in speciation rate with parameter K' (= diversity where speciation = 0); lambda = lambda0 * S/K' * (1 S/K') where S is species richness
- pars2[2] == 2 exponential dependence in speciation rate with parameter K (= diversity where speciation = extinction)
- pars2[2] == 2.1 variant of exponential dependence in speciation rate with offset at infinity
- pars2[2] == 2.2 1/n dependence in speciation rate
- pars2[2] == 2.3 exponential dependence in speciation rate with parameter x
 (= exponent)
- pars2[2] == 3 linear dependence in extinction rate
- pars2[2] == 4 exponential dependence in extinction rate
- pars2[2] == 4.1 variant of exponential dependence in extinction rate with offset at infinity
- pars2[2] == 4.2 1/n dependence in extinction rate
- pars2[2] == 5 linear dependence in speciation and extinction rate

pars2[3] sets the conditioning:

- pars2[3] == 0 conditioning on stem or crown age
- pars2[3] == 1 conditioning on stem or crown age and non-extinction of the phylogeny
- pars2[3] == 2 conditioning on stem or crown age and on the total number of extant taxa (including missing species)
- pars2[3] == 3 conditioning on the total number of extant taxa (including missing species)

pars2[4] sets whether the likelihood is for the branching times (0) or the phylogeny (1)

pars2[5] sets whether the parameters and likelihood should be shown on screen (1) or not (0)

pars2[6] sets whether the first data point is stem age (1) or crown age (2)

brts

A set of branching times of a phylogeny, all positive

missnumspec

methode

The number of species that are in the clade but missing in the phylogeny

The method used to solve the master equation, default is 'analytical' which uses matrix exponentiation; alternatively numerical ODE solvers can be used. Before version 3.1 these were solvers from the deSolve package such as 'lsoda' and 'ode45'. Currently solvers from odeint are used, such as 'odeint::runge_kutta_cash_karp54', 'odeint::runge_kutta_fehlberg78', 'odeint::runge_kutta_dopri5', or odeint::bulirsch_stoer'. The first two are recommended in most cases.

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Value

The loglikelihood

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

See Also

```
dd_ML, dd_SR_loglik, dd_KI_loglik
```

Examples

```
dd_loglik(pars1 = c(0.5,0.1,100), pars2 = c(100,1,1,1,0,2), brts = 1:10, missnumspec = 0)
```

 dd_LR

Bootstrap likelihood ratio test of diversity-dependent diversification model

Description

This function computes the maximum likelihood and the associated estimates of the parameters of a diversity-dependent diversification model for a given set of phylogenetic branching times. It then performs a bootstrap likelihood ratio test of the diversity-dependent (DD) model against the constant-rates (CR) birth-death model. Finally, it computes the power of this test.

Usage

```
dd_LR(
   brts,
   initparsoptDD,
   initparsoptCR,
   missnumspec,
   outputfilename = NULL,
   seed = 42,
   endmc = 1000,
   alpha = 0.05,
   plotit = TRUE,
   res = 10 * (1 + length(brts) + missnumspec),
   ddmodel = 1,
   cond = 1,
   btorph = 1,
```

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```
soc = 2,
tol = c(0.001, 1e-04, 1e-06),
maxiter = 2000,
changeloglikifnoconv = FALSE,
optimmethod = "subplex",
methode = "analytical"
)
```

Arguments

brts A set of branching times of a phylogeny, all positive

initparsoptDD The initial values of the parameters that must be optimized for the diversity-

dependent (DD) model: lambda_0, mu and K

initparsoptCR The initial values of the parameters that must be optimized for the constant-rates

(CR) model: lambda and mu

missnumspec The number of species that are in the clade but missing in the phylogeny

outputfilename The name (and location) of the file where the output will be saved. Default is no

save.

seed The seed for the pseudo random number generator for simulating the bootstrap

data

endmc The number of bootstraps

alpha The significance level of the test

plotit Boolean to plot results or not

res Sets the maximum number of species for which a probability must be computed,

must be larger than 1 + length(brts)

ddmodel Sets the model of diversity-dependence:

ddmodel == 1: linear dependence in speciation rate with parameter K (= diver-

sity where speciation = extinction)

ddmodel == 1.3: linear dependence in speciation rate with parameter K' (= di-

versity where speciation = 0)

ddmodel == 2 : exponential dependence in speciation rate with parameter K (=

diversity where speciation = extinction)

ddmodel == 2.1: variant of exponential dependence in speciation rate with off-

set at infinity

ddmodel == 2.2: 1/n dependence in speciation rate

ddmodel == 2.3: exponential dependence in speciation rate with parameter x

(= exponent)

ddmodel == 3 : linear dependence in extinction rate

ddmodel == 4 : exponential dependence in extinction rate

ddmodel == 4.1: variant of exponential dependence in extinction rate with off-

set at infinity

ddmodel == 4.2: 1/n dependence in extinction rate with offset at infinity

ddmodel == 5: linear dependence in speciation and extinction rate

 $dd_{\perp}LR$

cond Conditioning:

cond == 0: conditioning on stem or crown age

cond == 1 : conditioning on stem or crown age and non-extinction of the phy-

logeny

cond == 2 : conditioning on stem or crown age and on the total number of extant

taxa (including missing species)

cond == 3 : conditioning on the total number of extant taxa (including missing

species)

Note: cond == 3 assumes a uniform prior on stem age, as is the standard in constant-rate birth-death models, see e.g. D. Aldous & L. Popovic 2004. Adv. Appl. Prob. 37: 1094-1115 and T. Stadler 2009. J. Theor. Biol. 261: 58-66.

btorph Sets whether the likelihood is for the branching times (0) or the phylogeny (1)

soc Sets whether stem or crown age should be used (1 or 2)

tol Sets the tolerances in the optimization. Consists of:

reltolx = relative tolerance of parameter values in optimization reltolf = relative tolerance of function value in optimization abstolx = absolute tolerance of parameter values in optimization

maxiter Sets the maximum number of iterations in the optimization

changeloglikifnoconv

if TRUE the loglik will be set to -Inf if ML does not converge

optimmethod Method used in optimization of the likelihood. Current default is 'subplex'.

Alternative is 'simplex' (default of previous versions)

methode The method used to solve the master equation, default is 'analytical' which uses

matrix exponentiation; alternatively numerical ODE solvers can be used, such as 'odeint::runge_kutta_cash_karp54'. These were used in the package before

version 3.1.

Details

The output is a list with 3 elements:

Value

treeCR a list of trees generated under the constant-rates model using the ML parameters

under the CR model

treeDD a list of trees generated under the diversity-dependent model using the ML pa-

rameters under the diversity-dependent model

out a dataframe with the parameter estimates and maximum likelihoods for diversity-

dependent and constant-rates models \$model - the model used to generate the

data. 0 = unknown (for real data), 1 = CR, 2 = DD

\$mc - the simulation number for each model \$lambda_CR - speciation rate estimated under CR \$mu_CR - extinction rate estimated under CR

\$LL_CR - maximum likelihood estimated under CR

\$conv_CR - convergence code for likelihood optimization; conv = 0 means con-

vergence

\$lambda_DD1 - initial speciation rate estimated under DD for first set of initial values

\$mu_DD1 - extinction rate estimated under DD for first set of initial values

\$K_DD1 - clade-wide carrying-capacity estimated under DD for first set of initial values

\$LL_DD1 - maximum likelihood estimated under DD for first set of initial values \$conv_DD1 - convergence code for likelihood optimization for first set of initial values; conv = 0 means convergence

\$1ambda_DD2 - initial speciation rate estimated under DD for second set of initial values

\$mu_DD2 - extinction rate estimated under DD for second set of initial values
\$K_DD2 - clade-wide carrying-capacity estimated under DD for second set of
initial values

\$LL_DD2 - maximum likelihood estimated under DD for second set of initial values

 $conv_DD2$ - convergence code for likelihood optimization for second set of initial values; conv = 0 means convergence

pvalue p-value of the test

LRalpha Likelihood ratio at the signifiance level alpha power of the test for significance level alpha

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2016. Meth. Ecol. Evol. 7: 1092-1099, doi: 10.1111/2041-210X.12565
- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

\$LR - likelihood ratio between DD and CR

See Also

dd_loglik, dd_ML

dd_ML	Maximization of the loglikelihood under a diversity-dependent diver-
	sification model

Description

This function computes the maximum likelihood estimates of the parameters of a diversity-dependent diversification model for a given set of phylogenetic branching times. It also outputs the corresponding loglikelihood that can be used in model comparisons.

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Usage

