# Package 'tLagPropOdds'

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<b>Title</b> Proportional Odds Model with Censored, Time-Lagged Categorical Outcome
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<b>Description</b> Implements a semiparametric estimator for the odds ratio model with censored, time-lagged, ordered categorical outcome in a randomized clinical trial that incorporates baseline and time-dependent information.  Tsiatis, A. A. and Davidian, M. (2021) <arxiv:2106.15559>.</arxiv:2106.15559>
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catProbs	Estimation of the Probability of a Specific Categorical Outcome by	,

## **Description**

Inverse probability weighted complete case (IPWCC) and augmented inverse probability weighted complete case (AIPWCC) estimators for the probability of falling into a specific time-lagged ordered categorical outcome in a randomized clinical trial.

#### Usage

```
catProbs(data, ..., ti = NULL, td = NULL)
```

**Treatment** 

#### **Arguments**

data	A data.frame object. A data.frame containing all observed data. At a minimum, this data.frame must contain columns with headers "id", "U", "delta", "Cat" and "A". If the time-independent component of the estimator is to be included, data.frame must also contain the bases of f(X). If the time-dependent component is included, data.frame must also contain the bases of h(X,L) as well as the time intervals with column headers {"tstart", "tstop"} or {"start", "stop"}. See Details for additional information.
• • •	Ignored. Included to require named inputs.
ti	A character or integer vector or NULL. The columns of data to be included in the time-independent component of the estimator, $f_m(X)$ m = 0,, M. If NULL, the time-independent component is excluded from the AIPWCC estimator. See Details for additional information.
td	A character or integer vector or NULL. The columns of data to be included in the time-dependent component of the estimator, $h_l(X,Lbar)$ , $l=1,,L$ . If NULL, the time-dependent component is excluded from the AIPWCC estimator. See Details for additional information.

## **Details**

At a minimum, the data provided for the analysis must contain the following information:

id: A unique participant identifier.

U: The time to ascertainment of category or censoring.

delta: The indicator of ascertainment of category (1 if U is the time to ascertainment; 0 otherwise).

**Cat:** The ordered outcome category. Data must be provided as a factor or an integer or be able to be converted to an integer without loss of information. If participant was censored (delta = 0), Cat can take any integer-like value or NA.

**A:** The treatment received. Data must be provided as a factor or an integer or be able to be converted to an integer without loss of information.

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With the exception of Cat, data must be complete.

If the time-independent component is to be included in the AIPWCC estimator, data must also include the time-independent basis functions  $f_m(X)$  m = 0, ..., M. If the intercept  $(f_0)$  term is not provided, it will be added by the software.

If the time-dependent component is to be included in the AIPWCC estimator, the data frame must be a time-dependent dataset as described by package survival. Specifically, the time-dependent data must be specified for intervals (start,stop], and the data must include the following additional columns:

**tstart:** The lower boundary of the time interval to which the data pertain.

**tstop:** The upper boundary of the time interval to which the data pertain.

Note that column headers {"start", "stop"} are also accepted.

The various combinations of inputs ti and td yield the following:

- **ti = NULL, td = NULL** the IPWCC estimate is returned. (denoted as IPW in the simulations of the original manuscript.)
- ti != NULL, td != NULL the IPWCC and the full AIPWCC estimates are returned. (denoted as AIPW2 in the simulations of the original manuscript.)
- ti = NULL, td != NULL the IPWCC and the partial, time-independent AIPWCC estimates are returned. (denoted as AIPW1 in the simulations of the original manuscript.)
- ti = NULL, td != NULL the IPWCC and the partial, time-dependent AIPWCC estimates are returned.

If a treatment subgroup has <5% censoring, a message is generated and the treatment subgroup is removed from the time-dependent component of the AIPWCC estimator. If there is no censoring, the IPWCC estimator approaches the usual proportional odds estimator.

#### Value

An S3 object of class catProbsObj containing a list. The elements of the list correspond to the selected AIPWCC and/or IPWCC estimators. For each estimator, a list of matrix objects is returned, one for each treatment, that contains the estimated probabilities, their asymptotic standard errors, and the 95% confidence intervals. The S3 object has an additional attributes, "type", giving a verbose description of the components contained in the estimator.

#### **Examples**

```
data(tLagData)
# full AIPWCC estimator
catProbs(data = tLagData, ti = "x", td = c("hospStatus", "daysOut"))
# partial, time-independent AIPWCC estimator
catProbs(data = tLagData, ti = "x")
# partial, time-dependent AIPWCC estimator
catProbs(data = tLagData, td = c("hospStatus", "daysOut"))
```

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print

Print Analysis Results

## **Description**

Prints the key results.

Prints the key results.

## Usage

```
## S3 method for class 'catProbsObj'
print(x, ...)
## S3 method for class 'tLagObj'
print(x, ...)
```

## **Arguments**

x A tLagObj object. The value returned by tLagPropOdds().... Ignored.

## **Examples**

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tLagData

Toy Dataset For Illustration

#### Description

These data are provided for the purposes of illustrating the use of the software. Though the data were generated under a scenario similar to a real-world COVID-19 therapeutics clinical trial, they should not be interpreted as representing true clinical trial data.

#### Usage

data(tLagData)

#### **Format**

tLagData is a time-dependent data.frame containing the following information for 602 participants ascertained at day 90 of a fictitious randomized clinical trial.

id: A unique participant identifier.

**A:** The treatment received, where  $A = \{0,1\}$ .

Cat: The ordered outcome category. There are 6 categories ascertained at day 90.