```
dd_ML(
  brts,
  initparsopt = initparsoptdefault(ddmodel, brts, missnumspec),
  idparsopt = 1:length(initparsopt),
  idparsfix = (1:(3 + (ddmodel == 5)))[-idparsopt],
  parsfix = parsfixdefault(ddmodel, brts, missnumspec, idparsopt),
  res = 10 * (1 + length(brts) + missnumspec),
  ddmodel = 1,
 missnumspec = 0,
  cond = 1,
  btorph = 1,
  soc = 2,
  tol = c(0.001, 1e-04, 1e-06),
 maxiter = 1000 * round((1.25)^length(idparsopt)),
  changeloglikifnoconv = FALSE,
  optimmethod = "subplex",
  num\_cycles = 1,
 methode = "analytical",
  verbose = FALSE
)
```

Arguments

brts A set of branching times of a phylogeny, all positive

initparsopt The initial values of the parameters that must be optimized

idparsopt The ids of the parameters that must be optimized, e.g. 1:3 for intrinsic speciation

rate, extinction rate and carrying capacity. The ids are defined as follows:

id == 1 corresponds to lambda (speciation rate) id == 2 corresponds to mu (extinction rate)

id == 3 corresponds to K (clade-level carrying capacity)

id == 4 corresponds to r (r = b/a where mu = mu_0 + b * N and lambda =

 $lambda_0 - a * N)$ (This is only available when ddmodel = 5)

idparsfix The ids of the parameters that should not be optimized, e.g. c(1,3) if lambda and

K should not be optimized, but only mu. In that case idparsopt must be 2. The

default is to fix all parameters not specified in idparsopt.

parsfix The values of the parameters that should not be optimized

res Sets the maximum number of species for which a probability must be computed,

must be larger than 1 + length(brts)

ddmodel Sets the model of diversity-dependence:

ddmodel == 1: linear dependence in speciation rate with parameter K (= diver-

sity where speciation = extinction)

ddmodel == 1.3: linear dependence in speciation rate with parameter K' (= di-

versity where speciation = 0)

ddmodel == 1.4: positive diversity-dependence in speciation rate with parameter K' (= diversity where speciation rate reaches half its maximum); lambda =

lambda0 * S/(S + K') where S is species richness

ddmodel == 1.5: positive and negative dependence in speciation rate with parameter K' (= diversity where speciation = 0); lambda = lambda0 * S/K' * (1 - S/K') where S is species richness

ddmodel == 2: exponential dependence in speciation rate with parameter K (= diversity where speciation = extinction)

ddmodel == 2.1: variant of exponential dependence in speciation rate with offset at infinity

ddmodel == 2.2: 1/n dependence in speciation rate

ddmodel == 2.3: exponential dependence in speciation rate with parameter x (= exponent)

ddmodel == 3 : linear dependence in extinction rate

ddmodel == 4 : exponential dependence in extinction rate

ddmodel == 4.1 : variant of exponential dependence in extinction rate with offset at infinity

ddmodel == 4.2: 1/n dependence in extinction rate with offset at infinity ddmodel == 5: linear dependence in speciation and extinction rate

missnumspec

The number of species that are in the clade but missing in the phylogeny

cond

Conditioning:

cond == 0: conditioning on stem or crown age

cond == 1 : conditioning on stem or crown age and non-extinction of the phylogeny

cond == 2 : conditioning on stem or crown age and on the total number of extant taxa (including missing species)

cond == 3 : conditioning on the total number of extant taxa (including missing species)

Note: cond == 3 assumes a uniform prior on stem age, as is the standard in constant-rate birth-death models, see e.g. D. Aldous & L. Popovic 2004. Adv. Appl. Prob. 37: 1094-1115 and T. Stadler 2009. J. Theor. Biol. 261: 58-66.

btorph

Sets whether the likelihood is for the branching times (0) or the phylogeny (1)

SOC

Sets whether stem or crown age should be used (1 or 2)

tol

Sets the tolerances in the optimization. Consists of:

reltolx = relative tolerance of parameter values in optimization reltolf = relative tolerance of function value in optimization abstolx = absolute tolerance of parameter values in optimization

maxiter

Sets the maximum number of iterations in the optimization

changeloglikifnoconv

if TRUE the loglik will be set to -Inf if ML does not converge

optimmethod

Method used in optimization of the likelihood. Current default is 'subplex'. Alternative is 'simplex' (default of previous versions)

num_cycles

the number of cycles of opimization. If set at Inf, it will do as many cycles as needed to meet the tolerance set for the target function.

methode

The method used to solve the master equation, default is 'analytical' which uses matrix exponentiation; alternatively numerical ODE solvers can be used, such 24 dd_ML

as 'odeint::runge_kutta_cash_karp54'. These were used in the package before

version 3.1.

verbose Show the parameters and loglikelihood for every call to the loglik function

Details

The output is a dataframe containing estimated parameters and maximum loglikelihood. The computed loglikelihood contains the factor $q! \ m! \ / \ (q+m)!$ where q is the number of species in the phylogeny and m is the number of missing species, as explained in the supplementary material to Etienne et al. 2012.

Value

lambda	gives the maximum likelihood estimate of lambda
mu	gives the maximum likelihood estimate of mu
K	gives the maximum likelihood estimate of K
r	(only if $ddmodel == 5$) gives the ratio of linear dependencies in speciation and extinction rates
loglik	gives the maximum loglikelihood
df	gives the number of estimated parameters, i.e. degrees of feedom
conv	gives a message on convergence of optimization; conv = 0 means convergence

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

See Also

```
dd_loglik, dd_SR_ML, dd_KI_ML,
```

Examples

```
cat("Estimating the intrinsic speciation rate lambda and the carrying capacity K") cat("for a fixed extinction rate of 0.1, conditioning on clade survival and two missing species:") brts = 1:5  dd_{ML}(brts = brts, initparsopt = c(1.3078, 7.4188), idparsopt = c(1,3), parsfix = 0.1, \\ cond = 1, missnumspec = 2, tol = c(1E-3, 1E-3, 1E-4), optimmethod = 'simplex')
```

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dd_MS_loglik

Loglikelihood for macro-evolutionary succession under diversitydependent diversification with the key innovation at time $t = t_d$

Description

This function computes the loglikelihood of a diversity-dependent diversification model for a given set of branching times and parameter values where the diversity-dependent dynamics of an innovative subclade have different parameters from the dynamics of the main clade from time t_d, but both are governed by the same carrying capacity and experience each other's diversity.

Usage

```
dd_MS_loglik(
  pars1,
  pars2,
  brtsM,
  brtsS,
  missnumspec,
  methode = "odeint::runge_kutta_cash_karp54"
)
```

Arguments

pars1 Vector of parameters:

pars1[1] corresponds to lambda_M (speciation rate) of the main clade pars1[2] corresponds to mu_M (extinction rate) of the main clade

pars1[3] corresponds to K_M (clade-level carrying capacity) of the main clade

pars1[4] corresponds to lambda_M (speciation rate) of the subclade

pars1[5] corresponds to mu_S (extinction rate) of the subclade

pars1[6] corresponds to t_d (the time of the key innovation)

pars2 Vector of model settings:

pars2[1] sets the maximum number of species for which a probability must be computed. This must be larger than 1 + missnumspec + length(brts).

pars2[2] sets the model of diversity-dependence:

- pars2[2] == 1 linear dependence in speciation rate with parameter K (= diversity where speciation = extinction)
- pars2[2] == 1.3 linear dependence in speciation rate with parameter K' (= diversity where speciation = 0)
- pars2[2] == 2 exponential dependence in speciation rate with parameter K (= diversity where speciation = extinction)
- pars2[2] == 2.1 variant of exponential dependence in speciation rate with offset at infinity
- pars2[2] == 2.2 1/n dependence in speciation rate

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- pars2[2] == 2.3 exponential dependence in speciation rate with parameter x (= exponent)
- pars2[2] == 3 linear dependence in extinction rate
- pars2[2] == 4 exponential dependence in extinction rate
- pars2[2] == 4.1 variant of exponential dependence in extinction rate with offset at infinity
- pars2[2] == 4.2 1/n dependence in extinction rate

pars2[3] sets the conditioning:

- pars2[3] == 0 no conditioning
- pars2[3] == 1 conditioning on non-extinction of the phylogeny

pars2[4] sets the time of splitting of the branch that will undergo the key innovation leading to different parameters

pars2[5] sets whether the parameters and likelihood should be shown on screen (1) or not (0)

pars2[6] sets whether the first data point is stem age (1) or crown age (2) pars2[7] sets whether the old (incorrect) likelihood should be used (0) or whether new corrected version should be used (1)

brtsM A set of branching times of the main clade in the phylogeny, all positive brtsS A set of branching times of the subclade in the phylogeny, all positive

missnumspec The number of species that are in the clade but missing in the phylogeny. One

can specify the sum of the missing species in main clade and subclade or a vector c(missnumspec_M,missnumspec_S) with missing species in main clade

and subclade respectively.

methode The method used to solve the master equation, default is 'analytical' which uses

matrix exponentiation; alternatively numerical ODE solvers can be used, such as 'odeint::runge_kutta_cash_karp54'. These were used in the package before

version 3.1.

Value

The loglikelihood

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

See Also

dd_MS_ML, dd_loglik, dd_KI_loglik, dd_SR_loglik

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Examples

```
\begin{array}{l} pars1 = c(0.2,0.1,40,1.0,0.1,9.8) \\ pars2 = c(200,1,0,18.8,1,2) \\ missnumspec = 0 \\ brtsM = c(25.2,24.6,24.0,22.5,21.7,20.4,19.9,19.7,18.8,17.1,15.8,11.8,9.7,8.9,5.7,5.2) \\ brtsS = c(9.6,8.6,7.4,4.9,2.5) \\ dd_MS_loglik(pars1,pars2,brtsM,brtsS,missnumspec) \end{array}
```

dd_MS_ML

Maximization of the loglikelihood under a diversity-dependent diversification model with decoupling of a subclade's diversication dynamics from the main clade's dynamics

Description

This function computes the maximum likelihood estimates of the parameters of a diversity-dependent diversification model where the diversity-dependent dynamics of an innovative subclade have different parameters from the dynamics of the main clade from time t_d, but both are governed by the same carrying capacity and experience each other's diversity. Required is a given set of phylogenetic branching times of main clade and subclade and the time of splitting of the lineage that will form the subclade. The function also outputs the corresponding loglikelihood that can be used in model comparisons.

Usage

```
dd_MS_ML(
  brtsM,
  brtsS,
  tsplit.
 initparsopt = c(0.5, 0.1, 2 * (1 + length(brtsM) + length(brtsS) + sum(missnumspec)),
    (tsplit + max(brtsS))/2),
  parsfix = NULL,
  idparsopt = c(1:3, 6),
  idparsfix = NULL,
 idparsnoshift = (1:6)[c(-idparsopt, (-1)^(length(idparsfix) != 0) * idparsfix)],
  res = 10 * (1 + length(c(brtsM, brtsS)) + sum(missnumspec)),
  ddmodel = 1.3,
  missnumspec = 0,
  cond = 0,
  soc = 2,
  tol = c(0.001, 1e-04, 1e-06),
  maxiter = 1000 * round((1.25)^length(idparsopt)),
  changeloglikifnoconv = FALSE,
  optimmethod = "subplex",
  num\_cycles = 1,
```

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```
methode = "ode45",
  correction = FALSE,
  verbose = FALSE
)
```

Arguments

brtsM A set of branching times of the main clade in a phylogeny, all positive brtsS A set of branching times of the subclade in a phylogeny, all positive

tsplit The branching time at which the lineage forming the subclade branches off,

positive

initparsopt The initial values of the parameters that must be optimized parsfix The values of the parameters that should not be optimized

idparsopt The ids of the parameters that must be optimized, e.g. 1:7 for all parameters.