- 1: at home and off oxygen, number of days >= 77;
- 2: at home and off oxygen, number of days 49-76;
- 3: at home and off oxygen, number of days 1-48;
- 4: not hospitalized and either at home on oxygen or not home;
- 5: hospitalized for medical care or in hospice care; and
- 6: dead.

If participant is censored, Cat = NA.

U: The time at which the outcome category was determined or the censoring time. For Cat = 1-5, U is the interim analysis time (90 days). For Cat = 6, U is the time of death. For Cat = NA, U is the censoring time.

**delta:** The event indicator (1 if U is the time at which the outcome category was determined; 0 if censored).

**x:** A continuous baseline covariate.

start: The lower bound of the time interval to which the given covariate values pertain.

**stop:** The upper bound of the time interval to which the given covariate values pertain.

**hospStatus:** A time-dependent indicator of hospital status, where 1 indicates that the participant was not in the hospital during interval (start, stop); 0 otherwise.

**daysOut:** The expected number of continuous days out of hospital at the time of the interim analysis (90 days).

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tLagPropOdds	Estimation of the Odds Ratio in a Proportional Odds Model with Cen-
	sored Time-lagged Outcome

## **Description**

Inverse probability weighted complete case (IPWCC) and augmented inverse probability weighted complete case (AIPWCC) estimators for the odds ratio in a proportional odds model with time-lagged ordered categorical outcome in a randomized clinical trial.

## Usage

```
tLagPropOdds(data, ..., ti = NULL, td = NULL, itmax = 500, tol = 1e-05)
```

## **Arguments**

data	A data.frame object. A data.frame containing all observed data. At a minimum, this data.frame must contain columns with headers "id", "U", "delta", "Cat" and "A". If the time-independent component of the estimator is to be included, data.frame must also contain the bases of $f(X)$ . If the time-dependent component is included, data.frame must also contain the bases of $h(X,L)$ as well as the time intervals with column headers {"tstart", "tstop"} or {"start", "stop"}. See Details for additional information.
	Ignored. Included to require named inputs.
ti	A character or integer vector or NULL. The columns of data to be included in the time-independent component of the estimator, $f_m(X) = 0$ ,, M. If NULL, the time-independent component is excluded from the AIPWCC estimator. See Details for additional information.
td	A character or integer vector or NULL. The columns of data to be included in the time-dependent component of the estimator, $h_l(X,Lbar)$ , $l=1,,L$ . If NULL, the time-dependent component is excluded from the AIPWCC estimator. See Details for additional information.
itmax	An integer object. The maximum number of iterations for the Newton-Raphson algorithm used to estimate parameters alpha and beta.
tol	A numeric object. The value at which the Newton-Raphson is deemed to have converged.

#### **Details**

At a minimum, the data provided for the analysis must contain the following information:

id: A unique participant identifier.

**U:** The time to ascertainment of category or censoring.

**delta:** The indicator of ascertainment of category (1 if U is the time to ascertainment; 0 otherwise).

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**Cat:** The ordered outcome category. Data must be provided as a factor or an integer or be able to be converted to an integer without loss of information. If participant was censored (delta = 0), Cat can take any integer-like value or NA.

**A:** The treatment received. Data must be provided as a factor or an integer or be able to be converted to an integer without loss of information.

With the exception of Cat, data must be complete.

If the time-independent component is to be included in the AIPWCC estimator, data must also include the time-independent basis functions  $f_m(X)$  m = 0, ..., M. If the intercept  $(f_0)$  term is not provided, it will be added by the software.

If the time-dependent component is to be included in the AIPWCC estimator, the data frame must be a time-dependent dataset as described by package survival. Specifically, the time-dependent data must be specified for intervals (start,stop], and the data must include the following additional columns:

**tstart:** The lower boundary of the time interval to which the data pertain.

**tstop:** The upper boundary of the time interval to which the data pertain.

Note that column headers {"start", "stop"} are also accepted.

The various combinations of inputs ti and td yield the following:

- **ti = NULL**, **td = NULL** the IPWCC estimate is returned. (denoted as IPW in the simulations of the original manuscript.)
- ti != NULL, td != NULL the IPWCC and the full AIPWCC estimates are returned. (denoted as AIPW2 in the simulations of the original manuscript.)
- **ti = NULL, td != NULL** the IPWCC and the partial, time-independent AIPWCC estimates are returned. (denoted as AIPW1 in the simulations of the original manuscript.)
- ti = NULL, td != NULL the IPWCC and the partial, time-dependent AIPWCC estimates are returned. (not discussed in the simulations of the original manuscript.)

If a treatment subgroup has <5% censoring, a message is generated and the treatment subgroup is removed from the time-dependent component of the AIPWCC estimator. If there is no censoring, the IPWCC estimator approaches the usual proportional odds estimator.

#### Value

An S3 object of class tLagObj containing a list. The elements of the list correspond to the selected AIPWCC and/or IPWCC estimators. For each estimator, two matrix objects are returned: \$logOdds contains the estimated beta parameters, their standard errors estimated using the sandwich estimator, the 95% confidence intervals, and the p-values for the log odds ratio; \$odds contains the estimated odds ratio, their standard errors estimated using the delta method, and the 95% confidence intervals. The S3 object has an additional attribute, "type" giving a verbose description of the components contained in the estimator.

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## Examples

```
data(tLagData)
# full AIPWCC estimator
tLagPropOdds(data = tLagData, ti = "x", td = c("hospStatus", "daysOut"))
# partial, time-dependent AIPWCC estimator
tLagPropOdds(data = tLagData, td = c("hospStatus", "daysOut"))
# partial, time-independent AIPWCC estimator
tLagPropOdds(data = tLagData, ti = "x")
```

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