The ids are defined as follows:

id == 1 corresponds to lambda_M (speciation rate) of the main clade id == 2 corresponds to mu_M (extinction rate) of the main clade

id == 3 corresponds to K_M (clade-level carrying capacity) of the main clade

id == 4 corresponds to lambda_S (speciation rate) of the subclade id == 5 corresponds to mu_S (extinction rate) of the subclade id == 6 corresponds to t_d (the time of the key innovation)

idparsfix The ids of the parameters that should not be optimized, e.g. c(1,3,4,6) if lambda

and K should not be optimized, but only mu. In that case idparsopt must be

c(2,5,7). The default is to fix all parameters not specified in idparsopt.

idparsnoshift The ids of the parameters that should not shift; This can only apply to ids 4,

5 and 6, e.g. idparsnoshift = c(4,5) means that lambda and mu have the same

values before and after tshift

res sets the maximum number of species for which a probability must be computed,

must be larger than 1 + max(length(brtsM),length(brtsS))

ddmodel sets the model of diversity-dependence:

ddmodel == 1: linear dependence in speciation rate with parameter K (= diver-

sity where speciation = extinction)

ddmodel == 1.3: linear dependence in speciation rate with parameter K' (= di-

versity where speciation = 0)

ddmodel == 2: exponential dependence in speciation rate with parameter K (=

diversity where speciation = extinction)

ddmodel == 2.1: variant of exponential dependence in speciation rate with offset at infinity

ddmodel == 2.2: 1/n dependence in speciation rate

ddmodel == 2.3: exponential dependence in speciation rate with parameter x

(= exponent)

ddmodel == 3 : linear dependence in extinction rate

ddmodel == 4 : exponential dependence in extinction rate

ddmodel == 4.1: variant of exponential dependence in extinction rate with off-

set at infinity

ddmodel == 4.2: 1/n dependence in extinction rate with offset at infinity

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missnumspec The number of species that are in the clade but missing in the phylogeny. One

can specify the sum of the missing species in main clade and subclade or a vector c(missnumspec_M,missnumspec_S) with missing species in main clade

and subclade respectively.

cond Conditioning:

cond == 0: no conditioning

cond == 1 : conditioning on non-extinction of the phylogeny

soc Sets whether stem or crown age should be used (1 or 2); stem age only works

when cond = 0

tol Sets the tolerances in the optimization. Consists of:

reltolx = relative tolerance of parameter values in optimization reltolf = relative tolerance of function value in optimization abstolx = absolute tolerance of parameter values in optimization

maxiter Sets the maximum number of iterations in the optimization

changeloglikifnoconv

if TRUE the loglik will be set to -Inf if ML does not converge

optimmethod Method used in optimization of the likelihood. Current default is 'subplex'.

Alternative is 'simplex' (default of previous versions)

num_cycles the number of cycles of opimization. If set at Inf, it will do as many cycles as

needed to meet the tolerance set for the target function.

methode The method used in the ode solver. This can be either 'analytical' for explicit

matrix exponentation or any of the solvers in the deSolve package.

correction Sets whether the correction should be applied (TRUE) or not (FALSE)

verbose Show the parameters and loglikelihood for every call to the loglik function

Details

The output is a dataframe containing estimated parameters and maximum loglikelihood. The computed loglikelihood contains the factor q! m!/(q + m)! where q is the number of species in the phylogeny and m is the number of missing species, as explained in the supplementary material to Etienne et al. 2012.

Value

lambda_M	gives the maximum likelihood estimate of lambda of the main clade
mu_M	gives the maximum likelihood estimate of mu of the main clade
K_M	gives the maximum likelihood estimate of K of the main clade
lambda_2	gives the maximum likelihood estimate of lambda of the subclade
mu_S	gives the maximum likelihood estimate of mu of the subclade

t_d gives the time of the key innovation event

loglik gives the maximum loglikelihood

df gives the number of estimated parameters, i.e. degrees of feedom

conv gives a message on convergence of optimization; conv = 0 means convergence

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Note

The optimization may get trapped in local optima. Try different starting values to search for the global optimum.

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

See Also

```
dd_MS_loglik, dd_ML, dd_KI_ML, dd_SR_ML,
```

Examples

 dd_MS_sim

Function to simulate the macro-evolutionary succession process assuming diversity-dependent diversification

Description

Simulating a diversity-dependent diversification process where at a given time a new clade emerges with different inherent speciation rate and extinction rate

Usage

```
dd_MS_sim(pars, age, ddmodel = 1.3)
```

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Arguments

pars Vector of parameters:

pars[1] corresponds to lambda_M (speciation rate of the main clade)

pars[2] corresponds to mu_M (extinction rate of the main clade)

pars[3] corresponds to K' (maximum number of species or a proxy for it in case of exponential decline in speciation rate) pars[4] corresponds to lambda_S (speciation rate of the novel subclade)

pars[5] corresponds to mu_S (extinction rate)

pars[6] tinn, the time the shift in rates occurs in the lineage leading to the subclade

age Sets the crown age for the simulation

ddmodel Sets the model of diversity-dependence:

ddmodel == 1.3: linear dependence in speciation rate with parameter K' (= diversity where speciation = 0); ddmodel = 1 will be interpreted as this model ddmodel == 2.1: variant of exponential dependence in speciation rate with off-

set at infinity; ddmodel = 2 will be interpreted as this model

ddmodel == 2.2: 1/n dependence in speciation rate

ddmodel == 2.3: exponential dependence in speciation rate with parameter x (= exponent)

Value

out

A list with the following elements: The first element is the tree of extant species in phylo format

The second element is the tree of all species, including extinct species, in phylo format

The third element is a matrix of all species where

- the first column is the time at which a species is born
- the second column is the label of the parent of the species; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the third column is the label of the daughter species itself; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the fourth column is the time of extinction of the species

If the fourth element equals -1, then the species is still extant.

- the fifth column indicates whether the species belong to the main clade (0) or the subclade (1)

The fourth element is the subclade tree of extant species (without stem)

The fifth element is the subclade tree of all species (without stem)

The sixth element is the same as the first, except that it has attributed 0 for the main clade and 1 for the subclade

The seventh element is the same as the Second, except that it has attributed 0 for the main clade and 1 for the subclade

The sixth and seventh element will be NULL if the subclade does not exist (because it went extinct).

Author(s)

Rampal S. Etienne

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

Examples

```
dd_MS_sim(c(0.2,0.1,20,0.1,0.05,4),10)
```

dd_multiple_KI_loglik Loglikelihood for diversity-dependent diversification models with multiple decoupling (rate shift) events

Description

This function computes loglikelihood of a diversity-dependent diversification model for a given set of branching times and parameter values where the diversity-dependent dynamics of subclades decouple from the dynamics of main clades, potentially accompanied by a shift in parameters.

Usage

```
dd_multiple_KI_loglik(
  pars1_list,
  pars2,
  brts_k_list,
  missnumspec_list,
  reltol = 1e-14,
  abstol = 1e-16,
  methode = "odeint::runge_kutta_cash_karp54"
)
```

Arguments

pars1_list

list of paramater sets one for each rate regime (subclade). The parameters are: lambda (speciation rate), mu (extinction rate), and K (clade-level carrying capacity).

pars2

Vector of model settings:

pars2[1] sets the maximum number of species for which a probability must be computed. This must be larger than 1 + missnumspec + length(brts).

pars2[2] sets the model of diversity-dependence:

- pars2[2] == 1 linear dependence in speciation rate with parameter K (= diversity where speciation = extinction)

- pars2[2] == 1.3 linear dependence in speciation rate with parameter K' (= diversity where speciation = 0)
- pars2[2] == 2 exponential dependence in speciation rate with parameter K (= diversity where speciation = extinction)
- pars2[2] == 2.1 variant of exponential dependence in speciation rate with offset at infinity
- pars2[2] == 2.2 1/n dependence in speciation rate
- pars2[2] == 2.3 exponential dependence in speciation rate with parameter x
 (= exponent)
- pars2[2] == 3 linear dependence in extinction rate
- pars2[2] == 4 exponential dependence in extinction rate
- pars2[2] == 4.1 variant of exponential dependence in extinction rate with offset at infinity
- pars2[2] == 4.2 1/n dependence in extinction rate

pars2[3] sets the conditioning:

- pars2[3] == 0 no conditioning (or just crown age)
- pars2[3] == 1 conditioning on non-extinction of the phylogeny
- pars2[3] == 2 conditioning on number of species and crown age; not yet implemented
- pars2[3] == 3 conditioning on number of species only; not yet implemented
- pars2[3] == 4 conditioning on survival of the subclade
- pars2[3] == 5 conditioning on survival of all subclades and of both crown lineages in the main clade. This assumes that subclades that have already shifted do not undergo another shift, i.e. shifts only occur in the main clade.

pars2[4] Obsolete.

pars2[5] sets whether the parameters and likelihood should be shown on screen (1) or not (0)

pars2[6] sets whether the first data point is stem age (1) or crown age (2)

pars2[7] sets whether the old (incorrect) likelihood should be used (0), or whether the new corrected likelihood should be used (1).

brts_k_list

list of matrices, one for each rate regime (subclade). Each matrix has in the first row the branching times including the shift/decoupling time and the present time (0) in negative time (i.e. 10 mya = -10). In the second row it has the number of lineages, i.e. starting at 2 for a phylogeny with a crown and increasing by one at each branching time and decreasing by one at each decoupling/shift time. The last element is the same as the second last.

missnumspec_list

list containing the number of missing species for each clade. If only a single number m of missing species is known for the entire phylogeny, then each element of the list should be 0:m. One can also create this from m using the function create_missnumspec_list

reltol relative tolerance in integration of the ODE system, default at 1e-14

retained to include in integration of the ODE system, default at 10 11

abstol

tolerance tolerance in integration of the ODE system, default at 1e-16

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methode

The method used to solve the master equation, default is 'analytical' which uses matrix exponentiation; alternatively numerical ODE solvers can be used, such as 'odeint::runge_kutta_cash_karp54'. These were used in the package before version 3.1.

 dd_sim

Function to simulate the diversity-dependent diversification process

Description

Simulating the diversity-dependent diversification process

Usage

```
dd_sim(pars, age, ddmodel = 1)
```

Arguments

pars Vector of parameters:

pars[1] corresponds to lambda (speciation rate)

pars[2] corresponds to mu (extinction rate)

pars[3] corresponds to K (clade-level carrying capacity)

age Sets the crown age for the simulation

ddmodel Sets the model of diversity-dependence:

ddmodel == 1: linear dependence in speciation rate with parameter K (= diversity where speciation = extinction)

ddmodel == 1.3: linear dependence in speciation rate with parameter K' (= diversity where speciation = 0)

ddmodel == 2: exponential dependence in speciation rate with parameter K (= diversity where speciation = extinction)

ddmodel == 2.1 : variant of exponential dependence in speciation rate with offset at infinity

ddmodel == 2.2: 1/n dependence in speciation rate

ddmodel == 2.3: exponential dependence in speciation rate with parameter x (= exponent)

ddmodel == 3 : linear dependence in extinction rate

ddmodel == 4 : exponential dependence in extinction rate

ddmodel == 4.1: variant of exponential dependence in extinction rate with offset at infinity

ddmodel == 4.2: 1/n dependence in extinction rate with offset at infinity

ddmodel == 5 : linear dependence in speciation and extinction rate

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Value

out

A list with the following four elements: The first element is the tree of extant species in phylo format

The second element is the tree of all species, including extinct species, in phylo format

The third element is a matrix of all species where

- the first column is the time at which a species is born
- the second column is the label of the parent of the species; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the third column is the label of the daughter species itself; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the fourth column is the time of extinction of the species. If this equals -1, then the species is still extant.

The fourth element is the set of branching times of the tree of extant species.

Author(s)

Rampal S. Etienne

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

Examples

```
dd_sim(c(0.2,0.1,20),10)
```

dd_SR_loglik

Loglikelihood for diversity-dependent diversification models with a shift in the parameters at time t = tshift

Description

This function computes loglikelihood of a diversity-dependent diversification model for a given set of branching times and parameter values where the parameters are allowed to shift at time t = tshift

Usage

```
dd_SR_loglik(pars1, pars2, brts, missnumspec, methode = "analytical")
```

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Arguments

pars1 Vector of parameters:

pars1[1] corresponds to lambda (speciation rate) before the shift

pars1[2] corresponds to mu (extinction rate) before the shift

pars1[3] corresponds to K (clade-level carrying capacity) before the shift

pars1[4] corresponds to lambda (speciation rate) after the shift

pars1[5] corresponds to mu (extinction rate) after the shift

pars1[6] corresponds to K (clade-level carrying capacity) after the shift

pars1[7] corresponds to tshift (the time of shift)

pars2 Vector of model settings:

pars2[1] sets the maximum number of species for which a probability must be computed. This must be larger than 1 + missnumspec + length(brts).

pars2[2] sets the model of diversity-dependence:

- pars2[2] == 1 linear dependence in speciation rate with parameter K (= diversity where speciation = extinction)
- pars2[2] == 1.3 linear dependence in speciation rate with parameter K' (= diversity where speciation = 0)
- pars2[2] == 2 exponential dependence in speciation rate with parameter K (= diversity where speciation = extinction)
- pars2[2] == 2.1 variant of exponential dependence in speciation rate with offset at infinity
- pars2[2] == 2.2 1/n dependence in speciation rate
- pars2[2] == 2.3 exponential dependence in speciation rate with parameter x (= exponent)
- pars2[2] == 3 linear dependence in extinction rate
- pars2[2] == 4 exponential dependence in extinction rate
- pars2[2] == 4.1 variant of exponential dependence in extinction rate with offset at infinity
- pars2[2] == 4.2 1/n dependence in extinction rate

pars2[3] sets the conditioning:

- pars2[3] == 0 no conditioning
- pars2[3] == 1 conditioning on non-extinction of the phylogeny
- pars2[3] == 2 conditioning on non-extinction of the phylogeny and on the total number of extant taxa (including missing species)

pars2[4] sets whether the likelihood is for the branching times (0) or the phylogeny (1)

pars2[5] sets whether the parameters and likelihood should be shown on screen (1) or not (0)

pars2[6] sets whether the first data point is stem age (1) or crown age (2)

A set of branching times of a phylogeny, all positive

brts

missnumspec methode The number of species that are in the clade but missing in the phylogeny

The method used to solve the master equation, default is 'analytical' which uses matrix exponentiation; alternatively numerical ODE solvers can be used, such as 'odeint::runge_kutta_cash_karp54'. These were used in the package before

version 3.1.

Value

The loglikelihood

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

See Also

```
dd_SR_ML, dd_loglik, dd_KI_loglik
```

Examples

```
dd_SR_loglik(pars1 = c(0.2,0.1,50,0.2,0.1,70,5), pars2 = c(100,1,1,1,0,2), brts = 1:10, missnumspec = 0)
```

dd_SR_ML

Maximization of the loglikelihood under a diversity-dependent diversification model with a shift in the parameters

Description

This function computes the maximum likelihood estimates of the parameters of a diversity-dependent diversification model with shifting parameters at time t = tshift for a given set of phylogenetic branching times. It also outputs the corresponding loglikelihood that can be used in model comparisons.

Usage

```
dd_SR_ML(
    brts,
initparsopt = c(0.5, 0.1, 2 * (1 + length(brts) + missnumspec), 2 * (1 + length(brts) +
    missnumspec), max(brts)/2),
parsfix = NULL,
idparsopt = c(1:3, 6:7),
idparsfix = NULL,
```

```
idparsnoshift = (1:7)[c(-idparsopt, (-1)^(length(idparsfix) != 0) * idparsfix)],
 res = 10 * (1 + length(brts) + missnumspec),
 ddmodel = 1,
 missnumspec = 0,
  cond = 1,
 btorph = 1,
  soc = 2,
 allbp = FALSE,
  tol = c(0.001, 1e-04, 1e-06),
 maxiter = 1000 * round((1.25)^length(idparsopt)),
 changeloglikifnoconv = FALSE,
  optimmethod = "subplex",
 num\_cycles = 1,
 methode = "analytical",
  verbose = FALSE
)
```

Arguments

brts A set of branching times of a phylogeny, all positive initparsopt The initial values of the parameters that must be optimized parsfix The values of the parameters that should not be optimized idparsopt The ids of the parameters that must be optimized, e.g. 1:7 for all parameters. The ids are defined as follows: id == 1 corresponds to lambda (speciation rate) before the shift id == 2 corresponds to mu (extinction rate) before the shift id == 3 corresponds to K (clade-level carrying capacity) before the shift id == 4 corresponds to lambda (speciation rate) after the shift id == 5 corresponds to mu (extinction rate) after the shift id == 6 corresponds to K (clade-level carrying capacity) after the shift id == 7 corresponds to tshift (the time of shift) The ids of the parameters that should not be optimized, e.g. c(1,3,4,6) if lambda idparsfix and K should not be optimized, but only mu. In that case idparsopt must be c(2,5,7). The default is to fix all parameters not specified in idparsopt. The ids of the parameters that should not shift; This can only apply to ids 4, idparsnoshift 5 and 6, e.g. idparsnoshift = c(4,5) means that lambda and mu have the same values before and after tshift sets the maximum number of species for which a probability must be computed, res must be larger than 1 + length(brts) ddmodel sets the model of diversity-dependence: ddmodel == 1 : linear dependence in speciation rate ddmodel == 2 : exponential dependence in speciation rate ddmodel == 2.1: variant of exponential dependence in speciation rate with offset at infinity ddmodel == 2.2 : 1/n dependence in speciation rate ddmodel == 3: linear dependence in extinction rate ddmodel == 4 : exponential dependence in extinction rate

ddmodel == 4.1: variant of exponential dependence in extinction rate with offset

at infinity

ddmodel == 4.2: 1/n dependence in extinction rate with offset at infinity

missnumspec The number of species that are in the clade but missing in the phylogeny

cond Conditioning:

cond == 0: no conditioning

cond == 1 : conditioning on non-extinction of the phylogeny

cond == 2: conditioning on non-extinction of the phylogeny and on the total

number of extant taxa (including missing species)

cond == 3: conditioning on the total number of extant taxa (including missing

species)

Note: cond == 3 assumes a uniform prior on stem age, as is the standard in constant-rate birth-death models, see e.g. D. Aldous & L. Popovic 2004. Adv. Appl. Prob. 37: 1094-1115 and T. Stadler 2009. J. Theor. Biol. 261: 58-66.

btorph Sets whether the likelihood is for the branching times (0) or the phylogeny (1)

soc Sets whether stem or crown age should be used (1 or 2)

allbp Sets whether a search should be done with various initial conditions, with tshift

at each of the branching points (TRUE/FALSE)

tol Sets the tolerances in the optimization. Consists of:

reltolx = relative tolerance of parameter values in optimization reltolf = relative tolerance of function value in optimization abstolx = absolute tolerance of parameter values in optimization

maxiter Sets the maximum number of iterations in the optimization

changeloglikifnoconv

if TRUE the loglik will be set to -Inf if ML does not converge

optimmethod Method used in optimization of the likelihood. Current default is 'subplex'.

Alternative is 'simplex' (default of previous versions)

num_cycles the number of cycles of opimization. If set at Inf, it will do as many cycles as

needed to meet the tolerance set for the target function.

methode The method used to solve the master equation, default is 'analytical' which uses

matrix exponentiation; alternatively numerical ODE solvers can be used, such as 'odeint::runge_kutta_cash_karp54'. These were used in the package before

version 3.1.

verbose Show the parameters and loglikelihood for every call to the loglik function

Details

The output is a dataframe containing estimated parameters and maximum loglikelihood. The computed loglikelihood contains the factor q! m!/(q + m)! where q is the number of species in the phylogeny and m is the number of missing species, as explained in the supplementary material to Etienne et al. 2012.

Value

lambda_1	gives the maximum likelihood estimate of lambda before the shift
mu_1	gives the maximum likelihood estimate of mu before the shift
K_1	gives the maximum likelihood estimate of K before the shift
lambda_2	gives the maximum likelihood estimate of lambda after the shift
mu_2	gives the maximum likelihood estimate of mu after the shift
K_2	gives the maximum likelihood estimate of K after the shift
t_shift	gives the time of the shift
loglik	gives the maximum loglikelihood
df	gives the number of estimated parameters, i.e. degrees of feedom
conv	gives a message on convergence of optimization; conv = 0 means convergence

Note

The optimization may get trapped in local optima. Try different starting values to search for the global optimum.

Author(s)

Rampal S. Etienne & Bart Haegeman

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

See Also

```
dd_SR_loglik, dd_ML, dd_KI_ML,
```

Examples

```
cat("This will estimate parameters for a sets of branching times brts without conditioning.\n") cat("The tolerance of the optimization is set ridiculously high to make runtime fast.\n") cat("In real applications, use the default or more stringent settings for tol.\n") brts = 1:10  \frac{dd_SR_ML}{brts} = \frac{brts}{initparsopt} = \frac{c(0.4581, 1E-6, 17.69, 11.09, 8.9999)}{idparsopt} = \frac{c(1:3,6,7)}{idparsfix} = \frac{NULL}{idparsnoshift} = \frac{c(4,5)}{idparsopt} = \frac{0}{idparsopt} = \frac{0}{i
```

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dd_SR_sim	Function to simulate the diversity-dependent diversification process with a shift in one or more of the parameters

Description

Simulating the diversity-dependent diversification process with a parameter shift at a certain time

Usage

```
dd_SR_sim(pars, age, ddmodel = 1)
```

Ar

rguments	
pars	Vector of parameters:
	pars[1] corresponds to lambda1 (speciation rate before the rate shift) pars[2] corresponds to mu1 (extinction rate before the rate shift) pars[3] corresponds to K1 (clade-level carrying capacity before the rate shift) pars[4] corresponds to lambda2 (speciation rate after the rate shift) pars[5] corresponds to mu2 (extinction rate after the rate shift) pars[6] corresponds to K2 (clade-level carrying capacity after the rate shift) pars[7] corresponds to the time of shift
age	Sets the crown age for the simulation
ddmodel	Sets the model of diversity-dependence: $ \begin{tabular}{l} ddmodel == 1: linear dependence in speciation rate with parameter K (= diversity where speciation = extinction) \\ ddmodel == 1.3: linear dependence in speciation rate with parameter K' (= diversity where speciation = 0) \\ ddmodel == 2: exponential dependence in speciation rate with parameter K (= diversity where speciation = extinction) \\ ddmodel == 2.1: variant of exponential dependence in speciation rate with off-$
	set at infinity ddmodel == 2.2: 1/n dependence in speciation rate ddmodel == 2.3: exponential dependence in speciation rate with parameter x (= exponent) ddmodel == 3: linear dependence in extinction rate ddmodel == 4: exponential dependence in extinction rate ddmodel == 4.1: variant of exponential dependence in extinction rate with off- set at infinity ddmodel == 4.2: 1/n dependence in extinction rate with offset at infinity

Value

A list with the following four elements: The first element is the tree of extant species in phylo format

ddmodel == 5 : linear dependence in speciation and extinction rate

out

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The second element is the tree of all species, including extinct species, in phylo format

The third element is a matrix of all species where

- the first column is the time at which a species is born
- the second column is the label of the parent of the species; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the third column is the label of the daughter species itself; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the fourth column is the time of extinction of the species If this equals -1, then the species is still extant.

The fourth element is the set of branching times of the tree of extant species.

Author(s)

Rampal S. Etienne

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

Examples

```
dd_SR_sim(c(0.2,0.1,20,0.2,0.1,40,5),10)
```

L2brts

Function to convert a table with speciation and extinction events to a set of branching times

Description

Converting a table with speciation and extinction events to a set of branching times

Usage

```
L2brts(L, dropextinct = T)
```

Arguments

L Matrix of events as produced by dd_sim:

- the first column is the time at which a species is born in Mya
- the second column is the label of the parent of the species; positive and negative values indicate whether the species belongs to the left or right crown lineage
- the third column is the label of the daughter species itself; positive and negative

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values indicate whether the species belongs to the left or right crown lineage
- the fourth column is the time of extinction of the species; if the fourth element

equals -1, then the species is still extant.

dropextinct Sets whether the phylogeny should drop species that are extinct at the present

Value

brts A set of branching times

Author(s)

Rampal S. Etienne

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

Examples

```
sim = dd_sim(c(0.2,0.1,20),10)
phy = L2brts(sim$L)
plot(phy)
```

L2phylo

Function to convert a table with speciation and extinction events to a phylogeny

Description

Converting a table with speciation and extinction events to a phylogeny

Usage

```
L2phylo(L, dropextinct = T)
```

Arguments

L Matrix of events as produced by dd_sim:

- the first column is the time at which a species is born in Mya
- the second column is the label of the parent of the species; positive and negative values indicate whether the species belongs to the left or right crown lineage
- the third column is the label of the daughter species itself; positive and negative values indicate whether the species belongs to the left or right crown lineage
- the fourth column is the time of extinction of the species; if the fourth element equals -1, then the species is still extant.

dropextinct

Sets whether the phylogeny should drop species that are extinct at the present

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Value

phy

A phylogeny of the phylo type

Author(s)

Rampal S. Etienne

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

Examples

```
sim = dd_sim(c(0.2,0.1,20),10)
phy = L2phylo(sim$L)
plot(phy)
```

optimizer

Carries out optimization (finding a minimum)

Description

A wrapper to use several optimization routines, currently only 'simplex' (a method adopted from Matlab, or 'subplex', from the R package subplex). The function is called from several packages by the same author.

Usage

```
optimizer(
  optimmethod = "simplex",
  optimpars = c(1e-04, 1e-04, 1e-06, 1000),
  num_cycles = 1,
  fun,
  trparsopt,
  jitter = 0,
  ...
)
```

Arguments

optimmethod

The method to use for optimization, either 'simplex' or 'subplex'

optimpars

Parameters of the optimization: relative tolerance in function arguments, relative tolerance in function value, absolute tolerance in function arguments, and maximum number of iterations

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num_cycles Number of cycles of the optimization. When set to Inf, the optimization will

be repeated until the result is, within the tolerance, equal to the starting values,

with a maximum of 10 cycles.

fun Function to be optimized

trparsopt Initial guess of the parameters to be optimized

jitter Perturbation of an initial parameter value when precisely equal to 0.5; this is

only relevant when subplex is chosen. The default value is 0, so no jitter is

applied. A recommended value when using it is 1E-5.

... Any other arguments of the function to be optimized, or settings of the opti-

mization routine

Value

out A list containing optimal function arguments (par, the optimal function value

(fvalues) and whether the optimization converged (conv)

.

Author(s)

Rampal S. Etienne

Examples

cat("No examples")

phylo2L	Function to convert phylogeny to a table with speciation and extinction
	events

Description

Converting a phylogeny to a table with speciation and extinction events

Usage

phylo2L(phy)

Arguments

phy A phylogeny of the phylo type

Value

L Matrix of events as produced by dd_sim:

- the first column is the time at which a species is born in Mya
- the second column is the label of the parent of the species; positive and negative values indicate whether the species belongs to the left or right crown lineage
- the third column is the label of the daughter species itself; positive and negative values indicate whether the species belongs to the left or right crown lineage
- the fourth column is the time of extinction of the species; if the fourth element equals -1, then the species is still extant.

Author(s)

Liang Xu

References

- Etienne, R.S. et al. 2012, Proc. Roy. Soc. B 279: 1300-1309, doi: 10.1098/rspb.2011.1439
- Etienne, R.S. & B. Haegeman 2012. Am. Nat. 180: E75-E89, doi: 10.1086/667574

Examples

```
sim = dd_sim(c(0.2,0.1,20),10)
phy = sim$tas
L = phylo2L(phy)
phy2 = L2phylo(L, dropextinct = FALSE)
graphics::par(mfrow = c(1,3))
graphics::plot(phy)
graphics::plot(phy2)
graphics::plot(L2phylo(sim$L, dropextinct = FALSE))
```

rng_respecting_sample Sampling in which zero probabilities are removed

Description

Sampling in which zero probabilities are removed

Usage

```
rng_respecting_sample(x, size, replace, prob)
```

Arguments

X	either a vector of one or more elements from which to choose, or a positive integer. See 'Details.'
size	a non-negative integer giving the number of items to choose.
replace	should sampling be with replacement?
prob	a vector of probability weights for obtaining the elements of the vector being sampled.

Value

a vector of length size with elements drawn from either x or from the integers 1:x.

Note

thanks to Pedro Neves for finding this feature in base::sample

Author(s)

Richel J.C. Bilderbeek

See Also

See sample for more details

Examples

```
# Number of draws
n <- 1000
# Do normal sampling
set.seed(42)
draws_1 <- DDD:::rng_respecting_sample(</pre>
  1:3, size = n, replace = TRUE, prob = c(1.0, 1.0, 1.0)
\ensuremath{\text{\#}} Do a sampling with one element of probability zero
set.seed(42)
draws_2 <- DDD:::rng_respecting_sample(</pre>
 1:4, size = n, replace = TRUE, prob = c(1.0, 1.0, 1.0, 0.0)
testit::assert(sum(draws_2 == 4) == 0)
testit::assert(draws_1 == draws_2)
# Use base sampling will give different results,
# as it results in different RNG values
set.seed(42)
draws_3 <- sample(</pre>
 1:4, size = n, replace = TRUE, prob = c(1.0, 1.0, 1.0, 0.0)
testit::assert(sum(draws_3 == 4) == 0)
```

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```
testit::assert(!all(draws_1 == draws_3))
```

roundn

Rounds up in the usual manner

Description

The standard round function in R rounds x.5 to the nearest even integer. This is odd behavior that is corrected in roundn

Usage

```
roundn(x, digits = 0)
```

Arguments

x Number to be rounded

digits Sets the number of decimals in rounding.

Value

n A number

Author(s)

Rampal S. Etienne

Examples

```
round(2.5)
roundn(2.5)
round(3.5)
round(3.5)
round(2.65,digits = 1)
roundn(2.65,digits = 1)
round(2.75,digits = 1)
roundn(2.75,digits = 1)
```

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san	

Takes samples in the usual manner

Description

The standard sample function in R samples from n numbers when x = n. This is unwanted behavior when the size of the vector to sample from changes dynamically. This is corrected in sample2

Usage

```
sample2(x, size, replace = FALSE, prob = NULL)
```

Arguments

x A vector of one or more elements

size A non-negative integer giving the number of items to choose.

replace Should sampling be with replacement?

prob A vector of probability weights for obtaining the elements of the vector being

sampled.

Value

sam A vector of length size that is sampled from x.

Author(s)

Rampal S. Etienne

Examples

```
sample(x = 10, size = 5, replace = TRUE)
sample2(x = 10, size = 5, replace = TRUE)
```

simplex

Carries out optimization using a simplex algorithm (finding a minimum)

Description

Function to optimize target function using a simplex method adopted from Matlab

Usage

```
simplex(fun, trparsopt, optimpars, ...)
```

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Arguments

fun Function to be optimized

trparsopt Initial guess of the parameters to be optimized

optimpars Parameters of the optimization: relative tolerance in function arguments, rela-

tive tolerance in function value, absolute tolerance in function arguments, and

maximum number of iterations

... Any other arguments of the function to be optimized, or settings of the opti-

mization routine

Value

out A list containing optimal function arguments (par, the optimal function value

(fvalues) and whether the optimization converged (conv)

Author(s)

Rampal S. Etienne

Examples

```
cat("No examples")
```

 td_sim

Simulation of a diversity-dependent-like time-dependent process

Description

Simulates a phylogenetic tree branching according to a time-dependent process calibrated on the expected number of species under a diversity-dependent process over time.

Usage

```
td_sim(pars, age, ddmodel = 1, methode = "ode45")
```

Arguments

pars Vector of parameters:

pars[1] corresponds to lambda0 (speciation rate)
pars[2] corresponds to mu0 (extinction rate)

pars[3] corresponds to lambda1 (decline parameter in speciation rate) or K in

diversity-dependence-like models

pars[4] corresponds to mu1 (decline parameter in extinction rate)

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age crown age of the tree to simulate, i.e. the simulation time.

ddmodel the diversity-dependent model used as reference for the time-dependent model.

methode The method used to solve the master equation. See deSolve::ode() documen-

tation for possible inputs

Value

A list with the following four elements: The first element is the tree of extant species in phylo format

The second element is the tree of all species, including extinct species, in phylo format

The third element is a matrix of all species where - the first column is the time at which a species is born

- the second column is the label of the parent of the species; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the third column is the label of the daughter species itself; positive and negative values only indicate whether the species belongs to the left or right crown lineage
- the fourth column is the time of extinction of the species. If this equals -1, then the species is still extant.

Author(s)

César Martinez, Rampal S. Etienne

transform_pars

Transforming parameters from -Inf to Inf into parameters from -1 to 1

Description

Function to transform pars in a way that is more useful for optimization: trpars <- sign(pars) * pars/(sign(pars) + pars);

Usage

```
transform_pars(pars)
```

Arguments

pars

Parameters to be transformed

Value

Transformed parameters

Author(s)

Rampal S. Etienne

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Description

Function to untransform pars after optimization: pars <- sign(trpars) * trpars/(sign(trpars) - trpars);

Usage

untransform_pars(trpars)

Arguments

trpars

Parameters to be untransformed

Value

Untransformed parameters

Author(s)

Rampal S. Etienne

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